

Assignment 2 – Selected Model Answers

EXERCISE 1.

- (i) Generalise the mergesort algorithm splitting a list into k sublists instead of just 2. Show that the complexity remains the same.
- (ii) Modify the mergesort algorithm adding a threshold value t such that for lists with a length at most t an elementary sorting algorithm (bubblesort, insertion sort, selection sort) is used instead of mergesort. Implement the modified algorithm (choose one of the three elementary sorting algorithms).
- (iii) Provide a theoretical and experimental analysis to determine a good value for the threshold t , which optimises performance.

EXERCISE 2. Suppose you have to process n advance bookings of rooms for a hotel with k identical rooms. Bookings contain an arrival date and a departure date. You have to find out whether there are enough rooms in the hotel to satisfy the demands.

- (i) Design an algorithm that solves this problem in time $O(n \log n)$.

Hint. Sort the set of all arrivals and departures and process it in sorted order. Choose the most appropriate sorting algorithm for this problem.

- (ii) Implement your algorithm.

SOLUTION. We only consider part (i). Let a booking be a pair (a, d) with an arrival date a and a departure date d . We can map dates to \mathbb{N} , so without loss of generality assume $a, d \in \mathbb{N}$ with $a < d$. We can then view the i 'th booking as a pair comprising $(a_i, 1)$ (for arrival) and $(d_i, 0)$ for departure. The set of all advance bookings is given by the list $[(a_1, 1), (d_1, 0), (a_2, 1), (d_2, 0), \dots, (a_n, 1), (d_n, 0)]$.

We can sort this list using the order $(x_1, y_1) \leq (x_2, y_2)$ iff $x_1 < x_2$ or $(x_1 = x_2 \text{ and } y_1 \leq y_2)$. As the components are natural numbers, the most appropriate sorting algorithm is radix sort.

If we now have a sorted list, we can iterate over it using a counter H , which is initialised as 0. A list element $(x, 1)$ increments H , while a list element $(x, 0)$ decrements H . After each change of H we have to check, if $H \leq k$ holds. If true, we can continue. If false, the capacity of the hotel has been exceeded.

EXERCISE 3. Explain how to implement a FIFO queue using two stacks so that each FIFO operation takes amortised constant time.

SOLUTION. Let the two stacks be s_1 and s_2 . For the *pushback* operation—accordingly also for *back*—we use only s_1 . If we have a *popfront* operation, we have to distinguish two cases:

Case 1. If s_2 is empty, we first move all elements from s_1 to s_2 , where a move consists of a *pop* operation on s_1 followed by a *push* operation on s_2 . Then proceed as in Case 2.

Case 2. If s_2 is not empty, then the *popfront* operation becomes simply a *pop* operation on s_2 .

The operation *topfront* is handled analogously without changing s_2 is the second case. The emptiness check amounts to checking, if both stacks are empty.

For the amortised complexity let each *pushback* operation make a constant contribution $2c$ to a counter C , where c is the cost required by any *push* or *pop* operation. Thus, moving all elements from s_1 to s_2 in Case 1 above requires the cost of $2nc$, where n is the number of elements moved.

When a move becomes necessary, there have been n *pushback* operations since the latest move, which altogether contributed $2nc$ to the counter C . By executing the move the counter is reset to 0. The constant contribution of the *pushback* operation guarantees that its amortised complexity remains in $\Theta(1)$. No other operation is affected.

EXERCISE 4. It is easy to check whether an algorithm produces a sorted output. It is less easy to check whether the output is also a permutation of the input. However, for integers there exists a fast and simple algorithm:

- (i) Show that $[e_1, \dots, e_{n_i}]$ is a permutation of $[e'_1, \dots, e'_{n_i}]$ iff the polynomial

$$P(x) = \prod_{i=1}^n (x - e_i) - \prod_{i=1}^n (x - e'_i)$$

in the variable x is identically zero.

- (ii) For any $\varepsilon > 0$ let p be a prime with $p > \max\{n/\varepsilon, e_1, \dots, e_{n_i}, e'_1, \dots, e'_{n_i}\}$. The idea is to evaluate the above polynomial $P(x)$ modulo p for a random value $x \in [0, p-1]$.

Show that if $[e_1, \dots, e_{n_i}]$ is not a permutation of $[e'_1, \dots, e'_{n_i}]$, then the result of the evaluation is zero with probability at most ε .

Hint. A non-zero polynomial of degree n has at most n zeroes.

SOLUTION.

- (i) Clearly, if $[e_1, \dots, e_n]$ is a permutation of $[e'_1, \dots, e'_n]$, the factors in both products are the same, so the polynomial is identical zero.

Conversely, both products are polynomials of degree n with roots $\{e_1, \dots, e_n\}$ and $\{e'_1, \dots, e'_n\}$. If $P(x)$ is identically zero, these two polynomials are the same, and hence have the same roots with the same multiplicities, which in other words means that $[e_1, \dots, e_n]$ is a permutation of $[e'_1, \dots, e'_n]$.

- (ii) $P(x)$ is a polynomial $x^n + a_{n-1}x^{n-1} + \dots + a_0$ of degree n , and as such has at most n roots, unless it is identically zero. As p is a prime and all e_i, e'_i are in $[0, p-1]$, the same holds modulo p .

Taking random values over the interval $[0, p - 1]$ means we have p possible values for x , and the outcome $P(x) = 0$ can appear at most n times. So the probability that $P(x) = 0$, i.e. the probability that x is one of the at most n roots, is at most

$$\frac{n}{p} < \varepsilon.$$