

# Module 11: File-System Interface

- File Concept
- Access :Methods
- Directory Structure
- Protection
- Consistency Semantics

# File Concept

- Contiguous logical address space
- Types:
  - Data
    - \* numeric
    - \* character
    - \* binary
  - Program

# File Structure

- None - sequence of words, bytes
- Simple record structure
  - Lines
  - Fixed length
  - Variable length
- Complex Structures
  - Formatted document
  - Relocatable load file
- Can simulate last two with first method by inserting appropriate control characters.
- Who decides:
  - Operating system
  - Program

# File Attributes

- **Name** – only information kept in human-readable form.
- **Type** – needed for systems that support different types.
- **Location** – pointer to file location on device.
- **Size** – current file size.
- **Protection** – controls who can do reading, writing, executing.
- **Time, date, and user identification** – data for protection, security, and usage monitoring.
- Information about files are kept in the directory structure, which is maintained on the disk.

# File Operations

- create
- write
- read
- reposition within file – file seek
- delete
- truncate
- $\text{open}(F_i)$  – search the directory structure on disk for entry  $F_i$ , and move the content of entry to memory.
- $\text{close}(F_i)$  – move the content of entry  $F_i$  in memory to directory structure on disk.

## File Types – name, extension

File Type	Usual extension	Function
Executable	exe, com, bin or none	ready-to-run machine-language program
Object	obj, o	compiled, machine language, not linked
Source code	c, p, pas, 177, asm, a	source code in various languages
Batch	bat, sh	commands to the command interpreter
Text	txt, doc	textual data documents
Word processor	wp, tex, rrf, etc.	various word-processor formats
Library	lib, a	libraries of routines
Print or view	ps, dvi, gif	ASCII or binary file
Archive	arc, zip, tar	related files grouped into one file, sometimes compressed.

# Access Methods

- Sequential Access

*linked list*

*music  
movie*

*read next*

*write next*

*reset*

*no read after last write  
(rewrite)*

- Direct Access

*page# frame#  
database*

*read n*

*write n*

*position to n*

*read next*

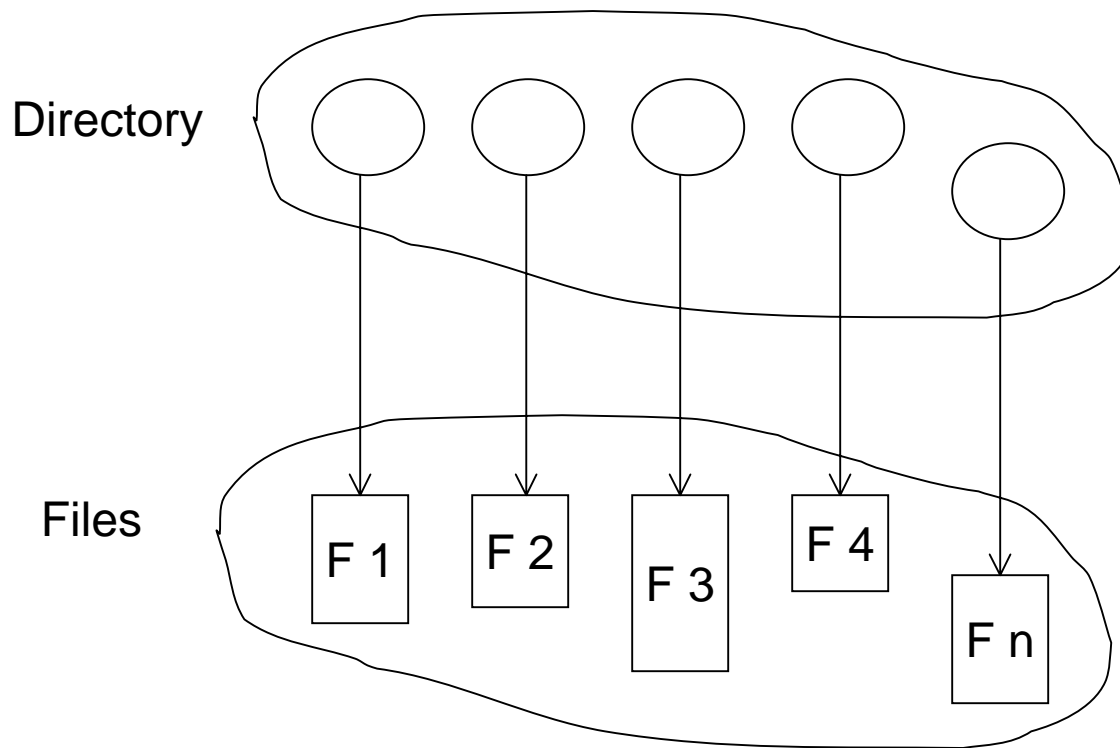
*write next*

*rewrite n*

$n$  = relative block number

# Directory Structure

- A collection of nodes containing information about all files.



- Both the directory structure and the files reside on disk.
- Backups of these two structures are kept on tapes.



# Information in a Device Directory

- Name
- Type
- Address
- Current length
- Maximum length
- Date last accessed (for archival)
- Date last updated (for dump)
- Owner ID (who pays)
- Protection information (discuss later)

# Operations Performed on Directory

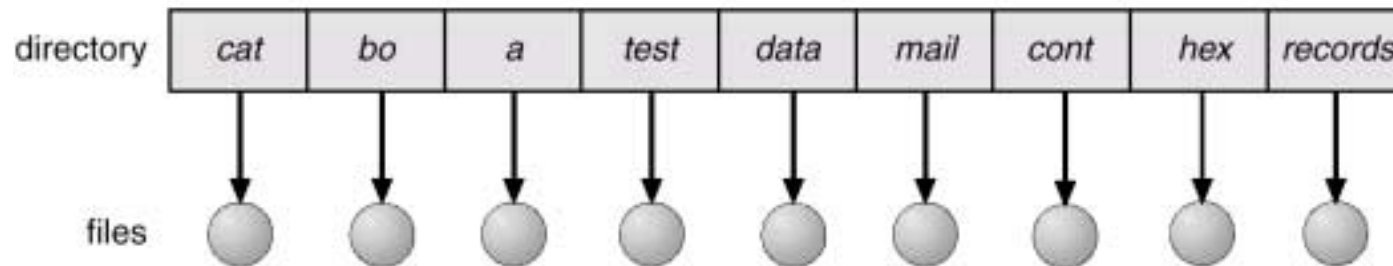
- Search for a file
- Create a file
- Delete a file
- List a directory
- Rename a file
- Traverse the file system

# Organize the Directory (Logically) to Obtain

- Efficiency – locating a file quickly.
- Naming – convenient to users.
  - Two users can have same name for different files.
  - The same file can have several different names.
- Grouping – logical grouping of files by properties, (e.g., all Pascal programs, all games, ...)

# Single-Level Directory

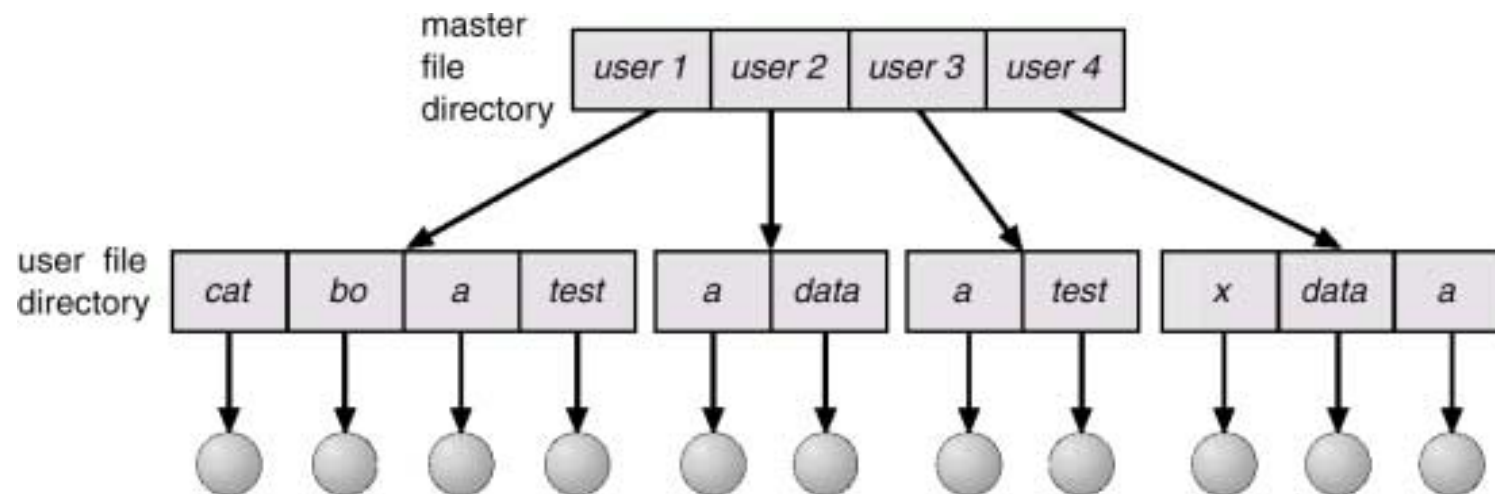
- A single directory for all users.



- Naming problem
- Grouping problem

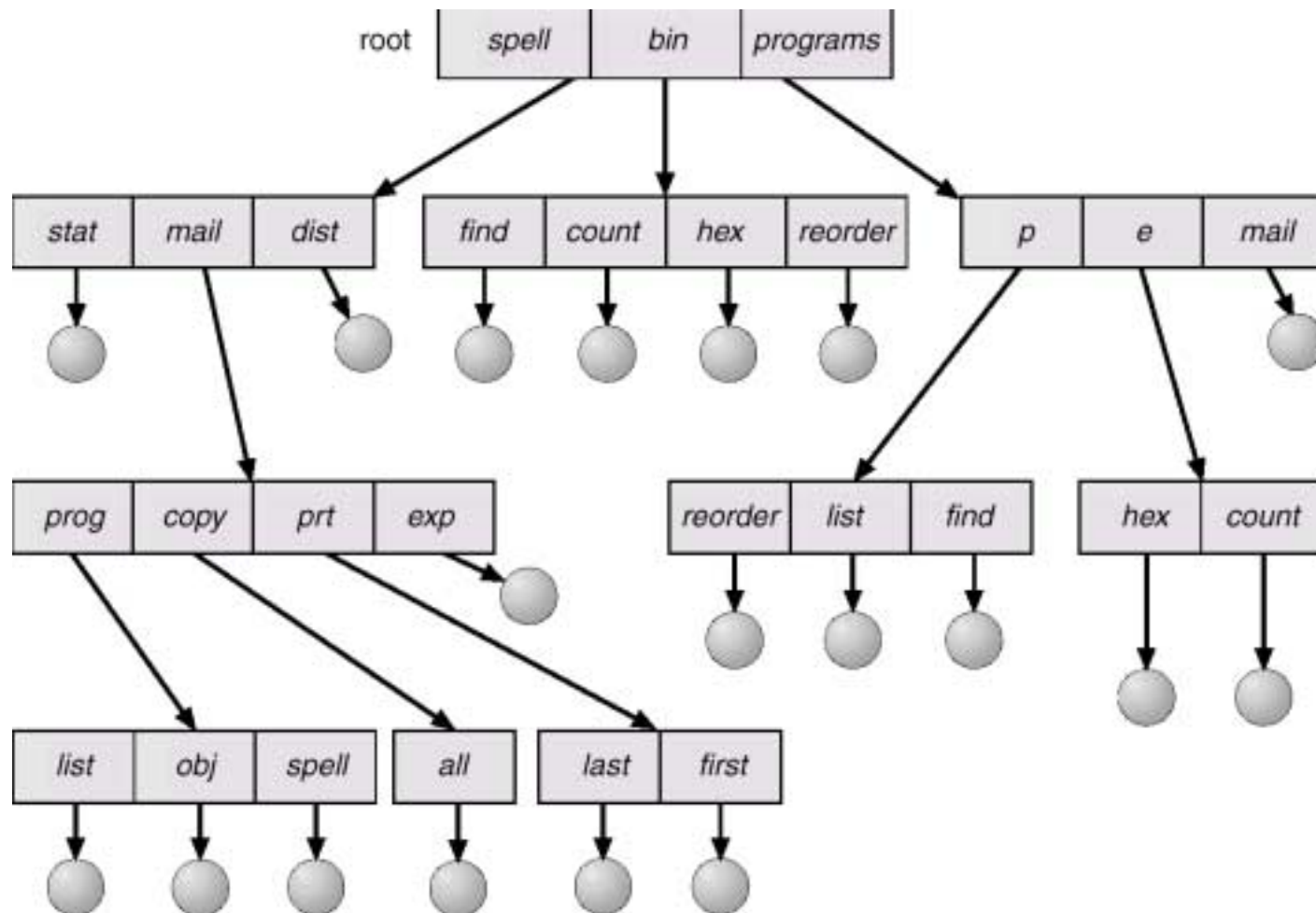
# Two-Level Directory

- Separate directory for each user.



- Path name
- Can have the same file name for different user
- Efficient searching
- No grouping capability

# Tree-Structured Directories



## Tree-Structured Directories (Cont.)

- Efficient searching
- Grouping Capability
- Current directory (working directory)
  - **cd** /spell/mail/prog
  - **type** list

## Tree-Structured Directories (Cont.)

- Absolute or relative path name
- Creating a new file is done in current directory.
- Delete a file

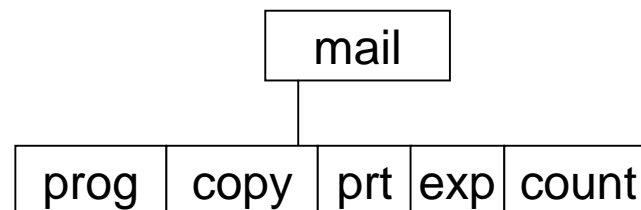
**rm** <file-name>

- Creating a new subdirectory is done in current directory.

**mkdir** <dir-name>

Example: if in current directory /spell/mail

**mkdir** count

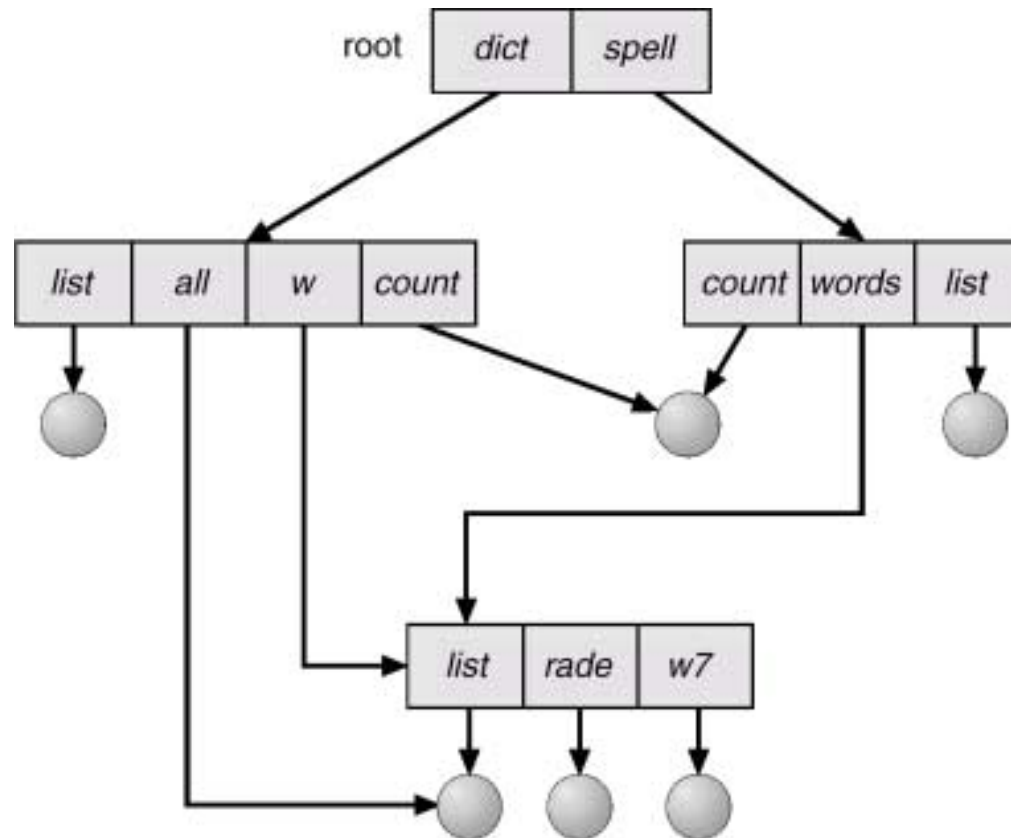


- Deleting “mail” ⇒ deleting the entire subtree rooted by “mail”.



# Acyclic-Graph Directories

- Have shared subdirectories and files.



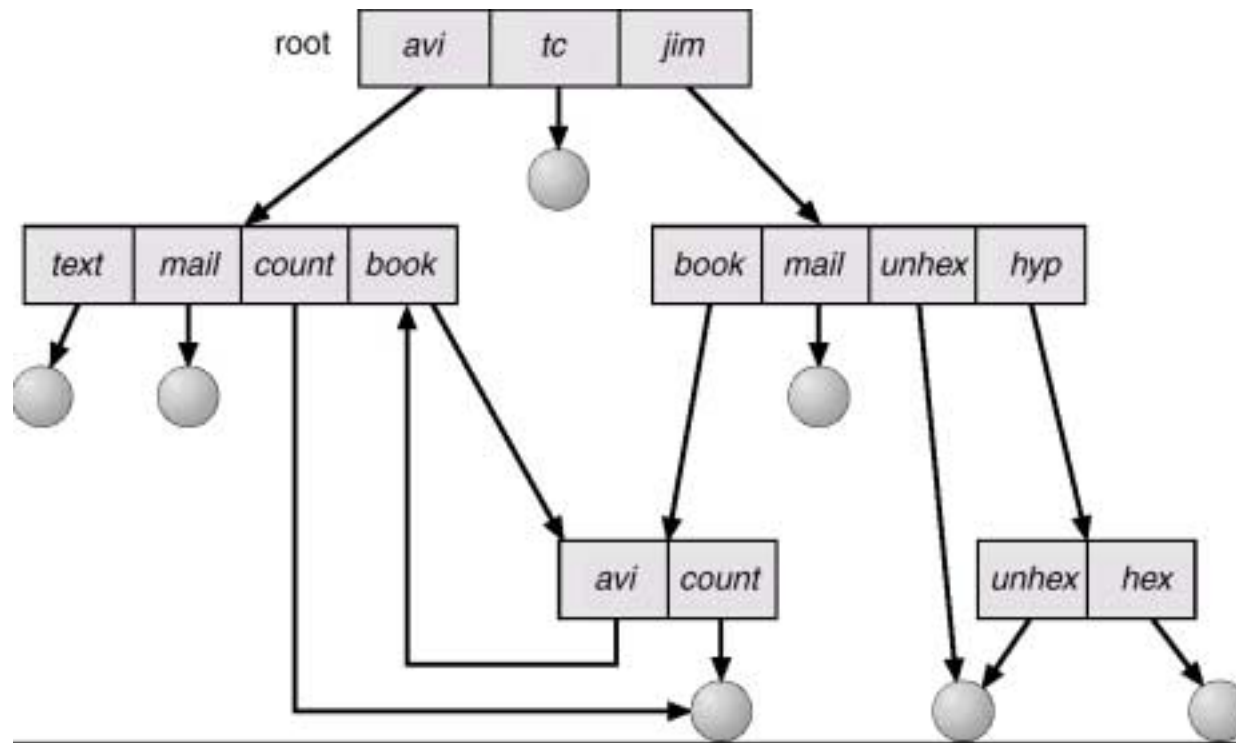
## Acyclic-Graph Directories (Cont.)

- Two different names (aliasing)
- If *dict* deletes *list*  $\Rightarrow$  dangling pointer.

Solutions:

- Backpointers, so we can delete all pointers.  
Variable size records a problem.
- Backpointers using a daisy chain organization.
- Entry-hold-count solution.

# General Graph Directory



## General Graph Directory (Cont.)

- How do we guarantee no cycles?
  - Allow only links to file not subdirectories.
  - Garbage collection.
  - Every time a new link is added use a cycle detection algorithm to determine whether it is OK.

# Protection

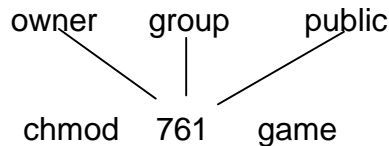
- File owner/creator should be able to control:
  - what can be done
  - by whom
- Types of access
  - Read
  - Write
  - Execute
  - Append
  - Delete
  - List

# Access Lists and Groups

- Mode of access: read, write, execute
- Three classes of users

			RWX
a) owner access	7	⇒	1 1 1
			RWX
b) groups access	6	⇒	1 1 0
			RWX
c) public access	1	⇒	0 0 1

- Ask manager to create a group (unique name), say *G*, and add some users to the group.
- For a particular file (say *game*) or subdirectory, define an appropriate access.



- Attach a group to a file

**chgrp**    *G*    *game*

- File-System Structure
- Allocation Methods
- Free-Space Management
- Directory Implementation
- Efficiency and Performance
- Recovery

# File-System Structure

- File structure
  - Logical storage unit
  - Collection of related information
- File system resides on secondary storage (disks).
- File system organized into layers.
- *File control block* – storage structure consisting of information about a file.



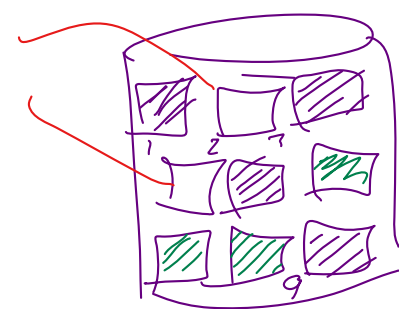
# Contiguous Allocation

- Each file occupies a set of contiguous blocks on the disk.
- Simple – only starting location (block #) and length (number of blocks) are required.
- Random access.
- Wasteful of space (dynamic storage-allocation problem).
- Files cannot grow.
- Mapping from logical to physical.

- Block to be accessed = ! + starting address
- Displacement into block = R

LA/512  $\begin{matrix} \nearrow Q \\ \searrow R \end{matrix}$

*Wasteful*



*Supports direct access*

# Linked Allocation

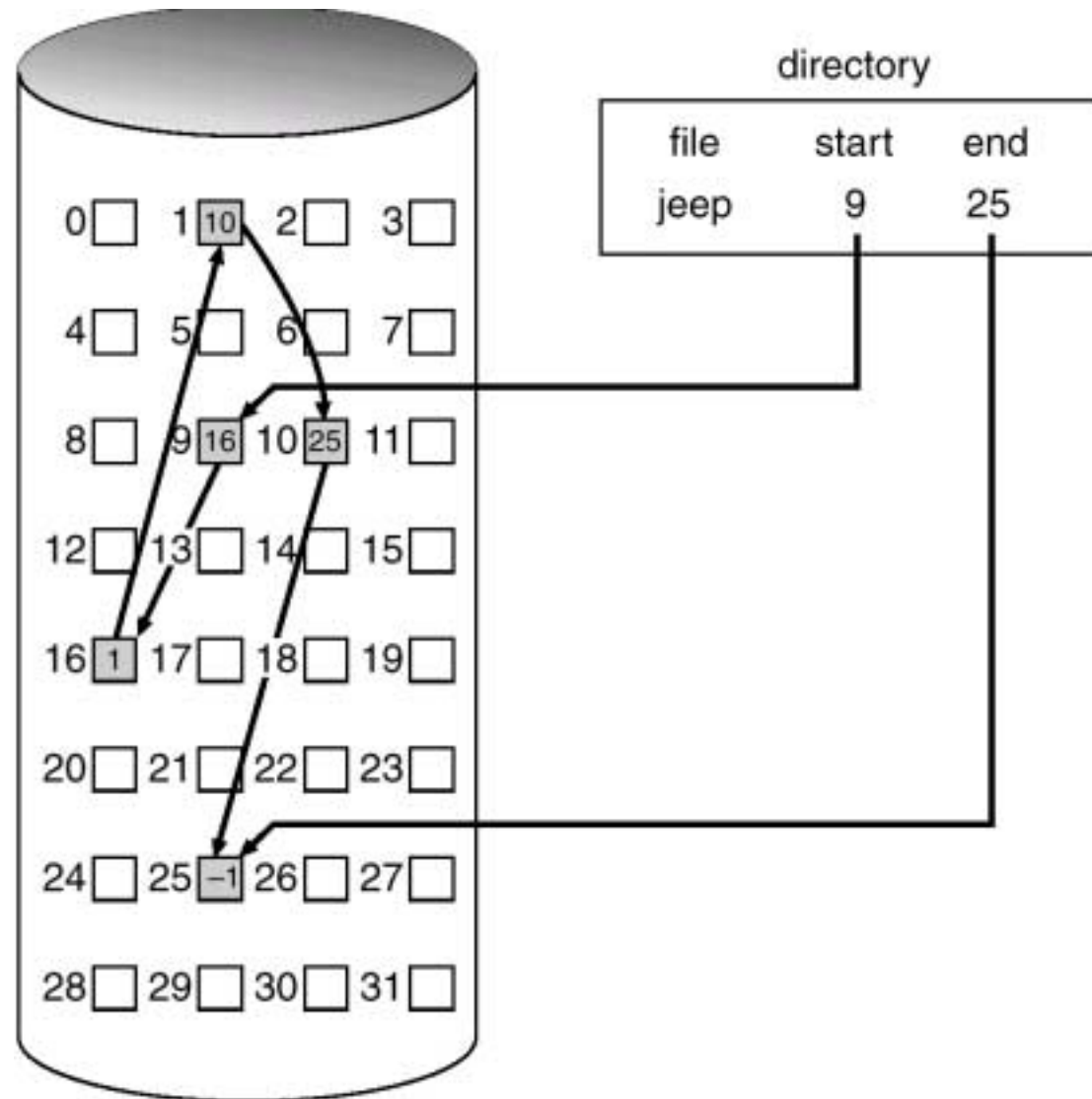
- Each file is a linked list of disk blocks: blocks may be scattered anywhere on the disk.

block

=

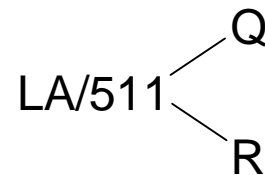
pointer

- Allocate as needed, link together; e.g., file starts at block 9



## Linked Allocation (Cont.)

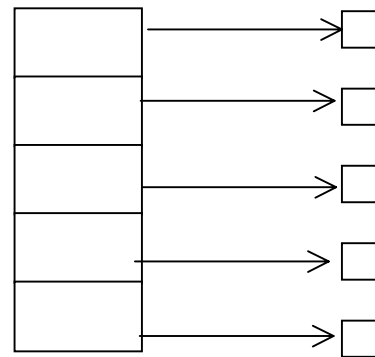
- Simple – need only starting address
- Free-space management system – no waste of space
- No random access
- Mapping



- Block to be accessed is the Qth block in the linked chain of blocks representing the file.
  - Displacement into block =  $R + 1$
- *File-allocation table (FAT)* – disk-space allocation used by MS-DOS and OS/2.

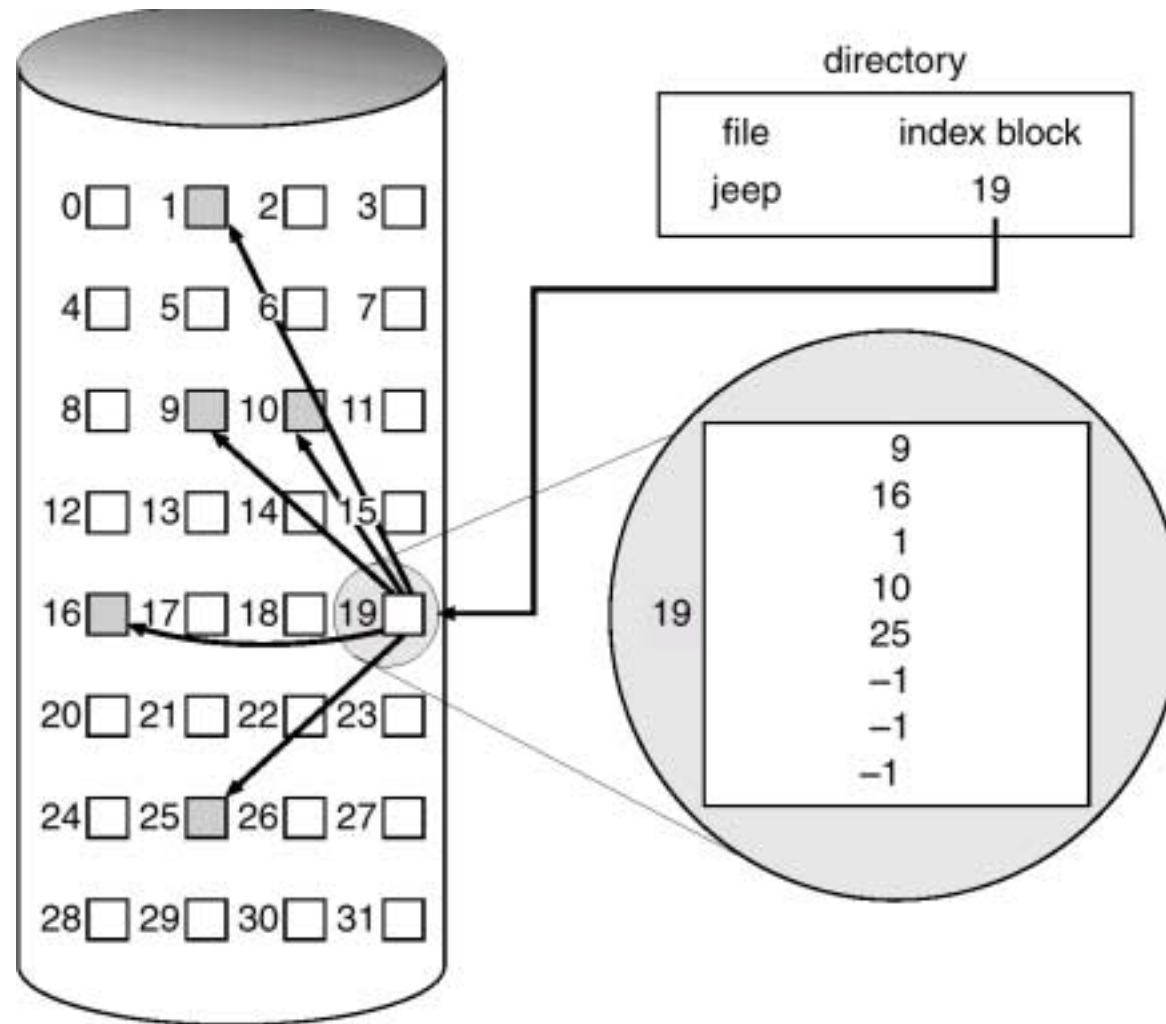
# Indexed Allocation

- Brings all pointers together into the *index block*.
- Logical view.



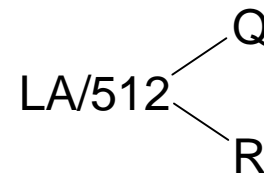
index table

# Example of Indexed Allocation



## Indexed Allocation (Cont.)

- Need index table
- Random access
- Dynamic access without external fragmentation, but have overhead of index block.
- Mapping from logical to physical in a file of maximum size of 256K words and block size of 512 words. We need only 1 block for index table.



- $Q$  = displacement into index table
- $R$  = displacement into block

## Indexed Allocation – Mapping (Cont.)

- Mapping from logical to physical in a file of unbounded length (block size of 512 words).
- Linked scheme – Link blocks of index table (no limit on size).

$$LA / (512 \times 511) \begin{cases} Q_1 \\ R_1 \end{cases}$$

- $Q_1$  = block of index table
- $R_1$  is used as follows:

$$R_1 / 512 \begin{cases} Q_2 \\ R_2 \end{cases}$$

- $Q_2$  = displacement into block of index table
- $R_2$  displacement into block of file:



## Indexed Allocation – Mapping (Cont.)

- Two-level index (maximum file size is  $512^3$ )

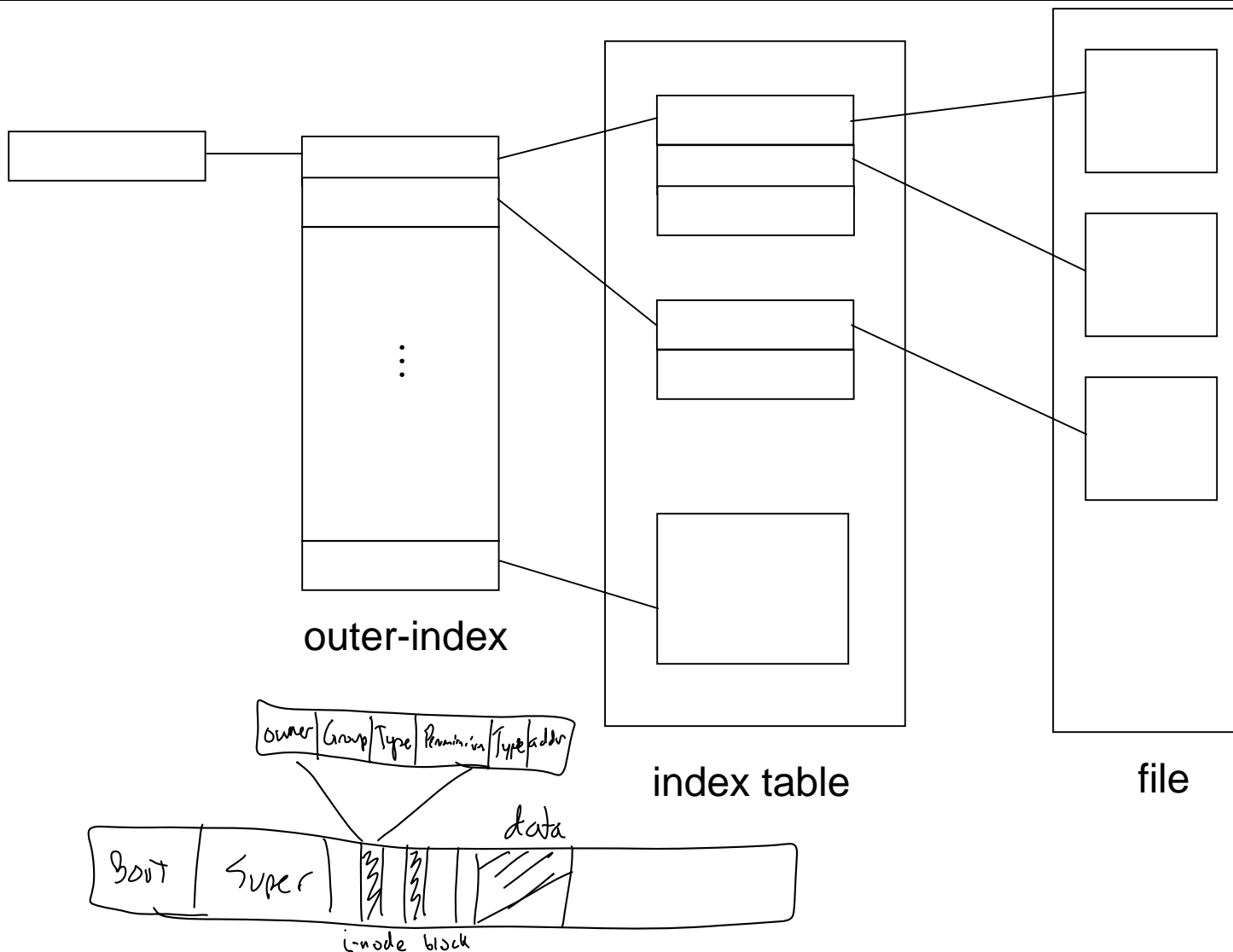
$$LA / (512 \times 512) \begin{cases} Q_1 \\ R_1 \end{cases}$$

- $Q_1$  = displacement into outer-index
- $R_1$  is used as follows:

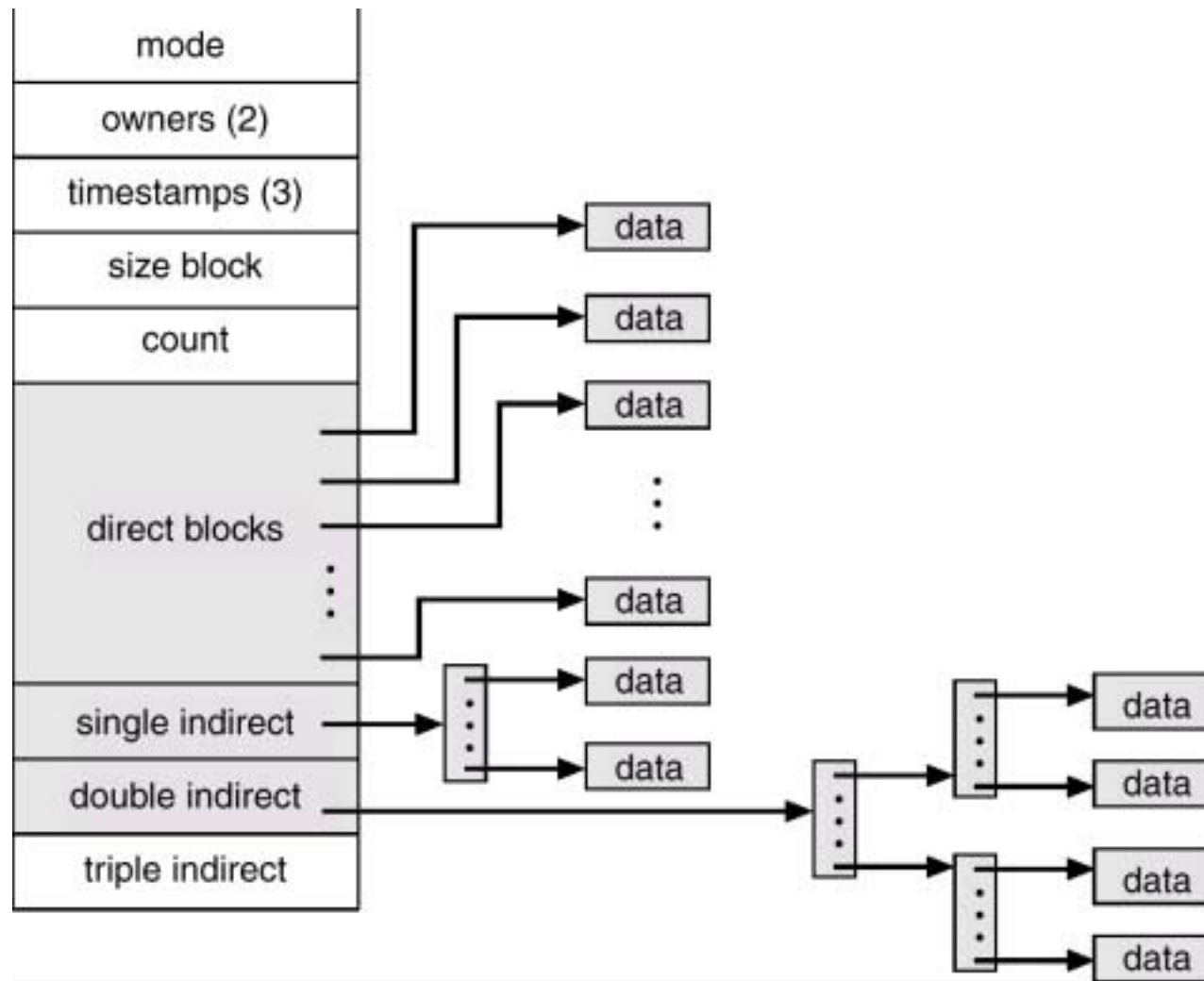
$$R_1 / 512 \begin{cases} Q_2 \\ R_2 \end{cases}$$

- $Q_2$  = displacement into block of index table
- $R_2$  displacement into block of file:

# Indexed Allocation – Mapping (Cont.)

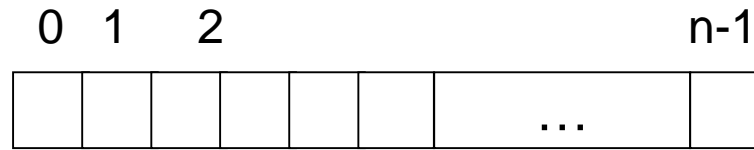


# Combined Scheme: UNIX (4K bytes per block)



# Free-Space Management

- Bit vector ( $n$  blocks)



$$\text{bit}[i] = \begin{cases} 0 \Rightarrow \text{block}[i] \text{ free} \\ 1 \Rightarrow \text{block}[i] \text{ occupied} \end{cases}$$

- Block number calculation

(number of bits per word) \*  
(number of 0-value words) +  
offset of first 1 bit

## Free-Space Management (Cont.)

- Bit map requires extra space. Example:

block size =  $2^{12}$  bytes

disk size =  $2^{30}$  bytes (1 gigabyte)

$n = 2^{30}/2^{12} = 2^{18}$  bits (or 32K bytes)

- Easy to get contiguous files
- Linked list (free list)
  - Cannot get contiguous space easily
  - No waste of space
- Grouping
- Counting

## Free-Space Management (Cont.)

- Need to protect:
  - Pointer to free list
  - Bit map
    - \* Must be kept on disk
    - \* Copy in memory and disk may differ.
    - \* Cannot allow for block[*i*] to have a situation where bit[*i*] = 1 in memory and bit[*i*] = 0 on disk.
  - Solution:
    - \* Set bit[*i*] = 1 in disk.
    - \* Allocate block[*i*]
    - \* Set bit[*i*] = 1 in memory

# Directory Implementation

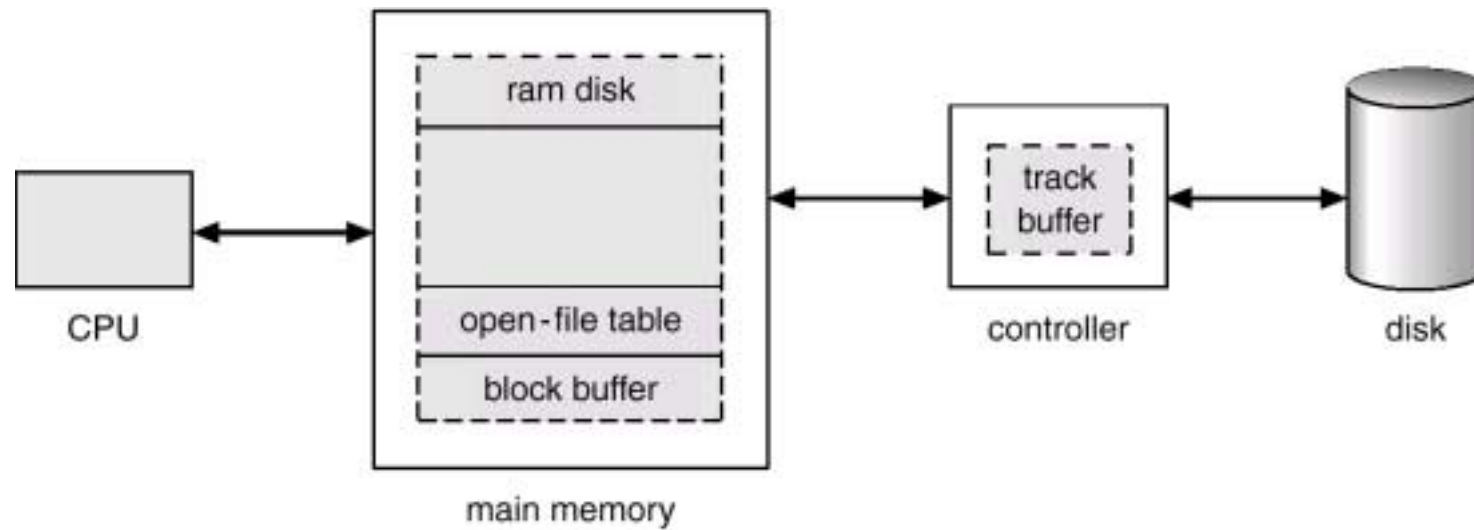
- Linear list of file names with pointer to the data blocks.
  - simple to program
  - time-consuming to execute
- Hash Table – linear list with hash data structure.
  - decreases directory search time
  - *collisions* – situations where two file names hash to the same location
  - fixed size

# Efficiency and Performance

- Efficiency dependent on:
  - disk allocation and directory algorithms
  - types of data kept in file's directory entry
- Performance
  - disk cache – separate section of main memory for frequently used blocks
  - free-behind and read-ahead – techniques to optimize sequential access
  - improve PC performance by dedicating section of memory as virtual disk, or RAM disk.



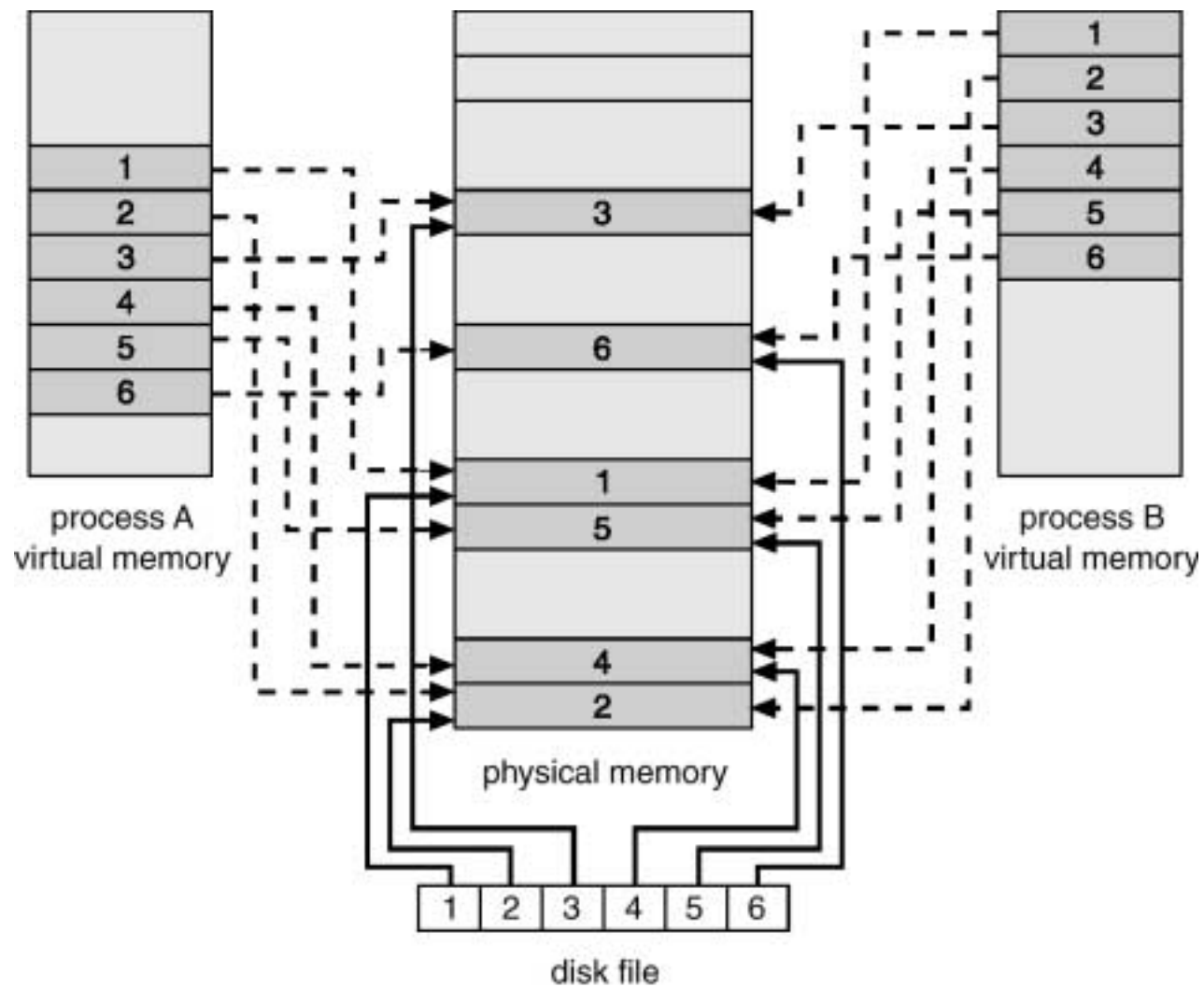
# Various Disk-Caching Locations



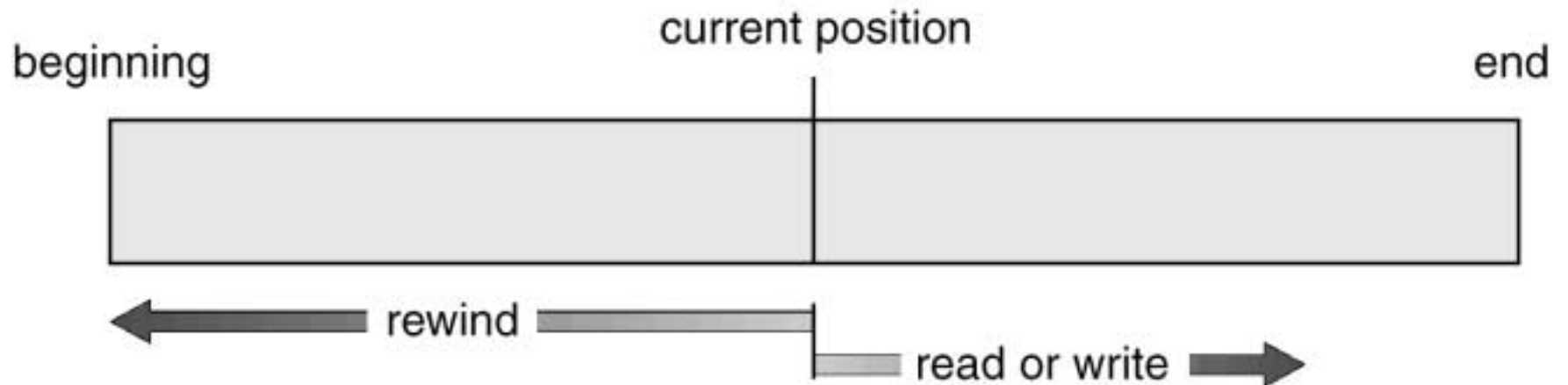
# Recovery

- Consistency checker – compares data in directory structure with data blocks on disk, and tries to fix inconsistencies.
- Use system programs to *back up* data from disk to another storage device (floppy disk, magnetic tape).
- Recover lost file or disk by *restoring* data from backup.

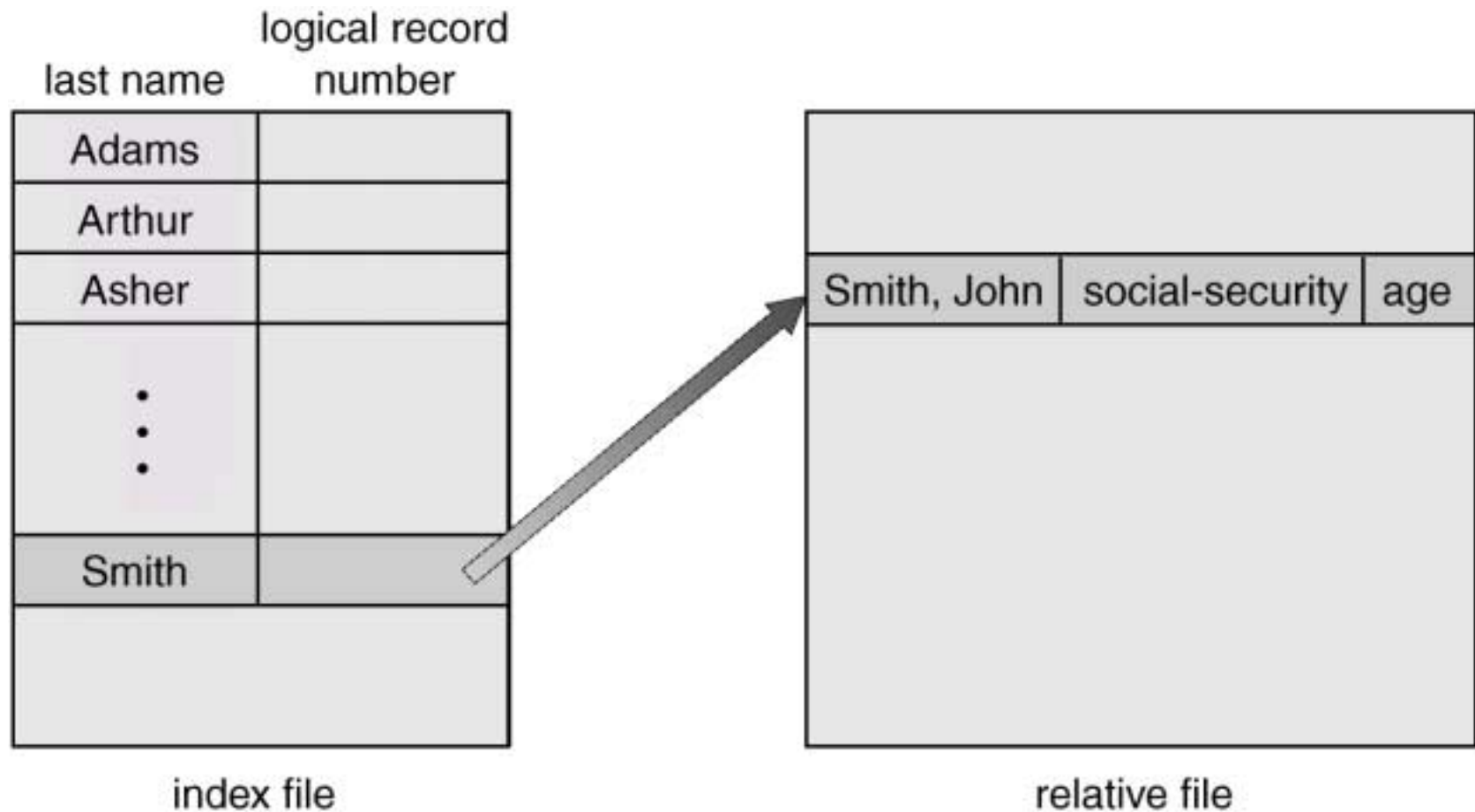
# Memory-mapped Files



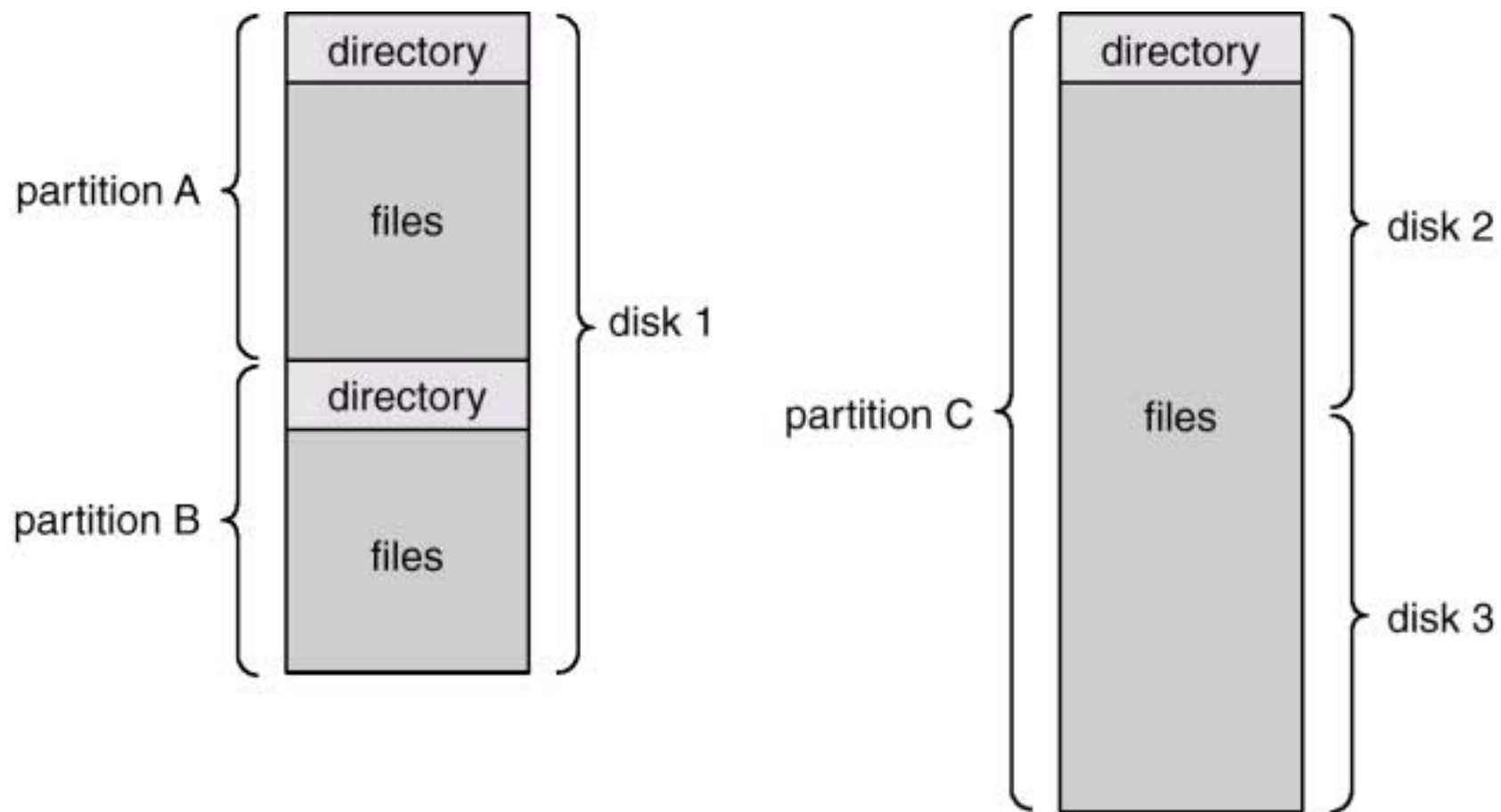
# Sequential-access File



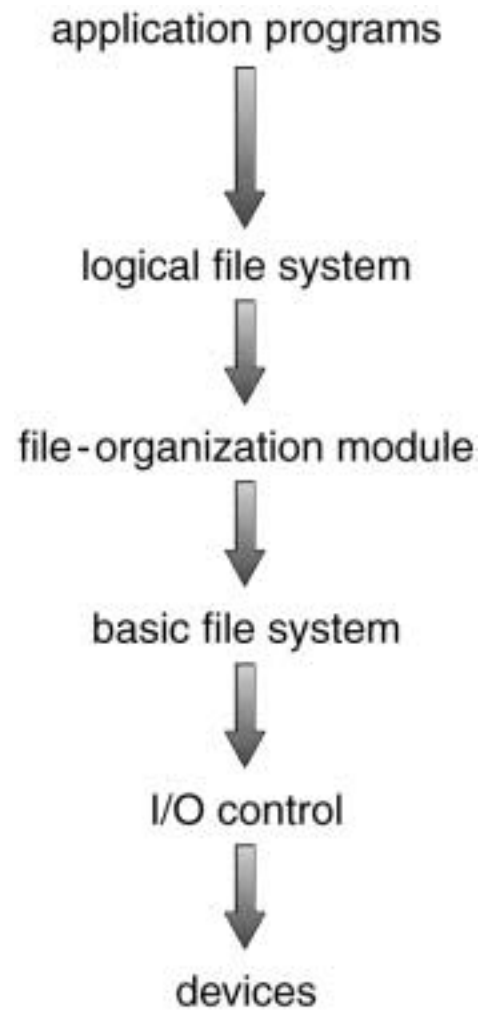
## Example of Index and Relative Files



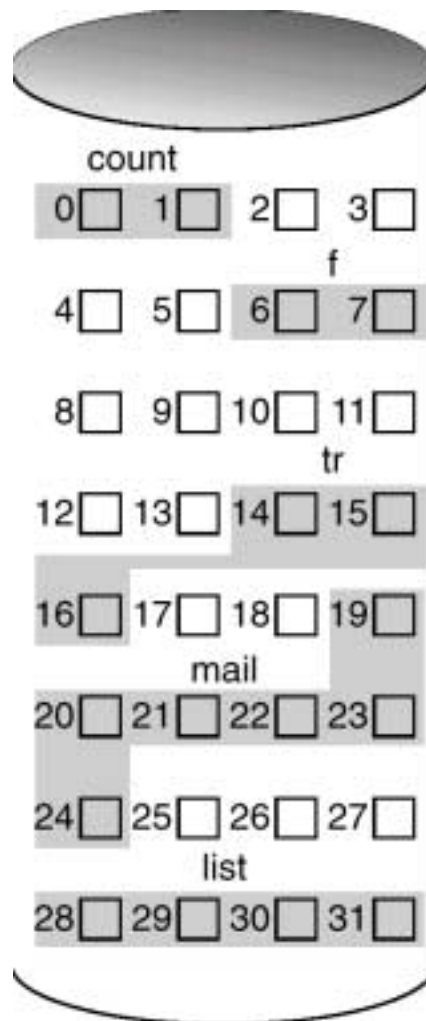
# Typical File-System Organization



# Layered File System



# Contiguous Allocation of Disk Space

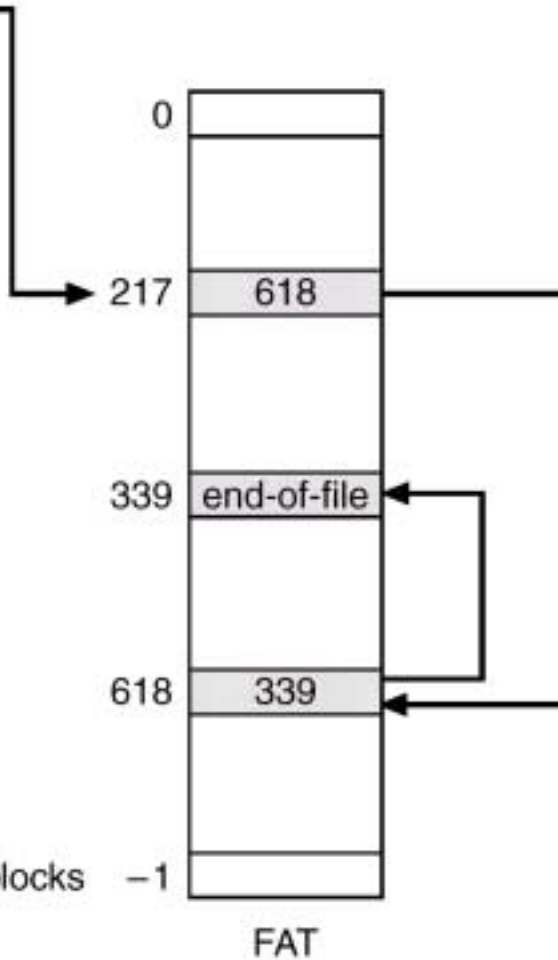
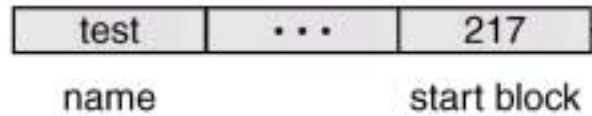


directory		
file	start	length
count	0	2
tr	14	3
mail	19	6
list	28	4
f	6	2



# File-Allocation Table

directory entry



no. of disk blocks

# Linked Free-Space List on Disk

