#### Machine Intelligence:: Deep Learning

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#### Outline of the DL Module (tentative)

The course is split in 8 sessions, each 4 lectures long. Topics might be adapted during the course

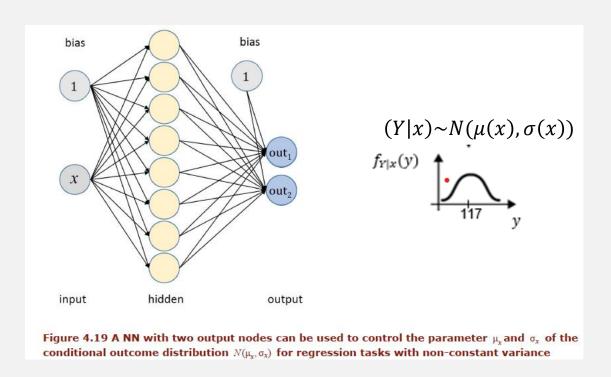
Day	Date	Time	Торіс
1	15.04.2025	09:00-12:30	Introduction to Deep Learning & Keras, first NNs
-	21.04.2025	-	FRÜHLINGS-FERIEN
-	28.04.2025	-	FRÜHLINGS-FERIEN
2	06.05.2025	09:00-12:30	Loss, Optimization, Regression, Classification
3	13.05.2025	09:00-12:30	Computer vision, CNN-archictecture
4	20.05.2025	09:00-12:30	DL in practice, pretrained (foundation) models
5	27.05.2025	09:00-12:30	Model evaluation, baselines, xAI, troubleshooting
6	03.06.2025	09:00-12:30	Generative Models, Transformer-architecture
7	10.06.2025	09:00-12:30	Vision Transformer
8	17.06.2025	09:00-12:30	Projects, deep Ensembling

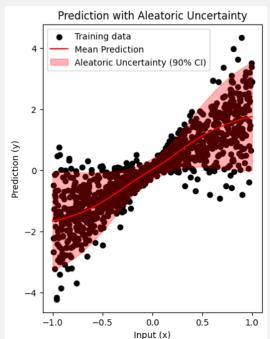
### Look back on homework: define and use a custom loss function



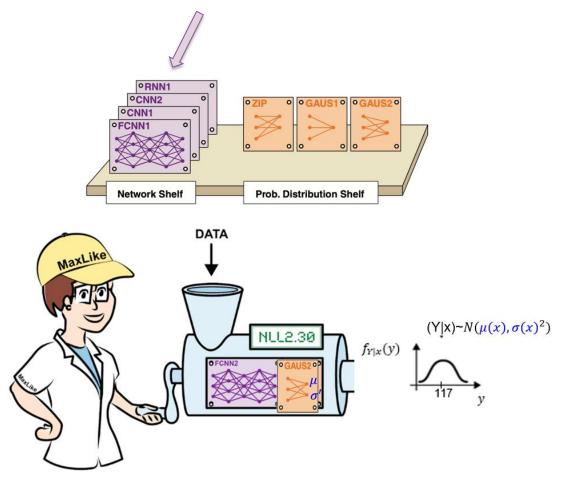
Notebook: <u>02 custom loss.ipynb</u>

https://github.com/tensorchiefs/dl\_course\_2025/blob/main/notebooks/02\_custom\_loss.ipynb

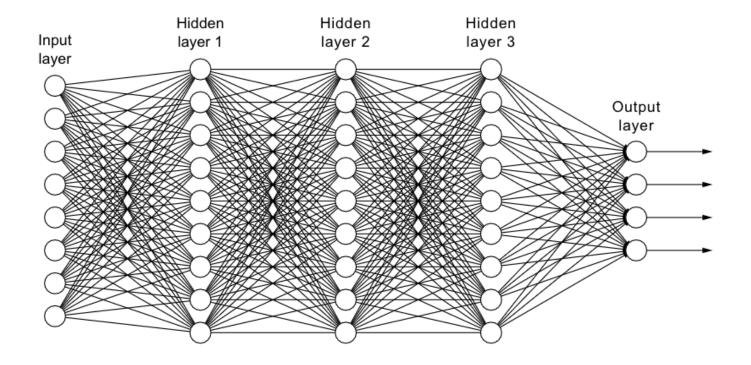




#### NN architectures

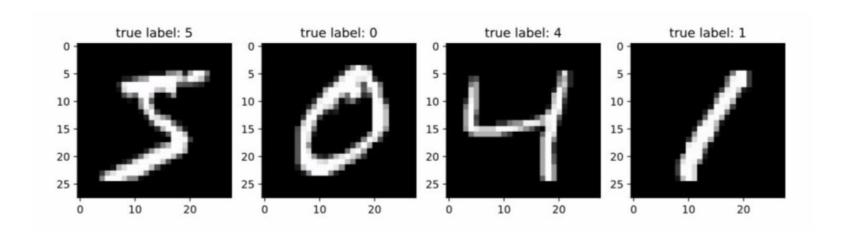


#### A fully connected NN

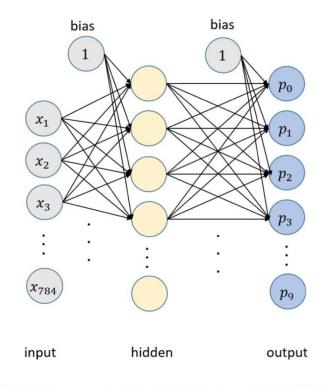


#### Probabilistic classification

- Usually in DL the model predicts a probability for each possible class
- Example:
  - Banknote from exercise classes: "real" or "fake"
  - Typical example Number from hand-written digit classes: 0, 1, ..., 9

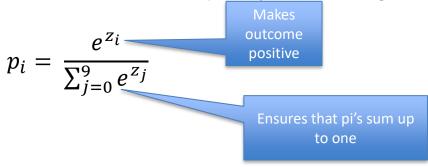


#### Classification: Softmax Activation



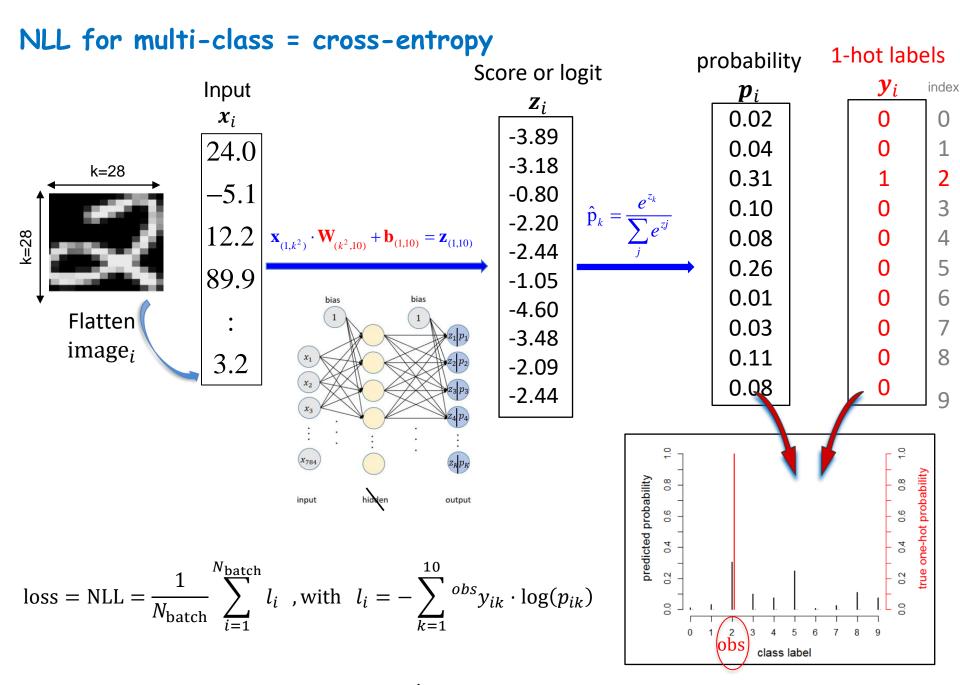
 $p_0, p_1 \dots p_9$  are probabilities for the classes 0 to 9.

Activation of last layer  $z_i$  incomming



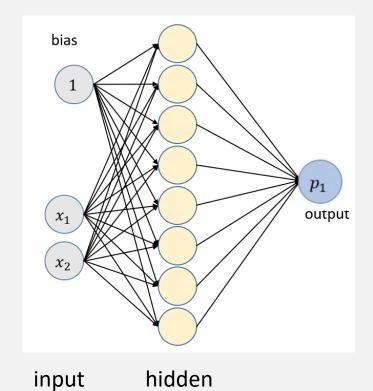
This activation is called softmax

Figure 2.12: A fully connected NN with 2 hidden layers. For the MNIST example, the input layer has 784 values for the 28 x 28 pixels and the output layer out of 10 nodes for the 10 classes.

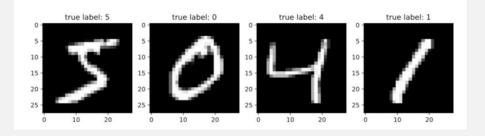


Loss = NLL=  $\frac{\text{cross-entropy}}{(-\sum p_i^{\text{obs}} \log p_i^{\text{pred}})}$  averaged over all images in mini-batch

#### Exercise:



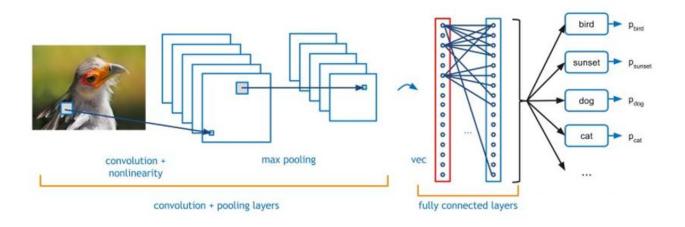




Use a fully connected NN to classify MNIST data to 10 possible classes

Os fcnn mnist keras torch.ipynb

# Convolutional Neural Networks for image data



#### The first DL breackthrough: Imagenet challenge

1000 classes1 Mio samples

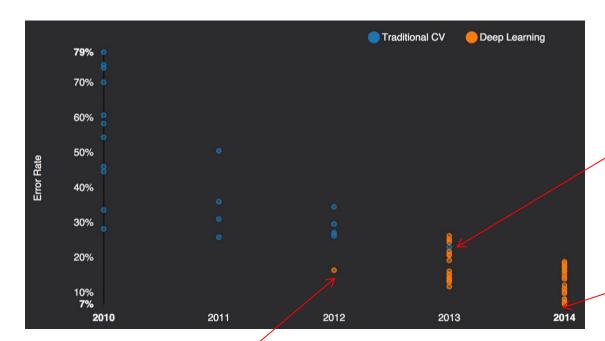








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Human: 5% misclassification

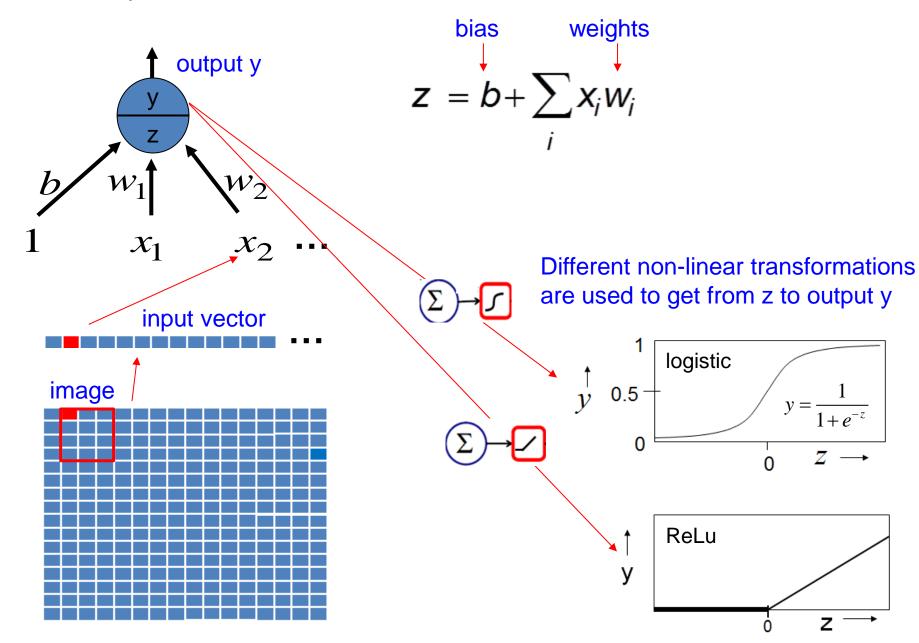
Only one non-CNN approach in 2013

GoogLeNet 6.7%

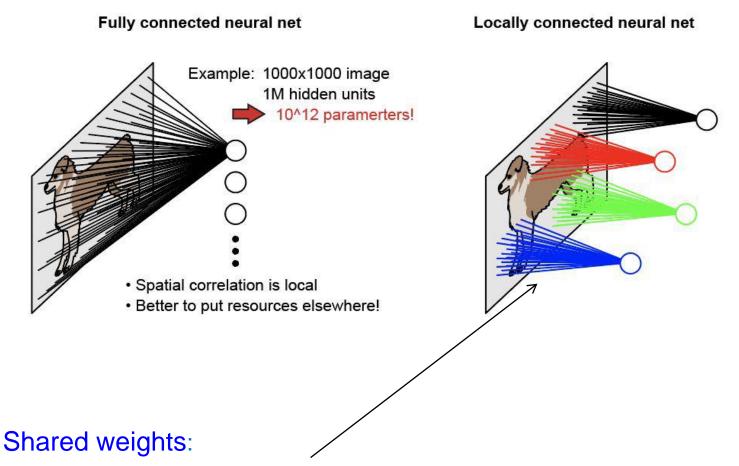
A. Krizhevsky first CNN in 2012

Und es hat zoom gemacht

#### An artificial neuron

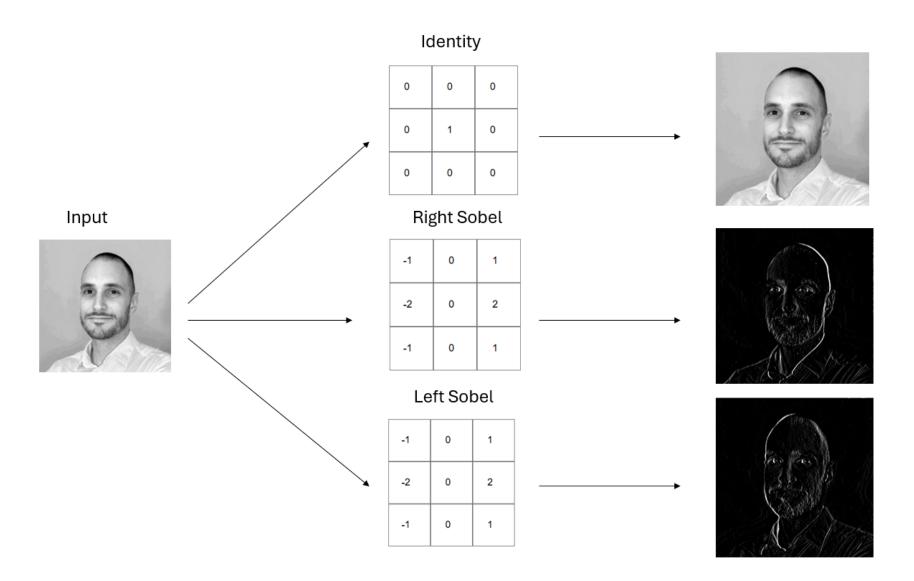


#### Convolution extracts local information using few weights



by using the same weights for each patch of the image we need much less parameters than in the fully connected NN and get from each patch the same kind of local feature information such as the presence of an edge.

#### Example of designed Kernel / Filter



#### Convolution

1	1	1	0	0
0	1	1	1	0
0	0	1	1	1
0	0	1	1	0
0	1	1	0	0

1	0	1
0	1	0
1	0	1

Convolution (let's ignore bias b):

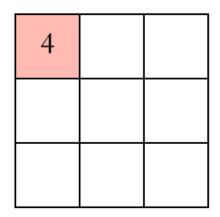
$$z = b + \sum_{i} x_{i} w_{i}$$

Input X

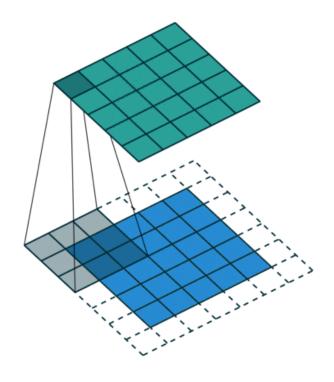
Kernel W

Result Z

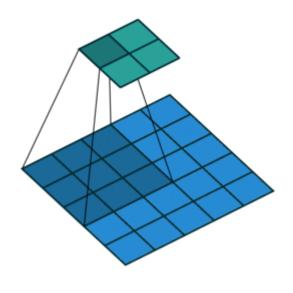
1x1	1x0	1x1	0	0
0x0	1x1	1x0	1	0
0 <b>x</b> 1	0x0	1x1	1	1
0	0	1	1	0
0	1	1	0	0



#### CNN Ingredient I: Convolution



Zero-padding to achieve same size of feature and input



no padding to only use valid input information

The same weights are used at each position of the input image.

#### Exercise: Do one convolution step by hand

The kernel is 3x3 and is applied at each valid positon

– how large is the resulting activation map?

The small numbers in the shaded region are the kernel weights. Determine the position and the value within the resulting activation map.

3	3	2	1	0
00	0,	12	3	1
32	$1_{2}$	$2_0$	2	3
$2_0$	0,	02	2	2
2	0	0	0	1



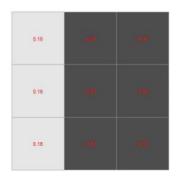
# Convolutional networks use neighborhood information and replicated local feature extraction

In a locally connected network the calculation rule

$$z = b + \sum_{i} x_{i} w_{i}$$

Pixel values in a small image patch are element-wise multilied with weights of a small filter/kernel:

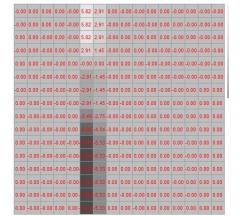
W <sub>1</sub>	$W_2$	$W_3$
W <sub>4</sub>	W <sub>5</sub>	$W_6$
W <sub>7</sub>	W <sub>8</sub>	<b>W</b> <sub>9</sub>

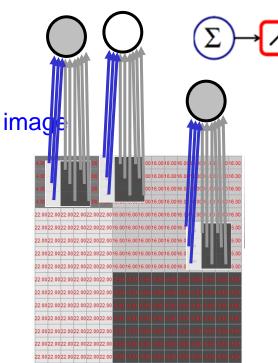


The filter is applied at each position of the image and it can be shown that the result is maximal if the image pattern corresponds to the weight pattern.

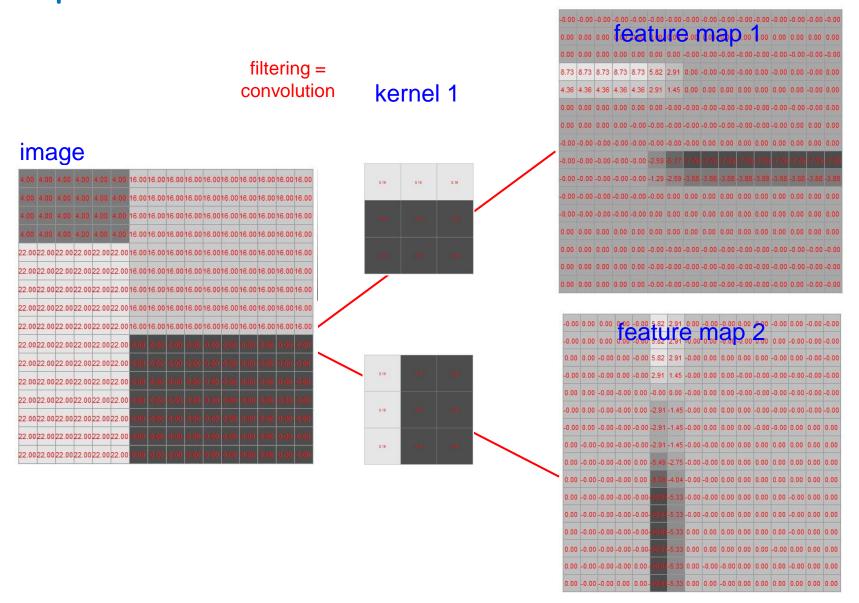
The results form again an image called feature map (=activation map) which shows at which position the feature is present.

#### feature/activation map



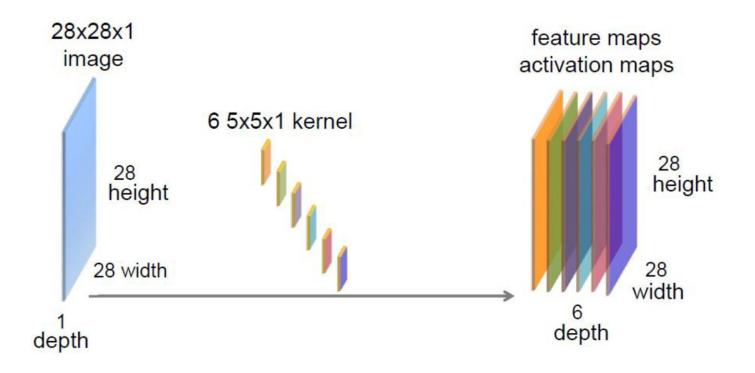


# Convolutional networks use neighborhood information and replicated local feature extraction



The weights of each filter are randomly initiated and then adapted during the training.

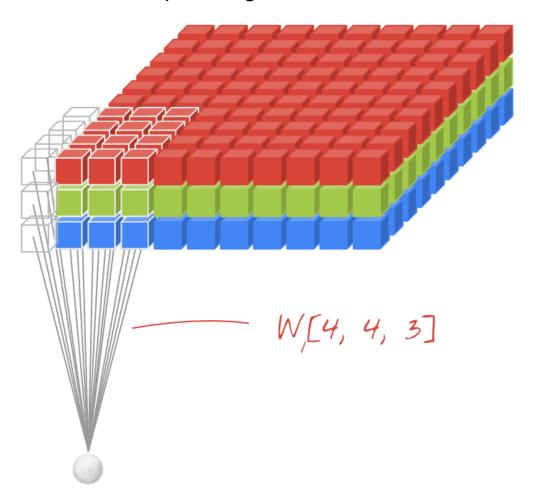
#### Convolution layer with a 1-chanel input and 6 kernels



Convolution of the input image with 6 different kernels results in 6 activation maps. If the input image has only one channel, then each kernel has also only one channel.

#### Animated convolution with 3 input channels

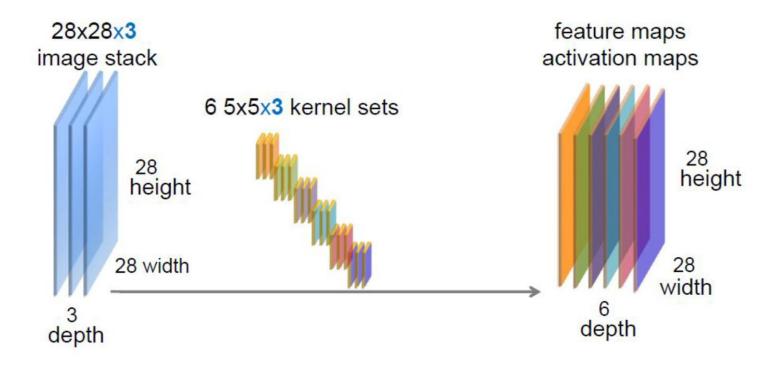
#### 3 color channel input image



$$z = b + \sum_{i} x_{i} W_{i}$$

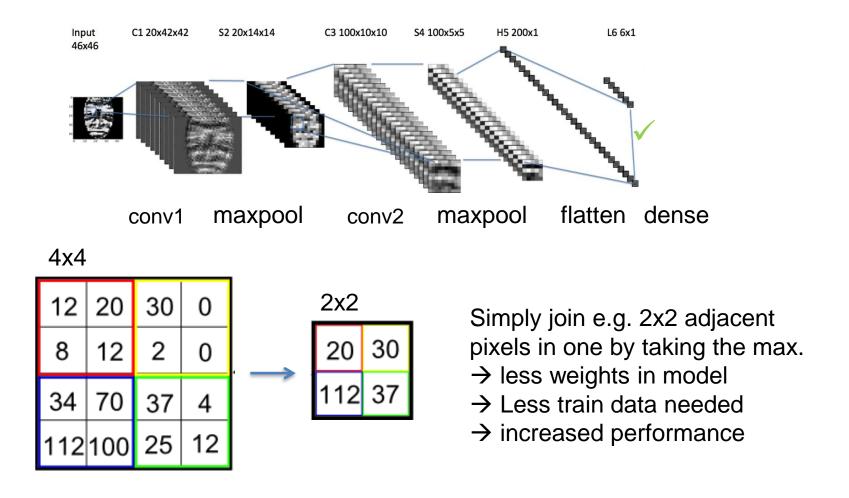
Animation credits: M.Gorner, <a href="https://codelabs.developers.google.com/codelabs/cloud-tensorflow-mnist/#10">https://codelabs.developers.google.com/codelabs/cloud-tensorflow-mnist/#10</a> For an example with number see convolution demo in: <a href="https://cs231n.github.io/convolutional-networks/">https://cs231n.github.io/convolutional-networks/</a>

#### Convolution layer with a 3-chanel input and 6 kernels



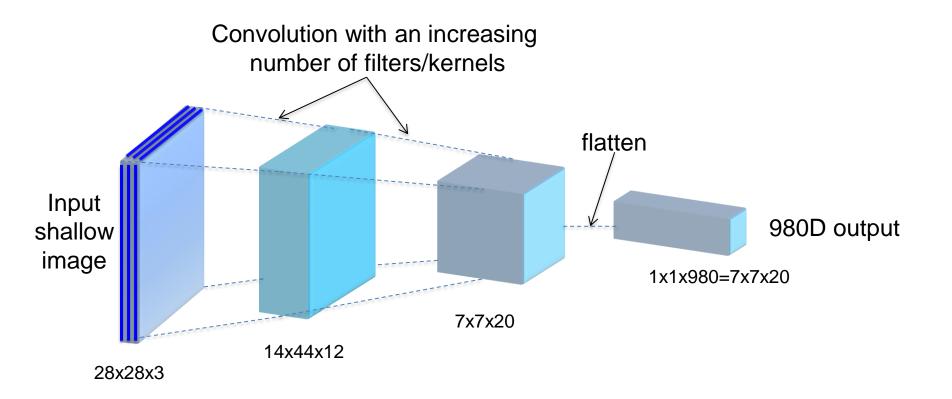
Convolution of the input image with 6 different kernels results in 6 activation maps. If the input image has 3 channels, then each filter has also 3 channels.

#### CNN ingredient II: Maxpooling Building Blocks reduce size



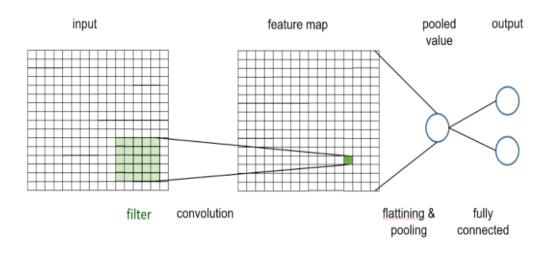
Hinton: "The pooling operation used in convolutional neural networks is a big mistake and the fact that it works so well is a disaster"

#### Typical shape of a classical CNN



Spatial resolution is decreased e.g. via max-pooling while more abstract image features are detected in deeper layers.

#### Building a very simple CNN with keras

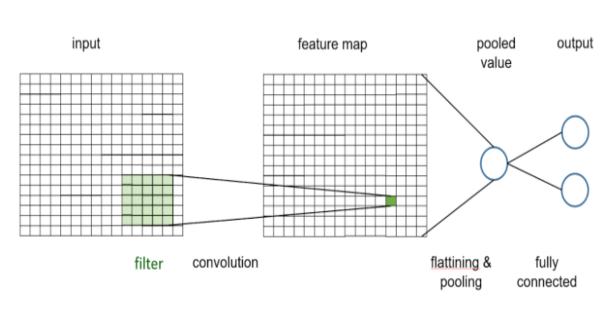


#### Exercise: Artstyle Lover

Train a CNN with only one filter in one conv-layer, that can predict, if the presented image shows vertical or horizontal lines.

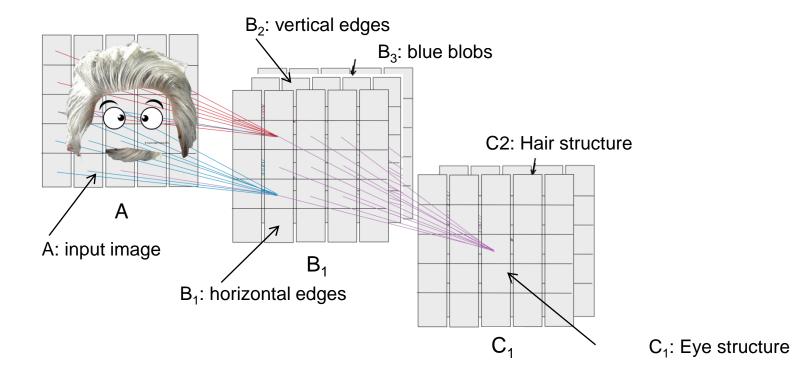




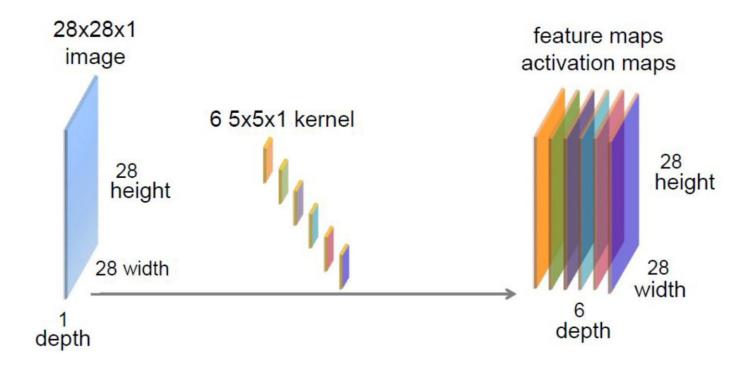


Open NB in: <a href="https://github.com/tensorchiefs/dl\_course\_2025/blob/master/notebooks/05\_cnn\_edge\_lover\_keras\_torch">https://github.com/tensorchiefs/dl\_course\_2025/blob/master/notebooks/05\_cnn\_edge\_lover\_keras\_torch</a>.

#### More then one kernel (Motivatoion)

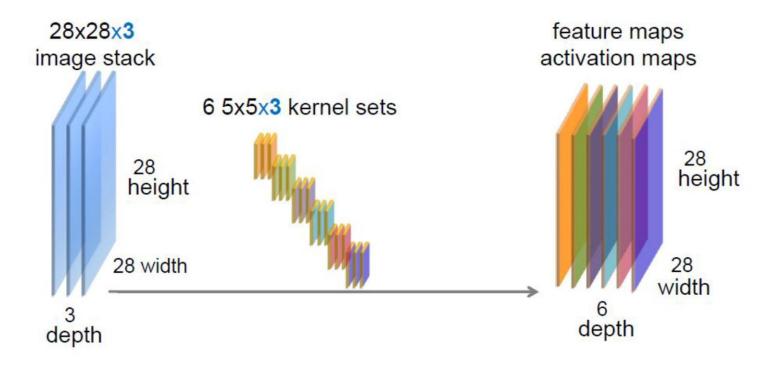


#### Convolution layer with a 1-chanel input and 6 kernels



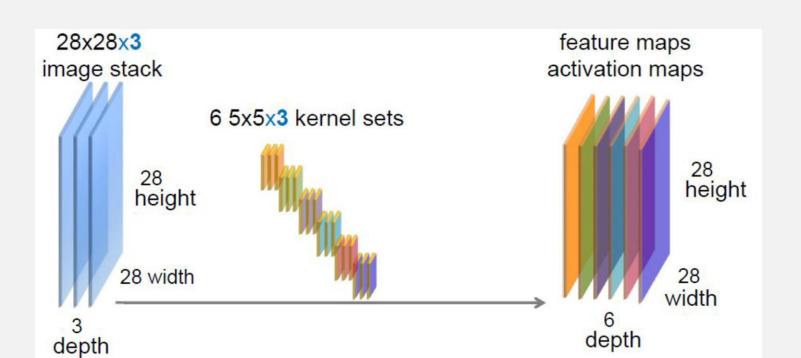
Convolution of the input image with 6 different kernels results in 6 activation maps. If the input image has only one channel, then each kernel has also only one channel.

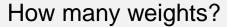
#### Convolution layer with a 3-chanel input and 6 kernels



Convolution of the input image with 6 different kernels results in 6 activation maps. If the input image has 3 channels, then each filter has also 3 channels.

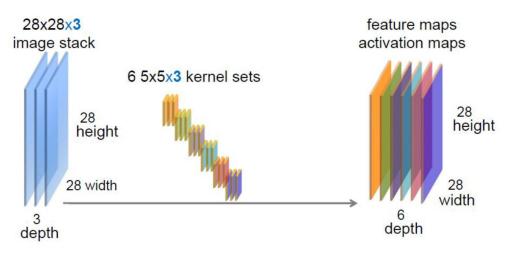
#### Convolution layer with a 3-chanel input and 6 kernels







#### Solution



$$6*5*5*3 + 6 = 456$$

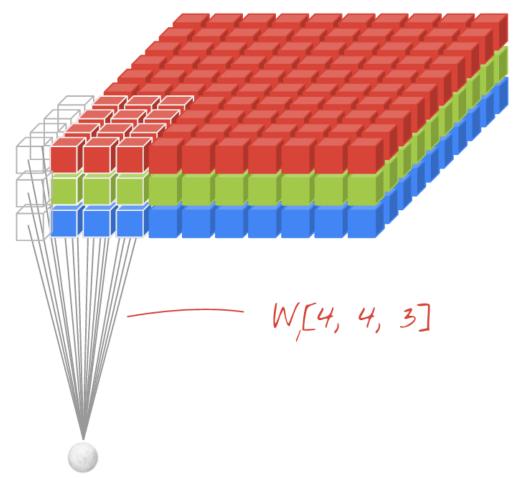
```
# Number of weights in Convolution
model = Sequential()
model.add(Convolution2D(6, kernel_size=(5,5), padding='same', input_shape=(28,28,3)))
model.summary()
```

#### Model: "sequential\_11"

Layer (type)	Output Shape	Param #
conv2d_44 (Conv2D)	(None, 28, 28, 6)	456

Total params: 456 (1.78 KB) Trainable params: 456 (1.78 KB) Non-trainable params: 0 (0.00 B)

#### Animated convolution with 3 input channels

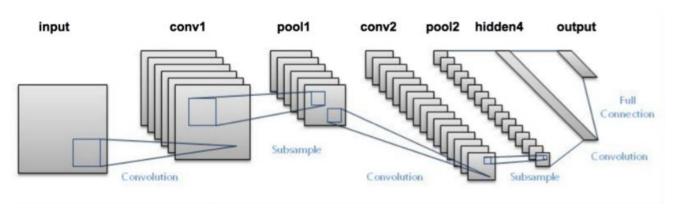


3 color channel input image

The value of neuron j in the k-th featuremap are computed from the weights in the k-th filter  $w_{ki}$  and the input values  $x_{ji}$  at the position j:

$$y_{jF_k} = f(z_{jF_k}) = f(b_k + \sum x_{ji} \cdot w_{ki})$$

#### CNN for MNIST



```
model = Sequential()
model.add(Convolution2D(filters=8, kernel size=(3,3),
                        padding='same',input shape=(28,28,1)))
model.add(Activation('relu'))
model.add(Convolution2D(16, (3, 3),padding='same'))
model.add(Activation('relu'))
model.add(MaxPooling2D(pool size=(2,2)))
model.add(Flatten())
model.add(Dense(40))
model.add(Activation('relu'))
model.add(Dense(10))
model.add(Activation('softmax'))
# compile model and intitialize weights
model.compile(loss='categorical crossentropy',
              optimizer='adam',
              metrics=['accuracy'])
```

# What is a good CNN architecture?

#### CNN breakthrough in 2012: Imagenet challenge

1000 classes1 Mio samples

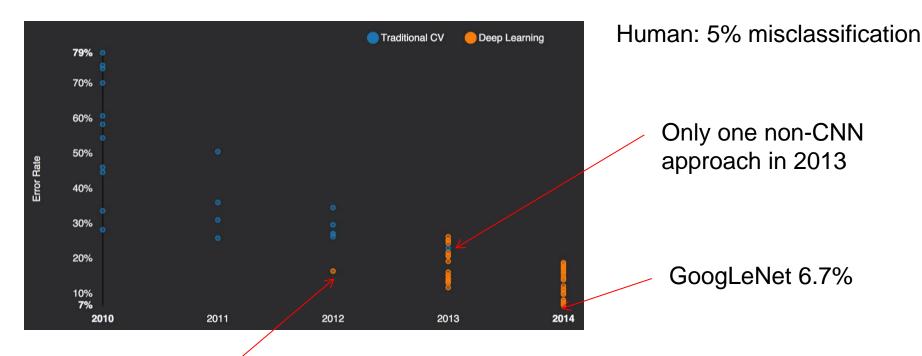








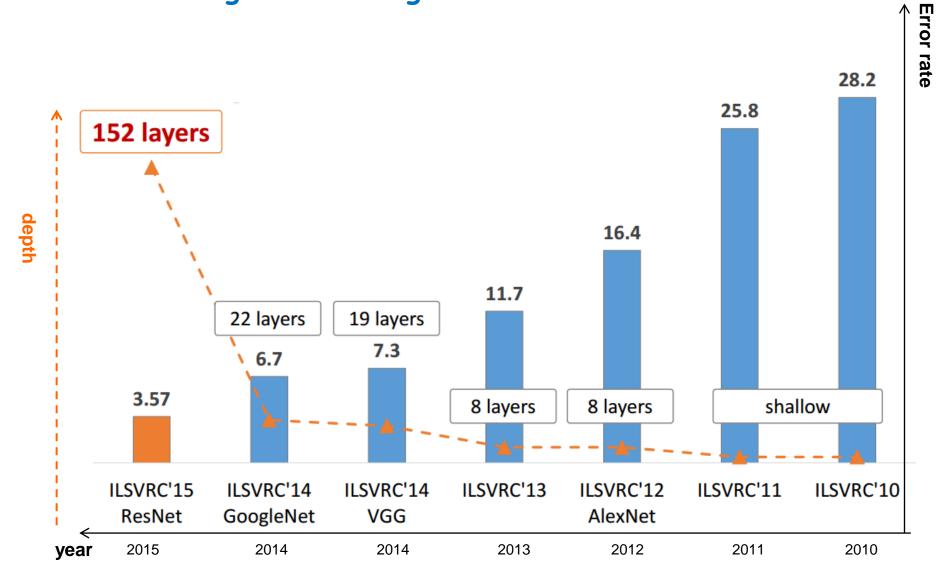
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A. Krizhevsky first CNN in 2012

Und es hat zoom gemacht

#### Review of ImageNet winning CNN architectures



### LeNet-5 1998: first CNN for ZIP code recognition

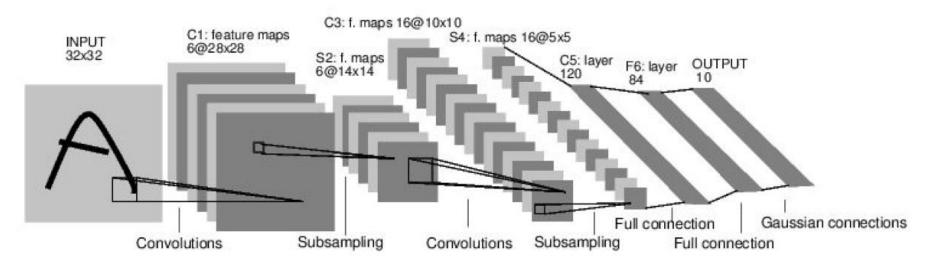


Image credits: http://yann.lecun.com/exdb/publis/pdf/lecun-98.pdf

Conv filters were 5x5, applied at stride 1 Subsampling (Pooling) layers were 2x2 applied at stride 2 i.e. architecture is [CONV-POOL-CONV-POOL-CONV-FC] Demo von 1993 Yann LeCun

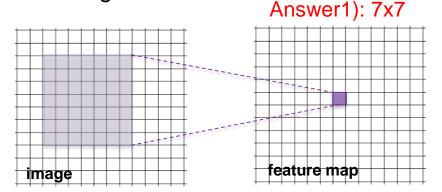


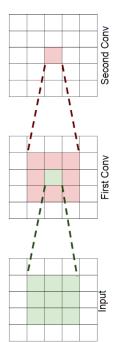
#### The trend in modern CNN architectures goes to small filters

Why do modern architectures use very small filters?

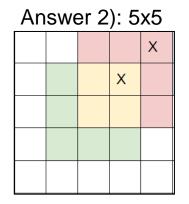
Determine the receptive field in the following situation:

1) Suppose we have one7x7 conv layers (stride 1)49 weights





2) Suppose we stack **two** 3x3 conv layers (stride 1)



3) Suppose we stack three 3x3 conv layers (stride 1) 3\*9=27 weights

Answer 3): 7x7						
					Х	
				Х		

We need less weights for the same receptive field when stacking small filters!

#### "Oxford Net" or "VGG Net" 2014 2nd place

- 2<sup>nd</sup> place in the imageNet challenge
- More traditional, easier to train
- Small pooling
- Stacked 3x3 convolutions before maxpooling
  - -> large receptive field
- no strides (stride 1)
- ReLU after conv. and FC (batchnorm was not introduced)
- Pre-trainined model is available (see excercise)

image conv-64 conv-64 maxpool conv-128 conv-128 maxpool conv-256 conv-256 maxpool conv-512 conv-512 maxpool conv-512 conv-512 maxpool FC-4096

> FC-4096 FC-1000

> softmax

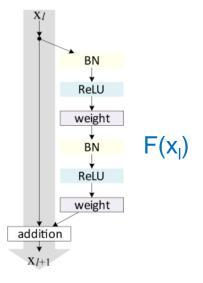
http://arxiv.org/abs/1409.1556

#### "ResNet" from Microsoft 2015 winner of imageNet



ResNet basic design (VGG-style)

- add shortcut connections every two
- all 3x3 conv (almost)

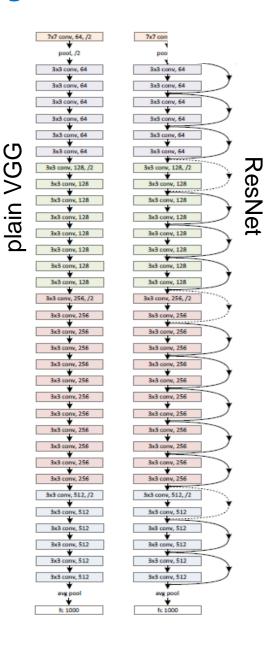


152 layers: Why does this train at all?

This deep architecture could still be trained, since the gradients can skip layers which diminish the gradient!

$$H(x_1)=x_{1+1}=x_1+F(x_1)$$

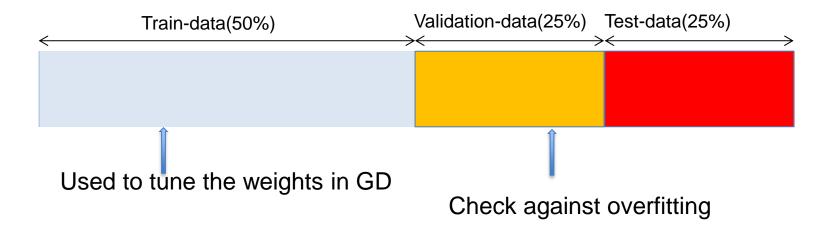
F(x) is called "residual" since it only learns the "delta" which is needed to add to x to get H(x)



## Tricks of the trade

- Early stopping
- Input Standardization
- Batch Norm Layer
- Dropout
- Data augmentation

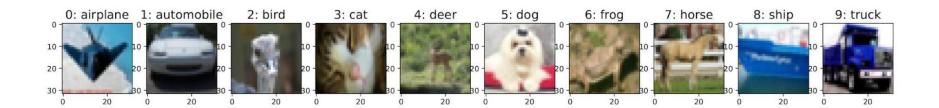
#### Best practice: Split in Train, Validation, and Test Set



Best practice: Lock an extra test data set away, and use it only at the very end, to evaluate the chosen model, that performed best on your validation set.

Reason: When trying many models, you probably overfit on the validation set.

#### CIFAR10 study with tensorflow notebook



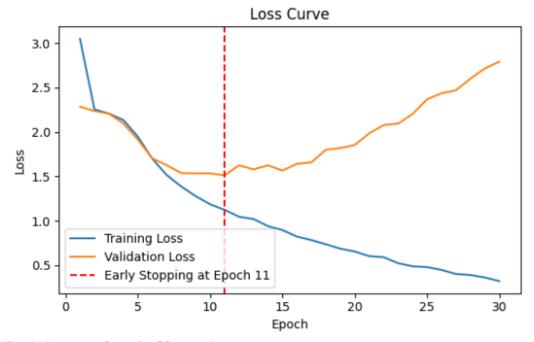
We define a CNN to classify cifar10 images (we have 10 classes)

#### Notebook:

https://github.com/tensorchiefs/dl\_course\_2025/blob/master/notebooks/07\_cifar10\_tricks\_keras\_torch.ipynb

#### Loss curve and early stopping

Very common check: Plot loss in train and validation data vs epoch of training.



Training completed all epochs.
Best model weights were restored from epoch 11

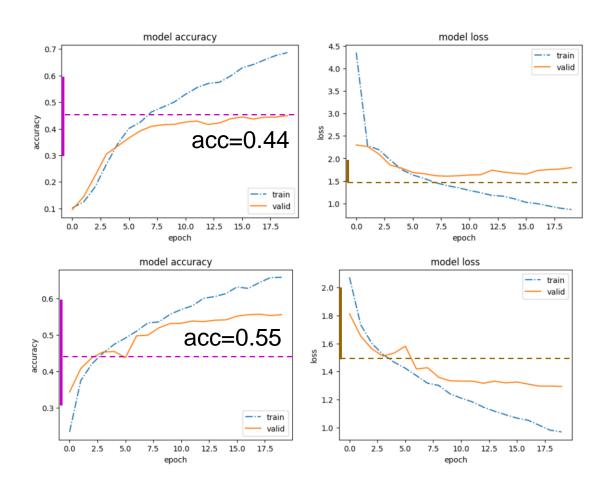
- If training loss does not go down to zero: model is not flexible enough
- Use weights @minimum of validation before overfitting
- Early stopping: stop to training if validation loss does not improve anymore

### Take-home messages from CIFAR10 CNN study

• DL does not need a lot of preprocessing, but working with standardized (small-valued) input data often helps.

Without normalizing the input to the CNN

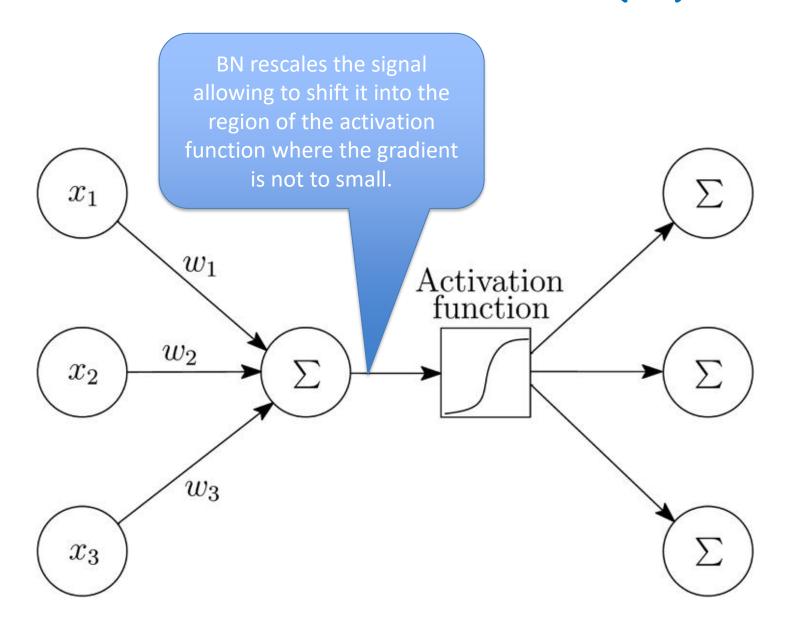
With normalizing (pixel-value/255) the input to the CNN



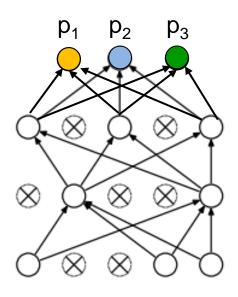
# Regularization to avoid overfitting

- Batchnorm layer
- Dropout layer

#### What is the idea of Batch-Normalization (BN)



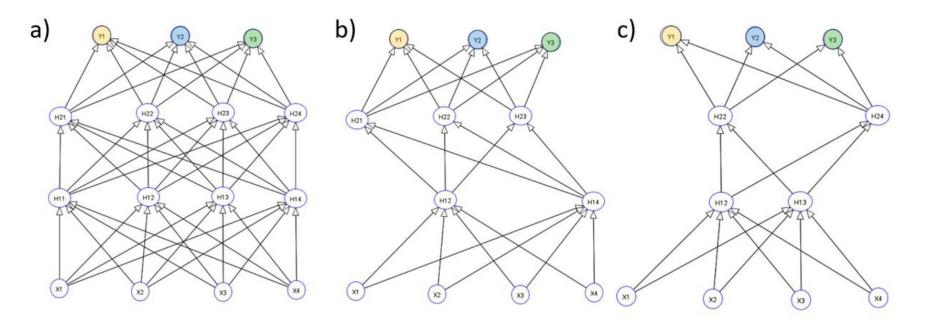
### Dropout helps to fight overfitting



#### Using dropout during training implies:

- In each training step only weights to not-dropped units are updated → we train a sparse sub-model NN
- For predictions with the trained NN we freeze the weights corresponding to averaging over the ensemble of trained models we should be able to "reduce noise", "overfitting"
- JFI: To get same expected output in training (with dropout) and after training (test time - without dropout), the weights are multiplied after training by the dropout probability p=0.5.

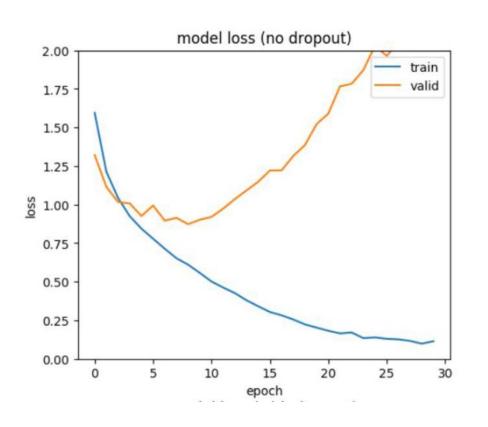
#### Dropout

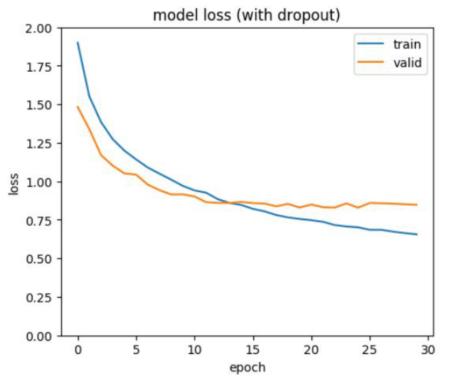


#### Three NNs:

- a) shows the full NN with all neurons (as used when NN is trained),
- b) and c) show two versions of a thinned NN where some neurons are dropped (as done during training with dropout). Dropping neurons is the same as setting all connections that start from these neurons to zero.

### Dropout fights overfitting in a CIFAR10 CNN





#### Summary

- The NN architecture choice depends on the structure of the data.
  - Fully connected NNs work best for tabular data
  - CNNs work best for images and other data with local order
- CNNs exploit the local structure of images by local connections and shared weight (same kernel is applied at each position of the image).
- Use tricks of the trade when building a CNN
  - Normalization of input data
  - Batchnorm
  - Dropout
  - Augmentation
  - Use challenge winning architectures

