

# Machine Intelligence:: Deep Learning

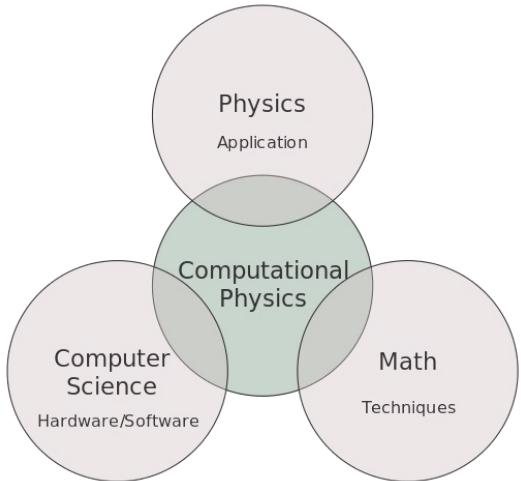
## Week 1

*Oliver Dürr, Beate Sick, Lilach Goren, Pascal Bühler*

Institut für Datenanalyse und Prozessdesign  
Zürcher Hochschule für Angewandte Wissenschaften

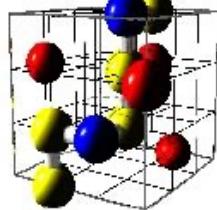
# Oliver's Background

## Computational Physics

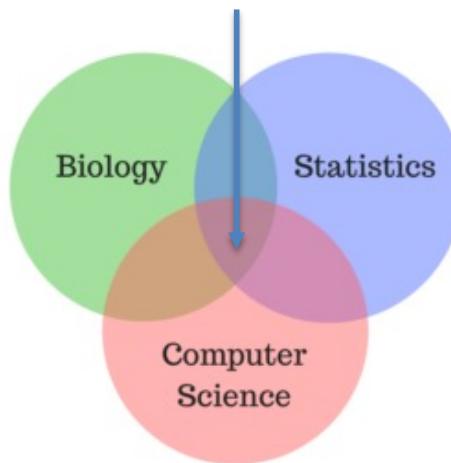


1990's

Uni-Konstanz

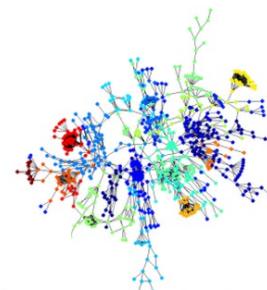
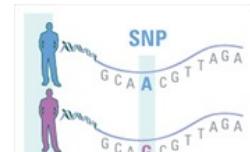


## Bioinformatics



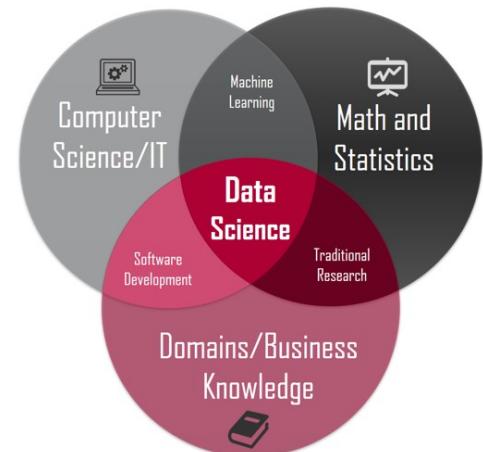
2000's

Genedata Basel



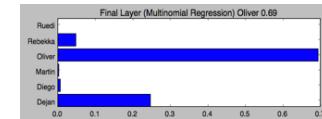
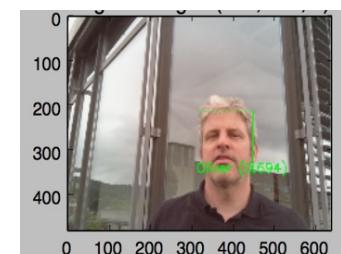
eclipse

## Data Science



2010's

ZHAW Winterthur HTWG Konstanz



# Tell us something about you

- Computer Science Background
  - Fluent in python?
- Statistics / Math
  - Who visited CAS StMo (statistisches Modellieren)?
  - What is a distribution?
  - Vector times Matrix?
    - Please make sure to check  
[https://tensorchiefs.github.io/dl\\_course\\_2025/prerequisites.html](https://tensorchiefs.github.io/dl_course_2025/prerequisites.html)
- Any contacts with deep learning yet?

# Technical details for this course

- Course website
  - [https://tensorchiefs.github.io/dl\\_course\\_2025/](https://tensorchiefs.github.io/dl_course_2025/)
- Deep Learning with Python, Second Edition
  - <https://www.manning.com/books/deep-learning-with-python-second-edition>
- Keras Documentation:
  - <https://keras.io/>
- Probabilistic Deep Learning: Our probabilistic take
  - <https://www.manning.com/books/probabilistic-deep-learning>
- Other Courses
  - Convolutional Neural Networks for Visual Recognition <http://cs231n.stanford.edu>

# Organizational Issues: Test Projects

- Projects (2-3 People)
- Presented on the last day
  - Spotlight talk (5 Minutes)
  - Poster
- Topics
  - You can / should choose a topic of your own (please discuss your topic with us by week4 latest)
  - Possible Topics (see website)
    - Take part in a Kaggle Competition (e.g. Leaf Classification / Dogs vs. Cats)
    - Music classification
    - Polar bear detection
    - ...
- Computing: colab, laptop (or cloud computing)

# Outline of the DL Module (tentative)

The course is split in 8 sessions, each 4 lectures long. Topics might be adapted during the course

| Day | Date       | Time        | Topic   |
|-----|------------|-------------|---|
| 1   | 15.04.2025 | 09:00-12:30 | Introduction to Deep Learning & Keras, first NNs  |
| -   | 21.04.2025 | -           | FRÜHLINGS-FERIEN                                  |
| -   | 28.04.2025 | -           | FRÜHLINGS-FERIEN                                  |
| 2   | 06.05.2025 | 09:00-12:30 | Loss, Optimization, Regression, Classification    |
| 3   | 13.05.2025 | 09:00-12:30 | Computer vision, CNN-architecture                 |
| 4   | 20.05.2025 | 09:00-12:30 | DL in practice, pretrained (foundation) models    |
| 5   | 27.05.2025 | 09:00-12:30 | Model evaluation, baselines, xAI, troubleshooting |
| 6   | 03.06.2025 | 09:00-12:30 | Generative Models, Transformer-architecture       |
| 7   | 10.06.2025 | 09:00-12:30 | Vision Transformer                                |
| 8   | 17.06.2025 | 09:00-12:30 | Projects, deep Ensembling                         |

# Learning Objectives for today

- Get a rough idea what the DL is about
- Framework
  - Introduction to Keras

# Introduction to Deep Learning --what's the hype about?

# Machine Perception

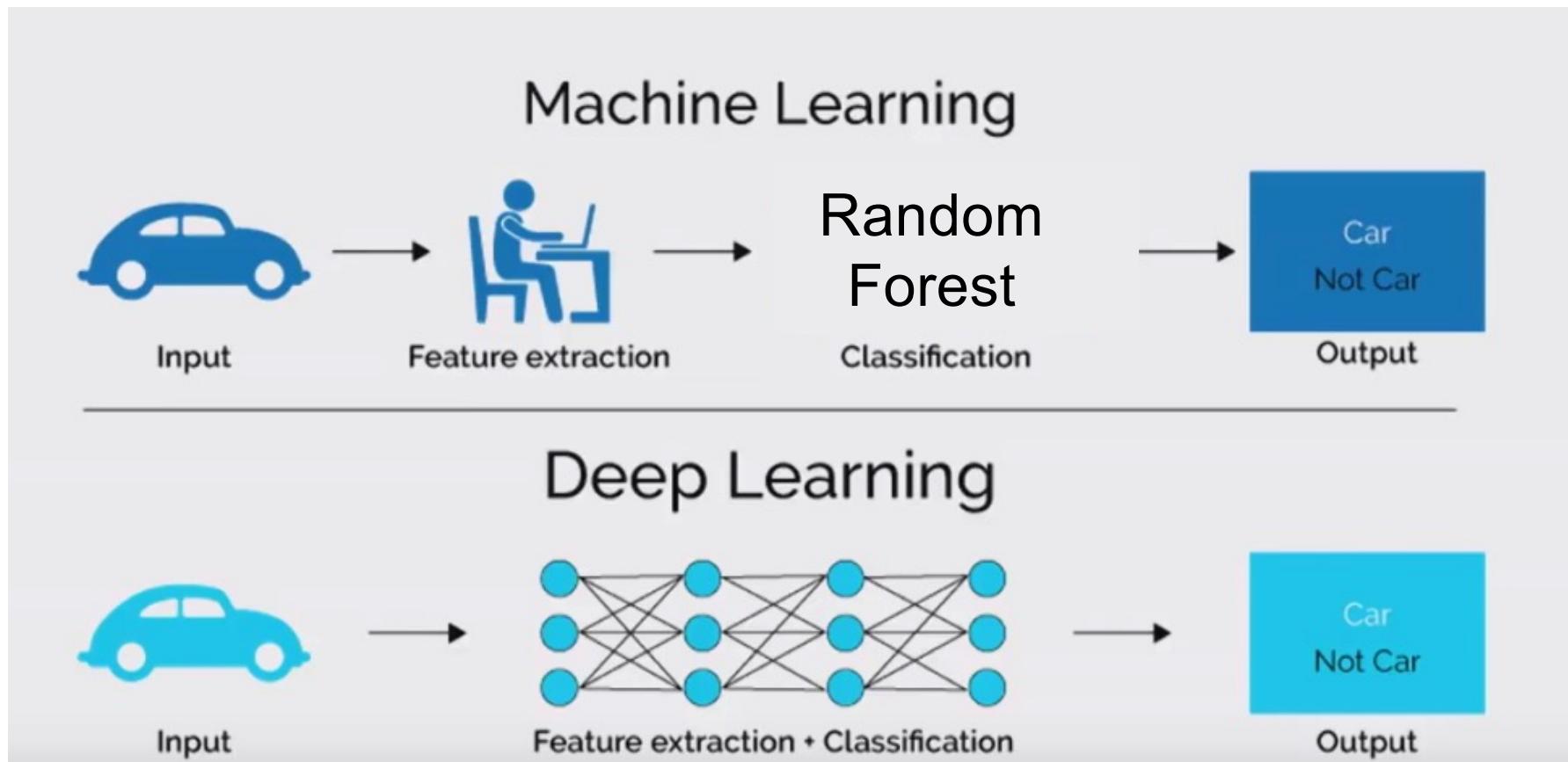
- Computers have been quite bad in things which are easy for humans (images, text, sound)
- A Kaggle contest 2012
- In the following we explain why

Kaggle dog vs cat competition



Deep Blue beat Kasparov at chess in 1997.  
Watson beat the brightest trivia minds at Jeopardy in 2011.  
Can you tell Fido from Mittens in 2013?

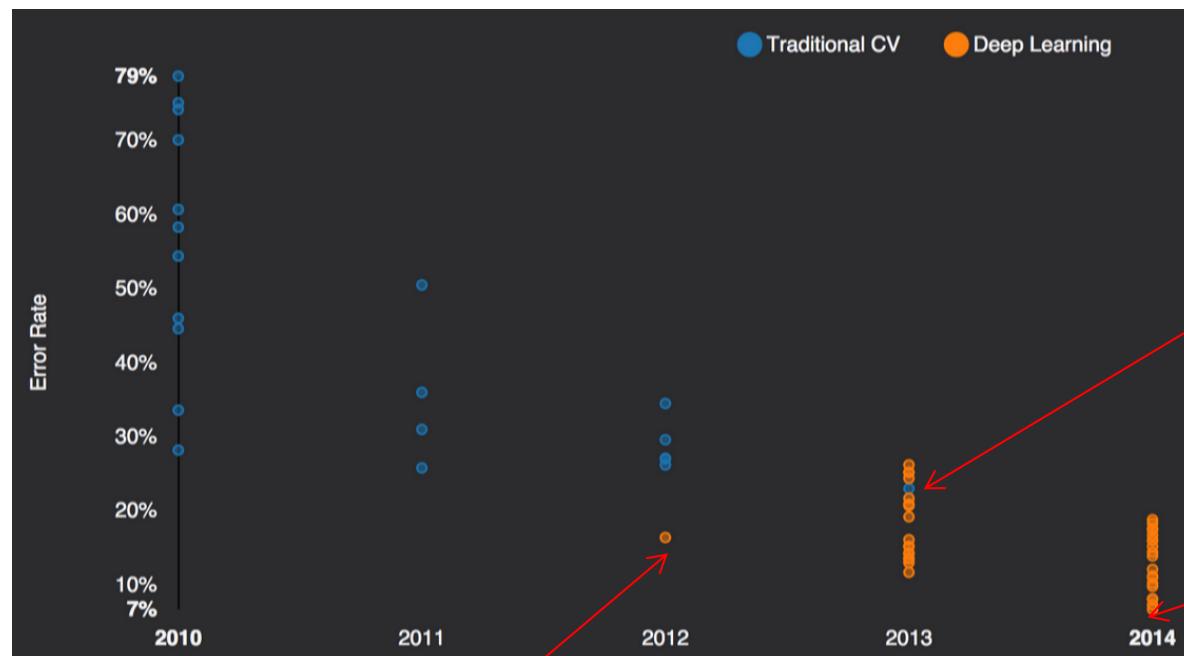
# Deep Learning vs. Machine Learning



The most convincing case for  
DL (subjective view)

# Why DL: Imagenet 2012, 2013, 2014, 2015

1000 classes  
1 Mio samples



Human: 5% misclassification

Only one non-CNN approach in 2013

GoogLeNet 6.7%

A. Krizhevsky  
first CNN in 2012  
**Und es hat zoom gemacht**

2015: It gets tougher

4.95% Microsoft ([Feb 6](#) surpassing human performance 5.1%)  
4.8% Google ([Feb 11](#)) -> further improved to 3.6 (Dec)?  
4.58% Baidu (May 11 [banned due to many submissions](#))  
3.57% Microsoft (Resnet winner 2015) → task solved!

# The computer vision success story

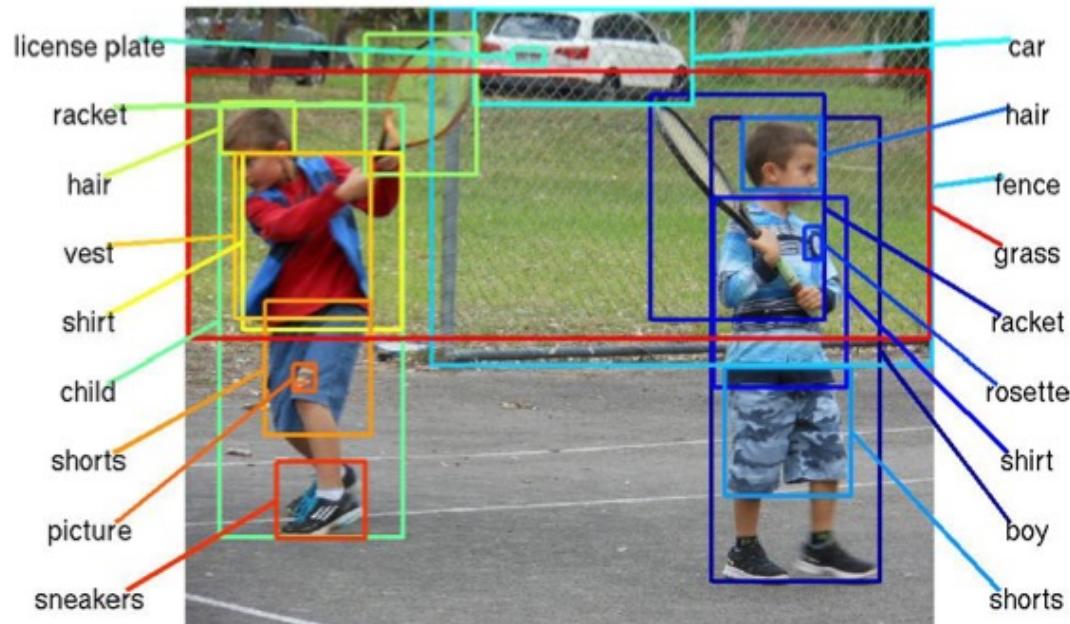
- With DL it took approx. 3 years to solve object detection and other computer vision task



Deep Blue beat Kasparov at chess in 1997.

Watson beat the brightest trivia minds at Jeopardy in 2011.

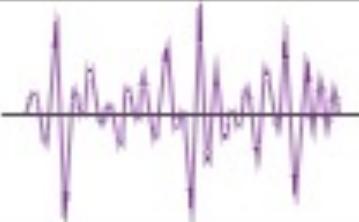
Can you tell Fido from Mittens in 2013?



"man in black shirt is playing guitar."

Images from cs229n

# Use cases of deep learning

| Input x to DL model   | Output y of DL model                  | Application                        |
|---|---------------------------------------|------------------------------------|
| Images<br> | Label<br>"Tiger"                      | Image classification               |
| Audio<br>  | Sequence / Text<br>"see you tomorrow" | Voice recognition                  |
| Sequence of tokens representing e.g.<br>"Hallo, wie gehts?"                                 | Next token                            | Translation<br>text generation, QA |
| Sequence of tokens representing e.g.<br>This movie was rather good                          | Label (Sentiment)<br>positive         | Sentiment analysis                 |

Deep learning models can „see“, „hear“, „read“, „write“ .

Last breakthrough 2022: Large Language Models (LLMs) like ChatGPT

# This is the new shit: LLM/ChatGPT



## Die gefühlte Revolution

4. Dezember 2022, 18:51 Uhr | Lesezeit: 3 min



## GPT (short for "Generative Pre-training Transformer")

is a type of language processing AI model developed by OpenAI. It is a large, deep learning model that has been trained on a diverse range of texts and can generate human-like text when given a prompt.

# First Neural Network

# The Single Neuron: Biological Motivation

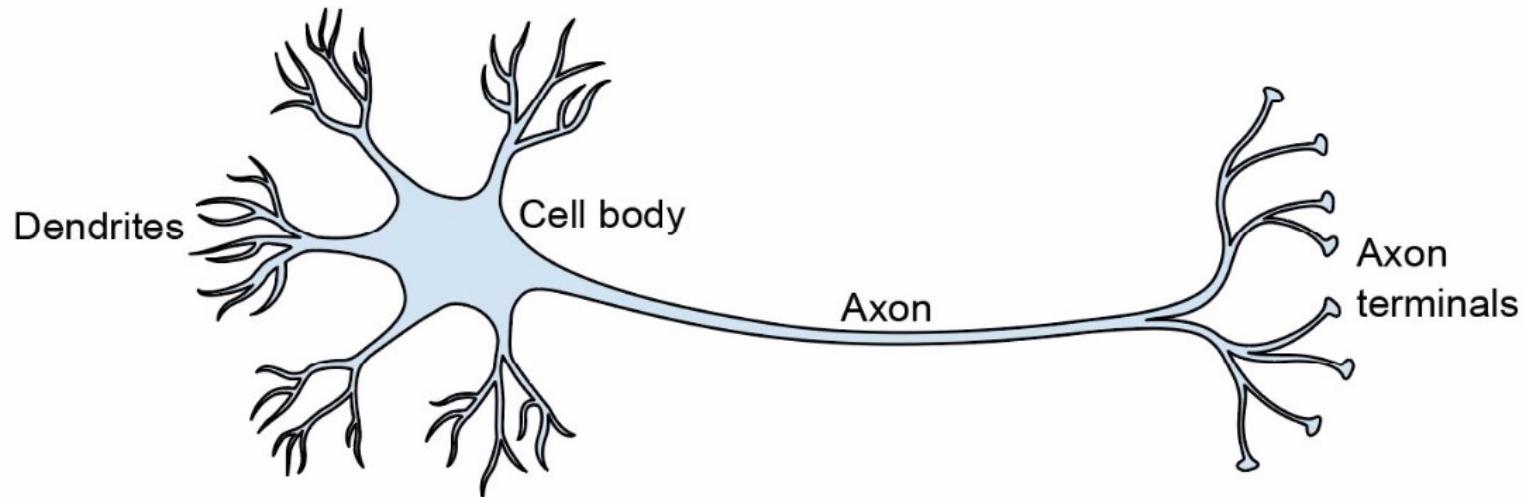
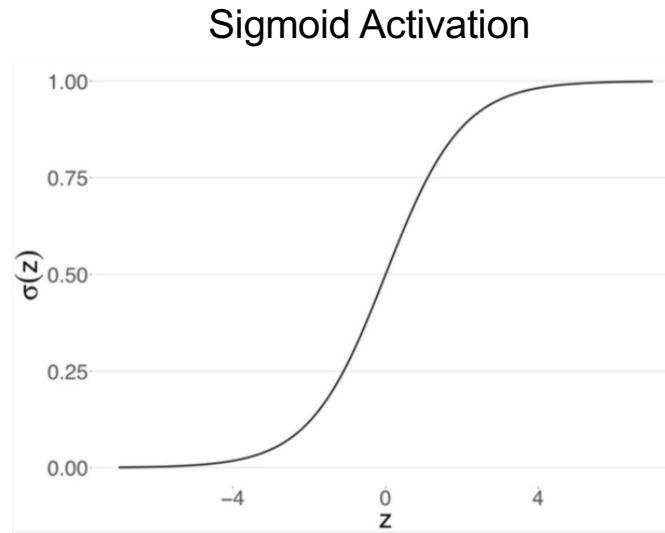
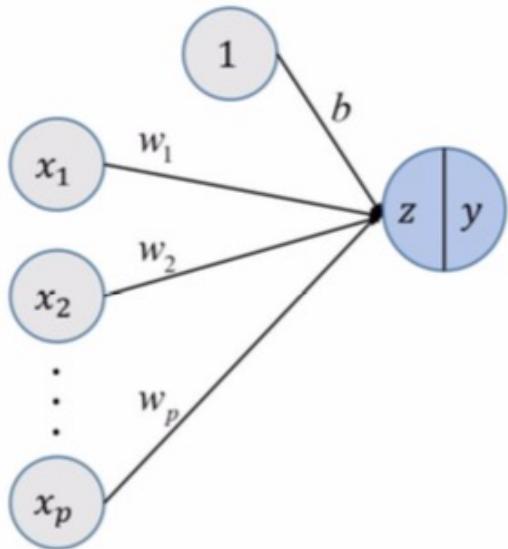


Figure 2.2 A single biological brain cell. The neuron receives the signal from other neurons via its dendrites shown on the left. If the cumulated signal exceeds a certain value, an impulse is sent via the axon to the axon terminals, which, in turn, couples to other neurons.

Neural networks are **loosely** inspired by how the brain works

# The Single Neuron: Mathematical Abstraction

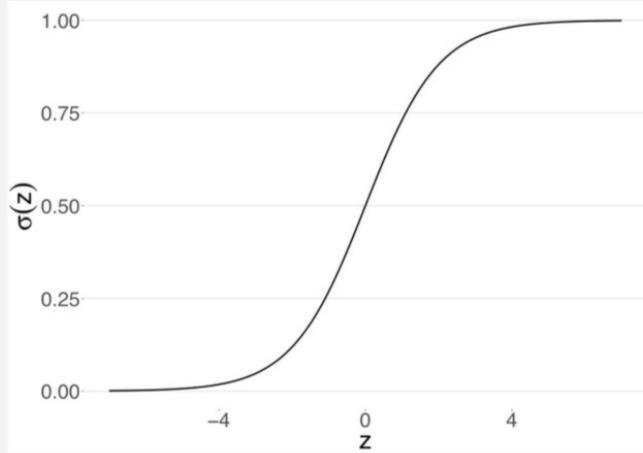
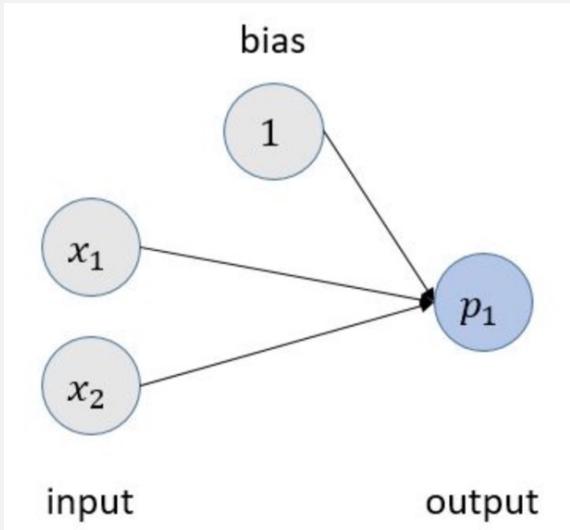


$$z = b + x_1 \cdot w_1 + x_2 \cdot w_2 + \cdots + x_p \cdot w_p$$

$$y = \sigma(z) = \sigma(\beta_0 + \beta_1 x_{i1} + \cdots + \beta_{ip} x_{ip}) = \frac{1}{1 + e^{-(\beta_0 + \beta_1 x_{i1} + \cdots + \beta_{ip} x_{ip})}}$$

The output after the sigmoid activation can be interpreted as probability for  $y=1$

## Exercise: Part 1



Model: The above network models the **probability**  $p_1$  that a given banknote is false.

### TASK (with pen and paper)

The weights (determined by a training procedure later) are given by

$$w_1 = 0.3, w_2 = 0.1, \text{ and } b = 1.0$$

What is the probability that a banknote, that is characterized by  $x_1=1$  and  $x_2 = 2.2$ , is a faked banknote?

# GPUs love Vectors



$F^{\mu\nu}$

In Math:

$$p_1 = \text{sigmoid} \left( (x_1 \quad x_2) \cdot \begin{pmatrix} w_1 \\ w_2 \end{pmatrix} + b \right)$$

In code:

```
## function to return the probability output after the matrix multiplication
def predict_no_hidden(X):
    return sigmoid(np.matmul(X,W)+b)
```

# Toy Task

- Task tell fake from real banknotes
- Banknotes described by two features

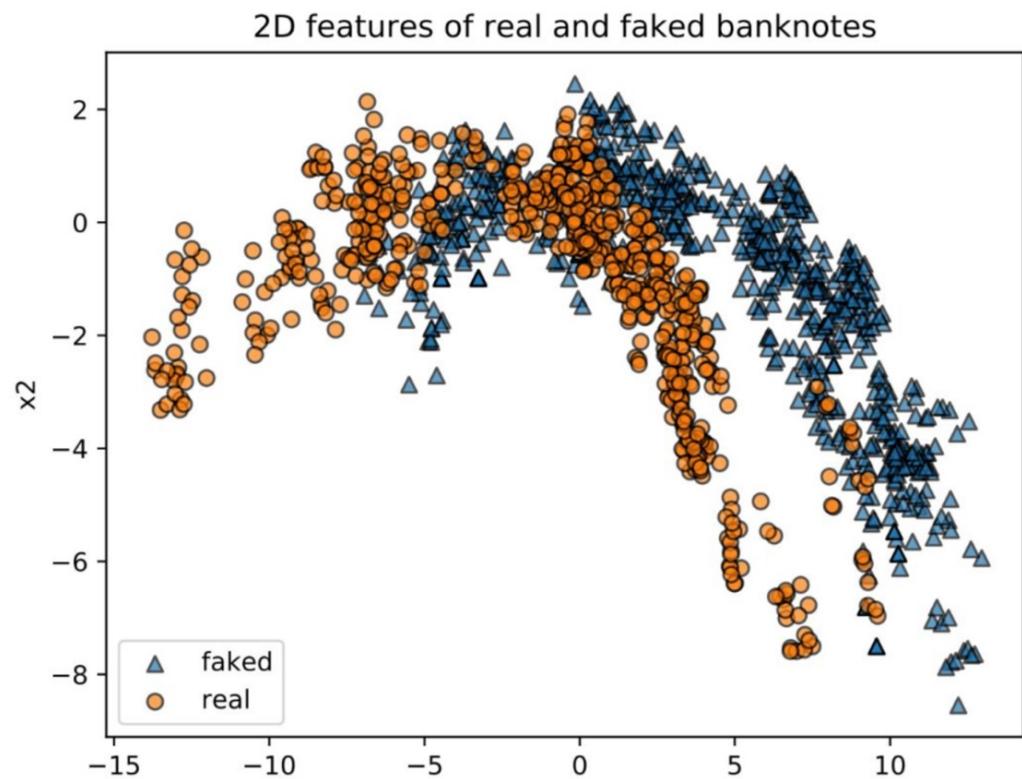
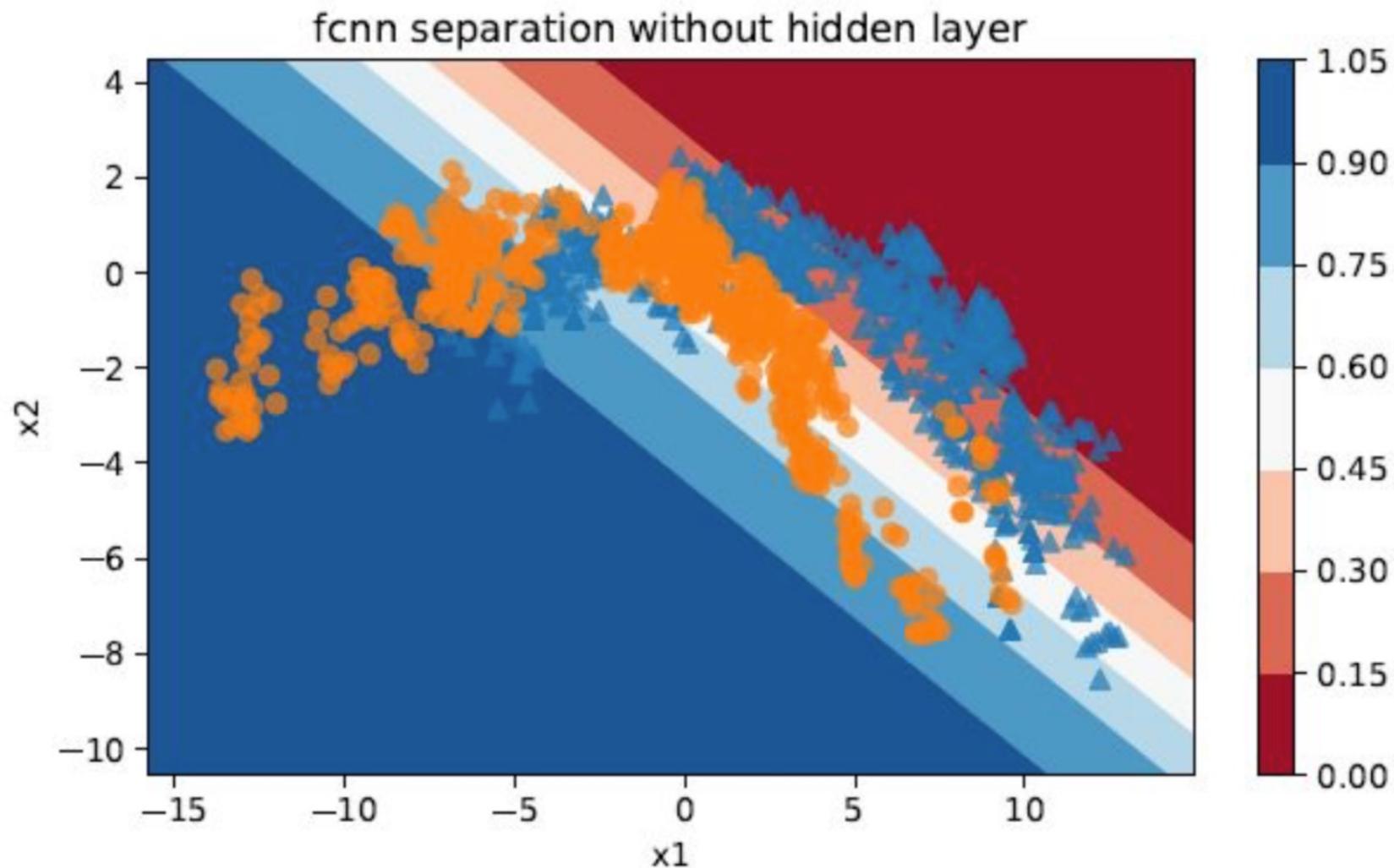


Figure 2.5 The (training) data points for the real and faked banknotes

## Result (see later in the notebook)

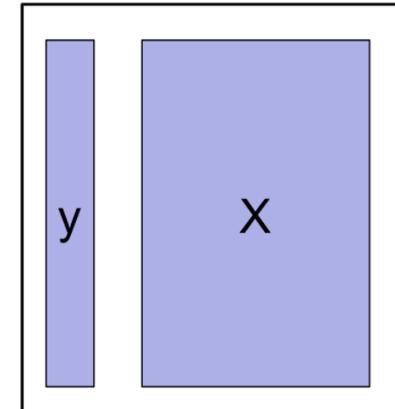


**General rule: Networks without hidden layer have linear decision boundary.**

# Our take on Deep Learning: Probabilistic Viewpoint

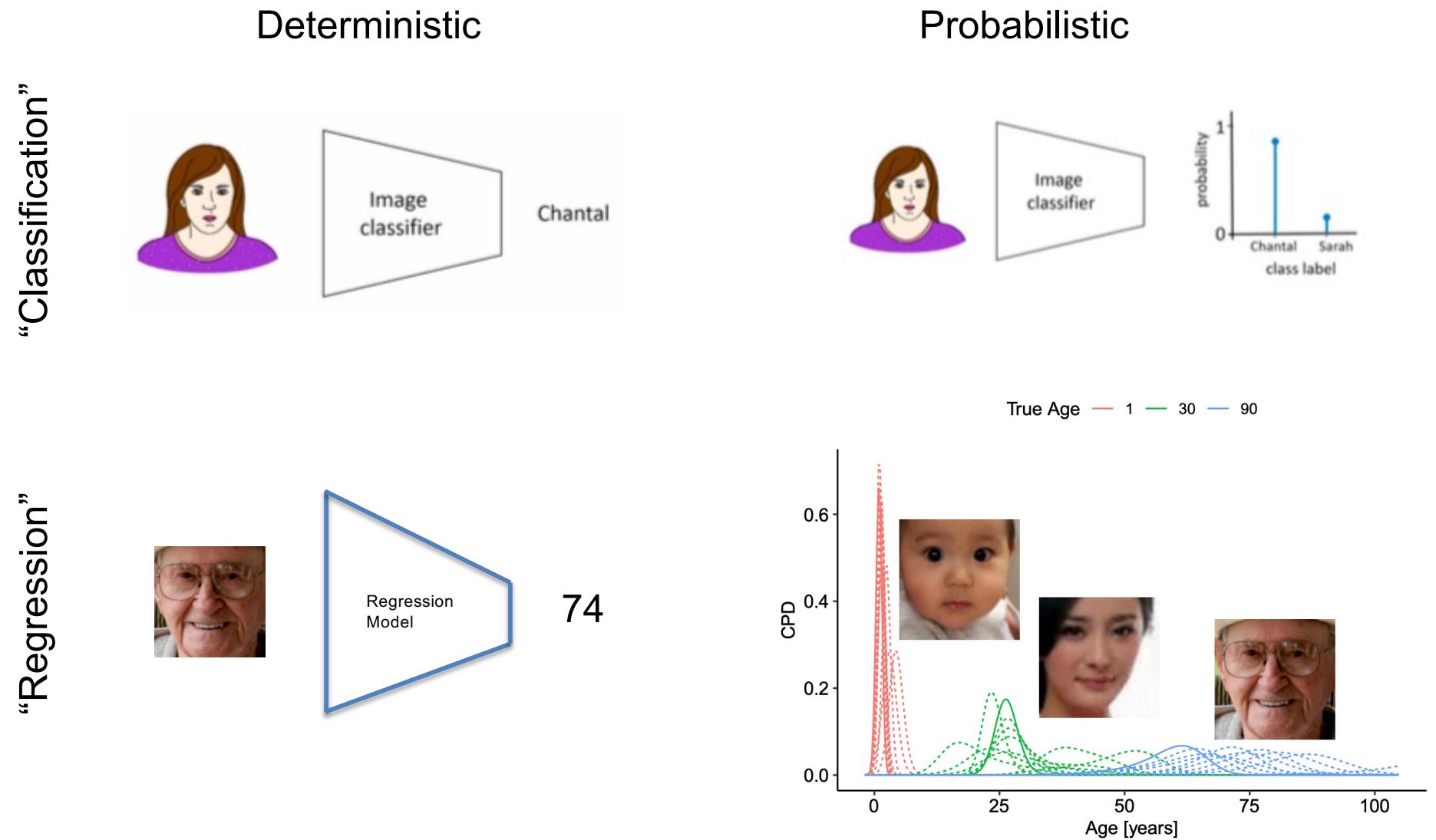
# Tasks in supervised DL

- 2 Main tasks in DL predict  $y$  given  $x$ 
  - Classification
    - Point prediction: Predict a class label
    - Probabilistic prediction:  
predict a discrete probability distribution over all possible class labels
  - Regression
    - Point prediction: Predict a number
    - Probabilistic prediction:  
predict a continuous probability distributions over the possible Y value range
- The loss function depends on the task



Supervised Learning

# Probabilistic vs deterministic models

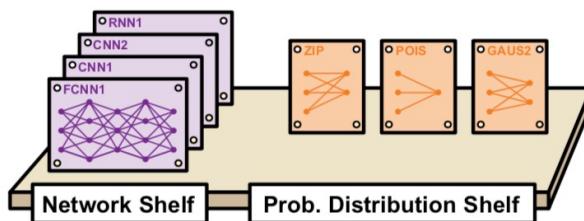


# Guiding Theme of the course

- We treat DL as *probabilistic models*, as statistical model (logistic regression, ...) to predict the conditional probability distribution  $P(Y|x)$  for the outcome
- The models are fitted to training data with maximum likelihood (or Bayes)

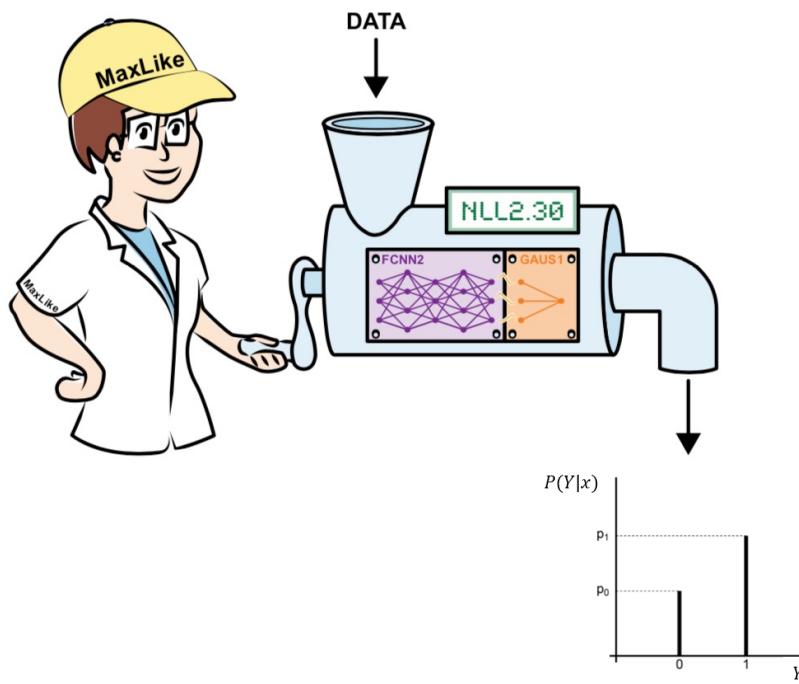
Special networks for  $x$

- Vector FCNN
- Image CNN
- Text CNN/RNN

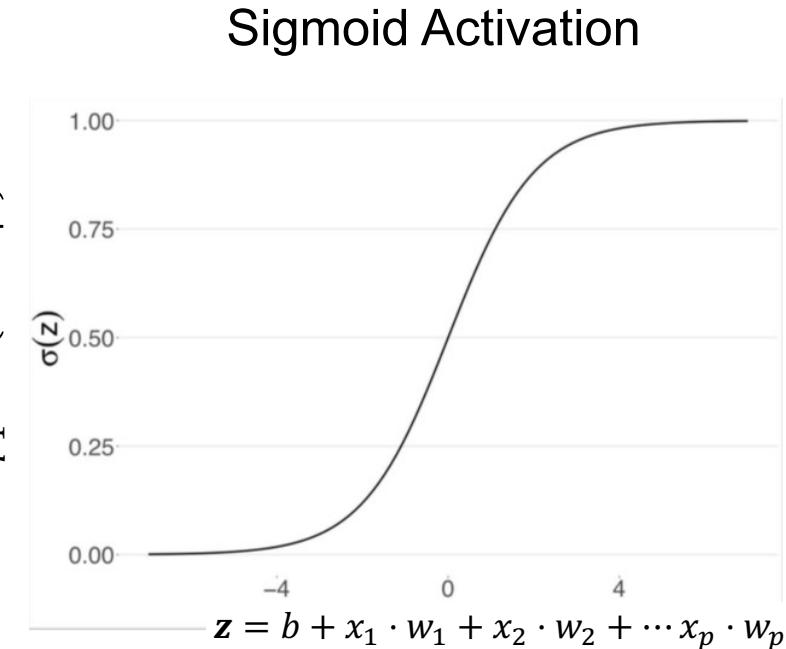
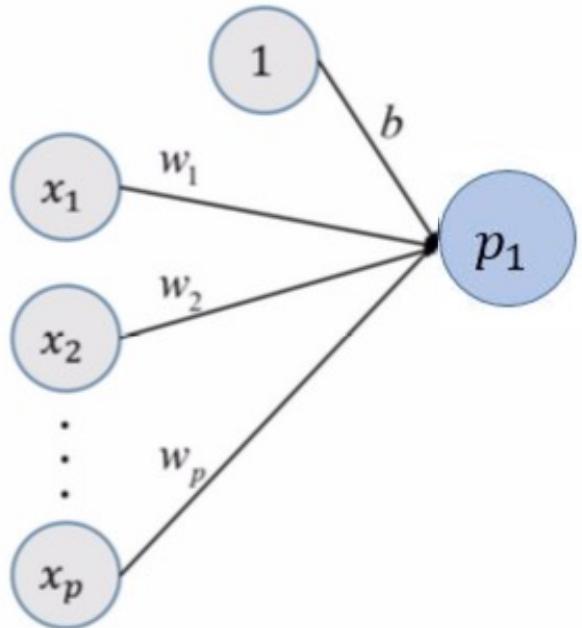


NN heads tailored for  $Y$

- Probabilistic classification
- Probabilistic regression



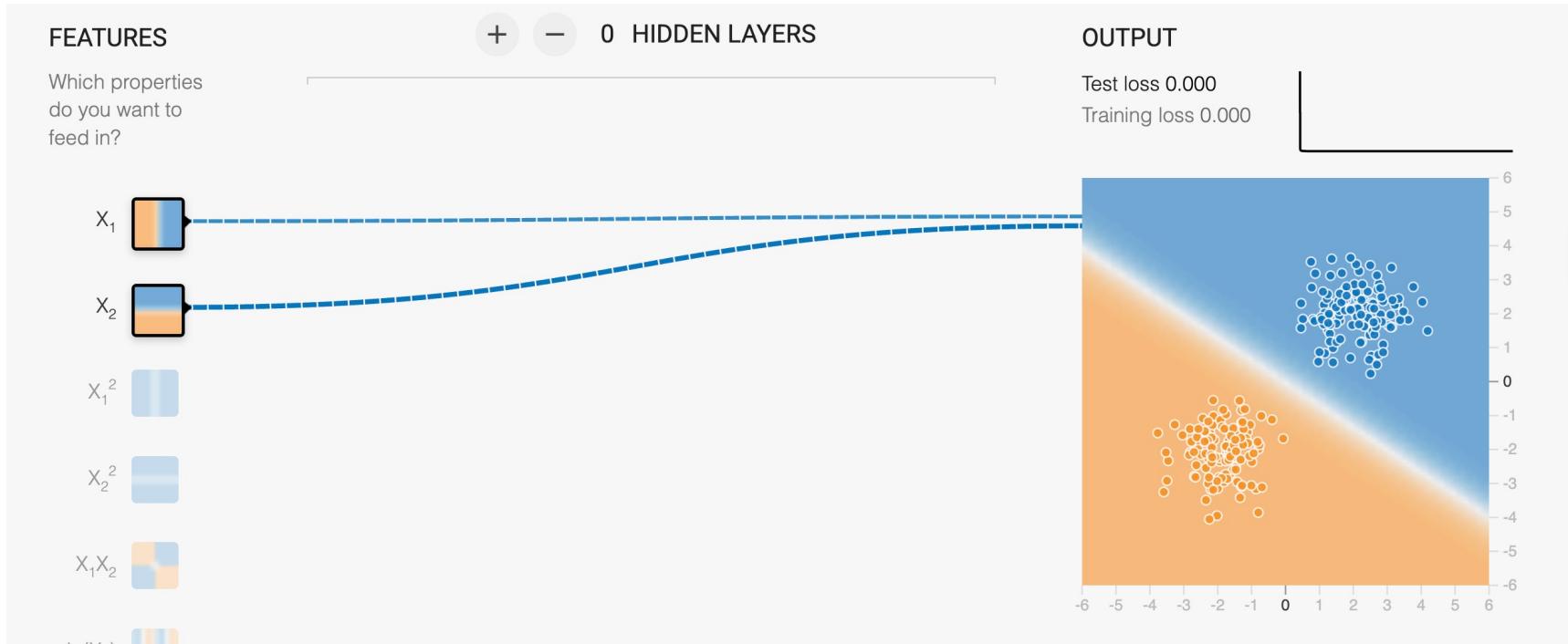
# Logistic regression in DL view



$$p_1 = P(Y = 1|z) = \sigma(z) = \frac{1}{1 + e^{-z}}$$

A NN for a binary outcome with only 1 neuron with sigmoid-activation (and no hidden layer) is nothing else than logistic regression!

# Experiment



Demo show Hidden Layers

<http://playground.tensorflow.org>

Let's you explore the effect of hidden layers

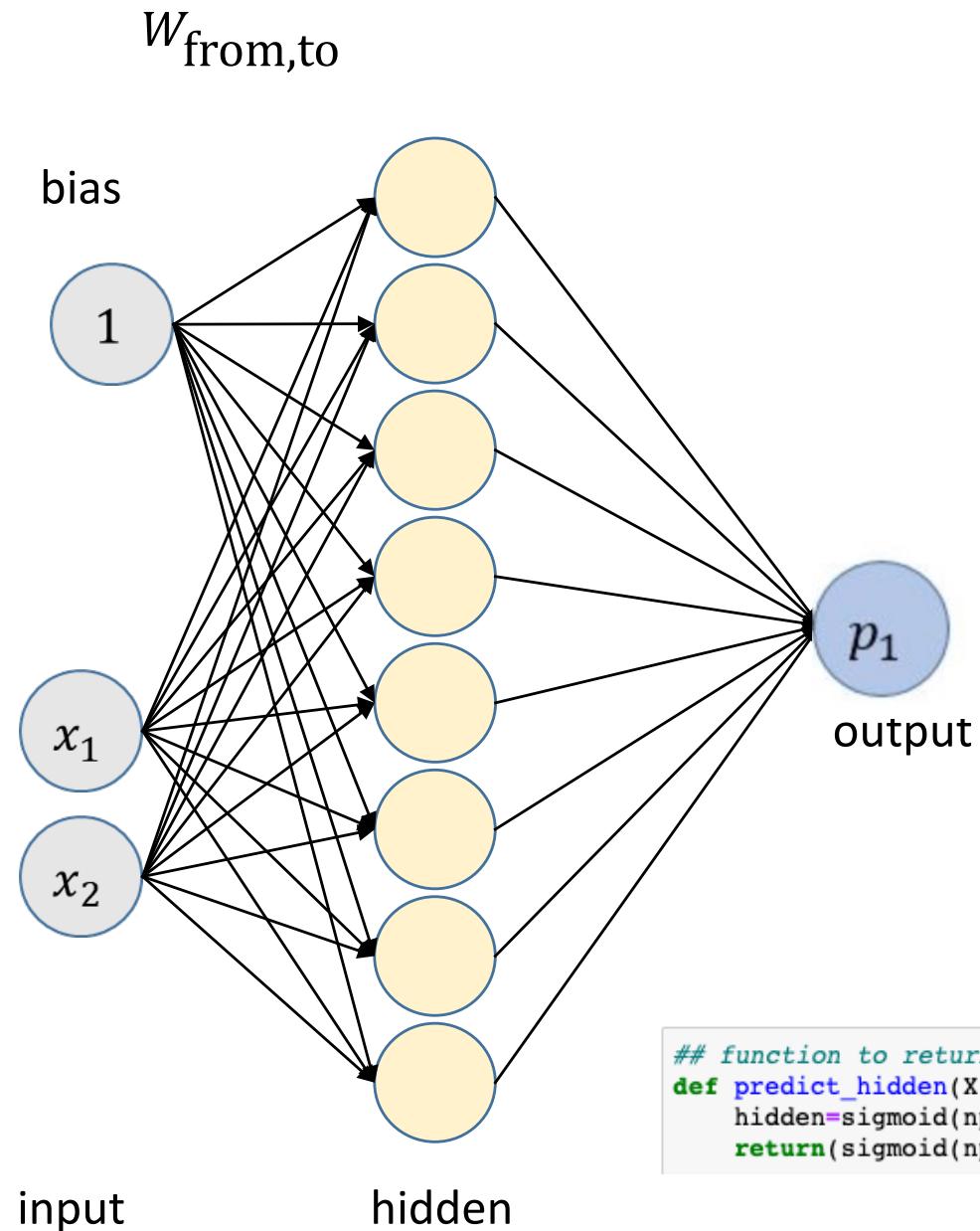
A close-up shot from the movie Inception. Two men in dark suits are looking intensely at each other. The man on the left has his eyes closed, while the man on the right has his eyes open. The lighting is dramatic, with strong shadows and highlights on their faces.

**WE NEED TO GO**

**DEEPER**

memegene

# A first deep network



In math ( $f = \text{sigmoid}$ )  
 $p = f(f(X \cdot W_1 + b_1) \cdot W_2 + b_2)$

In code:

```
## function to return the probability output after the hidden layer
def predict_hidden(X):
    hidden=sigmoid(np.matmul(X,W1)+b1)
    return(sigmoid(np.matmul(hidden,W2)+b2))
```

# To go deep non-linear activation functions are needed

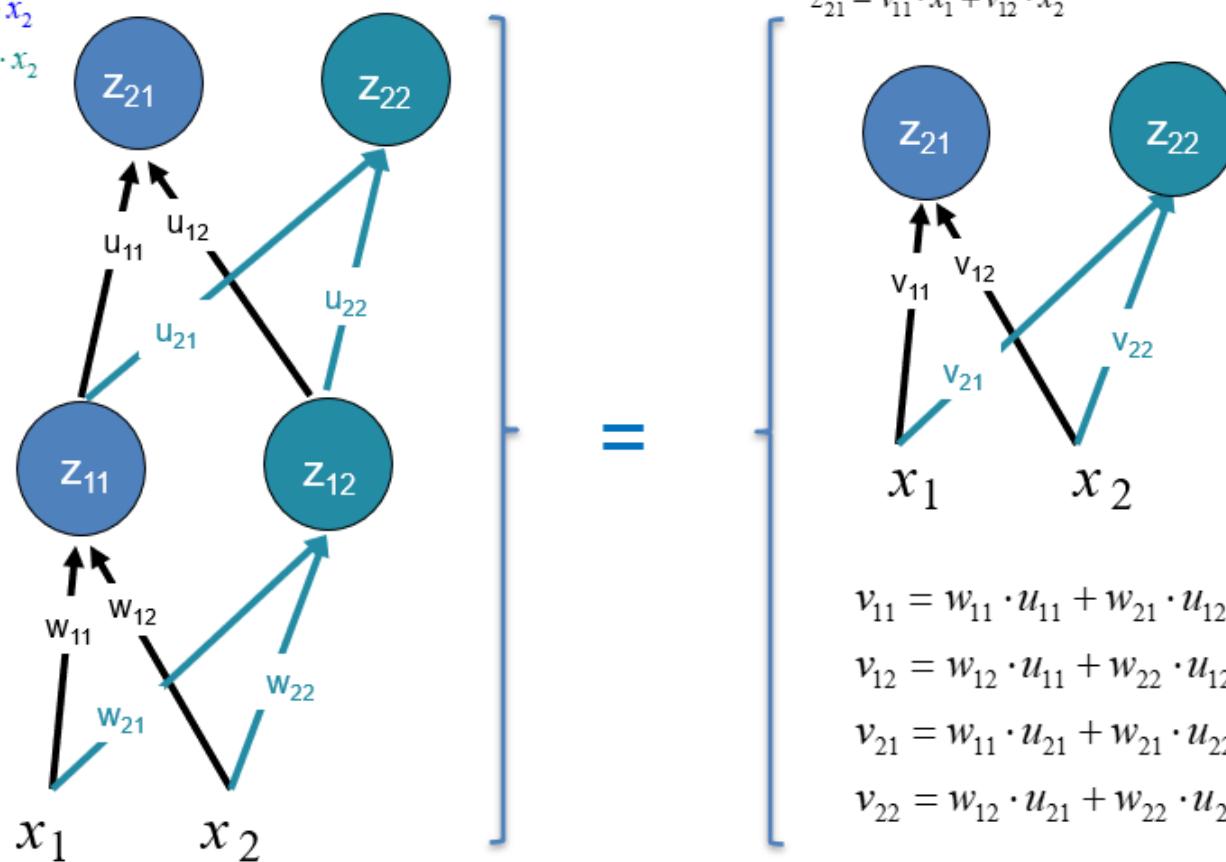
2 linear layers can be replaced by 1 linear layer -> can't go deep with linear layers!

$$z = (x \cdot W) \cdot U = x \cdot (W \cdot U) = x \cdot V$$

$$\begin{aligned} z_{21} &= z_{11} \cdot u_{11} + z_{12} \cdot u_{12} = (w_{11} \cdot x_1 + w_{12} \cdot x_2) \cdot u_{11} + (w_{21} \cdot x_1 + w_{22} \cdot x_2) \cdot u_{12} \\ &= x_1 \cdot (w_{11} \cdot u_{11} + w_{21} \cdot u_{12}) + x_2 \cdot (w_{12} \cdot u_{11} + w_{22} \cdot u_{12}) \end{aligned}$$

$$z_{11} = w_{11} \cdot x_1 + w_{12} \cdot x_2$$

$$z_{12} = w_{21} \cdot x_1 + w_{22} \cdot x_2$$



Remark: biases are ignored here, but do not change fact

# Exercise run your first network

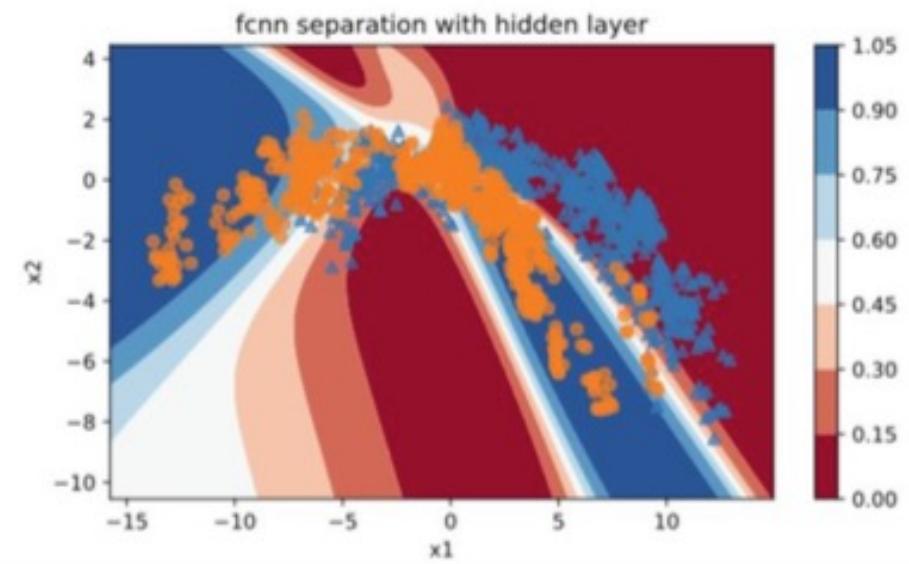
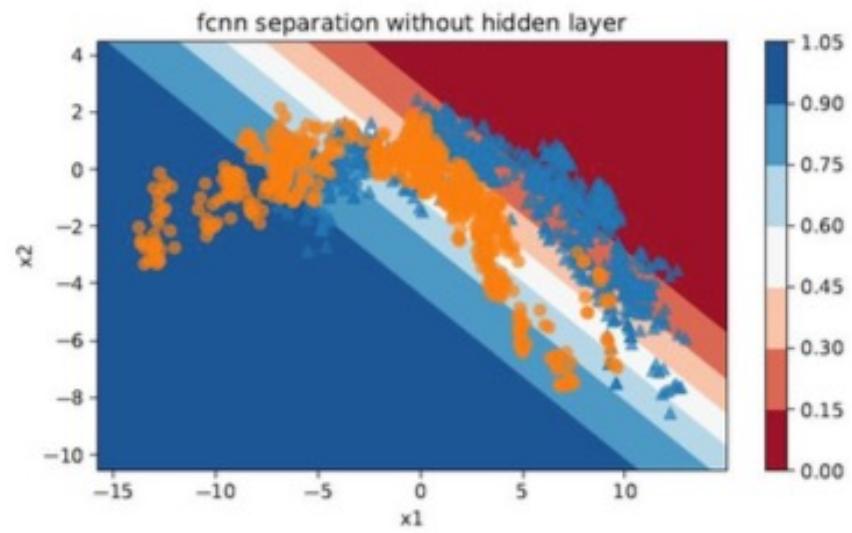


Open Notebook: [01\\_simple\\_forward\\_pass\\_keras\\_torch.ipynb](#)

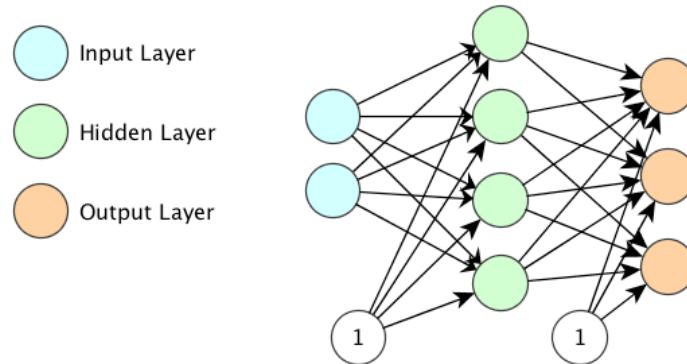
Follow the instructions in the notebook until the introduction of Keras:



# Observations from NB: The benefit of hidden layers

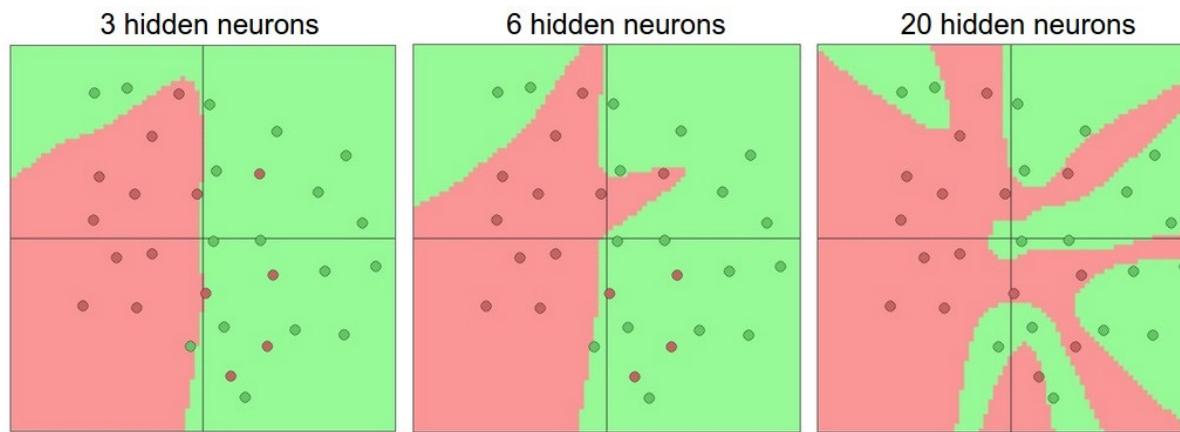


# One hidden Layer is “in theory” enough

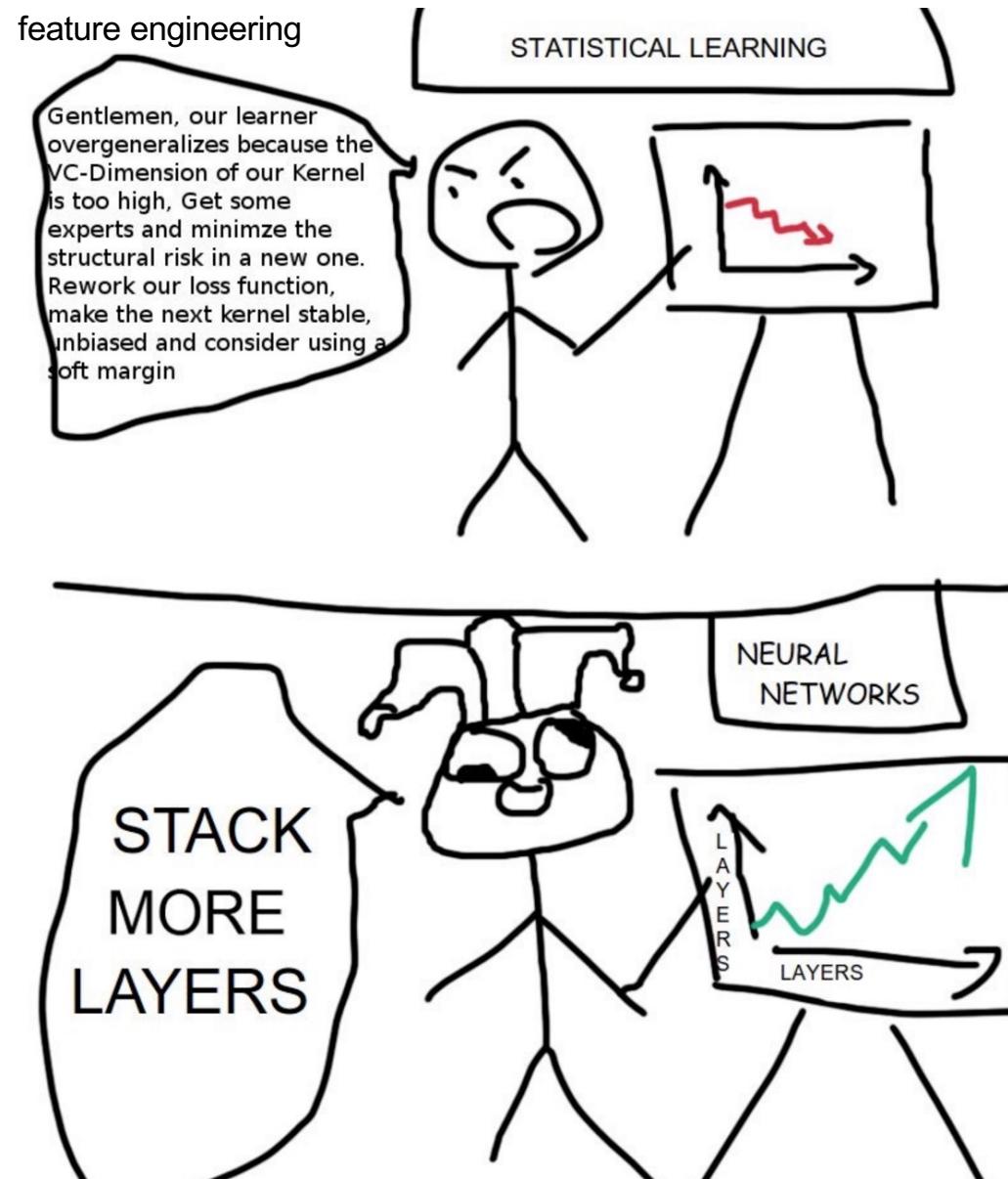


A network with one hidden layer is a universal function approximator!

→ Each decision curve can be fitted with a NN with large enough hidden layer

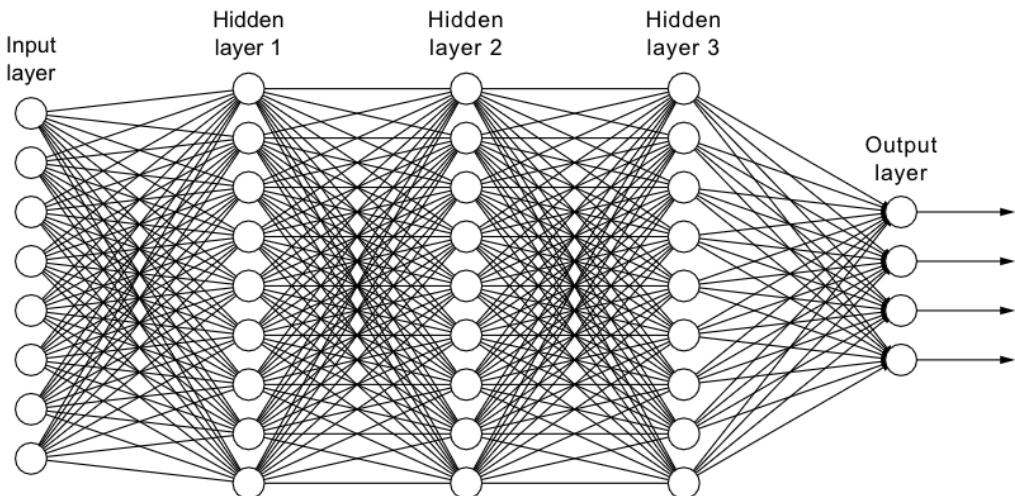


# Game time - Recall learning DL vs Statistical Learning

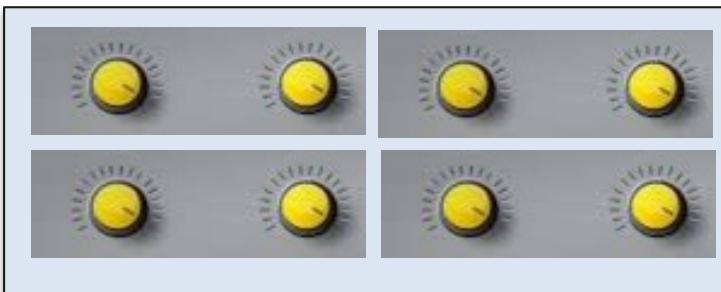


Training NN:  
Minimize the loss function

# How to determine the weights



Given the input, the output of a NN is defined by the weights

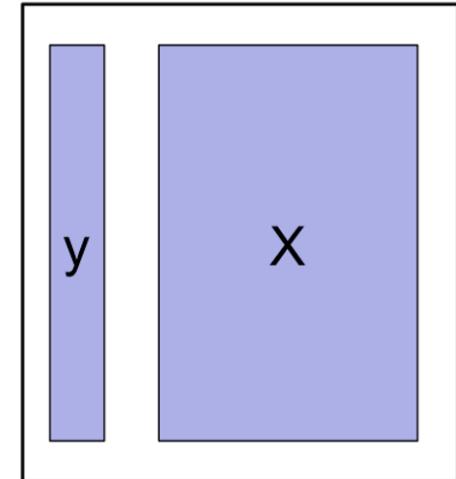


Typical >1 Mio. weights

During training the weights (including biases) are tuned so that the NN bests fulfils its specific tasks by minimizing a loss function.

# Tasks in DL

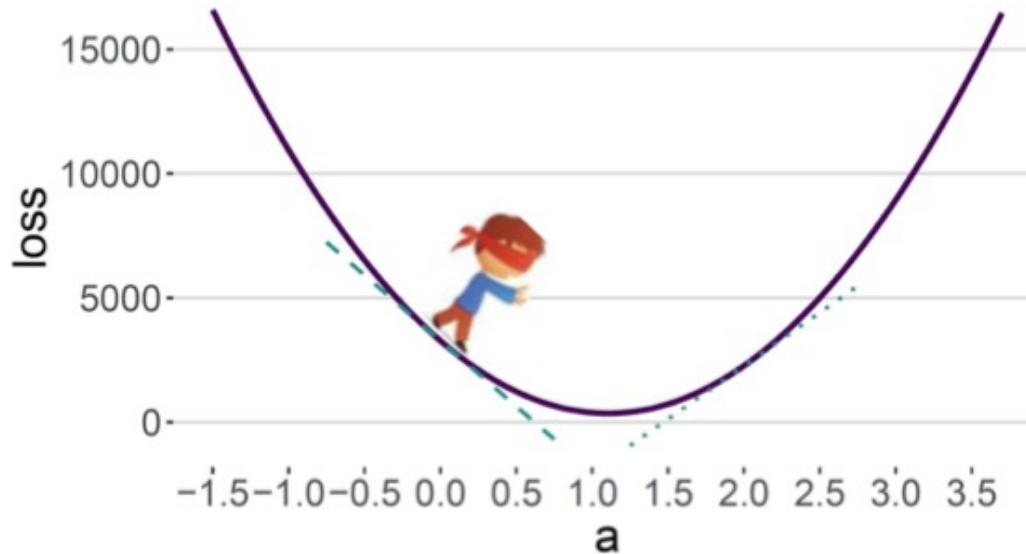
- The loss function depends on the task
- 2 Main tasks in DL predict  $y$  given  $x$ 
  - **Regression**
    - Point prediction: Predict a number
    - Probabilistic prediction: predict a continuous distributions
    - **Loss Function Mean Squared Error (MSE)**
  - **Classification**
    - Point prediction: Predict a class label
    - Probabilistic prediction: predict a discrete probability distribution over the class labels
    - **First we focus on probabilistic binary classification where  $Y \in \{0, 1\}$**
    - **Binary Cross Entropy (MSE not suited)**
      - Log of Probabilities



Supervised Learning

## Idea of gradient descent

- Shown loss function for a single parameter  $a$

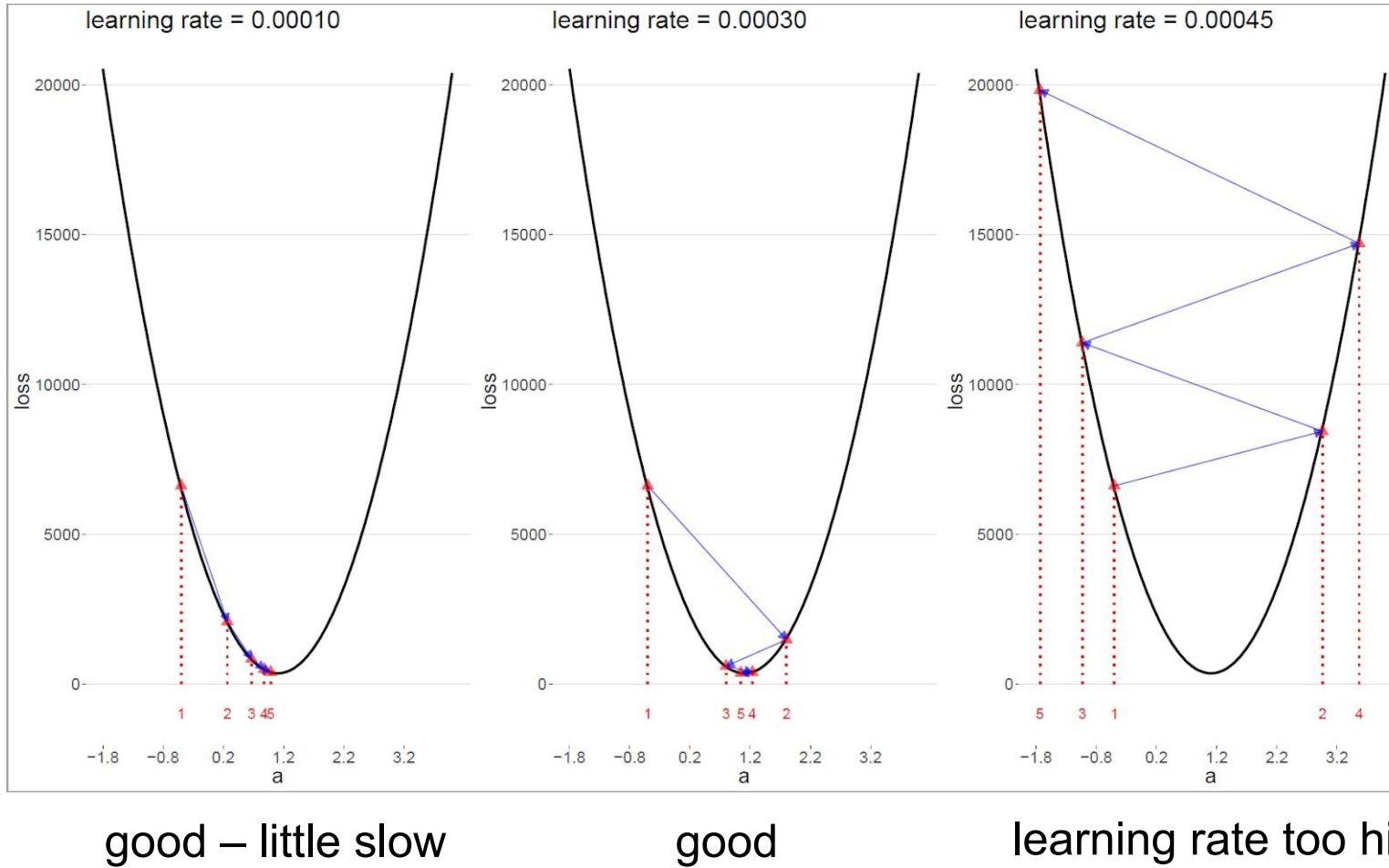


- Take a large step if slope is steep (you are away from minimum)
- Slope of loss function is given by gradient of the loss w.r.t.  $a$
- Iterative update of the parameter  $a$

$$a^{(t)} = a^{(t-1)} - \varepsilon^{(t)} \frac{\partial L(a)}{\partial a} \Big|_{a=a^{(t-1)}}$$

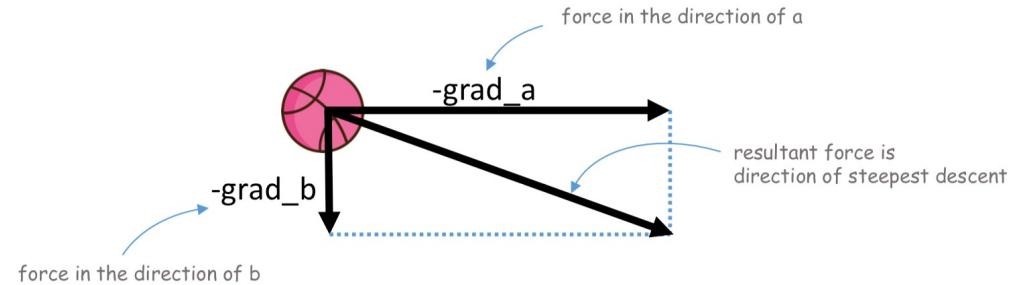
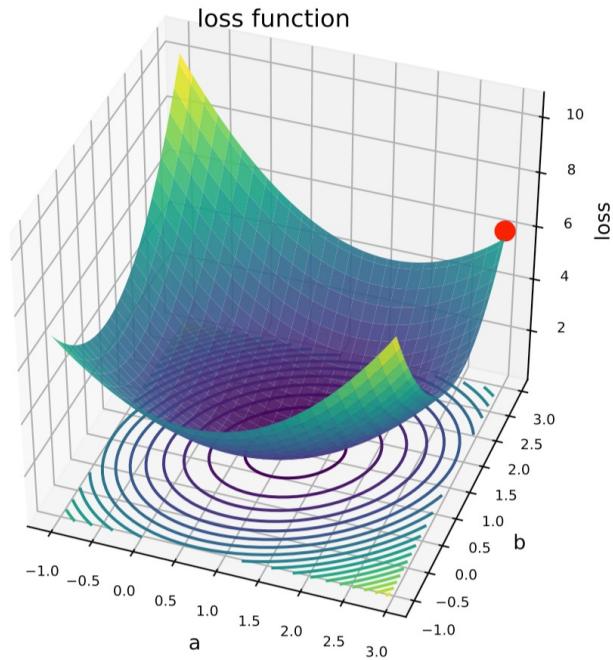
learning rate

# The learning rate is a very important parameter for DL

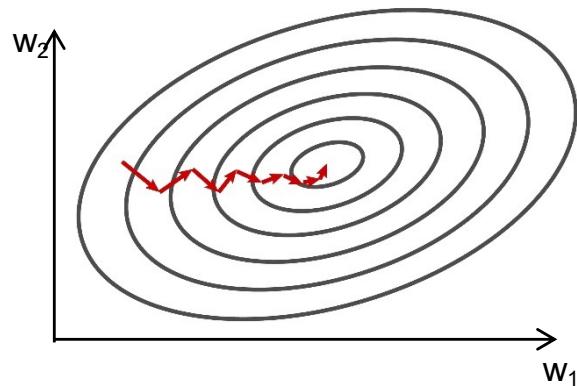


If the loss diverges to infinity: Don't panic, lower the learning rate!

## In two dimensions



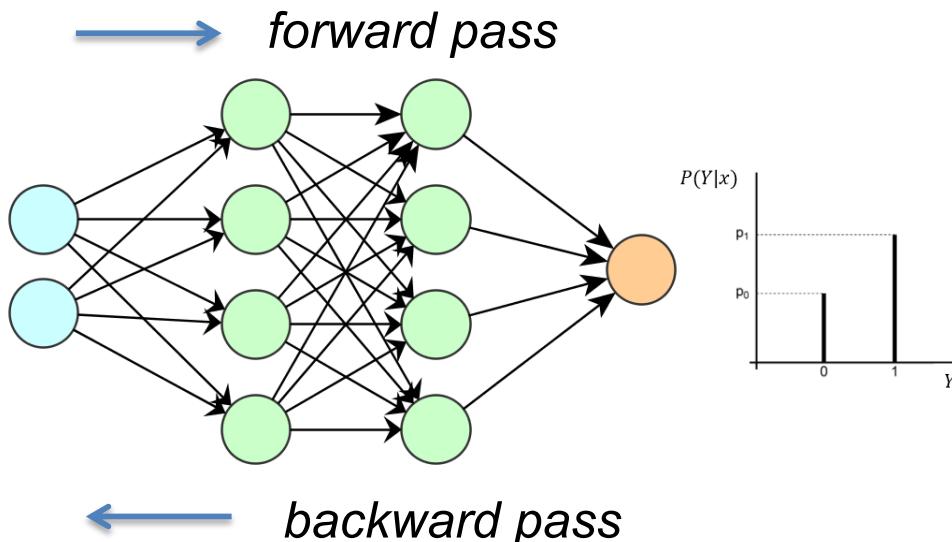
Gradient is perpendicular to contour lines



$$w_i^{(t)} = w_i^{(t-1)} - \varepsilon^{(t)} \frac{\partial L(\mathbf{w})}{\partial w_i} \Big|_{w_i=w_i^{(t-1)}}$$

# Backpropagation

- We efficiently train the weights in a NN via forward and backward pass
  - Forward Pass propagate training example through network
    - Predicts as output  $P(Y|x_i)$  for each input  $x_i$  in the batch given the NN weights  $w$   
→ With  $P(Y|x_i)$  and the observed  $y_i$  we compute the loss  $L = \left( \text{NLL} = -\frac{1}{N} \sum \log(L_i) \right)$
  - Backward pass propagate gradients through network
    - Via chain rule all gradients  $\frac{\partial L(\mathbf{w})}{\partial w_k}$  are determined  
→ update the weights  $w_i^{(t)} = w_i^{(t-1)} - \varepsilon^{(t)} \frac{\partial L(\mathbf{w})}{\partial w_i} \Big|_{w_i=w_i^{(t-1)}}$



# The miracle of gradient descent in DL



Loss surface in DL (is not convex) but SGD magically also works for non-convex problems.

# Typical Training Curve / ReLU

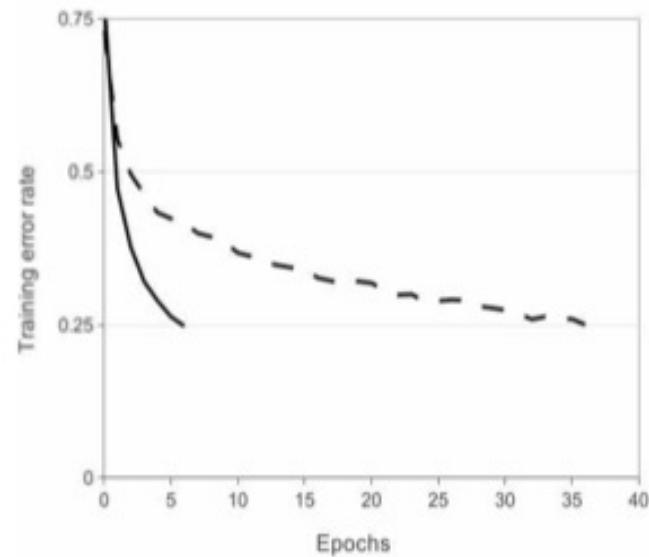
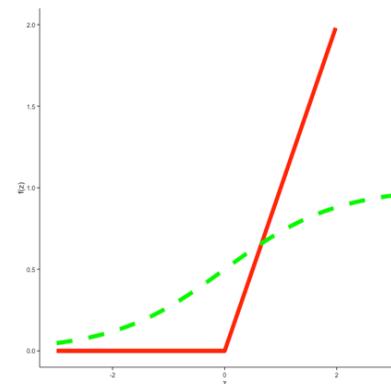
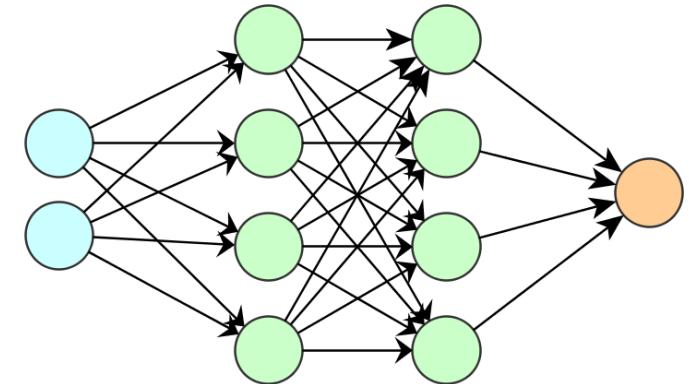
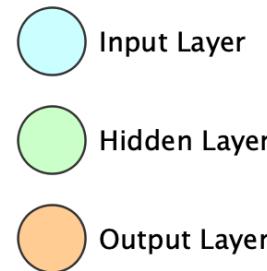


Figure 1: A four-layer convolutional neural network with ReLUs (solid line) reaches a 25% training error rate on CIFAR-10 six times faster than an equivalent network with tanh neurons

Source:  
Alexnet  
Krizhevsky et al 2012



Motivation:  
**Green:**  
sigmoid.  
**Red:**  
ReLU faster  
convergence

Epochs: "each training examples is used once"

# Deep Learning Libraries

# Deep-learners talk about tensors - What is a tensor?

For Deep Learning: A tensor is an array with several indices (like in numpy). Order (a.k.a rank) is the number of indices and shape is the range.

```
In [1]: import numpy as np

In [2]: T1 = np.asarray([1,2,3]) #Tensor of order 1 aka Vector
T1

Out[2]: array([1, 2, 3])

In [3]: T2 = np.asarray([[1,2,3],[4,5,6]]) #Tensor of order 2 aka Matrix
T2

Out[3]: array([[1, 2, 3],
   [4, 5, 6]])

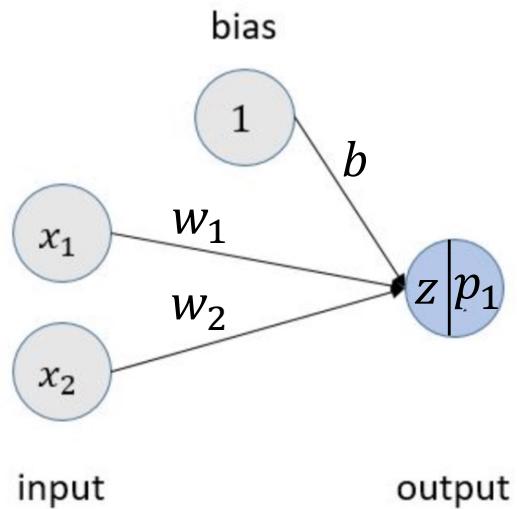
In [4]: T3 = np.zeros((10,2,3)) #Tensor of order 3 (Volume like objects)

In [6]: print(T1.shape)
print(T2.shape)
print(T3.shape)

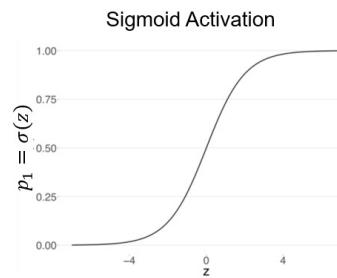
(3,)
(2, 3)
(10, 2, 3)
```

Tensors (as a generalization of matrix and vectors) are the basic quantities in DL.

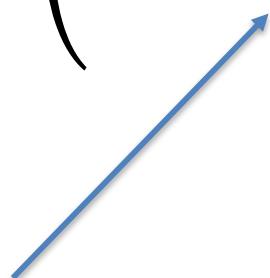
# GPUs love Vectors



$F^{\mu\nu}$

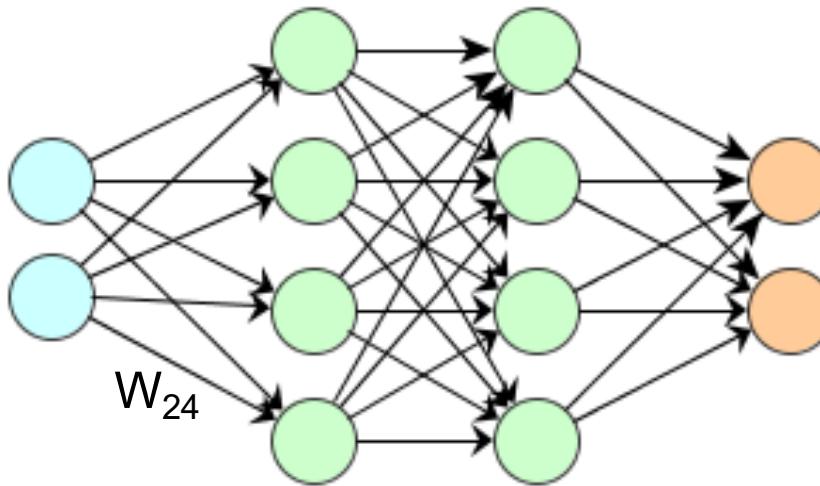


$$p_1 = \text{sigmoid} \left( (x_1 \quad x_2) \cdot \begin{pmatrix} w_1 \\ w_2 \end{pmatrix} + b \right)$$



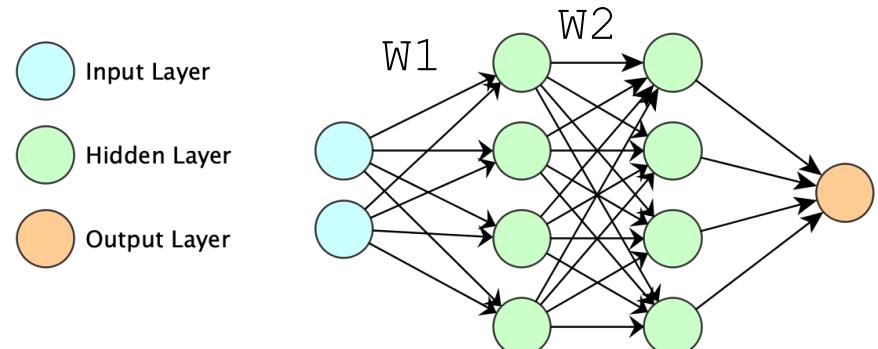
DL: better to have column vectors

# Typical Tensors in Deep Learning



- The input can be understood as a vector
- A mini-batch of size 64 of input vectors can be understood as tensor of order 2
  - (index in batch,  $x_j$ )
- The weights going from e.g. Layer  $L_1$  to Layer  $L_2$  can be written as a matrix (often called  $W$ )
- A mini-batch of size 64 images with 256,256 pixels and 3 color-channels can be understood as a tensor of order 4.

# Structure of the network



In code:

```
## Solution 2 hidden layers
def predict_hidden_2(X):
    hidden_1 = F.sigmoid(X @ W1 + b1) # @ is Matrix Mult.
    hidden_2 = F.sigmoid(hidden_1 @ W2 + b2)
    return F.sigmoid(hidden_2 @ W3 + b3)
```

In math ( $f = \text{sigmoid}$ ) and  $b1=b2=b3=0$

$$p = f(f(f(x W^1) W^2))$$

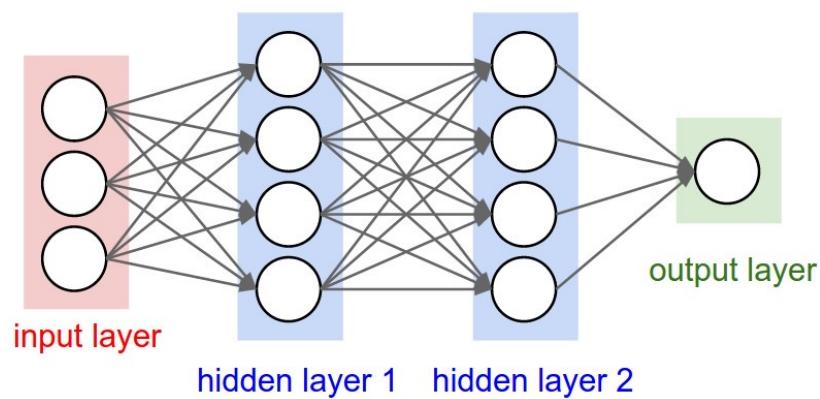
Defining the NN with code is done with so-called low-level libraries (here pytorch)  
Looks a bit like onions, matryoshka (Russian Dolls) or lego bricks...

Question: Dimensions of  $W1$ ,  $W2$ , and  $W2$

# Keras as High-Level library



- We use Keras as high-level library
- Libraries make use of the Lego like block structure of networks



# High Level Libraries



Under the “hood” of Keras runs the engine;



Figure: From Deep Learning with Python, Francois Chollett

## Load packages

- Select “Engine” (before import keras)



```
import os
os.environ["KERAS_BACKEND"] = "torch"      # or 'jax' or 'tensorflow'
import keras
```

## Load building blocks

- Layers, functions etc.

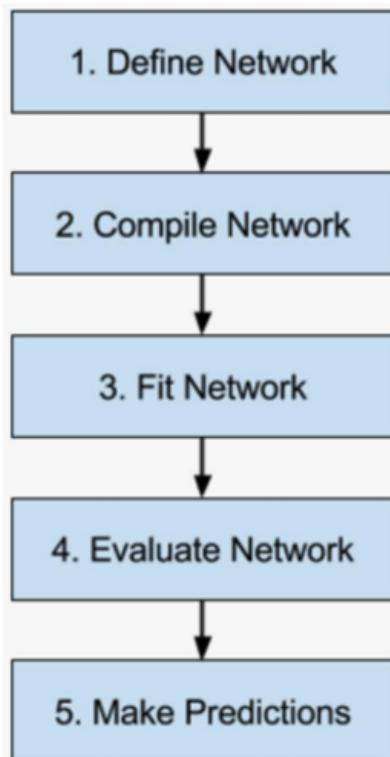


```
from keras.models import Sequential
from keras.layers import Dense,
from keras.optimizers import SGD
```

# Define the network



Sequential API, layers output are the input for the next layer, Alternative Functional API



```
# Define fcNN
model = Sequential()
model.add(Dense(8,
               input_shape=(2,),
               activation='sigmoid'))
model.add(Dense(1,
               activation='sigmoid'))
```

Hidden layer with 8 Neurons,  
Activation function: sigmoid

Last layer with one output neuron for  
binary classification

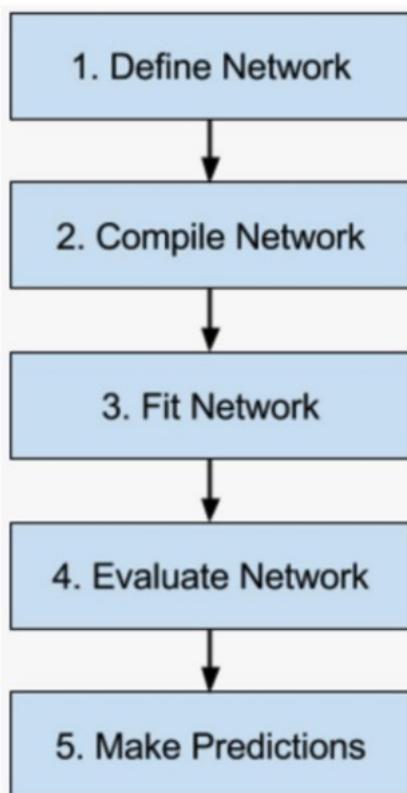
Input shape needs to be defined only at the beginning.

Alternative: `input_dim=2`, Functional API or Sequential API

# Compile the network



Which optimizer should be used?  
Here Stochastic Gradient Descent

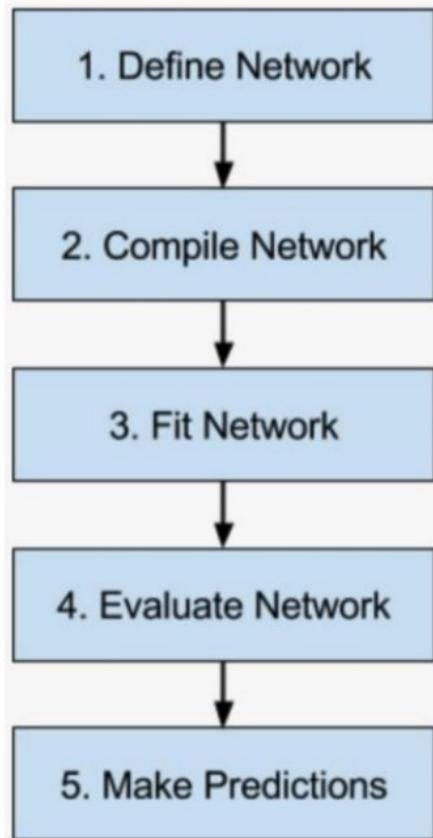


```
model.compile(optimizer=SGD(learning_rate=0.01),  
              loss='binary_crossentropy',  
              metrics=['accuracy'])
```

Loss Function to optimize  
Here: Binary CE

Which metrics do we want to track,  
Here: Accuracy

# Fit the network



Data Tensor X for training

Label Tensor Y

Validation at  
End of each epoch

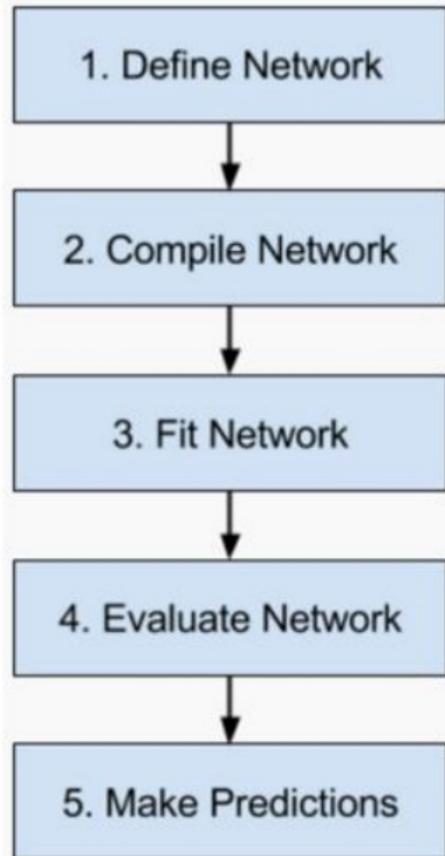
```
history = model.fit(x_train,  
                     y_train,  
                     validation_data=(x_val, y_val),  
                     epochs=1000,  
                     batch_size=10,  
                     verbose=1)
```

How many time do  
we feed the whole  
dataset to the  
model

How many samples per  
batch, 1 batch = 1 iteration  
of weight updates

Should we see the whole  
output? If no verbose = 0

# Evaluate the network



Unseen (to the model ) Test Data X with labels Y

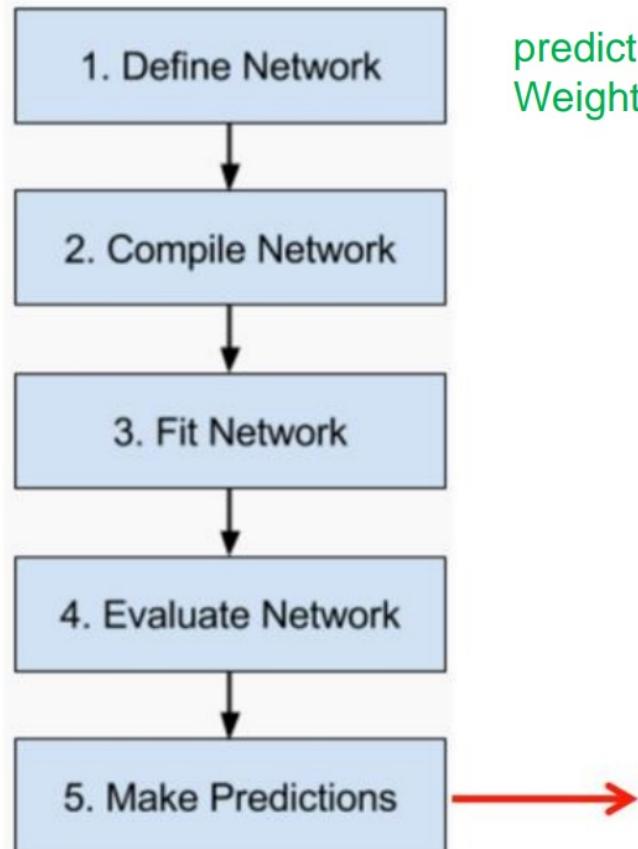
```
model.evaluate(X_test, y_test, verbose=0)
```

Test Loss: 0.33591118454933167, Test Accuracy: 0.8581818342208862

Remember from the  
.compile

```
loss='binary_crossentropy',  
metrics=['accuracy'])
```

# Make Predictions



predict with the model,  
Weights are fixed now

First 10 rows  
(observations) from  
Testdata

All features, here: 2

```
model.predict(X_test[0:10,:])
```

```
1/1 [=====] - 0s 148ms/step
array([[5.9251189e-01],
       [8.8978779e-01],
       [7.8563684e-01],
       [9.1934395e-01],
       [6.1078304e-01],
       [8.2838058e-01],
       [2.5862646e-01],
       [3.1314052e-05],
       [2.6938611e-01],
       [3.7005499e-02]], dtype=float32)
```

Each of the observations  
Gets a probability to  
Belong to class 1

# Building a network (API Styles)

## Three API styles

- The Sequential Model
  - Dead simple
  - Only for single-input, single-output, sequential layer stacks
  - Good for 70+% of use cases
- The functional API
  - Like playing with Lego bricks
  - Multi-input, multi-output, arbitrary static graph topologies
  - Good for 95% of use cases
- Model subclassing
  - Maximum flexibility
  - Larger potential error surface

# Sequential API

## The Sequential API

```
import keras
from keras import layers

model = keras.Sequential()
model.add(layers.Dense(20, activation='relu', input_shape=(10,)))
model.add(layers.Dense(20, activation='relu'))
model.add(layers.Dense(10, activation='softmax'))

model.fit(x, y, epochs=10, batch_size=32)
```

# Functional (do you spot the error?)

The functional API

```
import keras
from keras import layers

inputs = keras.Input(shape=(10,))
x = layers.Dense(20, activation='relu')(x)
x = layers.Dense(20, activation='relu')(x)
outputs = layers.Dense(10, activation='softmax')(x)

model = keras.Model(inputs, outputs)
model.fit(x, y, epochs=10, batch_size=32)
```

# Functional (do you spot the error?)

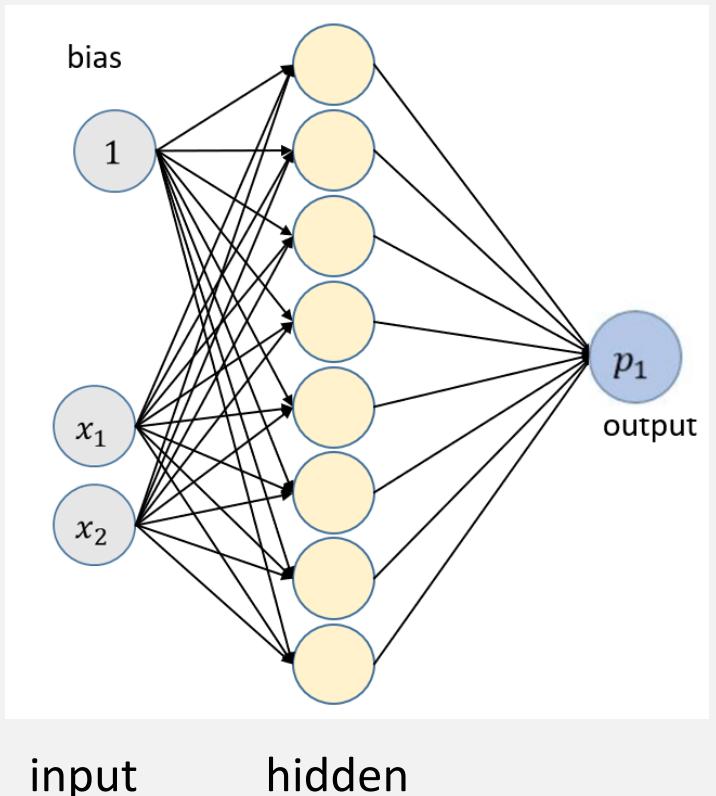
The functional API

```
import keras
from keras import layers

inputs = keras.Input(shape=(10,))
x = layers.Dense(20, activation='relu')(x) ←'inputs'
x = layers.Dense(20, activation='relu')(x)
outputs = layers.Dense(10, activation='softmax')(x)

model = keras.Model(inputs, outputs)
model.fit(x, y, epochs=10, batch_size=32)
```

## Exercise:



Open NB [01\\_simple\\_forward\\_pass\\_keras\\_torch.ipynb](#)  
and do the Keras part

## Summary

- A neuron in a NN is loosely inspired by a neuron in the brain.
- The value of a neuron is computed by the weighted sum of the values in connected neurons of the previous layer, which is then passed through an activation function such as sigmoid or relu
- For a binary classification task we can use the sigmoid activation function for a single neuron in the last layer.  
As loss we use in Keras 'binary\_crossentropy' which is the NLL
- To achieve a non-linear (non-planar) decision boundary between the classes in binary classification, we need NNs with hidden layers.
- The weights of a NN are learned during the training via backpropagation minimizing the loss using SGD (Stochastic Gradient Descent)
- For efficient training use the relu activation function for hidden layers.
- If the loss diverges to infinity: Don't panic, lower the learning rate!