

# Topological Structure of Asynchronous Computing I

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- 4 Combining Topology and Computation

Happy Holi!

# Introduction

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- However, such programs often require synchronization among tasks/threads for correctness.
- Synchronizing using primitives such as Mutexes, Locks, Semaphores, etc is susceptible to **deadlock**, **livelock**, **thread starvation** etc.
- This motivates non-blocking programs. We will focus on wait-free programs.

# Introduction

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- Wait-free algorithms guarantee that every thread will always make progress.
- This also guarantees system-wide progress.
- Well studied class of algorithms [Attiya et al., 1994, Hunt et al., 2010, Herlihy, 1988, Kogan and Petrank, 2012]
- Wait-free data structures:
  - Queue: [Kogan and Petrank, 2011]
  - Hash Table: [Laborde et al., 2017]
  - Linked List: [Timnat et al., 2012]

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- **Question we're interested in:** *which problems have wait-free algorithms?*
- We will look at seminal work from Maurice Herlihy and Nir Shavit from 1999: *The Topological Structure of Asynchronous Computability* [Herlihy and Shavit, 1999]
  - Awarded 2004 Gödel prize for this work.
- They provide necessary and sufficient conditions for problems to have wait-free algorithms using techniques from Algebraic, and Combinatorial Topology.

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- They proved the following problems **do not** have wait-free algorithms
  - **Renaming** [Attiya et al., 1990]: Suppose you have  $n$  processors, each with a unique ID in  $[M]$ . Now want the processors to choose *unique* names in  $[N]$  where  $n \leq N < M$ .

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  - **$k$ -set agreement** [Chaudhuri, 1990]: Each processor has a starting value, and must choose the value of any of the processors as its final value. The processors may choose at most  $k$  distinct values.

- Independently, [Saks and Zaharoglou, 1993] proved impossibility of  $k$ -set agreement using techniques from Topology. However, their method (according to Herlihy and Shavit), seem “specific” to set agreement, while their method generalizes to arbitrary problems.

# Theorem Statement

- The following is the statement of the main theorem.

## Theorem (Asynchronous Computability Theorem)

*A decision task  $\langle \mathcal{J}, \mathbb{O}, \Delta \rangle$  has a wait-free protocol using read-write memory if and only if there exists a chromatic subdivision  $\sigma$  of  $\mathcal{J}$  and a color-preserving simplicial map*

$$\mu : \sigma(\mathcal{J}) \rightarrow \mathbb{O}$$

*such that for each simplex  $S$  in  $\sigma(\mathcal{J})$ ,  $\mu(S) \in \Delta(\text{carrier}(S, \mathcal{J}))$ .*

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- In the rest of this talk we will break down and define every subpart of this theorem.
- In the proceeding sessions, we will (1) study the application of this theorem to different problems, and then finally (2) study the proof of this theorem.



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## Theorem (Asynchronous Computability Theorem)

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- ① **Decision Tasks**
- ② Wait-Free Protocols
  - ① Protocols
  - ② Read-Write memory

# Computational Model

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- In particular, a decision task is defined using a 3-tuple,  $\langle \mathcal{I}, \mathcal{O}, \Delta \rangle$ . Here,  $\mathcal{I}$  is the “input vector”,  $\mathcal{O}$  the “output vector”, and  $\Delta$  a “task specification”

## Definition (I/O Vectors)

An input vector  $I$  (resp output vector  $O$ ) is a vector of length  $n$ , where there are  $n$  processors, such that each entry is either a value of type  $D_I$  (resp.  $D_O$ ), or  $\perp$ . At least one entry must not be  $\perp$ .

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- If entry  $I[x] = \perp$ , it means processor  $x$  will not participate in the execution.
- Similarly, if  $O[x] = \perp$ , then it means processor  $x$  did not choose an output in the execution.

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A vector  $\vec{U}$  is said to be a prefix of  $\vec{V}$  is for  $0 \leq i \leq n$ , either  $\vec{U}[i] = \vec{V}[i]$ , or  $\vec{U}[i] = \perp$ .



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## Definition

A set  $V$  of vectors is *prefix-closed* if for all  $\vec{V} \in V$ , every prefix  $\vec{U}$  of  $\vec{V}$  is in  $V$ .

# Computational Model

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## Definition

A task specification is a relation  $\Delta \subset I \times O$  where  $I, O$  are prefix-closed input, and output vectors respectively. Moreover, for each  $\vec{I} \in I$ , there exists at least one  $\vec{O} \in O$  such that  $(\vec{I}, \vec{O}) \in \Delta$ . We will use  $\Delta(\vec{I})$  to denote the set  $\{\vec{O} \mid (\vec{I}, \vec{O}) \in \Delta\}$ .

# Computational Model

- ① Decision Tasks ✓
- ② Wait-Free Protocols
  - ① **Protocols**
  - ② Read-Write memory

# Computational Model

## Definition (I/O automaton)

An I/O automaton is a nondeterministic automaton with a (not necessarily finite) set of states, a set of input events, output events, and a transition relation. An execution is an alternating sequence of states and events, given some initial state.

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## Definition (Process)

A *process*  $P$  is an automaton with output events  $\text{CALL}(P, v, X, T)$ , and  $\text{FINISH}(P, v)$ , and input events  $\text{START}(P, v)$ , and  $\text{RETURN}(P, v, X, T)$  where  $P$  is a process id,  $v$  is a value,  $X$  an object, and  $T$  is a type.

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- Intuitively: a process receives  $\text{START}$  is it's the entry-point. The  $\text{RETURN}$  event models composition with a previous “subroutine”.
- Thus, when a process finishes it can either terminate with  $\text{FINISH}$  or it can call the next subroutine with  $\text{CALL}$ .



## Definition (Object)

An object  $X$  is an automaton with input events  $\text{CALL}(P, v, X, T)$ , and output event  $\text{RETURN}(P, v, X, T)$ .

## Definition (Read/Write Memory Object)

A *read/write* memory object  $M$  is an automaton with input event  $\text{CALL}(P, \text{READ}, M, a)$  (also written as  $\text{READ}(P, a)$ ), and a corresponding  $\text{CALL}(P, (\text{WRITE}, v), M, a)$  (also written as  $\text{WRITE}(P, a, v)$ ).

# Memory Model

- We assume memory is *atomic snapshot memory*.
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- **reads:** A read atomically returns the entire array.
- **writes:** A write updates the entry corresponding to the processor.
- **commutativity:** Reads commute with each other, and writes commute with each other.
- **linearizability:** Atomic snapshot memory is linearizable. i.e., for any sequence of potentially concurrent reads and writes, there's an equivalent sequential execution which preserves relative ordering of events.

## Definition (Wait-Free solving)

A protocol  $P$  wait-free solves a decision task, if given an input vector  $\vec{I}$  at least one processor produces a **FINISH** event in a finite number of steps independent of the whether the other processors finish, and the output vector  $\vec{O}$  produced by the processors is a prefix of some vector in  $\Delta(\vec{I})$ .

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such that for each **simplex**  $S$  in  $\sigma(\mathcal{I})$ ,  $\mu(S) \in \Delta(\text{carrier}(S, \mathcal{I}))$ .

- Geometric/Abstract simplex
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- simplicial Map
- Colored complex
- Subdivision of a complex
- Color preserving maps, chromatic subdivision
- Carrier of simplex in subdivision

# Topology Background

## Definition (Geometric $n$ -simplex)

Given a set of points  $\{v_0, \dots, v_n\}$  in some Euclidean space (say  $\mathbb{R}^d$ ), the geometric  $n$ -simplex on these points is the set

$$S = \{x \mid x = \sum_{i=0}^n t_i \cdot v_i, \sum_{i=0}^n t_i = 1, 0 \leq t_i \leq 1\} \equiv (v_0, \dots, v_n)$$

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- For 2 points, (i.e., a 1-simplex) it is a line
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## Definition (Face)

Any simplex spanned by a proper subset of  $\{v_0, \dots, v_n\}$  is called a proper face of  $S$ .

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- Eventually, the vertices of the simplex will be used to identify the states of the various processors, and the simplices will model the consistent state of multiple processors involved in solving a task.
- However, we want to reason about multiple possible sets of input states, corresponding to different input vectors  $\vec{I}$ .

## Definition (Geometric simplicial $n$ -complex)

A geometric simplicial complex  $\mathcal{K}$  in a Euclidean space is a collection of geometric simplices such that

- Every face of every simplex of  $\mathcal{K}$  is also a simplex of  $\mathcal{K}$
- The intersection of any two simplices of  $\mathcal{K}$  is also a simplex of  $\mathcal{K}$ .

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## Definition

The  $\ell$ -skeleton of a complex  $\mathcal{K}$ , denoted  $\text{skel}^\ell(\mathcal{K})$  is the subcomplex consisting of all simplices of dimension at most  $\ell$ .

# Topology Background

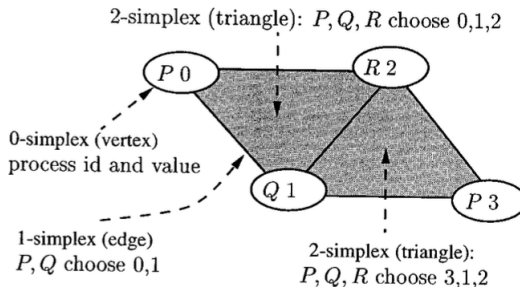


FIG. 4. Vertices and simplexes.



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- Intuitively, this will be useful to study the interaction of the input, and output simplices for a decision task.

## Definition

Let  $\mathcal{K}$  and  $\mathcal{L}$  be complexes, possibly of different dimensions. A vertex map  $\mu : \text{skel}^0(\mathcal{K}) \rightarrow \text{skel}^0(\mathcal{L})$  carries vertices of  $\mathcal{K}$  to vertices of  $\mathcal{L}$ . If this in addition carries simplices of  $\mathcal{K}$  to simplices of  $\mathcal{L}$  it is called a simplicial map.

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## Definition

A coloring  $\chi$  of a complex  $\mathcal{K}$  assigns every vertex of  $\mathcal{K}$  a color, such that no two vertices connected by a 1-simplex (line) have the same color. The coloring can be thought of as a dimension-preserving simplicial map  $\chi : \text{skel}^0(\mathcal{K}) \rightarrow \text{skel}^0(S)$  where  $S$  is the complex induced by the faces of a  $n$ -dimensional simplex  $S$ . Intuitively, the coloring condition is enforced by such a map since it is dimension preserving.

# Topology Background

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- Intuitively, this helps model certain subsets of processor states, and/or evolution of intermediate states.

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Let  $\mathcal{K}$  be a complex in  $\mathbb{R}^\ell$ . A complex  $\sigma(\mathcal{K})$  is a subdivision of  $\mathcal{K}$  if

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## Definition

If  $S$  is a simplex in  $\sigma(\mathcal{K})$ , the **carrier** of  $S$  denoted  $\text{carrier}(S, \mathcal{K})$  is the unique smallest  $T \in \mathcal{K}$  such that  $S \subset T$ .

Intuitively, it is the simplex in the original complex which was subdivided to create  $S$  in  $\sigma(\mathcal{K})$ .

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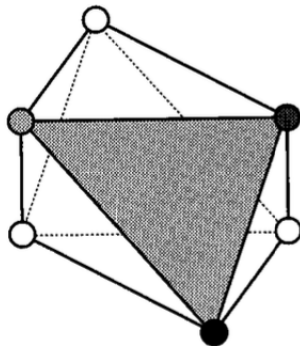
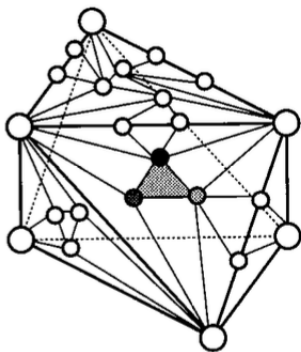


FIG. 7. A simplex and its carrier.

## Definition

A *chromatic subdivision* of  $(\mathcal{K}, \chi_{\mathcal{K}})$  is a chromatic complex  $(\sigma(\mathcal{K}), \chi_{\sigma(\mathcal{K})})$  such that  $\sigma(\mathcal{K})$  is a subdivision of  $\mathcal{K}$ , and for all  $S$  in  $\sigma(\mathcal{K})$ , we have  $\chi_{\sigma(\mathcal{K})}(S) \subseteq \chi_{\mathcal{K}}(\text{carrier}(S, \mathcal{K}))$



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- We have all these topological constructions, but how do we embed our decision task to work with these constructions?
- Need to (1) Represent the Input/Output sets  $\mathcal{I}$  and  $\mathcal{O}$  using complexes, and (2) lift  $\Delta$  to a topological specification.

# Combining Topology and Computation

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- However, conveniently there is provably a correspondence between this generalization and a geometric representation.

## Definition

An *abstract simplex* is simply a non-empty set.

## Definition

An *abstract complex*  $\mathcal{K}$  is a collection of abstract simplices closed under containment. i.e., if  $S \in \mathcal{K}$  then so is any face of  $S$ .

## Definition

Let  $\vec{I} \in I$  be an input vector. The *input simplex* corresponding to  $\vec{I}$ , denoted  $\mathfrak{I}(I)$ , is the abstract colored simplex whose vertices  $\langle P_i, v_i \rangle$  correspond to the participating entries in  $\vec{I}$ , for which  $\vec{I}[i] = v_i \neq \perp$ . Output simplices defined similarly.

# Combining Topology and Computation

## Definition

Let  $\vec{I} \in I$  be an input vector. The *input simplex* corresponding to  $\vec{I}$ , denoted  $\mathcal{T}(I)$ , is the abstract colored simplex whose vertices  $\langle P_i, v_i \rangle$  correspond to the participating entries in  $\vec{I}$ , for which  $\vec{I}[i] = v_i \neq \perp$ . Output simplices defined similarly.

## Definition

The *input complex* corresponding to  $I$ , denoted by  $\mathcal{I}$  is the collection of input simplices  $\mathcal{T}(I)$  corresponding to the input vectors of  $I$ . Output complex  $\mathcal{O}$  defined similarly.

# Combining Topology and Computation

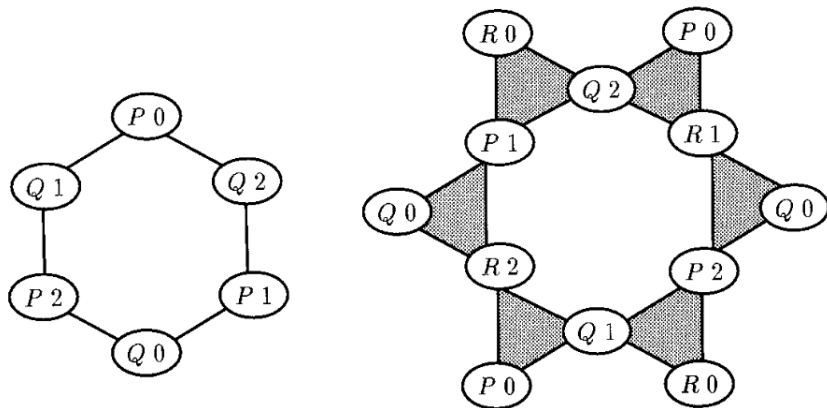


FIG. 8. Some output complexes for the renaming task.



## Definition

The *topological task specification* corresponding to the task specification  $\Delta$ , denoted  $\Delta \subseteq \mathcal{J} \times \mathcal{O}$ , is defined to contain all pairs  $(\mathcal{T}(\vec{I}), \mathcal{T}(\vec{O}))$  where  $(\vec{I}, \vec{O})$  is in the task specification  $\Delta$ .

# Putting it all Together I

## Theorem (Asynchronous Computability Theorem)

*A decision task  $\langle \mathcal{J}, \mathbb{O}, \Delta \rangle$  has a wait-free protocol using read-write memory if and only if there exists a chromatic subdivision  $\sigma$  of  $\mathcal{J}$  and a color-preserving simplicial map*

$$\mu : \sigma(\mathcal{J}) \rightarrow \mathbb{O}$$

*such that for each simplex  $S$  in  $\sigma(\mathcal{J})$ ,  $\mu(S) \in \Delta(\text{carrier}(S, \mathcal{J}))$ .*

My intuition:

- Last condition  $\mu(S) \in \Delta(\text{carrier}(S, \mathcal{J}))$  enforces that  $\mu$  is mapping to valid output simplexes (i.e., protocol actually solves the task)
- The coloring enforces some notion of “independence” among tasks as desired in a wait-free protocol

# Putting it all Together II

- The subdivision allows considering more fine-grained / intermediate states of processors, and the color-preserving map says that these states can be mapped to a valid output state which still preserves that independence.

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# Questions?



Thank You!