

# 2017

## MADE EASY WORKBOOK

Computer Networks + DBMS  
+ Operating System

### Contents

#### Section-B → DBMS

Sl.	Unit	Pages
1.	Integrity Constraints and ER Model .....	34
2.	Normalization .....	39
3.	Relational Algebra, Tuple Relational Calculus and SQL .....	45
4.	Transaction and Concurrency Control .....	56
5.	Files Structure and Indexing .....	62

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# 1

# Integrity Constraints and ER Model



## Multiple Choice Questions

- Q.1 Consider a relation  $\text{geq}$  which represents "greater than or equal to", that is  $(x, y) \in \text{geq}$  only if  $y \geq x$ :

**(CREATE TABLE geq**

*lb* integer NOT NULL,

*ub* integer NOT NULL,

primary key *lb*

Foreign key (*ub*) references  $\text{geq}$  on delete cascade);

Which of the following is possible if a tuple  $(x, y)$  is deleted?

- (a) A tuple  $(z, w)$  with  $z > y$  is deleted
- (b) A tuple  $(z, w)$  with  $z > x$  is deleted
- (c) A tuple  $(z, w)$  with  $w < x$  is deleted
- (d) The deletion of  $(x, y)$  is prohibited

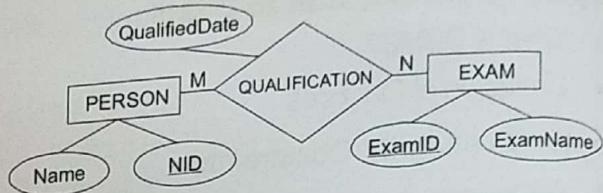
- Q.2 The following table has two attributes A and C where A is the primary key and C is the foreign key referencing A with on delete cascade.

A	2	3	4	5	7	9	6
C	4	4	3	2	2	5	4

The set of all tuples that must be additionally deleted to preserve referential integrity when the tuple  $(2, 4)$  is deleted is

- (a)  $(3, 4)$  and  $(6, 4)$
- (b)  $(5, 2)$  and  $(7, 2)$
- (c)  $(5, 2), (7, 2)$  and  $(9, 5)$
- (d)  $(3, 4), (4, 3)$  and  $(6, 4)$

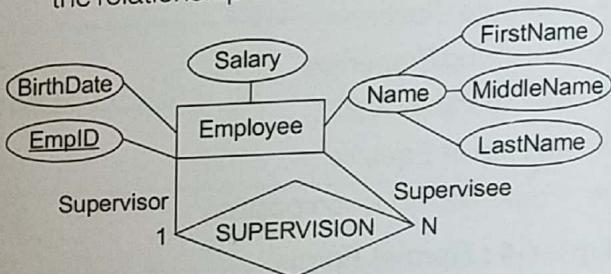
- Q.3 Consider the following Entity Relationship Diagram (ERD).



Which of the following possible relations will not hold if the above ERD is mapped into a relational model?

- (a) Person (NID, Name)
- (b) Qualification (NID, ExamID, QualifiedDate)
- (c) Exam (ExamID, NID, ExamName)
- (d) Exam (ExamID, ExamName)

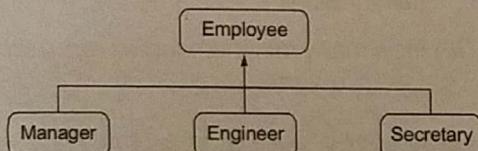
- Q.4 Consider the following ERD diagram depicting the relationship of an employee and supervisor.



Which of the possible relations if the above ERD is mapped into a relational model?

- (a) Employee (EmplID, BirthDate, Salary, Name(FirstName, MiddleName, LastName))
- (b) Supervision (EmplID, BirthDate, Salary, Name(FirstName, MiddleName, LastName), EmplID)
- (c) Supervisor (SupervisorID, BirthDate, Salary, Name(FirstName, MiddleName, LastName), EmplID, {EmplID})
- (d) Employee (EmplID, BirthDate, Salary, Name(FirstName, MiddleName, LastName), SupervisorID)

- Q.5 It is desired to design an object-oriented employee record system for a company. Each employee has a name, unique id and salary. Employees belong to different categories and their salary is determined by their category. The functions getName, getId and compute Salary are required. Given the class hierarchy below, possible locations for these functions are:
1. getId is implemented in the superclass
  2. getId is implemented in the subclass
  3. getName is an abstract function in the superclass
  4. getName is implemented in the superclass
  5. getName is implemented in the subclass
  6. getSalary is an abstract function in the superclass
  7. getSalary is implemented in the superclass
  8. getSalary is implemented in the subclass



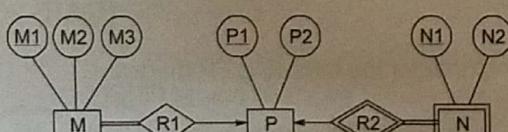
Choose the best design

- (a) 1, 4, 6 and 8
- (b) 1, 4 and 7
- (c) 1, 3, 5, 6 and 8
- (d) 2, 5 and 8

[GATE-2004]

#### Common Data For Q.6 & Q.7

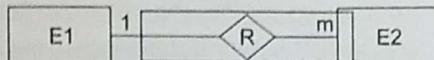
Consider the following ER diagram



- Q.6 The minimum number of table needed to represent M, N, P, R1, R2 is
- (a) 2
  - (b) 3
  - (c) 4
  - (d) 5

[GATE-2008]

- Q.7 Which of the following is a correct attribute set for one of the tables for the correct answer to the above question?
- (a) {M1, M2, M3, P1}
  - (b) {M1, P1, N1, N2}
  - (c) {M1, P1, N1}
  - (d) {M1, P1}
- Q.8 Consider the following entity relationship diagram (ERD), where two entities E1 and E2 have a relation R of cardinality 1 : m.



The attributes of E1 are A11, A12 and A13 where A11 is key attribute. The attributes of E2 are A21, A22, and A23 where A21 is the key attribute and A23 is a multi-valued attribute. Relation R does not have any attribute. A relational database containing minimum number of tables with each table satisfying the requirements of the third normal form (3NF) is designed from the above ERD. The number of tables in the database is

- (a) 2
- (b) 3
- (c) 5
- (d) 4

[GATE-2004]

- Q.9 The relationship between two entity types A and B is 1:1 and the relationship is optional at the A end. Only 50% of B entities are related to an A entity. Now consider mapping these entity types into relations.

Select the best statement from the following list.

- (a) A and B should be kept separate with the foreign key in the A relation.
- (b) A and B should be kept separate with a foreign key in both A and B.
- (c) A and B should be kept separate with the foreign key in the B relation.
- (d) None of the above

- Q.10 1 : N relationship in E-R diagram is implemented in relation model as

- (a) foreign keys are added on both sides
- (b) relation corresponding to '1' side is modified to include foreign key of the relation on the N side
- (c) primary keys are added on both sides
- (d) relation corresponding to 'N' side is modified to include foreign key of the relation on the '1' side.

- Q.11 A relation (from the relational database model) consists of a set of tuples, which implies that

- (a) relational model supports multi-valued attributes whose values can be represented in sets.

- (b) for any two tuples, the values associated with all of their attributes may be the same.
- (c) for any two tuples, the value associated with one or more of their attributes must differ.
- (d) all tuples in a particular relation may have different attributes.

**Q.12** Select the correct statement from the following on referential integrity.

- (a) Referential integrity constraints check whether the primary key values are unique.
- (b) Referential integrity constraints are specified between two relations in a schema.
- (c) Referential integrity constraints check whether an attribute value lies in the given range.
- (d) Referential integrity constraints are specified between entities having recursive relationships.

**Q.13** Consider the following statements.

1. An entity integrity constraint states that no primary key value can be null.
2. A referential integrity constraint is specified between two relations.
3. A foreign key cannot be used to refer to its own relation.

Identify which of the above statements is/are correct?

- |                  |                  |
|------------------|------------------|
| (a) Only 1       | (b) Only 2       |
| (c) Only 2 and 3 | (d) Only 1 and 2 |

**Q.14** Given the following statements:

S1: A foreign key declaration can always be replaced by an equivalent check assertion in SQL.



### Numerical Data Type Questions

**Q.15** Relation R(A<sub>1</sub>, A<sub>2</sub>, A<sub>3</sub>, A<sub>4</sub>, ..., A<sub>n</sub>). How many super keys in R with candidate keys

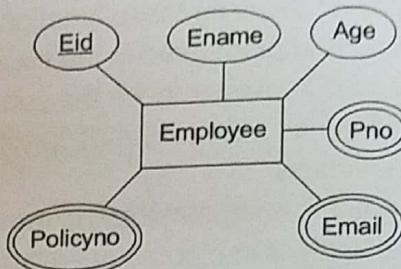
- (a) {A<sub>1</sub>}
- (b) {A<sub>1</sub>A<sub>2</sub>, A<sub>3</sub>A<sub>4</sub>}
- (c) {A<sub>1</sub>A<sub>2</sub>, A<sub>1</sub>A<sub>3</sub>}
- (d) {A<sub>1</sub>, A<sub>2</sub>, A<sub>3</sub>}

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- (e) {A<sub>1</sub>A<sub>2</sub>, A<sub>2</sub>A<sub>3</sub>, A<sub>3</sub>A<sub>4</sub>}
- (f) {A<sub>1</sub>A<sub>2</sub>, A<sub>2</sub>A<sub>3</sub>A<sub>4</sub>, A<sub>5</sub>}
- (g) {Every attribute of relation R}

**Q.16** Relation R(A B C D E F). How many super keys in R if {A, BC, CD} candidate keys.

**Q.17** Consider the following entity set

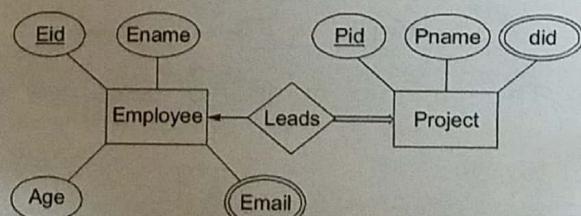


- (i) How many minimum tables required which satisfy 1 NF?
- (ii) How many minimum tables required which satisfy BCNF?
- (iii) How many minimum tables required which satisfy 4 NF?

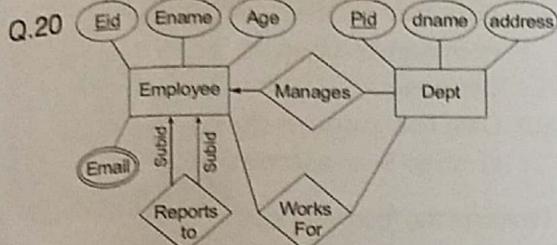
**Q.18** Let E<sub>1</sub> and E<sub>2</sub> be two in an E/R diagram with simple single-valued attributes R<sub>1</sub> and R<sub>2</sub> are two relationship between E<sub>1</sub> and E<sub>2</sub>, where R<sub>1</sub> is one-to many and R<sub>2</sub> is many-to-many. R<sub>1</sub> and R<sub>2</sub> do not have any attributes of their own. What is the minimum number of tables required to represent this situation in the relational model?

[GATE-2005]

**Q.19** Consider the following ER diagram

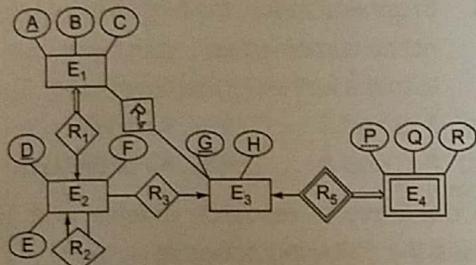


- (i) How many minimum RDBMS tables required for above ER diagram which satisfy 1 NF?
- (ii) How many minimum RDBMS tables required which satisfy BCNF?
- (iii) How many minimum RDBMS tables required which satisfy 4NF?
- (iv) How many foreign keys required for 4NF relations of above ER diagram



- (i) How many RDBMS tables required which satisfy 3NF?
- (ii) How many minimum RDBMS tables required which satisfy ANF?
- (iii) How many minimum foreign keys required which satisfy 4NF database design for above ER diagram

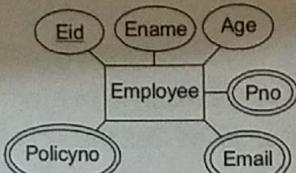
Q.21 Consider the following ER diagram



- (i) How many minimum RDBMS tables required for above ER diagram
- (ii) How many foreign keys required for minimized RDBMS table of above ER diagram
- (iii) Total number of attribution in the minimized RDBMS tables of above ER diagram?

### Try Yourself

T1. Consider the following entity set



- (i) How many minimum tables required which satisfy 1 NF?
- (a) 1 (b) 2 (c) 3 (d) 4

(ii) How many minimum tables required which satisfy BCNF?

- (a) 1 (b) 2  
(c) 3 (d) 4

(iii) How many minimum tables required which satisfy 4 NF?

- (a) 1 (b) 2  
(c) 3 (d) 4

T2. Consider a relation R(A, B, C, D, E) with the following functional dependencies:

$$ABC \rightarrow DE \text{ and } D \rightarrow AB$$

The number of superkeys of R is:

- (a) 2 (b) 7  
(c) 10 (d) 12

T3. DML is provided for

- (a) Description of logical structure of database
- (b) Addition of new structures in the database system
- (c) Manipulation & processing of database
- (d) Definition of physical structure of database system

T4. Which of the following is not part of Data Definition Language (DDL)

1. Deleting relations.
  2. Defining.
  3. Specifying the security and authorization for relation.
  4. Inserting tuples, deleting tuples.
  5. Physical storage structure information.
- (a) 3 and 5 (b) 1, 4 both
  - (c) 4 only (d) 3 and 2

T5. Generally speaking, for a \_\_\_\_\_ entity set to be meaningful it must be part of a

- (a) One to one relationship
- (b) One to many relationship
- (c) Many to many relationship
- (d) None of the above

T6. View in a database system are important because:

1. They improve the efficiency of query execution.
2. They help provide data independence.
3. They allow the schema to change without forcing existing applications to be recompiled.

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4. They help with access control by allowing users to see only a particular subset of the data in the database.  
 (a) 2 and 4      (b) 1 and 4  
 (c) 1 and 3      (d) 2 and 3

**T7.** The following two questions refer to the relational schema  $R(A, B, C, D, E, F, G, H)$  and the following functional dependencies over  $R$ :

$$A \rightarrow BCD, AD \rightarrow E, EFG \rightarrow H, F \rightarrow GH$$

- Q.1: Based on the functional dependencies, there is one key for  $R$ . What is it?  
 Q.2: One of the four functional dependencies can be removed without altering the key. Which one?

**T8.** Consider points about weak entity set.

1. The identifying relationship is many to one from the weak entity set to identifying entity set.
2. The participation of weak entity set in relationship may not be total.
3. A weak entity set can participate in relationship other than the identifying relationship.
4. A weak entity set can participate as owned in an identifying relationship with another weak entity set.

Which of the above are true.

- (a) 1 and 3      (b) 1, 2 and 3  
 (c) 1, 3 and 4      (d) All of these

**T9.** Which of the following statements are true about weak entity sets:

1. A weak entity set cannot have a primary key.
  2. A weak entity set must have a local attribute in primary key.
  3. A weak entity must borrow an attribute from another entity set to form a primary key.
- (a) 1 and 2      (b) 2 and 3  
 (c) 3 only      (d) None of these

**T10.** Which of the following descriptions is incorrect?

- (a) Data dictionary is a repository of information describing the data in the database.  
 (b) Constraints is a rule that is enforced on the data.

- (c) data manipulation language is a set of commands used to alter the data in database.  
 (d) Data flow diagram signifies the quantities involved in an association.

**T11.** Which of the following true

1. The process of designating subgrouping within an entity set is called specialization.
  2. Aggregation is an abstraction in which relationship set (along with their associated entity set) are treated as higher-level entity set and can participate in relationship.
  3. Generalization is a bottom-up approach in which two lower level entities combine to form higher level entity.
  4. In generalization, the higher-level entity can not be combined with other lower-level entity to make further higher-level entity.
- (a) 1, 2 and 3      (b) 1 and 3  
 (c) 2, 3 and 4      (d) All of these

**T12.** A database of research articles in a journal uses the following schema.

(VOLUME, NUMBER, STARTPAGE, ENDPAGE, TITLE, YEAR, PRICE)

The primary key is (VOLUME, NUMBER, STARTPAGE, ENDPAGE) and the following functional dependencies exist in the schema.

(VOLUME, NUMBER, STARTPAGE, ENDPAGE)  $\rightarrow$  TITLE  
 (VOLUME, NUMBER)  $\rightarrow$  YEAR

(VOLUME, NUMBER, STARTPAGE, ENDPAGE)  $\rightarrow$  PRICE  
 The database is redesigned to use the following schemas.

(VOLUME, NUMBER, STARTPAGE, ENDPAGE, TITLE, PRICE)  
 (VOLUME, NUMBER, YEAR)

Which is the weakest normal form that the new database satisfies, but the old one does not?  
 (a) 1NF      (b) 2NF  
 (c) 3NF      (d) BCNF

[GATE-2016, Ans: (b)]

# 2

## Normalization



### Multiple Choice Questions

Q.1 The following functional dependencies are given:  $AB \rightarrow CD$ ,  $AF \rightarrow D$ ,  $DE \rightarrow F$ ,  $C \rightarrow G$ ,  $F \rightarrow E$ ,  $G \rightarrow A$ . Which one of the following options is false?

- (a)  $\{CF\}^+ = \{ACDEFG\}$
- (b)  $\{BG\}^+ = \{ABCDG\}$
- (c)  $\{AF\}^+ = \{ACDEFG\}$
- (d)  $\{AB\}^+ = \{ACDFG\}$

[GATE-2006]

Q.2 Consider a relation scheme  $R = (A, B, C, D, E, H)$  on which the following functional dependencies hold:  $\{A \rightarrow B, BC \rightarrow D, E \rightarrow C, D \rightarrow A\}$ . What are the candidate keys of  $R$ ?

- (a) AE, BE
- (b) AE, BE, DE
- (c) AEH, BEH, BCH
- (d) AEH, BEH, DEH

[GATE-2005]

Q.3 Let  $R = (A, B, C, D, E, F)$  be a relation scheme with following dependencies  $C \rightarrow F$ ,  $E \rightarrow A$ ,  $EC \rightarrow D$  and  $A \rightarrow B$ .

Which of the following is a key for  $R$ ?

- (a) CD
- (b) AE
- (c) AC
- (d) None of these

[JNUEE-2008]

Q.4 Consider the following declaration:

$F$  : set of functional dependencies

$R$  : relation of functional dependencies

$R$  :  $(A, B, C, D, E)$

$F$  :  $\{A \rightarrow BC; CD \rightarrow E; B \rightarrow D; E \rightarrow A\}$

$CD \rightarrow E;$

$B \rightarrow D;$

$E \rightarrow A\}$

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then candidate keys for  $R$  is \_\_\_\_\_

- (a) A, E, CD, BC
- (b) AB, EB, CD, BC
- (c) A, E, C, BD
- (d) A, E, CD, BD

Q.5 Consider a relation schema  $R = (A, B, C, D, E, F, G, H, I, J)$  on which the following functional dependency holds  $\{ABD \rightarrow E, AB \rightarrow G, B \rightarrow F, C \rightarrow J, CJ \rightarrow I, G \rightarrow H\}$  then what are the candidate keys of  $R$ ?

- (a) A, B, C, D
- (b) A, B, G, F
- (c) A, B, C, I
- (d) A, B, C

Q.6 Let  $R$  be an RDBMS with attributes  $A_1, A_2, \dots, A_n$ . Let  $S$  denote the set  $\{A_1, A_2, \dots, A_n\}$ . Let  $T \subseteq S$  be a set of attributes that forms a candidate key. Then which of the following is/are True?

P:  $T \rightarrow S - T$

Q:  $\exists P \subset T$  s.t.  $P \rightarrow S - P$

R:  $\forall Q \supseteq T$  s.t.  $Q \rightarrow S - Q$

- (a) Only P is true
- (b) P and Q are true
- (c) P and R are true
- (d) Q and R are true

Q.7 Which of the following functional dependencies implied in the given FD set  $\{AB \rightarrow CD, AF \rightarrow D, DE \rightarrow F, C \rightarrow G, F \rightarrow E, G \rightarrow A\}$ .

- (a)  $AB \rightarrow F$
- (b)  $BG \rightarrow E$
- (c)  $CF \rightarrow D$
- (d)  $AF \rightarrow B$

Q.8 If the set of functional dependencies  $F = \{A \rightarrow BC, CD \rightarrow E, E \rightarrow C, D \rightarrow AEH, ABH \rightarrow BD, DH \rightarrow BC\}$ , then what is the canonical cover of  $F$ ?

- (a)  $\{A \rightarrow BC, C \rightarrow E, E \rightarrow C, D \rightarrow AE, DH \rightarrow B\}$
- (b)  $\{A \rightarrow BC, E \rightarrow C, D \rightarrow AEH, AH \rightarrow D\}$
- (c)  $\{A \rightarrow B, E \rightarrow C, D \rightarrow AH, AH \rightarrow D\}$
- (d)  $\{A \rightarrow C, C \rightarrow E, E \rightarrow C, D \rightarrow AH, D \rightarrow BC\}$

[JNUEE-2007]

- Q.9** Consider two sets of functional dependencies F and G if  $F = \{A \rightarrow C, AC \rightarrow D, E \rightarrow AD, E \rightarrow H\}$  then the equivalent G is  
 (a)  $G = \{A \rightarrow CD, E \rightarrow AH\}$   
 (b)  $G = \{A \rightarrow CH, E \rightarrow ADH\}$   
 (c)  $G = \{A \rightarrow CD, E \rightarrow H\}$ ,  
 (d)  $G = \{A \rightarrow AH, E \rightarrow CH\}$

- Q.10** Identify minimal cover for the following FD set  $\{AB \rightarrow C, C \rightarrow A, BC \rightarrow D, ACD \rightarrow B, BE \rightarrow C, EC \rightarrow FA, CF \rightarrow BD, D \rightarrow E\}$   
 (a)  $\{AB \rightarrow C, C \rightarrow ABD, BE \rightarrow C, EC \rightarrow AF, D \rightarrow E\}$   
 (b)  $\{A \rightarrow C, C \rightarrow ABD, BE \rightarrow C, EC \rightarrow F, D \rightarrow E\}$   
 (c)  $\{A \rightarrow C, C \rightarrow ABD, BE \rightarrow C, EC \rightarrow AF, D \rightarrow E\}$   
 (d)  $\{AB \rightarrow C, C \rightarrow A, BC \rightarrow D, BE \rightarrow C, EC \rightarrow F, D \rightarrow E, CF \rightarrow BD\}$

- Q.11** Find minimal cover of the following functional dependencies  
 (a)  $\{A \rightarrow BCDEF, BC \rightarrow ADEF, B \rightarrow F, D \rightarrow E\}$   
 (b)  $\{AB \rightarrow C, D \rightarrow E, AB \rightarrow E, E \rightarrow C\}$   
 (c)  $\{AB \rightarrow C, BC \rightarrow A, A \rightarrow BC, B \rightarrow AC, C \rightarrow AB\}$

- Q.12** Test the following decompositions of relations lossless join decomposition and dependency preserving decomposition satisfied or not?  
 (i) R(ABCDEFGHIJ) and FD sets  $\{AB \rightarrow C, A \rightarrow DE, B \rightarrow F, F \rightarrow GH, D \rightarrow IJ\}$   
 (a)  $D_1 = \{DIJ, ADE, FGH, BF, ABC\}$   
 (b)  $D_2 = \{DIJ, ACE, FGH, BF, ADC\}$   
 (c)  $D_3 = \{FGH, DIJ, ADEBF, ABC\}$   
 (ii) R(ABCDEFG) and FD's sets  $\{AB \rightarrow C, AC \rightarrow B, AD \rightarrow E, B \rightarrow D, BC \rightarrow A, E \rightarrow G\}$   
 (a)  $D_1 = \{AB, BC, ABDE, EG\}$   
 (b)  $D_2 = \{ABC, ACDE, ADG\}$   
 (iii) R(ABCDEFG) and FD's sets  $\{AB \rightarrow C, AC \rightarrow B, AD \rightarrow E, B \rightarrow D, BC \rightarrow A, E \rightarrow G\}$   
 (a)  $D_1 = \{AB, BC, ABDE, EG\}$   
 (b)  $D_2 = \{ABC, ACDE, ADG\}$   
 (iv) R(ABCDEF) and FD's sets  $F = \{A \rightarrow B, AC \rightarrow DE, BD \rightarrow F\}$   
 Decomposed into  $\{AB, BDF, ACDE\}$

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- Q.13** Let R(A, B, C, D) be a relational schema with the following functional dependencies:  $A \rightarrow B, B \rightarrow C, C \rightarrow D$  and  $D \rightarrow B$ . The decomposition of R into (A, B), (B, C) and (B, D)  
 (a) gives a lossless join, and is dependency preserving  
 (b) gives a lossless join, but is not dependency preserving  
 (c) does not give a lossless join, but is dependency preserving  
 (d) does not give a lossless join and is not dependency preserving

[GATE IT-2008]

- Q.14** Let R be a relationship schema and let F be a set of functional dependencies on R. Let  $R_1$  and  $R_2$  form a decomposition of R,  $F^+$  must contain  
 (a)  $R_1 \cup R_2 \rightarrow R_1$  or  $R_1 \cup R_2 \rightarrow R_2$   
 (b)  $R_1 \cup R_2 \rightarrow R_1$  or  $R_1 \cap R_2 \rightarrow R$   
 (c)  $R_1 \cup R_2 \rightarrow R_2$  or  $R_1 \cap R_2 \rightarrow R$   
 (d)  $R_1 \cap R_2 \rightarrow R_1$  or  $R_1 \cap R_2 \rightarrow R_2$

[DRDO-2009]

- Q.15** For a relational schema R,  $K_1$  and  $K_2$  are the only candidate keys. R has a functional dependency  $X \rightarrow A$  where X is a set of attributes and A is an attribute. It is known that  $A \in K_1$  and  $A \notin K_2$  and X is not a superkey. Which of the following is true?  
 (a) R could be in BCNF  
 (b) R is surely not in BCNF, but could be in 3NF  
 (c) R is surely not in 3NF, but could be in 2NF  
 (d) R is surely not in 2NF, but could be in 1NF

[ISRO-2009]

- Q.16** A relation with 2 attributes is always in  
 (a) 4NF (b) BCNF  
 (c) 5NF (d) DK/NF
- Q.17** An RDBMS with 3 attributes has the following functional dependencies  $A \rightarrow B, A \rightarrow C, C \rightarrow B$   
 (a) 2NF (b) 3NF  
 (c) 4NF (d) BCNF

[DRDO-2009]

Q.18 The best normal form the instance is

A	B	C	D	E	F
1	2	3	4	5	6
1	2	3	4	5	6
1	2	3	4	5	6
1	2	3	4	5	6

- (a) 1NF  
(b) 2NF  
(c) 3NF  
(d) BCNF

Q.19 Consider the relation  $r(A, B, C, D, E)$  and the set  $F = \{AB \rightarrow CE, E \rightarrow AB, C \rightarrow D\}$ . What is the highest normal form of this relation?

- (a) 1NF  
(b) 2NF  
(c) 3NF  
(d) BCNF

Q.20 Consider the following relational schemas for a library database.

Book (Title, Author, Catalog\_no, Publisher, Year, Price)

Collection (Title, Author, Catalog\_no)

With the following functional dependencies:

1. Title, Author  $\rightarrow$  Catalog
2. Catalog\_no  $\rightarrow$  Title, Author, Publisher, Year
3. Publisher, Title, Year  $\rightarrow$  Price

Assume (Author, Title) is the key for both schemes. Which of the following statements is true?

- (a) Both Book and Collection are in BCNF  
(b) Both Book and Collection are 3NF only  
(c) Book is in 2NF and Collection is in 3NF  
(d) Both Book and Collection are in 2NF only

[GATE-2008]

Q.21 A relation Emp-addr is defined with attribute empcode (unique), name, street, city, state and pincode. For any pincode, there is only one city and state. Also, for any given street, city and state, there is just one pincode. In normalization terms, Emp-addr is a relation in

- (a) 1NF only  
(b) 2NF and hence also in 1NF  
(c) 3NF and hence also in 2NF and 1NF  
(d) BCNF and hence also in 3NF, 2NF and 1NF

[GATE IT-2004]

Q.22 A table has fields F1, F2, F3, F4, F5 with the following functional dependencies

$F1 \rightarrow F3, F2 \rightarrow F4, (F1, F2) \rightarrow F5$

In terms of Normalization, this table is in

- (a) 1NF  
(b) 2NF  
(c) 3NF  
(d) None of these

[GATE IT-2005]

Q.23 Let  $R(A, B, C, D, E, P, G)$  be a relational schema in which the following functional dependencies are known to hold:  $AB \rightarrow CD, DE \rightarrow P, C \rightarrow E, P \rightarrow C$  and  $B \rightarrow G$ . The relational schema R is

- (a) in BCNF  
(b) in 3NF, but not in BCNF  
(c) in 2NF, but not in 3NF  
(d) not in 2NF

[GATE IT-2008]

Q.24 The relation scheme student performance (name, courseno, rollno, grade) has the following functional dependencies

name, courseno  $\rightarrow$  grade  
rollno, courseno  $\rightarrow$  grade  
name  $\rightarrow$  rollno  
rollno  $\rightarrow$  name

The highest normal form of this relation scheme is

- (a) 2NF  
(b) 3NF  
(c) BCNF  
(d) 4NF

Q.25 Consider a relation with schema  $R(A, B, C, D)$  and functional dependencies  $\{AB \rightarrow C, C \rightarrow D, D \rightarrow A\}$  what is the highest normal form?

- (a) 1NF  
(b) 2NF  
(c) 3NF  
(d) BCNF

Q.26 What is the highest normal form of the relation  $R(A, B, C, D, E)$  with functional dependencies  $\{AB \rightarrow DE, C \rightarrow E, D \rightarrow C, E \rightarrow A\}$

- (a) 1NF  
(b) 2NF  
(c) 3NF  
(d) BCNF

Q.27 Which of the following relation is in BCNF?

- (a)  $R(A, B, C, D)$  with FD's  $\{AB \rightarrow C, BC \rightarrow D, CD \rightarrow A, AD \rightarrow B\}$   
(b)  $R(A, B, C, D, E)$  with FD's  $\{AB \rightarrow C, DE \rightarrow C, B \rightarrow D\}$   
(c)  $R(A, B, C, D, E)$  with FD's  $\{AB \rightarrow C, C \rightarrow D, D \rightarrow B\}$   
(d) None of these

**Q.28** Consider the following database relations containing the attributes

Book\_id  
Subject\_Category\_of\_book

Name\_of\_Author

Nationality\_of\_Author

With book\_id as the primary key.

- (a) What is the highest normal form satisfied by this relation?
- (b) Suppose the attributes Book\_title and Author\_address are added to the relation, and the primary key is changed to {Name\_of\_Author, Book\_title}, what will be the highest normal form satisfied by the relation?

[1998 : 2 Marks]

**Q.29** Consider the following functional dependencies in a database:

Data\_of\_Birth  $\rightarrow$  Age

Age  $\rightarrow$  Eligibility

Name  $\rightarrow$  Roll\_number

Roll\_number  $\rightarrow$  Name

Course\_number  $\rightarrow$  Course\_name

Course\_number  $\rightarrow$  Instructor

(Roll\_number; Course\_number)  $\rightarrow$  Grade

The relation (Roll\_number; Name, Date\_of\_birth, Age) is

- (a) in 2NF but not in 3NF
- (b) in third normal form but not in BCNF
- (c) in BCNF
- (d) in none of the above

[2003 : 2 Marks]

**Q.30** The relation scheme student Performance (name, courseNo, rollNo, grade) has the following functional dependencies:

name, courseNo  $\rightarrow$  grade

RollNo, courseNo  $\rightarrow$  grade

name  $\rightarrow$  rollNo

rollNo  $\rightarrow$  name

The highest normal form of this relation scheme is

- (a) 2NF
- (b) 3NF
- (c) BCNF
- (d) 4NF

[2004 : 2 Marks]

**Linked Answer For Q.31 & Q.32**

Relation R has eight attributes ABCDEFGH. Fields of R contain only atomic values.

$F = \{CH \rightarrow G, A \rightarrow BC, B \rightarrow CFH, E \rightarrow A, F \rightarrow EG\}$  is a set of functional dependencies (FDs) so that  $F$  is exactly the set of FDs that hold for R.

**Q.31** How many candidate keys does the relation R have?

- (a) 3
- (b) 4
- (c) 5
- (d) 6

[2013 : 2 Marks]

**Q.32** The relation R is

- (a) in 1NF, but not in 2NF.
- (b) in 2NF, but not in 3NF.
- (c) in 3NF, but not in BCNF.
- (d) in BCNF.

[2013 : 2 Marks]

**Q.33** Consider the relation  $R = \{A, B, C, D, E, F, G, H, I, J\}$  and set of FDS are  $F = \{AB \rightarrow C, A \rightarrow DE, B \rightarrow F, F \rightarrow GH, D \rightarrow IJ\}$  if we decompose R into 3NF, then possible tables are

- (a) {D, I, J} {A, D, E} {F, G, H} {B, F} {A, B, C}
- (b) {D, I, J} {A, C, E} {F, G, H} {B, F} {A, D, C}
- (c) {F, G, H} {D, I, J} {A, D, E, B, F} {A, B, C}
- (d) None of these

**Q.34**  $R(A, B, C, D)$  is a relation, which of the following do not have a loss less join dependency preserving BCNF decomposition?

- (a)  $A \rightarrow B, B \rightarrow CD$
- (b)  $A \rightarrow B, B \rightarrow C, C \rightarrow D$
- (c)  $AB \rightarrow C, C \rightarrow AD$
- (d)  $A \rightarrow BCD$

**Q.35** Consider the schema  $R = (S T U V)$  and the dependencies  $S \rightarrow T, T \rightarrow U, U \rightarrow V$  and  $V \rightarrow S$ . Let  $R = (R_1 \text{ and } R_2)$  be a decomposition such that  $R_1 \cap R_2 = \emptyset$  then the decomposition is

- (a) not in 2 NF
- (b) in 2NF but not 3 NF
- (c) in 3 NF but not 2 NF
- (d) in both 2 NF and 3 NF

- Q.36 Relation R with an associated set of functional dependencies, F is decomposed into BCNF. The redundancy (arising out of functional dependencies) in the resulting set relations is
- Zero
  - More than zero but less than that of an equivalent 3NF decomposition
  - Proportional to the size of  $F^+$
  - Indeterminate

[GATE-2002]

- Q.37 Relation R is decomposed using a set of functional dependencies, F and relation S is decomposed using another set of functional dependencies G. One decomposition is definitely BCNF, the other is definitely 3NF, but it is not known which is which. To make a guaranteed identification, which one of the following tests should be used on the decomposition? (Assume that the closures of F and G are available).
- Dependency-preservation
  - Lossless-join
  - BCNF definition
  - 3NF definition

[GATE-2002]

- Q.38 Which-one of the following statements about normal forms is FALSE?
- BCNF is stricter than 3NF
  - Loss less, dependency-preserving decomposition into 3NF is always possible
  - Loss less, dependency-preserving decomposition into BCNF is always possible
  - Any relation with two attributes is BCNF

[GATE-2005]

- Q.39 A given relation is known to be in third normal form. Select the statement which can be inferred from this.
- All attributes contribute to the primary key
  - Each non-key attribute determine the primary key
  - Each non-key attribute is determined by the primary key
  - Every determinant is a candidate key

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- Q.40 Relation R has at least one simple candidate key and is in BCNF. Which of the following statement is true?
- R may not be in 4NF
  - R is 4NF also
  - R is BCNF but not 4NF
  - Can not conclude from given information



### Numerical Data Type Questions

- Q.41 How many number of candidate keys in the following relation R(ABCDEH) with FD's  $\{A \rightarrow BC, CD \rightarrow E, E \rightarrow C, D \rightarrow AEH, ABH \rightarrow BD, DH \rightarrow BC\}$ ?

- Q.42 Consider the following relation R(ABCDE) with FD's  $\{A \rightarrow C, BC \rightarrow E, ED \rightarrow A\}$ . How many candidate keys in R?

- Q.43 Consider the following relation R(ABCDEFG) with functional dependencies  $\{AB \rightarrow CD, AF \rightarrow D, DE \rightarrow F, C \rightarrow G, F \rightarrow E, G \rightarrow A\}$ . How many candidate keys in the above relation R?

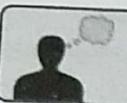
- Q.44 Consider the following relation R(ABCDEH) with functional dependencies  $\{A \rightarrow BC, CD \rightarrow E, E \rightarrow C, D \rightarrow AEH, ABH \rightarrow BD, DH \rightarrow BC\}$ . How many candidate keys of the above relation R?

- Q.45 Relation R(A B C D E F G H I J) with FD set  $\{AB \rightarrow C, A \rightarrow DE, B \rightarrow F, F \rightarrow GH, D \rightarrow IJ\}$ . How many minimum number of relations required for 2 NF lossless and dependency preserve decomposition?

- Q.46 Consider the following relation R(ABCDE) with FD's  $F = \{A \rightarrow BC, CD \rightarrow E, B \rightarrow D, E \rightarrow A\}$ . How many minimum relations required to decompose into BCNF with dependency preserving and lossless join decomposition?

- Q.47 How many minimum relations required to decompose following relation R(ABCD) WITH FD's  $\{A \rightarrow B, C \rightarrow D\}$  with lossless join and dependency preserving BCNF decomposition?

- Q.48 How many foreign keys required for the following relation R(ABCDEF) with FD's  $\{AB \rightarrow C, BC \rightarrow A, AC \rightarrow B, D \rightarrow E\}$  into BCNF and lossless join, dependency preserving decomposition?



### Try Yourself

- T1.** Find highest normal form of the following relations:
- $R(ABCD) \{AB \rightarrow C, C \rightarrow D, D \rightarrow A\}$
  - $R(ABCD) \{B \rightarrow C, B \rightarrow D\}$
  - $R(ABCD) \{AB \rightarrow C, BC \rightarrow D, CD \rightarrow A, AD \rightarrow B\}$
  - $R(ABCD) \{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A\}$
  - $R(ABCDE) \{AB \rightarrow C, DE \rightarrow C, B \rightarrow D\}$
  - $R(ABCDE) \{AB \rightarrow C, C \rightarrow D, B \rightarrow D, D \rightarrow E\}$
  - $R(ABCDE) \{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A\}$
- T2.** Decompose following relations into 2NF, 3NF BCNF and state what are relations failed to decompose into BCNF and dependency preserving decomposition.
- $R(ABCD) \{AB \rightarrow C, C \rightarrow D, D \rightarrow A\}$
  - $R(ABCD) \{B \rightarrow C, B \rightarrow D\}$
  - $R(ABCD) \{AB \rightarrow C, BC \rightarrow D, CD \rightarrow A, AD \rightarrow B\}$
  - $R(ABCD) \{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A\}$
  - $R(ABCDE) \{AB \rightarrow C, DE \rightarrow C, B \rightarrow D\}$
  - $R(ABCDE) \{AB \rightarrow C, C \rightarrow D, D \rightarrow B, D \rightarrow E\}$
- T3.**  $R(ABCDE) \{A \rightarrow BC, A \rightarrow D, A \rightarrow E\}$
- What is highest normal of the given relation?
    - 1NF
    - 2NF
    - 3NF
    - 4NF
  - What is the minimum number of relations required for BCNF decomposition?
    - 1
    - 2
    - 3
    - 4
  - How many minimum number of relations required for 4 NF decomposition?
    - 1
    - 2
    - 3
    - 4
- T4.** Consider the armstrong rules
- Union Rule
  - Decomposition rule
  - Pseudotransitivity rule

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4. Augmentation rule  
5. Transitive rule
- Which of the following points are true
- If no non-prime attribute then relation is in 3NF
  - If relation R is in 3NF but not BCNF, then R must contain prime attribute  $\rightarrow$  Prime attribute dependency
  - If relational scheme R with only simple candidate key then R is always in 2NF but not in 3NF
  - All of the above
- T5.** Let  $R_1$  and  $R_2$  be relations  $\alpha_2$  is set of attributes of  $R_2$ . Let  $\alpha_2$  is foreign key in  $R_2$ , referring to  $\alpha_1$  of relation  $R_1$ .
- Consider the following points about above.
- $\alpha_2$  is primary key of  $R_2$ .
  - $\alpha_1$  is primary key of  $R_1$ .
  - $\alpha_2$  may or may not be primary key of  $R_2$ .
  - $\alpha_1$  may have null values.
  - $\alpha_1$  need not be key of  $R_1$ .
- Which of above are true
- 1 and 2
  - 1, 4 and 5
  - 2 and 3
  - 2, 3 and 4
- T6.** The purpose of schema normalization is to:
- Eliminate redundant data stored in the database.
  - Reduce the number of joins required to satisfy a query.
  - Reduce the number of anomalies that can occur during inserts, deletes, and updates.
  - Convert the data to a canonical form to promote schema integration.
- 1 and 4
  - 2 and 3
  - 1, 2 and 3
  - 1 and 3
- T7.**  $R(A, B, C, D)$  is a relation. Which of the following does not have a lossless join dependency preserving BCNF decomposition.
- $A \rightarrow B$   $B \rightarrow CD$
  - $A \rightarrow B$ ,  $B \rightarrow C$ ,  $C \rightarrow D$
  - $AB \rightarrow C$ ,  $C \rightarrow AD$
  - $A \rightarrow BCD$

## 3

# Relational Algebra, Tuple Relational Calculus and SQL



## Multiple Choice Questions

- Q.1 Consider the following DB table Emp (Eid, Ename, gender, salary, dno)  
 (a) Retrieve Eid's who gets highest salary  
 (b) Retrieve Eid's who gets 2<sup>nd</sup> highest salary  
 (c) Retrieve Eid's who gets highest salary for each department  
 (d) Retrieve dept number for which at least two employees
- Q.2 Which of the following relational algebraic operation is not a commutative operation?  
 (a) Union                         (b) Intersection  
 (c) Selection                      (d) Projection
- Q.3 With the help of which of the following relations operation set we can perform division on relations?  
 (a)  $\{\pi, \times, \neg\}$                       (b)  $\{\sigma, \times, \neg\}$   
 (c)  $\{\times, \neg\}$                               (d)  $\{\times\}$
- Q.4 Let r and s be two relations over the relation schemes R and S respectively, and let A be an attribute in R. Then the relational algebra expression  $\sigma_{A=a}(r \bowtie s)$  is always equal to  
 (a)  $\sigma_{A=a}(r)$                           (b) r  
 (c)  $\sigma_{A=a}(r) \bowtie s$                    (d) None of these
- [GATE-2001]
- Q.5 Information about a collection of students is given by the relation studInfo (studId, name, sex). The relation enroll (studId, CourseId) gives which student has enrolled for (or taken) what course(s). Assume that every course is taken by at least one male and at least one female

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student. What does the following relational algebra expression represent?

$$\pi_{\text{courseId}}((\pi_{\text{studId}}(\sigma_{\text{sex} = \text{"female"}}(\text{studInfo}))) \times \pi_{\text{courseId}}(\text{enroll}) - \text{enroll})$$

- (a) Courses in which all the female students are enrolled
- (b) Courses in which a proper subset of female students are enrolled
- (c) Courses in which only male students are enrolled
- (d) None of the above

[GATE-2007]

- Q.6 Let R and S be two relations with the following schema

$$R(P, Q, R1, R2, R3)$$

$$S(P, Q, S1, S2)$$

where (P, Q) is the key for both schemas. Which of the following queries are equivalent?

1.  $\Pi_P(R \bowtie S)$
  2.  $\Pi_P(R) \bowtie \Pi_Q(S)$
  3.  $\Pi_P(\Pi_{PQ}(R) \cap \Pi_{PQ}(S))$
  4.  $\Pi_P(\Pi_{PQ}(R) - (\Pi_{PQ}(R) - \Pi_{PQ}(S)))$
- (a) Only 1 and 2                              (b) Only 1 and 3
  - (c) Only 1, 2 and 3                           (d) Only 1, 3 and 4

[GATE-2006]

- Q.7 Let  $R_1(A, B, C)$  and  $R_2(D, E)$  be two relation schema, where the primary keys are shown underlined, and let C be a foreign key in  $R_1$ , referring to  $R_2$ . Suppose there is no violation of the above referential integrity constraint in the corresponding relation instances  $r_1$  and  $r_2$ . Which one of the following relational algebra expressions would necessarily produce an empty relation?

- (a)  $\Pi_D(r_2) - \Pi_C(r_1)$
- (b)  $\Pi_C(r_1) - \Pi_D(r_2)$
- (c)  $\Pi_D(r_1 \bowtie_{C \neq D} R_2) - \Pi_C(r_1)$
- (d)  $\Pi_C(r_1 \bowtie_{C = D} R_2)$

[GATE-2004]

- Q.8** Consider the following relational schema pertaining to a student database

Student (rollno, name, address)Enroll (rollno, courseno, coursename)

Where the primary keys are shown underlined. The number of tuples in the student and Enroll tables are 120 and 8 respectively. What are the maximum and minimum number of tuples that can be present in  $(\text{Student}^*\text{Enrolle})$ , where '\*' denotes natural join?

- (a) 8, 8
- (b) 120, 8
- (c) 960, 8
- (d) 960, 120

- Q.9** Suppose the adjacency relation of vertices in a graph is represented in a table  $\text{Adj}(x, y)$ . Which of the following queries cannot be expressed by a relational algebra expression of constant length?

- (a) List of all vertices adjacent to a given vertex
- (b) List all vertices which have self loops
- (c) List all vertices which belong to cycle of less than three vertices
- (d) List all vertices reachable from a given vertex

- Q.10** Given the relations

Employee (name, salary, dept no) and Dept (dept no, dept name, address)

Which of the following queries cannot be expressed using the basic relational algebra operations ( $\sigma, \pi, \times, \bowtie, \cup, \cap, -$ )?

- (a) Department address of every employee
- (b) Employees whose name is the same as their department name
- (c) The sum of all employees salaries
- (d) All employees of a given department

- Q.11** Consider the relation Student(name, sex, marks) where the primary key is shown underlined, pertaining to student in a class that has at least

one boy and one girl. What does the following relational algebra expression produce

Note:  $\rho$  is the rename operator

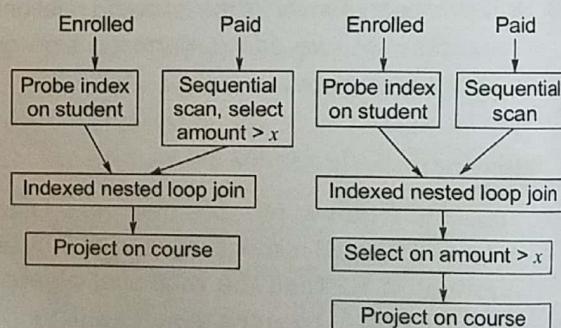
$\pi_{\text{name}}(\sigma_{\text{sex}=\text{female}}(\text{Student}))$

$- \pi_{\text{name}}(\text{Student} \bowtie_{(\text{sex} = \text{female} \wedge x = \text{male} \wedge \text{marks} \leq m)} \sigma_{n,x,m}(\text{Student}))$

- (a) names of girl student with the highest marks
- (b) names of girl student with more marks than some boy student
- (c) names of girl student with marks not less than some boy student
- (d) names of girl students with more marks than all the boy students

- Q.12** Consider the relation enrolled (student, course) in which (student, course) is the primary key, and the relation paid (student, amount) where student is the primary key. Assume no null values and no foreign keys or integrity constraints.

Assume that amounts 6000, 7000, 8000, 9000 and 10000 were each paid by 20% of the students. Consider these query plans (Plan 1 on left, Plan 2 on right) to "list all courses taken by students who have paid more than x."



A disk seek takes 4 ms. disk data transfer bandwidth is 300 MB/s and checking a tuple to see if amount is greater than x takes 10  $\mu$ s. Which of the following statements is correct?

- (a) Plan 1 and Plan 2 will not output identical row sets for all databases
- (b) A course may be listed more than once in the output of Plan 1 for some databases

- (c) For  $x = 5000$ , Plan 1 executes faster than Plan 2 for all databases  
 (d) For  $x = 9000$ , Plan 1 executes slower than Plan 2 for all databases

[2006 : 2 Marks]

**Q.13** Consider the relational schema given below, where **eId** of the **dependent** is a foreign key referring to **empId** of the relation **employee**. Assume that every employee has at least one associated dependent in the **dependent** relation.

**employee** (empId, empName, empAge)  
**dependent**(depId, eId, depName, depAge)

Consider the following relational algebra query:

$$\Pi_{\text{empId}}(\text{employee}) - \Pi_{\text{empId}}(\text{employee} \\ \bowtie (\text{empId} = \text{eId}) \wedge (\text{empAge} \leq \text{depAge}) \text{ dependent})$$

The above query evaluates to the set of empIds of employees whose age is greater than that of

- (a) some dependent.  
 (b) all dependents.  
 (c) some of his/her dependents.  
 (d) all of his/her dependents.

[2014 (Set-3) : 2 Marks]

#### Common Data For Q.14 & Q.15

Consider the following relational database schema:

**employee** (emp no, name, address)  
**project** (p no, p\_name)  
**work\_on**(emp no, p no)

**Q.14** We have a relational algebra expression on the above schema:

$$\Pi_{\text{name}}(\text{employee}) - \Pi_{\text{name}}(\text{employee} \bowtie \text{work\_on})$$

Here  $\bowtie$  denotes natural join operator. Then which of the following query best resembles the above relationship algebra expression?

- (a) Find the name of all employees working in a project.  
 (b) Find the name of all employees working in all projects.  
 (c) Find the name of all employees who don't work in all projects.  
 (d) Find the name of all employees who don't work in any project.

[DRDO-2009]

**Q.15** Find all addresses of employees working in the project with p\_name = "database". Which of the following SQL represents the above query.

- (a) `SELECT address FROM employee, project WHERE (p_name = "database") ^ (work_on.emp_no=employee.emp_no)`  
 (b) `SELECT address FROM employee, project, work_on WHERE (p_name="database") ^ (work_on.emp_no=employee.emp_no) ^ (work_on.emp_no=employee.emp_no) ^ (work_on.p_no=project.p_no)`  
 (c) `SELECT address FROM employee, work_on WHERE (p_name="database") ^ (work_on.emp_no=employee.emp_no)`  
 (d) `SELECT address FROM project, work_on WHERE (p_name="database") ^ (work_on.emp_no=employee.emp_no)`

[DRDO-2009]

**Q.16** In SQL, relations can contain null values, and comparisons with null values are treated as unknown. Suppose all comparisons with a null value are treated as false. Which of the following pairs is not equivalent?

- (a)  $x = 5$  not (not ( $x = 5$ ))  
 (b)  $x = 5$   $x > 4$  and  $x < 6$ , where  $x$  is an integer  
 (c)  $x \neq 5$  not ( $x = 5$ )  
 (d) None of the above

[GATE-2000]

**Q.17** Consider the set of relations shown below and the SQL query that follow:

Students: (Roll\_number, Name, Date\_of\_birth)

Courses: (Course\_number, Cours\_name, Instructor)

Grades: (Roll\_number, Course\_number, Grade)

select distinct Name  
 from Students, Courses, Grades  
 where Students. Roll\_number = Grades.

Roll\_number

and Courses. Instructor = Korth

and Courses. Course\_number = Grades.

Course\_number

and Grades. grade = A

Which of the following sets is computed by the above query?

- Names of students who have got an A grade in all courses taught by Korth
- Names of students who have got an A grade in all courses
- Names of students who have got an A grade in at least one of the courses taught by Korth
- None of the above

[GATE-2003]

Q.18 The relation book (title, price) contains the titles and prices of different books. Assuming that no two books have the same price, what does the following SQL?

Select title

from book as B

where (select count(\*)

from book as T

where T.price &gt; B.Price) &lt; 5

- Titles of the four most expensive books
- Titles of the fifth most inexpensive book
- Titles of the fifth most expensive book
- Titles of the five most expensive books

[GATE-2005]

Q.19 Consider the relation account (customer, balance) where customer is a primary key and there are no null values. We would like to rank customers according to decreasing balance. The customer with the largest balance gets rank 1. Ties are not broken but ranks are skipped: if exactly two customers have the largest balance they each get rank 1 and rank 2 is not assigned.

Query 1: Select A.customer, count(B.customer)  
from account A, account B

where A.balance <= B.balance  
group by A.customer

Query 2: Select A.customer, 1 + count(B.customer)  
from account A, account B

where A.balance < B.balance  
group by A.customer

Consider these statements about Query 1 and Query 2.

- Query 1 will produce the same row set as Query 2 for some but not all database implementations
- Both Query 1 and Query 2 are correct implementations of the specification
- Query 1 is a correct implementation of the specification but Query 2 is not
- Neither Query 1 nor 2 is a correct implementation of the specification
- Assigning rank with a pure relational Query takes less time than scanning in decreasing balance order and assigning ranks using ODBC

Which two of the above statements are correct?

- |             |             |
|-------------|-------------|
| (a) 2 and 5 | (b) 1 and 3 |
| (c) 1 and 4 | (d) 3 and 5 |

[GATE-2006]

Q.20 Consider the following database

Works (Ename, Comp-name, sex, salary) and the SQL query:

Select \* W1, Ename

from Works W1, (Select Avg(sal) AS AVG-SAL, Comp-name from WORKS WHERE sex = 'Male' GROUP BY comp-name) W2

Where W1.comp-name = W2.comp-name AND W1.salary > W2.AVG-sal

What will be the output?

- Retrieves names of all employees who earn more than average salary of all Emp's of their company
- Retrieves names of all male employees who earn more than average salary of all employees of their company
- Retrieves names of all employees who earn more than average salary of all male employees of their company
- Retrieves names of all male employees who earn more than average salary of all male employees of their company

**Q.21** Consider the relation Enrolled (SID, CID) in which (Sid, Cid) is the primary key, and the relation paid (Sid, amount) where sid is the primary key assume no null values and no foreign keys or integrity constraints.

Query 1: Select sid from Enrolled where sid in (select sid from paid)

Query 2: Select sid from paid where sid in (select sid from Enrolled)

Query 3: Select E.sid from Enrolled E, paid P where E.sid = p.sid

Query 4: Select sid from paid where exists (Select \* from Enrolled where enrolled.sid = paid.sid)

Which one of the following statement is correct?

- (a) All queries return identical row sets for any database
- (b) Query 2 and Query 4 return identical row sets for all database but there exist databases for which Query 1 and Query 2 return different row sets
- (c) There exist databases for which Query 3 returns strictly fewer rows than Query 2
- (d) There exist databases for which Query 4 will encounter an integrity violation at run time

**Q.22** Consider the table Employee (Empld, name, department, salary) and the two queries Q1, Q2 below.

Assuming that department 5 has more than one employee, and we want to find the employee who get higher salary than anyone in the department 5, which one of the statement is true for any arbitrary employee table?

Q1 Select e.empld

```
From employee e
where not exists(SELECT * from employee
S where S.department = '5' and S.salary >
= e.salary)
```

Q2 Select e.empld

```
from employee e
where e.salary > any
```

(SELECT distinct salary from employee S where
S.department = 5)

- (a) Q1 is the correct query
- (b) Q2 is the correct query
- (c) Both Q1 and Q2 produce the same answer
- (d) Neither Q1 nor Q2 is the correct query

**Q.23** A table T1 in a relational DB has the following rows and columns

Roll No.	Marks
1	10
2	20
3	30
4	NULL

The following sequence of SQL statements are executed on table T1

Update T1 set marks = marks + 5

Select Avg (marks) from T1

What is the output of the select statement

- (a) 18.75
- (b) 20
- (c) 25
- (d) NULL

**Q.24** Given relations r(w, x) and s(y, z), the result of select distinct w, x from r, s

is guaranteed to be same as r, provided

- (a) r has no duplicates and s is non-empty
- (b) r and s have no duplicates
- (c) s has no duplicates and r is non-empty
- (d) r and s have the same number of tuples

[GATE-2000]

**Q.25** Consider a database table T containing two columns X and Y each of type integer. After the creation of the table, one record (X = 1, Y = 1) is inserted in the table.

Let MX and MY denote the respective maximum values of X and Y among all records in the table at any point in time. Using MX and MY, new records are inserted in the table 128 times with X and Y values being MX + 1, 2\*MY + 1 respectively. It may be noted that each time after the insertion, values of MX and MY change.

What will be the output of the following SQL query after the steps mentioned above are carried out?

SELECT Y FROM T WHERE X = 7;

- (a) 127
- (b) 255
- (c) 129
- (d) 257

[GATE-2011]

**Q.26** Consider the following relational schema:

employee(empId, empName, empDept)  
customer(custId, custName, salesRepId, rating)

salesRepId is a foreign key referring to empId of the employee relation. Assume that each employee makes a sale to at least one customer. What does the following query return?

```
SELECT empName
FROM employee E
WHERE NOT EXISTS (SELECT custId
FROM customer C
WHERE C.salesRepId = E.empId
AND C.rating <> 'GOOD');
```

- (a) Names of all the employees with at least one of their customers having a 'GOOD' rating.
- (b) Names of all the employees with at most one of their customers having a 'GOOD' rating.
- (c) Names of all the employees with none of their customers having a 'GOOD' rating.
- (d) Names of all the employees with all their customers having a 'GOOD' rating.

[GATE-2014 (Set-3)]

**Q.27** The relational algebra expression equivalent to the following tuple calculus expression:

$\{t \mid t \in r \wedge (t[A] = 10 \wedge t[B] = 20)\}$  is

- (a)  $\sigma_{(A=10 \vee B=20)}(r)$
- (b)  $\sigma_{(A=10)}(r) \cup \sigma_{(B=20)}(r)$
- (c)  $\sigma_{(A=10)}(r) \cap \sigma_{(B=20)}(r)$
- (d)  $\sigma_{(A=10)}(r) - \sigma_{(B=20)}(r)$

[GATE-1999]

**Q.28** Which of the following relational calculus expressions is not safe?

- (a)  $\{t \mid \exists u \in R_1 (t[A] = u[A]) \wedge \neg \exists s \in R_2 (t[A] = s[A])\}$
- (b)  $\{t \mid \forall u \in R_1 (u[A] = "x" \Rightarrow \exists s \in R_2 (t[A] = s[A] \wedge s[A] = u[A]))\}$
- (c)  $\{t \mid \neg (t \in R_1)\}$
- (d)  $\{t \mid \exists u \in R_1 (t[A] = u[A]) \wedge \exists s \in R_2 (t[A] = s[A])\}$

[GATE-2001]

**Q.29** With regard to the expressive power of the formal relational query languages, which of the following statements is true?

- (a) Relational algebra is more powerful than relational calculus
- (b) Relational algebra has the same power as relational calculus
- (c) Relational algebra has the same power as safe relational calculus
- (d) None of the above

[GATE-2002]

**Q.30** Consider the relation employee (name, sex, supervisorName (with name as the key). supervisorName gives the name of the supervisor of the employee under consideration. What does the following Tuple Relational Calculus query produce?

$\{e.name \mid \text{employee } (e) \wedge (\forall x)[\neg \text{employee } (x) \vee x.\text{supervisorName} \neq e.name \vee x.sex = "male"]\}$

- (a) Names of employees with a male supervisor
- (b) Names of employees with no immediate male subordinates
- (c) Names of employees with no immediate female subordinates
- (d) Names of employees with a female supervisor

[GATE-2007]

**Q.31** Let R and S be relational schemes such that  $R = \{a, b, c\}$  and  $S = \{c\}$ . Now consider the following queries on the database :

- I.  $\pi_{R-S}(r) - \pi_{R-S}(\pi_{R-S}(r) \times S - \pi_{R-S,S}(r))$
- II.  $\{t \mid t \in \pi_{R-S}(r) \wedge \forall u \in S (\exists v \in r (u = v[s] \wedge t = v[R-S]))\}$
- III.  $\{t \mid t \in \pi_{R-S}(r) \wedge \forall v \in r (\exists u \in S (u = v[s] \wedge t = v[R-S]))\}$
- IV. Select R.a, R.b  
from R, S  
where R.c = S.c

Which of the above queries are equivalent?

- (a) I and II
- (b) I and III
- (c) II and IV
- (d) III and IV

[GATE-2000]

Q.32 Consider the following relational schema.

Student (rollno: integer; sname string)

Courses (courseno: integer, cname: string)

Registration (rollno: integer, courseno: integer, percent: real)

Which of the following queries are equivalent to this query in English?

"Find the distinct names of all students who score more than 90% in the course numbered 107"

- I.  $\text{SELECT DISTINCT S.sname}$   
FROM Students as S, Registration as R  
WHERE R.rollno=S.roll.no AND  
R.courseno=107 and R.percent>90
  - II.  $\Pi_{\text{sname}}(\sigma_{\text{courseno}=107 \wedge \text{percent}>90} (\text{Registration} \bowtie \text{Student}))$
  - III.  $\{\text{T} \mid \exists \text{S} \in \text{Students}, \exists \text{R} \in \text{Registration}$   
 $(\text{S.rollno}=\text{R.rollno} \wedge \text{R.courseno}=107 \wedge \text{R.percent}>90 \wedge \text{T.sname}=\text{S.sname})\}$
  - IV.  $\{\langle \text{S}_N \rangle \mid \exists \text{S}_R \exists \text{R}_P (\langle \text{S}_R, \text{S}_N \rangle \in \text{Student} \wedge \langle \text{S}_R, 107, \text{R}_P \rangle \in \text{Registration} \wedge \text{R}_P > 90)\}$
- (a) I, II, III and IV  
(b) I, II and III only  
(c) I, II and IV only  
(d) I, III and IV only

[GATE-2013]



## Numerical Data Type Questions

Q.33 Consider a selection of the form  $\sigma_{A \leq 100}(r)$ , where r is a relation with 1000 tuples. Assume that the attribute values for A among the tuples are uniformly distributed in the interval [0, 500]. Which one of the following options is the best estimate of the number of tuples returned by the given selection query?

[GATE IT-2007]

Q.34 The following functional dependencies hold for relations R(A, B, C) and S(B, D, E):

$$B \rightarrow A$$

$$A \rightarrow C$$

The relation R contains 200 tuples and the relation S contains 100 tuples. What is the maximum number of tuples possible in the natural join  $R \bowtie S$ ?

[GATE-2010]

Q.35 Consider the following relations

R(ABC) A: Primary key with 10 tuples

S(ADE) AD: Primary key with 40 tuples

T(DFG) D: Primary key with 30 tuples

How many maximum tuples resulted by  $R \bowtie S \bowtie T$ ?

### Common Data For Q.36 & Q.37

Consider the following relations A, B and C:

A.	Id	Name	Age
	12	Arun	60
	15	Shreya	24
	99	Rohit	11

B.	Id	Name	Age
	15	Shreya	24
	25	Hari	40
	98	Rohit	20
	99	Rohit	11

C.	Id	Phone	Age
	10	2200	02
	99	2100	01

Q.36 How many tuples does the result of the following relational algebra expression contain? Assume that the schema of  $A \cup B$  is the same as that of A.

$$(A \cup B) \bowtie_{A.Id > 40 \vee C.Id < 15} C$$

[GATE-2012]

Q.37 How many tuples does the result of the following SQL query contain?

```
SELECT A.Id
FROM A
WHERE A.Age >
All ( SELECT B.Age
FROM B
WHERE B.Name = 'Arun' )
```

[GATE-2012]

**Try Yourself**

- T1.** Consider the following relation  
**Supplies** (Sid, Sname, rating)  
**Parts** (Pid, Pname, color)  
**Catalog** (Sid, Pid, cost)  
 Write the following queries in RA, TRC, SQL format
- Retrieve Sname's supplied at least one part
  - Retrieve Sname's supplied some red part
  - Retrieve Sid's supplied some red part or some green part
  - Retrieve Sid's supplied some red part and some green part
  - Retrieve sid's supplied only red parts
  - Retrieve Sid's supplied every red part
  - Retrieve Sid's supplied every red or every green part
  - Retrieve Sid's supplied every red part but not any green part
  - Retrieve Sid's whose rating greater than 10 and supplied every green part
  - Retrieve red part id's which is supplied by every supplier whose rating greater than 10.
  - Retrieve Sid's supplied most expensive part
  - Retrieve Sid's supplied least expensive part
  - Retrieve Sid's supplied second most expensive part
  - Retrieve Sid's supplied most expensive for each part
  - Retrieve Snames supplied at least two parts and whose rating greater than 10
  - Retrieve Sid's who supplied at least two parts
  - Retrieve Sid's who supplied only two parts
  - Retrieve Sid's who supplied at most two parts
  - Retrieve pairs of Sid's (Sid1, Sid2) such that supplier with Sid1 charges more than supplier with 2<sup>nd</sup> Sid (Sid2) for some part.

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- T2.** Consider the following relation Employee (Eid, Ename, Salary, deptno, gender). Write the SQL queries for the following questions?
- Retrieve Eid's who gets maximum salary
  - Retrieve Eid's who get 2<sup>nd</sup> maximum salary
  - Retrieve deptno for which at least two employees working on that dept
  - Retrieve dept\_no in which average salary is more than average salary in the company
  - Retrieve dept\_no in which the average salary of male employees is more than the average salary in the company.
  - Retrieve dept\_no in which the average salary of male employees is more than the average salary all female employees
  - Retrieve dept\_no in which the average salary of male employees is more than the average salary of employees in the same department
  - Retrieve Eid's whose salary more than any employee salary of department 5
  - Retrieve Eid's whose salary more than every employee salary of dept 5
  - Retrieve Eid's of employees who earn more than average salary of all male employees of their dept.

- T3.** One of the following four expressions of relational algebra is not equivalent to the other three. They are all based on the relations R(A,B) and S(B,C). Indicate which is not equivalent to the others.
- $\pi_{AB}(R \bowtie S)$
  - $R \bowtie \pi_B(S)$
  - $R \cap (\pi_A(R) \times \pi_B(S))$
  - $\pi_{A,R,B}(R \times S)$

- T4.** Consider the following relation:  
 family (parent, child, childDOB)

The intent is that a tuple (p, c, d) means that parent p has child c, who was born on date d. You may assume that parents do not have two children of the same name, and that there are no twins; i.e., no parent has two or more children born on the same day. Here are three queries we might ask about this data:

1. Find for each parent, the youngest child, i.e., the set of  $(p, c)$  such that  $p$  has child  $c$ , and no other child of  $p$  has a smaller date of birth than  $c$  does.
2. Find the set of great grandparents of "Amy".
3. Find all the descendants of "Mike".

Which of the above queries are expressible in relational algebra?

- |            |                  |
|------------|------------------|
| (a) 1 only | (b) 1 and 2 only |
| (c) 3 only | (d) 1, 2 and 3   |

- T5. If  $\cap$ ,  $\cup$ ,  $-$  are given their bag interpretations, which of the following laws hold?

- (a)  $R \cup R = R$
- (b)  $R \cap (S \cup T) = (R \cap S) \cup (R \cap T)$
- (c)  $R \cup (S - T) = (R \cup S) - T$
- (d) None of these

- T6. Consider the following SQL query on the relation  $R(A, B)$  that has no NULL's.

Select rr.A, rr.B, ss.A, ss.B

From R as rr, R as ss

Where rr.A = ss.A and rr.B = ss.B

Suppose that  $R$  has  $n$  tuples (not necessarily all distinct). Which of the above conditions is the most restrictive correct limitation on  $m$ , the number of tuples (again not necessarily all distinct) in the result?

- (a)  $n \leq m \leq n^2$
- (b)  $n \leq m \leq 2n$
- (c)  $0 \leq m \leq n$
- (d)  $m = n$

- T7. Suppose now that  $R(A, B)$  and  $S(A, B)$  are two relations with  $r$  and  $s$  tuples, respectively (not necessarily distinct). If  $m$  is the number of (not necessarily distinct) tuples in the result of the SQL query:

$R \text{ intersect } S;$

Then which of the following is the most restrictive, correct condition on the value of  $m$ ?

- (a)  $m = \min(r, s)$
- (b)  $0 \leq m \leq r + s$
- (c)  $\min(r, s) \leq m \leq \max(r, s)$
- (d)  $0 \leq m \leq \min(r, s)$

- T8. Which of the following is correct.
- (a) a SQL query automatically eliminates duplicates
  - (b) SQL permits attribute names to be repeated in the same relation
  - (c) a SQL query will not work if there are no indexes on the relations
  - (d) None of these

- T9. Suppose that two relations  $R(A, B)$  and  $S(A, B)$  have exactly the same schema. Consider the following equalities in relational algebra?

1.  $R \cap S = R - (R - S)$
2.  $R \cap S = S - (S - R)$
3.  $R \cap S = R \text{ NATURAL-JOIN } S$
4.  $R \cap S = R \times S$

Which of the equalities hold in relational algebra?

- (a) 1 only
- (b) 1 and 2 only
- (c) 1, 2 and 3
- (d) 1, 2, 3 and 4

- T10. The table  $\text{Arc}(x, y)$  currently has the following tuples (not there are duplicates):  $(1, 2), (1, 2), (2, 3), (3, 4), (3, 4), (4, 1), (4, 1), (4, 1), (4, 2)$ .

Compute the result of the query:

```
SELECT a1.x, a2.y, COUNT(*)
FROM Arc a1, Arc a2
WHERE a1.y = a2.x
GROUP BY a1.x, a2.y
```

Which of the following tuples is in the result?

- |                |                |
|----------------|----------------|
| 1. $(1, 3, 2)$ | 2. $(2, 4, 6)$ |
| 3. $(3, 1, 4)$ | 4. $(3, 1, 6)$ |
| (a) 1 and 3    | (b) 1 and 2    |
| (c) 1 and 4    | (d) 2 and 3    |

- T11. The relation  $R(a, b)$  may have duplicate tuples. Which of the following queries has a result that is guaranteed not to have duplicates, regardless of what tuples  $R$  contains?

1.  $\text{SELECT } a \text{ FROM } R \text{ WHERE } a = 1$
  2.  $\text{SELECT MAX}(b) \text{ FROM } R \text{ GROUP BY } a$
  3.  $\text{SELECT } a, b \text{ FROM } R \text{ GROUP BY } a, b$
  4.  $\text{SELECT } a \text{ FROM } R \text{ WHERE } a \text{ NOT IN } (\text{SELECT } a \text{ FROM } R)$
- (a) 3 and 4
  - (b) 1 and 2
  - (c) 3 only
  - (d) 1 and 3

**T12.** Consider the following database schema:  
**Frequents** (kid, store), **Sells** (store, candy), **Likes** (kid, candy).

Table **Frequents** indicates what candy stores a kid likes to visit. Table **Sells** shows which candy store sells. Table **Likes** tells which candy a kid likes.

Write sql, relational algebra query for following.

- to find the kids who like "Hersheys" or "Mars" candy.
- to find the kids that frequent at least one candy store that sells a candy they like.
- Assume each kid likes at least one candy and frequents at least one store. Use relational algebra to find the kids that frequent only stores that serve some candy that they like.
- to list the stores (in alphabetical order) that sell more than 10 different candies.
- to list the kids whose liked candies are all sold by the store "Starbucks".

**T13.** Given the following relations: **vehicle** (reg\_no, make, colour), **Person** (eno, name, address), **Owner** (eno, reg\_no). Write expressions in relational algebra to answer the following queries:

- List the names of persons who do not own any car.
- List the names of persons who own only Maruti cars.

**T14.** For the following relation schema:

**employee**(employee-name, street, city)  
**works**(employee-name, company-name, salary)  
**company**(company-name, city)  
**manages**(employee-name, manager-name)

Give an expression in SQL for each of the following queries:

- Find the names, street address, and cities of residence for all employees who work for 'First Bank Corporation' and earn more than \$10,000.

- Find the names of all employees in the database who live in the same cities as the companies for which they work.
- Find the names of all employees in the database who live in the same cities and on the same streets as do their managers.
- Find the names of all employees in the database who earn more than every employee of 'Small Bank Corporation'. Assume that all people work for at most one company.
- Assume that the companies may be located in several cities. Find all companies located in every city in which 'Small Bank Corporation' is located.
- Find the name of the company that has the smallest payroll.

**T15.** Let two relations  $r(R)$  and  $s(S)$ . Where  $R$  and  $S$  are schemas of relation. Consider the following points.

- The division operation  $r + s$ , relation is a relation on schema  $R-S$ .
- For  $r + s$  to be defined,  $S \subseteq R$
- For  $r + s$  to be defined,  $S \supseteq R$
- The relation  $r + s$  is a relation on schema  $S-R$ .

Which of above are true.

- |             |             |
|-------------|-------------|
| (a) 1 and 3 | (b) 2 and 4 |
| (c) 1 and 2 | (d) 3 and 4 |

**T16.** Which of the following points are true

- Tuple relational calculus is non-procedural.
  - Declarative data manipulation language require user to specify what data are needed and specifying how to get those data.
  - SQL is procedural DML
  - In a procedural language, the user instruct the system to perform a sequence of operation on the database to compute the desired result.
- |             |                |
|-------------|----------------|
| (a) 4 only  | (b) 3 and 2    |
| (c) 1 and 4 | (d) 2, 3 and 4 |
| (e) 1 and 2 |                |

T17. Consider the following Tables

T1			T2	
A	B	C	C	D
1	2	5	5	8
1	3	4	9	7
2	4	5	5	6
3	6	8	4	7
3	7	8	8	6
4	8	9	9	8
4	3	5	5	7

Consider the following query on above tables

(a) Select A

From T1, T2

where T1.C == T2.C

(b)  $\pi_A(T1 \bowtie T2)$

1. The sum of number of tuples in result of  
above two query is \_\_\_\_\_?

2. Let  $n_1$  is number of tuples in first query and  
 $n_2$  is second query.

Which of the following is true.

(a)  $n_1 = n_2$

(b)  $n_1 > n_2$

(c)  $n_1 < n_2$

T18. Let the following relation schemas be given

$R = (A, B, C)$

$S = (D, E, F)$

Give an expression in the tuple relational calculus that is equivalent to each of following.

(a)  $\pi_A(r)$

(b)  $\sigma_{B=17}(r)$

(c)  $r \times s$

(d)  $\pi_{A,F}(\sigma_{C=D}(r \times s))$

T19. What will be the number of columns and rows respectively obtained for the operation A-B, if A, B are Base union compatible and all the rows of A are common to B? Assume A has 4 columns and 20 rows; and B has 4 columns and 15 rows.

(a) 4, 0

(b) 0, 0

(c) 4, 5

(d) 8, 5

T20. Consider the following database table named water\_schemes:

water_schemes		
scheme_no	district_name	capacity
1	Ajmer	20
1	Bikaner	10
2	Bikaner	10
3	Bikaner	20
1	Churu	10
2	Churu	20
1	Dungargarh	10

the number of tuples returned by the following SQL query is:

with total (name, capacity) as

select district\_name, sum(capacity)  
from water\_schemes

group by district\_name

with total\_avg (capacity) as

select avg(capacity)

from total

select name

from total, total\_avg

where total.capacity ≥ total\_avg.capacity

[GATE-2016, Ans : (2)]





## Multiple Choice Questions

- Q.1** Which of the following scenarios may lead to an irrecoverable error in a database system?  
 (a) A transaction writes a data item after it is read by an uncommitted transaction  
 (b) A transaction reads a data item after it is read by an uncommitted transaction  
 (c) A transaction reads a data item after it is written by a committed transaction  
 (d) A transaction reads a data item after it is written by an uncommitted transaction  
 [ISRO-2009]
- Q.2** In the following, T1 and T2 are transactions and A is an object. Which of the following has the potential of making T2 irrecoverable?  
 (a) T2 writes A after T1 wrote A; T1 is uncommitted  
 (b) T2 reads A after T1 wrote A; T1 is uncommitted  
 (c) T2 write A after T1 wrote A; T1 is committed  
 (d) T2 reads A after T1 read A; T1 is uncommitted
- Q.3** Amongst the ACID properties of a transaction, the 'Durability' property requires that the changes made to the database by a successful transaction persist.  
 (a) Except in case of an Operating System crash  
 (b) Except in case of a Disk crash  
 (c) Except in case of a power failure  
 (d) Always, even if there is a failure of any kind  
 [GATE IT-2005]
- Q.4** Consider the following log sequence of two transactions on a bank account, with initial balance 12000, that transfer 2000 to a mortgage payment and, then apply a 5% interest.

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1. T1 start
2. T1 B old = 12000 new = 10000
3. T1 M old = 0 new = 2000
4. T1 commit
5. T2 start
6. T2 B old = 10000 new = 10500
7. T2 commit

Suppose the database system crashed just before log record 7 is written. When the system is restarted, which one statement is true of the recovery procedure?

- (a) We must redo log record 6 set B to 10500
- (b) We must undo log record 6 to set B to 10000 and then redo log records 2 and 3
- (c) We need not redo log records 2 and 3 because transaction T1 has committed
- (d) We can apply redo and undo operations in arbitrary order because they are idempotent

[GATE-2006]

- Q.5** Consider the following schedules

- S1: R<sub>1</sub>(x) R<sub>2</sub>(z) R<sub>1</sub>(z) R<sub>3</sub>(x) R<sub>3</sub>(y) w<sub>1</sub>(x) C1 w<sub>3</sub>(y)  
 C3 R<sub>2</sub>(y) w<sub>2</sub>(z) w<sub>2</sub>(y) C2
- S2: R<sub>1</sub>(x) R<sub>2</sub>(z) R<sub>1</sub>(z) R<sub>3</sub>(x) R<sub>3</sub>(y) w<sub>1</sub>(x) w<sub>2</sub>(y) R<sub>2</sub>(y)  
 w<sub>2</sub>(z) w<sub>2</sub>(y) C1, C2, C3
- S3: R<sub>1</sub>(x) R<sub>2</sub>(z) R<sub>3</sub>(z) R<sub>1</sub>(z) R<sub>2</sub>(y) R<sub>3</sub>(y) w<sub>1</sub>(x) C1  
 w<sub>2</sub>(z) w<sub>3</sub>(y) w<sub>2</sub>(y) C2, C3

Which of the following statement is true?

- (a) S1 cascadeless recoverable but not strict
- (b) S1, S2 are irrecoverable schedule
- (c) S1, S3 cascadeless recoverable and S2 not recoverable schedule
- (d) S1, S2, S3 all are irrecoverable schedule

Q.6 Consider the following schedule

**S1:** R<sub>1</sub>(B) R<sub>3</sub>(C) R<sub>1</sub>(A) W<sub>2</sub>(A) W<sub>1</sub>(A) W<sub>2</sub>(B) W<sub>3</sub>(A)  
W<sub>1</sub>(B) W<sub>3</sub>(B) W<sub>3</sub>(C)

**S2:** R<sub>2</sub>(B) R<sub>3</sub>(B) W<sub>2</sub>(A) R<sub>1</sub>(A) W<sub>3</sub>(B) R<sub>2</sub>(B) W<sub>1</sub>(A)  
W<sub>2</sub>(A) R<sub>2</sub>(C) W<sub>2</sub>(C) R<sub>1</sub>(C) W<sub>1</sub>(C) W<sub>3</sub>(B)

Which is true?

- (a) S1 and S2 conflict serializable schedules
- (b) S1 conflict but not S2
- (c) S2 conflict serializable but not S1
- (d) S1 and S2 no conflict serializable schedules

Q.7 Consider the following three schedules of transactions T1, T2 and T3. [Notation: In the following NYO represents the action Y (Y for read, W for write) performed by transaction N on object O.]

- (S1) 2RA 2WA 3RC 2WB 3WA 3WC 1RA 1RB 1WA 1WB
- (S2) 3RC 2RA 2WA 2WB 3WA 1RA 1RB 1WA 1WB 3WC
- (S3) 2RA 3RC 3WA 2WA 2WB 3WC 1RA 1RB 1WA 1WB

Which of the following statements is TRUE?

- (a) S1, S2 and S3 are all conflict equivalent to each other
- (b) No two of S1, S2 and S3 are conflict equivalent to each other
- (c) S2 is conflict equivalent to S3, but not to S1
- (d) S1 is conflict equivalent to S2, but not to S3

[GATE IT-2008]

Q.8 Consider the following schedules involving two transactions. Which one of the following statements is TRUE?

**S<sub>1</sub>:** r<sub>1</sub>(X); r<sub>1</sub>(Y); r<sub>2</sub>(X); r<sub>2</sub>(Y); w<sub>2</sub>(Y); w<sub>1</sub>(X)

**S<sub>2</sub>:** r<sub>1</sub>(X); r<sub>2</sub>(X); r<sub>2</sub>(Y); w<sub>2</sub>(Y); r<sub>1</sub>(Y); w<sub>1</sub>(X)

- (a) Both S<sub>1</sub> and S<sub>2</sub> are conflict serializable
- (b) S<sub>1</sub> is conflict serializable and S<sub>2</sub> is not conflict serializable
- (c) S<sub>1</sub> is not conflict serializable and S<sub>2</sub> is conflict serializable
- (d) Both S<sub>1</sub> and S<sub>2</sub> are not conflict serializable

[GATE-2007]

Q.9 Consider the following four schedules due to three transactions (indicated by the subscript) using *read* and *write* on a data item x, denoted by r(x) and w(x) respectively. Which one of them is conflict serializable?

(a) r<sub>1</sub>(x); r<sub>2</sub>(x); w<sub>1</sub>(x); r<sub>3</sub>(x); w<sub>2</sub>(x)

(b) r<sub>2</sub>(x); r<sub>1</sub>(x); w<sub>2</sub>(x); r<sub>3</sub>(x); w<sub>1</sub>(x)

(c) r<sub>3</sub>(x); r<sub>2</sub>(x); r<sub>1</sub>(x); w<sub>2</sub>(x); w<sub>1</sub>(x)

(d) r<sub>2</sub>(x); w<sub>2</sub>(x); r<sub>3</sub>(x); r<sub>1</sub>(x); w<sub>1</sub>(x)

[GATE-2014 (Set-1)]

Q.10 Consider the following schedule S of transactions T1, T2, T3 and T4:

T1	T2	T3	T4
Writes(X) Commit	Reads(X)	Writes(X) Commit	

Writes(Y) Reads(Z) Commit			Reads(X) Reads(Y) Commit
---------------------------------	--	--	--------------------------------

Which one of the following statements is CORRECT?

- (a) S is conflict-serializable but not recoverable
- (b) S is not conflict-serializable but is recoverable
- (c) S is both conflict-serializable and recoverable
- (d) S is neither conflict-serializable nor is it recoverable

[GATE-2014 (Set-2)]

Q.11 Consider the transactions T1, T2, and T3 and the schedules S1 and S2 given below.

T1: r1(X); r1(Z); w1(X); w1(Z)

T2: r2(Y); r2(Z); w2(Z)

T3: r3(Y); r3(X); w3(Y)

S1 : r1(X); r3(Y); r3(X); r2(Y); r2(Z); w3(Y); w2(Z); r1(Z); w1(X); w1(Z)

S2 : r1(X); r3(Y); r2(Y); r3(X); r1(Z); r2(Z); w3(Y); w1(X); w2(Z); w1(Z)

Which one of the following statements about the schedules is TRUE?

- (a) Only S1 is conflict-serializable.
- (b) Only S2 is conflict-serializable.
- (c) Both S1 and S2 are conflict-serializable.
- (d) Neither S1 nor S2 is conflict-serializable.

[GATE-2014 (Set-3)]

**Q.12** Consider the following schedule for transactions T<sub>1</sub>, T<sub>2</sub> and T<sub>3</sub>:

T <sub>1</sub>	T <sub>2</sub>	T <sub>3</sub>
Read (X)		
	Read (Y)	Read (Y)
Write (X)	Write (Y)	
		Write (X)
	Read (X)	
	Write (X)	

Which one of the schedules below is the correct serialization of the above?

- (a) T<sub>1</sub> → T<sub>3</sub> → T<sub>2</sub>    (b) T<sub>2</sub> → T<sub>1</sub> → T<sub>3</sub>  
 (c) T<sub>2</sub> → T<sub>3</sub> → T<sub>1</sub>    (d) T<sub>3</sub> → T<sub>1</sub> → T<sub>2</sub>

[GATE-2010]

**Q.13** Which of the following schedule results in unrepeatable read problem

- S<sub>1</sub> : r<sub>2</sub>(x) r<sub>2</sub>(y) r<sub>1</sub>(x) r<sub>1</sub>(y) w<sub>1</sub>(x) r<sub>2</sub>(x)  
 S<sub>2</sub> : r<sub>2</sub>(x) r<sub>2</sub>(y) w<sub>2</sub>(x) r<sub>1</sub>(x) r<sub>2</sub>(y)  
 S<sub>3</sub> : r<sub>2</sub>(x) r<sub>2</sub>(y) r<sub>1</sub>(x) r<sub>1</sub>(y) w<sub>1</sub>(x) w<sub>2</sub>(x)  
 (a) S<sub>1</sub>                         (b) S<sub>2</sub>  
 (c) S<sub>3</sub>                         (d) All these

**Q.14** Consider the following transactions with data items P and Q initialized to zero:

- T<sub>1</sub> : read (P);  
     read (Q);  
     if P = 0 then Q := Q + 1;  
     write (Q);  
 T<sub>2</sub> : read (Q);  
     read (P);  
     if Q = 0 then P := P + 1;  
     write (P);

Any non-serial interleaving of T<sub>1</sub> and T<sub>2</sub> for concurrent execution leads to

- (a) a serializable schedule  
 (b) a schedule that is not conflict serializable  
 (c) a conflict serializable schedule  
 (d) a schedule for which a precedence graph cannot be drawn

[2012 : 2 Marks]

**Q.15** For the schedule given below, which of the following is correct?

- 1 Read A  
 2 Read B  
 3 Write A  
 4 Read A  
 5 Write A  
 6 Write B  
 7 Read B  
 8 Write B

- (a) This schedule is serialisable and can occur in a scheme using 2PL protocol.  
 (b) This schedule is serialisable but cannot occur in a scheme using 2PL protocol.  
 (c) This schedule is not serialisable but can occur in a scheme using 2PL protocol.  
 (d) This schedule is not serialisable and cannot occur in a scheme using 2PL protocol.

[GATE-1999]

**Q.16** Consider the following schedule

S: r<sub>2</sub>(A) r<sub>3</sub>(A) w<sub>2</sub>(B) w<sub>3</sub>(A) r<sub>1</sub>(B) r<sub>4</sub>(B) r<sub>1</sub>(A) w<sub>1</sub>(C) w<sub>4</sub>(A)

What are transactions roll back's if the above schedule is executed by using time stamp with Thomas write rule?

(Assume the time stamps of T<sub>1</sub> T<sub>2</sub> T<sub>3</sub> T<sub>4</sub> are 250, 200, 210, 275 respectively)

- (a) T<sub>1</sub>                         (b) T<sub>2</sub>  
 (c) T<sub>4</sub>                         (d) No rollbacks

**Q.17** Consider the following two transactions: T<sub>1</sub> and T<sub>2</sub>:

T<sub>1</sub>: read (A);                         T<sub>2</sub>: read (B);  
     read (B);                         read (B);

If A = 0 then B ← B+1                 If B ≠ 0 then A ← A-1;  
     write (B);                         write (A);

Which of the following schemes, using shared and exclusive locks, satisfy the requirements for strict two locking for the above transactions?

- (a) S1: lock S(A);  
     read (A);  
     lock S(B);  
     read (B);  
     if A = 0  
         then B ← B+1;  
     write (B);  
     commit;  
     unlock(A);  
     unlock (B);
- S2: lock S (B);  
     read (B);  
     lock S(A);  
     read (A);  
     if B ≠ 0  
         then A ← A-1;  
     write (A);  
     commit;  
     unlock (B);  
     unlock (A);
- (b) S1: lock X(A);  
     read (A);  
     lock X(B);  
     read (B);  
     if A = 0  
         then B ← B+1;  
     write (B);  
     unlock(A);  
     commit  
     unlock (B);
- S2: lock X (B);  
     read (B);  
     lock X(A);  
     read (A);  
     if B ≠ 0  
         then A → A-1;  
     write (A);  
     unlock (A);  
     commit  
     unlock (B);
- (c) S1: lock S(A);  
     read (A);  
     lock X(B);  
     read (B);  
     if A = 0  
         then B ← B+1;  
     write (B);  
     unlock(A);  
     commit  
     unlock (B);
- S2: lock S (B);  
     read (B);  
     lock X(A);  
     read (A);  
     if B ≠ 0  
         then A ← A-1;  
     write (A);  
     unlock (B);  
     commit  
     unlock (A);
- (d) S1: lock S(A);  
     read (A);  
     lock X(B);  
     read (B);  
     if A = 0  
         then B ← B+1;  
     write (B);  
     unlock(A);  
     unlock (B);  
     Commit;

[GATE IT-2007]

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### Numerical Data Type Questions

Q.18 Consider the following transactions

$$\begin{aligned} T_1 &: r_1(A) w_1(A) r_1(B) w_1(B) \\ T_2 &: r_2(A) r_2(B) \\ T_3 &: w_3(A) w_3(B) \end{aligned}$$

How many concurrent schedules between  $T_1$ ,  $T_2$  and  $T_3$  transactions \_\_\_\_\_.

Q.19 How many view eloquent serial schedules are possible for the given schedule

$$S : w_1(A) r_2(A) w_3(A) r_4(A) w_5(A) r_6(A)$$

Q.20 Consider the following transactions

$$\begin{aligned} T_1 &: r_1(A) w_1(A) r_1(B) w_1(B) \\ T_2 &: w_2(B) w_2(A) \end{aligned}$$

How many non serial schedules are serializable?

Q.21 Consider the following transactions

$$\begin{aligned} T_1 &: r_1(A) r_1(B) w_1(B) \\ T_2 &: r_2(A) r_2(B) w_2(B) \end{aligned}$$

How many non serial schedules between  $T_1$  and  $T_2$  are serializable?

Q.22 Consider the following transactions

$$\begin{aligned} T_1 &: r_1(A) r_1(B) w_1(B) \\ T_2 &: r_2(B) r_2(A) w_2(A) \end{aligned}$$

How many non serial schedules between  $T_1$  and  $T_2$  are serializable?

Q.23 Consider the following transactions

$$\begin{aligned} T_1 &: r_1(A) w_1(A) r_1(B) w_1(B) \\ T_2 &: r_2(B) w_2(B) r_2(A) w_2(A) \end{aligned}$$

- (i) How many schedules serializable as  $T_1 \rightarrow T_2$
- (ii) How many schedules serializable as  $T_2 \rightarrow T_1$

Q.24 Consider the following transactions

$$\begin{aligned} T_1 &: r_1(A) w_1(A) r_1(B) w_1(B) \\ T_2 &: r_2(A) w_2(A) r_2(B) w_2(B) \end{aligned}$$

- (a) How many schedules serializable as  $T_1 \rightarrow T_2$
- (b) How many schedules serializable as  $T_2 \rightarrow T_1$

**Q.25** Consider the following schedule

S : r<sub>1</sub>(A) w<sub>1</sub>(B) r<sub>2</sub>(A) w<sub>2</sub>(B) r<sub>3</sub>(A) w<sub>3</sub>(B)

- (i) How many schedules conflict equal to given schedule S?
- (ii) How many schedules view equal to given schedule S?

### Try Yourself



- T1. S1: r<sub>1</sub>(A) r<sub>2</sub>(A) r<sub>3</sub>(A) w<sub>1</sub>(B) w<sub>2</sub>(B) w<sub>3</sub>(B)  
 S2: r<sub>1</sub>(A) r<sub>2</sub>(A) r<sub>3</sub>(A) r<sub>4</sub>(A) w<sub>1</sub>(B) w<sub>2</sub>(B) w<sub>3</sub>(B) w<sub>4</sub>(B)  
 S3: r<sub>1</sub>(A) r<sub>2</sub>(D) w<sub>1</sub>(B) r<sub>2</sub>(B) w<sub>3</sub>(B) r<sub>4</sub>(B) w<sub>2</sub>(C) r<sub>5</sub>(C)  
 w<sub>4</sub>(E) r<sub>5</sub>(E) w<sub>5</sub>(B)  
 S4: w<sub>1</sub>(A) r<sub>2</sub>(A) w<sub>3</sub>(A) r<sub>4</sub>(A) w<sub>5</sub>(A) r<sub>6</sub>(A)  
 S5: r<sub>2</sub>(A) r<sub>1</sub>(A) w<sub>1</sub>(C) r<sub>3</sub>(C) w<sub>1</sub>(B) r<sub>4</sub>(B) w<sub>3</sub>(A) r<sub>4</sub>(C)  
 w<sub>2</sub>(D) r<sub>2</sub>(B) w<sub>4</sub>(A) w<sub>4</sub>(B)

For the above schedules find all view equivalent serial orders.

T2. Consider the following schedules S1, S2, S3 below. Determine whether each schedule is strict, cascadeless, recoverable or non recoverable.

S1: r<sub>1</sub>(x) r<sub>2</sub>(z) r<sub>1</sub>(z) r<sub>3</sub>(x) r<sub>3</sub>(y) w<sub>1</sub>(x) C1 w<sub>3</sub>(y) C3  
 r<sub>2</sub>(y) w<sub>2</sub>(z) w<sub>2</sub>(y) C2

S2: r<sub>1</sub>(x) r<sub>2</sub>(z) r<sub>1</sub>(z) r<sub>3</sub>(x) r<sub>3</sub>(y) w<sub>1</sub>(x) w<sub>3</sub>(y) r<sub>2</sub>(y) w<sub>2</sub>(z)  
 w<sub>2</sub>(y) C1 C2 C3

S3: r<sub>1</sub>(x) r<sub>2</sub>(z) r<sub>3</sub>(x) r<sub>1</sub>(z) r<sub>2</sub>(y) r<sub>3</sub>(y) w<sub>1</sub>(x) C1 w<sub>2</sub>(z)  
 w<sub>3</sub>(y) w<sub>2</sub>(y) C3 C2

1. R<sub>1</sub>(x) R<sub>2</sub>(x) w<sub>1</sub>(x) w<sub>2</sub>(x)
2. w<sub>1</sub>(x) R<sub>2</sub>(y) R<sub>1</sub>(y) R<sub>2</sub>(x)
3. R<sub>1</sub>(x) R<sub>2</sub>(y) w<sub>3</sub>(x) R<sub>2</sub>(x) R<sub>1</sub>(y)
4. R<sub>1</sub>(x) R<sub>2</sub>(y) w<sub>1</sub>(x) R<sub>2</sub>(y) w<sub>3</sub>(y) w<sub>1</sub>(x) R<sub>2</sub>(y)
5. R<sub>1</sub>(x) w<sub>2</sub>(x) w<sub>1</sub>(x) Abort 2; commit 1
6. r<sub>1</sub>(x) w<sub>2</sub>(x) w<sub>1</sub>(x) R<sub>2</sub>; C2, C1
7. w<sub>1</sub>(x) R<sub>2</sub>(x) w<sub>1</sub>(x) R<sub>2</sub>; C1
8. w<sub>1</sub>(x) R<sub>2</sub>(x) w<sub>1</sub>(x) C2, C1

Consider the following classes of schedules identify classifying

- Serializable
- Conflict serializable

- View serializable
- Recoverable
- Avoid cascading aborts
- Strict recoverable

T3. Consider the following schedules

- (a) r<sub>1</sub>(A) r<sub>2</sub>(B) r<sub>3</sub>(C) w<sub>1</sub>(B) w<sub>2</sub>(C) w<sub>3</sub>(D)
- (b) r<sub>1</sub>(A) r<sub>2</sub>(B) r<sub>3</sub>(C) w<sub>1</sub>(B) w<sub>2</sub>(C) w<sub>3</sub>(A)
- (c) r<sub>1</sub>(A) r<sub>2</sub>(B) r<sub>3</sub>(C) r<sub>1</sub>(B) r<sub>2</sub>(C) r<sub>3</sub>(D) w<sub>1</sub>(C) w<sub>2</sub>(D)  
 w<sub>3</sub>(E)
- (d) r<sub>1</sub>(A) r<sub>2</sub>(B) r<sub>3</sub>(C) r<sub>1</sub>(B) r<sub>2</sub>(C) r<sub>3</sub>(D) w<sub>1</sub>(A) w<sub>2</sub>(A)  
 w<sub>3</sub>(C)
- (e) r<sub>1</sub>(A) r<sub>2</sub>(B) r<sub>3</sub>(C) r<sub>1</sub>(B) r<sub>2</sub>(C) r<sub>3</sub>(A) w<sub>1</sub>(A) w<sub>2</sub>(B)  
 w<sub>3</sub>(C)

Answer the following questions for the above schedules.

- (i) Find all conflict equal serial schedules?
- (ii) Find all view equal serial schedules?
- (iii) Find the schedules which are possible to execute by basic 2PL? (Use lock upgrading if required)
- (iv) Find the schedules which are possible to execute by strict 2PL protocol? Consider commit operation as immediate last operations of each trans.

**Example:** r<sub>1</sub>(A), r<sub>2</sub>(B), r<sub>3</sub>(C), w<sub>1</sub>(B), commit<sub>1</sub>,  
 w<sub>2</sub>(C) commit<sub>2</sub>, w<sub>3</sub>(D) commit<sub>3</sub>

- (v) Find set of rollbacks using basic time stamp ordering protocol using the following time stamp values
  - (a) (T<sub>1</sub> T<sub>2</sub> T<sub>3</sub>) = (10, 20, 30)
  - (b) (T<sub>1</sub> T<sub>2</sub> T<sub>3</sub>) = (30, 20, 10)
  - (c) (T<sub>1</sub> T<sub>2</sub> T<sub>3</sub>) = (20, 10, 30)
  - (d) (T<sub>1</sub> T<sub>2</sub> T<sub>3</sub>) = (30, 10, 20)
  - (e) (T<sub>1</sub> T<sub>2</sub> T<sub>3</sub>) = (20, 30, 10)
  - (f) (T<sub>1</sub> T<sub>2</sub> T<sub>3</sub>) = (10, 30, 20)
- (vi) Repeat (v) questions for Thomas write time stamp ordering protocol.

- T4. Which of the following concurrency control schemes is not based on the serializability property
  - (a) Two-phase locking

- (b) Graph-based locking  
 (c) Time-stamp based locking  
 (d) None of these

- T5. Isolation of the transactions is ensured by  
 (a) Transaction management  
 (b) Application programmer  
 (c) Concurrency control  
 (d) Recovery management

- T6. Ensuring consistency for an individual transaction is the responsibility of \_\_\_\_.  
 (a) Concurrency control component  
 (b) Transaction management  
 (c) Application programmer  
 (d) Recovery management

- T7. Consider the following point about serializability. Which is false  
 1. Testing conflict serializability is polynomial problem i.e., it can be done in polynomial time.  
 2. Testing view serializability is NP component problem.  
 3. Every view serializable is also conflict serializable.  
 4. View serializability is necessary but not sufficient for serializability of schedule.

- T8. Which of the following must be idempotent  
 (a) Commit                    (b) Redo  
 (c) Write                    (d) Undo

- T9. Which of the following is false  
 1. Wait-die scheme for deadlock prevention is non-preemptive and wound-wait is preemptive.  
 2. Wait-die is preemptive and wound-wait is non-preemptive.  
 3. In wait-die scheme there is no deadlock and avoid starvation.  
 4. For wound-wait scheme there is possibility of starvation.  
 (a) 2 and 4                    (b) 1 and 3  
 (c) 2 and 3                    (d) 1 and 4

- T10. Which of the following problem are possible even if schedule is strict recoverable

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1. RW                    2. WR  
 3. WW                    4. IRRE  
 5. Cascading roll back    6. Lost update problem  
 (a) WR and IRRE  
 (b) Lost update problem  
 (c) WR and Lost update problem  
 (d) RW problem

- T11. Which of the following is right about 2PL  
 1. If schedule is conflict serializable then it is allowed by 2PL.  
 2. 2PL may lead to deadlock.  
 3. 2PL allowed schedule are free from cascading roll back and lost update problem  
 4. Starvation may happen.  
 (a) 1 and 4                    (b) 2 and 3  
 (c) 2 and 4                    (d) 1 and 3

- T12. Which of the following are true about basic time stamp ordering protocol.  
 1. Free From Deadlock  
 2. Free From Starvation  
 3. Not Free From Cascading Rollback problem and Irrecoverable schedules  
 4. If schedule is conflict serializable, this condition alone is sufficient to tell that schedule is allowed by BTSO protocol.  
 (a) 1 and 3                    (b) 1 and 4  
 (c) 2 and 4                    (d) 2, 3 and 4

- T13. Consider the following database schedule with two transactions,  $T_1$  and  $T_2$ .  
 $S = r_2(X); r_1(X); r_2(Y); w_1(X); r_1(Y); w_2(X); a_1; a_2$   
 where  $r_i(Z)$  denotes a read operation by transaction  $T_i$  on a variable  $Z$ ,  $w_i(Z)$  denotes a write operation by  $T_i$  on a variable  $Z$  and  $a_i$  denotes an abort by transaction  $T_i$ .

Which one of the following statements about the above schedule is TRUE?

- (a)  $S$  is non-recoverable  
 (b)  $S$  is recoverable, but has a cascading abort  
 (c)  $S$  does not have a cascading abort  
 (d)  $S$  is strict

[GATE-2016, Ans : (c)]



# 5

## File Structure and Indexing



### Multiple Choice Questions

- Q.1 Choose the correct statements.
- For fixed length records unspanned organization is preferred.
  - For variable length records unspanned organization is preferred.
  - For fixed length records spanned organization is preferred.
  - None of the above
- Q.2 Choose the false statement.
- Internal hashing is implemented through the use of an array of records.
  - Multiple hashing uses two or more hash functions.
  - Static hashing provides slow searching of records.
  - Extendible hashing provides good performance as file grows.
- Q.3 Suppose blocks hold either three records, or Ten (Key, pointer) pairs. As a function of  $n$ , the number of records, How many blocks do we need to hold a data file and a dense index.
- $13n/30$
  - $11n/30$
  - $10n/30$
  - $n/3$
- Q.4 Suppose blocks hold either three records, or Ten (Key, pointer) pairs. As a function of  $n$ , the number of records, for dense index. How many levels of index as is appropriate until the final level of index has only one block?
- $\frac{n}{3^n}$
  - $\log_3 n$
  - $\frac{n}{4^n}$
  - $\log_{10} n$

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- Q.5 Consider a file of 16384 records. Each record is 32 bytes long and its key fields of size 6 bytes. The file is ordered on a non-key field, and the file organization is unspanned. The file is stored in a file system with block size 1024 bytes, and the size of a block pointer is 10 bytes. If the secondary index is built on the key field of the file, and a multi-level index scheme is used to store the secondary index, the number of first-level and second-level blocks in the multi-level index are respectively
- 8 and 0
  - 128 and 6
  - 256 and 4
  - 512 and 5

[GATE-2008]

- Q.6 A clustering index is defined on the fields which are of type
- Non-key and ordering
  - Non-key and non-ordering
  - Key and ordering
  - Key and non-ordering

[GATE-2008]

- Q.7 For given database file, if records physically ordered based on candidate key field (x) and field y used for index. The index said to be
- Primary index
  - Clustering index
  - Secondary index on key
  - Secondary index on non-key

- Q.8 For given database file, if records physically ordered based on candidate key field x and non key field (y) used for index. Then index said to be

- (a) Primary index
- (b) Clustering index
- (c) Secondary index on key
- (d) Secondary index on non-key

Q.9 For given database file, if records physically ordered based on non key field (x) and index build based on candidate key field (y). Then index said to be

- (a) Primary index
- (b) Clustering index
- (c) Secondary index on key
- (d) Secondary index on non-key

Q.10 For given DB file, if records physically ordered based on non key field (x) and index build on same non key field (x). Then index said to be

- (a) Primary index
- (b) Clustering index
- (c) Secondary index on key
- (d) Secondary index on non-key

Q.11 Consider file consist 65,536 records. Each record 32 byte long and its search key field size 6 bytes. Disk block size 1024 bytes. Size of pointer 12 bytes.

- How many index blocks, levels of index, I/O cost are required in worst case if
- (a) Index build on the **key field (x)** of the file and records physically ordered based on **non key field (y)**.
  - (b) Index build on the **key field (x)** of the file and records physically ordered based on **same key field (x)**.
  - (c) Index build on the **non key field (x)** of the file and records physically ordered based on **same key field (x)** and every 16 records whose non key field value same.
  - (d) Index build on the **non key field (x)** if the file and records physically ordered based on **key field (y)**.

Q.12 Which indices search key defines the sequential order of file and which indices search key specifies an order different from sequential order of file?

- (a) Primary, Clustering
- (b) Clustering, Primary
- (c) Non-clustering, Secondary
- (d) Clustering, Secondary

Q.13 There are five records in a database.

Name	Age	Occupation	Category
Rama	27	CON	A
Abdul	22	ENG	A
Jeniffer	28	DOC	B
Maya	32	SER	D
Dev	24	MUS	C

There is an index file associated with this and it contains the values 1, 3, 2, 5 and 4. Which one of the fields is the index built from?

- (a) Age
- (b) Name
- (c) Occupation
- (d) Category

[GATE-1998]

Q.14 Which statement is false?

- (a) The leaf nodes of the  $B^+$  tree are usually linked together to provide ordered access on the search field to the records.
- (b) Most implementations of dynamic multilevel index use a variation of the B tree data structure called as  $B^+$  tree.
- (c) For a  $B^+$  tree constructed on a key, the pointers in internal nodes are tree pointers to blocks that are tree nodes.
- (d) In  $B^+$  tree, data pointers are stored in the leaf nodes of the tree and the structure of leaf nodes is same as that of the internal nodes.

Q.15 Which one of the following is a key factor for preferring  $B^+$  trees to binary search trees for index database relations?

- (a) Database relations have a large number of record
- (b) Database relations are sorted on the primary key
- (c)  $B^+$  trees require less memory than binary search trees
- (d) Data transfer from disks is in blocks

[GATE-2005]

**Q.16** Which of the following is correct?

- (a) B-trees are for storing data on disk and B<sup>+</sup> trees are for main memory.
- (b) Range queries are faster on B<sup>+</sup> trees.
- (c) B-trees are for primary indexes and B<sup>+</sup> trees are for secondary indexes.
- (d) The height of a B<sup>+</sup> tree is independent of the number of records.

[GATE-1999]

**Q.17** B<sup>+</sup> -trees are preferred to binary trees in databases because

- (a) Disk capacities are greater than memory capacities
- (b) Disk access is much slower than memory access
- (c) Disk data transfer rates are much less than memory data transfer rates
- (d) Disks are more reliable than memory

[GATE-2000]

#### Common Data For Q.18 & Q.19

A DB table T1 has 2000 records and occupies 80 disk block. Another table T2 has 400 records and occupies 20 disk block. These two tables have to be jointed as per a specified join condition that needs to be evaluated for every pair of records from those two tables. The memory buffer space available can hold exactly one block of record file for T1 and one block of records for T2 simultaneously at any point of time.

**Q.18** If nested loop join algorithm is employed to perform the join, with the most appropriate choice of table to be used in outer loop. The number blocks access required for reading the data are

- |              |            |
|--------------|------------|
| (a) 8,00,000 | (b) 40,080 |
| (c) 32,020   | (d) 100    |

**Q.19** If instead of nested loop join, block nested loop join is used, again with the most appropriate choice of table in the outer loop the reduction in number block accesses required for reading the data will be

- |            |             |
|------------|-------------|
| (a) 0      | (b) 30,400  |
| (c) 38,400 | (d) 798,400 |

**Q.20** Consider a join (relation algebra) between relations r(R) and s(S) using the nested loop method. There are 3 buffers each of size equal to disk block size, out of which one buffer is reserved for intermediate results.

Assuming  $\text{size}(r(R)) < \text{size}(s(S))$ , the join will have fewer number of disk block accesses if

- (a) relation r(R) is in the outer loop.
- (b) relation s(S) is in the outer loop.
- (c) join selection factor between r(R) and s(S) is more than 0.5.
- (d) join selection factor between r(R) and s(S) is less than 0.5.

[GATE-2014 (Set-2)]

#### Numerical Data Type Questions

**Q.21** Suppose we have a block-addressable disk drive. With such block-organized disk non-data overhead of subblocks and interblock gaps have to be accounted for. There are 40000 bytes per track and the amount of space taken up by subblocks and interblocks gaps equivalent to 250bytes per block. A file contains records and record size is 200 bytes to be stored on the disk. If a total of 32 blocks can be stored per track then what is the blocking factor? The term "blocking factor" is used to indicate the number of records that are to be stored in each block in a file. A block is organised to hold an integral number of logical records.

**Q.22** Given a system using unspanned blocking and 100-byte blocks. A file contains records of 20, 50, 35, 70, 40, 20.

What percentage of space will be wasted in the blocks allocated for the file?

**Q.23** Consider a table T in a relational database with a key field K. A B<sup>+</sup> tree of order p is used as an access structure on K, where p denotes the maximum number of tree pointers in a B tree node. Assume that K is 10 bytes long; disk block

size is 512 bytes; each data pointer  $P_D$  is 8 bytes long and each block pointer  $P_B$  is 5 bytes long. In order for each B tree node to fit in a single disk block, the maximum value of  $p$  is \_\_\_\_\_.  
 [GATE IT-2004]

Q.24 A B<sup>+</sup> tree index is to be built on the Name attribute of the relation STUDENT. Assume that all student names are of length 8 bytes, disk block are size 512 bytes, and index pointer are of size 4 bytes. Given this scenario, what would be the best choice of the degree (i.e. the number of pointers per node) of the B<sup>+</sup> tree?  
 [GATE-2002]

Q.25 What will be the order ( $p$ ) of a B<sup>+</sup> tree with a database of 5,00,000 records of 200 bytes each and the search key is 15 bytes? Assume the tree and data pointers are 5 bytes each and the index node (data block size) is 1024 bytes.

Q.26 The order of an internal node in a B<sup>+</sup> tree index is the maximum number of children it can have. Suppose that a child pointer takes 6 bytes, the search field value takes 14 bytes, and the block size is 512 bytes. What is the order of the internal node?  
 [GATE-2004]

Q.27 The order of a leaf node in a B<sup>+</sup> tree is the maximum number of (value, data record pointer) pairs it can hold. Given that the block size is 1 K bytes, data record pointers is 7 bytes long, the value field is 9 bytes long and a block pointer is 6 bytes long, what is the order of the leaf node?  
 [GATE-2007]

Q.28 In a database file structure, the search key field is 9 bytes long, the block size is 512 bytes, a record pointer is 7 bytes and a block pointer is 6 bytes. The largest possible order of a non-leaf node in a B<sup>+</sup> tree implementing this file structure is \_\_\_\_?  
 [GATE IT-2006]

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Q.29 Assume block size 4096 bytes, size of key is 4 bytes. Size of pointer be 8 bytes. How many keys are possible per blocks for B<sup>+</sup>-Tree organization?

Q.30 Find minimum and maximum nodes and keys in B/B<sup>+</sup>tree with order P = 7 and level 5  
**Order P :** For root node key between 1 to P othernodes keys between  $\lceil P/2 \rceil$  to P.

Q.31 Find minimum and maximum nodes and keys in B/B<sup>+</sup>tree with order P = 7 and level 5.  
**Order P :** For root node between 2 to 2P child pointers.  
 Other nodes between P to 2P child pointers.  
 For leaf nodes between (P-1) to (2P-1) keys.

Q.32 Assume that you have built a dense primary B<sup>+</sup>-Tree indeed on a file containing 20,000 records. The key field for this B<sup>+</sup>-Tree indeed is a 40 byte string and it is a candidate key. Pointers are at 10 bytes. The size of one disk page is 1000 bytes. The index was built in a bottom-up fashion using the bulk-loading algorithm and nodes were filled up as much as possible. How many levels of indexing is required?

Q.33 A B<sup>+</sup>-Tree of order d is a tree in which each internal node has between d and 2d keys values. The root has between 1 and 2d keys values what is the maximum number of internal nodes in a B<sup>+</sup> -Tree of order 4 with 52 leaves.

Q.34 The following key values are inserted into a B<sup>+</sup> – tree in which order of the internal nodes is 3, and that of the leaf nodes is 2, in the sequence given below. The order of internal nodes is the maximum number of tree pointers in each node, and the order of leaf nodes is the maximum number of data items that can be stored in it. The B<sup>+</sup> – tree is initially empty.

10, 3, 6, 8, 4, 2, 1

The maximum number of times leaf nodes would get split up as a result of these insertions is

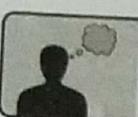
[GATE-2009]

**Q.35** Consider a B<sup>+</sup>-tree in which the maximum number of keys in a node is 5. What is the minimum number of keys in any non-root node?

[GATE-2010]

**Q.36** Assume DB table T<sub>1</sub> has 2000 records and occupies 80 disk blocks. Another table T<sub>2</sub> has 400 records and 20 disk blocks.

How many min number of main memory blocks required to join T<sub>1</sub> and T<sub>2</sub> using Nested loop join algorithm so that T<sub>1</sub> and T<sub>2</sub> should access only once from secondary memory to main memory?



### Try Yourself

- T1.** Consider file consist of 10,000 record. Block size 1024 bytes, record size 100 bytes. Search key size 9 bytes, pointer 7 bytes.
- How many 1<sup>st</sup> level index blocks using dense index.
  - How many 1<sup>st</sup> level index blocks using of sparse index.
  - How many levels of index required if 1<sup>st</sup> level dense index.
  - How many levels of index required if 1<sup>st</sup> level sparse index.
- T2.** Consider a file consist 30,000 fixed length records of size 100 bytes. Each disk block size is 1024 bytes, block pointer size 6 bytes. Search key size 9 bytes.
- How many 1<sup>st</sup> level index blocks using dense index?
  - How many 1<sup>st</sup> level index blocks using sparse index.
  - How many levels of index required if 1<sup>st</sup> level uses dense index.
  - How many levels of index required if 1<sup>st</sup> level uses sparse index.
- T3.** DB file consist 1250 records. Block can hold either 3 records or (10 keys, 11 pointers)
- How many minimum index blocks and minimum levels of index required. Dense B<sup>+</sup> tree index for above DB file.

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- How many maximum index blocks and maximum levels if index required for dense B<sup>+</sup> tree. Index for above DB file.
- How many min index blocks and min levels of index required for sparse B<sup>+</sup> tree index for above DB file.
- How many max index blocks and max levels of index required for sparse B<sup>+</sup> tree. Index for above DB file.

**T4.** With respect to the B<sup>+</sup> tree index method select the true statements

- Records are physically stored in primary key order
- B<sup>+</sup> tree uses a hashing algorithm
- The index tree may or may not become unbalanced as a result of updates
- None of the above

**T5.** A B-tree used as an index for a large database table has four levels including the root node. If a new key 18 inserted in this index, then the maximum number of nodes that could be newly created in the process are

- |       |       |
|-------|-------|
| (a) 3 | (b) 4 |
| (c) 5 | (d) 2 |

**T6.** A data dictionary doesn't provide information about

- where data is located
- the size of the disk storage
- who owns the data
- how data is used

**T7.** B<sup>+</sup> Trees are considered BALANCED because

- the lengths of the paths from the root to all leaf nodes are all equal.
- the lengths of the paths from the root to all leaf nodes differ from each other by at most 1.
- the number of children of any two non-leaf sibling nodes differ by at most 1.
- the number of records in any two leaf nodes differ by at most 1.

[GATE-2016, Ans : (a)]

