OPTIMAL TRANSPORT, $1/d^{\alpha}$ -COSTS, AND MEDIAL AXIS TRANSFORMS

J.H. MARTEL

1. Medial Axis Transforms and Optimal Transport

The purpose of this section is to compare some familiar properties of the medial axis transform $A \mapsto M(A)$ (introduced by [Blu67]) with the singularity structures formalized in our Kantorovich contravariant functor $Z: 2^{\partial A} \to 2^A$ (introduced in [Mar]). We compare the functor Z with medial axis transform by interpreting the inclusion $M(A) \hookrightarrow A$ in terms of mass transportation.

Let A be a bounded open subset of \mathbb{R}^N . The medial axis M(A) defined by Blum consists of all $x \in A$ for which $dist(x, \partial A)$ is attained by at least two distinct points,

(1)
$$M(A) := \{x \in A \mid \#argmin_{y \in \partial A} \{d(x, y)\} \ge 2\}.$$

Caution needs be exercised since M(A) is possibly not a closed subset of A. The closure $\overline{M(A)}$ coincides with the so-called "cut locus of the boundary ∂A ".

A long-known "folk theorem" states that the inclusion $M(A) \hookrightarrow A$ is a homotopy-isomorphism, and even a strong deformation retract. (Strictly speaking, the closure $\overline{M(A)}$ is a strong retract). This implies M(A) contains all the topology of A, and a connected subset whenever A is. A formal proof is established [Lie04]. We do not know if M(A) is a strong retract for more general Riemannian spaces (X,d), although results of Alexander-Bishop [AB98], [AB00] prove sufficiently thin Riemannian manifolds deform onto the cut-locus. Our recent thesis [Mar] contains some results, namely "Theorem B", identify conditions for which inclusions denoted $Z_2 \hookrightarrow A$ are homotopy isomorphisms, even strong deformation retracts. This subvariety Z_2 is derived from a contravariant functor $Z = Z(\mu, \nu, c)$ defined by mass transport data (μ, ν, c) . The medial axis M(A) and Z_2 will rarely coincide set-theoretically, but this present note demonstrates they are frequently topologically isomorphic.

The medial axis transform corresponds to a "degenerate" transport problem in the following sense: if $A \hookrightarrow \mathbb{R}^N$ is bounded open subset, then we nominate

(2)
$$\mu := \frac{1}{\mathscr{H}_A[A]} \mathscr{H}_A$$

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as the canonical probability measure on the source A. Consider the probability measures π on $A \times \partial A$ for which $proj_A\#\pi = \mu$ and with unconstrained second marginal $proj_{\partial A}\#\pi$. Here $proj_A$, $proj_{\partial A}$ are the canonical projections $A \times \partial A \to A$, ∂A . The set-mapping

(3)
$$T: x \mapsto argmin_{y \in \partial A} \{d(x, y)\}, \text{ for } x \in A,$$

defines a measurable set-valued map $T: A \to \partial A$. The pushforward

$$(4) \nu := T \# \mu$$

is a probability measure on ∂A with $spt(\nu) = \partial A$. With respect to, say, quadratic cost $c = d^2/2$ or distance cost c = d, the map $x \mapsto T(x)$ defines a c-optimal transport from μ to ν , with c-optimal coupling $\pi = (Id \times T) \# \mu$ on $A \times \partial A$.

Finally M(A) coincides with the locus-of-discontinuity of $T: A \to \partial A$, or more specifically the singularity Z_2 defined by Kantorovich's contravariant functor $Z=Z(\mu,\nu,d):2^{\partial A}\to 2^A$. Thus we arrive at an instance where $M(A)=Z_2$ for the specific coupling program defined by μ,ν,c . This identification suggests the following generalization of medial axis transform: for general probability measures $\nu\in\Delta(\partial A)$ on the boundary of A, we may study the c-optimal couplings π from μ to ν , and obtain a Singularity functor $Z(\mu,\nu,c)$. The generalized medial axis in this setting is Z_2 , i.e. the "locus-of-discontinuity" of the c-optimal transport π from μ to ν .

2. Reduction-to-Singularity

Our thesis developed a Reduction-to-Singularity principle, and identifies conditions for which, say, the inclusion $Z_2 \hookrightarrow Z_1$ is a homotopy-isomorphism. In the above setting with $Z = Z(\mu, \nu, c)$, we find $A = Z_1$, $M(A) = Z_2$. Naturally we inquire whether the hypotheses of our topological theorems are satisfied for any particular costs c. If we fix $c = d^2/2$, then Theorem B takes the following form. For $x \in A = Z_1$, let $y_0 := T(x)$. Then define

$$\eta(x,y) := |c(x,y) - c(x,y_0)|^{-1-\beta} \cdot \nabla_x (c(x,y) - c(x,y_0)),$$

for $y \in \partial A - \{y_0\}$. Observe that $c(x,y) - c(x,y_0) > 0$ is nonvanishing throughout A - M(A). The hypotheses of Theorem B require the following conditions (6), (7) be satisfied. For $x \in A - Z_2$, define $y_0 =: T(x)$, and abbreviate

$$\bar{\nu}(y) := (1 - e^{-d(y,y_0)^2}) \cdot \nu(y).$$

Then we define the averaged Bochner integral

(5)
$$\eta(x, avg) := \bar{\nu}[\partial A]^{-1} \cdot \int_{\partial A} \eta(x, y) d\bar{\nu}(y).$$

We require that

(6) $\eta(x, avg)$ is nonzero finite tangent vector,

and there exists a constant C > 0 such that

for $x \in A - M(A)$, uniformly with x, for some exponent $\beta > 0$. Typically $\beta = 1$ is sufficient.

The verification of hypotheses (6)–(7) can be difficult to verify. Evidently (7) implies (6). We find $\eta(x, avg)$ is an averaged gradient, even the gradient of the averaged potential $f_{avg}(x)$ defined as follows. Let $f_y(x) := (c(x, y) - c(x, y_0))^{-\beta}$, and consider the average of $f_y(x)$ with respect to the Borel measure $\bar{\nu}(y)$ on ∂A , namely

$$f_{avg}(x) = \bar{\nu}[\partial A]^{-1} \cdot \int_{\partial A} f_y(x) d\bar{\nu}(y).$$

Then

$$\eta(x, avg) = \nabla_x \int_{\partial A} f_{avg}(x) d\bar{\nu}(y).$$

The hypothesis (7) requires $f_{avg}(x)$ be critical-point free over the open subset $A - Z_2$. As $x \in A - Z_2$ converges to Z_2 , we find the potential $f_{avg} > 0$ and the gradient $\nabla_x f_{avg}$ diverge to infinity.

3. Hubbard's $1/d^{\alpha}$ -distance

We need remark on a complication arising from the nonconvexity of A. What is the natural distance function d on $A \subset \mathbb{R}^N$, and the physical "transport cost" of a unit mass at $x \in A$ to target mass $y \in \partial A$? There are at least two popular possibilities. First we may restrict the ambient euclidean distance $d_{\mathbb{R}^N}(x,y) = ||x-y||$ to $A \times \partial A \subset \mathbb{R}^N \times \mathbb{R}^N$. But this restriction does not represent a path length distance in the sense of Gromov [Gro+01, 1.A-B]. In otherwords the restriction does not represent geodesic transport in A, and there is no variational description of the metric in terms of shortest-length curves.

A second approach defines $d = d_A$ as the induced length distance defined by

$$d_A(x,y) = \inf_{\gamma} Length(\gamma),$$

where the infimum is over all curves $\gamma:[0,1]\to A$ contained in A with $\gamma(0)=x$, $\gamma(1)=y$. The reader will observe that both possibilities define coincident medial axes M(A) according to (1), since euclidean balls are geodesically convex. The induced length distance $d=d_A$ is possibly most preferred by metric geometers, yet is difficult to numerically evaluate. Moreover geodesics with respect to the induced path distance $c=d_A$ can oftentimes be branching. The possible branching of geodesics

implies gradients $y \mapsto \nabla_x d(x, y)$ are noninjective maps $\partial A \to T_x A$ for $x \in A$. This possible noninjectivity violates an important transport condition called (Twist), and is obstruction to hypothesis (6). Thus neither the restricted distance $c = d|A \times \partial A$ nor the induced distance $c = d_A$ are especially convenient costs.

This article explores a third possibility, namely a variant of Hubbard's so-called 1/d-metric (see [HH06, Ch. 2.2, pp.33]). Let $A \subset \mathbb{R}^N$ be open subset. Then for every real parameter α we define the Riemannian metric

(8)
$$g_{\alpha} := (dist(x, \mathbb{R}^N - A))^{-\alpha} ds^2,$$

where

$$ds^2 = dx_1^2 + dx_2^2 + \dots + dx_N^2$$

is the standard Euclidean metric on \mathbb{R}^N . The choice $\alpha = 0$ yields $g_0 = ds^2$. Let $\kappa = \kappa(g)$ denote the sectional curvature of the metric g.

Lemma 1. For every parameter $\alpha \geq 0$, the Riemannian metric g_{α} has nonpositive sectional curvature $\kappa \leq 0$ throughout A.

Proof. We follow Hubbard's proof [HH06, Thm. 2.2.9, pp.36], where the key observation is that: for every $y \in \mathbb{R}^N - A$, the function $f_y(x) := -\log||x - y||^{\alpha}$ is subharmonic for $x \in A$ (in fact, the function is harmonic). Therefore the supremum

$$f(x) := \sup_{y \in \partial A} f_y(x) = \sup_{y \in \mathbb{R}^N - A} f_y(x)$$

is subharmonic. But the metric g_{α} is conformal to the standard Euclidean metric ds^2 , and the formula for the sectional curvature of conformal metrics is well-known, namely $\kappa = -\Delta \log f \cdot ds^2$, which is ≤ 0 by above subharmonicity.

For variable α the metric g_{α} , and the corresponding path length distance $d_{\alpha}(x,y)$ is possibly incomplete on A. Geodesics in the g_{α} metric steer so as to keep as far away as possible from the boundary ∂A . However incompleteness only occurs at the boundary ∂A of A as subset of \mathbb{R}^N . For A an open subset there may exist sequences $\{x_k\}_{k=1,2,\ldots}$ in A which have no limit point in A relative to the distance d_{α} . Despite the metric g_{α} diverging as $x \to \partial A$, we prefer the lengths of geodesics $\gamma : [0,1] \to A$ converging to ∂A to have finite length, and seek parameters α for which

$$Length_{\alpha}(\gamma) = \int_{0}^{1} \sqrt{g_{\alpha}(\gamma'(t), \gamma'(t))} dt < +\infty.$$

Example 2. Hubbard's definition of 1/d-metric corresponds to $\alpha = 2$ in equation (8). Amazingly the 1/d-metric on the upper halfspace $H := \{x_1 > 0\}$ in \mathbb{R}^N in x_1, \ldots, x_N coordinates is the complete constant-curvature hyperbolic metric on H. For $0 < \alpha < 2$ the metric is incomplete. The curve $\gamma(t) = (1 - t, 0, 0, \cdots)$ for $0 \le t \le 1$ is a curve in H. The g_{α} -length of γ evaluates to $\int_0^1 (1 - t)^{-\alpha/2} dt$, which

is improper integral converging to $1 < (1 - \alpha/2)^{-1} < +\infty$ when $0 < \alpha < 2$. While $0 < \alpha < 2$ we can uniquely extend d_{α} to a complete metric pairing $\tilde{d_{\alpha}} : \overline{H} \times \overline{H} \to \mathbb{R}$, where $\overline{H} = \{x_1 \geq 0\}$. Note that \overline{H} is not homeomorphic to adjoining a sphere at-infinity S_{∞}^2 to H.

Example 3. The 1/d-metric ($\alpha=2$) on the once-punctured plane $A=\mathbb{R}^2-\{0\}$ is isometric to a straight cylinder of circumference 2π ([HH06, Ex.2.2.6]). The same computations as previous example show for $0<\alpha<2$, the metric d_{α} is incomplete with completion \tilde{d}_{α} equal to an infinite cone with angle depending on α at the origin vertex.

Example 4. The Weil-Petersson metric d_{WP} on the Teichmueller space \mathscr{T}_g of a closed genus g hyperbolic surface is asymptotically equivalent to Hubbard's metric with exponent $\alpha = 3/2$, [Wol75].

The above examples have A unbounded open subset. But our applications to medial axes concern bounded open subsets.

Example 5. We modify example 3 by restricting to the punctured disk, say, $D^{\times} := \{0 < ||x|| < R\}$ for a constant R > 0. Then the medial axis $M(D^{\times}) = \{||x|| = R/2\}$ is a circle in D^{\times} . Now we propose that sufficient (UHS) conditions, namely (6)–(7), are satisfied throughout D^{\times} and the inclusion $Z_2 \hookrightarrow D^{\times}$ is homotopy-isomorphism (by Theorem B) for $Z = Z(\mu, \nu, c_{\alpha})$ for $0 < \alpha < 2$. Moreover we propose Z_2 is also a circle, diffeomorphic to M(A), but not identical.

Example 6. Let A be convex subset and $0 < \alpha < 2$. Then $_{\alpha}(x,y)$ is proportional to the rescaled Euclidean distance $|x-y|^{1-\alpha/2}$.

Proposition 7. Let A be open subset of \mathbb{R}^N , with topological closure \overline{A} . For parameters $0 < \alpha < 2$ the metric g_{α} is incomplete Riemannian metric on A. Then:

- (i) curves γ in A converging to the boundary ∂A have uniquely defined finite length with respect to the metric g_{α} ; and
- (ii) the path length distance $\tilde{d}_{\alpha}: \overline{A} \times \overline{A} \to \mathbb{R}_{\geq 0}$ defines a complete metric distance throughout \overline{A} .

4. M(A) VERSUS Z_2

Now we propose a more interesting mass transport interpretation of medial axis transforms. Let A be bounded open subset of \mathbb{R}^N , with boundary ∂A , and probability measures μ, ν as previously defined in (2), (4). Then we choose cost $c = \tilde{d}_{\alpha}$: $A \times \partial A \to \mathbb{R}$ defined by restricting the completion to $A \times \partial A \subset \overline{A} \times \overline{A}$. The subvarieties Z_2 and M(A) do not coincide set-theoretically, but we conjecture that they do coincide topologically:

Theorem 8. Let A be bounded open subset of \mathbb{R}^N . Let $c = \tilde{d}_{\alpha}$ be the metric completion of d_{α} to \overline{A} (Prop. 7), and let $Z = Z(\mu, \nu, c) : 2^{\partial A} \to 2^A$ be the Singularity functor with respect to (μ, ν, c) as defined in (2), (4).

Then sufficient (UHS) Conditions are satisfied to apply Theorem B [Mar, Thm.3.4.3], and the inclusion $Z_2 \hookrightarrow A$ is a homotopy isomorphism and even a strong deformation retract.

We require some preliminary lemmas. Lemma [ref] proves the metric g_{α} is nonpositively curved, and therefore distance-minimizing geodesics exist between any pair of points x, y in A. However the geodesics are possibly nonunique.

Lemma 9. For every $\alpha \geq 0$, the restricted cost $c = \tilde{d}_{\alpha}^2/2 : A \times \partial A \to \mathbb{R}$ satisfies the following (Twist) condition: for every $x \in A$, the gradient mapping

$$\partial A \to T_x A$$
, $y \mapsto \nabla_x c(x,y)$ is ν -a.e. injective.

Proof. We take advantage of fact that c is a Lagrangian cost defined by an action principle. According to [Vil09, Prop.10.15, pp.235], the gradient $\nabla_x c(x, y)$ is equal to

$$\frac{-1}{2}.\rho(x).\gamma'(0),$$

where ρ is the conformal factor $\rho(x) = dist(x, \mathbb{R}^N - A)^{-\alpha}$, and where $\gamma'(0)$ is the initial tangent vector of any action-minimizing curve γ in A with $\gamma(0) = x$, $\gamma(1) = y$. The nonpositive curvature of g_{α} implies action-minimizing curves exist. These geodesics are possibly nonunique, however for ν -a.e. $y \in \partial A$, the geodesic joining x to y will be unique. Since the conformal factor ρ is nonvanishing, and since geodesics in Riemannian manifolds are determined by their initial point and initial tangent vector, we conclude $y \mapsto \nabla_x c(x, y)$ is ν -a.e. injective, as desired.

That c satisfies the above (Twist) condition implies the uniqueness of c-optimal semicouplings from μ to ν . [ref].

The above Lemma 9 and the identity

$$\nabla_x c(x,y) = \frac{-\rho_x}{2} \cdot \gamma'(0)$$

implies the gradient of the cross-difference c_{Δ} is readily computed

$$\nabla_x c_{\Delta}(x, y_0, y_1) = \frac{\rho_x}{2} \cdot [\gamma_1'(0) - \gamma_0'(0)],$$

where γ_0, γ_1 are the g_{α} -geodesics satisfying $\gamma_0(0) = \gamma_1(0) = x, \gamma_0(1) = y_0, \gamma_1(1) = y_1$. Following the previous definitions of $\eta(x, avg)$ from (6), we have

(9)
$$\eta(x, avg) = \frac{\rho_x}{2} \left\langle |\psi(y) - \psi(y_0) + c_{\Delta}(x, y_0, y)|^{-1-\beta} \cdot \left[\gamma_0'(0) - \gamma_y'(0) \right] \right\rangle.$$

Here $\langle v \rangle$ denotes the average Bochner integral of a vector-valued function v with respect to the Radon measure $\bar{\nu}$ on ∂A , where

$$d\bar{\nu}(y) = (1 - e^{d(y,y_0)^2})d\nu(y).$$

That is

$$\langle v \rangle := (\bar{\nu}[Y])^{-1} \cdot \int_Y v(y) d\bar{\nu}(y).$$

We remark that the average η_{avg} is weighted by the regions where the potential $\psi(y) - \psi(y_0) + c_{\Delta}(x, y_0, y) \geq 0$ vanishes (= 0). The weights blow-up to $+\infty$ when $y \to Z_2 - A$, and without the exponential factor $(1 - e^{d(y,y_0)^2})$ the weights would blow-up as $y \to y_0$.

Remark 10. If the region A is convex, then the nonvanishing $\eta(x, avg)$ of (9) can be verified, since the tangent vectors $\{\gamma'_y(0) - \gamma'_0(0) \mid y \in \partial A\}$ lie in a common halfspace of T_xA .

The factor $1 - e^{d(y,y_0)^2}$ defines a "scale" on the boundary ∂A . If $x \in A$ is sufficiently close to the boundary ∂A with respect to the above scale, then the gradients $\nabla_x c(x,y)$, for $y \in \partial A$ satisfying $d(y,y_0) \geq 1$, are readily seen to satisfy (UHS) conditions, since the gradients make large angle with respect to $\nabla_x c(x,y_0)$, i.e. the angle is greater than $\pi/2$. Compare Figure 4.

If A is sufficiently "thin", such that all points x are sufficiently close to ∂A , then we see (UHS) conditions are satisfied throughout $A - Z_2$. This is reminiscent of theorems of Alexander-Bishop [AB00, Thm 2.1]:

Let (M,g) be a Riemannian manifold with boundary ∂M , and let C be the "cut locus of the boundary". If M is sufficiently "thin" and has sufficiently small inradius relative to its curvature, then the cut locus C is a strong deformation retract of M and the inclusion $C \hookrightarrow M$ is a homotopy isomorphism.

There is alternative method for obtaining continuous deformation retracts. [insert]

5.

There is difficulty in applying our methods whenever Z_2 is not closed. Here is a necessary criterion for the successful application of the above deformation retracts. Criterion: for every $y_0 \in spt(Z)$, there needs exist at least one $y_1 \neq y_0$ with $Z(y_0, y_1) \neq 0$.

6. Upper Semicontinuity of Z and M(A).

The completion of Hubbard's $1/d_{\alpha}$ distance and the cost $c = \tilde{d}_{\alpha}^2$ yields an alternative to the medial axis M(A) in the subvariety Z_2 defined by c-optimal couplings.

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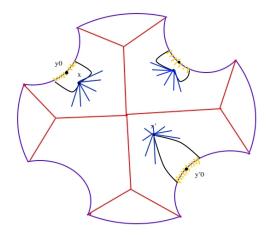


FIGURE 1. When $x \in A$ is sufficiently close to ∂A , and $y_0 := T(x)$, then the gradients $\nabla_x c(x,y)$, for $d(y,y_0) \geq 1$, are readily seen to satisfy HalfSpace Conditions. But for points $x' \in A$ at larger distance from ∂A , the gradients $\nabla_x c(x',y)$ are not contained in a proper halfspace for $d(y,T(x')) \geq 1$.

We propose this construction of Z_2 is a useful improvement over the conventional definition of M(A) per (1). For instance the medial axis is defined on the category of open subsets A of \mathbb{R}^N , whereas the functors Z are more generally defined for measure spaces.

We remark on the differences between C^0 , $C^{1,1}$, and C^2 regularity of boundaries ∂A . For C^2 boundary, the medial axis M(A) will be disjoint from A. However for C^0 , $C^{1,1}$ regularity, the medial axis M(A) will extend into the boundary ∂A . The $C^{1,1}$ regularity frequently occurs, e.g. whenever A is convex polyhedra. If A is $C^{1,1}$ and $y \in \partial A$ is not uniquely differentiable boundary point, then $y \in M(A)$. I.e. the medial axis M(A) extends into the boundary at y. Therefore "sharp" corners cause the medial axis to "split" and extend into ∂A . That sharp corners can appear under Gromov-Hausdorff variations of the subset $A \subset \mathbb{R}^N$ implies the medial axis is rather unstable. Small perturbations of the open subset A (e.g. background noise) can lead to large changes in the medial axis M(A). Many authors have suggested modified medial axes (c.f. [FLM03], [TH03] and references therein) which "filter out" possible noise. The present article takes another approach to "regularizing" M(A). It's well-known that optimal transportation enjoys strong continuity properties with respect to variations in the datum (μ, ν, c) . More precisely we quote the following result from [Vil09, Thm. 28.9, pp.780–790].

Proposition 11. Let A_k , k = 1, 2, ..., be bounded open subsets of \mathbb{R}^N with canonical probability measures μ_k , and converging in Gromov-Hausdorff topology

$$\lim_{k \to +\infty} (A_k, \mu_k) = (A_0, \mu_0).$$

Let c_0, c_1, c_2, \ldots be the costs $c_k = \tilde{d}_{\alpha, A_k}^2/2$. Let $T_k : A_k \to \partial A_k$ be the maps defined in (3). Then:

(i) The sequence of probability measures $\nu_k := T_k \# \mu_k$ converges in the narrow topology to a probability measure

$$\lim_{k\to+\infty}\nu_k:=\overline{\nu_0}.$$

(ii) The correspondance

$$(\mu_k, \nu_k, c_k) \mapsto Z(\mu_k, \nu_k, c_k)$$

varies upper semicontinuously, and there exists an injective natural transformation between the functors

$$Z(\mu_0, \overline{\nu_0}, c_0) \hookrightarrow \lim_{k \to +\infty} Z(\mu_k, \nu_k, c_k).$$

(iii) In particular there exists natural topological embedding

$$Z_{2,0} \hookrightarrow \lim_{k \to +\infty} Z_{2,k}.$$

We refer the reader to any standard textbook on category theory for the definitions of functors and natural transformations, e.g. [Lan05, Ch.1]. The point of Proposition 11 is that the singularities of the limit (μ_0, ν_0, c_0) are no more complicated than the approximant singularities of (μ_k, ν_k, c_k) . In fact the singularity often simplifies in various limits. The upper semicontinuity of the medial axis $A \mapsto M(A)$ is apparently well-known [ABE09, §5]. The Proposition 11 is familiar property of (lower semicontinuous) convex functions: if $\phi_k : X \to \mathbb{R}$ is a sequence of lsc convex functions which converge pointwise to a limit $\lim_{k\to +\infty} \phi_k = \phi_0$, then for every $x \in X$, the subdifferential $\partial \phi_0(x)$ is a subset of the Gromov-Hausdorff limit $\lim_{k\to +\infty} \partial \phi_k(x)$.

7. Conclusion

In conclusion, Blum identified the medial axis transform as convenient mode of describing objects, and heuristics showed the inclusions $M(A) \hookrightarrow A$ were always homotopy isomorphisms. However Blum's medial axis is only a particular instance of a more useful topological object, namely Z_2 of the contravariant functors $Z(\mu, \nu, c)$: $2^{\partial A} \to 2^A$. This Z_2 is stable, and defined with respect to a Hubbard type Riemannian metric g_{α} , and the inclusions $Z_2 \hookrightarrow A$ are identified as homotopy isomorphisms when the (UHS) Conditions (6), (7) hold throughout the open complement $A-Z_2$. Thus we

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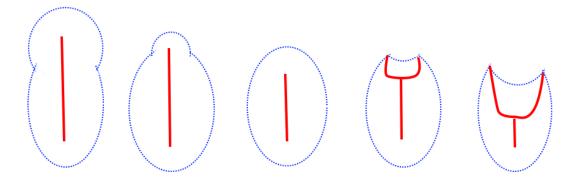


FIGURE 2. The medial axis $A \mapsto M(A)$ varies upper semicontinuously when sharp corners appear, like the two figures on the right.

propose a further "folk-theorem" regarding this mass transport extension of so-called medial axis transforms, and Theorem B from [Mar].

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Email address: marvinlessknown2714@protonmail.com