Image Captioning Model Implementation

Authors

Hussein Mohamed - hussain.mansour2019@gmail.com

Marwan Essam - marwanesam243@gmail.com

Mariam Hossam - m.hossam2551@gmail.com

Introduction

Image captioning is the process of generating textual descriptions for images automatically. It combines computer vision and natural language processing techniques to understand the content of an image and generate a coherent and relevant description.

In this notebook, we apply Convolutional Neural Network (CNN) and Recurrent Neural Network (RNN) to image captioning for Flickr8k dataset.

Import Modules

```
from google.colab import drive
drive.mount('/content/drive')
Mounted at /content/drive
!pip install datasets
!pip install keras-tuner
Collecting datasets
  Downloading datasets-2.19.1-py3-none-any.whl (542 kB)
                                       − 542.0/542.0 kB 5.5 MB/s eta
0:00:00
ent already satisfied: filelock in /usr/local/lib/python3.10/dist-
packages (from datasets) (3.14.0)
Requirement already satisfied: numpy>=1.17 in
/usr/local/lib/python3.10/dist-packages (from datasets) (1.25.2)
Requirement already satisfied: pyarrow>=12.0.0 in
/usr/local/lib/python3.10/dist-packages (from datasets) (14.0.2)
Requirement already satisfied: pyarrow-hotfix in
/usr/local/lib/python3.10/dist-packages (from datasets) (0.6)
Collecting dill<0.3.9,>=0.3.0 (from datasets)
  Downloading dill-0.3.8-py3-none-any.whl (116 kB)
                                       - 116.3/116.3 kB 5.3 MB/s eta
0:00:00
ent already satisfied: pandas in /usr/local/lib/python3.10/dist-
packages (from datasets) (2.0.3)
```

```
Requirement already satisfied: requests>=2.19.0 in
/usr/local/lib/python3.10/dist-packages (from datasets) (2.31.0)
Requirement already satisfied: tqdm>=4.62.1 in
/usr/local/lib/python3.10/dist-packages (from datasets) (4.66.4)
Collecting xxhash (from datasets)
  Downloading xxhash-3.4.1-cp310-cp310-
manylinux 2 17 x86 64.manylinux2014 x86 64.whl (194 kB)
                                      - 194.1/194.1 kB 4.3 MB/s eta
0:00:00
ultiprocess (from datasets)
  Downloading multiprocess-0.70.16-py310-none-any.whl (134 kB)
                                       - 134.8/134.8 kB 6.0 MB/s eta
0:00:00
ent already satisfied: fsspec[http]<=2024.3.1,>=2023.1.0 in
/usr/local/lib/python3.10/dist-packages (from datasets) (2023.6.0)
Requirement already satisfied: aiohttp in
/usr/local/lib/python3.10/dist-packages (from datasets) (3.9.5)
Collecting huggingface-hub>=0.21.2 (from datasets)
  Downloading huggingface hub-0.23.0-py3-none-any.whl (401 kB)
                                       - 401.2/401.2 kB 6.0 MB/s eta
0:00:00
ent already satisfied: packaging in /usr/local/lib/python3.10/dist-
packages (from datasets) (24.0)
Requirement already satisfied: pyyaml>=5.1 in
/usr/local/lib/python3.10/dist-packages (from datasets) (6.0.1)
Requirement already satisfied: aiosignal>=1.1.2 in
/usr/local/lib/python3.10/dist-packages (from aiohttp->datasets)
(1.3.1)
Requirement already satisfied: attrs>=17.3.0 in
/usr/local/lib/python3.10/dist-packages (from aiohttp->datasets)
(23.2.0)
Requirement already satisfied: frozenlist>=1.1.1 in
/usr/local/lib/python3.10/dist-packages (from aiohttp->datasets)
(1.4.1)
Requirement already satisfied: multidict<7.0,>=4.5 in
/usr/local/lib/python3.10/dist-packages (from aiohttp->datasets)
Requirement already satisfied: yarl<2.0,>=1.0 in
/usr/local/lib/python3.10/dist-packages (from aiohttp->datasets)
Requirement already satisfied: async-timeout<5.0,>=4.0 in
/usr/local/lib/python3.10/dist-packages (from aiohttp->datasets)
(4.0.3)
Requirement already satisfied: typing-extensions>=3.7.4.3 in
/usr/local/lib/python3.10/dist-packages (from huggingface-hub>=0.21.2-
>datasets) (4.11.0)
Requirement already satisfied: charset-normalizer<4,>=2 in
/usr/local/lib/python3.10/dist-packages (from reguests>=2.19.0-
>datasets) (3.3.2)
```

```
Requirement already satisfied: idna<4,>=2.5 in
/usr/local/lib/python3.10/dist-packages (from requests>=2.19.0-
>datasets) (3.7)
Requirement already satisfied: urllib3<3,>=1.21.1 in
/usr/local/lib/python3.10/dist-packages (from requests>=2.19.0-
>datasets) (2.0.7)
Requirement already satisfied: certifi>=2017.4.17 in
/usr/local/lib/python3.10/dist-packages (from reguests>=2.19.0-
>datasets) (2024.2.2)
Requirement already satisfied: python-dateutil>=2.8.2 in
/usr/local/lib/python3.10/dist-packages (from pandas->datasets)
Requirement already satisfied: pytz>=2020.1 in
/usr/local/lib/python3.10/dist-packages (from pandas->datasets)
(2023.4)
Requirement already satisfied: tzdata>=2022.1 in
/usr/local/lib/python3.10/dist-packages (from pandas->datasets)
(2024.1)
Requirement already satisfied: six>=1.5 in
/usr/local/lib/python3.10/dist-packages (from python-dateutil>=2.8.2-
>pandas->datasets) (1.16.0)
Installing collected packages: xxhash, dill, multiprocess,
huggingface-hub, datasets
  Attempting uninstall: huggingface-hub
    Found existing installation: huggingface-hub 0.20.3
    Uninstalling huggingface-hub-0.20.3:
      Successfully uninstalled huggingface-hub-0.20.3
Successfully installed datasets-2.19.1 dill-0.3.8 huggingface-hub-
0.23.0 multiprocess-0.70.16 xxhash-3.4.1
from PIL import Image
import os
import pickle
import re
import matplotlib.pyplot as plt
import numpy as np
import pandas as pd
from datasets import load dataset
from collections import Counter
from tensorflow.keras.preprocessing.image import img to array,
load img
import tensorflow as tf
from tensorflow.keras.applications.resnet50 import ResNet50,
preprocess input
from keras.preprocessing.sequence import pad sequences
from keras.preprocessing.text import Tokenizer
from transformers import PreTrainedTokenizerFast
from keras import Sequential, layers
from keras.layers import *
from keras.models import Model
```

```
from tensorflow.keras.utils import to_categorical, plot_model
from tensorflow.keras.optimizers import RMSprop, Adam
from nltk.translate.bleu_score import corpus_bleu
from tensorflow.keras.utils import Sequence
from textwrap import wrap
from tensorflow.keras.callbacks import ModelCheckpoint, EarlyStopping,
ReduceLROnPlateau
from keras_tuner import HyperModel
from keras_tuner import RandomSearch
from keras_tuner import Hyperband
from keras_tuner import GridSearch
WORKING_DIR = '/content/drive/MyDrive/PR_3'
```

Dataset Preparation

Loading and Preprocessing Images

Loading, resizing and normalizing the images to a suitable format that can be efficiently processed by the CNN model.

Load dataset

For this project, we will be using the Flickr8k dataset, which consists of 8000 images. Each image in the dataset is associated with five different captions, providing diverse descriptions for the same image. The dataset is divided into three subsets:

- Training Set: 6000 imagesValidation Set: 1000 images
- Test Set: 1000 images

```
dataset = load_dataset("jxie/flickr8k")
print(dataset)
/usr/local/lib/python3.10/dist-packages/huggingface hub/utils/
token.py:89: UserWarning:
The secret `HF_TOKEN` does not exist in your Colab secrets.
To authenticate with the Hugging Face Hub, create a token in your
settings tab (https://huggingface.co/settings/tokens), set it as
secret in your Google Colab and restart your session.
You will be able to reuse this secret in all of your notebooks.
Please note that authentication is recommended but still optional to
access public models or datasets.
 warnings.warn(
{"model id": "97bd50b5758b448884a54761b96d6832", "version major": 2, "vers
ion minor":0}
{"model id":"0e4118359043423fa015e92a612e7be0","version major":2,"vers
ion minor":0}
```

```
{"model id":"f3994fb4e9fc457eadf72e8e231a82e6","version major":2,"vers
ion minor":0}
{"model id":"1b21af2565214ab694e9b62d0c1357c3","version major":2,"vers
ion minor":0}
{"model id": "8432e3ff82444c3397820ae52c05e3b9", "version major": 2, "vers
ion minor":0}
{"model id": "35553b2b95884344879e9182c0be8cdc", "version major": 2, "vers
ion minor":0}
{"model id": "6eadca39009b40f1947bbd35a36489d6", "version major": 2, "vers
ion minor":0}
{"model id": "8df03b5105244e4798354d988edacae1", "version major": 2, "vers
ion minor":0}
DatasetDict({
    train: Dataset({
        features: ['image', 'caption_0', 'caption_1', 'caption 2',
'caption 3', 'caption 4'],
        num_rows: 600\overline{0}
    })
    validation: Dataset({
        features: ['image', 'caption_0', 'caption_1', 'caption_2',
'caption_3', 'caption_4'],
        num rows: 1000
    })
    test: Dataset({
        features: ['image', 'caption 0', 'caption 1', 'caption 2',
'caption_3', 'caption_4'],
        num rows: 1000
    })
})
# Access specific fields in the first example
first example = dataset['train'][0]
image = first example['image']
plt.imshow(image)
plt.axis('off') # Turn off axis
plt.show()
for caption in first example:
  print(first example[caption])
```



```
<PIL.JpegImagePlugin.JpegImageFile image mode=RGB size=500x399 at
0x79A04D660EB0>
A black dog is running after a white dog in the snow .
Black dog chasing brown dog through snow
Two dogs chase each other across the snowy ground .
Two dogs play together in the snow .
Two dogs running through a low lying body of water .
```

1. Preprocess images by resizing then normalizing them

We use resnet50.preprocess_input which adequates images to the format the resnet50 model requires. Resnet50 preprocessing uses "caffe" style in which images are centred and not normalized.

```
# This function resize the given image to target size then normalize
it
def preprocess(image):
   image = image.resize((224, 224)) # (224, 224, 3)
   image = img_to_array(image) # Convert image to pixel array
   image = np.expand_dims(image, axis = 0) # (1, 224, 224, 3)
   image = preprocess_input(image) # Preprocess image based on resnet50
values
   return image
```

Features Extraction with CNN

1. Extract features from images using pre-trained ResNet model on the ImageNet dataset

- We utilize a pre-trained ResNet model on the ImageNet dataset and keep its layers frozen.
- Image features are extracted just before the last layer of classification.
- Global Average Pooling layer is selected as the final layer of the Resnet50 model for our feature extraction, so image embeddings will be a vector of size 2048.

To avoid long running time, upload this file to the WORKING_DIR then run only the last cell which loads features from the file.

```
base model = ResNet50(include top = False, weights = 'imagenet',
input shape = (224, 224, 3), pooling = 'avg')
base model.trainable = False # Freeze model
base model.summary()
Downloading data from https://storage.googleapis.com/tensorflow/keras-
applications/resnet/
resnet50_weights_tf_dim_ordering_tf_kernels_notop.h5
Model: "resnet50"
                           Output Shape
Layer (type)
                                                      Param #
Connected to
input 3 (InputLayer)
                           [(None, 224, 224, 3)]
                                                                []
                                                      0
conv1 pad (ZeroPadding2D)
                           (None, 230, 230, 3)
                                                      0
['input 3[0][0]']
conv1 conv (Conv2D)
                           (None, 112, 112, 64)
                                                      9472
['conv1] pad[0][0]']
conv1 bn (BatchNormalizati (None, 112, 112, 64)
                                                      256
['conv1 conv[0][0]']
on)
conv1 relu (Activation)
                           (None, 112, 112, 64)
['conv1 bn[0][0]']
pool1 pad (ZeroPadding2D) (None, 114, 114, 64)
['conv1 relu[0][0]']
```

```
pool1 pool (MaxPooling2D) (None, 56, 56, 64)
                                                                0
['pool\overline{1}_pad[0][0]']
conv2 block1 1 conv (Conv2 (None, 56, 56, 64)
                                                                4160
['pool\overline{1}_pool[\overline{0}]\overline{[0}]']
D)
conv2 block1 1 bn (BatchNo (None, 56, 56, 64)
                                                                256
['conv2 block1 1 conv[0][0]']
 rmalization)
conv2_block1_1_relu (Activ (None, 56, 56, 64)
                                                                0
['conv2_block1_1_bn[0][0]']
ation)
conv2 block1 2 conv (Conv2 (None, 56, 56, 64)
                                                                36928
[\text{'conv2 block1 1 relu[0][0]'}]
D)
conv2_block1_2_bn (BatchNo (None, 56, 56, 64)
                                                                256
['conv2_block1_2_conv[0][0]']
 rmalization)
conv2_block1_2_relu (Activ (None, 56, 56, 64)
['conv2_block1_2_bn[0][0]']
ation)
conv2 block1 0 conv (Conv2 (None, 56, 56, 256)
                                                                16640
['pool\overline{1} pool[\overline{0}]\overline{[0]}']
D)
conv2 block1 3 conv (Conv2 (None, 56, 56, 256)
                                                                16640
['conv2 block1 2 relu[0][0]']
D)
```

```
conv2 block1 0 bn (BatchNo (None, 56, 56, 256)
                                                            1024
['conv2 block1 0 conv[0][0]']
rmalization)
conv2_block1_3_bn (BatchNo (None, 56, 56, 256)
                                                            1024
['conv\overline{2}_block\overline{1}_3\_conv[0][0]']
rmalization)
conv2 block1 add (Add)
                             (None, 56, 56, 256)
                                                            0
['conv2 block1 0 bn[0][0]',
'conv2 block1 3 bn[0][0]']
                              (None, 56, 56, 256)
conv2 block1 out (Activati
                                                            0
['conv2 block1 add[0][0]']
on)
conv2_block2_1_conv (Conv2 (None, 56, 56, 64)
                                                            16448
['conv2 block1 out[0][0]']
D)
conv2 block2 1 bn (BatchNo (None, 56, 56, 64)
                                                            256
['conv2 block2 1 conv[0][0]']
rmalization)
conv2_block2_1_relu (Activ (None, 56, 56, 64)
                                                            0
['conv2 block2 1 bn[0][0]']
ation)
conv2 block2 2 conv (Conv2 (None, 56, 56, 64)
                                                            36928
['conv2_block2_1_relu[0][0]']
D)
                                                            256
conv2 block2 2 bn (BatchNo (None, 56, 56, 64)
```

```
['conv2 block2 2 conv[0][0]']
rmalization)
conv2_block2_2_relu (Activ (None, 56, 56, 64)
['conv\overline{2} block\overline{2} \overline{2} bn[0][0]']
ation)
conv2_block2_3_conv (Conv2 (None, 56, 56, 256)
                                                               16640
['conv\overline{2} block\overline{2} \overline{2} relu[0][0]']
D)
conv2_block2_3_bn (BatchNo (None, 56, 56, 256)
                                                               1024
['conv2_block2_3_conv[0][0]']
rmalization)
conv2 block2 add (Add)
                              (None, 56, 56, 256)
                                                               0
['conv2 block1 out[0][0]',
'conv2 block2_3_bn[0][0]']
conv2_block2_out (Activati (None, 56, 56, 256)
['conv2 block2 add[0][0]']
on)
conv2 block3 1 conv (Conv2 (None, 56, 56, 64)
                                                               16448
['conv2 block2 out[0][0]']
D)
conv2 block3 1 bn (BatchNo (None, 56, 56, 64)
                                                               256
['conv2 block3 1 conv[0][0]']
rmalization)
conv2 block3 1 relu (Activ (None, 56, 56, 64)
                                                               0
['conv2 block3 1 bn[0][0]']
ation)
```

```
conv2 block3 2 conv (Conv2 (None, 56, 56, 64)
                                                                 36928
['conv2] block\overline{3} \overline{1} relu[0][0]'
D)
conv2 block3 2 bn (BatchNo (None, 56, 56, 64)
                                                                 256
['conv\overline{2}_block\overline{3}_\overline{2}_conv[0][0]']
 rmalization)
conv2 block3 2 relu (Activ (None, 56, 56, 64)
                                                                 0
['conv\overline{2} block\overline{3} \overline{2} bn[0][0]']
ation)
conv2_block3_3_conv (Conv2 (None, 56, 56, 256)
                                                                 16640
['conv2_block3_2_relu[0][0]']
D)
conv2_block3_3_bn (BatchNo (None, 56, 56, 256)
                                                                 1024
['conv2 block3 3 conv[0][0]']
rmalization)
conv2 block3 add (Add)
                               (None, 56, 56, 256)
['conv2 block2 out[0][0]',
'conv2 block3 3 bn[0][0]']
conv2 block3 out (Activati
                               (None, 56, 56, 256)
                                                                 0
['conv2 block3 add[0][0]']
on)
conv3 block1 1 conv (Conv2 (None, 28, 28, 128)
                                                                 32896
['conv2 block3 out[0][0]']
D)
conv3 block1 1 bn (BatchNo (None, 28, 28, 128)
                                                                 512
```

```
['conv3 block1 1 conv[0][0]']
rmalization)
conv3 block1 1 relu (Activ (None, 28, 28, 128)
['conv\overline{3} block\overline{1} \overline{1} bn[0][0]']
ation)
conv3 block1 2 conv (Conv2 (None, 28, 28, 128)
                                                                   147584
[\text{'conv3} block1 1 relu[0][0]']
D)
conv3_block1_2_bn (BatchNo (None, 28, 28, 128)
                                                                   512
['conv3]block12[conv[0][0]']
 rmalization)
conv3_block1_2_relu (Activ (None, 28, 28, 128)
                                                                   0
['conv\overline{3} block\overline{1} \overline{2} bn[0][0]']
ation)
conv3 block1 0 conv (Conv2 (None, 28, 28, 512)
                                                                   131584
['conv2 block3 out[0][0]']
D)
conv3_block1_3_conv (Conv2 (None, 28, 28, 512)
                                                                   66048
['conv3] block\overline{1} \overline{2} relu[0][0]'
D)
conv3 block1 0 bn (BatchNo (None, 28, 28, 512)
                                                                   2048
['conv\overline{3} block\overline{1} \overline{0} conv[0][0]']
 rmalization)
conv3 block1 3 bn (BatchNo (None, 28, 28, 512)
                                                                   2048
['conv3 block1 3 conv[0][0]']
 rmalization)
```

```
(None, 28, 28, 512)
                                                                 0
conv3 block1 add (Add)
['conv3_block1_0_bn[0][0]',
'conv3 block1 3 bn[0][0]']
conv3 block1 out (Activati
                                (None, 28, 28, 512)
['conv3_block1_add[0][0]']
on)
conv3 block2 1 conv (Conv2 (None, 28, 28, 128)
                                                                 65664
['conv\overline{3} block\overline{1} out[0][0]']
D)
conv3 block2 1 bn (BatchNo (None, 28, 28, 128)
                                                                 512
['conv3 block2 1 conv[0][0]']
rmalization)
conv3_block2_1_relu (Activ
                                (None, 28, 28, 128)
                                                                 0
['conv\overline{3} block\overline{2} \overline{1} bn[0][0]']
ation)
conv3 block2 2 conv (Conv2 (None, 28, 28, 128)
                                                                 147584
['conv\overline{3} block\overline{2} \overline{1} relu[0][0]']
D)
conv3_block2_2_bn (BatchNo (None, 28, 28, 128)
                                                                 512
['conv3 block2 2 conv[0][0]']
rmalization)
conv3_block2_2_relu (Activ (None, 28, 28, 128)
                                                                 0
['conv3_block2_2_bn[0][0]']
ation)
conv3 block2 3 conv (Conv2 (None, 28, 28, 512)
                                                                 66048
```

```
['conv3 block2 2 relu[0][0]']
D)
conv3 block2 3 bn (BatchNo (None, 28, 28, 512)
                                                               2048
['conv3_block2_3_conv[0][0]']
rmalization)
conv3 block2 add (Add)
                          (None, 28, 28, 512)
                                                               0
['conv3 block1 out[0][0]',
'conv3 block2 3 bn[0][0]']
conv3 block2 out (Activati (None, 28, 28, 512)
                                                               0
['conv3_block2_add[0][0]']
on)
conv3 block3 1 conv (Conv2 (None, 28, 28, 128)
                                                               65664
['conv3] block2 out[0][0]']
D)
conv3_block3_1_bn (BatchNo (None, 28, 28, 128)
                                                               512
['conv3 block3 1 conv[0][0]']
rmalization)
conv3 block3 1 relu (Activ (None, 28, 28, 128)
['conv\overline{3} block\overline{3} \overline{1} bn[0][0]']
ation)
conv3 block3 2 conv (Conv2 (None, 28, 28, 128)
                                                               147584
['conv\overline{3} block\overline{3} \overline{1} relu[0][0]']
D)
conv3 block3 2 bn (BatchNo (None, 28, 28, 128)
                                                               512
['conv3_block3_2_conv[0][0]']
rmalization)
```

```
conv3 block3 2 relu (Activ (None, 28, 28, 128)
['conv3_block3_2_bn[0][0]']
ation)
conv3 block3 3 conv (Conv2 (None, 28, 28, 512)
                                                              66048
['conv3_block3_2_relu[0][0]']
D)
conv3 block3 3 bn (BatchNo (None, 28, 28, 512)
                                                              2048
['conv\overline{3} block\overline{3} \overline{3} conv[0][0]']
rmalization)
conv3 block3 add (Add)
                              (None, 28, 28, 512)
['conv3 block2 out[0][0]',
'conv3 block3_3_bn[0][0]']
conv3_block3_out (Activati
                               (None, 28, 28, 512)
                                                              0
['conv3 block3 add[0][0]']
on)
conv3 block4 1 conv (Conv2 (None, 28, 28, 128)
                                                              65664
['conv\overline{3} block\overline{3} out[0][0]']
D)
conv3 block4 1 bn (BatchNo (None, 28, 28, 128)
                                                              512
['conv3 block4 1 conv[0][0]']
rmalization)
conv3 block4 1 relu (Activ (None, 28, 28, 128)
                                                              0
['conv3_block4_1_bn[0][0]']
ation)
conv3 block4 2 conv (Conv2 (None, 28, 28, 128)
                                                              147584
```

```
['conv3 block4 1 relu[0][0]']
D)
conv3 block4 2 bn (BatchNo (None, 28, 28, 128)
                                                                  512
['conv3_block4_2_conv[0][0]']
rmalization)
conv3_block4_2_relu (Activ (None, 28, 28, 128)
                                                                  0
['conv\overline{3} block\overline{4} \overline{2} bn[0][0]']
ation)
conv3_block4_3_conv (Conv2 (None, 28, 28, 512)
['conv3_block4_2_relu[0][0]']
                                                                  66048
D)
conv3 block4 3 bn (BatchNo (None, 28, 28, 512)
                                                                  2048
['conv3] block\overline{4} \overline{3} conv[0][0]'
 rmalization)
                           (None, 28, 28, 512)
conv3_block4_add (Add)
['conv3 block3 out[0][0]',
'conv3 block4 3 bn[0][0]']
conv3 block4 out (Activati (None, 28, 28, 512)
['conv3 block4 add[0][0]']
on)
conv4 block1 1 conv (Conv2 (None, 14, 14, 256)
                                                                  131328
['conv\overline{3} block\overline{4} out[0][0]']
D)
conv4 block1 1 bn (BatchNo (None, 14, 14, 256)
                                                                  1024
['conv4 block1 1 conv[0][0]']
 rmalization)
```

```
conv4 block1 1 relu (Activ (None, 14, 14, 256)
['conv\overline{4} block\overline{1} \overline{1} bn[0][0]']
ation)
conv4 block1 2 conv (Conv2 (None, 14, 14, 256)
                                                                     590080
['conv\overline{4}_block\overline{1}_1]relu[0][0]']
D)
conv4 block1 2 bn (BatchNo (None, 14, 14, 256)
                                                                     1024
['conv\overline{4} block\overline{1}\overline{2}conv[0][0]']
 rmalization)
conv4 block1 2 relu (Activ (None, 14, 14, 256)
['conv\overline{4}] block\overline{1} \overline{2} bn[0][0]']
ation)
conv4_block1_0_conv (Conv2
                                  (None, 14, 14, 1024)
                                                                     525312
['conv3 block4 out[0][0]']
D)
conv4 block1 3 conv (Conv2 (None, 14, 14, 1024)
                                                                     263168
['conv\overline{4} block\overline{1} \overline{2} relu[0][0]']
D)
conv4 block1 0 bn (BatchNo (None, 14, 14, 1024)
                                                                     4096
['conv4 block1 0 conv[0][0]']
rmalization)
conv4 block1 3 bn (BatchNo (None, 14, 14, 1024)
                                                                     4096
['conv4_block1_3_conv[0][0]']
 rmalization)
conv4 block1 add (Add) (None, 14, 14, 1024)
```

```
['conv4 block1 0 bn[0][0]',
'conv4 block1 3 bn[0][0]']
conv4 block1 out (Activati (None, 14, 14, 1024)
['conv4] block\overline{1} add[0][0]']
on)
conv4 block2 1 conv (Conv2 (None, 14, 14, 256)
                                                                262400
['conv4 block1 out[0][0]']
D)
conv4 block2 1 bn (BatchNo (None, 14, 14, 256)
                                                                1024
['conv4_block2_1_conv[0][0]']
 rmalization)
conv4_block2_1_relu (Activ
                               (None, 14, 14, 256)
                                                                0
['conv\overline{4} block\overline{2} \overline{1} bn[0][0]']
ation)
conv4_block2_2_conv (Conv2 (None, 14, 14, 256)
                                                                590080
['conv4 block2 1 relu[0][0]']
D)
conv4 block2 2 bn (BatchNo (None, 14, 14, 256)
                                                                1024
['conv4_block2_2_conv[0][0]']
 rmalization)
conv4 block2 2 relu (Activ (None, 14, 14, 256)
                                                                0
['conv\overline{4} block\overline{2} \overline{2} bn[0][0]']
ation)
conv4 block2 3 conv (Conv2 (None, 14, 14, 1024)
                                                                263168
['conv4 block2 2 relu[0][0]']
D)
```

```
conv4_block2_3_bn (BatchNo (None, 14, 14, 1024)
                                                             4096
['conv\overline{4} block\overline{2} \overline{3} conv[0][0]']
rmalization)
conv4 block2 add (Add)
                              (None, 14, 14, 1024)
['conv4 block1 out[0][0]',
'conv4 block2 3 bn[0][0]']
conv4 block2 out (Activati
                              (None, 14, 14, 1024)
                                                             0
['conv4] block2 add[0][0]']
on)
conv4 block3 1 conv (Conv2 (None, 14, 14, 256)
                                                             262400
['conv4 block2 out[0][0]']
D)
conv4_block3_1_bn (BatchNo (None, 14, 14, 256)
                                                             1024
['conv4 block3 1 conv[0][0]']
rmalization)
conv4 block3 1 relu (Activ (None, 14, 14, 256)
['conv4 block3 1 bn[0][0]']
ation)
conv4 block3 2 conv (Conv2 (None, 14, 14, 256)
                                                            590080
['conv4 block3 1 relu[0][0]']
D)
conv4 block3 2 bn (BatchNo (None, 14, 14, 256)
                                                             1024
['conv4_block3_2_conv[0][0]']
rmalization)
conv4 block3 2 relu (Activ (None, 14, 14, 256)
                                                             0
```

```
['conv4 block3 2 bn[0][0]']
ation)
conv4_block3_3_conv (Conv2 (None, 14, 14, 1024)
                                                               263168
['conv\overline{4} block\overline{3} \overline{2} relu[0][0]']
D)
conv4 block3 3 bn (BatchNo (None, 14, 14, 1024)
                                                               4096
['conv4 block3 3 conv[0][0]']
rmalization)
conv4 block3 add (Add) (None, 14, 14, 1024)
                                                               0
['conv4_block2_out[0][0]',
'conv4 block3 3 bn[0][0]']
conv4 block3 out (Activati (None, 14, 14, 1024)
                                                               0
['conv4] block\overline{3} add[0][0]']
on)
conv4_block4_1_conv (Conv2 (None, 14, 14, 256)
                                                               262400
['conv4 block3 out[0][0]']
D)
conv4 block4 1 bn (BatchNo (None, 14, 14, 256)
                                                               1024
['conv4_block4_1_conv[0][0]']
 rmalization)
conv4 block4 1 relu (Activ (None, 14, 14, 256)
                                                               0
['conv\overline{4} block\overline{4} \overline{1} bn[0][0]']
ation)
conv4 block4 2 conv (Conv2 (None, 14, 14, 256)
                                                               590080
['conv4 block4 1 relu[0][0]']
D)
```

```
conv4 block4 2 bn (BatchNo (None, 14, 14, 256)
                                                                1024
['conv\overline{4} block\overline{4} \overline{2} conv[0][0]']
 rmalization)
conv4 block4 2 relu (Activ (None, 14, 14, 256)
['conv\overline{4}_block\overline{4}_2]bn[0][0]']
ation)
conv4 block4 3 conv (Conv2 (None, 14, 14, 1024)
                                                                263168
['conv\overline{4} block\overline{4} \overline{2} relu[0][0]']
D)
conv4 block4 3 bn (BatchNo (None, 14, 14, 1024)
                                                                4096
['conv4 block4 3 conv[0][0]']
rmalization)
                                (None, 14, 14, 1024)
conv4_block4_add (Add)
                                                                0
['conv4 block3 out[0][0]',
'conv4_block4_3_bn[0][0]']
conv4 block4 out (Activati
                               (None, 14, 14, 1024)
['conv4 block4 add[0][0]']
on)
conv4 block5 1 conv (Conv2 (None, 14, 14, 256)
                                                                262400
['conv4 block4 out[0][0]']
D)
conv4 block5 1 bn (BatchNo (None, 14, 14, 256)
                                                                1024
['conv4_block5_1_conv[0][0]']
 rmalization)
conv4 block5 1 relu (Activ (None, 14, 14, 256)
                                                                0
```

```
['conv4 block5 1 bn[0][0]']
ation)
conv4 block5 2 conv (Conv2 (None, 14, 14, 256)
                                                                     590080
['conv\overline{4} block\overline{5} \overline{1} relu[0][0]']
D)
conv4 block5 2 bn (BatchNo (None, 14, 14, 256)
                                                                     1024
['conv4] block\overline{5} \overline{2} conv[0][0]'
 rmalization)
conv4_block5_2_relu (Activ (None, 14, 14, 256)
['conv4_block5_2_bn[0][0]']
                                                                     0
ation)
conv4_block5_3_conv (Conv2 (None, 14, 14, 1024)
                                                                     263168
['conv\overline{4} block\overline{5} \overline{2} relu[0][0]']
D)
conv4_block5_3_bn (BatchNo (None, 14, 14, 1024)
                                                                     4096
['conv4_block5_3_conv[0][0]']
 rmalization)
conv4 block5 add (Add)
                                 (None, 14, 14, 1024)
['conv4 block4 out[0][0]',
'conv4 block5_3_bn[0][0]']
conv4 block5 out (Activati
                                 (None, 14, 14, 1024)
['conv\overline{4} block\overline{5} add[0][0]']
on)
conv4 block6 1 conv (Conv2 (None, 14, 14, 256)
                                                                     262400
['conv4 block5 out[0][0]']
D)
```

```
conv4 block6 1 bn (BatchNo (None, 14, 14, 256)
                                                                   1024
['conv4 block6 1 conv[0][0]']
 rmalization)
conv4 block6 1 relu (Activ (None, 14, 14, 256)
['conv\overline{4}_block\overline{6}_1]bn[0][0]']
ation)
conv4 block6 2 conv (Conv2 (None, 14, 14, 256)
                                                                   590080
['conv\overline{4} block\overline{6} \overline{1} relu[0][0]']
D)
conv4 block6 2 bn (BatchNo (None, 14, 14, 256)
                                                                   1024
['conv4_block6_2_conv[0][0]']
 rmalization)
conv4_block6_2_relu (Activ
                                 (None, 14, 14, 256)
['conv\overline{4} block\overline{6} \overline{2} bn[0][0]']
ation)
conv4 block6 3 conv (Conv2 (None, 14, 14, 1024)
                                                                   263168
['conv\overline{4} block\overline{6} \overline{2} relu[0][0]']
D)
conv4_block6_3_bn (BatchNo (None, 14, 14, 1024)
                                                                   4096
['conv4 block6 3 conv[0][0]']
rmalization)
conv4 block6 add (Add)
                                (None, 14, 14, 1024)
                                                                   0
['conv4_block5_out[0][0]',
'conv4 block6 3 bn[0][0]']
conv4 block6 out (Activati (None, 14, 14, 1024)
                                                                   0
```

```
['conv4 block6 add[0][0]']
on)
conv5 block1 1 conv (Conv2 (None, 7, 7, 512)
                                                                 524800
['conv4\_block6\_out[0][0]']
D)
conv5 block1 1 bn (BatchNo (None, 7, 7, 512)
                                                                 2048
['conv5 block1 1 conv[0][0]']
rmalization)
conv5_block1_1_relu (Activ (None, 7, 7, 512)
                                                                 0
['conv\overline{5}_block\overline{1}_{\overline{1}}bn[0][0]']
ation)
conv5 block1 2 conv (Conv2 (None, 7, 7, 512)
                                                                 2359808
['conv\overline{5} block\overline{1} \overline{1} relu[0][0]']
D)
conv5_block1_2_bn (BatchNo (None, 7, 7, 512)
                                                                 2048
['conv5_block1_2_conv[0][0]']
 rmalization)
conv5 block1 2 relu (Activ (None, 7, 7, 512)
['conv5_block1_2_bn[0][0]']
ation)
                                                                 2099200
conv5 block1 0 conv (Conv2 (None, 7, 7, 2048)
['conv\overline{4} block\overline{6} out[0][0]']
D)
conv5 block1 3 conv (Conv2 (None, 7, 7, 2048)
                                                                 1050624
['conv5 block1 2 relu[0][0]']
D)
```

```
conv5_block1_0_bn (BatchNo (None, 7, 7, 2048)
                                                            8192
['conv5 block1 0 conv[0][0]']
rmalization)
conv5_block1_3_bn (BatchNo (None, 7, 7, 2048)
                                                            8192
['conv5_block1_3_conv[0][0]']
rmalization)
conv5 block1 add (Add)
                             (None, 7, 7, 2048)
                                                            0
['conv5 block1 0 bn[0][0]',
'conv5 block1 3 bn[0][0]']
                              (None, 7, 7, 2048)
conv5 block1 out (Activati
                                                            0
['conv5 block1 add[0][0]']
on)
conv5_block2_1_conv (Conv2
                              (None, 7, 7, 512)
                                                            1049088
['conv5 block1 out[0][0]']
D)
conv5 block2 1 bn (BatchNo (None, 7, 7, 512)
                                                            2048
['conv5 block2 1 conv[0][0]']
rmalization)
conv5_block2_1_relu (Activ
                             (None, 7, 7, 512)
                                                            0
['conv5 block2 1 bn[0][0]']
ation)
conv5 block2 2 conv (Conv2 (None, 7, 7, 512)
                                                            2359808
['conv\overline{5}_block\overline{2}_1]relu[0][0]']
D)
conv5 block2 2 bn (BatchNo (None, 7, 7, 512)
                                                            2048
```

```
['conv5 block2 2 conv[0][0]']
rmalization)
conv5_block2_2_relu (Activ (None, 7, 7, 512)
['conv\overline{5} block\overline{2} \overline{2} bn[0][0]']
ation)
conv5_block2_3_conv (Conv2 (None, 7, 7, 2048)
                                                                    1050624
['conv\overline{5} block\overline{2} \overline{2} relu[0][0]']
D)
conv5_block2_3_bn (BatchNo (None, 7, 7, 2048)
                                                                   8192
['conv5_block2_3_conv[0][0]']
 rmalization)
conv5 block2 add (Add)
                                 (None, 7, 7, 2048)
                                                                    0
['conv\overline{5} block\overline{1} out[0][0]',
'conv5_block2_3_bn[0][0]']
conv5_block2_out (Activati (None, 7, 7, 2048)
['conv5 block2 add[0][0]']
on)
conv5 block3 1 conv (Conv2 (None, 7, 7, 512)
                                                                    1049088
['conv5 block2 out[0][0]']
D)
conv5 block3 1 bn (BatchNo (None, 7, 7, 512)
                                                                   2048
['conv\overline{5} block\overline{3}_\overline{1}_conv[0][0]']
 rmalization)
conv5 block3 1 relu (Activ
                                 (None, 7, 7, 512)
                                                                    0
['conv5 block3 1 bn[0][0]']
ation)
```

```
conv5 block3 2 conv (Conv2 (None, 7, 7, 512)
                                                                 2359808
['conv5_block3_1_relu[0][0]']
D)
conv5 block3 2 bn (BatchNo (None, 7, 7, 512)
                                                                 2048
['conv5_block3_2_conv[0][0]']
 rmalization)
conv5 block3 2 relu (Activ (None, 7, 7, 512)
                                                                 0
['conv\overline{5} block\overline{3} \overline{2} bn[0][0]']
ation)
conv5 block3 3 conv (Conv2 (None, 7, 7, 2048)
                                                                 1050624
['conv5] block\overline{3} \overline{2} relu[0][0]'
D)
conv5_block3_3_bn (BatchNo (None, 7, 7, 2048)
                                                                 8192
['conv5 block3 3 conv[0][0]']
rmalization)
conv5 block3 add (Add)
                                (None, 7, 7, 2048)
                                                                 0
['conv\overline{5} block\overline{2} out[0][0]',
'conv5 block3 3 bn[0][0]']
conv5 block3 out (Activati (None, 7, 7, 2048)
                                                                 0
['conv5 block3 add[0][0]']
on)
avg pool (GlobalAveragePoo (None, 2048)
                                                                 0
['conv5_block3_out[0][0]']
ling2D)
```

```
Total params: 23587712 (89.98 MB)
Trainable params: 0 (0.00 Byte)
Non-trainable params: 23587712 (89.98 MB)
def extract features(data):
  features = []
  for image in data:
    preprocessed image = preprocess(image)
    feature = base model.predict(preprocessed image,
verbose=0).reshape(2048)
    features.append(feature)
  return features
features = {}
for subset in ['train', 'validation', 'test']:
  data = dataset[subset]
  images = [row['image'] for row in data]
  features[subset] = extract features(images)
print(features['train'][0].shape) # Shape of feature vector
(2048,)
# store features in pickle
pickle.dump(features, open(os.path.join(WORKING DIR, 'features.pkl'),
'wb'))
# load features from pickle
with open(os.path.join(WORKING DIR, 'features.pkl'), 'rb') as f:
    features = pickle.load(f)
```

Preprocessing Captions for RNN

```
# Load dataset into training, validation, and test sets
train_set = dataset["train"]
val_set = dataset["validation"]
test_set = dataset["test"]
```

Steps for text preprocessing:

- Convert sentences into lowercase.
- Remove all special characters and numbers present in the text.
- Remove extra spaces (multiple consecutive spaces).
- Remove single character words.
- Add a starting tag (e.g. startseq) and an ending tag (e.g. endseq) to each sentence to indicate the beginning and the ending of a sentence.

```
def preprocess captions(captions):
    # Convert to lowercase
    captions = [caption.lower() for caption in captions]
    # Remove special characters and numbers in text
    captions = [caption.replace("[^A-Za-z]","") for caption in
captions]
    # Remove extra spaces
    captions = [caption.replace("\s+"," ") for caption in captions]
    # Remove single character words
    captions = [' '.join(word for word in caption.split() if len(word)
> 1) for caption in captions]
    # Add start and end tokens
    captions_with_start_end = ['startseq ' + caption + ' endseq' for
caption in captions]
    # Fit tokenizer on text
    tokenizer = Tokenizer()
    tokenizer.fit on texts(captions with start end)
    vocab size = len(tokenizer.word index) + 1
    \max len = \max(len(caption.split())) for caption in
captions with start end)
    return captions_with_start_end, tokenizer, vocab_size, max_len
```

Combine images and captions into a single dataframe with 2 columns: "image" which stores image index in the dataset, and "caption" which contains one of the captions of the corresponding image after cleaning.

```
1732,\n \"min\": 0,\n \"max\": 5999,\n \"num_unique_values\": 6000,\n \"samples\": [
                                        \"samples\": [\n
                                                                    1782,\
n 3917,\n 221\n
                                           ],\n
\"semantic type\": \"\",\n
                                    \"description\": \"\"\n
n },\n {\n \"column\": \"caption\",\n \"properties\":
           \"dtype\": \"string\",\n \"num_unique_values\":
    \"samples\": [\n \"A white dog with long hair
{\n
29837,\n
wades through a pond surrounded by green grass .\",\n
                                                                   \"A man
sitting outside with bags and a camera .\",\n
                                                          \"Two people
dance in costume .\"\n      ],\n
\"description\": \"\"\n     }\n
                                           \"semantic type\": \"\",\n
                                      }\n ]\
n}","type":"dataframe","variable_name":"train_data"}
```

Preprocessing captions of the training data and building the vocabulary of the model.

```
cleaned_captions, tokenizer, vocab_size, max_len =
preprocess captions(train data['caption'])
print('Vocabulary size:', vocab_size)
print('Maximum sequence length:', max_len)
train data['caption'] = cleaned captions
train data.head(10)
Vocabulary size: 7368
Maximum sequence length: 34
{"summary":"{\n \"name\": \"train data\",\n \"rows\": 30000,\n
\"fields\": [\n {\n \"column\": \"image\",\n \"properties\": {\n \"dtype\": \"number\",\n
                              \"dtype\": \"number\",\n
                                                                   \"std\":
1732,\n \"min\": 0,\n \"max\": 5999,\n \"num_unique_values\": 6000,\n n 3917,\n 221\n ],\n
                                                                         1782.\
\"semantic type\": \"\",\n
                                     \"description\": \"\"\n
     },\n {\n \"column\": \"caption\",\n \"properties\":
{\n \"dtype\": \"string\",\n \"num_unique_values\":
29768,\n \"samples\": [\n \"startseq two men hanging
from ropes over waterfall endseq\",\n \"startseq kids play in
the water endseq\",\n \"startseq hiker waves to the camera as
he standing in front of snowcapped mountains endseq\"\n
\"semantic_type\": \"\",\n \"description\": \"\"\n
     }\n ]\n}","type":"dataframe","variable_name":"train_data"}
```

Preparing Output Labels

Since Image Caption model training like any other neural network training is a highly resource utilizing process we cannot load the data into the main memory all at once, and hence we need to generate the data in the required format batch wise.

The inputs will be the image embeddings and their corresonding caption text embeddings for the training process.

The output labels represent the next word in the sequence at each sub-iteration.

```
class CustomDataGenerator(Sequence):
 Prepares output labels for a sequence prediction model.
 Returns:
      tuple: A tuple containing:
          - X1: Image features.
          - X2: Padded sequences.
          - y: Output labels.
  0.00
 def init (self, df, X col, y col, batch size, tokenizer,
                vocab size, max length, features, shuffle=True):
      self.df = df
      self.X col = X col
      self.y col = y col
      self.batch size = batch size
      self.tokenizer = tokenizer
      self.vocab size = vocab size
      self.max_length = max length
      self.features = features
      self.shuffle = shuffle
      self.indexes = np.arange(len(df))
 def on epoch end(self):
      if self.shuffle:
          np.random.shuffle(self.indexes)
 def len (self):
      return len(self.df) // self.batch_size
 def getitem (self, index):
      indexes = self.indexes[index * self.batch_size:(index + 1) *
self.batch size]
      batch = self.df.iloc[indexes]
     X1, X2, y = self.__get_data(batch)
      return (X1, X2), y
 def get data(self, batch):
     X1, X2, y = [], [], []
      for , row in batch.iterrows():
          image = row[self.X col]
          feature = self.features[image]
          caption = row[self.y col]
          seq = self.tokenizer.texts_to_sequences([caption])[0]
```

Model Building

According to the survey paper "Where to put the Image in an Image Caption Generator", different image captioning models are as follows:

Our model combines both pre-inject and merge structures. The pre-inject part is mainly based on the paper **Show and Tell: A Neural Image Caption Generator**. Then we merge the image feautures with the LSTM output before passing the output through fully connected layers.

Model Breakdown:

1. Input Layers:

- For the image features, a dense layer is used to reduce the dimensionality to embed size with 'ReLU' activation.
- For the input captions, an embedding layer is used to convert each word index into a dense representation of size embed size.

1. Concatenation:

- The processed image features are concatenated with the embedded captions. This concatenated vector serves as the input to the LSTM layer. The image features then act as the first word in the input sequence.
- We apply teacher forcing during training, where the ground-truth words are fed as inputs to the LSTM at each time step during training, instead of using the model's own predictions.

1. LSTM Laver:

- We use an LSTM layer with 256 units to process the concatenated input sequence.
- The LSTM layer processes the concatenated input sequence and learns to generate the caption.

1. Merging Layer:

• The output of the LSTM is added to the processed image features. This operation combines the information from the image and the caption sequence, which was proven to improve the performance of the model.

1. Output Layers:

 After the LSTM layer, a dense layer is introduced with ReLU activation to further process the LSTM output. • Finally, a dense layer with a softmax activation function to predict the next word in the caption vocabulary.

1. **Dropout Layers:**

- Dropout is applied to the LSTM output to prevent overfitting.
- Another Dropout layer is applied to the output of the first dense layer for regularization.
- 1. Model Compilation:
- We utilize cross entropy loss as the loss function while training the network.
- We set 'Adam' as the model's optimization technique.

Let's now define our build_model function, which is responsible for constructing the neural network, and returning it:

```
class ImageCaptioningHyperModel(HyperModel):
    def __init__(self, vocab_size, max_len):
        self.vocab size = vocab size
        self.max len = max len
    def build(self, hp):
        embed_size = hp.Choice('embed size', values=[128, 256, 512])
        lstm units = embed size
        dropout rate = 0.5
        dense units = 128
        optimizer choice = hp.Choice('optimizer', values=['adam',
'rmsprop'])
        if optimizer choice == 'adam':
            optimizer = Adam()
        elif optimizer choice == 'rmsprop':
            optimizer = RMSprop()
        else:
            optimizer = SGD()
        input1 = Input(shape=(2048,))
        input2 = Input(shape=(self.max len,))
        img features = Dense(embed size, activation='relu')(input1)
        img_features_reshaped = Reshape((1, embed_size),
input_shape=(embed_size,))(img_features)
        sentence features = Embedding(self.vocab size, embed size,
mask zero=False)(input2)
        merged = concatenate([img features reshaped,
sentence features], axis=1)
        sentence features = LSTM(lstm units)(merged)
        x = Dropout(dropout rate)(sentence features)
        x = add([x, img features])
        x = Dense(dense units, activation='relu')(x)
```

```
x = Dropout(dropout rate)(x)
        output = Dense(self.vocab size, activation='softmax')(x)
        model = Model(inputs=[input1, input2], outputs=output)
        model.compile(loss='categorical crossentropy',
optimizer=optimizer, metrics=['accuracy'])
        return model
hypermodel = ImageCaptioningHyperModel(vocab size=vocab size,
max len=max len)
tuner = Hyperband(
    hypermodel,
    objective='val loss',
    max epochs=10, # The maximum number of epochs to train one model.
This is the upper limit of the epoch that Hyperband will search
    factor=5,
                # The reduction factor for the number of
configurations to train in each round of Hyperband
    directory='hyperparam tuning',
    project name='image captioning'
)
Reloading Tuner from hyperparam tuning/image captioning/tuner0.json
tuner = RandomSearch(
    hypermodel,
    objective='val loss',
    max trials=10,
    executions per trial=1,
    directory='hyperparam tuning',
    project name='image captioning'
)
/opt/conda/lib/python3.10/site-packages/keras/src/layers/reshaping/
reshape.py:39: UserWarning: Do not pass an `input shape`/`input dim`
argument to a layer. When using Sequential models, prefer using an
`Input(shape)` object as the first layer in the model instead.
  super(). init (**kwargs)
tuner = GridSearch(
    hypermodel,
    objective='val loss',
    max trials=None, # Grid Search will try all combinations
    executions per trial=1,
    directory='hyperparam tuning',
    project name='image captioning'
/opt/conda/lib/python3.10/site-packages/keras/src/layers/reshaping/
reshape.py:39: UserWarning: Do not pass an `input shape`/`input dim`
```

```
argument to a layer. When using Sequential models, prefer using an
`Input(shape)` object as the first layer in the model instead.
   super().__init__(**kwargs)
```

Training

Load and preprocess validation data:

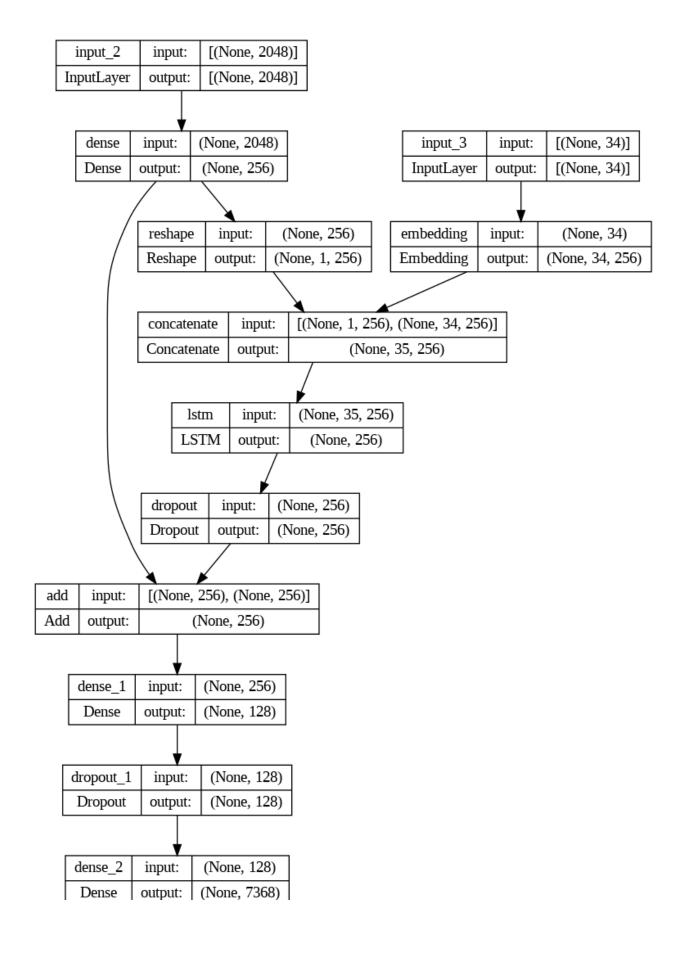
```
val data = read data df(val set)
val cleaned_captions, _, _, _
preprocess_captions(val data['caption'])
val data['caption'] = val cleaned captions
val data.head(10)
{"summary":"{\n \"name\": \"val_data\",\n \"rows\": 5000,\n
\"fields\": [\n {\n \"column\": \"image\",\n \"properties\": {\n \"dtype\": \"number\",\n
                                                                 \"std\":
288,\n \"min\": 0,\n \"max\": 999,\n \"num_unique_values\": 1000,\n \"samples\": [\n 5737,\n 740\n ],\n \"semantic_type\": \"\",\"description\": \"\"\n }\n {\n \"column\":
                                                                        521,\n
                                              \"semantic_type\": \"\",\n
\"caption\",\n \"properties\": {\n
                                                     \"dtype\": \"string\",\
          \"num_unique_values\": 4994,\n
                                                     \"samples\": [\n
\"startseq two teenage girls hugging one wearing bicycle helmet with
                                             \"startseq man jumps
cyclists in the background endseg\",\n
in the air while sky surfing endseq\",\n
                                                      \"startseg black and
white bird with orange beak in water with rocks around endseq\"\n
             \"semantic type\": \"\",\n \"description\": \"\"\n
1.\n
        }\n ]\n}","type":"dataframe","variable name":"val data"}
}\n
```

Initialize the train and validation generators with batch size = 64:

We define our checkpoints and early stopping mechanism to stop training when overfitting is detected:

Adjust the hyperparameters to determine the optimal values for building the model. Then, use the best model for fitting the data.

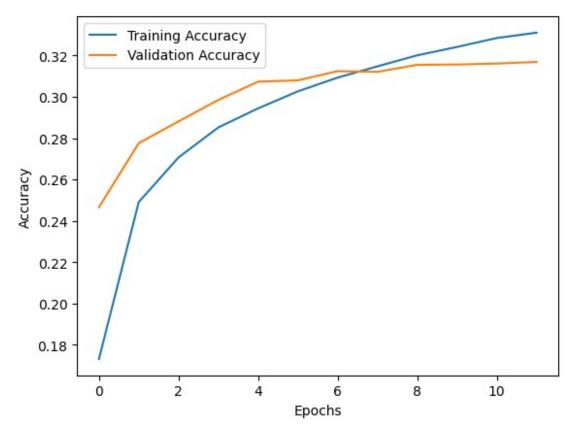
```
tuner.search(train generator,
             validation data=validation generator,
             epochs=10,
             callbacks=[checkpoint, earlystopping])
best hyperparameters = tuner.get best hyperparameters(num trials=1)[0]
best model = tuner.hypermodel.build(best hyperparameters)
Trial 6 Complete [00h 10m 47s]
val loss: 4.374006748199463
Best val loss So Far: 3.7370548248291016
Total elapsed time: 01h 00m 52s
print("Best Hyperparameters:")
for param in best hyperparameters.values:
    print(f"{param}: {best hyperparameters.get(param)}")
Best Hyperparameters:
embed size: 256
optimizer: adam
plot model(best model, show shapes=True)
```



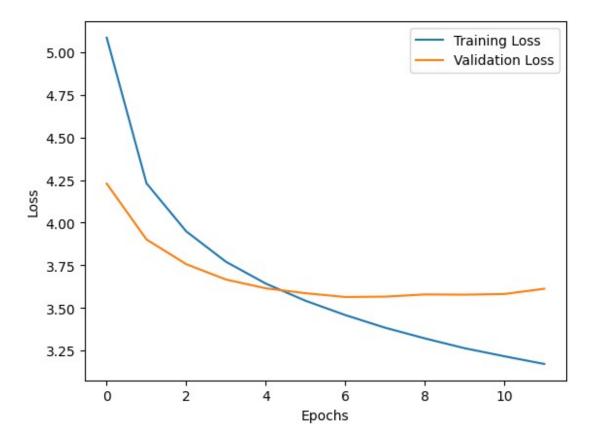
After building the model, the model is fit using the training dataset. The model is made to run for 50 epochs and the best model is chosen among the 50 epochs by computing loss function on validation dataset. The model with the lowest loss value is chosen for generating captions.

```
history = best model.fit(
       train generator,
       validation data = validation generator,
       callbacks=[checkpoint, earlystopping],
       epochs=50)
Epoch 1/50
             ______ 0s 107ms/step - accuracy: 0.1292 - loss:
468/468 ----
5.6866
Epoch 1: val loss improved from inf to 4.22917, saving model to
model.keras
           61s 126ms/step - accuracy: 0.1293 - loss:
468/468 —
5.6854 - val_accuracy: 0.2466 - val_loss: 4.2292
Epoch 2/50
               Os 107ms/step - accuracy: 0.2429 - loss:
468/468 —
4.2919
Epoch 2: val loss improved from 4.22917 to 3.90175, saving model to
model.keras
           ______ 59s 125ms/step - accuracy: 0.2429 - loss:
468/468 ——
4.2918 - val accuracy: 0.2775 - val loss: 3.9017
Epoch 3/50
             ______ 0s 107ms/step - accuracy: 0.2667 - loss:
468/468 ——
3.9836
Epoch 3: val loss improved from 3.90175 to 3.75650, saving model to
model.keras
3.9835 - val accuracy: 0.2881 - val loss: 3.7565
Epoch 4/50
           ______ 0s 108ms/step - accuracy: 0.2833 - loss:
468/468 —
3.7787
Epoch 4: val loss improved from 3.75650 to 3.66641, saving model to
model.keras 59s 124ms/step - accuracy: 0.2833 - loss:
3.7787 - val accuracy: 0.2985 - val_loss: 3.6664
Epoch 5/50
             ______ 0s 104ms/step - accuracy: 0.2940 - loss:
468/468 ——
3.6370
Epoch 5: val loss improved from 3.66641 to 3.61489, saving model to
model.keras
model.keras
468/468 — 58s 122ms/step - accuracy: 0.2940 - loss:
3.6370 - val_accuracy: 0.3073 - val loss: 3.6149
Epoch 6/50
           ______ 0s 108ms/step - accuracy: 0.3023 - loss:
467/468 —
3.5361
Epoch 6: val_loss improved from 3.61489 to 3.58622, saving model to
model.keras
```

```
———— 59s 125ms/step - accuracy: 0.3023 - loss:
3.5362 - val accuracy: 0.3079 - val loss: 3.5862
Epoch 7/50
                  ———— 0s 108ms/step - accuracy: 0.3096 - loss:
468/468 ——
3.4464
Epoch 7: val loss improved from 3.58622 to 3.56405, saving model to
model.keras
                 ______ 59s 125ms/step - accuracy: 0.3096 - loss:
468/468 ———
3.4464 - val accuracy: 0.3124 - val loss: 3.5641
Epoch 8/50
                 ————— 0s 108ms/step - accuracy: 0.3154 - loss:
467/468 ——
3.3725
Epoch 8: val loss did not improve from 3.56405
                ______ 59s 125ms/step - accuracy: 0.3154 - loss:
3.3725 - val_accuracy: 0.3121 - val_loss: 3.5662
Epoch 9/50
                   ———— 0s 107ms/step - accuracy: 0.3210 - loss:
468/468 ——
3.3077
Epoch 9: val loss did not improve from 3.56405
468/468 — 59s 125ms/step - accuracy: 0.3210 - loss:
3.3078 - val accuracy: 0.3155 - val loss: 3.5791
Epoch 10/50
              ————— Os 106ms/step - accuracy: 0.3250 - loss:
467/468 ——
3.2503
Epoch 10: val loss did not improve from 3.56405
468/468 — 59s 124ms/step - accuracy: 0.3250 - loss:
3.2504 - val accuracy: 0.3156 - val_loss: 3.5777
Epoch 11/50
                  ———— 0s 106ms/step - accuracy: 0.3288 - loss:
468/468 ----
3.2017
Epoch 11: val loss did not improve from 3.56405
                   ———— 59s 123ms/step - accuracy: 0.3288 - loss:
3.2017 - val accuracy: 0.3161 - val loss: 3.5817
Epoch 12/50
                  ———— Os 109ms/step - accuracy: 0.3323 - loss:
467/468 —
3.1517
Epoch 12: val loss did not improve from 3.56405
468/468 ————— 60s 126ms/step - accuracy: 0.3322 - loss:
3.1518 - val accuracy: 0.3169 - val loss: 3.6125
Epoch 12: early stopping
Restoring model weights from the end of the best epoch: 7.
plt.plot(history.history['accuracy'], label='Training Accuracy')
plt.plot(history.history['val accuracy'], label='Validation Accuracy')
plt.xlabel('Epochs')
plt.ylabel('Accuracy')
plt.legend()
plt.show()
```



```
plt.plot(history.history['loss'], label='Training Loss')
plt.plot(history.history['val_loss'], label='Validation Loss')
plt.xlabel('Epochs')
plt.ylabel('Loss')
plt.legend()
plt.show()
```



Inference

Here the image embeddings are passed along with the first word to the model. The predicted word is then added to the previous sequence and passed to generate the next word. The process continues till the reaching the end tag or the maximum sequence length.

```
def idx_to_word(integer, tokenizer):
    for word, index in tokenizer.word_index.items():
        if index == integer:
            return word
    return None

# generate caption for an image
def predict_caption(model, image, tokenizer, max_length):
    in_text = 'startseq'

# iterate over the max length of sequence
for i in range(max_length):
    # encode input sequence
    sequence = tokenizer.texts_to_sequences([in_text])[0]
    sequence = pad_sequences([sequence], max_length)
```

```
yhat = model.predict([image, sequence], verbose=0)
# get index with high probability
yhat = np.argmax(yhat)
word = idx_to_word(yhat, tokenizer)

# stop if word not found
if word is None:
    break

# append word as input for generating next word
in_text += " " + word

# stop if we reach end tag
if word == 'endseq':
    break

return in_text
```

Fvaluation

Quantitative Results

Report loss, accuracy and bleu score on test data:

```
# Load model
best_model = tuner.hypermodel.build(best hyperparameters)
# Load model weights
best model.load weights(os.path.join(WORKING_DIR,
'model weights.weights.h5'))
WARNING:absl:Skipping variable loading for optimizer 'Adam', because
it has 1 variables whereas the saved optimizer has 22 variables.
# Load and preprocess test data
test data = read data df(test set)
test cleaned captions, _, _, _ =
preprocess_captions(test data['caption'])
test_data['caption'] = test_cleaned_captions
test data.head(10)
{"summary":"{\n \"name\": \"test_data\",\n \"rows\": 5000,\n
\"fields\": [\n {\n \"column\": \"image\",\n \"properties\": {\n \"dtype\": \"number\",\n
                                                                          \"std\":
288,\n \"min\": 0,\n \"max\": 999,\n \"num_unique_values\": 1000,\n \"samples\": [\n 521,\n 737,\n 740\n ],\n \"semantic_type\": \"\",\n \"description\": \"\"\n }\n {\n \"column\": \"caption\",\n \"properties\": {\n \"dtype\": \"string\",\
```

```
\"num unique values\": 4991,\n \"samples\": [\n
\"startseg black and white dog is chasing ping frisbee endseg\",\n
\"startseg two lean dogs one brown and white and one black and white
run together endseq\",\n
                                  \"startseg brown dog jumps through
three hoops endseq\"\n
                             ],\n
                                          \"semantic type\": \"\",\n
\"description\": \"\"\n
                            }\n
                                    }\n ]\
n}","type":"dataframe","variable name":"test data"}
test generator = CustomDataGenerator(df=test data, X col='image',
y_col='caption', batch_size=64,
                                    tokenizer=tokenizer,
vocab_size=vocab_size, max_length=max_len,
                                    features=features['test'],
shuffle=False)
# Evaluate the model on the test data
results = best model.evaluate(test generator)
print(f"Test Loss: {results[0]}")
print(f"Test Accuracy: {results[1]*100}%")
78/78 -
                       —— 9s 115ms/step - accuracy: 0.3200 - loss:
3.4906
Test Loss: 3.481898546218872
Test Accuracy: 32.18182623386383%
def evaluate model(model, data, tokenizer, max length, features):
  actual, predicted = list(), list()
 # step over the whole set
  for idx in range(len(features)):
   feature = features[idx]
    captions = data.loc[data['image']==idx, 'caption'].tolist()
   # generate description
   yhat = predict caption(model, np.array([feature]), tokenizer,
max length)
    references = [caption.split() for caption in captions]
   actual.append(references)
   predicted.append(yhat.split())
  # calculate BLEU score
  print('BLEU-1: %f' % corpus bleu(actual, predicted, weights=(1.0, 0,
(0, (0))
  print('BLEU-2: %f' % corpus bleu(actual, predicted, weights=(0.5,
0.5, 0, 0))
  print('BLEU-3: %f' % corpus bleu(actual, predicted, weights=(0.3,
0.3, 0.3, 0))
  print('BLEU-4: %f' % corpus bleu(actual, predicted, weights=(0.25,
0.25, 0.25, 0.25)))
```

```
evaluate_model(best_model, test_data, tokenizer, max_len, features['test'])

BLEU-1: 0.631338
BLEU-2: 0.396658
BLEU-3: 0.286683
BLEU-4: 0.151087
```

Qualitative Results

```
def display_images_with_captions(images, captions, cols=2):
    rows = (len(images) + cols - 1) // cols
    fig, axes = plt.subplots(rows, cols, figsize=(15, 5*rows))
    axes = axes.flatten()
    for img, caption, ax in zip(images, captions, axes):
        #img = Image.open(img path)
        ax.imshow(img)
        ax.axis('off')
        ax.set title(caption)
    for ax in axes[len(images):]:
        fig.delaxes(ax)
    plt.tight layout()
    plt.show()
def display images from dir(image dir):
  # Get image paths
  images = [load img(os.path.join(image dir, img name)) for img name
in os.listdir(image dir)]
  captions = []
  for img in images:
    processed image = preprocess(img)
    image features = base model.predict(processed image, verbose=0)
    caption = predict caption(model, image features, tokenizer,
max len)
    captions.append(caption)
  display images with captions(images, captions, cols=2)
```

Test on images from the test set:

```
samples = test_data.sample(16)
samples.reset_index(drop=True, inplace=True)

for index, record in samples.iterrows():
   id = record['image']
   image = features['test'][id]
```

```
caption = predict_caption(model, np.array([image]), tokenizer,
max_len)
  samples.loc[index, 'image'] = test_set['image'][id]
  samples.loc[index,'caption'] = caption

display_images_with_captions(samples['image'], samples['caption'], 2)
```

startseq young boy is swinging on swing endseq



startseq two people are playing in the water endseq



startseq man is jumping over the edge of the water endseq



startseq dog is running through snow endseq



startseq man in blue shirt is standing on the edge of rock endseq



startseq dog is running through the water endseq



startseq two dogs are running in the snow endseq



startseq young boy in blue shirt is playing in the air endseq



Test on images from ImageNet dataset on which the CNN model is pretrained on:

startseq man is standing on the water endseq



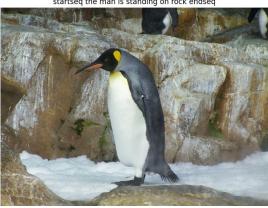
startseq dog is running through the grass endseq



startseq man in red shirt is standing on the street endseq



startseq the man is standing on rock endseq



startseq dog is licking its nose endseq



startseq brown dog is running in the snow endseq



startseq man in blue shirt is standing on the water endseq



startseq man is sitting on rock endseq



Test on new images from COCO dataset:

startseq dog is standing on the water endseq



startseq man is sitting on bench endseq



startseq two girls are walking on the beach endseq



startseq dog is running through the water endseq



startseq two dogs are running through the grass endseq



startseq boy in blue shirt is playing baseball endseq



startseq group of people are standing on the snow endseq





Results:

- As we can clearly see there is some redundant caption generation e.g. Dog for any animal, man for unidentified objects, overusage of 'shirt' for any other type of cloth.
- The model confuses many colors, with the overusage of red and blue colors.
- The model also fails sometimes to clearly specify the number of people in the image e.g. two while only one person exits, one or two for a group of people.
- When there are multiple objects in an image, the model sometimes fails to recognize all of them especially those farther away from the camera.
- The model correctly identifies dogs, grass, snow, water, and types of sports in most cases.
- The model performance can be further improved by training on more data and using attention mechanism so that our model can focus on relevant areas during the text generation.

Comments

Performance:

- The model has clearly overfit, possibly due to less amount of data.
- We used dropout layers, regularization, learning rate scheduling, and decreasing model's complexity to overcome overftitting but none of these methods seemed to achieve any improvement.
- The model achieves high loss value (~3.6) and low accuracy (~31%) on validation data.
- Nevertheless, the model acheives a good bleu 1 score (~0.63).

Areas of Improvement:

- We can tackle the overfitting problem in two ways:
 - Train the model on a larger dataset e.g. Flickr30k
 - Attention Models
- Increase the training time (number of epochs).
- Use beam search to generate captions for a better performance.

Attention Mechanism

The attention mechanism is a technique used in deep learning that focuses on different parts of an input sequence when predicting the next part of the sequence. It is widely used in sequence-to-sequence models, such as machine translation and image captioning, to improve the model's performance.

Attention Model Architecture

Steps to Add Attention Mechanism:

Define the Attention Layer: This layer will calculate the attention scores and context vectors.

Compute Attention Weights: Using the attention layer to compute the weights.

Apply the Attention Weights: Applying the attention weights to the encoder outputs to obtain a context vector.

Concatenate the Context Vector: Combine the context vector with the decoder input.

Proceed with the Decoder: Continue with the decoder as originally.

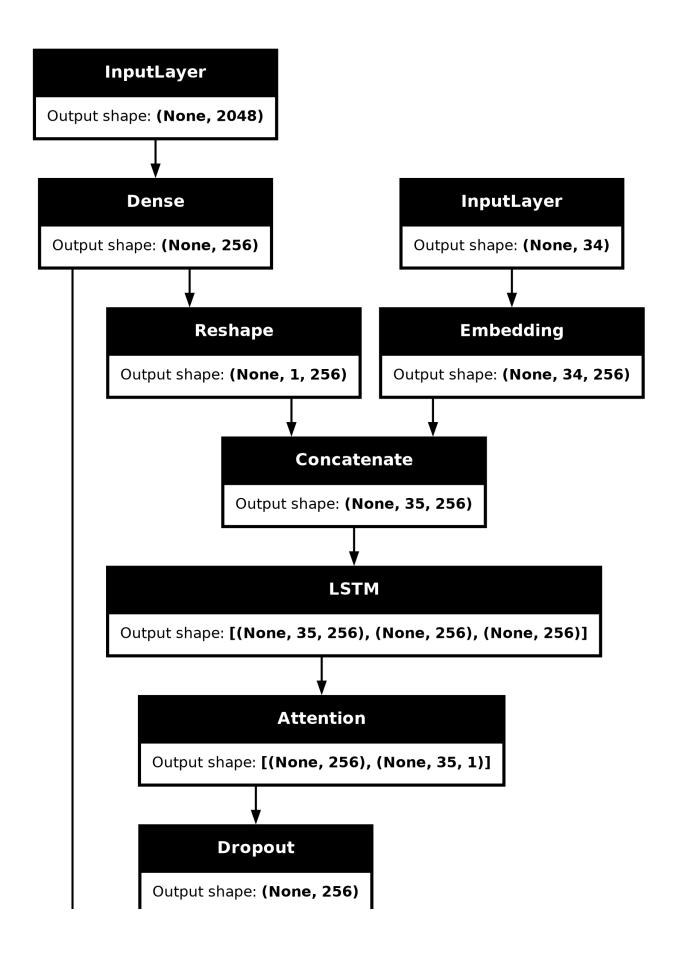
```
class Attention(Laver):
    def init (self, units):
        super(Attention, self). init ()
        self.W1 = Dense(units)
        self.W2 = Dense(units)
        self.V = Dense(1)
    def call(self, features, hidden):
        # features shape: (batch size, max len, embedding dim)
        # hidden shape: (batch size, embedding dim)
        hidden_with_time_axis = tf.expand dims(hidden, 1)
        # Score shape: (batch size, max len, 1)
        score = tf.nn.tanh(self.W1(features) +
self.W2(hidden with time axis))
        attention weights = tf.nn.softmax(self.V(score), axis=1)
        # Context vector shape: (batch size, embedding dim)
        context vector = attention weights * features
        context vector = tf.reduce sum(context vector, axis=1)
        return context vector, attention weights
def build model(embed size, max len, vocab size):
    input1 = Input(shape=(2048.))
    input2 = Input(shape=(max len,))
    # Image feature branch
    img features = Dense(embed size, activation='relu')(input1)
    img features reshaped = Reshape((1, embed size))(img features)
    # Sentence feature branch
    sentence features = Embedding(vocab size, embed size,
mask zero=False)(input2)
    # Concatenate image and sentence features
    merged = concatenate([img features reshaped, sentence features],
axis=1)
    # LSTM layer
    lstm output, state h, state c = LSTM(256, return sequences=True,
return state=True)(merged)
    # Attention layer
```

```
attention = Attention(256)
    context_vector, attention_weights = attention(lstm_output,
state_h)

# Add attention context vector to LSTM output
    x = Dropout(0.5)(context_vector)
    x = add([x, img_features])
    x = Dense(128, activation='relu')(x)
    x = Dropout(0.5)(x)
    output = Dense(vocab_size, activation='softmax')(x)

model = Model(inputs=[input1, input2], outputs=output)
    model.compile(loss='categorical_crossentropy', optimizer='adam',
metrics=['accuracy'])
    return model

model = build_model(256, max_len, vocab_size)
plot_model(model, show_shapes=True)
```



```
model name = "attention.keras"
checkpoint = ModelCheckpoint(model name,
                            monitor="val loss",
                            mode="min",
                            save best only = True,
                            verbose=1)
 history = model.fit(
        train generator,
        validation data = validation generator,
        callbacks=[checkpoint, earlystopping],
        epochs=50)
Epoch 1/50
/opt/conda/lib/python3.10/site-packages/keras/src/trainers/
data_adapters/py_dataset_adapter.py:120: UserWarning: Your `PyDataset`
class should call `super().__init__(**kwargs)` in its constructor.
`**kwargs` can include `workers`, `use_multiprocessing`,
`max queue size`. Do not pass these arguments to `fit()`, as they will
be ignored.
  self. warn if super not called()
468/468 ———— Os 107ms/step - accuracy: 0.1154 - loss:
5.8511
Epoch 1: val loss improved from inf to 4.50086, saving model to
attention.keras
                     ———— 64s 126ms/step - accuracy: 0.1155 - loss:
468/468 -
5.8499 - val accuracy: 0.2054 - val loss: 4.5009
Epoch 2/50
                   _____ 0s 106ms/step - accuracy: 0.2121 - loss:
468/468 —
4.5137
Epoch 2: val loss improved from 4.50086 to 4.00417, saving model to
attention.keras
                   ______ 59s 124ms/step - accuracy: 0.2121 - loss:
468/468 ----
4.5135 - val accuracy: 0.2679 - val loss: 4.0042
Epoch 3/50
                  ______ 0s 104ms/step - accuracy: 0.2570 - loss:
468/468 ——
4.0717
Epoch 3: val loss improved from 4.00417 to 3.80573, saving model to
attention.keras
468/468 — 58s 122ms/step - accuracy: 0.2570 - loss:
4.0716 - val_accuracy: 0.2837 - val_loss: 3.8057
Epoch 4/50
            ______ 0s 105ms/step - accuracy: 0.2745 - loss:
468/468 —
3.8616
Epoch 4: val loss improved from 3.80573 to 3.71526, saving model to
attention.keras

468/468 — 58s 123ms/step - accuracy: 0.2745 - loss:
3.8616 - val accuracy: 0.2920 - val loss: 3.7153
```

```
Epoch 5/50
                _____ 0s 106ms/step - accuracy: 0.2880 - loss:
468/468 —
3.7051
Epoch 5: val loss improved from 3.71526 to 3.64269, saving model to
attention.keras
                ______ 59s 123ms/step - accuracy: 0.2880 - loss:
468/468 ——
3.7051 - val accuracy: 0.3000 - val loss: 3.6427
Epoch 6/50
                 _____ 0s 106ms/step - accuracy: 0.2984 - loss:
468/468 ——
3.5792
Epoch 6: val loss improved from 3.64269 to 3.62534, saving model to
attention.keras
              ______ 59s 124ms/step - accuracy: 0.2984 - loss:
468/468 ———
3.5792 - val accuracy: 0.3021 - val loss: 3.6253
Epoch 7/50
                ———— 0s 105ms/step - accuracy: 0.3055 - loss:
468/468 —
3.4906
Epoch 7: val loss improved from 3.62534 to 3.58753, saving model to
attention.keras
468/468 — 58s 123ms/step - accuracy: 0.3055 - loss:
3.4906 - val accuracy: 0.3080 - val_loss: 3.5875
Epoch 8/50
              _____ 0s 107ms/step - accuracy: 0.3136 - loss:
468/468 ——
3.4082
Epoch 8: val loss improved from 3.58753 to 3.57507, saving model to
attention.keras

468/468 — 59s 125ms/step - accuracy: 0.3136 - loss:
3.4082 - val accuracy: 0.3115 - val loss: 3.5751
Epoch 9/50
                Os 107ms/step - accuracy: 0.3186 - loss:
468/468 ——
3.3425
Epoch 9: val loss did not improve from 3.57507
468/468 — 59s 124ms/step - accuracy: 0.3186 - loss:
3.3425 - val accuracy: 0.3136 - val_loss: 3.5792
Epoch 10/50
468/468 — Os 107ms/step - accuracy: 0.3231 - loss:
3.2713
Epoch 10: val loss did not improve from 3.57507
3.2713 - val accuracy: 0.3166 - val loss: 3.5824
Epoch 11/50
                 Os 109ms/step - accuracy: 0.3321 - loss:
468/468 -----
3.2067
Epoch 11: val loss did not improve from 3.57507
                60s 126ms/step - accuracy: 0.3321 - loss:
3.2068 - val_accuracy: 0.3155 - val_loss: 3.5828
Epoch 12/50 Os 106ms/step - accuracy: 0.3346 - loss:
3.1589
```

```
Epoch 12: val loss did not improve from 3.57507
                         —— 57s 120ms/step - accuracy: 0.3346 - loss:
468/468 -
3.1590 - val accuracy: 0.3185 - val loss: 3.6121
Epoch 13/50
468/468 —
                          — 0s 107ms/step - accuracy: 0.3396 - loss:
3.1137
Epoch 13: val loss did not improve from 3.57507
468/468 -
                         —— 59s 124ms/step - accuracy: 0.3396 - loss:
3.1137 - val accuracy: 0.3161 - val_loss: 3.6071
Epoch 13: early stopping
Restoring model weights from the end of the best epoch: 8.
print("start")
evaluate model(model, test data, tokenizer, max len, features['test'])
print("finished")
start
BLEU-1: 0.343246
BLEU-2: 0.204782
BLEU-3: 0.134640
BLEU-4: 0.058412
finished
```

References

- https://huggingface.co/datasets/jxie/flickr8k
- https://rupamgoyal12.medium.com/image-caption-generator-using-resnet50-and-lstm-model-a5b11f60cd23
- https://www.geeksforgeeks.org/residual-networks-resnet-deep-learning/
- https://stackoverflow.com/questions/47555829/preprocess-input-method-inkeras
- https://machinelearningmastery.com/calculate-bleu-score-for-text-python/
- https://luckytoilet.wordpress.com/2018/03/22/i-trained-a-neural-network-to-describe-pictures-and-its-hilariously-bad/
- https://www.kaggle.com/code/ramoliyafenil/image-captioning#Modelling
- https://www.analyticsvidhya.com/blog/2019/11/comprehensive-guide-attention-mechanism-deep-learning/
- https://towardsdatascience.com/foundations-of-nlp-explained-bleu-score-andwer-metrics-1a5ba06d812b