

Definition. (*Henselian ring*) Let (R, \mathfrak{m}, k) be a local ring. Hensel's lemma states that for any monic polynomials $F \in R[t]$ and $g, h \in k[t]$ such that $(g, h) = (1)$ and $\overline{F} = gh$, there are monic polynomials $G, H \in R[t]$ such that $F = GH$ and $\overline{G} = g, \overline{H} = h$. If Hensel's lemma holds, we say that R is Henselian. If in addition k is separably closed, we say that R is strictly Henselian.

Theorem 0.1. Let (R, \mathfrak{m}, k) be a local ring. Then the following conditions are equivalent:

- (i) R is Henselian;
- (i)' for a monic polynomial $F(t) \in R[t]$ and an $x \in k$ such that $\overline{F}(x) = 0$ and $\overline{F}'(x) \neq 0$, there is an $a \in R$ such that $\overline{a} = x$ and $F(a) = 0$;
- (ii) every finite R -algebra A is a finite product of local rings;
- (ii)' (ii) holds for $A = R[t]/(F(t))$ for a monic polynomial $F(t)$;
- (iii) for every finite R -algebra A , $A \rightarrow A/\mathfrak{m}A$ gives a bijection between idempotent elements;
- (iii)' (iii) holds for $A = R[t]/(F(t))$ for a monic polynomial $F(t)$;
- (iv) for every etale morphism $X \rightarrow \operatorname{Spec} R$, the section of the closed fiber $X_s \rightarrow \operatorname{Spec} k$ can be lifted to a section of $X \rightarrow \operatorname{Spec} R$.

Remark 0.1. If R is a Henselian ring and A is a finite R -algebra, then $A = \prod_{\mathfrak{m}} A_{\mathfrak{m}}$ where \mathfrak{m} runs over (finitely many) maximal ideals of A .

Theorem 0.2. A complete local ring is Henselian.

Theorem 0.3. Let (R, \mathfrak{m}, k) be a local ring. Then the following conditions are equivalent:

- (i) R is strictly Henselian;
- (ii) R is Henselian and every finite etale scheme over $S = \operatorname{Spec} R$ is a finite disjoint union of copies of S ;
- (iii) for every etale morphism $f : X \rightarrow S$ and a point $x \in X$ which is mapped to the closed point s of S , there is a section of f which maps s to x .

Definition. Let A, A' be local rings and $\phi : A \rightarrow A'$ a local homomorphism. We say that A' is essentially etale over A if there is an etale A -algebra B and a prime ideal P of B lying over the maximal ideal of A such that A' is isomorphic to B_P over A . If in addition the homomorphism between the residue field is an isomorphism, we say that A' is strictly essentially etale over A .

Theorem 0.4. Compositions of essentially etale morphisms is again essentially etale.

(Proof). Let $A \rightarrow A' \rightarrow A''$ be two essentially etale morphisms. There are etale A -algebra B , A' -algebra B' and a prime ideal P of B lying over the maximal ideal of A , a prime ideal P' of B' lying over the maximal ideal of A' such that A' is isomorphic to B_P over A , A'' is isomorphic to $B'_{P'}$.

Since $B_P = \varinjlim_{f \notin P} B_f$ and each B_f has finitely presentation over A , by the "direct limit of schemes," there is an etale B_f -algebra C such that $B' = C \otimes_{B_f} B_P$ over B_f ($f \notin P$).

Replacing B by B_f , we have etale morphisms $A \rightarrow B \rightarrow C$ such that $B_P = A'$ and $C_{P'} = A''$. \square

Theorem 0.5. *Let $(A, \mathfrak{m}, k) \rightarrow (A', \mathfrak{m}', k')$ be an essentially etale homomorphism and let (R, \mathfrak{n}, l) be a Henselian ring over A . Given a k -homomorphism $k' \rightarrow l$, this can be lifted to a unique A -homomorphism $A' \rightarrow R$.*

Theorem 0.6. *Let (A, \mathfrak{m}, k) be a local ring and let K/k be a field extension. Let \mathcal{C}_K be the category whose objects are pairs $((A', \mathfrak{m}', k'), \beta')$ of essentially etale A -algebra and a k -homomorphism $\beta' : k' \rightarrow K$ and whose morphisms $((A', \mathfrak{m}', k'), \beta') \rightarrow ((A'', \mathfrak{m}'', k''), \beta'')$ are local A -homomorphisms $\phi : A' \rightarrow A''$ such that $\beta''\bar{\phi} = \beta'$ where $\bar{\phi} : k' \rightarrow k''$ is induced from ϕ .*

Then \mathcal{C} is a directed category, i.e.,

- (i) *for any two objects λ and μ , there is an object ν together with morphisms $\lambda \rightarrow \nu$ and $\mu \rightarrow \nu$;*
- (ii) *for any objects λ and μ , there exist at most one morphism $\lambda \rightarrow \mu$.*

Definition. *Let (A, \mathfrak{m}, k) be a local ring and let K/k be a separable field extension. We write*

$$A^K = \varinjlim_{A' \in \mathcal{C}_K} A'.$$

We define the Henselization to be $A^h = A^K$ and the strict Henselization to be $A^{hs} = A^{k^{\text{sep}}}$.

Remark 0.2. *If A' is an essentially etale A -algebra and $\alpha : k(A') \rightarrow K$ is a $k(A)$ -homomorphism, there is an A -homomorphism $A' \rightarrow A^K$ which lifts α .*

Theorem 0.7. *Let $(A_\lambda, \phi_{\lambda\mu})$ be a directed system of local rings $(A_\lambda, \mathfrak{m}_\lambda, k_\lambda)$ and local homomorphisms $\phi_{\lambda\mu} : A_\lambda \rightarrow A_\mu$. Let $A = \varinjlim_\lambda A_\lambda$. Then:*

- (i) *A is a local ring with the maximal ideal $= \varinjlim_\lambda \mathfrak{m}_\lambda$ and the residue field $k = \varinjlim_\lambda k_\lambda$;*
- (ii) *if A_μ is flat over A_λ for every $\mu \geq \lambda$, then A is flat over A_λ ;*
- (iii) *if $\mathfrak{m}_\mu = A_\lambda \mathfrak{m}_\lambda$ for every $\mu \geq \lambda$, then $\mathfrak{m} = A \mathfrak{m}_\lambda$;*
- (iv) *if (ii) and (iii) hold and A_λ is Noetherian, then so is A .*

Theorem 0.8. *Let (A, \mathfrak{m}, k) be a local ring and let K/k be a separable field extension. Then:*

- (i) *A^K is a faithfully flat Henselian local ring over A with the maximal ideal $A^K \mathfrak{m}$ and the residue field K ;*
- (ii) *for a Henselian ring (R, \mathfrak{n}, l) and a local homomorphism $f : A \rightarrow R$ and a homomorphism $\alpha : K \rightarrow l$ which agrees with $\bar{f} : k \rightarrow l$ on k , there is a unique local homomorphism $F : A^K \rightarrow R$ extending f such that $\bar{F} = \alpha$; in particular $\text{Aut}(A^K/A) = \text{Gal}(K/k)$; note that \square^K defines a functor on the category local rings and local homomorphisms;*
- (iii) *if A is Noetherian, then so is A^K ;*
- (iv) *$A^h \simeq \widehat{A^h}$;*
- (v)? *for a directed system of local rings and local homomorphisms (R_λ) , we have $(\varinjlim_\lambda R_\lambda)^K \simeq \varinjlim_\lambda R_\lambda^K$;*

(Proof). (i): Let $f : X \rightarrow S = \operatorname{Spec} A^K$ be an étale morphism and let $s \in S$ be the closed point and let $g : \operatorname{Spec} K \rightarrow X_s$ be a section of the closed fiber f_s . Shrinking X and using direct limit descent, we may assume that there is an étale morphism $f' : X' \rightarrow S' = \operatorname{Spec} A'$ for an $A' \in \mathcal{C}_K$ such that f is the base change of f' to S . We may assume that $X' = \operatorname{Spec} B'$ is affine. Set $B = B' \otimes_{A'} A^K$ and $X = \operatorname{Spec} B$. Consider $\operatorname{Spec} K \xrightarrow{g} X_s \rightarrow X \rightarrow X'$. Let $x = g(s)$ and $x' \in X'$ be the image of s to X' . Then this corresponds to a $k(A)$ -homomorphism $\alpha : k(P') \rightarrow K$. Therefore we have a A -homomorphism $\phi : B'_{P'} \rightarrow A^K$ such that $\bar{\phi} = \alpha$. This gives a morphism $S \rightarrow X'$ and consider the graph $\Gamma : S \rightarrow X' \times_{S'} S = X$. This is a section of f . This agrees with g_s on the closed fiber. Γ brings s to the point of X over x' and s ; it induces a homomorphism $\Gamma^\# : k(P') \otimes_{k(A')} K \rightarrow K$. g also induces a homomorphism $g^\# : k(P') \otimes_{k(A')} K \rightarrow K$. By $\bar{\phi} = \alpha$, $\Gamma^\# = \alpha \times 1_K = g^\#$. Therefore $\Gamma(s) = g(s)$. The section of an étale morphism is determined by a single point, so $\Gamma = g$.

(ii): It suffices to show that if $A \rightarrow A'$ is an essentially étale homomorphism, R is a Henselian ring and $\alpha : k(A') \rightarrow k(R)$ is a $k(A)$ -homomorphism, then there exists a unique A -homomorphism $\phi : A' \rightarrow R$ such that $\bar{\phi} = \alpha$.

Let $A' = B_P$ for an étale A -algebra B and a prime ideal P over the maximal ideal of A . Consider $k(R) \otimes_A B \rightarrow k(R) \otimes_{k(A)} k(A') \rightarrow k(R)$ and the corresponding morphism $\operatorname{Spec} k(R) \rightarrow \operatorname{Spec} k(R) \otimes_A B$. This is a section of the closed fiber of the étale morphism $\operatorname{Spec} R \otimes_A B \rightarrow \operatorname{Spec} R$. Lifting to $\operatorname{Spec} R \rightarrow B$, we obtain a homomorphism $B \rightarrow R$. This gives the existence of $A' \rightarrow R$. To show the uniqueness, construct the section and use the fact that sections of étale morphisms is determined by a single point.

(iv): Note that A^K is faithfully flat over A .

$$\mathfrak{m}^n A^h / \mathfrak{m}^{n+1} A^h = \mathfrak{m}^n / \mathfrak{m}^{n+1} \otimes_A A^h = \mathfrak{m}^n / \mathfrak{m}^{n+1} \otimes_{A/\mathfrak{m}} A^h / \mathfrak{m} A^h = \mathfrak{m}^n / \mathfrak{m}^{n+1}.$$

Therefore $A^h / \mathfrak{m}^n A^h = A / \mathfrak{m}^n$. \square

Corollary 0.9. *Let (A, \mathfrak{m}, k) be a local ring.*

- (i) $A^{h(s)}$ is a faithfully flat Henselian local ring over A with the maximal ideal $A^{h(s)} \mathfrak{m}$ and the residue field $k^{(\text{sep})}$;
- (ii) for a Henselian ring R , $\operatorname{loc.Hom}(A, R) \simeq \operatorname{loc.Hom}(A^h, R)$ and $\operatorname{Aut}(A^{hs}/A)$ is the absolute Galois group of k ;
- (ii)' the functor $\square^{h(s)}$ is the left adjoint to the inclusion of the full subcategory of (strict) Henselian rings into the category of local rings and local homomorphisms;
- (ii)' the functor $\square^{h(s)}$ is the left adjoint to the inclusion of the full subcategory of (strict) Henselian rings into the category of local rings and local homomorphisms;

Theorem 0.10. *Let R be a Henselian ring and let $S = \operatorname{Spec} R$. for every étale morphism $X \rightarrow S$, the section of the closed fiber $X_s \rightarrow \operatorname{Spec} k$ can be lifted to a section of $X \rightarrow S$.*

Theorem 0.11. *Let A be a local ring, B a finite A -algebra with maximal ideals $\mathfrak{n}_1, \dots, \mathfrak{n}_k$. Then $B \otimes_A A^h = (B_{\mathfrak{n}_1})^h \times \dots \times (B_{\mathfrak{n}_k})^h$.*

Theorem 0.12. *Let A be a local ring, B a finite A -algebra. Let \mathfrak{n}' be a maximal ideal of $B' = B \otimes_A A^{hs}$. Then $\mathfrak{n} = \mathfrak{n}' \cap B$ is a maximal ideal of B and $B'/\mathfrak{n}' = k(\mathfrak{n}')$ is a separable closure of $B/\mathfrak{n} = k(\mathfrak{n})$ and $B'_{\mathfrak{n}}$ is the strict Henselization of $B_{\mathfrak{n}}$.*

1 References

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