# Trees

### Today's Plan



Trees

Binary Tree ADT

Binary Search Tree ADT

### Announcements

Questions?

# ADT Operations we have seen so far

Bag, List, Stack, Queue

Add data to collection

Remove data from collection

Retrieve data from collection

Stack and Queue always position based

Bag, retrieval always value based (there are no positions)

List has **both**.

For all of them, data organization is linear



### Tree

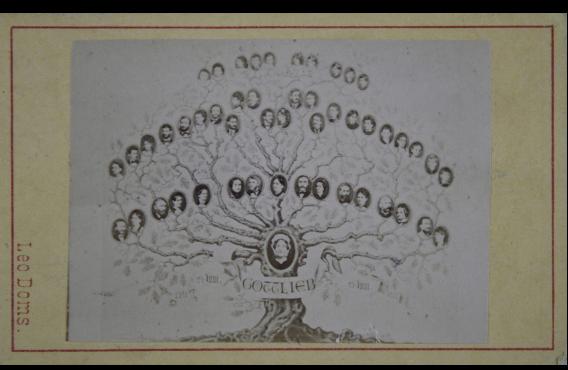
Non-linear structure

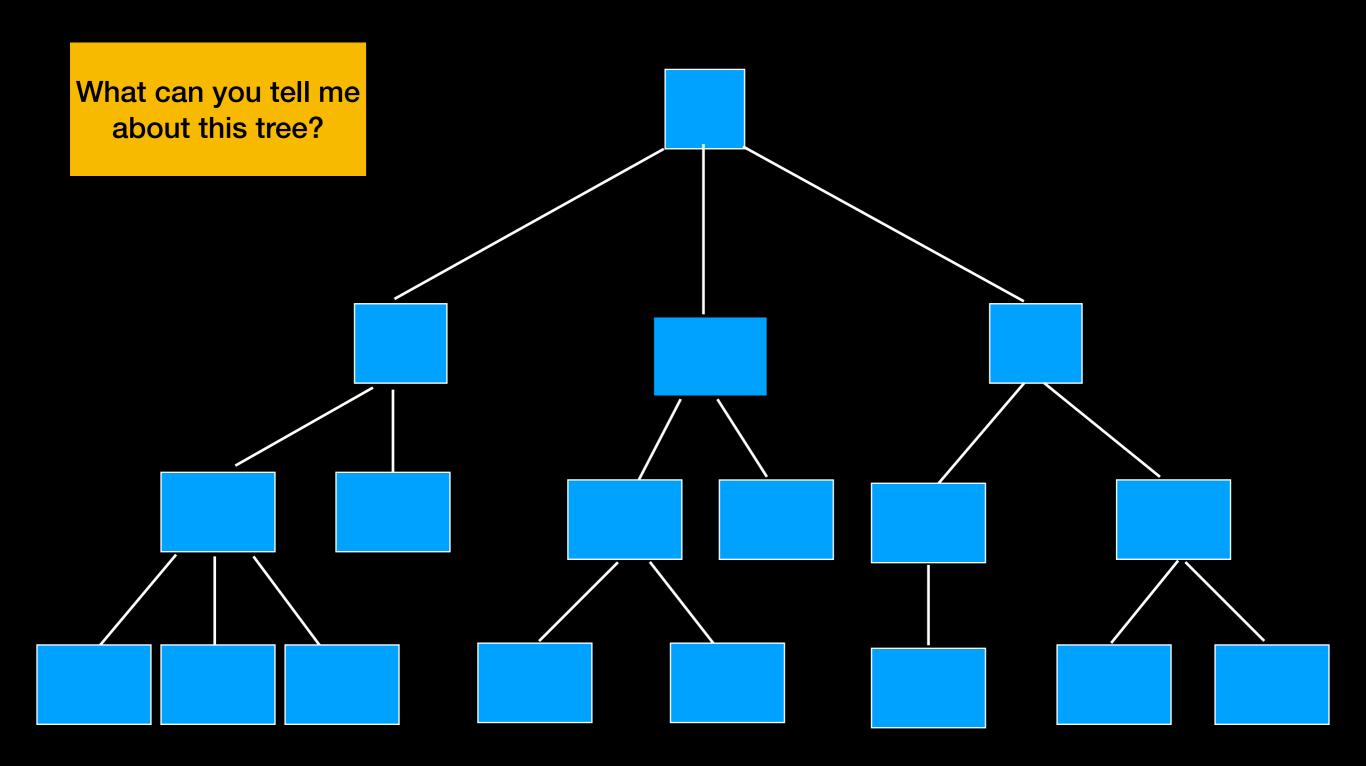
A special type of graph

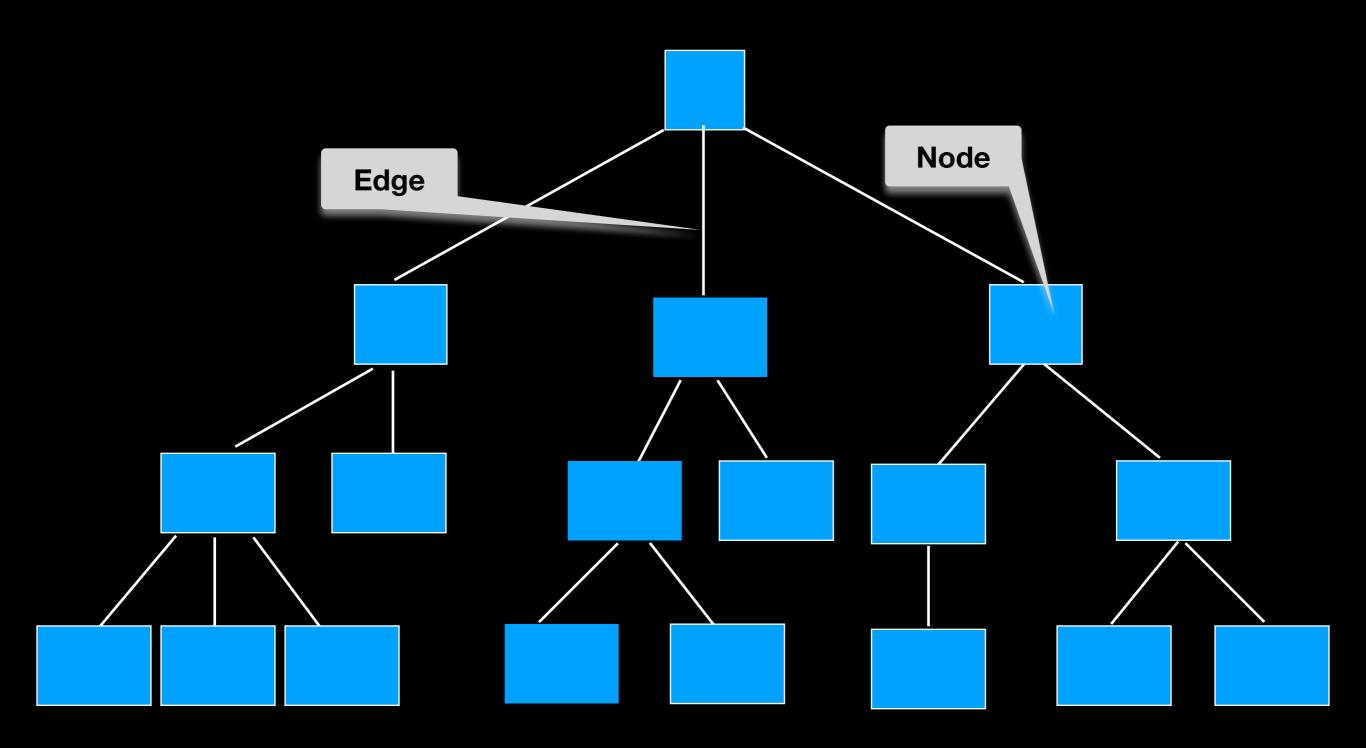
Can represent relationships

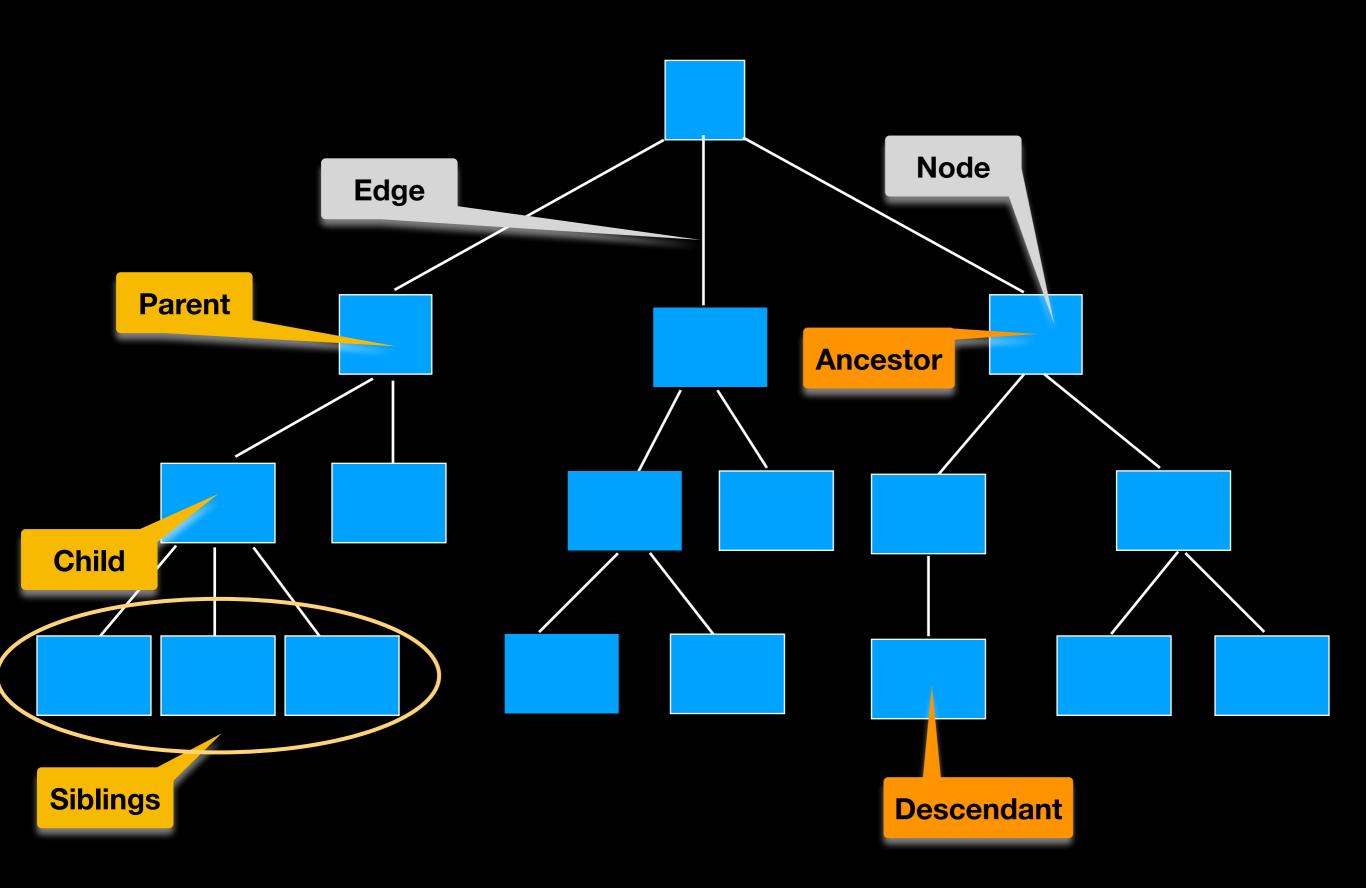
Hierarchical (directional) organization

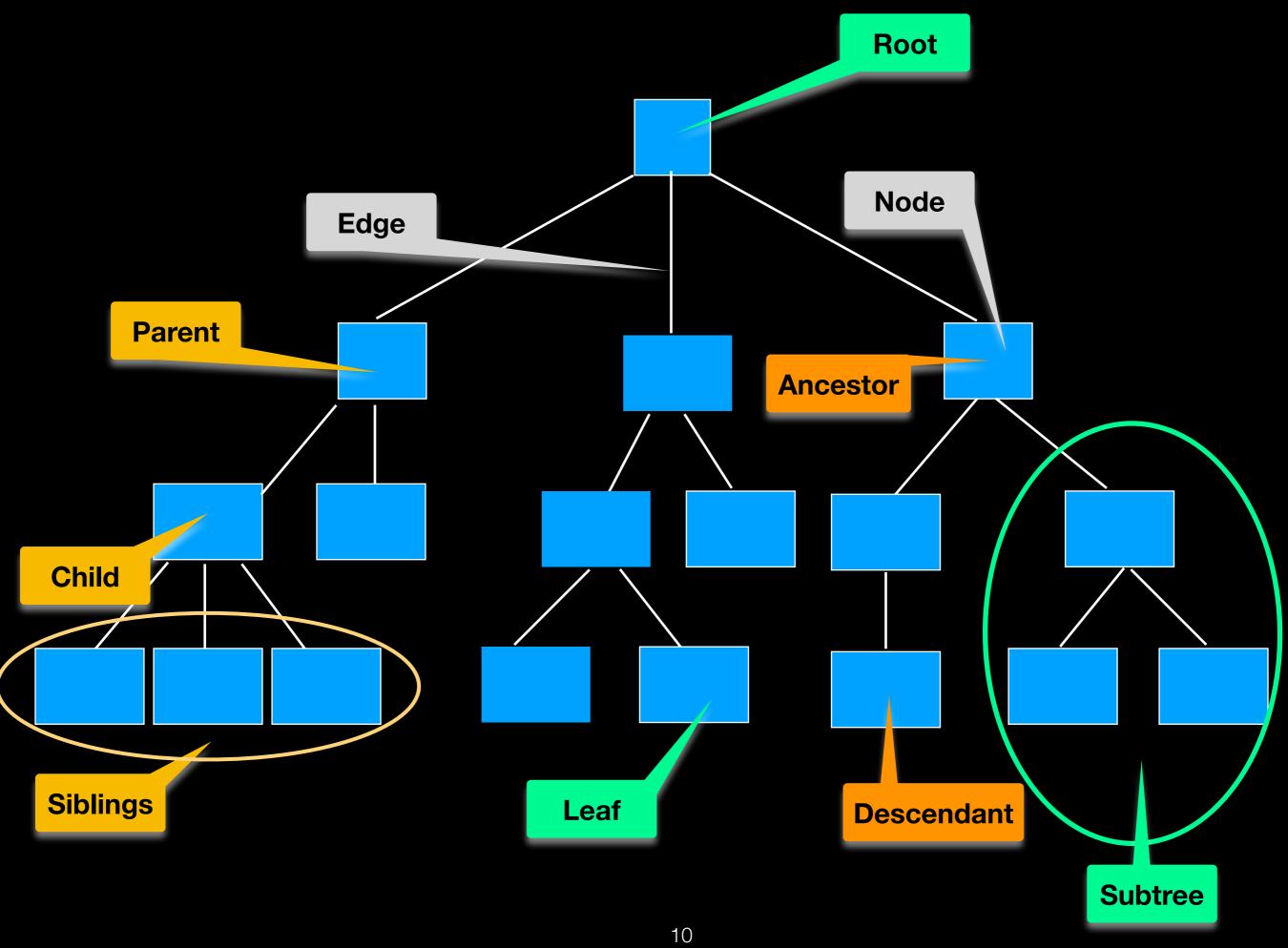
(E.g. family tree)

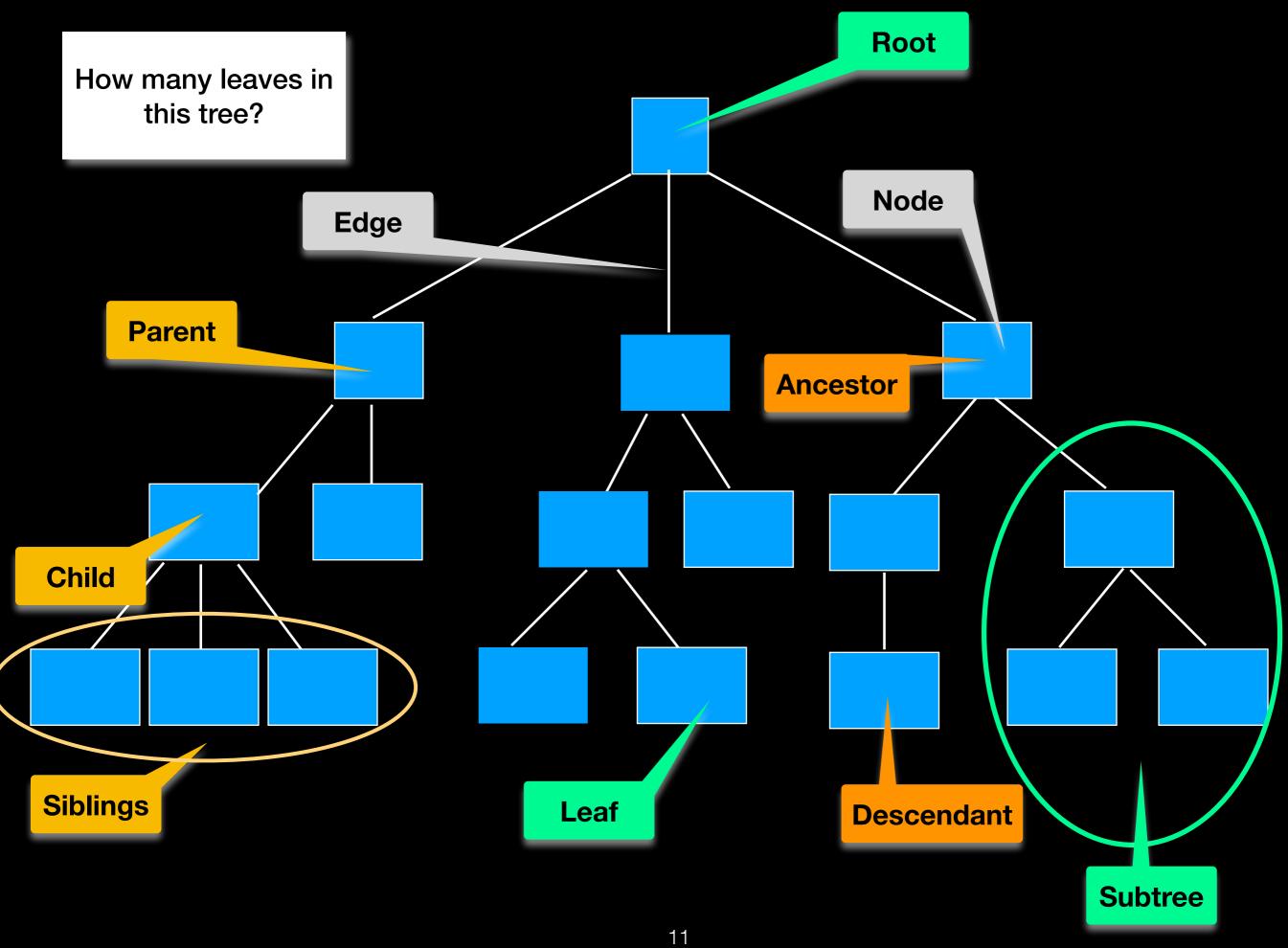


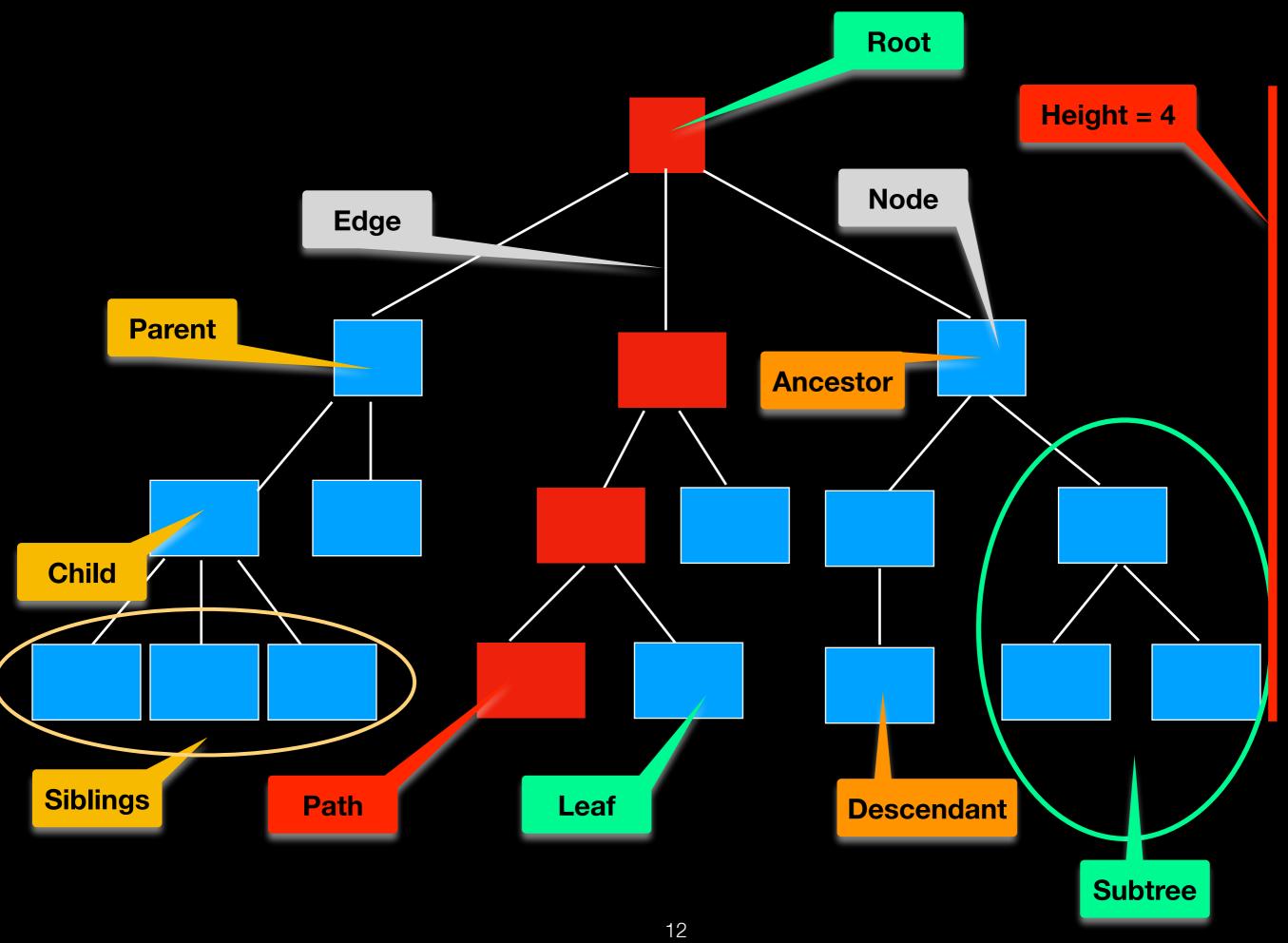










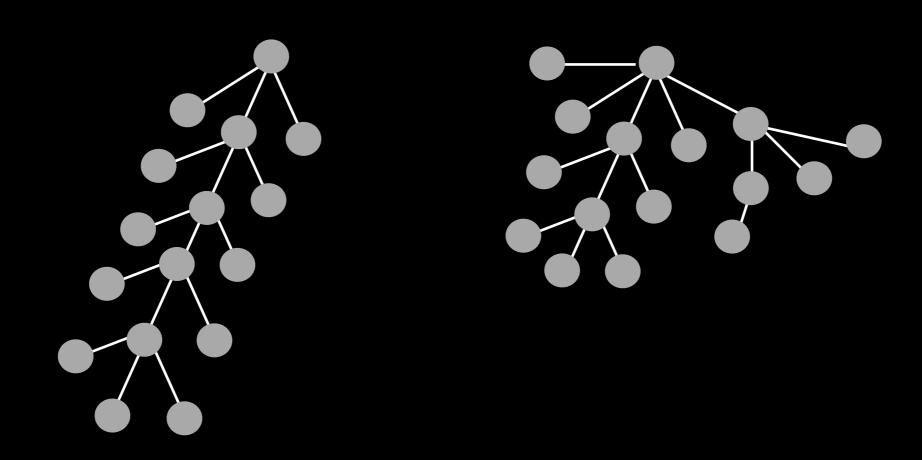


Path: a sequence of nodes  $c_1$ ,  $c_2$ , ...,  $c_k$  where  $c_{i+1}$  is a child of  $c_i$ .

Height: the <u>number of nodes</u> in the <u>longest</u> path <u>from the root to a leaf</u>.

Subtree: the subtree rooted at node *n* is the tree formed by taking *n* as the root node and including all its descendants.

#### Different shapes/structures

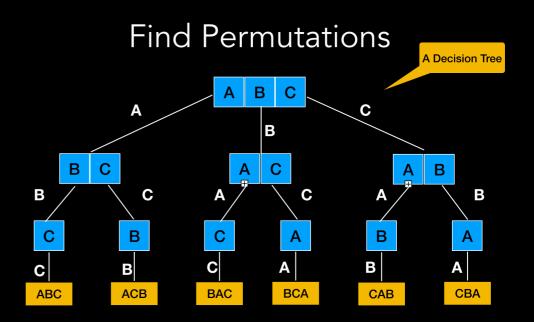


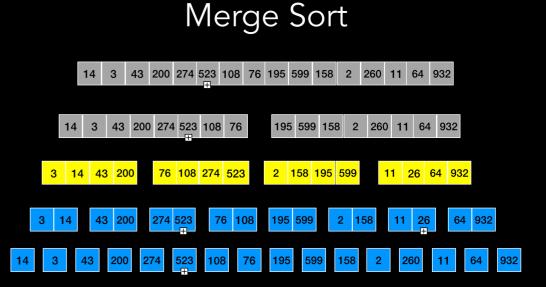
Both n = 16
Both 11 leaves
Different height

### We have already seen Trees!

Mostly as a "thinking tool"

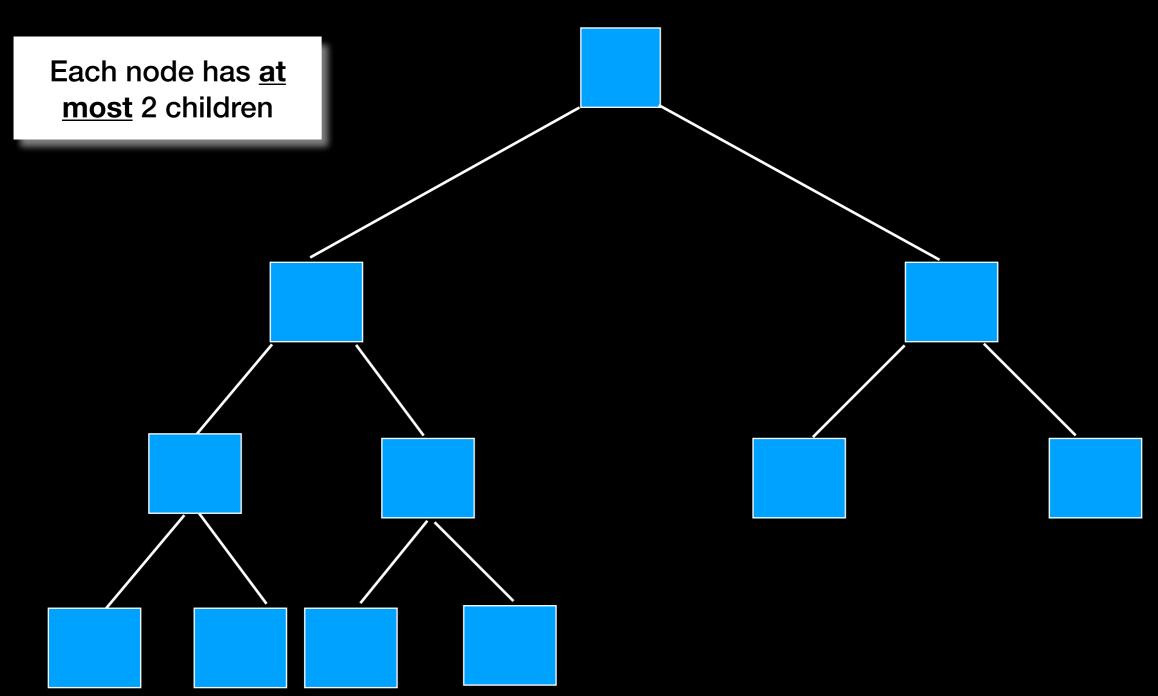
- Decision Trees
- Divide and Conquer



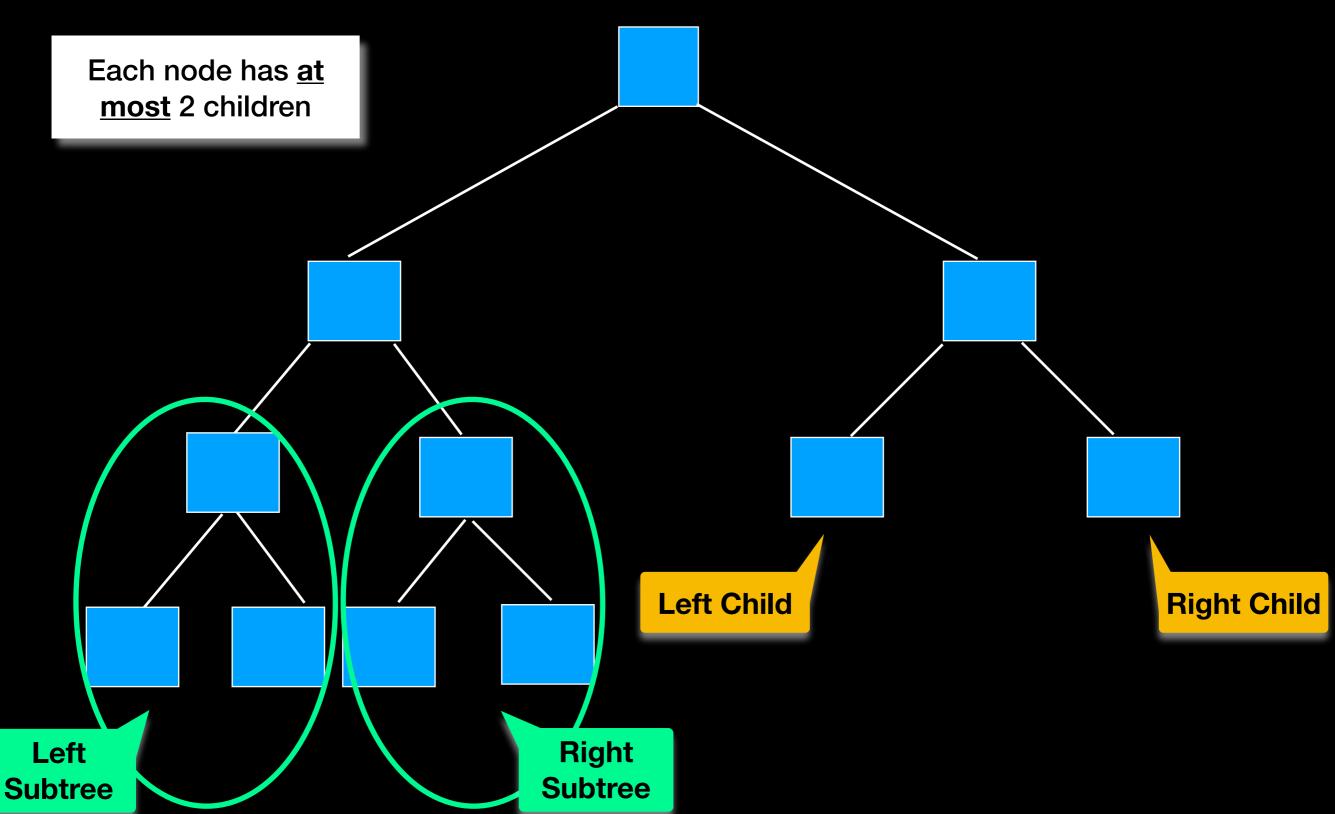


# Binary Tree ADT

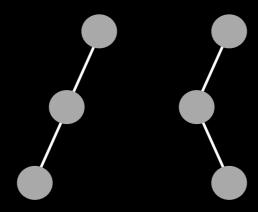
# BinaryTree



## BinaryTree



#### Different shapes/structures



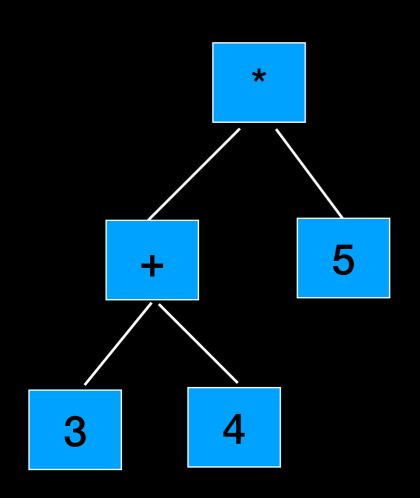
Both h = 3 and one leaf But different

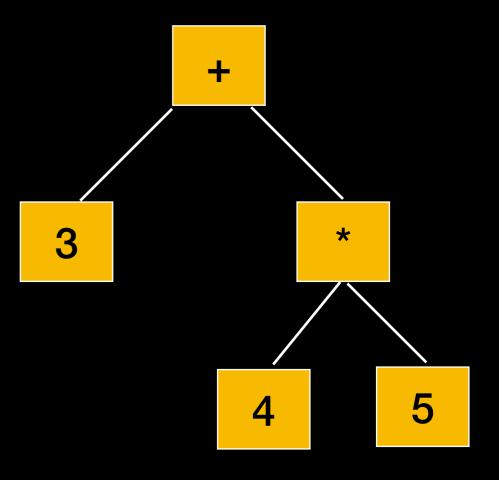
# Binary Tree Applications

# Algebraic Expressions

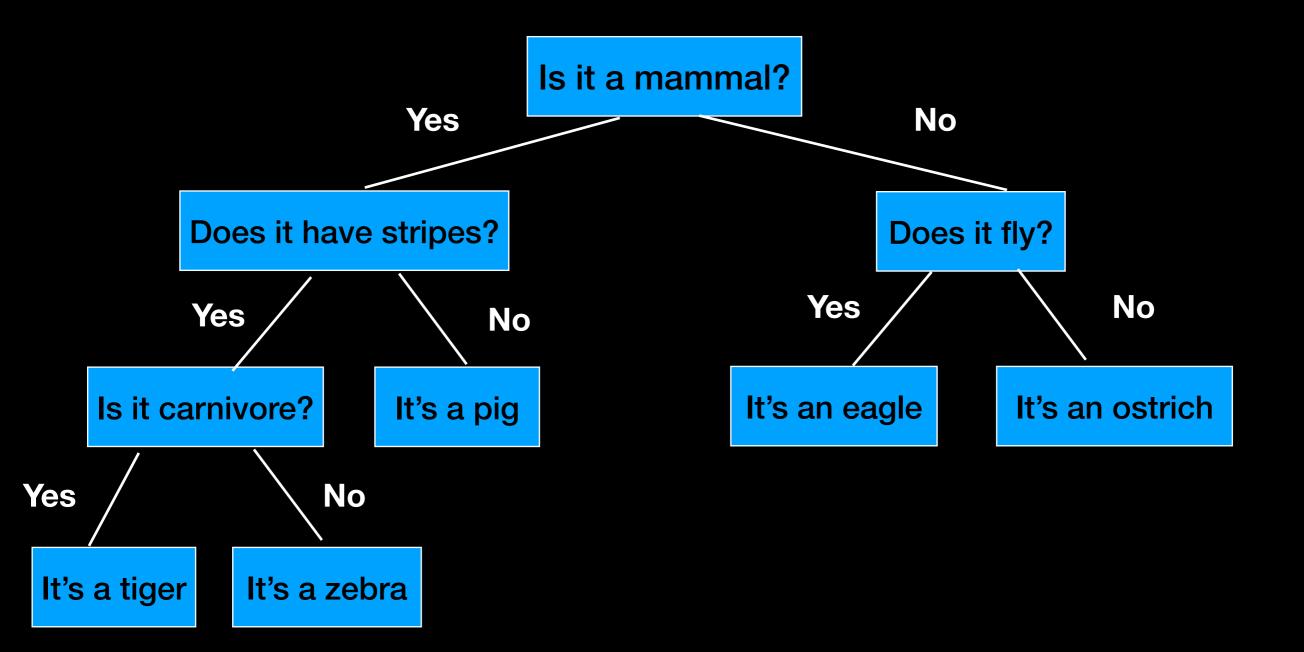
$$(3 + 4) * 5$$







### Decision Tree



#### Huffman Encoding Compression Algorithm (Huffman Encoding):

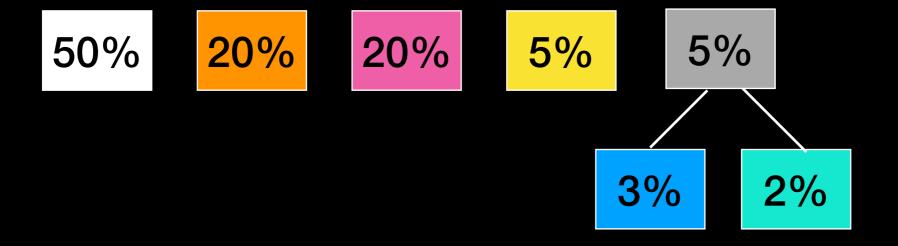
"In 1951, David A. Huffman for his MIT Information Theory class term paper hit upon the idea of using a <u>frequency-sorted binary tree</u> and quickly proved this method the most efficient."

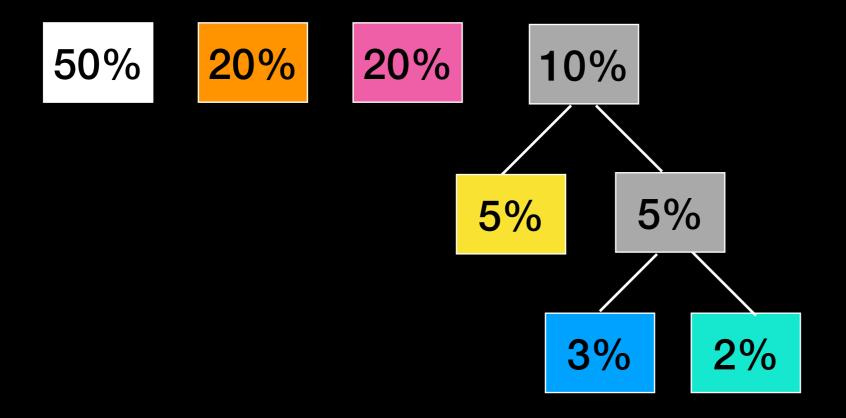
**IDEA:** Encode symbols into a sequence of bits s.t. most frequent symbols have shortest encoding

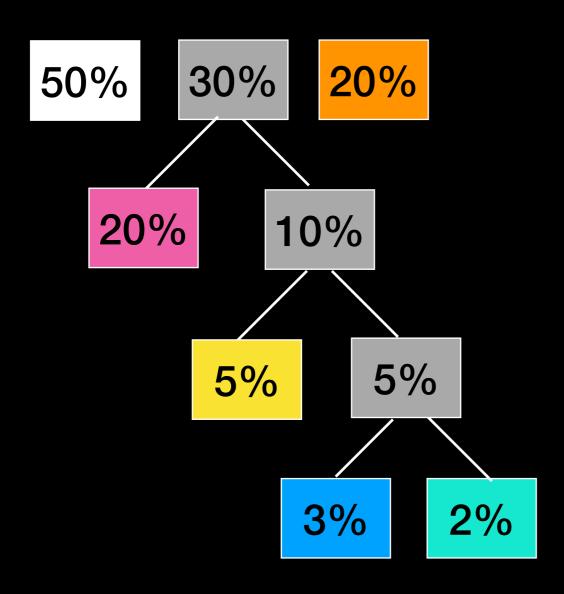
Not encryption but compression => use shortest code for most frequent symbols

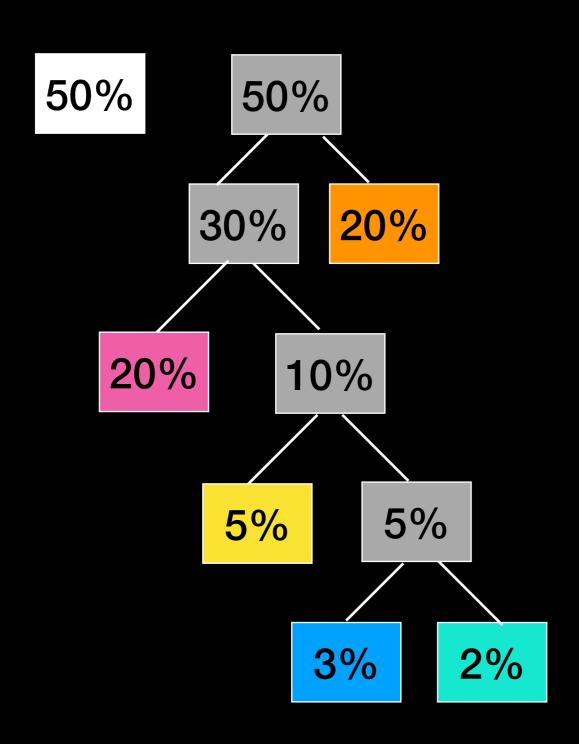
No codeword is prefix to another codeword (i.e. if a symbol is encoded as 00 no other codeword can start with 00)

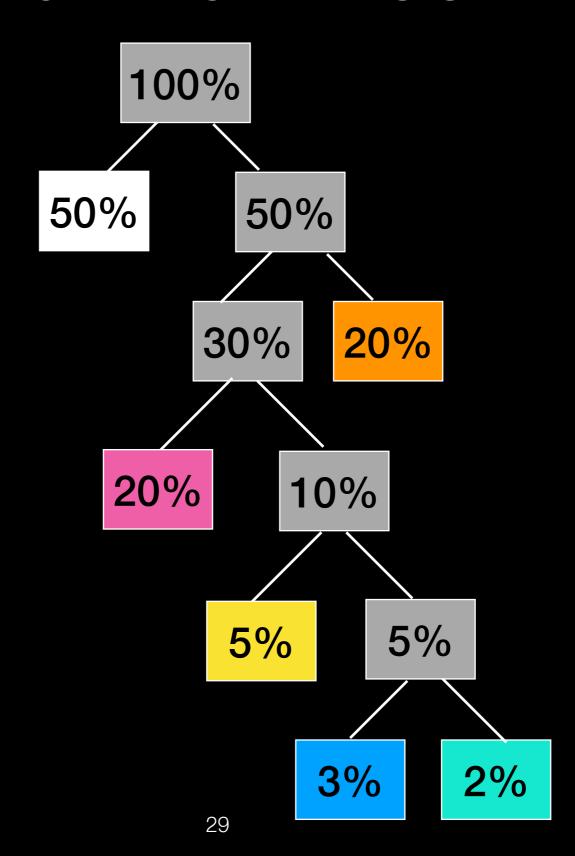
 50%
 20%
 5%
 3%
 2%

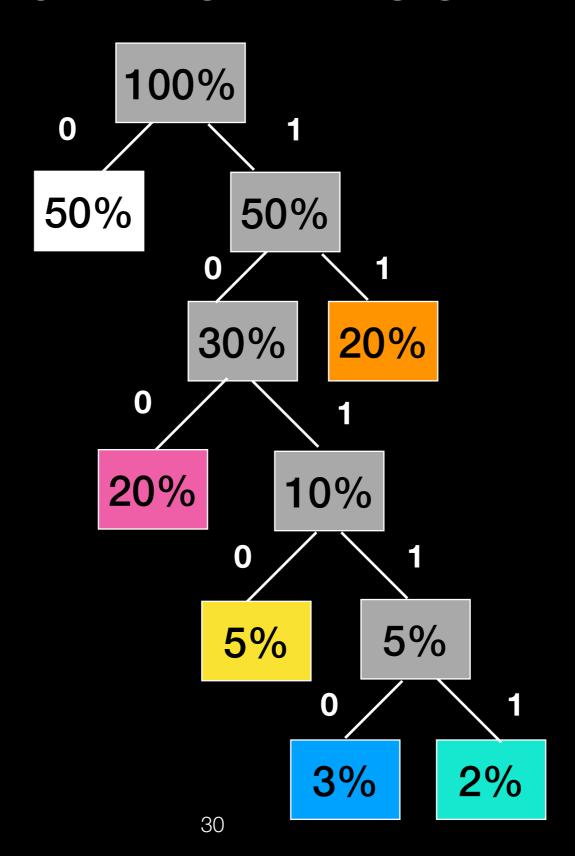




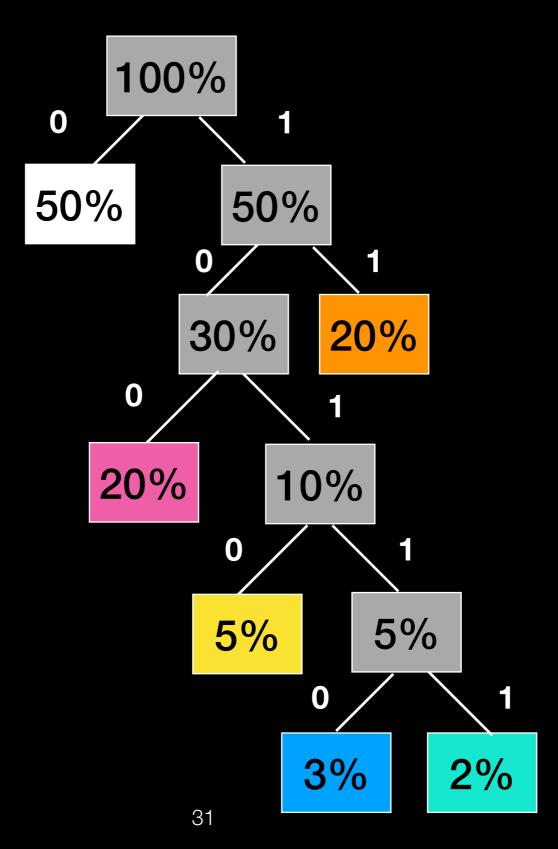










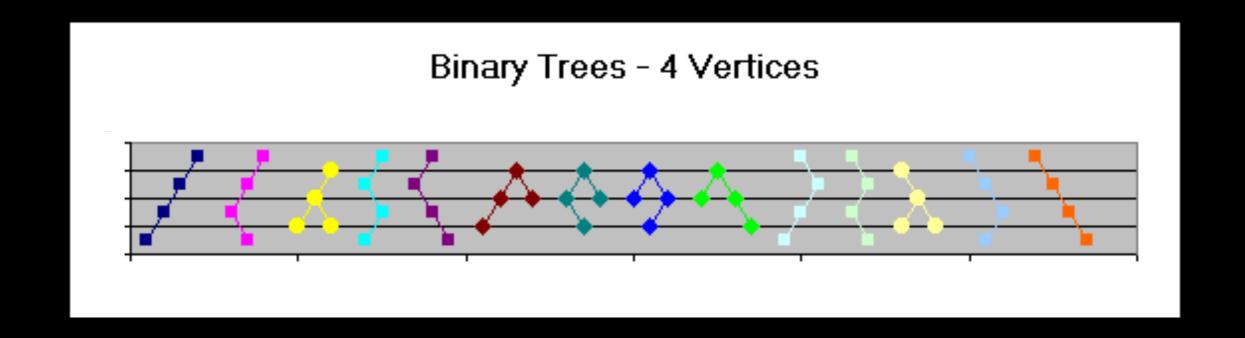


### Lecture Activity

Think about structure!

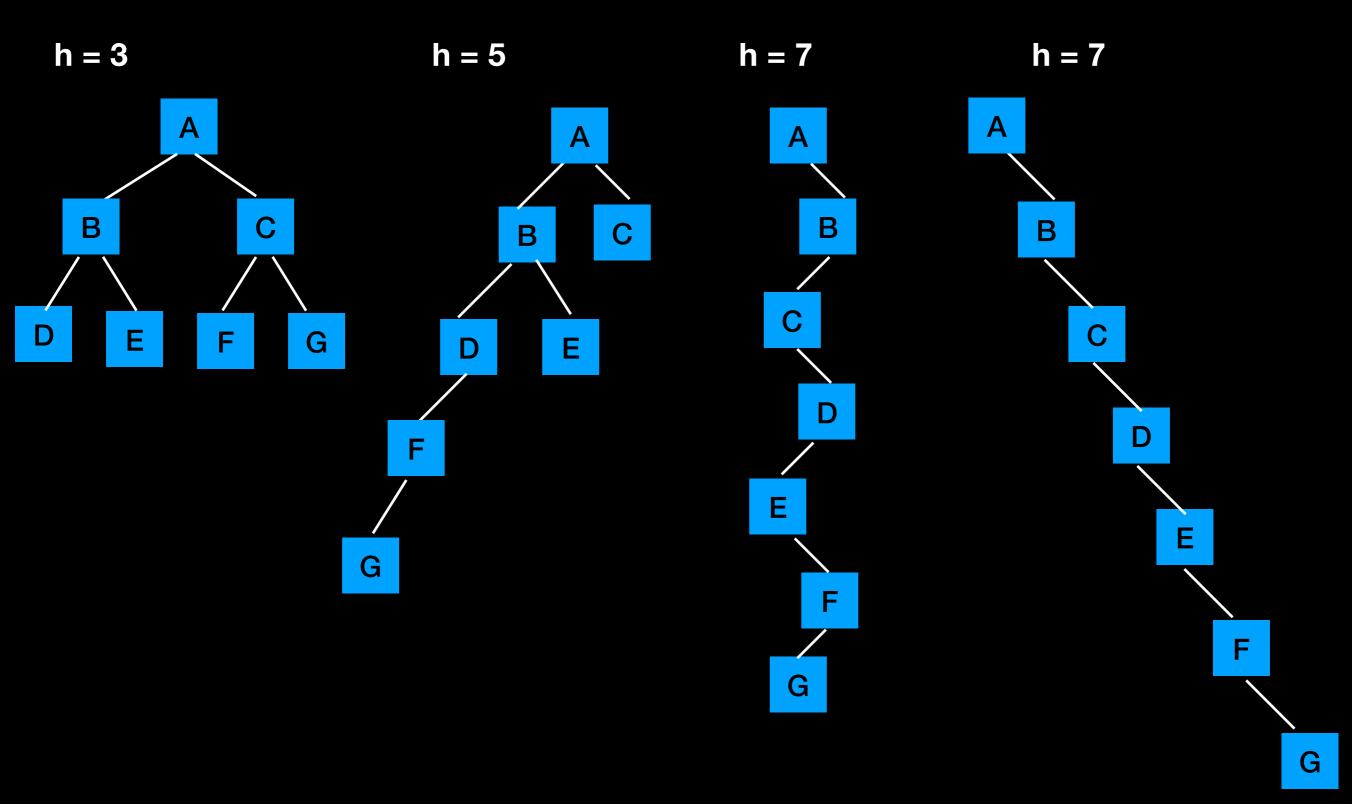
Draw ALL POSSIBLE binary trees with 4 nodes

Label each tree with its <u>height</u> and <u>number of leaves</u>.



IMGAGE FROM: <a href="http://www.durangobill.com/BinTrees.html">http://www.durangobill.com/BinTrees.html</a>

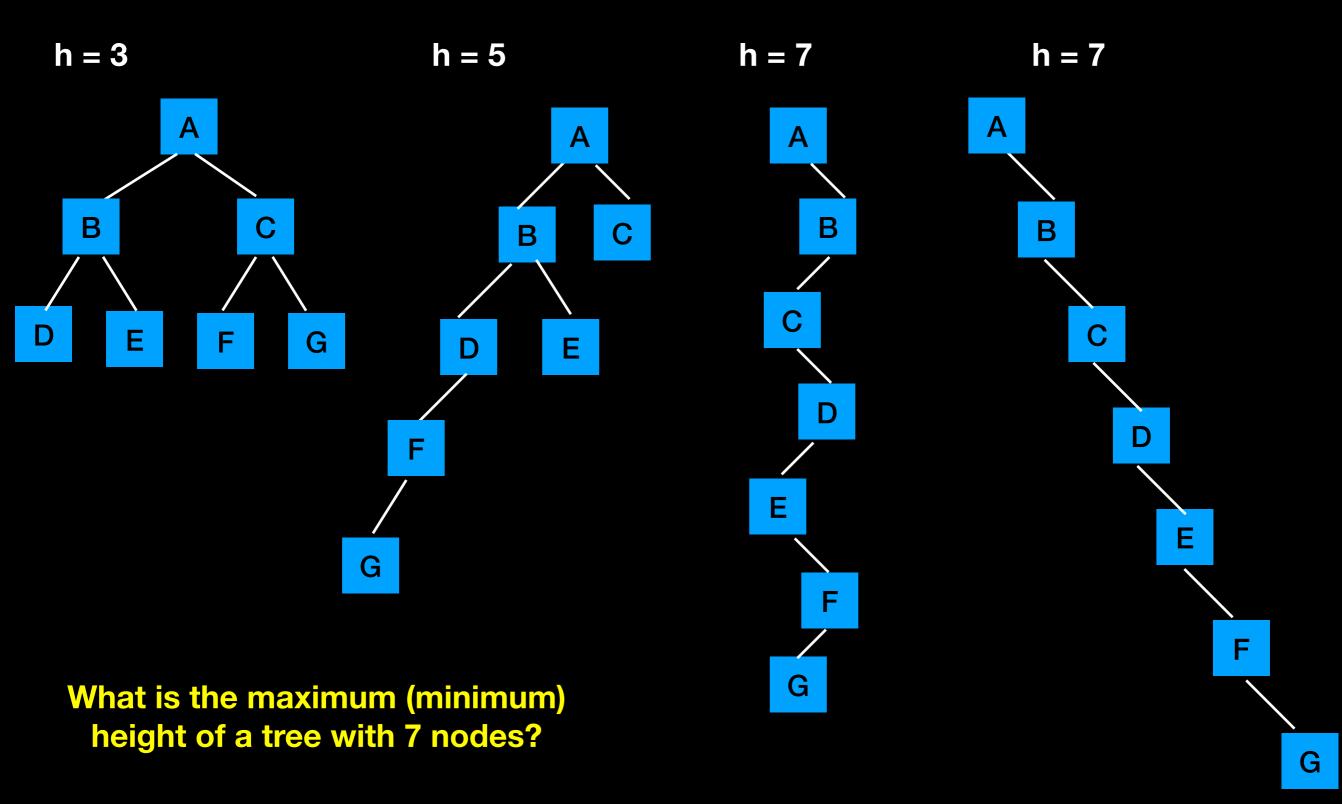
### Tree Structure



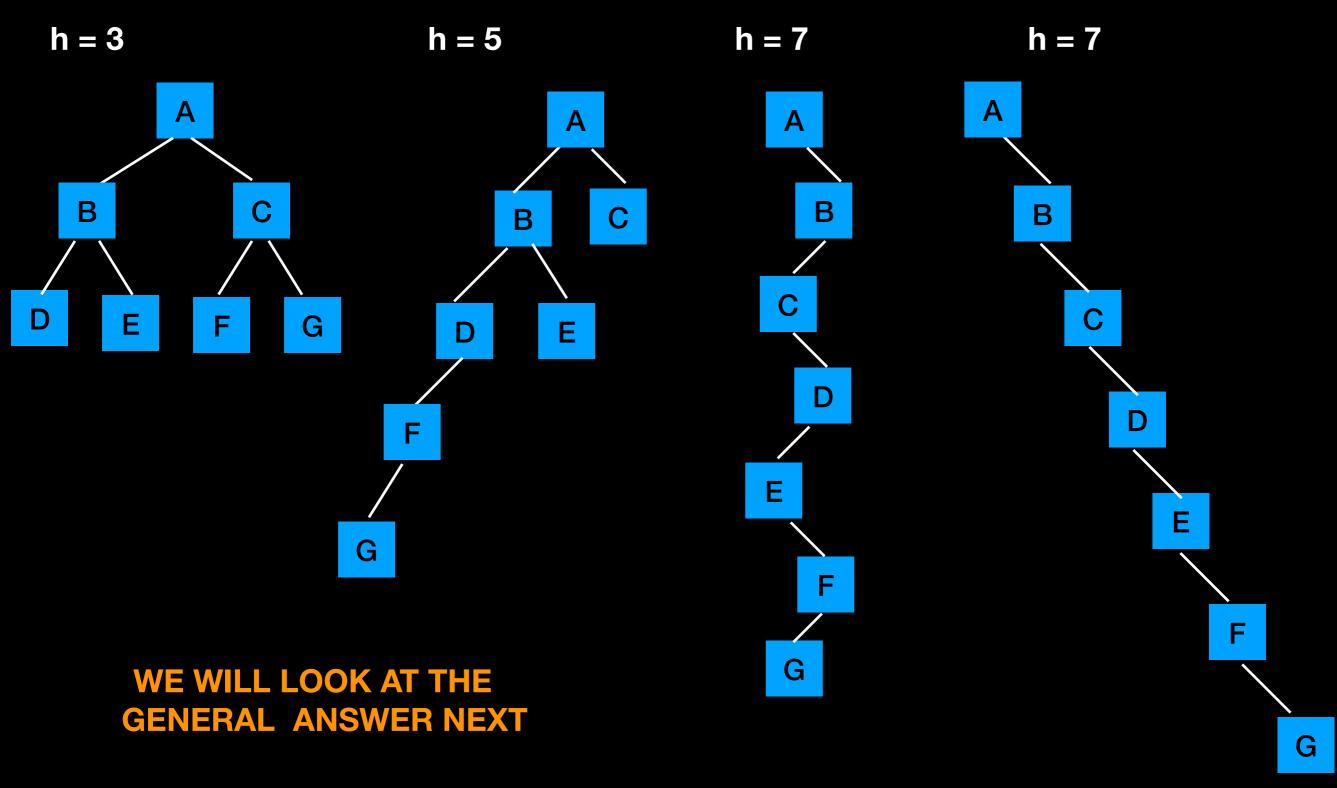
# Structure definitions may vary across different sources.

The following comes form your textbook and will be used in this course and on exams

### Tree Structure



## Tree Structure

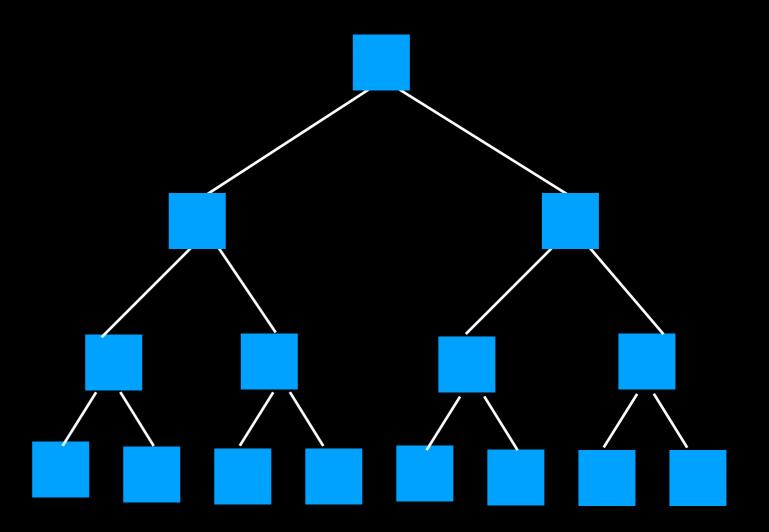


## Full Binary Tree

Every node that is not a leaf has exactly 2 children

Every node has left and right subtrees of same height

All leaves are at same level h



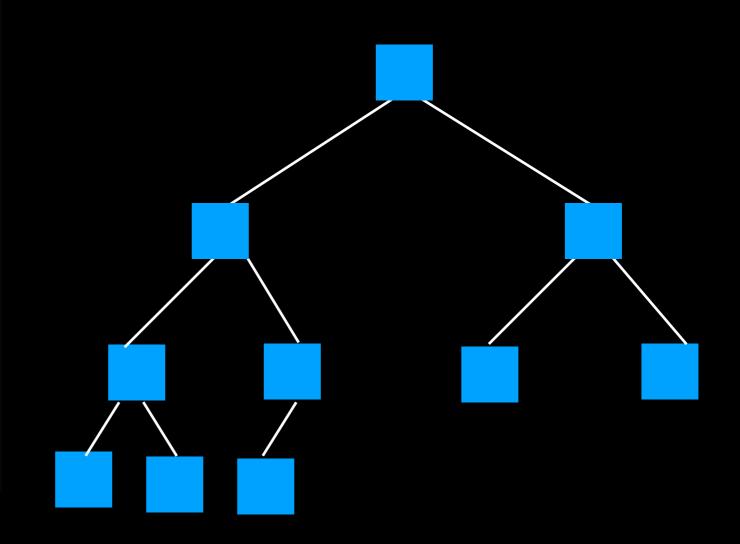
# Complete Binary Tree

A three that is full up to level h-1, with level h filled in from left to right

All nodes at levels *h-2* and above have exactly 2 children

When a node at level *h-1* has children, all nodes to its left have exactly 2 children

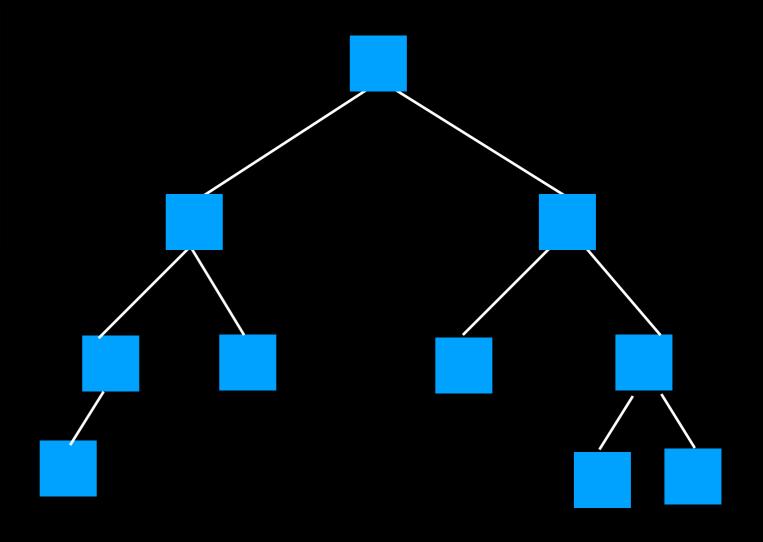
When a node at level *h-1* has one child, it is a left child



# (Height) Balanced Binary Tree

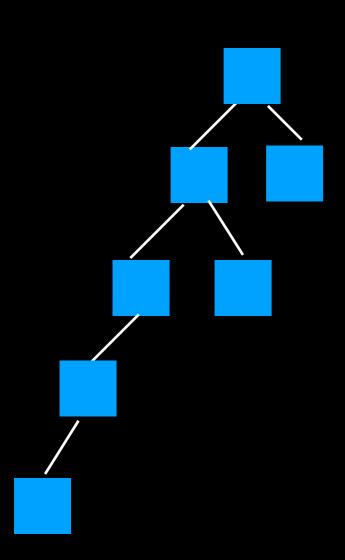
For any node, its left and right subtrees differ in height by no more than 1

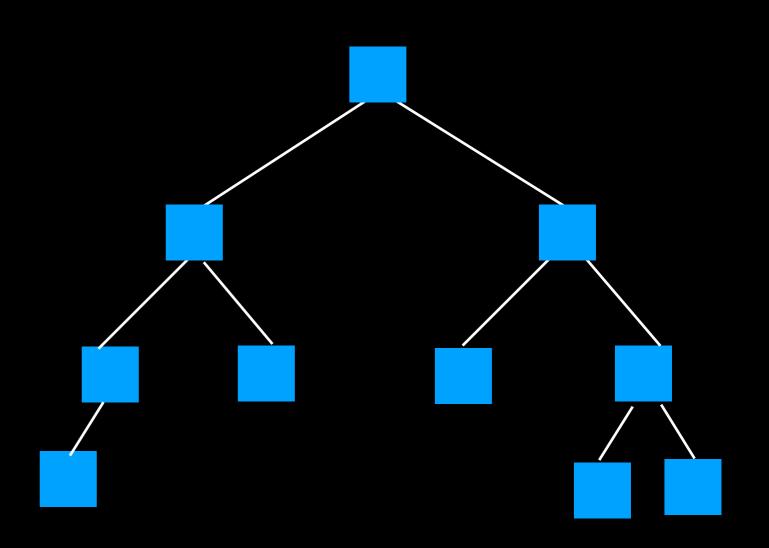
All paths from root to leaf differ in length by at most 1



### Unbalanced

### **Balanced**





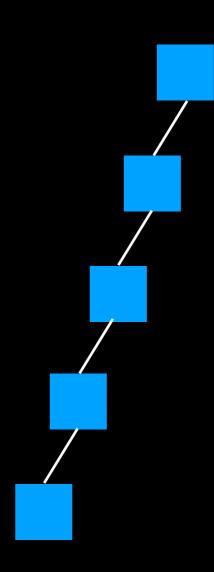
# Maximum Height

n nodes

every node 1 child

h = n

Essentially a chain

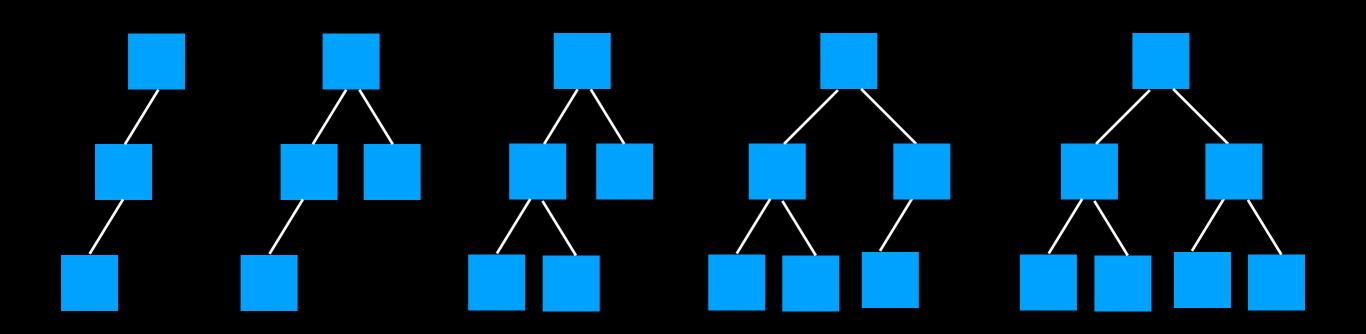


## Minimum Height

```
Binary tree of height h can have up to n = 2^h - 1
For example for h = 3, 1 + 2 + 4 = 7 = 2^3 - 1
h = \log_2(n+1) for a full binary tree
```

### For example:

```
1,000 nodes h \approx 10 (1,000 \approx 2^{10})
1,000,000 nodes h \approx 20 (10^6 \approx 2^{20})
```



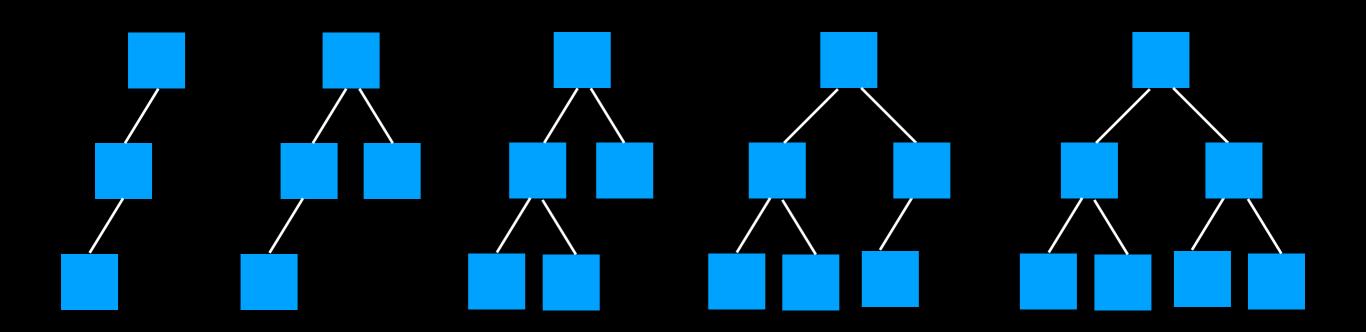
## Minimum Height

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### For example:

1,000 nodes  $h \approx 10 (1,000 \approx 2^{10})$ 1,000,000 nodes  $h \approx 20 (10^6 \approx 2^{20})$  Recall analysis of Divide and Conquer algorithms

Important when we will be looking for things in trees given some order!!!



### In a full tree:

### h n@level Total n

1 1 = 
$$2^0$$
 1 =  $2^1$  -1

$$2 = 2^1 \quad 3 = 2^2 - 1$$

$$3 \quad 4 = 2^2 \quad 7 = 2^3 - 1$$

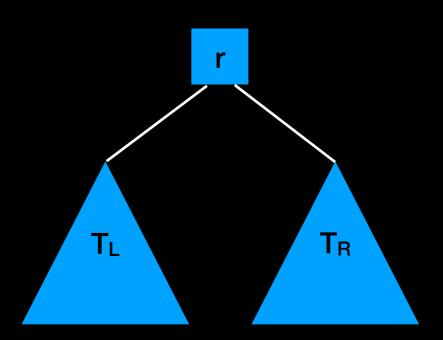
$$4 \quad 8 = 2^3 \quad 15 = 2^4 - 1$$

# Binary Tree Traversals

Visit (retrieve, print, modify ...) every node in the tree

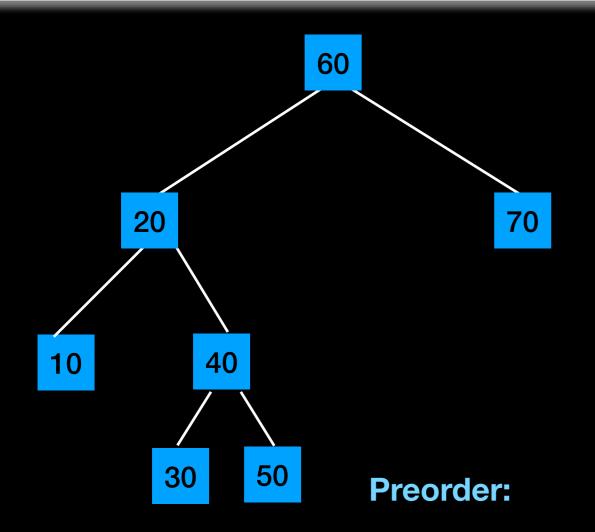
Essentially visit the root as well as it's subtrees

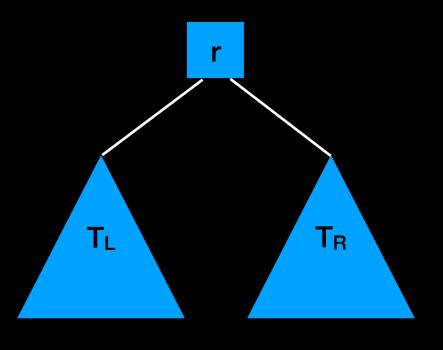
**Order matters!!!** 



```
Visit (retrieve, print, modify ...) every node in the tree <a href="Preorder Traversal">Preorder Traversal</a>:
```

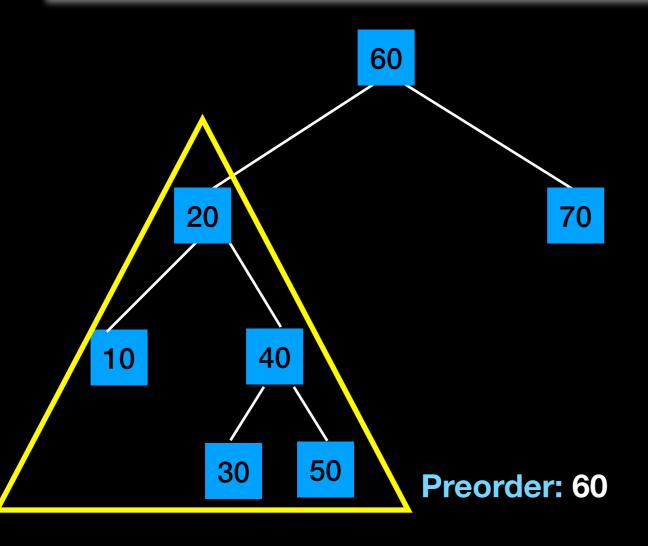
```
if (T is not empty) //implicit base case { visit the root r traverse T_L traverse T_R }
```

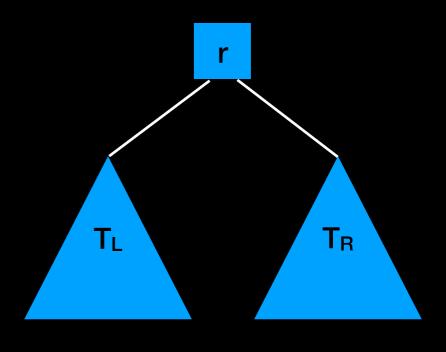




```
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```

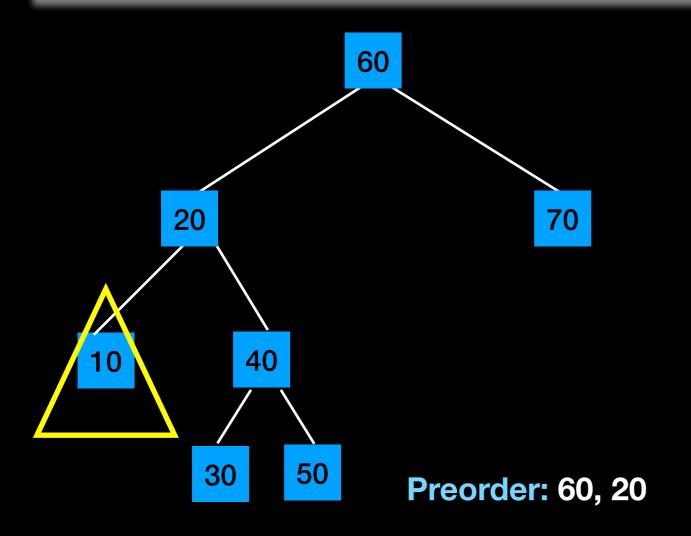
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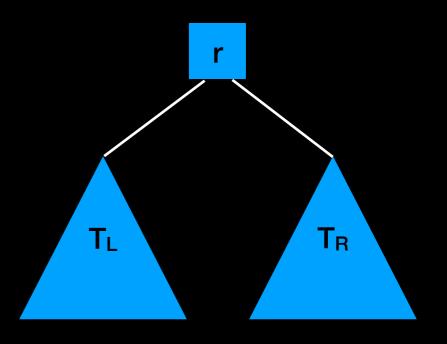




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Visit (retrieve, print, modify ...) every node in the tree <a href="Preorder Traversal">Preorder Traversal</a>:
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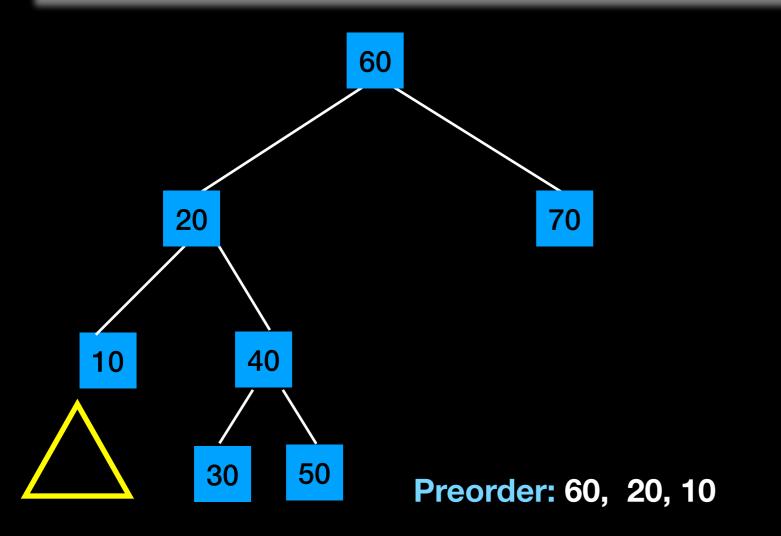
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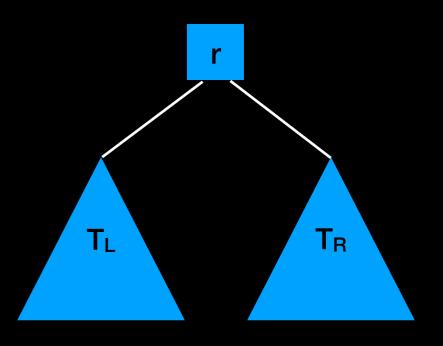




```
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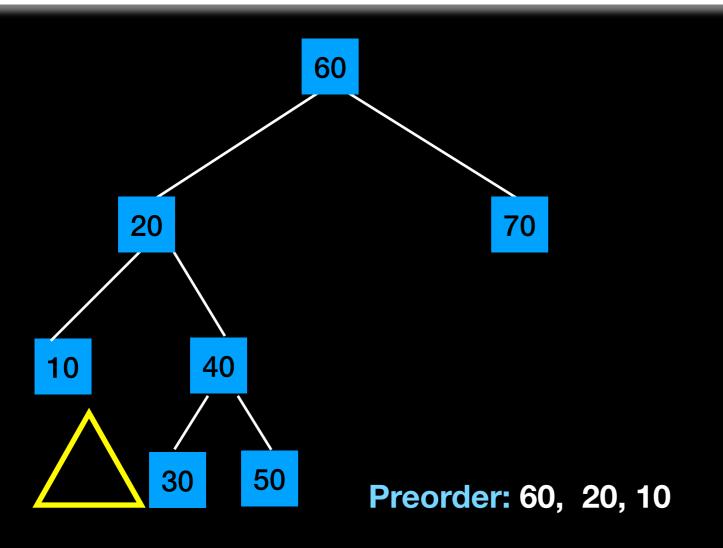
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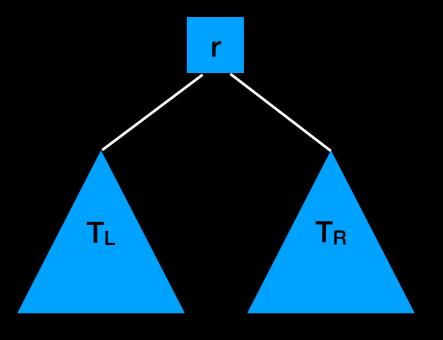




```
Visit (retrieve, print, modify ...) every node in the tree <a href="Preorder Traversal">Preorder Traversal</a>:
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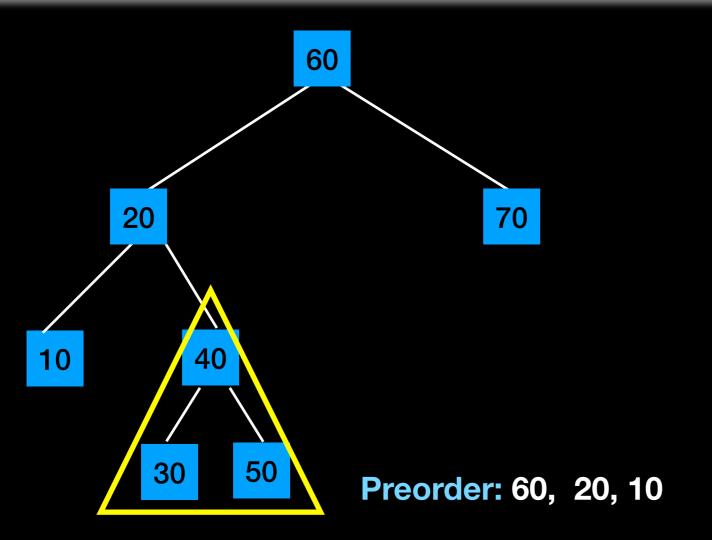
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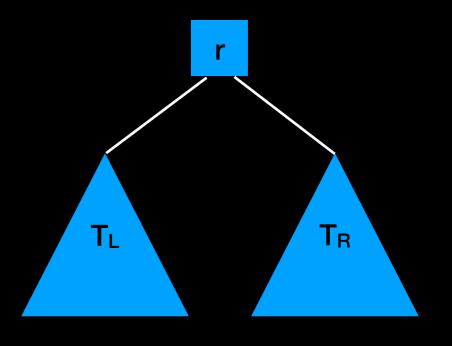




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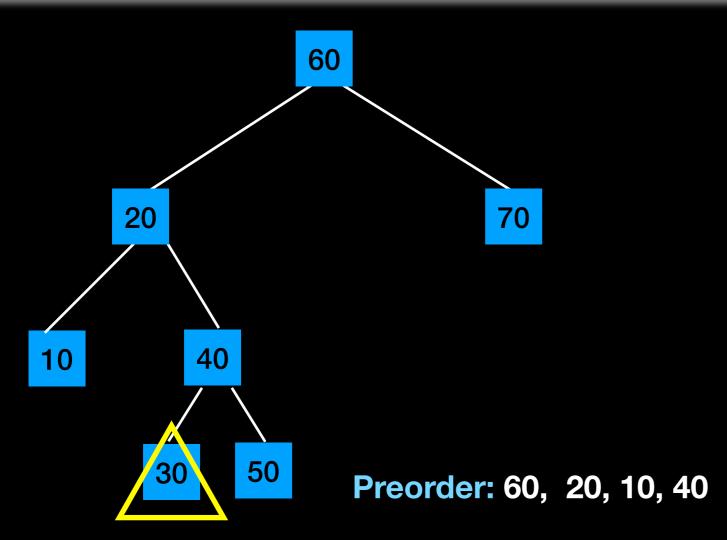
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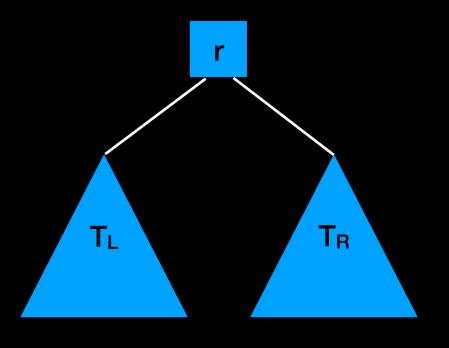




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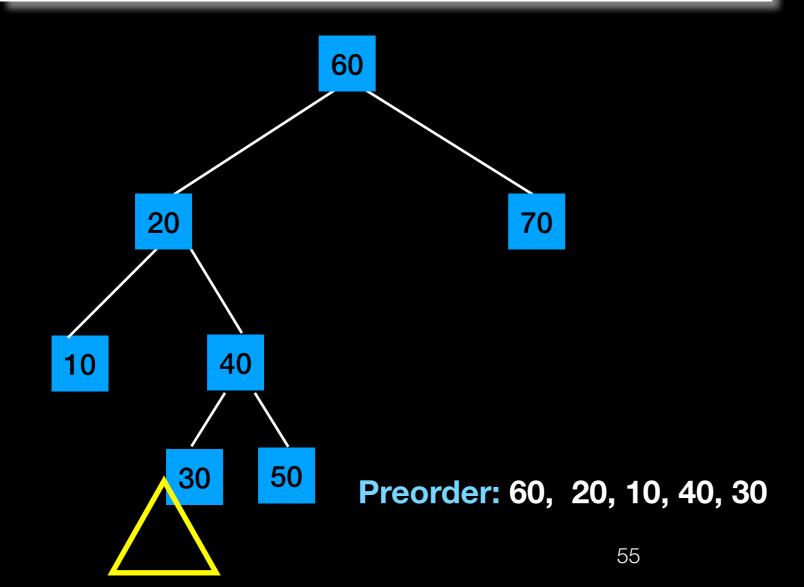
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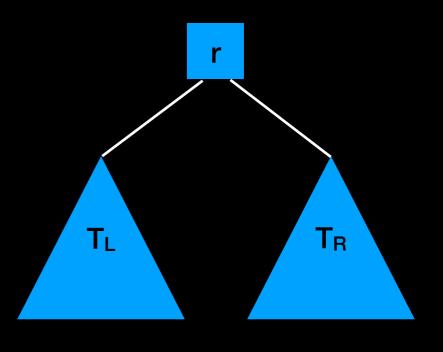




```
Visit (retrieve, print, modify ...) every node in the tree Preorder Traversal:
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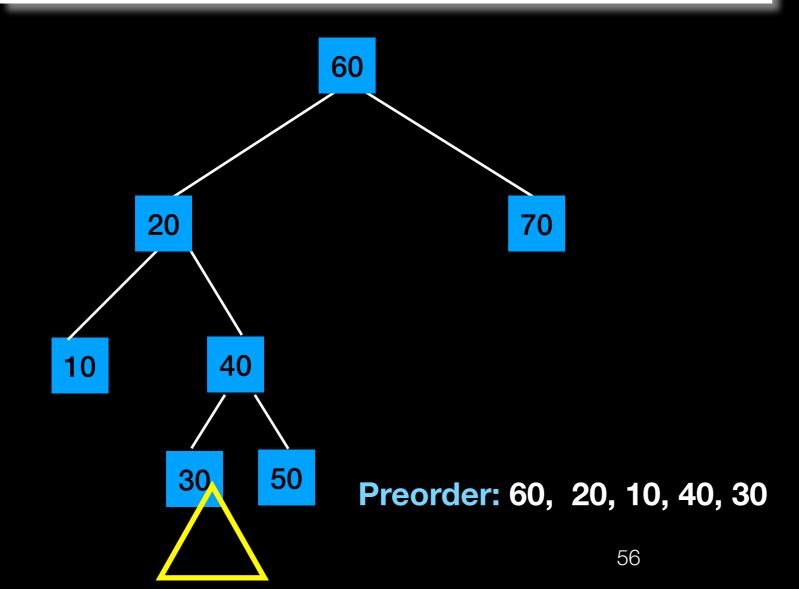
```
\label{eq:total_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_cont
```

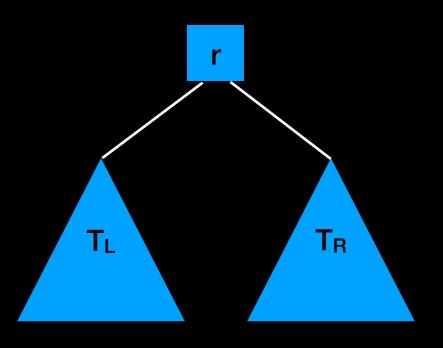




```
Visit (retrieve, print, modify ...) every node in the tree <a href="Preorder Traversal:">Preorder Traversal:</a>
```

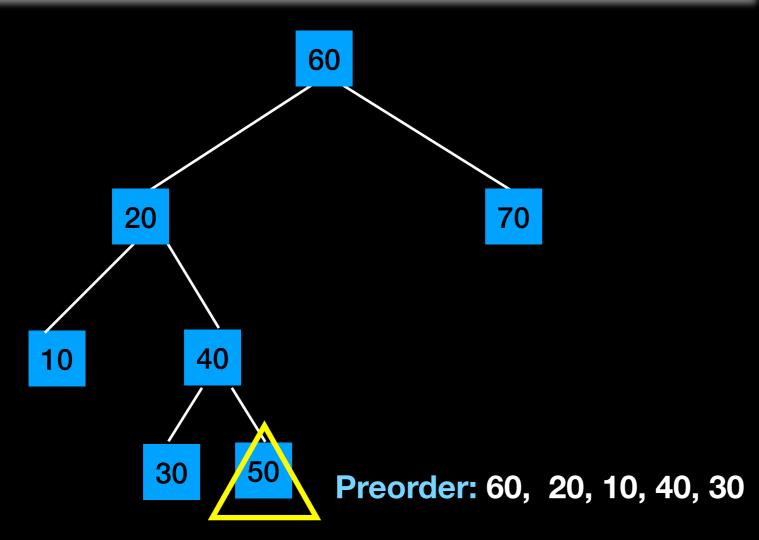
```
\label{eq:total_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_cont
```

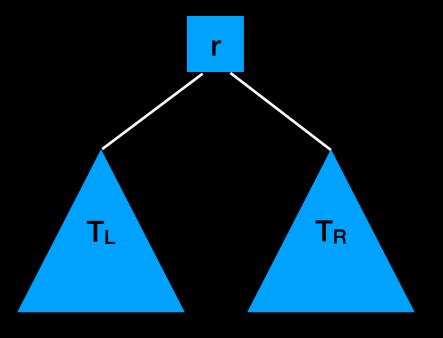




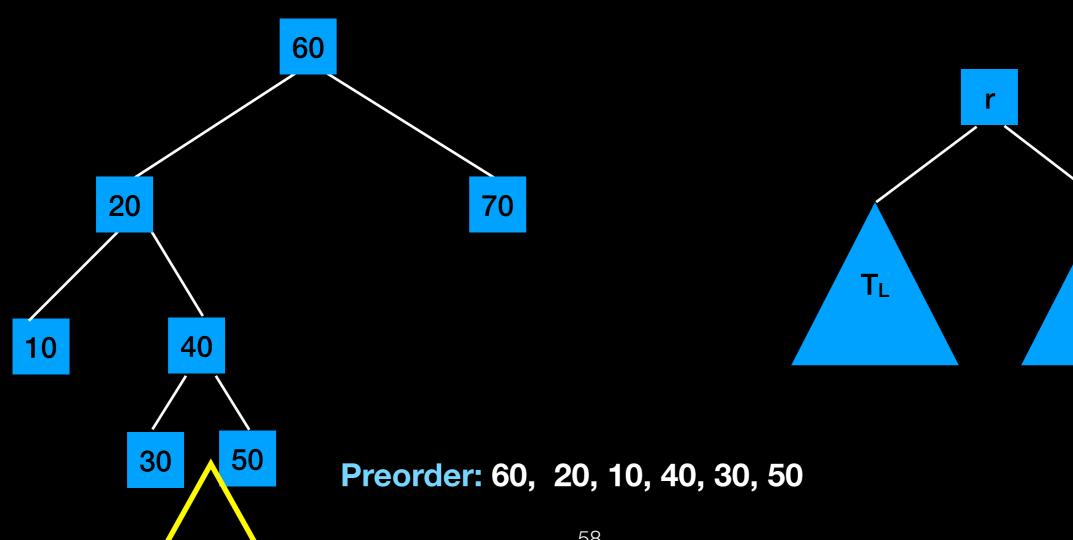
```
Visit (retrieve, print, modify ...) every node in the tree <a href="Preorder Traversal">Preorder Traversal</a>:
```

```
\label{eq:total_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_cont
```





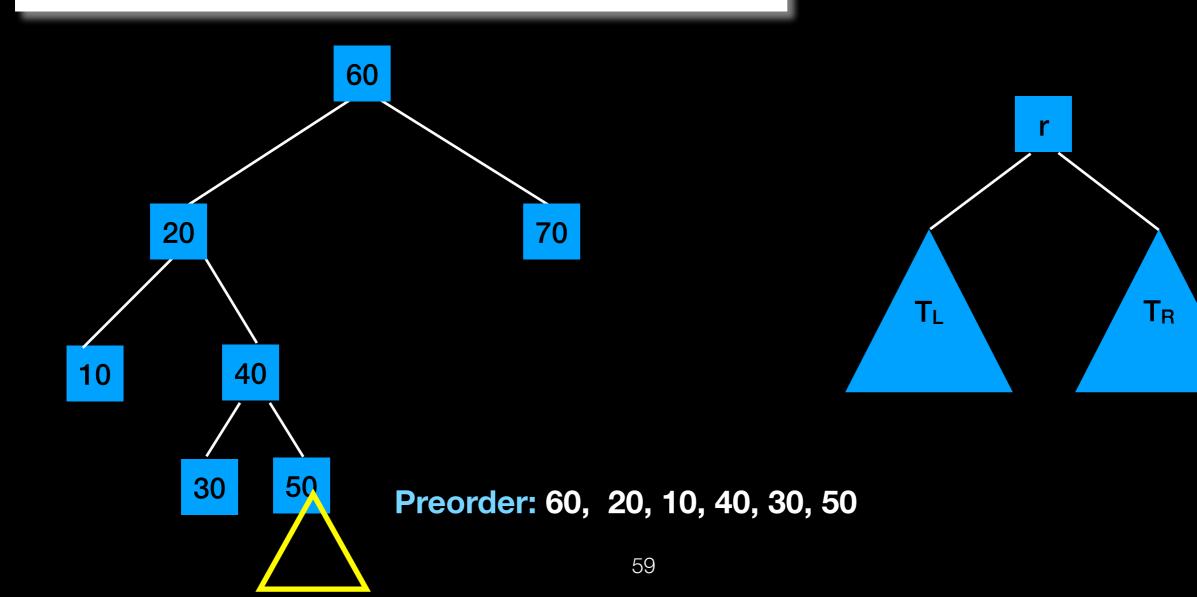
```
Visit (retrieve, print, modify ...) every node in the tree
Preorder Traversal:
if (T is not empty) //implicit base case
    visit the root r
    traverse T<sub>L</sub>
    traverse T<sub>R</sub>
```



 $T_{\mathsf{R}}$ 

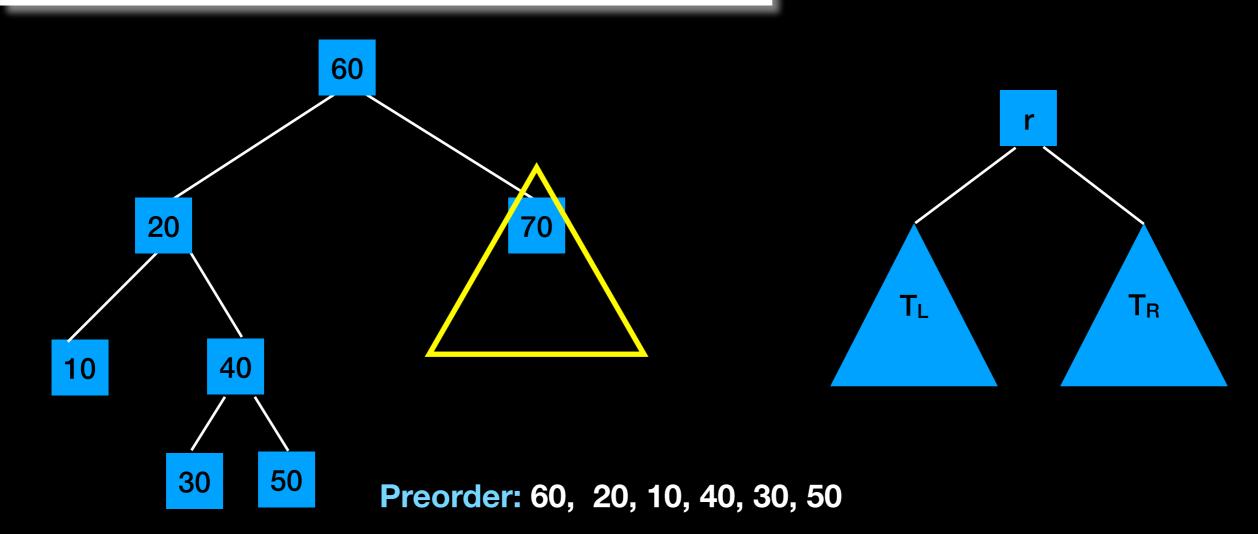
```
Visit (retrieve, print, modify ...) every node in the tree
Preorder Traversal:

if (T is not empty) //implicit base case
{
    visit the root r
    traverse T<sub>L</sub>
    traverse T<sub>R</sub>
```



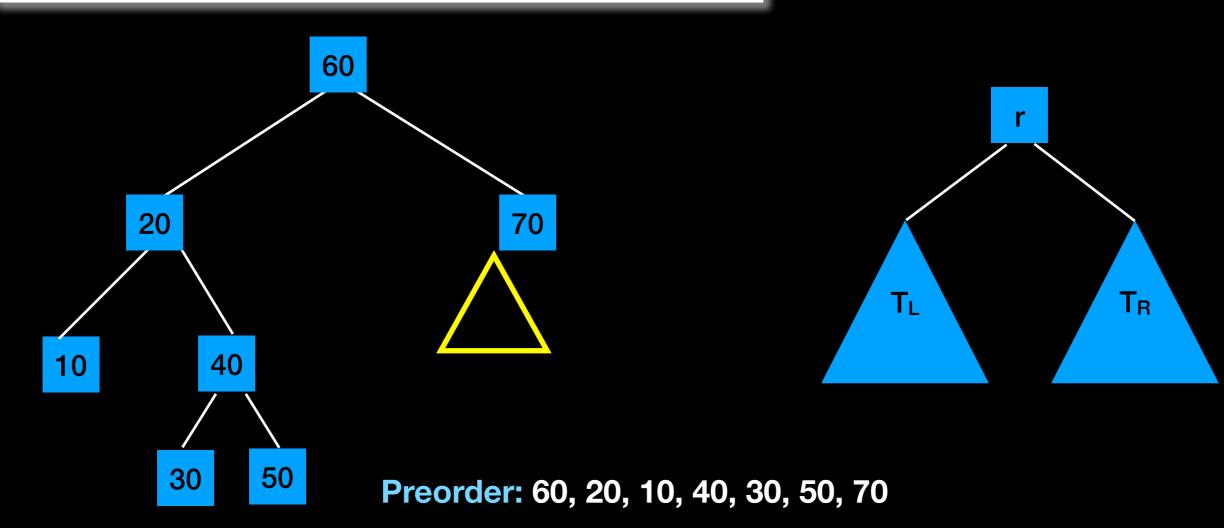
```
Visit (retrieve, print, modify ...) every node in the tree
Preorder Traversal:

if (T is not empty) //implicit base case
{
    visit the root r
    traverse T<sub>L</sub>
    traverse T<sub>R</sub>
```



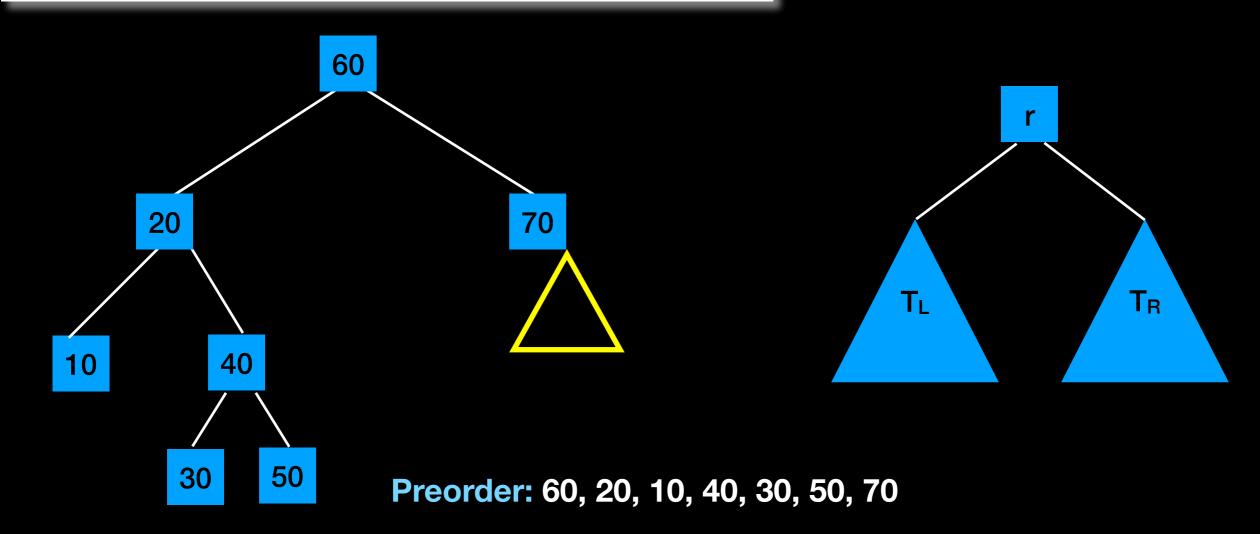
```
Visit (retrieve, print, modify ...) every node in the tree <a href="Preorder Traversal:">Preorder Traversal:</a>
```

```
\label{eq:total_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_cont
```



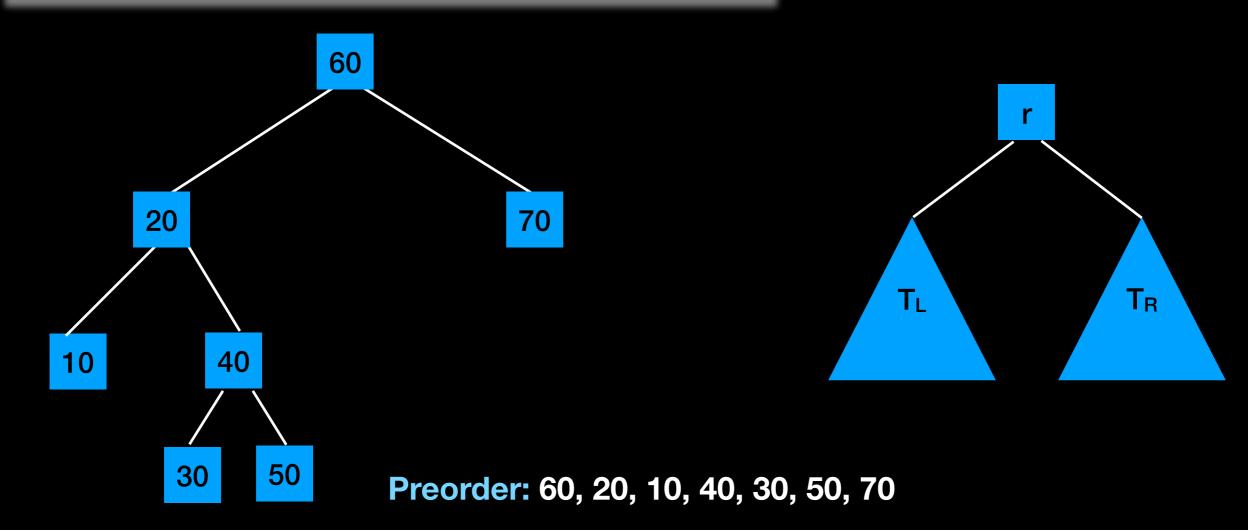
```
Visit (retrieve, print, modify ...) every node in the tree
Preorder Traversal:

if (T is not empty) //implicit base case
{
    visit the root r
    traverse T<sub>L</sub>
    traverse T<sub>R</sub>
```



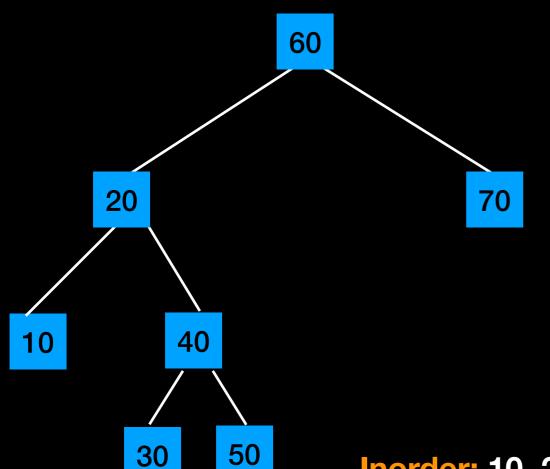
```
Visit (retrieve, print, modify ...) every node in the tree
Preorder Traversal:

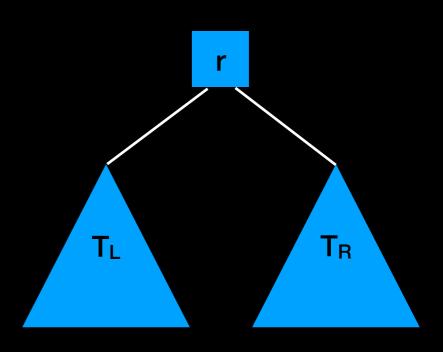
if (T is not empty) //implicit base case
{
    visit the root r
    traverse TL
    traverse TR
}
```



```
Visit (retrieve, print, modify ...) every node in the tree Inorder Traversal:
```

```
\label{eq:traverse} \begin{tabular}{ll} \textbf{($T$ is not empty) //implicit base case} \\ \textbf{(} \\ \textbf{traverse $T_L$} \\ \textbf{visit the root r} \\ \textbf{traverse $T_R$} \\ \end{tabular}
```

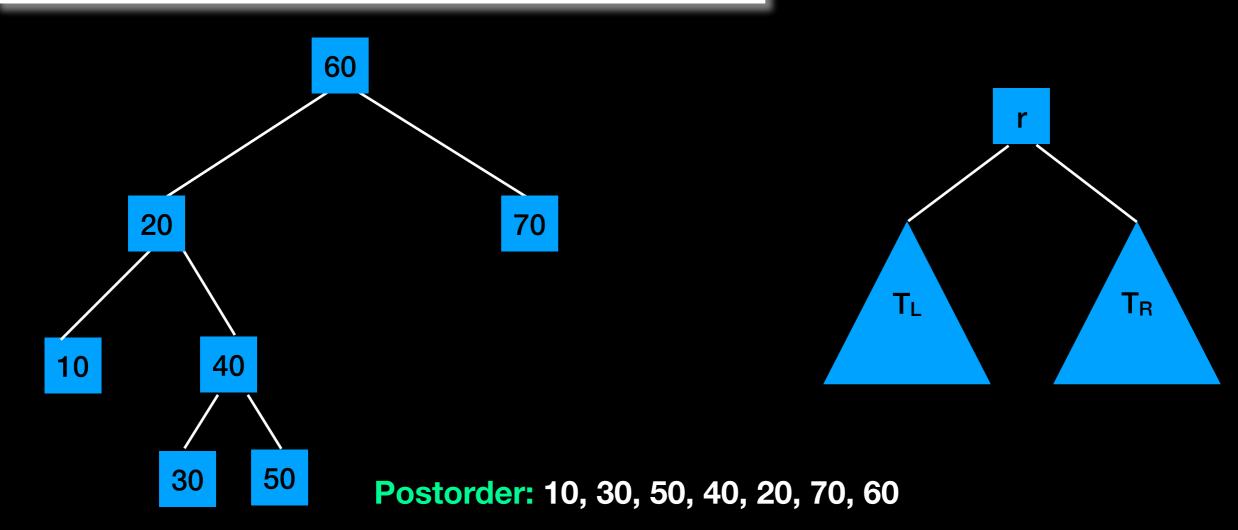




**Inorder:** 10, 20, 30, 40, 50, 60, 70

```
Visit (retrieve, print, modify ...) every node in the tree
Postorder Traversal:

if (T is not empty) //implicit base case
{
    traverse T<sub>L</sub>
    traverse T<sub>R</sub>
    visit the root r
}
```



? ? ?

BinaryTree ADT Operations

????

```
#ifndef BinaryTree_H_
#define BinaryTree H
template<typename ItemType>
class BinaryTree
public:
    BinaryTree(); // constructor
    BinaryTree(const BinaryTree<ItemType>& tree); // copy constructor
    ~BinaryTree(); // destructor
    bool isEmpty() const;
    size t getHeight() const;
    size t getNumberOfNodes() const;
    void add(const ItemType& new item);
    void remove(const ItemType& new item);
    ItemType find(const ItemType& item) const;
    void clear();
    void preorderTraverse(Visitor<ItemType>& visit) const;
    void inorderTraverse(Visitor<ItemType>& visit) const;
    void postorderTraverse(Visitor<ItemType>& visit) const;
    BinaryTree& operator= (const BinaryTree<ItemType>& rhs);
private: // implementation details here
}; // end BST
#include "BinaryTree.cpp"
#endif // BinaryTree H
```

```
#ifndef BinaryTree_H_
#define BinaryTree H
template<typename ItemType>
class BinaryTree
public:
    BinaryTree(); // constructor
    BinaryTree(const BinaryTree<ItemType>& tree); // copy constructor
    ~BinaryTree(); // destructor
    bool isEmpty() const;
    size t getHeight() const;
                                                             How might you add
    size t getNumberOfNodes() const;
    void add(const ItemType& new item);
                                                      Will determine the tree structure
    void remove(const ItemType& new item);
    ItemType find(const ItemType& item) const;
    void clear();
    void preorderTraverse(Visitor<ItemType>& visit) const;
    void inorderTraverse(Visitor<ItemType>& visit) const;
    void postorderTraverse(Visitor<ItemType>& visit) const;
    BinaryTree& operator= (const BinaryTre < ItemType>& rhs);
private: // implementation details here
                                                    This is an abstract class from which
}; // end BST
                                                      we can derive desired behavior
                                                       keeping the traversal general
#include "BinaryTree.cpp"
#endif // BinaryTree H
```

## Considerations

### Recall

### Remember our Bag ADT?

- Array implementation
- Linked Chain implementation
- Assume no duplicates

Find an element: O(n)

Remove: Find element and if there remove it O(n)

Add: Check if element is there and if not add it O(n)

### Recall

### Remember our Bag ADT?

- Array implementation
- Linked Chain implementation
- Assume no duplicates

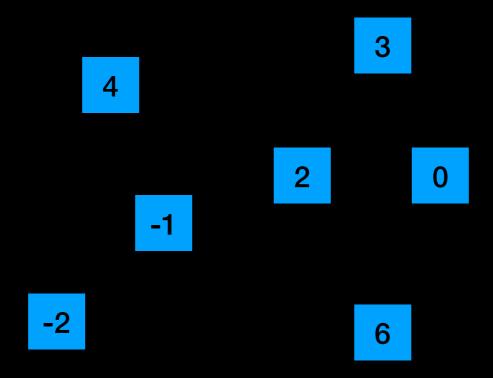


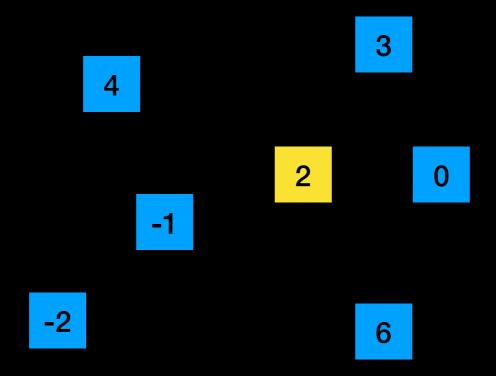
Find an element: O(n)

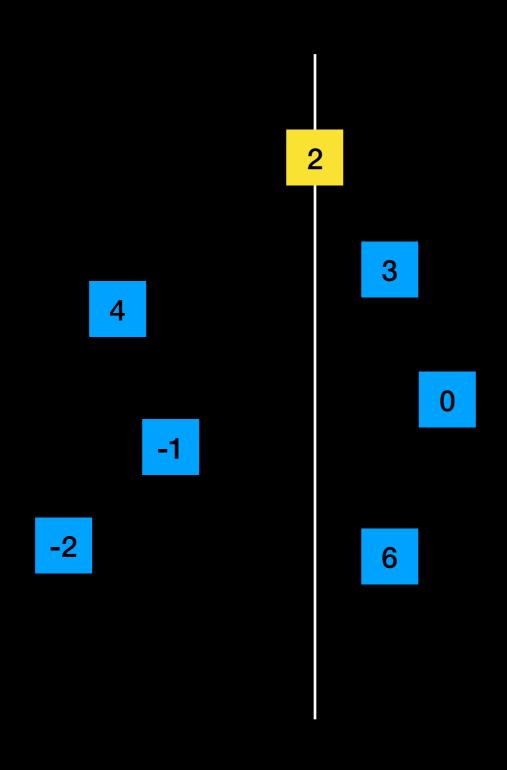
Remove: Find element and if there remove it O(n)

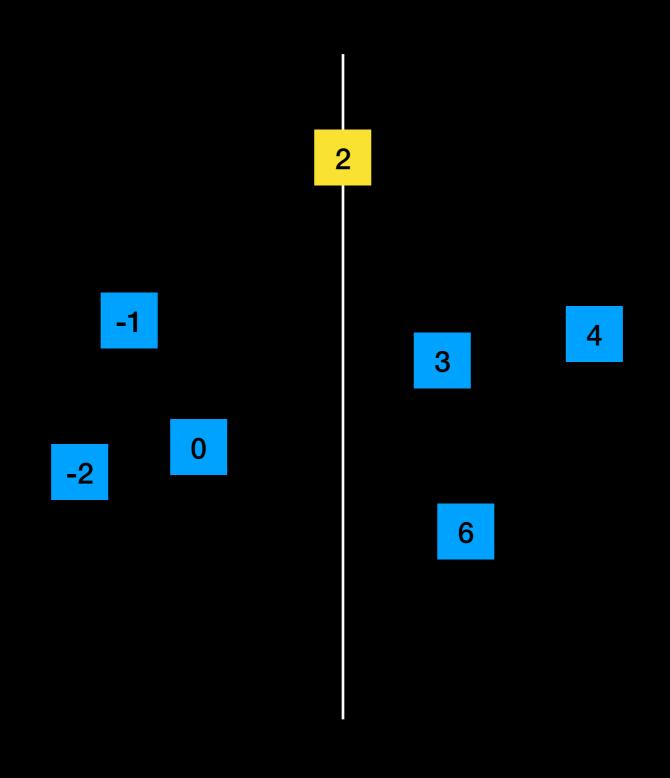
Add: Check if element is there and if not add it O(n)

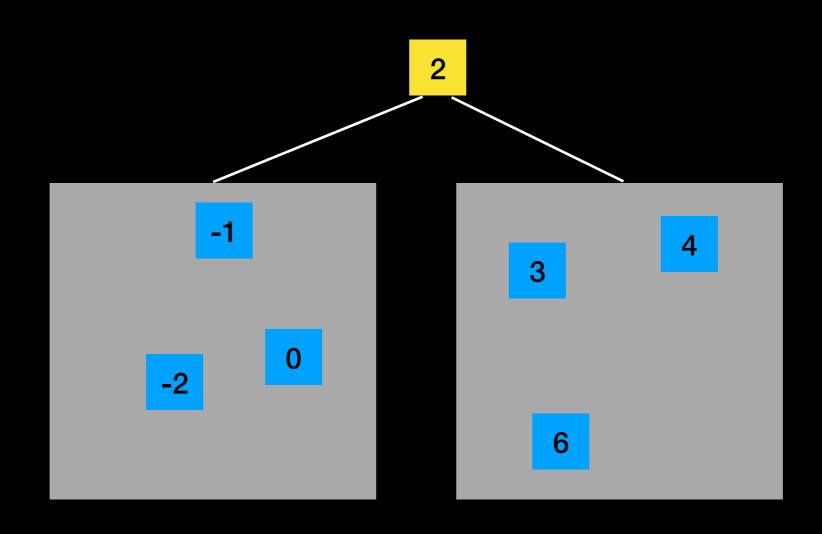
# A Different Approach

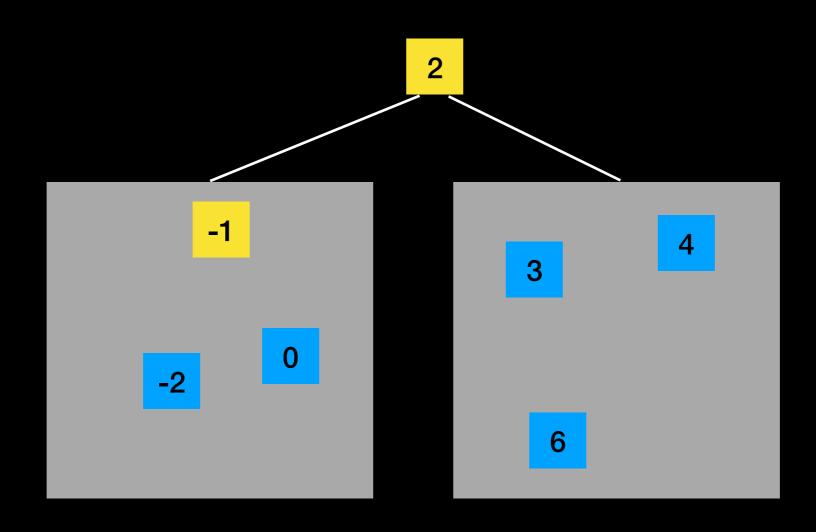


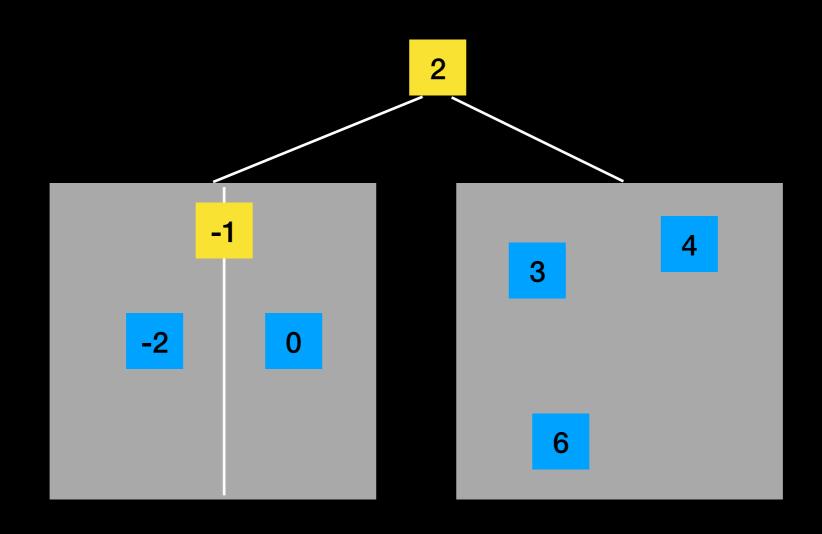


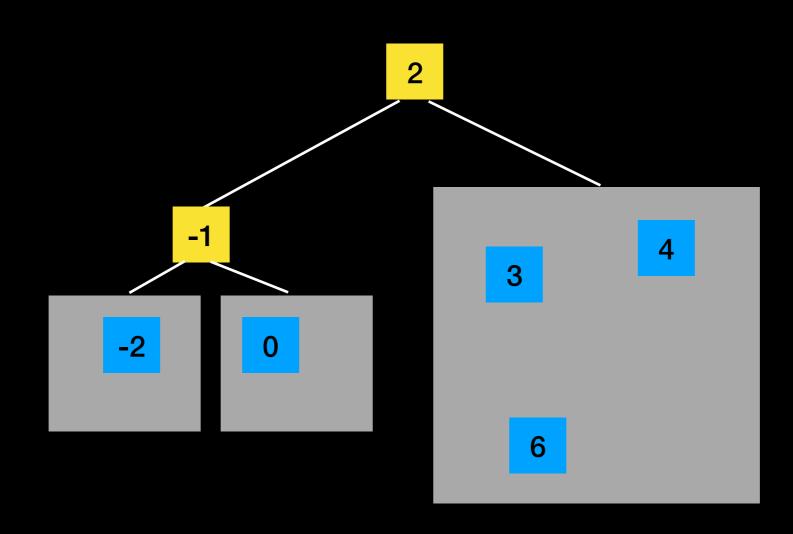


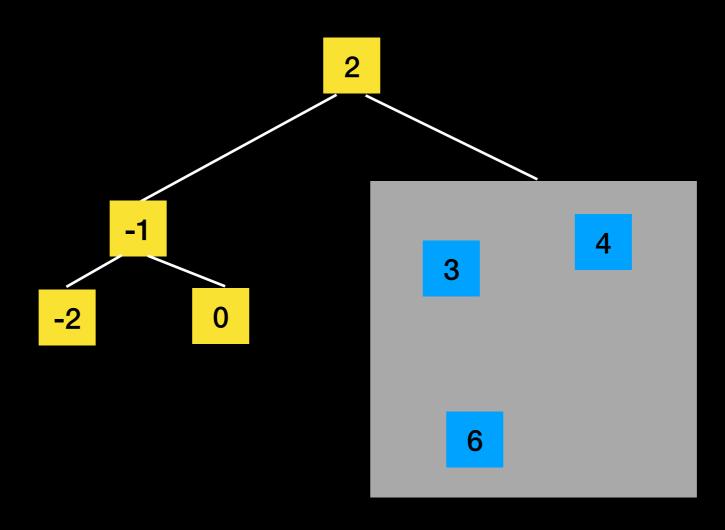


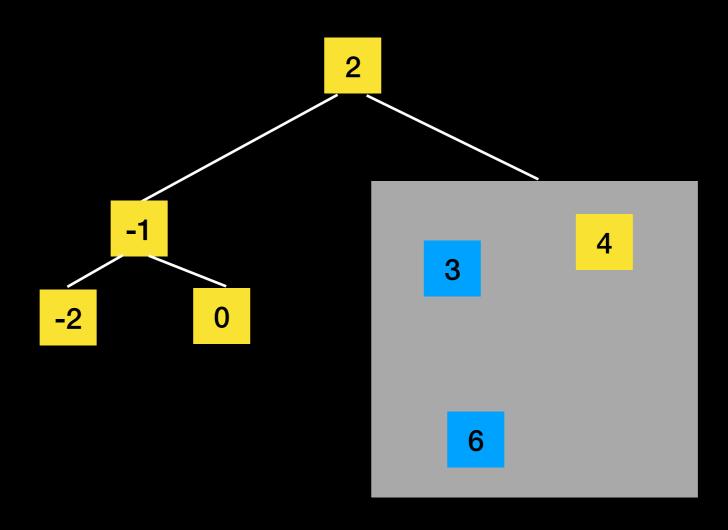


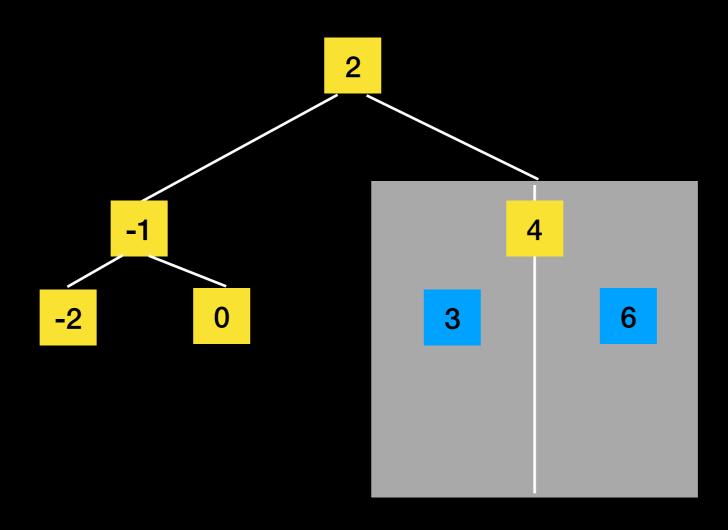


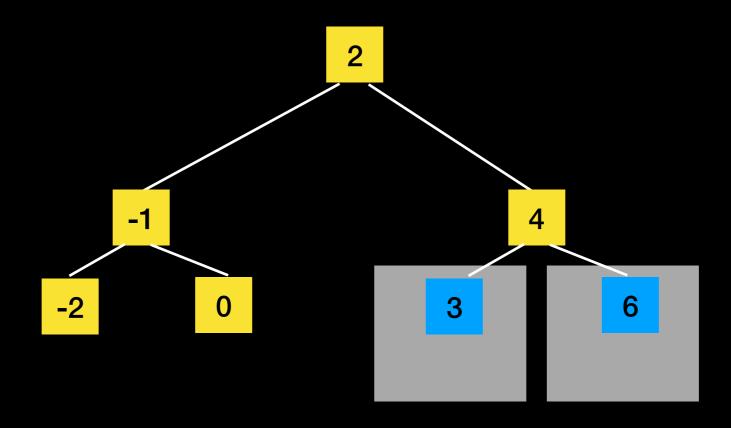


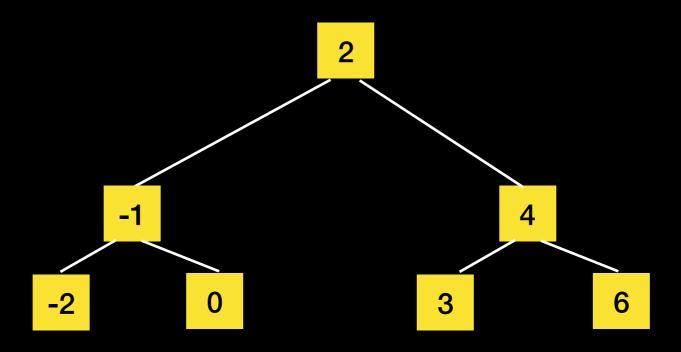


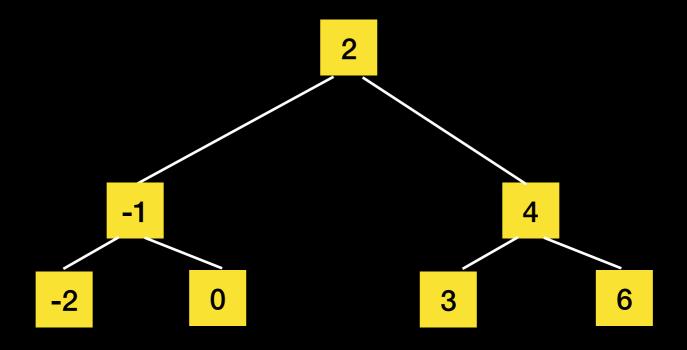


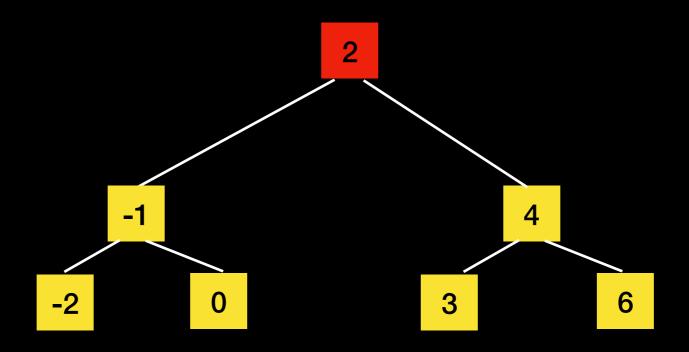


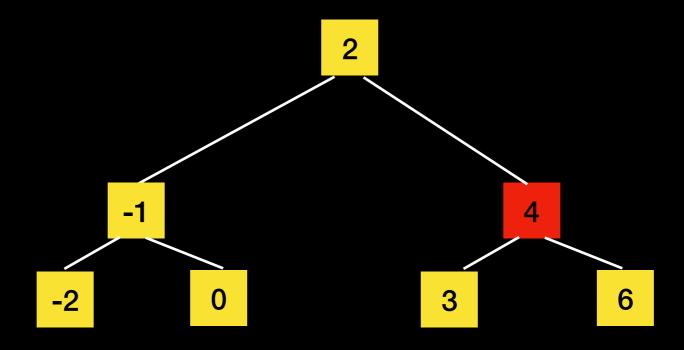


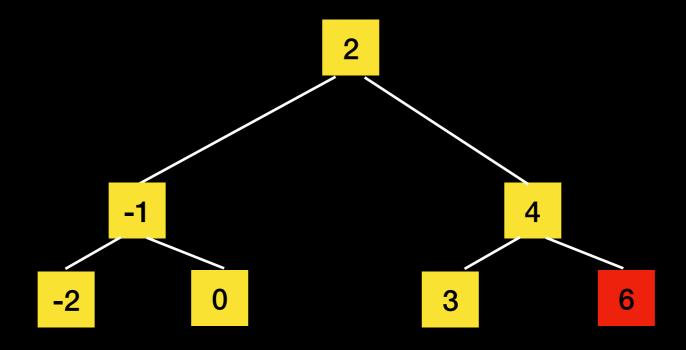


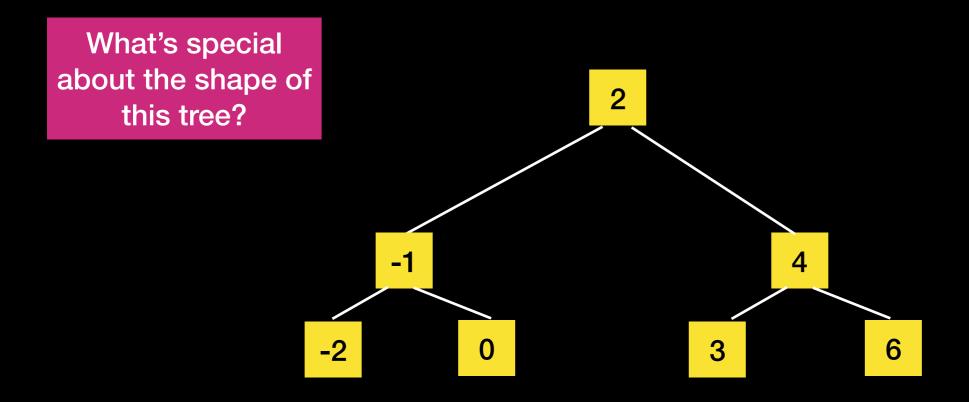












## Binary Search Tree

# Structural Property: For each node n n > all values in T<sub>L</sub> n < all values in T<sub>R</sub>

## BST Formally

Let S be a set of values upon which a total ordering relation <, is defined. For example, S can be the set of integers.

A binary search tree (BST) T for the ordered set (S,<) is a binary tree with the following properties:

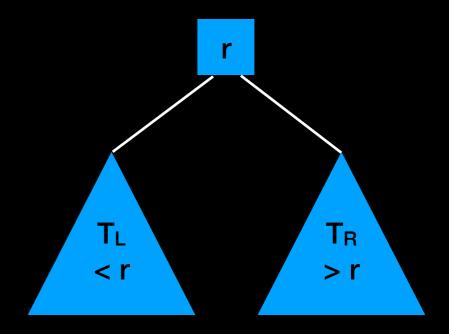
- Each node of T has a value. If p and q are nodes, then we write p < q to mean that the value of p is less than the value of q.
- For each node  $n \in T$ , if p is a node in the left subtree of n, then p < n.
- For each node  $n \in T$ , if p is a node in the right subtree of n, then n < p.
- For each element  $s \in S$  there exists a node  $n \in T$  such that s = n.

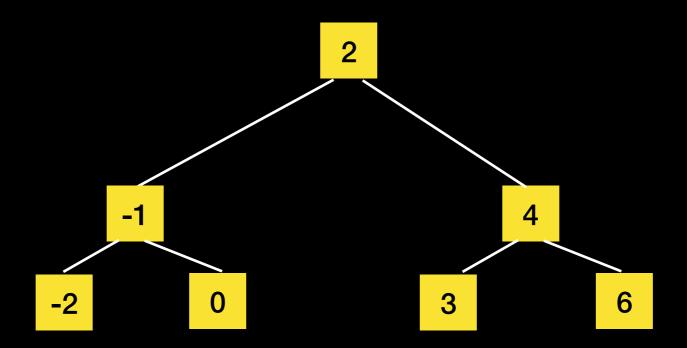
## Binary Search Tree

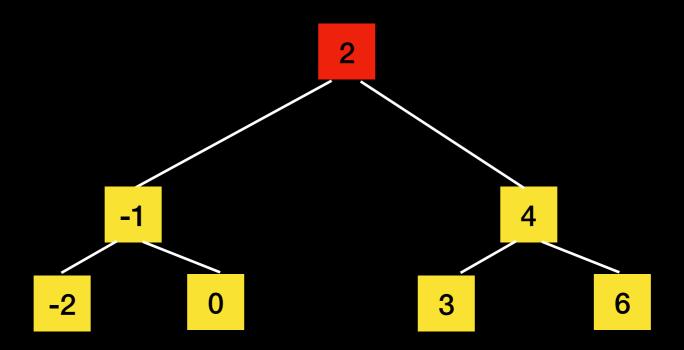
#### **Structural Property:**

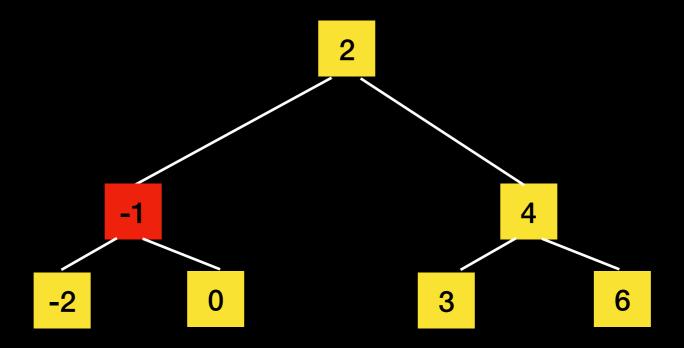
For each node n n > all values in T<sub>L</sub> n < all values in T<sub>R</sub>

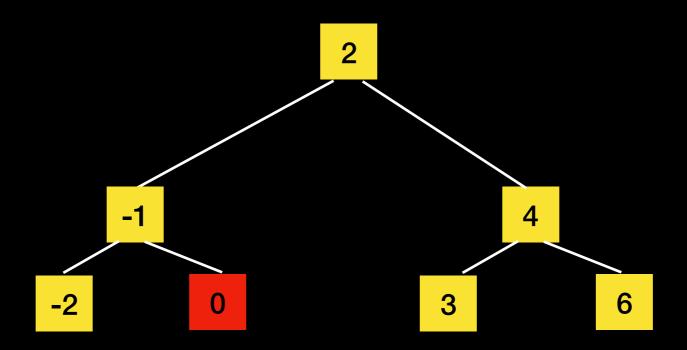
```
search(bs_tree, item)
{
    if (bs_tree is empty) //base case
        item not found
    else if (item == root)
        return root
    else if (item < root)
        search(TL, item)
    else // item > root
        search(TR, item)
}
```

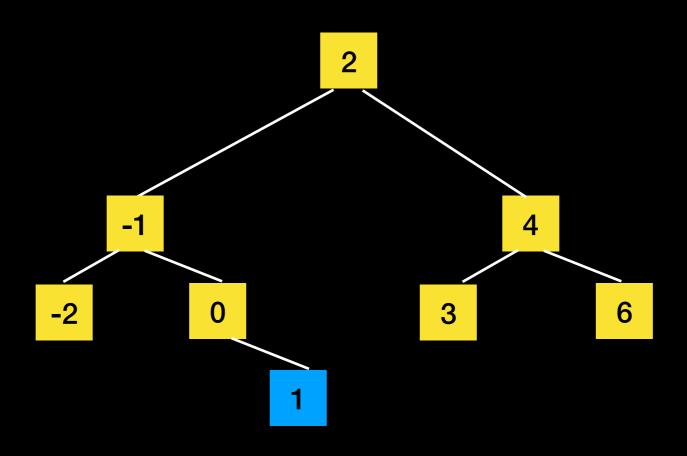


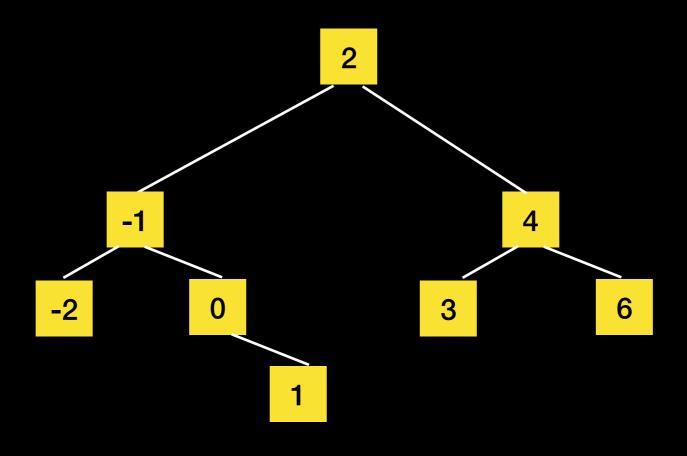


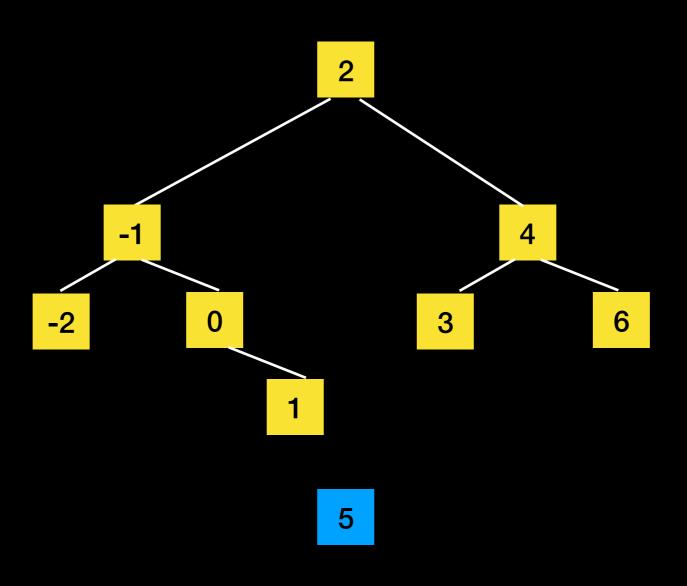


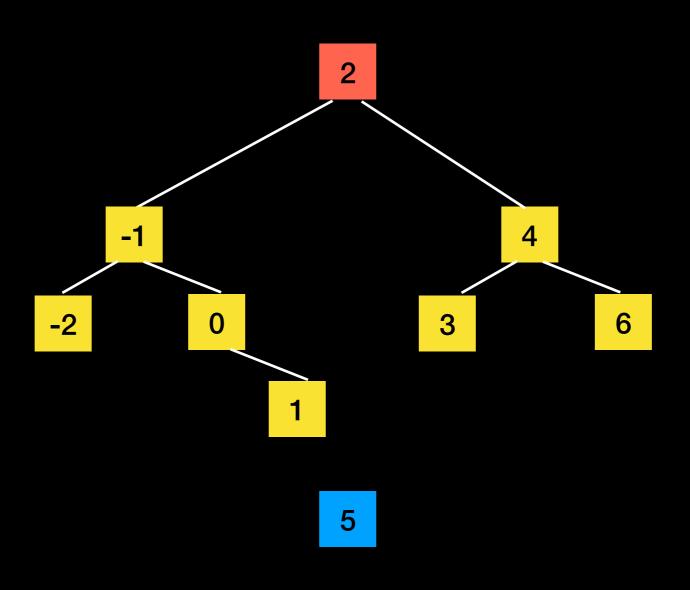


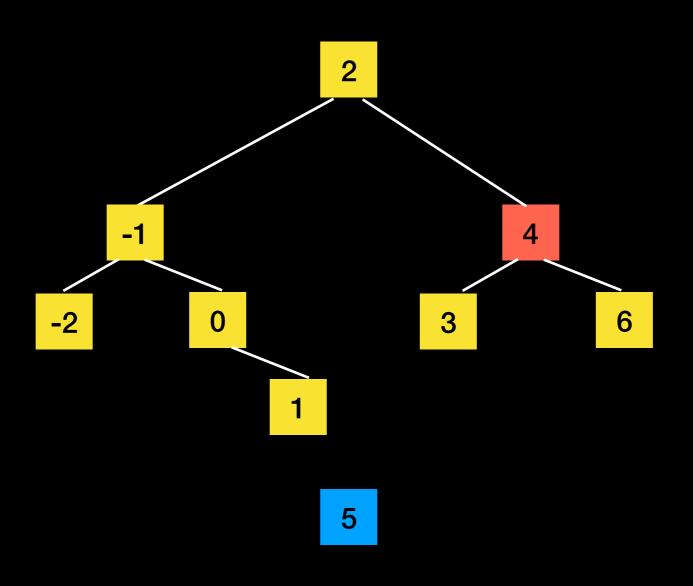


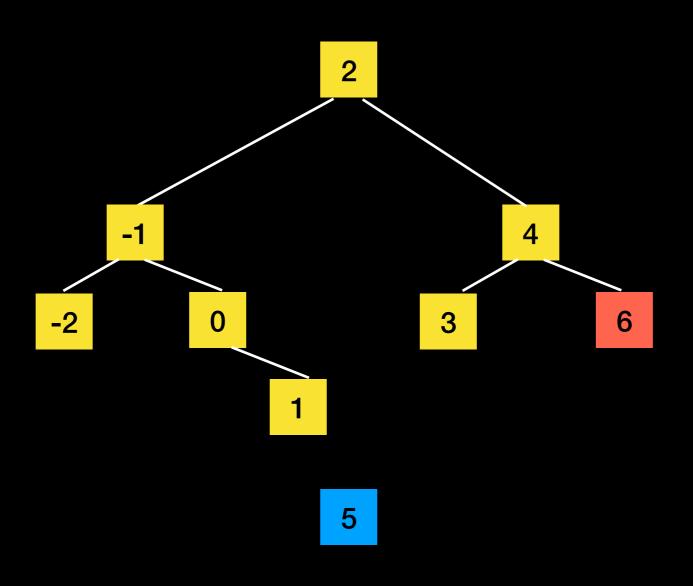


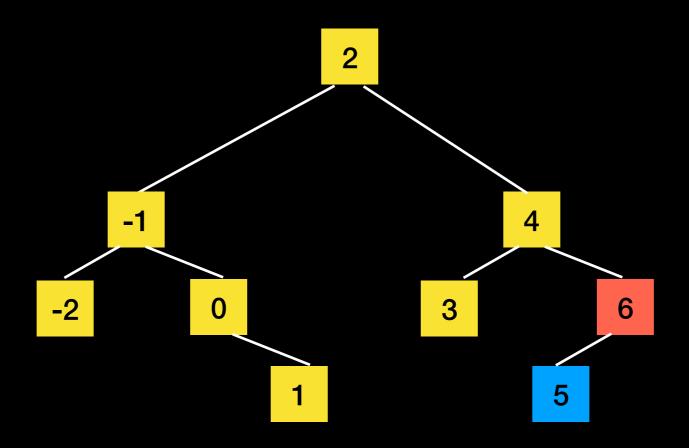


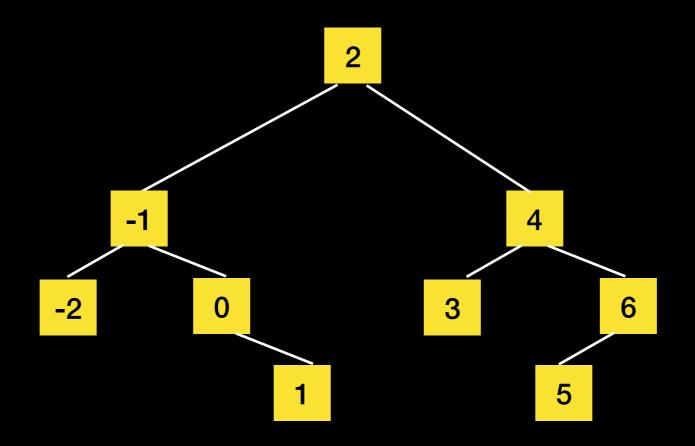






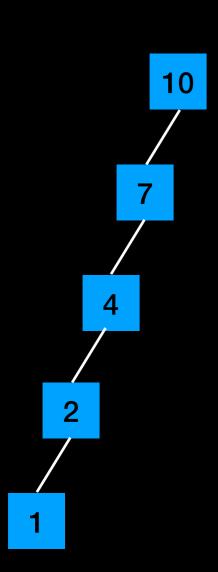




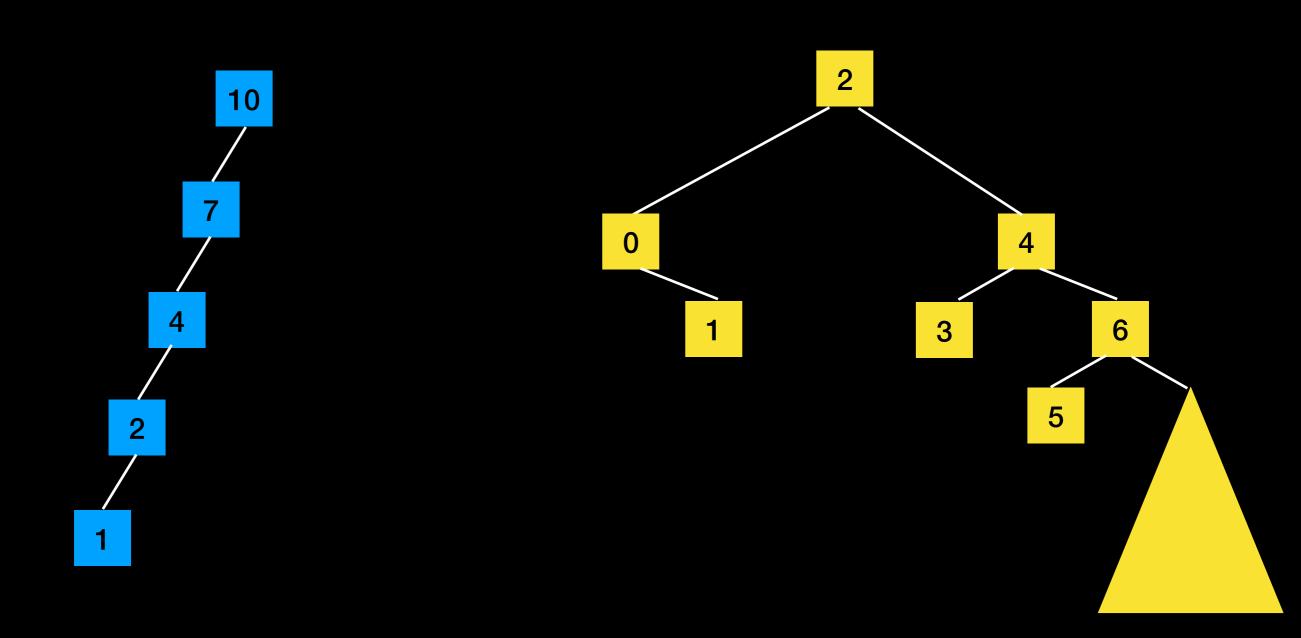


You Grow a tree with BST property, you don't get to restructure it (Self-balancing trees (e.g.Red-Black trees) will do that, perhaps in CSCI 335)

## Growing a BST



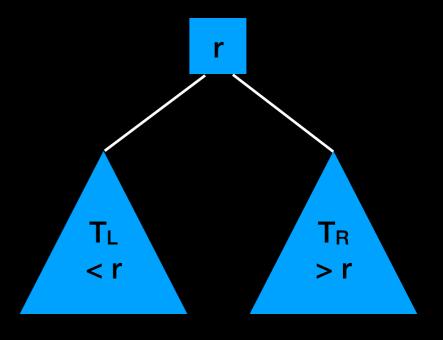
## Growing a BST



## Lecture Activity

Write pseudocode to insert an item into a BST

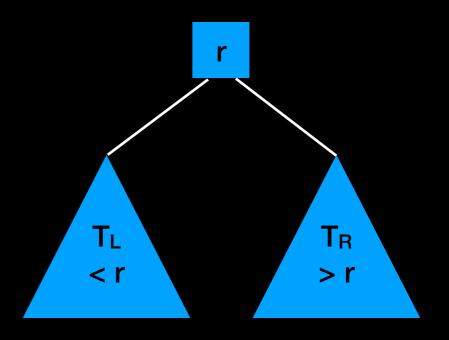
```
add(bs_tree, item)
{
    if (bs_tree is empty) //base case
        make item the root
    else if (item < root)
        add(TL, item)
    else // item > root
        add(TR, item)
}
```



## Traversing a BST

Same as traversing any binary tree

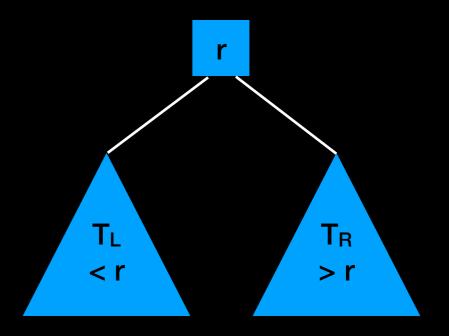
Which type of traversal is special for a BST?



## Traversing a BST

Same as traversing any binary tree

```
inorder(bs_tree)
{
    //implicit base case
    if (bs_tree is not empty)
    {
        inorder(TL)
        visit the root
        inorder(TR)
    }
}
Visits nodes in sorted ascending order
```



## Efficiency of BST

Searching is key to most operations

Think about the structure and height of the tree

## Efficiency of BST

Searching is key to most operations

Think about the structure and height of the tree

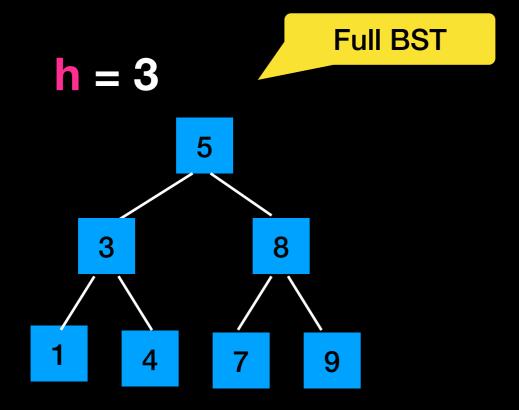
**O(h)** 

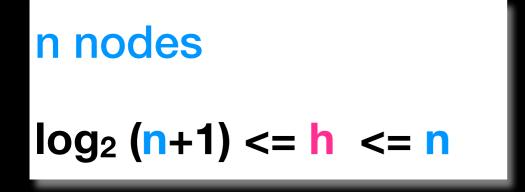
What is the maximum height?

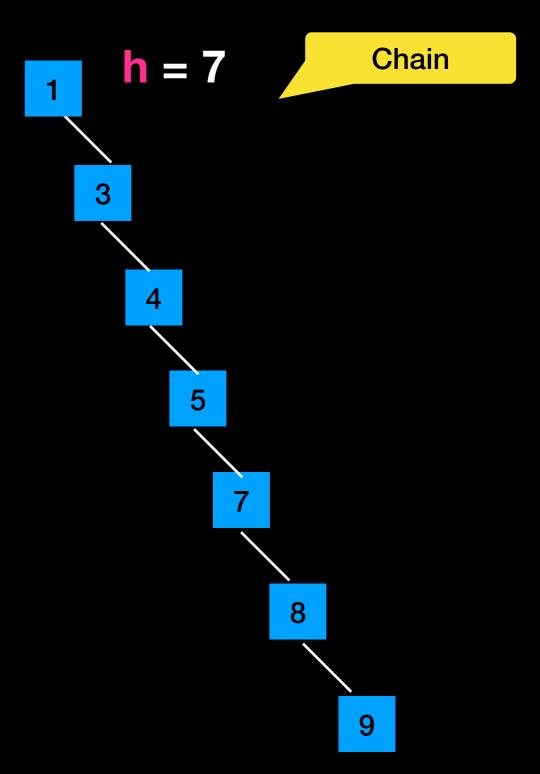
What is the minimum height?

## Tree Structure

$$n = 7$$







Operation	In Full Tree	Worst-case
Search	log <sub>2</sub> (n+1)	<i>O</i> (h)
Add	log <sub>2</sub> (n+1)	<i>O</i> (h)
Remove	log <sub>2</sub> (n+1)	<i>O</i> (h)
Traverse	n	<i>O</i> (n)

BST Operations

```
Looks a lot like a
#ifndef BST H
                                                             BinaryTree
#define BST H
template<typename ItemType>
                                                           Might you inherit
class BST
                                                              from it?
public:
                                                           What would you
   BST(); // constructor
                                                             override?
   BST(const BST<ItemType>& tree); // copy constructor
   ~ BST(); // destructor
   bool isEmpty() const;
   size t getHeight() const;
   size t getNumberOfNodes() const;
   void add(const ItemType& new item);
   void remove(const ItemType& new item);
   ItemType find(const ItemType& item) const;
   void clear();
   void preorderTraverse(Visitor<ItemType>& visit) const;
   void inorderTraverse(Visitor<ItemType>& visit) const;
   void postorderTraverse(Visitor<ItemType>& visit) const;
   BST& operator= (const BST<ItemType>& rhs);
private:
    //implementation details here
                                            This is an abstract class from which
};//end BST
                                              we can derive desired behavior
#include "BST.cpp"
                                               keeping the traversal general
#endif // BST H
                                  116
```

```
Looks a lot like a
#ifndef BST H
                                                             BinaryTree
#define BST H
template<typename ItemType>
                                                           Might you inherit
class BST
                                                              from it?
public:
                                                           What would you
   BST(); // constructor
                                                             override?
   BST(const BST<ItemType>& tree); // copy constructor
   ~ BST(); // destructor
   bool isEmpty() const;
   size t getHeight() const;
   size t getNumberOfNodes() const;
   void add(const ItemType& new item);
   void remove(const ItemType& new item);
   ItemType find(const ItemType& item) const;
   void clear();
   void preorderTraverse(Visitor<ItemType>& visit) const;
   void inorderTraverse(Visitor<ItemType>& visit) const;
   void postorderTraverse(Visitor<ItemType>& visit) const;
   BST& operator= (const BST<ItemType>& rhs);
private:
    //implementation details here
                                            This is an abstract class from which
};//end BST
                                              we can derive desired behavior
#include "BST.cpp"
                                               keeping the traversal general
#endif // BST H
                                  117
```