# $DMT2023\_HW1$

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0.1	Group composition:
	—YOUR TEXT STARTS HERE——
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# 0.2 Homework 1

The homework consists of two parts:

1. Search-Engine Evaluation

and

2. Near-Duplicate-Detection

# 1 Part 1

In this part of the homework, you have to index collections of documents to build search-engines using the PyTerrier library.

Import **ALL** the Python packages that you need for Part 1.

# 1.1 Part 1.1

You have to build a search engine for the book *Le Morte D'Arthur* by Thomas Malory and **improve the search-engines performance** (the higher the better). The book is divided into two volumes. Each chapter is a document with two fields: title of the chapter and corpus of the chapter. You only want to index the corpus of each chapter.

# 1.1.1 1.1.1

Download the data from the Drive link (code already provided).

```
[]: #REMOVE_OUTPUT#

!gdown 1zHgvidy9FvhZvE68S0mXWkoF-hHMpiUL
!gdown 1VjpTkFcbfaLIi4TXVafokW9e_bvGnfut
```

# 1.1.2 1.1.2

Parse the HTML. Part of code already provided: follow the comments to complete the code.

```
⇔by Thomas Malory.html') as fp:
          vol1 = BeautifulSoup(fp, 'html.parser')
      with open('The Project Gutenberg eBook of Le Morte D'Arthur, Volume II (of II), U
        →by Thomas Malory.html') as fp:
          vol2 = BeautifulSoup(fp, 'html.parser')
      def clean_text(txt):
          words_to_put_space_before = [".",",",";",":",":","'"]
          words_to_lowercase =_
        →["First","How","Some","Yet","Of","A","The","What","Fifth"]
          app = txt.replace("\n"," ")
          for word in words_to_put_space_before:
              app = app.replace(word, " "+word)
          for word in words_to_lowercase:
               app = app.replace(word+" ",word.lower()+" ")
          return app.strip()
      def parse_html(soup):
          titles = \Pi
          texts = \Pi
          for chapter in soup.find all("h3"):
               chapter_title = chapter.text
               if "CHAPTER" in chapter title:
                   chapter_title = clean_text("".join(chapter_title.split(".")[1:]))
                   titles.append(chapter title)
                   chapter_text = [p.text for p in chapter.findNextSiblings("p")]
                   chapter_text = clean_text(" ".join(chapter_text))
                   texts.append(chapter_text)
          return titles, texts
[286]: #YOUR CODE STARTS HERE#
       #Extract all the chapters' titles and texts from the two volumes
      vol1_titles, vol1_texts = parse_html(vol1)
      vol2_titles, vol2_texts = parse_html(vol2)
```

[285]: with open ('The Project Gutenberg eBook of Le Morte D'Arthur, Volume I (of II),

#Transform the list into a pandas DataFrame (a PyTerrier friendly structure).
d1 = {'title' : vol1\_titles, 'text' : vol1\_texts, 'vol\_nr' : [1 for \_ in\_

→range(len(vol1\_titles))]}
df\_vol1 = pd.DataFrame(d1)

```
→range(len(vol2_titles))]}
       df_vol2 = pd.DataFrame(d2)
       df_book = pd.concat([df_vol1, df_vol2], ignore_index = True)
       df book['docno'] = [str(i) for i in range(len(df book))]
       #YOUR CODE ENDS HERE#
       #THIS IS LINE 20#
[287]: #YOUR CODE STARTS HERE#
       print("The first 8 rows of DataFrame 'df_book':")
       df_book.head(8)
       #YOUR CODE ENDS HERE#
       #THIS IS LINE 10#
      The first 8 rows of DataFrame 'df_book':
[287]:
                                                       title \
       O first , how Uther Pendragon sent for the duke ...
       1 how Uther Pendragon made war on the duke of Co...
       2
             of the birth of King Arthur and of his nurture
       3
                       of the death of King Uther Pendragon
       4 how Arthur was chosen king, and of wonders an...
       5 how King Arthur pulled out the sword divers times
       6 how King Arthur was crowned , and how he made ...
       7 how King Arthur held in Wales , at a Pentecost...
                                                        text vol_nr docno
       O It befell in the days of Uther Pendragon , whe...
                                                                 1
                                                                        0
       1 Then Ulfius was glad , and rode on more than a...
                                                                 1
                                                                        1
       2 Then Queen Igraine waxed daily greater and gre...
                                                                 1
       3 Then within two years King Uther fell sick of ...
                                                                 1
                                                                        3
       4 Then stood the realm in great jeopardy long wh...
                                                                        4
       5 Now assay , said Sir Ector unto Sir Kay . And \dots
                                                                 1
                                                                        5
       6 And at the feast of Pentecost all manner of me...
                                                                 1
                                                                        6
       7 Then the king removed into Wales , and let cry...
                                                                       7
```

 $d2 = {'title' : vol2\_titles, 'text' : vol2\_texts, 'vol\_nr' : [2 for _ in_ | vol2\_texts, 'vol_nr' : [2 for _ in_ | vol2\_texts, 'vol_nr' : [2 for _ in_ | vol2\_texts]]}$ 

### 1.1.3 1.1.3

Extract character's names from the **titles** only. **Part** of code already provided: follow the comments to complete the code.

```
[288]: all_characters = set()
       def extract_character_names_from_string(string_to_parse):
           special_tokens = ["of","the","le","a","de"]
           remember = ""
           last_is_special_token = False
           tokens = string_to_parse.split(" ")
           characters_found = set()
           for i,word in enumerate(tokens):
               if word[0].isupper() or (remember!="" and word in special_tokens):
                   #word = word.replace("'s","").replace("'s","")
                   last_is_special_token = False
                   if remember!="":
                       if word in special_tokens:
                           last_is_special_token = True
                       remember = remember+" "+word
                   else: remember = word
               else:
                   if remember!="":
                       if last_is_special_token:
                           for tok in special_tokens:
                               remember = remember.replace(" "+tok,"")
                       characters found.add(remember)
                   remember = ""
                   last_is_special_token = False
           return characters_found
       \#all\_characters = set([x for x in all\_characters if x[-2:]!="'s"])
```

```
[289]: #YOUR CODE STARTS HERE#
    #Extract all characters' names
    for title in df_book['title']:
        all_characters.update(extract_character_names_from_string(title))
```

```
#YOUR CODE ENDS HERE#
#THIS IS LINE 15#

#YOUR CODE STARTS HERE#
```

```
[290]: #YOUR CODE STARTS HERE#
kings = [name for name in all_characters if 'king' in name.lower()]
kings = list(set(kings)) # to remove duplicates
print("The names of all kings in the book: \n")
kings

#YOUR CODE ENDS HERE#
#THIS IS LINE 10#
```

The names of all kings in the book:

```
[290]: ['King Anguish of Ireland',
        'King of the Land of Cameliard',
        'King Rience',
        'King Ban',
        'King Arthur',
        'King of England',
        'King Howel of Brittany',
        'King Mark',
        'King Pellinore',
        'King Mordrains',
        'King Leodegrance',
        'Maimed King',
        'King Pellam',
        'King Brandegore',
        'King Lot',
        'King Bagdemagus',
        'King Pelles',
        'King Lot of Orkney',
        'King Pelleas',
        'King',
        'King Mark of Cornwall',
        'King Evelake',
        'King Uriens',
        'King Solomon',
        'King Bors']
```

### 1.1.4 1.1.4

Some names refer to the same characters (e.g. 'Arthur' = 'King Arthur'). A function is provided to extract the disambiguation dictionary: each key represents a name and the value represents the true character name (e.g. {'Arthur': 'King-Arthur', 'King': 'King-Arthur', 'Bedivere':'Sir Bedivere'}). Disambiguation sets, i.e. a list with sets representing the multiple names of a single character, are also provided.

There may be some mistakes, but it does not matter (e.g. 'Cornwall' = 'King of Cornwall')

```
[291]: disambiguate to = {}
       for x in all characters:
           for y in all_characters:
               if x in y and x!=y:
                   if x in disambiguate_to:
                       previous_y = disambiguate_to[x]
                       if len(y)>len(previous_y): disambiguate_to[x] = y
                   else:
                       disambiguate_to[x] = y
       disambiguate_to.update({"King": "King Arthur",
                               "King of England": "King Arthur",
                                "Queen": "Queen Guenever",
                               "Sir Lancelot": "Sir Launcelot"})
       disambiguate_sets = []
       for x,y in disambiguate to.items():
           inserted = False
           for z in disambiguate_sets:
               if x in z or y in z:
                   z.add(x); z.add(y)
                   inserted = True
           if not inserted:
               disambiguate_sets.append(set([x,y]))
       while True:
           to_remove, to_add = [],[]
           for i1,s1 in enumerate(disambiguate_sets[:-1]):
               for s2 in disambiguate_sets[i1+1:]:
                   if len(s1.intersection(s2))>0:
                       to_remove.append(s1)
                       to remove.append(s2)
                       to_add.append(s1.union(s2))
           if len(to_add)>0:
               for rm in to remove:
                   disambiguate_sets.remove(rm)
               for ad in to_add:
                   disambiguate_sets.append(ad)
```

```
else: break
[292]: #YOUR CODE STARTS HERE#
       sets_of_topics = disambiguate_sets.copy()
       for sets in disambiguate_sets:
         for alternative_name in sets:
           if alternative_name in all_characters:
               all_characters.remove(alternative_name)
       for char in all_characters:
         sets_of_topics.append({char})
       topics = [', '.join(s) for s in sets_of_topics] # we use the comma to be then_
       →able to split the alternative names
       dic_of_topics = { 'qid' : [str(i) for i in range(len(topics))], 'query': topics}
       df_topics = pd.DataFrame(dic_of_topics)
       #YOUR CODE ENDS HERE#
       #THIS IS LINE 30#
[293]: #YOUR CODE STARTS HERE#
       print("The first 5 rows of DataFrame 'df_topics':")
       df_topics.head(5)
       #YOUR CODE ENDS HERE#
```

The first 5 rows of DataFrame 'df\_topics':

#THIS IS LINE 10#

[293]:		qid	query
	0	0	Holy Sangreal, Sangreal
	1	1	Sir Tristram de Liones, Sir Tristram, Tristram
	2	2	Joyous Gard, Gard
	3	3	Queen, Queen Guenever, Guenever
	4	4	Round Table, Knights of the Round Table

# 1.1.5 1.1.6

Prepare the relevance scores for the queries.

A document is considered relevant (1) if its **corpus** contains the character's name or one of its alternative names, otherwise is not relevant (0).

```
[294]: #YOUR CODE STARTS HERE#
       d = {'qid': [], 'docno' : [], 'label' : []}
       label = 0
       for row1 in df_topics.iterrows():
         for row2 in df book.iterrows():
           d['qid'].append(str(row1[1][0]))
           d['docno'].append(str(row2[1][3]))
           for name in row1[1][1].split(','):
              # 'King' and 'Queen' are not valid names to determine relevance in a_
        →document because they are not unique
             if name in row2[1][1] and name not in ['King', 'Queen']:
               label = 1
               break
             else:
               label = 0
           d['label'].append(label)
       qrels = pd.DataFrame(d)
       #YOUR CODE ENDS HERE#
       #THIS IS LINE 30#
```

```
[295]: #YOUR CODE STARTS HERE#
    print("The first row of the DataFrame 'qrels':")
    display(qrels.head(1))
    print(" ")
    print("The last row of the DataFrame 'qrels':")
    display(qrels.tail(1))
    print(" ")
    print("The shape of the DataFrame 'qrels' is: ", qrels.shape)
    #YOUR CODE ENDS HERE#
```

# #THIS IS LINE 10#

```
The first row of the DataFrame 'qrels':

qid docno label
0 0 0 0

The last row of the DataFrame 'qrels':

qid docno label
85509 169 502 0

The shape of the DataFrame 'qrels' is: (85510, 3)
```

### 1.1.6 1.1.7

Choose several preprocessing configurations (at least 2, no more than 4).

For each of them, construct an index on the title field.

For the last of them, report the number of indexed documents and terms.

```
[296]: #YOUR CODE STARTS HERE#
      configurations = ["",
                       "Stopwords",
                       "PorterStemmer",
                       "Stopwords, EnglishSnowballStemmer"]
      def create_index(configuration, field):
        pd_indexer = pt.DFIndexer("./Inverted_Index", overwrite=True)
        pd_indexer.setProperty("termpipelines", configuration)
        indexref = pd_indexer.index(df_book[field], df_book["docno"])
        return indexref
      indexref = create_index(configurations[-1], "title")
      index = pt.IndexFactory.of(indexref)
      print("The number of indexed documents and terms for the configuration_
       print(index.getCollectionStatistics().toString())
      #YOUR CODE ENDS HERE#
      #THIS IS LINE 20#
```

The number of indexed documents and terms for the configuration 'Stopwords, EnglishSnowballStemmer':

Number of documents: 503 Number of terms: 807 Number of postings: 4209 Number of fields: 0 Number of tokens: 4655 Field names: []

Positions: false

# 1.1.7 1.1.8

Choose several weighting models (at least 2, no more than 5).

For each of them, for each of the indices created in last step, build a retrieval model.

```
[297]: #YOUR CODE STARTS HERE#
       possible_wmodels = ["CoordinateMatch",
                           "TF_IDF",
                           "BM25",
                           "PL2",
                           "LemurTF_IDF"]
       def create_retrieval_model(indexref, scoring_function):
         return pt.BatchRetrieve(indexref, wmodel = scoring_function)
       list_of_retrieval_models = []
       for configuration in configurations:
         indexref = create_index(configuration, "title")
         for wmodel in possible_wmodels:
           retrieval_model = create_retrieval_model(indexref, wmodel)
           list_of_retrieval_models.append(retrieval_model)
       #YOUR CODE ENDS HERE#
       #THIS IS LINE 20#
```

# 1.1.8 1.1.9

Choose several evaluation metrics (at least 3, no more than 6) and put them in a list.

Add the following metrics to the list: Recall at 5, Normalized Discounted Cumulative Gain at 20, Mean Average Precision.

Obviously, the metrics you choose cannot be **completely identical** to these 3 we specified.

```
#YOUR CODE ENDS HERE#
#THIS IS LINE 20#
```

# 1.1.9 1.1.10

For each index built in step 1.1.7, run an experiment to obtain the values associated to each evaluation metrics specified in 1.1.8 for each of the weighting models chosen in 1.1.9.

```
[299]: #YOUR CODE STARTS HERE#
       result = pt.Experiment(
           list_of_retrieval_models,
           df_topics,
           grels,
           eval_metrics,
           highlight="bold",
           names = ["CoordinateMatch_without_preprocessing", | ]
        →"TF_IDF_without_preprocessing", "BM25_without_preprocessing",
                    "PL2_without_preprocessing", "LemurTF_IDF_without_preprocessing", "

¬"CoordinateMatch_with_stopwords",
                    "TF_IDF_with_stopwords", "BM25_with_stopwords", __
        →"PL2_with_stopwords", "LemurTF_IDF_with_stopwords",
                    "CoordinateMatch_with_PorterStemmer", "TF_IDF_with_PorterStemmer", "
        ⇔"BM25_with_PorterStemmer",
                    "PL2_with_PorterStemmer", "LemurTF_IDF_with_PorterStemmer",
                    "CoordinateMatch_with_stopwords_and_EnglishSnowballStemmer", __

¬"TF_IDF_with_stopwords_and_EnglishSnowballStemmer",
                    "BM25_with_stopwords_and_EnglishSnowballStemmer",

¬"PL2_with_stopwords_and_EnglishSnowballStemmer",
                    "LemurTF_IDF_with_stopwords_and_EnglishSnowballStemmer"]
       )
       #YOUR CODE ENDS HERE#
```

## 1.1.10 1.1.11

For the last index constructed (i.e. corresponding to the last preprocessing chosen), print out the PyTerrier table with the weighting models chosen by you on the rows and the evaluation metrics chosen by you + those specified by us on the columns.

Highlight the best results in the result table.

```
P 10 \
                                                             P_5
                                                  name
0
                CoordinateMatch_without_preprocessing
                                                        0.436471
                                                                   0.295882
1
                         TF_IDF_without_preprocessing
                                                        0.454118
                                                                   0.311176
2
                           BM25_without_preprocessing
                                                        0.442353
                                                                   0.310000
3
                            PL2_without_preprocessing
                                                                  0.309412
                                                        0.456471
4
                    LemurTF_IDF_without_preprocessing
                                                        0.445882
                                                                  0.310000
5
                       CoordinateMatch_with_stopwords
                                                        0.437647
                                                                  0.293529
6
                                 TF_IDF_with_stopwords
                                                        0.448235
                                                                  0.310000
7
                                   BM25_with_stopwords
                                                        0.444706
                                                                  0.312353
8
                                    PL2 with stopwords
                                                        0.448235
                                                                  0.308824
9
                           LemurTF_IDF_with_stopwords
                                                        0.447059
                                                                   0.310588
10
                   CoordinateMatch_with_PorterStemmer
                                                        0.437647
                                                                  0.295294
                            TF_IDF_with_PorterStemmer
11
                                                        0.455294
                                                                  0.311765
12
                              BM25_with_PorterStemmer
                                                        0.444706
                                                                  0.311765
13
                               PL2_with_PorterStemmer
                                                        0.457647
                                                                   0.311176
14
                       LemurTF_IDF_with_PorterStemmer
                                                        0.447059
                                                                   0.311765
15
    CoordinateMatch_with_stopwords_and_EnglishSnow... 0.440000 0.294706
     TF_IDF_with_stopwords_and_EnglishSnowballStemmer
16
                                                        0.450588
                                                                   0.311765
17
       BM25_with_stopwords_and_EnglishSnowballStemmer
                                                        0.447059
                                                                   0.314118
18
        PL2_with_stopwords_and_EnglishSnowballStemmer
                                                        0.450588
                                                                   0.310588
19
    LemurTF_IDF_with_stopwords_and_EnglishSnowball... 0.449412 0.312353
       Rprec
              recall_20 ndcg_cut_10
                                      num_rel recall_5 ndcg_cut_20
                                                                             map
0
    0.285711
               0.266166
                             0.496643
                                        4107.0
                                                0.227657
                                                              0.445407
                                                                        0.291373
    0.299906
               0.279550
                            0.509788
                                        4107.0 0.236765
1
                                                              0.461265
                                                                        0.302762
```

```
0.296988
              0.278635
                           0.507098
                                      4107.0 0.233625
                                                           0.458322
                                                                    0.299754
2
                                      4107.0 0.236915
3
   0.298966
              0.279213
                           0.507716
                                                           0.459609
                                                                    0.300517
4
   0.299685
              0.279226
                           0.507138
                                      4107.0 0.234272
                                                           0.458724
                                                                     0.300959
5
   0.281658
              0.263475
                           0.494228
                                      4107.0 0.227477
                                                           0.443942
                                                                     0.286416
                           0.509010
                                      4107.0 0.235381
6
   0.295166
              0.276049
                                                           0.459486
                                                                     0.297617
7
   0.293500
              0.278215
                           0.510223
                                      4107.0 0.232824
                                                           0.460399
                                                                     0.296200
8
   0.295279
              0.275992
                           0.507246
                                      4107.0 0.235381
                                                           0.458247
                                                                     0.296103
9
   0.295250
              0.275950
                           0.507986
                                      4107.0 0.234975
                                                           0.458056
                                                                     0.296795
10 0.286480
              0.266693
                           0.496258
                                      4108.0 0.227902
                                                                    0.291529
                                                           0.445555
11 0.300922
              0.281469
                           0.510488
                                      4108.0 0.236626
                                                           0.462678
                                                                     0.303831
                                      4108.0 0.233938
12 0.299037
              0.280563
                           0.508870
                                                           0.460496
                                                                     0.301079
              0.281316
                           0.509241
                                      4108.0 0.236775
                                                           0.460924
                                                                     0.301700
13 0.300289
14 0.301929
              0.282122
                           0.508566
                                      4108.0 0.234517
                                                           0.460733
                                                                     0.302388
                           0.494980
                                      4108.0 0.227790
15 0.282058
              0.264108
                                                           0.444123
                                                                     0.287350
16 0.297732
              0.279486
                           0.510501
                                      4108.0 0.235694
                                                           0.462180
                                                                     0.299355
17 0.296066
              0.281651
                           0.511666
                                      4108.0 0.233136
                                                           0.463062
                                                                    0.297959
18 0.297845
              0.279369
                           0.508737
                                      4108.0 0.235694
                                                           0.460736
                                                                     0.297812
19 0.297815
              0.279386
                           0.509170
                                      4108.0 0.235288
                                                           0.460552 0.298395
```

The best results for each metric:

```
[300]: {'P_5': (['PL2_with_PorterStemmer'], 0.30383095919100905),
        'P_10': (['BM25_with_stopwords_and_EnglishSnowballStemmer'],
        0.30383095919100905),
        'Rprec': (['LemurTF_IDF_with_PorterStemmer'], 0.30383095919100905),
        'recall_20': (['LemurTF_IDF_with_PorterStemmer'], 0.30383095919100905),
        'ndcg cut 10': (['BM25 with stopwords and EnglishSnowballStemmer'],
         0.30383095919100905),
        'num rel': (['CoordinateMatch with PorterStemmer',
          'TF_IDF_with_PorterStemmer',
          'BM25 with PorterStemmer',
          'PL2_with_PorterStemmer',
          'LemurTF IDF with PorterStemmer',
          'CoordinateMatch_with_stopwords_and_EnglishSnowballStemmer',
          'TF_IDF_with_stopwords_and_EnglishSnowballStemmer',
          'BM25_with_stopwords_and_EnglishSnowballStemmer',
          'PL2_with_stopwords_and_EnglishSnowballStemmer',
          'LemurTF_IDF_with_stopwords_and_EnglishSnowballStemmer'],
         0.30383095919100905),
        'recall_5': (['PL2_without_preprocessing'], 0.30383095919100905),
        'ndcg_cut_20': (['BM25_with_stopwords_and_EnglishSnowballStemmer'],
        0.30383095919100905),
        'map': (['TF_IDF_with_PorterStemmer'], 0.30383095919100905)}
```

### 1.1.11 1.1.12

Select the Top-4 configurations (preprocessing, weighting model) according to the Mean Average Precision (MAP), taking into account the results obtained in section 1.1.10.

For these 4 configurations, provide the following plot (re-run the evaluations just for this configurations, to get the required evaluation metrics):

- Recall@k plot
  - the x axis represents the considered values for k: you must consider k  $\{1, 3, 5, 10, 20, 50\}$
  - the y axis represents the average Recall@k over all provided queries
  - each curve represents one of the 4 search engine configurations

```
[301]: #YOUR CODE STARTS HERE#
      index_top_4 = result.data.nlargest(4, ['map']).index
      top_4_configurations = [list_of_retrieval_models[i] for i in index top_4]
      new_eval_metrics = ["recall_1", "recall_3", "recall_5", "recall_10", __

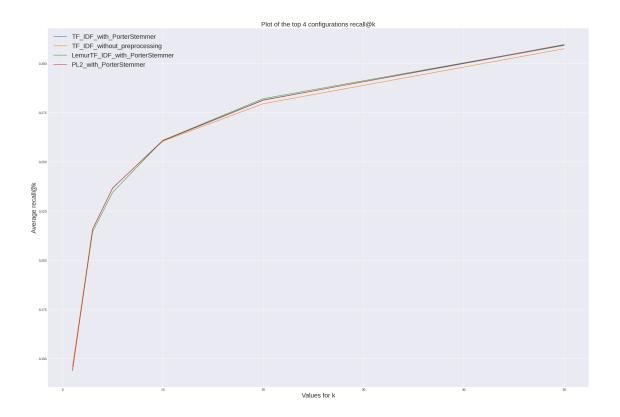
¬"recall_20", "recall_50"]

      result2 = pt.Experiment(
          top_4_configurations,
          df topics,
          grels,
          new_eval_metrics,
          highlight="bold",
          names = result.data['name'].loc[index_top_4]
      )
      print("Pyterrier table:")
      display(result2.data)
      print(" ")
      plt.style.use("seaborn-darkgrid")
      fig,ax = plt.subplots(figsize=(30, 20))
      for i in range(4):
        d = {1 : result2.data["recall_1"][i], 3: result2.data["recall_3"][i],
             5 : result2.data["recall_5"][i], 10 : result2.data["recall_10"][i],
             20: result2.data["recall_20"][i], 50: result2.data["recall_50"][i]}
        ax.plot(d.keys(), d.values(), )
        ax.set_xlabel('Values for k', fontsize = 20)
        ax.set_ylabel('Average recall@k', fontsize = 20)
        ax.legend(result.data['name'].loc[index_top_4], prop={'size': 20})
      plt.title("Plot of the top 4 configurations recall@k", fontsize = 20)
      plt.show()
```

#YOUR CODE ENDS HERE# #THIS IS LINE 50#

# Pyterrier table:

```
name recall_1 recall_3 recall_5 recall_10 \
0
       TF_IDF_with_PorterStemmer 0.145819 0.215707 0.236626
                                                           0.260927
1
    TF_IDF_without_preprocessing 0.145819 0.215915 0.236765
                                                             0.260505
                                                             0.260963
2 LemurTF_IDF_with_PorterStemmer
                                0.144005 0.214460 0.234517
3
          PL2_with_PorterStemmer
                                0.143858 0.215707 0.236775
                                                             0.260709
  recall_20 recall_50
0
  0.281469
            0.309223
1 0.279550 0.307510
2
  0.282122 0.309457
3
  0.281316 0.309640
```



## $1.1.12 \quad 1.1.13$

According only to the Recall@k plot, which is the best search engine configuration? Explain your answer in at most 3 sentences.

377	JIID	T $T$ $T$ $T$ $T$	STARTS	HEDE	
Y	JUB	$I \Gamma \iota A I$	SIABIS		

All the four configurations show similar results in the plot, so they all turn out to be good options for the search engine configuration in our dataset.

In general, **green and red curve** (respectively LemurTF\_IDF\_with\_PorterStemmer and PL2\_with\_PorterStemmer) seem to us to be more robust when we are dealing with more data and the plot turns out that **no pre-processing leads to worse results increasing k**.

Between the two configurations, we decide to choose the one where we use **PorterStemmer** for the pre-processing and the **PL2** retrieval model, but we argue that LemurTF\_IDF and TF\_IDF models are probably also a valid choice.

## 1.1.13 1.1.14

For the configuration you selected in Part 1.1.13, provide an **example of the functioning** of your search enginge.

The query should be King Mark of Cornwall.

```
[302]: #YOUR CODE STARTS HERE#
query = "King Mark of Cornwall"
search_engine = pt.BatchRetrieve(create_index("PorterStemmer", "title"),
wmodel="PL2").search(query)
print("Search engine results:")
display(search_engine)

print("\n")
print("Print of the top 3 search engine results in the Dataframe df_book: \n")
top_3_docid = list(search_engine['docid'])[:3]
for docid in top_3_docid:
    print(df_book.loc[docid], "\n")
#YOUR CODE ENDS HERE#
#THIS IS LINE 20#
```

# Search engine results:

```
qid docid docno rank
                              score
                                                     query
0
     1
          231
                        0 6.673539 King Mark of Cornwall
                231
1
     1
          215
                215
                        1 6.622714 King Mark of Cornwall
2
          259
                259
                        2 6.295796 King Mark of Cornwall
3
     1
           33
                 33
                        3 5.819559 King Mark of Cornwall
     1
          252
                252
                        4 4.942725 King Mark of Cornwall
                      328 0.640278 King Mark of Cornwall
328
     1
          360
                360
329
                      329 0.637367 King Mark of Cornwall
     1
          137
                137
330
          417
                      330 0.637367 King Mark of Cornwall
     1
                417
331
          135
                      331 0.627802 King Mark of Cornwall
                135
332
          133
                133
                      332 0.625822 King Mark of Cornwall
```

[333 rows x 6 columns]

Print of the top 3 search engine results in the Dataframe df\_book:

how King Mark was sorry for the good renown of... title text Now will we speak , and leave Sir Tristram ,  $S_{\cdots}$ 1 vol\_nr docno 231 Name: 231, dtype: object title how King Mark , by the advice of his council ,… Then the queen departed , but the brachet woul... text vol\_nr 1 docno 215 Name: 215, dtype: object title how King Arthur made King Mark to be accorded ... text Now pass we our matter , and leave we Sir Gawa... 2 vol\_nr 259 docno Name: 259, dtype: object

# 1.2 Part 1.2

# $1.2.1 \quad 1.2.1$

**Scenario:** The company ExcaliburDMT needs a search engine for the book *Le Morte D'Arthur* by Thomas Malory. The book is divided into two volumes.

They want to consider each chapter as a document with two fields: title of the chapter and corpus of the chapter. For now, they only want to index the title of each chapter.

They only want their users to be able to query character names and match them exactly (also, order is important).

They would like to show 10 results on the screen in a random order, in a sword-like shape.

The company wants to evaluate the performance of the search engine: each chapter's title containing a character's name is considered relevant for a query containing that character's name.

Character names are extracted from the collection of documents. See Part 1.1.3.

Order is important: if the query is "King Arthur", "Arthur, King of Britain" should have less scoring than "King Arthur of Camelot".

What is the configuration (as defined in part 1.2) that would best meet the needs of the Excalibur-DMT company? Use at most 3 sentences (1 per section).

———YOUR TEXT	STARTS HERE———
--------------	----------------

Preprocessing: Clean Text + Tokenization

Weighting model: PL2 + 1 extra point in the score if there's a phrase match

Evaluation metric: Recall 10

Provide an explanation of your choice in at most 3 sentences.

 VOUR	TEXT	STARTS	HERE
	1 1 7 / 1		

To respond to this new need, we can clean and pre-process query and the document titles as a list of **ordered tokens** using Tokenization.

Once pre-processed, we can use **PL2** as retrieval model with the addition of **1** extra point to the final score if there is a **phrase match** between the query and a part of the document: that means, if the character is indicated in the title of the document with the same order of the query will be *pushed up* in the score table.

Finally, we can evaluate our search engine with **Recall\_10** displaying this top 10 results in a random order.

# 2 Part 2

```
[]: #REMOVE_OUTPUT#
  #YOUR CODE STARTS HERE#
import csv
import random
import itertools as it
import numpy as np
import pandas as pd
import time
import matplotlib.pyplot as plt

#YOUR CODE ENDS HERE#
#THIS IS LINE 15#
```

```
[304]: set_characters_of_interest = set(
          [' ', '0', '1', '2', '3', '4', '5', '6', '7', '8', '9', 'a', 'b', 'c', 'd', __
       'm', 'n', 'o', 'p', 'q', 'r', 's', 't', 'u', 'v', 'w', 'x', 'y', 'z'])
      def cleaner(text, set__characters_of_interest):
          new_text = ""
          previous_copied_character = "a"
          for c_character in text:
              c_character = c_character.lower()
              if c_character not in set__characters_of_interest:
                  c character = " "
              if c_character == " " and c_character == previous_copied_character:
                  continue
              new_text += c_character
              previous_copied_character = c_character
          new_text = new_text.strip()
          return new_text
```

```
[305]: def get_shingle_id(shingle):
    global max_shingle_id
```

```
global map__shingle__shingle_id

#
shingle_id = map__shingle__shingle_id.get(shingle, -1)

#
if shingle_id >= 0:
    return shingle_id

max_shingle_id += 1
shingle_id = max_shingle_id
map__shingle_shingle_id[shingle] = max_shingle_id

#
return shingle_id
```

```
input_file = open(input_file_name, 'r', encoding="utf-8")
           input_file_csv_reader = csv.reader(input_file,__
        delimiter=input_file_delimiter, quotechar=input_file_quotechar)
           next(input file csv reader)
           for record in input_file_csv_reader:
               doc_id = int(record[doc_id_column_idx])
               document = record[field column idx]
               cleaned_document = cleaner(document, set__characters_of_interest)
               set__shingle_id = shingler(cleaned_document, width=shingle_width)
               output_file_csv_writer.writerow([doc_id, set__shingle_id])
               if doc_id % 1000 == 0:
                   print("Last processed doc_id:", doc_id)
               #
           input_file.close()
           output file.close()
           print("Last processed doc_id:", doc_id)
           print()
           print("max_shingle_id=", max_shingle_id)
           print()
           print()
           return max_shingle_id
[308]: def is_prime(number):
           if number == 2:
               return True
           if (number % 2) == 0:
               return False
           for j in range(3, int(number ** 0.5 + 1), 2):
               if (number % j) == 0:
                   return False
           return True
[309]: def create_hash_functions(number_of_hash_functions,__
        -upper_bound_on_number_of_distinct_elements, seed=42):
           random.seed(seed)
           map_hash_function_id__a_b_p = {}
           set_of_all_hash_functions = set()
```

```
[310]: def create_c_set_MinWiseHashing_sketch(c_set,
                                              map_as_list__index__a_b_p,
                                              total_number_of_hash_functions,_
        →use_numpy_version = True):
           if use numpy version:
             app = np.array(map_as_list__index__a_b_p)
             c_set_MinWiseHashing_sketch = list(np.min((app[:,:1]*np.
        →array(list(c_set))[None,:]+app[:,1:2])%app[:,2:],axis=1))
             plus inf = float("+inf")
             c_set_MinWiseHashing_sketch = [plus_inf] * total_number_of_hash_functions
             for c_element_id in c_set:
                 for index, (a, b, p) in enumerate(map_as_list__index__a_b_p):
                     c_hash_value = (a * c_element_id + b) % p
                     if c_hash_value < c_set_MinWiseHashing_sketch[index]:</pre>
                         c_set_MinWiseHashing_sketch[index] = c_hash_value
                 #
           return c_set_MinWiseHashing_sketch
```

```
onumber of hash functions that is also the sketch lenght and also the number of simulated pe
       upper_bound_on_number_of_distinct_elements)
  map__set_id__MinWiseHashing_sketch = {}
  total_number_of_hash_functions = len(map__hash_function_id__a_b_p)
   # sorted_list_all_hash_function_id = sorted(map__hash_function_id__a_b_p.
\hookrightarrow keys())
  map_as_list__index__a_b_p = tuple([(a, b, p) for a, b, p in_{\sqcup}
→map__hash_function_id__a_b_p.values()])
  input_file = open(input_file_name, 'r', encoding="utf-8")
   input_file_csv_reader = csv.reader(input_file, delimiter='\t',_

¬quotechar='"', quoting=csv.QUOTE_NONE)
  header = next(input_file_csv_reader)
  num_record_so_far = 0
  for record in input_file_csv_reader:
    num_record_so_far += 1
     if num record so far % 100 == 0:
         print(num_record_so_far)
    c set id = int(record[0])
    c_set = eval(record[1])
     c_set_MinWiseHashing_sketch =_
ocreate_c_set_MinWiseHashing_sketch(c_set,map_as_list__index__a_b_p,
→total_number_of_hash_functions,
                                                                      1.1
→use_numpy_version)
     #print(len(c set MinWiseHashing sketch))
    map_set_id__MinWiseHashing_sketch[c_set_id] = c_set_MinWiseHashing_sketch
  input file.close()
  output file = open(output file name, 'w', encoding="utf-8")
  output_file_csv_writer = csv.writer(output_file, delimiter='\t',__

¬quotechar='"', quoting=csv.QUOTE_NONE)
  header = ['set_id', 'MinWiseHashing_sketch']
  output_file_csv_writer.writerow(header)
  sorted_list_all_set_id = sorted(map__set_id__MinWiseHashing_sketch.keys())
  for c_set_id in sorted_list_all_set_id:
       output_file_csv_writer.writerow([c_set_id,_
str(map_set_id_MinWiseHashing_sketch[c_set_id])])
  output file.close()
```

```
return
```

```
[313]: def get_set_of_CANDIDATES_to_be_near_duplicates(r, b,_
        →map set id MinWiseHashing sketch):
           set_of_CANDIDATES_to_be_near_duplicates = set()
          for c_band_progressive_id in range(b):
              print("c_band_progressive_id", c_band_progressive_id)
               c_band_starting_index = c_band_progressive_id * r
               c_band_ending_index = (c_band_progressive_id + 1) * r
              map__band__set_set_id = {}
               for c_set_id in map__set_id__MinWiseHashing_sketch:
                   if r * b != len(map_set_id_MinWiseHashing_sketch[c_set_id]):
                      n = len(map_set_id_MinWiseHashing_sketch[c_set_id])
                       message = "ERROR!!! n != r*b " + str(n) + "!=" + str(r*b) + ";

    " + str(n) + "!=" + str(r) + "*" + str(
                           b)
                       raise ValueError(message)
                   c_band_for_c_set = tuple(
        map__set_id__MinWiseHashing_sketch[c_set_id][c_band_starting_index:
        ⇒c band ending index])
```

```
#
    appx_jaccard = compute_approximate_jaccard(set_a_MinWiseHashing_sketch,_

set_A_MinWiseHashing_sketch)

#
    if appx_jaccard >= jaccard_threshold:
        map__set_a_id__set_A_id__appx_jaccard[(set_a_id, set_A_id)] =_

appx_jaccard

#

#
return map__set_a_id__set_A_id__appx_jaccard
```

```
[316]: def mine couples of Near Duplicates (input file name, r, b, jaccard threshold):
          print("Starting the loading of the MinWiseHashing sketches from the input⊔
        ⇔file.")
          map set id MinWiseHashing sketch = 11
        aload_map__set_id__MinWiseHashing_sketch_from_file(input_file_name)
          print("Number of sets=", len(map_set_id_MinWiseHashing_sketch))
          print()
          print("Starting the mining of the CANDIDATES couples to be near duplicates.
        ⇒")
          set of CANDIDATES to be near duplicates =
        →get_set_of_CANDIDATES_to_be_near_duplicates(r, b,
                   map__set_id__MinWiseHashing_sketch)
          print()
          print("Number of pairs of sets to be near-duplicate CANDIDATES=", __
        →len(set_of_CANDIDATES_to_be_near_duplicates))
          print()
          map__set_a_id__set_A_id__appx_jaccard =_
        →compute_approximate_jaccard_to_REDUCE_the_number_of_CANDIDATES_to_be_near_duplicates(
               set of CANDIDATES to be near duplicates,
        map_set_id__MinWiseHashing_sketch, jaccard_threshold)
          print()
          print("Number of REFINED pairs of sets to be near-duplicate CANDIDATES=",
                 len(map__set_a_id__set_A_id__appx_jaccard))
          print()
          output_file = open(output_file_name, 'w', encoding="utf-8")
          output_file_csv_writer = csv.writer(output_file, delimiter='\t',_

¬quotechar='"', quoting=csv.QUOTE_NONE)
          header = ['set_a_id', 'set_b_id', 'approximate_jaccard']
```

```
output_file_csv_writer.writerow(header)
sorted_list_all_set_id = sorted(map__set_id__MinWiseHashing_sketch.keys())
for set_a_id__set_A_id in map__set_a_id__set_A_id__appx_jaccard:
    appx_jaccard = map__set_a_id__set_A_id__appx_jaccard[set_a_id__set_A_id]
    output_file_csv_writer.writerow([set_a_id__set_A_id[0],__
set_a_id__set_A_id[1], appx_jaccard])
output_file.close()
return
```

# 2.1 Part 2.1

### 2.1.1 2.1.1

Download the dataset from the Drive link (code already provided).

```
[]: #REMOVE_OUTPUT#

!gdown 16LQDmla82XFK1B0lr8H9ycm01pxjURXN
```

## 2.1.2 2.1.2

Inspect the dataset: print the list of fields names. Print the value of the song field for the last 3 documents.

```
[318]: #YOUR CODE STARTS HERE#
df_songs = pd.read_csv('150K_lyrics_from_MetroLyrics.csv')
print("The list of flieds names in the dataset: \n", list(df_songs.columns))
print("\n")
print("The value of the song field for the last 3 documents:")
df_songs.tail(3)
#YOUR CODE ENDS HERE#
#THIS IS LINE 20#
```

```
The list of flieds names in the dataset:
['ID', 'song', 'year', 'artist', 'genre', 'lyrics']
```

The value of the song field for the last 3 documents:

```
[318]:
                   ID
                                             song
                                                   year
                                                               artist genre \
       149997
               209048
                      oh-what-a-fool-i-have-been
                                                   2007
                                                         gary-glitter rock
       149998
              209049
                                                   2007
                                       lonely-boy
                                                         gary-glitter rock
       149999 209050
                                  sidewalk-sinner
                                                   2007
                                                         gary-glitter rock
                                                          lyrics
       149997 oh what a fool i've been you changed this begg...
       149998 i'm just a lonely boy lonely and blue i'm all ...
       149999 a long the grooviest street in town you're tre...
```

# 2.1.3 2.1.3

Turn the lyrics field of each document into a sets of shingles of length 4 and save the result to a file named hw1\_set\_id\_set\_of\_integers.tsv

```
[]: #REMOVE OUTPUT#
    #YOUR CODE STARTS HERE#
    set__characters_of_interest = set(
        [' ', '0', '1', '2', '3', '4', '5', '6', '7', '8', '9', 'a', 'b', 'c', 'd', __
     'm', 'n', 'o', 'p', 'q', 'r', 's', 't', 'u', 'v', 'w', 'x', 'y', 'z'])
    max\_shingle\_id = -1
    map__shingle__shingle_id = {}
    input_file_name = "150K_lyrics_from_MetroLyrics.csv"
    input_file_delimiter = ','
    input_file_quotechar = '"'
    output_file_name = "hw1_set_id_set_of_integers.tsv"
    shingle width = 4
    doc id column idx = 0
    field_column_idx = 5
    max_shingle_id = create_sets_of_shingle_ids(input_file_name, output_file_name,_u
     →input_file_delimiter,
                                               input_file_quotechar,_
     ⇔set_characters_of_interest, shingle_width,
                                               doc_id_column_idx, field_column_idx)
    #YOUR CODE ENDS HERE#
    #THIS IS LINE 20#
```

## 2.1.4 2.1.4

Load the file containing the sets of shingles and apply MinWiseHashing, saving the result into a file named hw1\_set\_id\_MinWiseHashing\_sketch.tsv. Choose the number of hash functions (n) in relation to the constraints highlighted at the beginning of part 2. Provide an explanation for your choice in exactly one sentence.

VOUD	TFYT	STARTS	HEDE	
roun	$1L\Lambda 1$	STARTS	nene	

We choose **200** as the number of hash functions n because it seem to us to be a **good compromise** between a fast system (too many hash functions would have slowed down the next phase of LSH) and one that can lead to accurate results.

### $2.1.5 \quad 2.1.5$

To perform Locality Sensivity Hashing, you have to choose the number of rows (r) and the number bands (b). List all the possible choices of r and b that satisfy the constraints highlighted at the beginning of part 2, according to the number of hash functions you chose.

For all of these configurations, plot all the associated S-curves. The S-curve is defined as the probability (y-axis) that a pair of documents with Jaccard similarity j (x-axis) is selected as a near-duplicate candidate given r and b. Plot all S-curves in the same plot.

```
[321]: #YOUR CODE STARTS HERE#

df = pd.DataFrame(data = [(1, 200), (2, 100), (4, 50), (5, 40), (8, 25), (10, 20), (20, 10), (25,8), (40, 5), (50, 4), (100, 2), (200, 1)], columns=['b', 20'r'])

df['n'] = df['b'] * df['r']

for j in np.linspace(0, 1, 200):

df[j] = 1 - (1 - j**df['b']) ** df['r']

df = pd.pivot_table(df, index=['n', 'b', 'r'])

ax = df.T.plot(figsize=(30, 20))

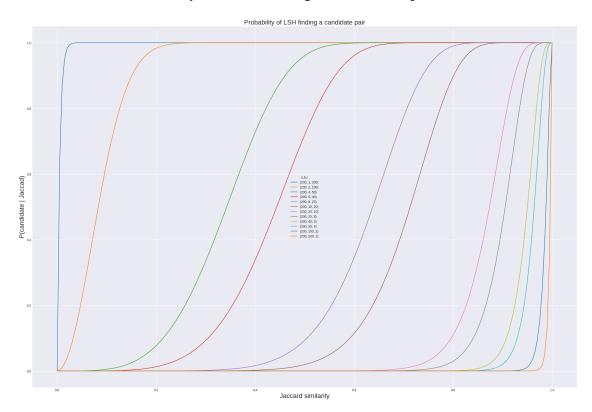
plt.ylabel('P(candidate | Jaccad)', fontsize = 20)

plt.xlabel('Jaccard similarity', fontsize = 20)

plt.title('Probability of LSH finding a candidate pair', fontsize = 20)
```



[321]: Text(0.5, 1.0, 'Probability of LSH finding a candidate pair')



# 2.1.6 2.1.6

Among all the configurations you plotted in the previous step, choose the one that gives the smallest amount of False-Positives and False-Negatives near-duplicates candidates, satisfying the provided constraints. You **must** take into account, that after the LSH procedure, the approximate Jaccard similarity between near-duplicate candidates is computed and used to reduce their number.

Provide an explanation for your choice in at most 3 sentences.

# [322]: #YOUR CODE STARTS HERE# r = 20 b = 10 #YOUR CODE ENDS HERE# #THIS IS LINE 5#

# -YOUR TEXT STARTS HERE-----

The *rightmost S-curves* in the plot are those with **high b** so also a higher number of False-Positives candidates (lower similarity threshold), while the *leftmost S-curves* are those with **lower b** so also a higher number of False-Negatives candidates (higher similarity threshold).

A number of bands b equal to 10 and a number of rows r equal to 20 (the brown S-curve in the plot) turned out to be the solution that fit better our dataset because, being in an **intermediate configuration**, we generate the smallest amount of both False-Negatives and False-Positives (which will then be removed with the approximate Jaccard Similarity).

### 2.1.7 2.1.7

Load the file containing the MinWiseHashing sketches and perform Locality Sensivity Hashing, using the parameters you chose in last step, considering also the computation of approximate Jaccard to reduce the number of candidates. Save the Near-Duplicates candidates obtained to a file named hw1\_NearDuplicates\_set\_a\_id\_set\_b\_id\_approximate\_jaccard.tsv.

Print the execution time.

```
[323]: #YOUR CODE STARTS HERE#
      jaccard_threshold = 0.93
      input_file_name = "hw1_set_id_MinWiseHashing_sketch.tsv"
      output_file_name = "hw1_NearDuplicates_set_a_id_set_b_id_approximate_jaccard.
       ⇔tsv"
      start time = time.time()
      mine_couples_of_Near_Duplicates(input_file_name, r, b, jaccard_threshold)
      end time = time.time()
      print("The execution time is:", end_time-start_time, "seconds.")
      #YOUR CODE ENDS HERE#
       #THIS IS LINE 30#
```

Starting the loading of the MinWiseHashing sketches from the input file.

```
Number of sets= 150000

Starting the mining of the CANDIDATES couples to be near duplicates.
c_band_progressive_id 0
c_band_progressive_id 1
c_band_progressive_id 2
```

```
c_band_progressive_id 3
c_band_progressive_id 4
c_band_progressive_id 5
c_band_progressive_id 6
c_band_progressive_id 7
c_band_progressive_id 8
c_band_progressive_id 9
```

Number of pairs of sets to be near-duplicate CANDIDATES= 18831

Number of REFINED pairs of sets to be near-duplicate CANDIDATES= 17177

The execution time is: 65.42058444023132 seconds.

# 2.1.8 2.1.8

Load the file containing the number of near-duplicates candidates. Print the number of near-duplicates candidates you found.

#YOUR CODE ENDS HERE#
#THIS IS LINE 30#

At the end of the LSH, we found 17177 near-duplicates candidates.

First 10 candidate pairs in the file:

	set_a_id	set_b_id	approximate_jaccard
0	84406	142635	1.0
1	93123	93130	1.0
2	66462	98489	1.0
3	118894	118976	1.0
4	55585	129461	1.0
5	183011	183054	1.0
6	118924	119084	1.0
7	118957	119032	1.0
8	118943	119097	1.0
9	127105	127205	1.0
10	4543	129016	1.0

# 2.2 Part 2.2

You will be given a scenario and you will have to provide the best solution.

# 2.2.1 2.2.1

Let us consider the same scenario as in Part 2.1, with the only addition of not wanting more than 100 False Negatives. How would the choice of the LSH configuration change? Would you need any more information to satisfy the new constraint?

We may compute the number of False-Negatives as the *probability that two documents D1 and D2* are not similar in all b bands and it is reasonable to assume that **this probability is very low if b is high enough**.

 $P(D1 \text{ and } D2 \text{ are not similar in all b bands}) = (1 - (Jaccard)^r)^b$ 

The LSH configurations that respect the constraint that False-Negatives candidates are less than 100 are those where **b** is greater than or equal to 20 (the rightmost S-curves) because increasing the bands also increases the probability of finding the most similar candidate pairs.

In this way, the number of False-Positives and that of False-Negatives is no longer balanced: we would also need a maximum number of False-Positives to choose a reasonable and not too high b.