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Data Management Planning

Module 1 Data Acquisition and Management
CAS Applied Data Science, 23.08.2019

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Topics

- Introduction
- Open Science
- Data management
 - General introduction
 - File naming / Folder structure
 - Metadata & Documentation
 - Data protection
 - Storage & Backup
- Data sharing & Reuse
 - General introduction
 - Repository
 - Licenses

Who are we?



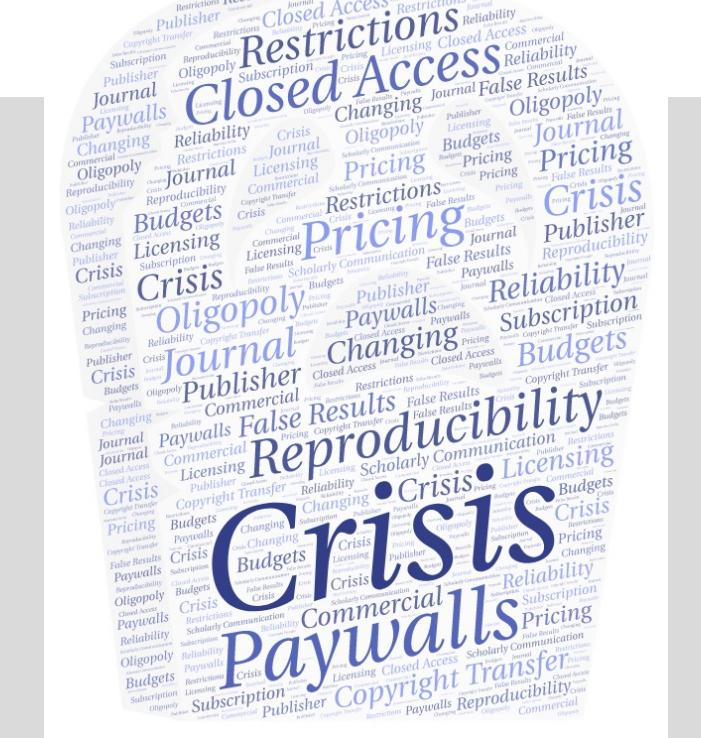
Who are you?



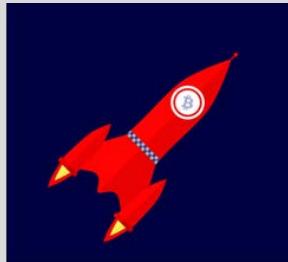
Issues

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Journal Crisis



Subscription prices to journals

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skyrocketing, unsustainable prices



Access gaps

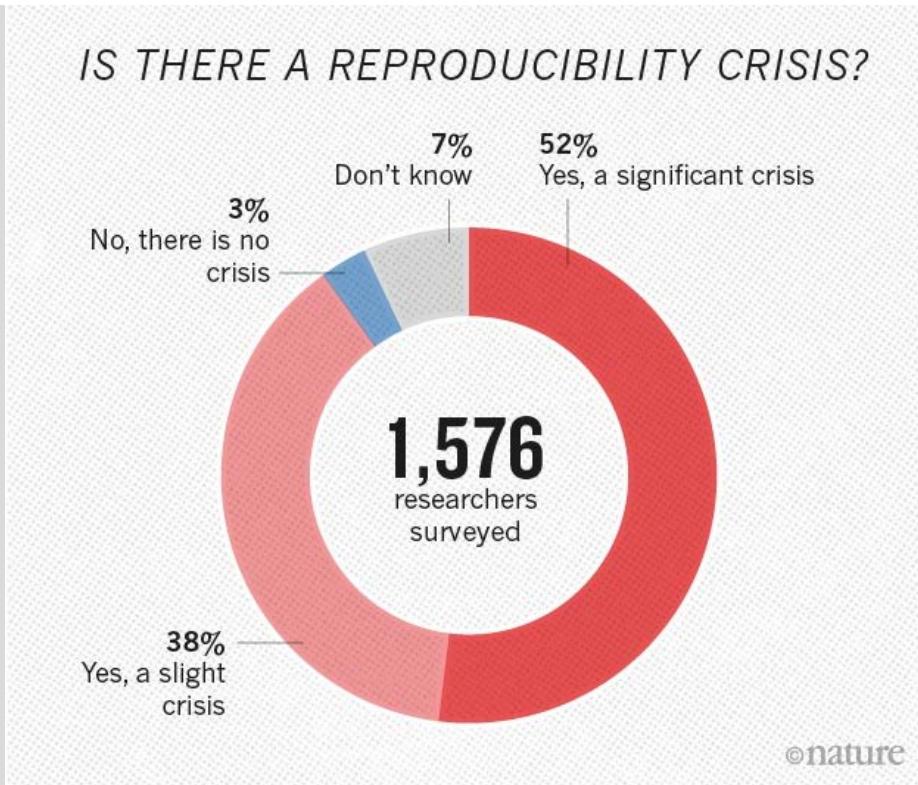
Reproducibility Crisis

The image is a collage of news articles from scientific journals, primarily from the journal 'Science'. The top right article features a green and blue abstract image of brain activity. The other articles are text-based with some images.

- Science** - Research: Restoring Study 329: efficacy and harms of paroxetine and imipramine treatment of major depression in adolescence. BMJ 2015; 351 doi: <https://doi.org/10.1136/bmj.h420> (Published 16 September 2015). Cite this as: BMJ 2015;351:h320
- IN DEPTH COMPUTER SCIENCE** - Artificial intelligence faces reproducibility crisis by Matthew Hutson. Science 16 Feb 2018; 359(6377), pp. 729-729 DOI: 10.1126/science.359.6377.729
- FEATURED** - Is there a reproducibility “crisis” in biomedical science? No, but there is a reproducibility problem by David Gorski. Published June 6, 2014.
- REPLICATION, FALSIFICATION, AND THE CRISIS OF CONFIDENCE IN SOCIAL PSYCHOLOGY** by John Le Noury, research psychologist¹; John M Haro, retired clinical assistant professor²; David Heale³; Jon Joncas, clinical professor³; Melissa Raven, postdoctoral fellow⁴; Caitlin Turner, research associate⁵; Ella Aho-Jaukka, staff psychiatrist⁶. Accepted 3 August 2015. PNAS, Psychol., 19 May 2015 | <https://doi.org/10.1073/pnas.1503390111>
- POLICY & POLICY** - In Medicine, the Science Has Stopped Working by PASCAL-EMMANUEL GOBET | November 15, 2017 4:05 PM
- MEDICAL EDUCATION** - Most scientists 'can't replicate studies' by Tom Fielden, Science correspondent, Today programme | 22 February 2017
- Addressing the Research Replication Crisis** by Greg Breining, special to Science. Tuesday, December 12, 2017 | by Greg Breining, special to Science
- HIDDEN DATA** - Addressing the Research Replication Crisis by Greg Breining, special to Science. Tuesday, December 12, 2017 | by Greg Breining, special to Science

Reproducibility Crisis

Is There a Crisis?



Reproducibility Crisis

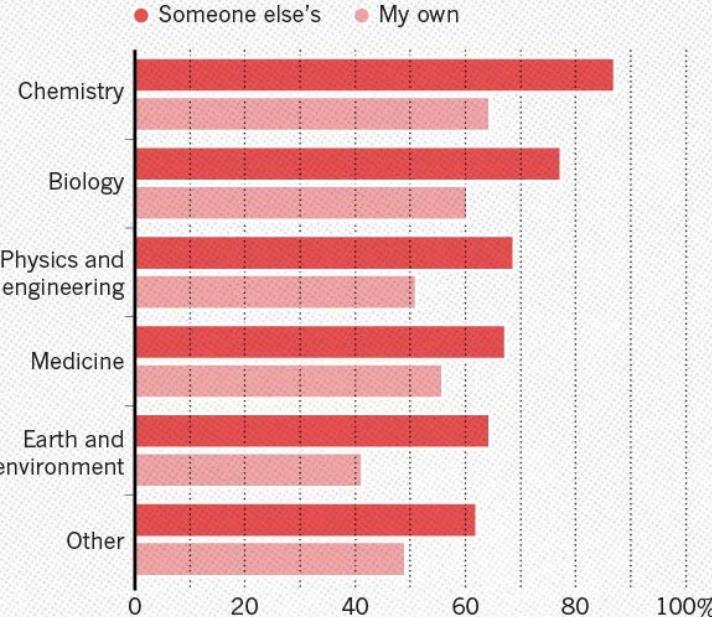
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Failed to Reproduce?

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HAVE YOU FAILED TO REPRODUCE AN EXPERIMENT?

Most scientists have experienced failure to reproduce results.



Open Science

Definition

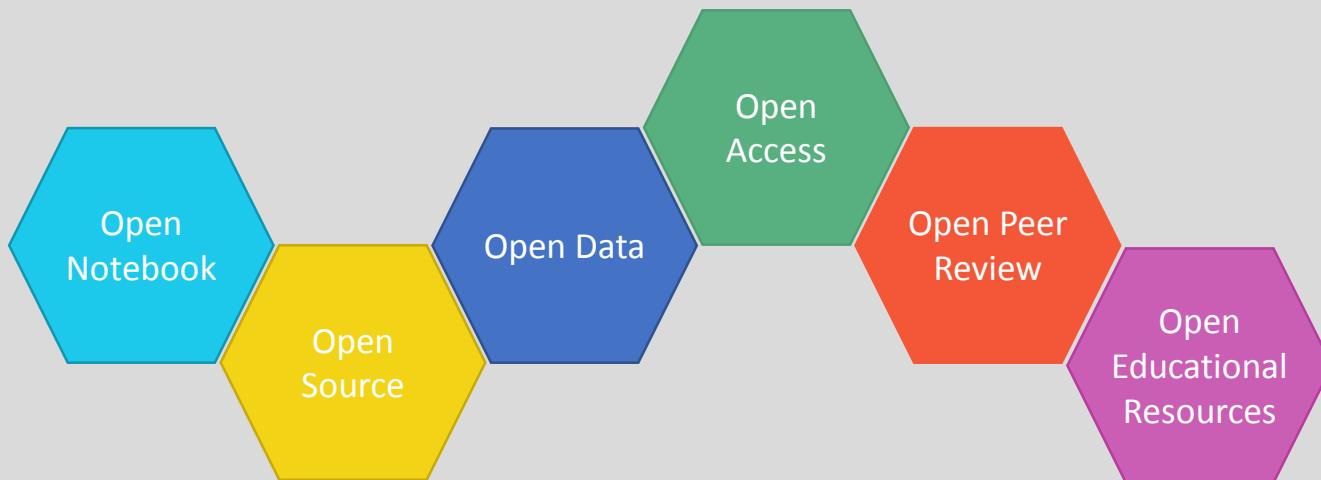
“Open Science (OS) [is] the practice of science in such a way that others can collaborate and contribute, where research data, lab notes and other research processes are freely available, under terms that enable reuse, redistribution and reproduction of the research and its underlying data and methods.”

Foster

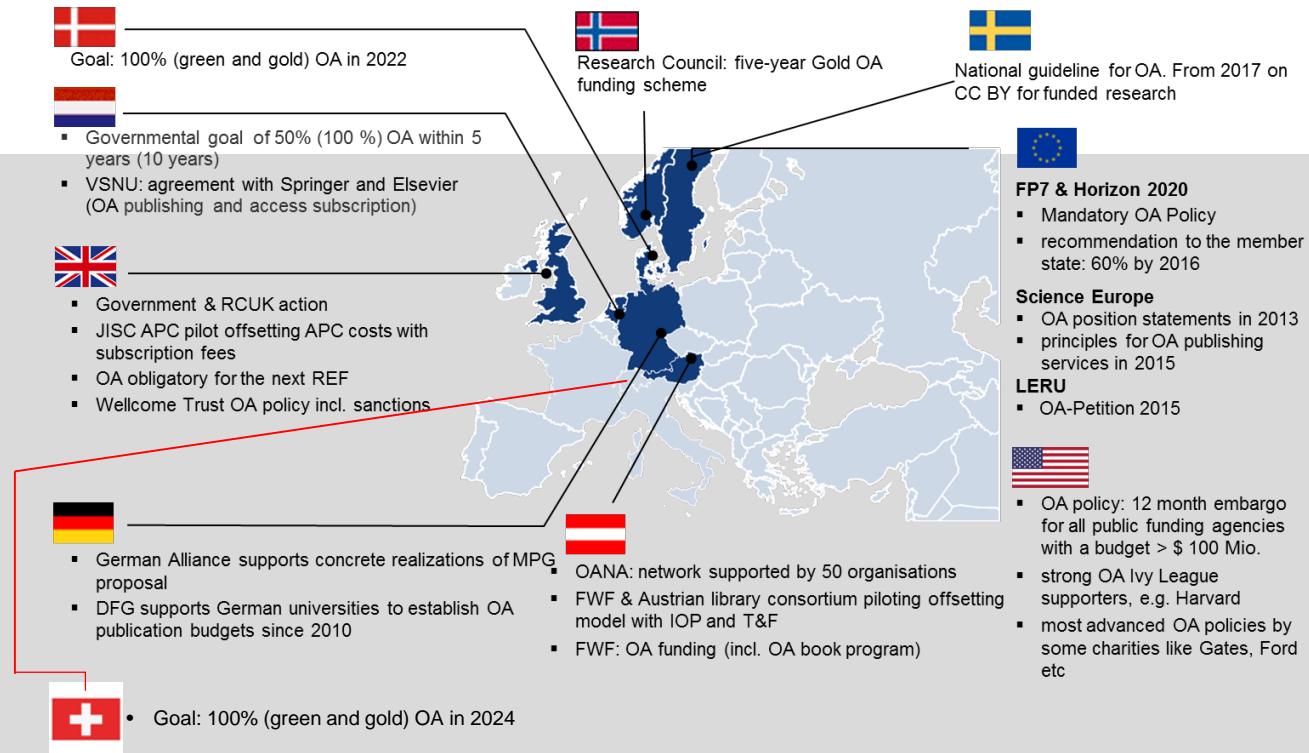
Open Science

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National Strategies – Open Access



Open Access Policy

University of Bern

- The University of Bern requires its researchers to deposit a full version of all peer-reviewed and published academic work and the corresponding bibliographical information in the institutional repository of the University of Bern. This makes the academic work publicly available through Open Access, provided that there are no legal obstacles.
- The University of Bern encourages its researchers to publish their research results in Open Access journals, where appropriate journals exist.

Funder Guidelines

Publications & Data

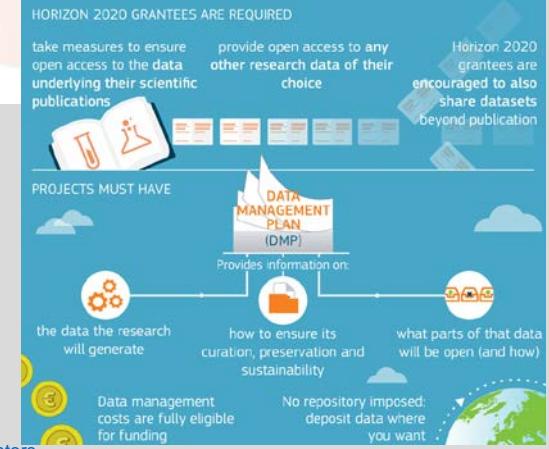


The results of research financed by public funds are regarded as a public good and should be published electronically so that they are immediately available without charge and can be reused by third parties. The SNSF supports the principle of free accessibility: it has adopted the aim that all publications resulting from the funding will be openly accessible as of 2020.



The Horizon 2020 Open Access Mandate

In Horizon 2020, the European Commission (EC) requires that all peer-reviewed publications resulting from project funding are open access (OA), i.e., freely available online with no restrictions on use.



Data policy - PLoS

Data Availability

The following policy applies to all PLOS journals, unless otherwise noted.

PLOS journals require authors to make all data underlying the findings described in their manuscript fully available without restriction at the time of publication. When specific legal or ethical requirements prohibit public sharing of a dataset, authors must indicate how researchers may obtain access to the data.

When submitting a manuscript, authors must provide a *Data Availability Statement* describing compliance with PLOS's policy. If the article is accepted for publication, the data availability statement will be published as part of the accepted article.

Refusal to share data and related metadata and methods in accordance with this policy will be grounds for rejection. PLOS journal editors encourage researchers to contact them if they encounter difficulties in obtaining data from articles published in PLOS journals. If restrictions on access to data come to light after publication, we reserve the right to post a correction, to contact the authors' institutions and funders, or in extreme cases to retract the publication.

Data Management

Introduction



Digitalbevaring.dk

Data Management

Introduction

- General introduction to data management
- Data management in practice:
 - File naming, folder structuring
 - Documentation and metadata
 - Data protection

Data Management

A definition

“Administrative process by which the required data is acquired, validated, stored, protected, and processed, and by which its accessibility, reliability, and timeliness is ensured to satisfy the needs of the data users.”

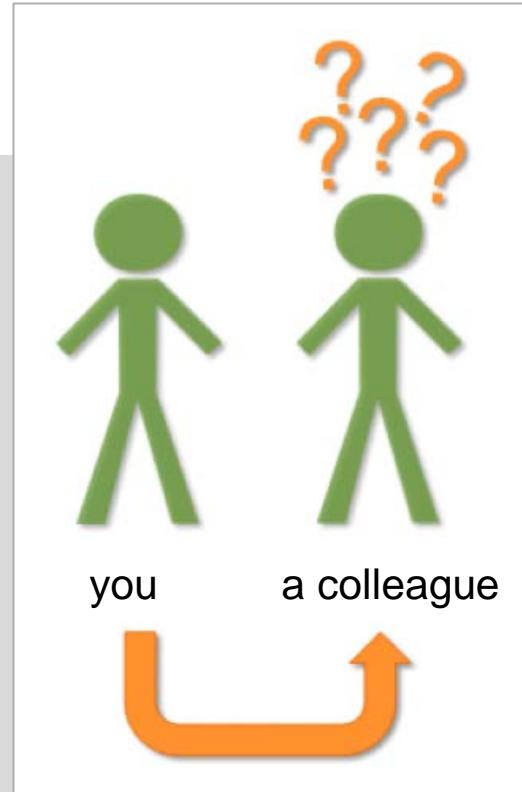
BusinessDictionary

<http://www.businessdictionary.com/definition/data-management.html>

Data Management

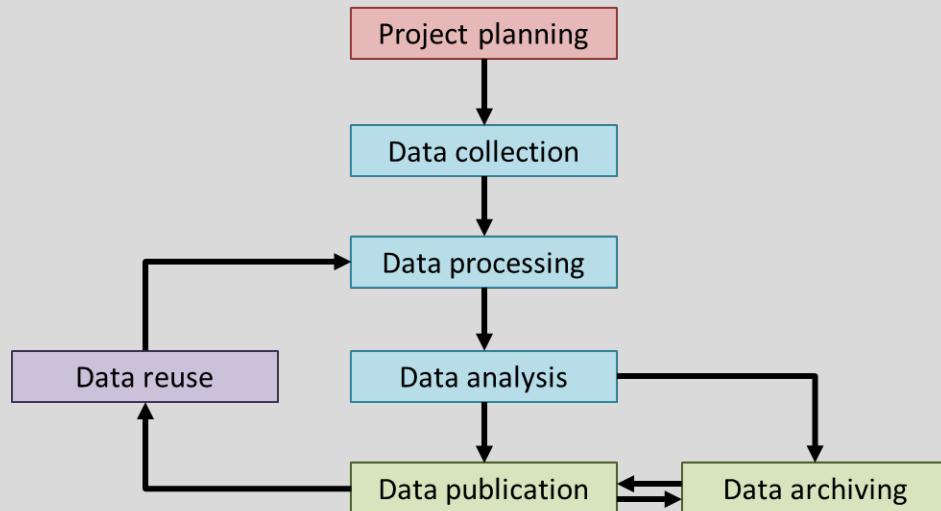
Why?

Help others to make sense of your data – and yourself at a later point!



Data Management

Data Life Cycle



Data Management

DM Planning

- requirement by major research funders
- helps you to ...
 - keep track
 - address all relevant questions systematically and in advance
- DMP as a «living document»

The screenshot shows a user interface for managing a Data Management Plan (DMP) on the mySNF platform. At the top, there is a header with the mySNF logo, a user profile icon, and a notification about an interruption. Below the header, there are navigation links for 'Inbox' (with 1 new item), 'Tasks' (with 1 new item), 'Last visited' (with a dropdown arrow), and a red circular icon indicating an interruption on Saturday, 14.09.2019.

The main content area contains instructions to complete the DMP form in the same language as the research plan. It also states that the information provided is not part of the scientific evaluation and will not be shared. A note mentions that the version of the DMP will be published on P3 (public database of the SNSF) at the end of the project. Detailed guidelines and frequently asked questions are available.

A checkbox allows users to indicate they do not submit a DMP for a specific reason.

The interface is organized into four main sections:

- 1. Data collection and documentation**
 - ! 1.1 What data will you collect, observe, generate or reuse?
 - ! 1.2 How will the data be collected, observed or generated?
 - ! 1.3 What documentation and metadata will you provide with the data?
- 2. Ethics, legal and security issues**
 - ! 2.1 How will ethical issues be addressed and handled?
 - ! 2.2 How will data access and security be managed?
 - ! 2.3 How will you handle copyright and Intellectual Property Rights issues?
- 3. Data storage and preservation**
 - ! 3.1 How will your data be stored and backed-up during the research?
 - ! 3.2 What is your data preservation plan?
- 4. Data sharing and reuse**
 - ! 4.1 How and where will the data be shared?
 - ! 4.2 Are there any necessary limitations to protect sensitive data?
 - ! 4.3 All digital repositories I will choose are conform to the FAIR Data Principles.
 - ! 4.4 I will choose digital repositories maintained by a non-profit organisation.

Data Management

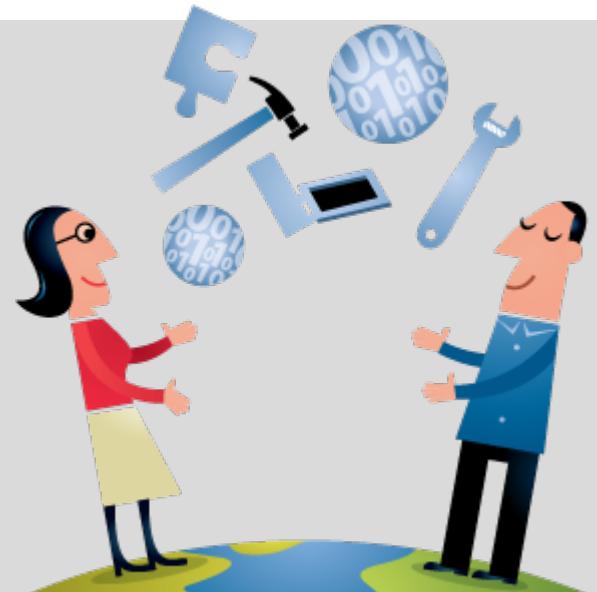
How not to: a Data Management horror story



<https://www.youtube.com/watch?v=N2zK3sAtr-4>, NYU Health Sciences Library, CC BY

Data Management

- What experiences do you have with data management?
- Can you relate to the issues raised in the video?
- Were/are you facing special challenges with your data (e.g. volume, sensitivity ...)?



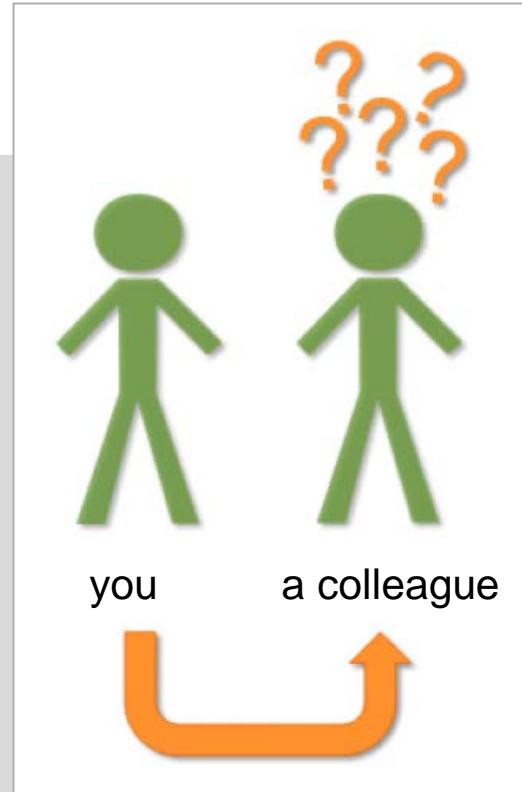
Organization and Naming Convention



Data Management

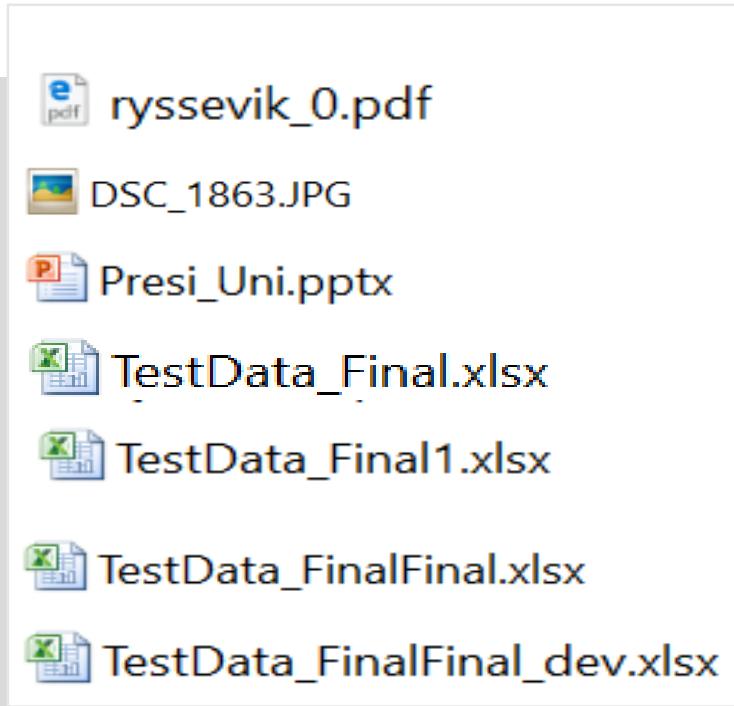
Why?

Help others to make sense of your data – and yourself at a later point!



Organizing your data

How not to



Organizing your data

Best practices

- Be systematic and consistent
- Start early
- Balance between too much and too little
- Who will the system have to work for: You? Lab Group? Collaborators?

Organizing your data

Basic principles – overview

1. Directory structure
2. File naming conventions
3. File version control

Organizing your data

Basic principles – overview

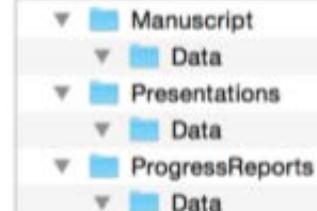
1. Directory structure
 - a. Folders and subfolders
 - b. Tagging
2. File naming conventions
3. File version control

Structuring your data

Folders and subfolders

- Avoid overlapping categories
- Don't let your folders get too big (“fit in one screen”)
- Don't let your structure get too deep (“no more than 4 clicks”)
- Use shortcuts
- keep track of your structure

Overlapping categories

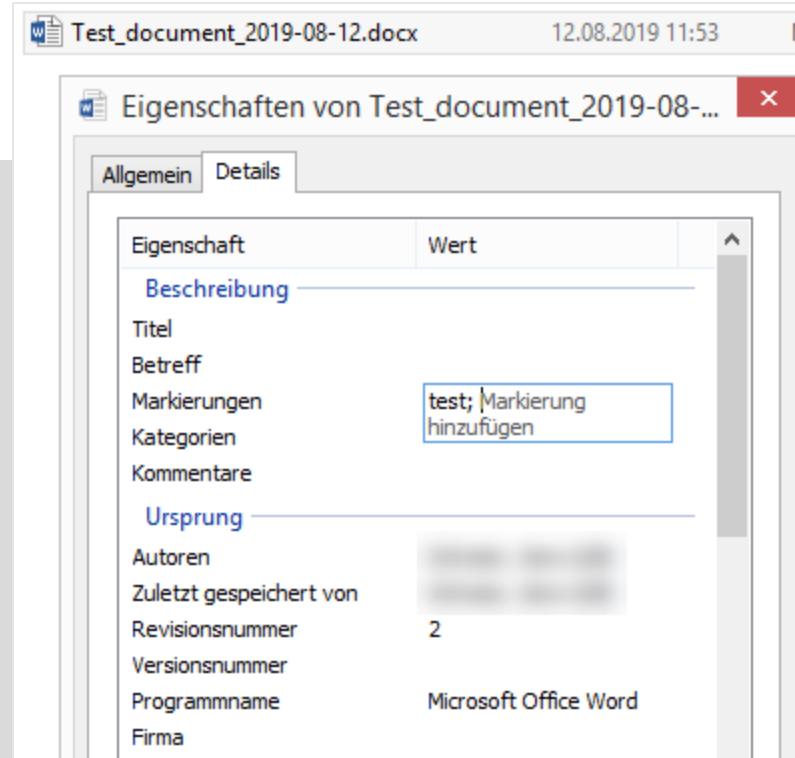


Rule of thumb:
“sure of the right subdirectory”

Structuring your data

Tagging

- include tags for date and status (“done, pending,” etc.)
- be consistent (wording, spelling): create a master list
- one tag: max. 2 words
- tagging supported by OS vs. tagging tools (e.g. TagSpaces)



Structuring your data

Advantages and drawbacks of strategies

Strategy	Advantages	Drawbacks
Folders and subfolders	+ good representation of information structure + Similar items stored together	- 1 item, 1 place - Difficult to change once set up
Tagging	+ 1 item, several places + Easier to set up and change	- Risk of inconsistency - May be difficult to implement technically

Structuring your data

Tips

- Combine folders and tags
- Use tags / folders for uncharacterized files
- Use an archive folder
- Reassess your structure periodically
- Use your structure – don't collect files on your desktop ;)



<https://www.iqbginc.com/starting-records-management-program/>

Structuring your data

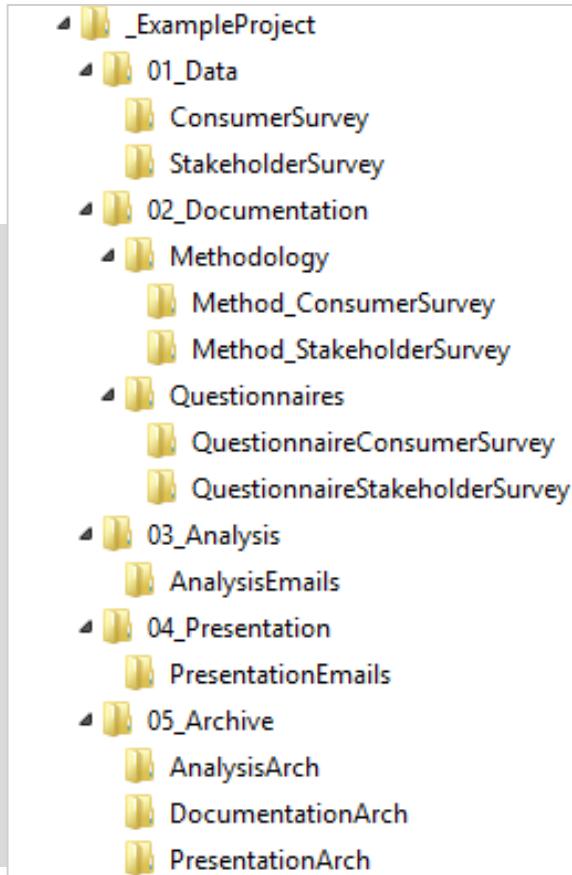
Task – 10 minutes

Please create a folder structure using the following criteria. Work in groups of 2 or 3. The folder naming is up to you.

- a. You are working on a survey project together with a colleague.
- b. The project involves data of a consumer and a stakeholder survey. Both surveys have different methodologies and questionnaires.
- c. You are working on a presentation of survey results for a team meeting and a more lengthy analysis for your superior. Sometimes your colleague sends you new file versions via email.
- d. You foresee that you will be working on the project for half a year. You will be revising questionnaires, methodologies and analyses several times and produce many new versions.

Structuring your data

Task – possible answer



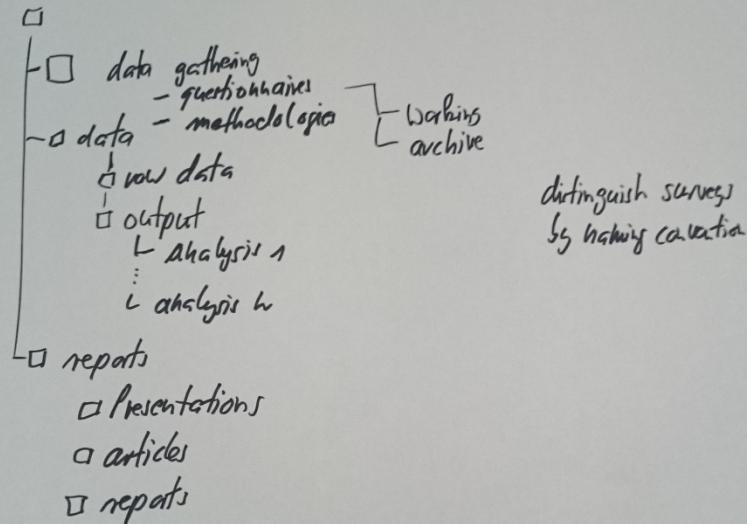
based on https://www.cessda.eu/Training/Training_Resources/Library/Data-Management-Expert-Guide/2.-Organise-Document/File-naming-and-folder-structure, example survey data

Structuring your data

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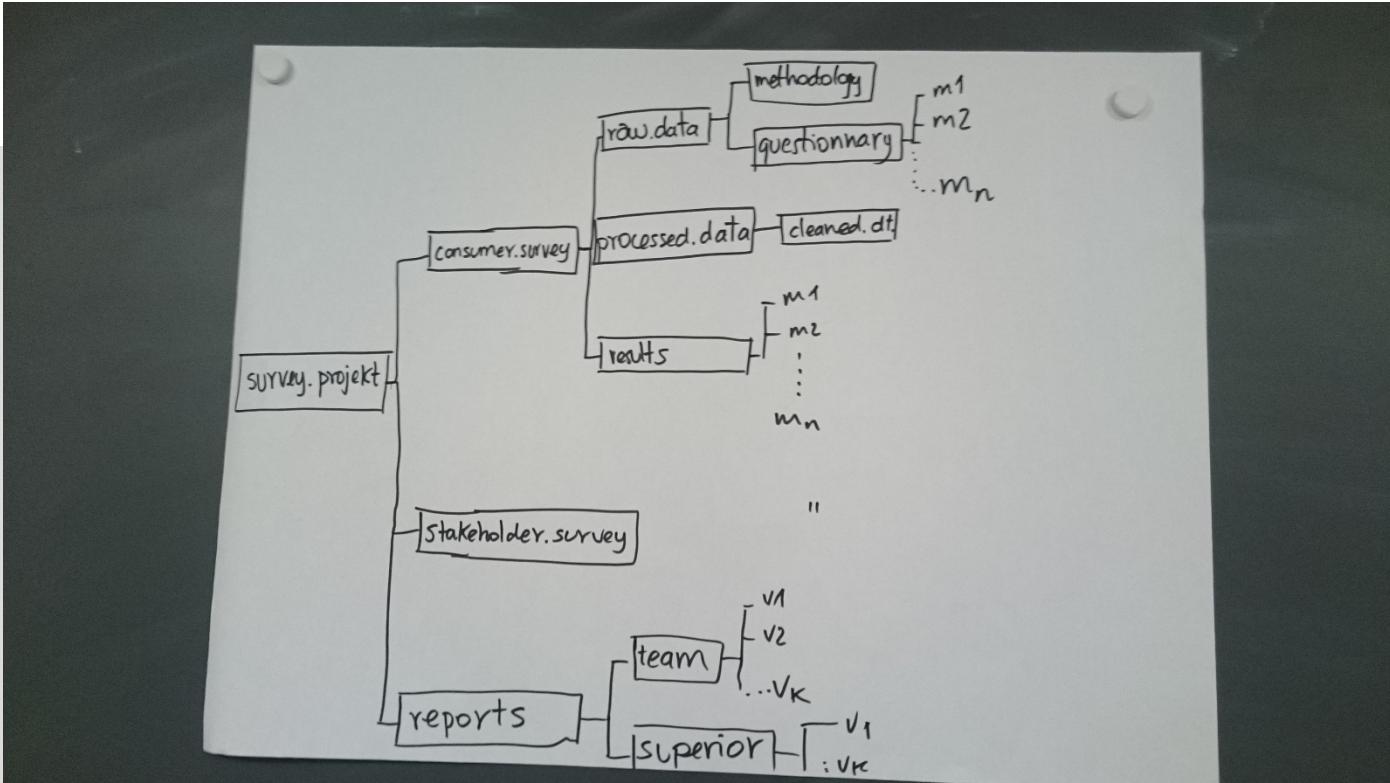
Group 1

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Structuring your data

Group 2

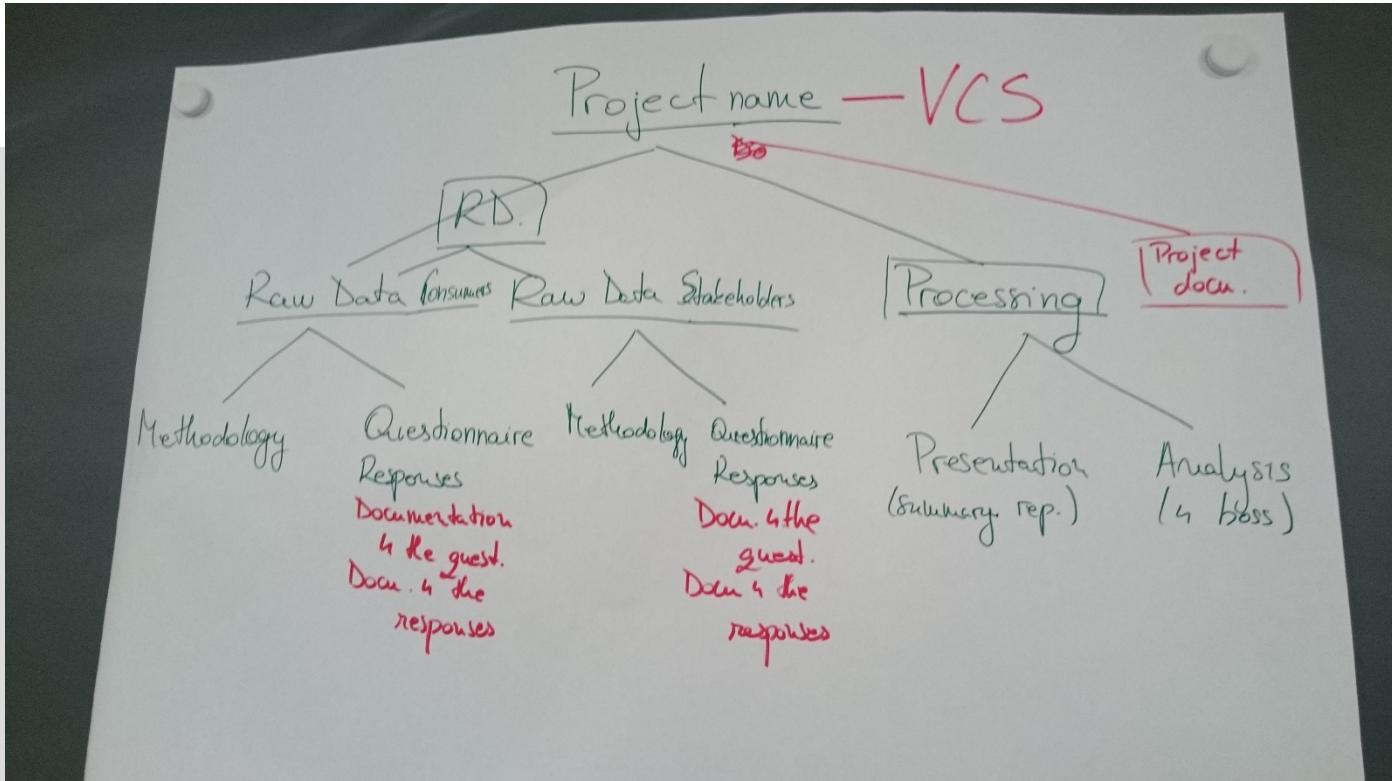


Structuring your data

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Group 3

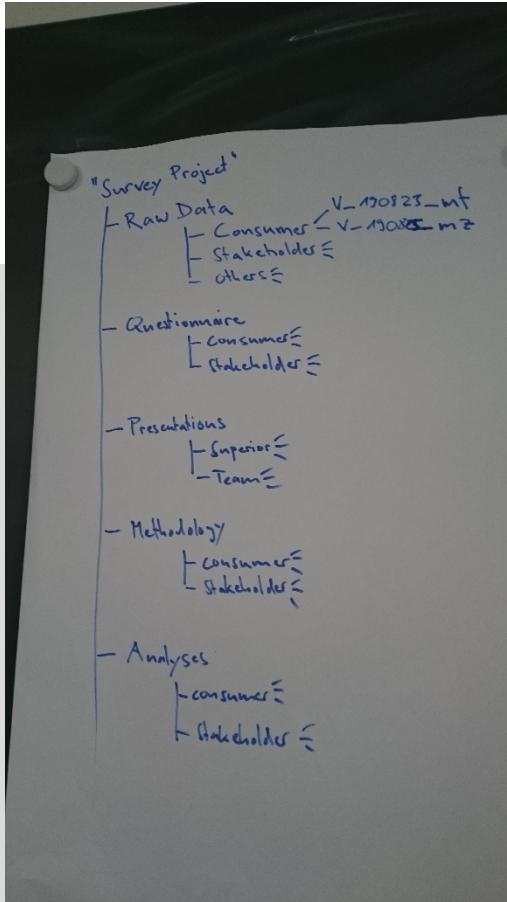
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Structuring your data

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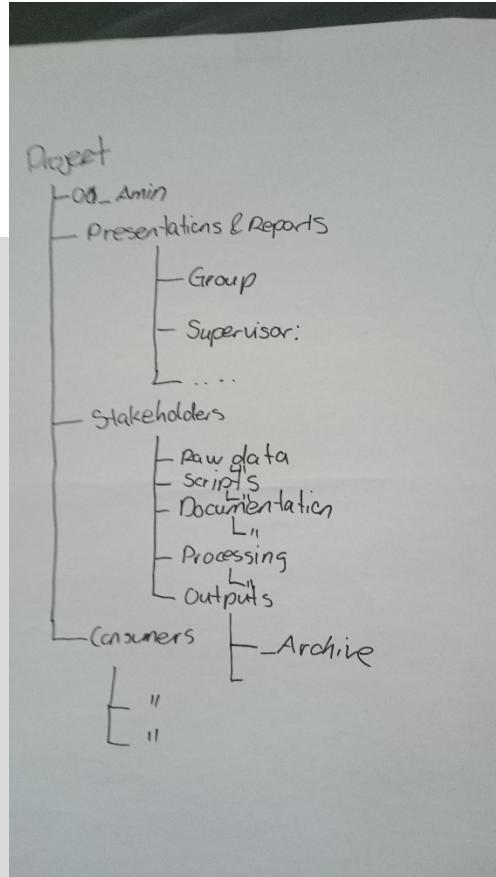
Group 4



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Structuring your data

Group 5



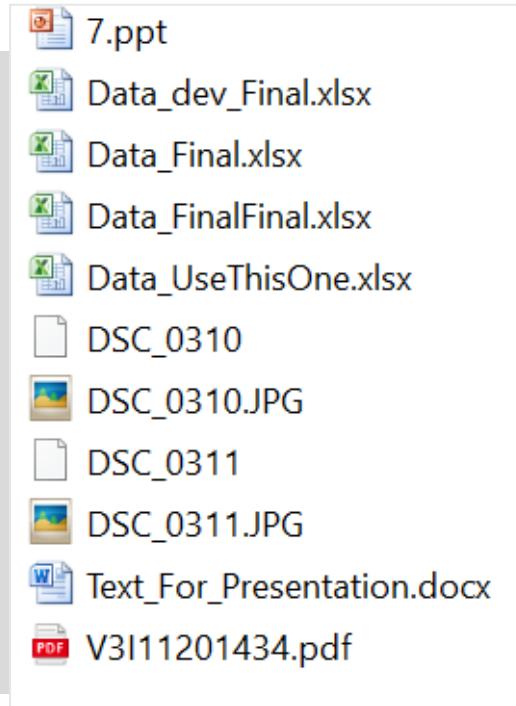
Organizing your data

Basic principles – overview

1. Directory structure
2. File naming conventions
3. File version control

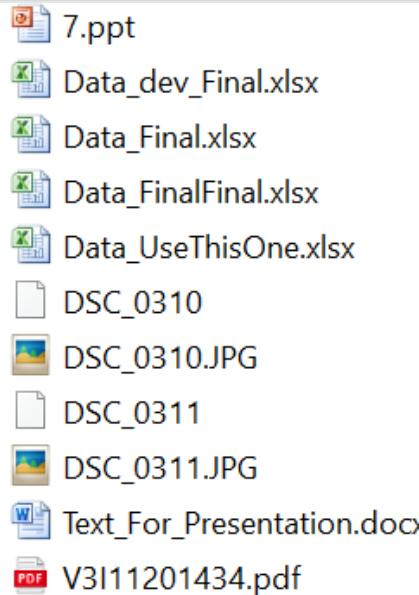
Organizing your data

File Naming



Organizing your data

File Naming Task – 10 minutes



Work in groups of 2-3. Develop a naming convention for your files.

- What are some general considerations when choosing a file name?
- Which parts should it contain?
- Determine the sequence of the components and explain why.
- Where would you document your naming convention?

File Naming Conventions

Tips

Include

- name or initials
- Date
- Version number
- Unique identifier (e.g. project number)
- Project name

Avoid

- spaces (use hyphens or underscores)
- special characters (e.g. & () [] “ ”)

Document your convention (readme-file)

File Naming Conventions

Example: TILS

The screenshot shows a web page header for QUT (Division of Technology, Information and Learning Support) with the URL www.tils.qut.edu.au. Below the header, the title "TILS Document Naming Convention" is displayed. The main content area contains a file name example: "GDL_TILSDocNaming_V1_20090612.docx". Four red boxes with arrows point to specific parts of the file name, each with a descriptive label:

- A prefix shows the document type
- The document title describes the content
- The version number
- The date in the format yyymmdd

Text at the bottom states: "File names created from the TILS document naming convention are made up of four parts joined together with an underscore character (_). There should **not be any spaces** in the file name."

https://www.data.cam.ac.uk/files/gdl_tilsdocnaming_v1_20090612.pdf

File Naming Conventions

Example: TILS

GDL_TILSDocNaming_V1_20090612.docx

PRE_LibDatabaseMgmt_V1_20090124.ppt

Prefix	Meaning
AGD	Agenda
AGR	Agreement
GDL	Guideline
MEM	Memorandum
MIN	Minutes and Notes
PRE	Presentation
PRO	Procedure
PRP	Proposal
REP	Report
TEM	Template

File Naming Conventions

Example: TILS

GDL_TILSDocNaming_V1_20090612.docx

- Version 1 of the TILS Document Naming guidelines prepared on the 12th of June 2009

PRE_LibDatabaseMgmt_V1_20090124.ppt

- A powerpoint presentation about database management prepared by the Library on the 24th of January 2009

File Naming Conventions

Example: Stanford Best Practice

FR3S.140623.129C.2653.W.JPG

File Naming

Example: Stanford Best Practice

Info tracked & the convention used

The researchers wanted to track several things about the tiles:

1. **Study site.** Indicated by the name, ex. FR3, FR7, FR9.
2. **Depth of the water.** Indicated by S (shallow), M (middle), or D (deep).
3. **Date.** Indicated by YYMMDD.
4. **Tile number.** Indicated on the tile.
5. **Tile treatment.** Indicated by C (caged) or U (uncaged).
6. **Number assigned to photo by camera.**
7. **Whether the post-removal photo was of the entire tile or a tile section.**
Indicated by W (whole area), A (upper right), B (lower right), C (lower left), or D (upper left).

Example: FR3S.140623.129C.2653.W.JPG

This was image 2653 of whole, uncovered tile 129 from study site 3 in shallow water, taken on June 23, 2014.

File Naming Conventions

Renaming Files - Tools

Batch/bulk renaming tools e.g.:

- [Ant Renamer](#) (Windows)
- [Renamer 5](#) (Mac)
- [GNOME Commander](#) (Linux)

Tips:

- make sure the software doesn't change the file format
- keep track of original file names

Organizing your data

Basic principles – overview

1. Directory structure
2. File naming conventions
3. File version control

Organizing your data

Version Control

GDL_TILSDocNaming_V1_20090612.docx

- Version 1 of the TILS Document Naming guidelines prepared on the 12th of June 2009

- Revert to previous versions
- Find out what is different between two versions
- Find out what has changed in a specific time period
- Manage multiple versions
- Work with multiple people on the same files
- Transparency and integrity

Version Control

How to – simple

Versioning in file names

- Ordinal numbers for major and decimals for minor changes → file_V1-2
- Use dates to distinguish between versions or add to version numbers → file_V1-2_2019-08-13
- Use the label “final” – but only once ☺ → file_V1-2_2019-08-13
file_V1-3_final

Version Control

How to – simple

Tips

- Use an archive folder
- Delete versions you don't need anymore
- document your convention (readme-file)

Version Control

How to – elaborate

Versioning systems

- [Git](#)
- [Mercurial](#)
- [Bazaar](#)
- [Darcs](#)

... for text or table files

- automated versioning in cloud applications (Office 365, GoogleDocs)
- other software, e.g. Word 2016-19, [Simul](#)

Organizing your data

Any Questions?

Metadata & Documentation

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Metadata

What is it?

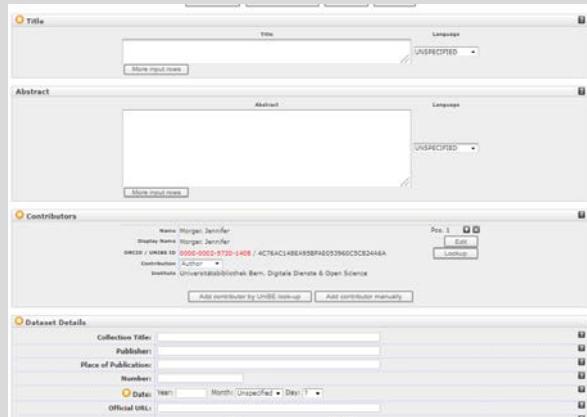
- Commonly described as “data about data”
- Structured information that conforms to standards
- Make data findable, reusable and citable
- For a dataset e.g.: Title, Creator, Description, Format, Rights,...
- Metadata schemas: e.g. Dublin Core, Data Cite

Metadata

Where is it?

- In your raw/processed data
- Manual input
- Automatic input (from lab tools)
- In your documentation [Readme.txt, log files, Info files, Submitting interface]
- File & folder name
- In a data repository [where you will deposit your data]

Metadata In a Repository



4D X-Ray CT data and surface view videos of a systematic comparison of experimental set-ups for modelling extensional tectonics

van, Henk Schijfwaert, Guido Buijzer, Susanna, 2019, 40, 017 (7) data and software: review video of a systematic comparison of experimental results for modelling extensional tectonics. *Tectonophysics*, 102 Data Services

Text

Available under a Creative Commons Attribution (CC-BY) license.

Download (1MB)

URL <https://doi.org/10.1016/j.tecto.2019.01.018>

This data set includes 40 video files (1 image depicting the surface evolution of 29 experiments in crystal extension, as well as 40 CT images (figures and video) of 6 of these experiments. The videos show the evolution of lithologies and mineralogical features representing the evolution of extensional tectonics. The experiments were conducted by the research laboratory of the Department of Earth Sciences at Utrecht University. The experiments were conducted with two different photostimulated luminescence (PSL) cameras (Götsche G-200 and G-200 2.0 MHz). The phosphor decay times depend on the applied extension velocity, but are generally 1 s to 2 ms. The extension velocity ranges from 0.01 to 0.05 mm/s. The extensional strain rates range from 0.01 to 0.05 s⁻¹. The extensional strain intervals are 5–30 min. Data was analysed with the software QWin (Ritmees Software).

File Type: Dataset

Contributor: 43 Faculty of Science & Institute of Geological Sciences

Project: 43 Faculty of Science & Institute of Geological Sciences in Tectonics

Role/Contributor: Zeger-Peter van der Wal

Subjects: 300 Earth Sciences & Geology

Identifier: IFZ Data Services

Endnote: [42] Schijfwaert, Henk

Submitter: Fabio Zuan

Date Deposited: 04-Aug-2019 14:29

Last Modified: 04-Aug-2019 14:29

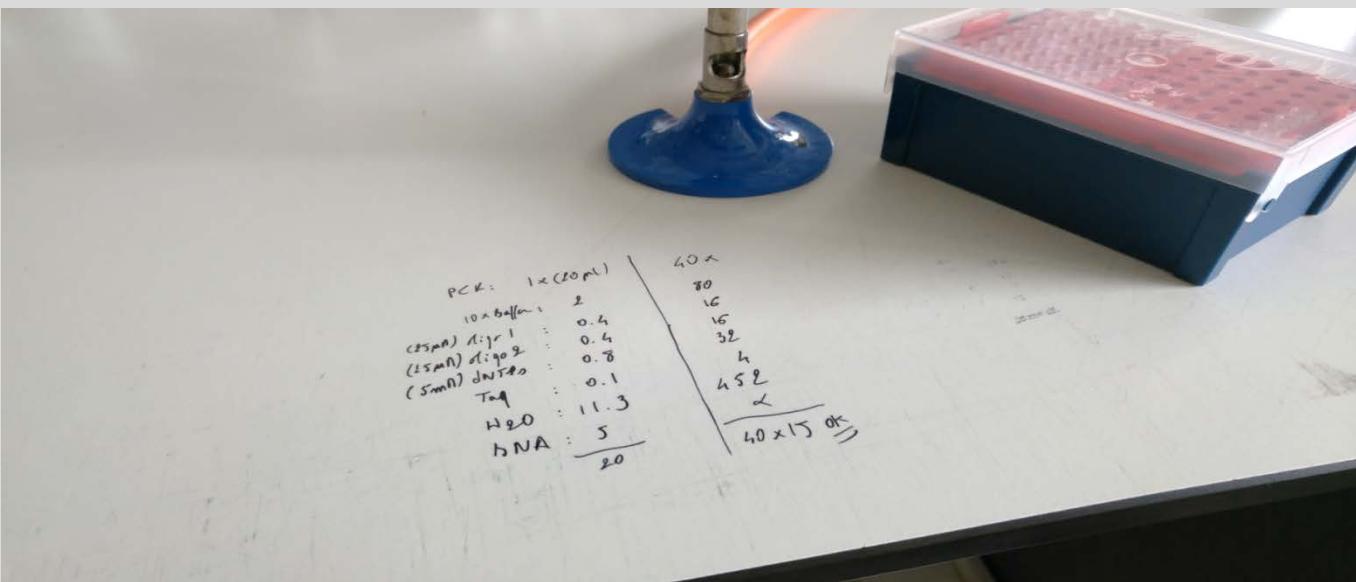
Publication Date: 15.08.017 (Edge 2019-018)

Publication Title: Tectonophysics

CRIS ID: https://doi.org/10.26434/osf.io/2z117

Documentation

How do I document?



Documentation

How do I document?

- Document your data *throughout* your research – do not wait till the end!
- A few tips for continuous documentation:
 - Try a generic note-taking software (e.g. SCINote, OneNote, Evernote,...)
 - Use an electronic lab notebook for structured documenting
 - If you work with scripting languages, such as R or Python, take a look at [Jupyter Notebook](#).

ELN yes, but which one?

More information

- Practical [guidelines](#) on how to introduce ELN and LIMS in an academic research laboratory by the DLCM Team.
- Lists of available products:
 - ELN list by the University of Harvard: [comparative table](#)
 - DLCM list of [ELNs](#) and [LIMS](#)
 - [Website](#) with ELN list and additional information by the Gurdon Institut (University of Cambridge)

Features	Specifications							
	Benchling	Biovia	Confluence	DocuLab	ECL	ELOG	Evernote	Exempla
Interactivity								
Intuitive Interface Design	●	No response received	—	—	—	—	—	No resp. received
Auto Metadata Harvest	—	No response received	✗	●	—	—	—	No resp. received
Search functions can search across file formats and beyond types	●	—	—	—	—	—	—	—
Ability to manipulate files and images	—	—	—	—	—	—	—	—
Support for multiple open windows	●	—	—	—	—	—	—	—
Ability to link out	✗	No response received	—	—	—	—	—	—
Support for Researcher Documentation								
Hyperlink support	●	No response received	●	●	●	●	●	No resp. received
Metadata Creation Prompts	●	No response received	✗	●	—	—	—	No resp. received
Rights Management (licensing)	—	No response received	—	—	—	—	—	—
Protocol Integration	●	—	—	—	—	—	—	—
Adaptability to Lab Workflows								
Accounts/Permissions Levels	●	No response received	—	●	●	●	●	No resp. received
Internal Data Sharing	●	—	—	—	—	—	—	—
Adaptable to a Variety of Workflows	—	No response received	—	—	—	—	—	—
Compatibility with authoring tools	—	No response received	—	—	—	—	—	—
Windows Compatible	●	No response received	—	●	●	●	●	—
Macintosh Compatible	●	—	—	●	●	●	●	—
Linux Compatible	●	—	—	—	—	—	—	—
Android Compatible	●	—	—	—	—	—	—	—
iOS Compatible	●	—	—	—	—	—	—	—
Storage								
Cloud Storage	●	No response received	✗	●	●	●	●	No resp. received
Local Storage	—	No response received	—	—	—	—	—	No resp. received
Hybrid (cloud/local) Storage	✗	No response received	✗	✗	✗	✗	✗	No resp. received
Versioning	—	—	—	—	—	—	—	—
File Redundancy	—	No response received	—	—	—	—	—	—
Creates static URLs or persistent identifiers for entries	—	No response received	—	—	—	—	—	—
Can unregistered users access the data found at persistent links?	—	No response received	—	—	—	—	—	—

Documentation

README files

- Describe the files and folders in a project.
- Primarily aimed at an external audience and your future self
- Write as a plain text file
- Use standards
- README Template:

<https://data.research.cornell.edu/content/readme>

This DATSETNAMEReadme.txt file was generated on [YYYYMMDD] by [Name]

GENERAL INFORMATION

1. Title of Dataset

2. Author Information

Principal Investigator Contact Information

Name:
Institution:
Address:
Email:

Associate or Co-investigator Contact Information

Name:
Institution:
Address:
Email:

Alternate Contact Information

Name:
Institution:
Address:
Email:

3. Date of data collection (single date, range, approximate date) <suggested format YYYYMMDD>

Legal Frameworks

For working with data



Legal Frameworks

Please note

We are no legal experts.



This is meant only as an orientation.

For more detailed questions and for advice, please consult a lawyer or data protection officer!

Legal Frameworks

Overview

- Legal frameworks and definitions
- Before processing data:
 - Which data?
 - Informed consent
- Data processing: how to?
 - Anonymisation, pseudonymisation

Legal Frameworks

For working with data



- Federal Act on Data Protection, Switzerland (1992/2019, under revision)
- Data Protection Regulation, Bern (1986/2013)
- General Data Protection Regulation, EU (GDPR, 2018)

Legal Frameworks

For research involving data

Datenschutz und Forschung im Allgemeinen

<https://www.edoeb.admin.ch/edoeb/de/home/datenschutz/statistik--register-und-forschung/forschung/datenschutz-und-forschung-im-allgemeinen.html>

Federal Act on Research involving Human Beings

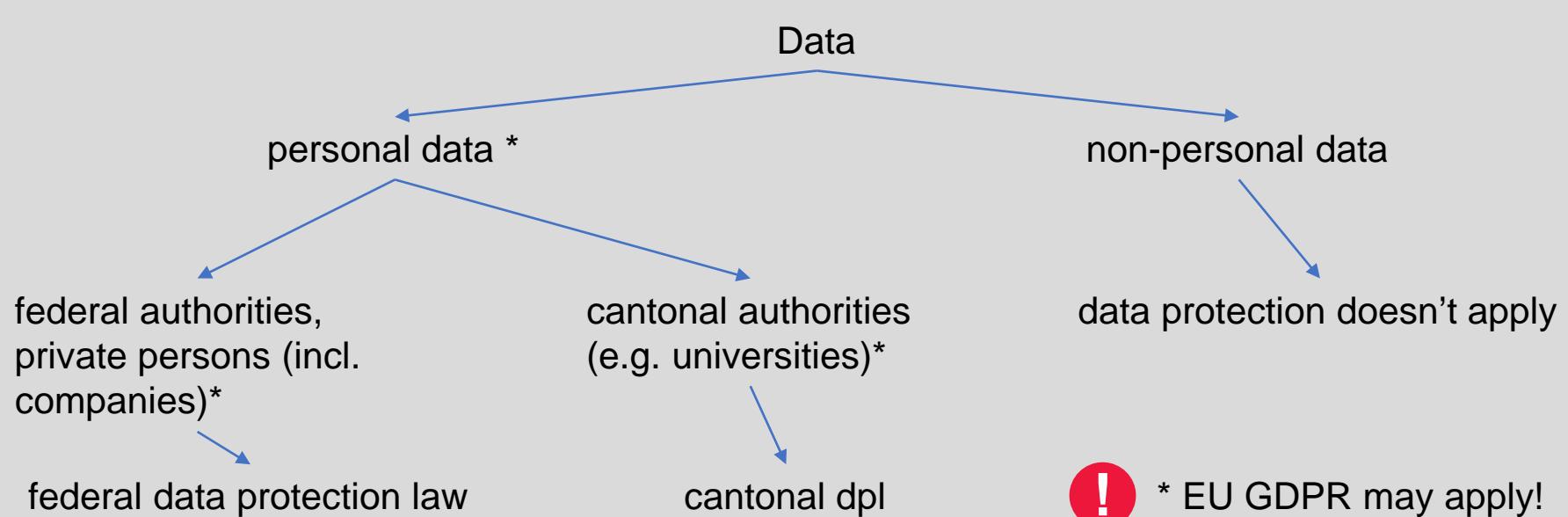
<https://www.admin.ch/opc/en/classified-compilation/20061313/index.html>



Schweizerische Eidgenossenschaft
Confédération suisse
Confederazione Svizzera
Confederaziun svizra

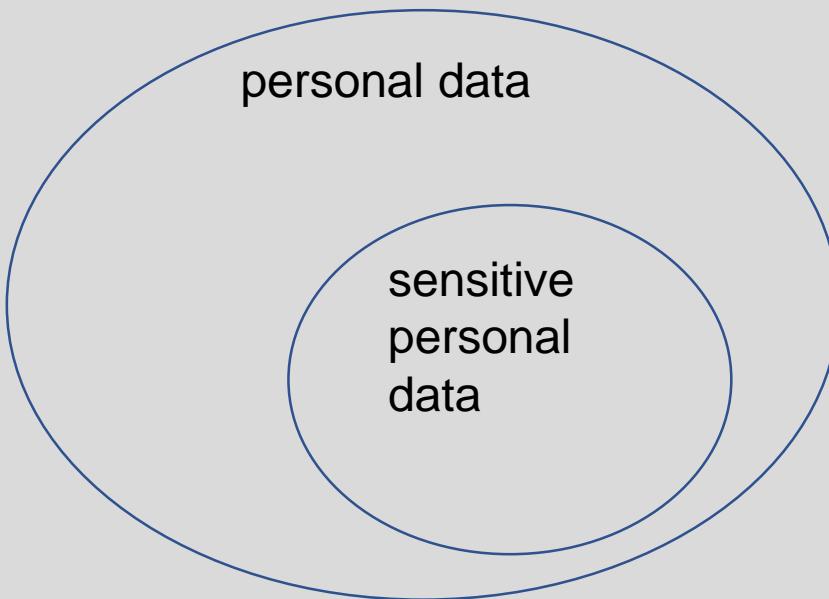
DPR Switzerland

Working with data



DPR Switzerland

Some definitions



Personal Data

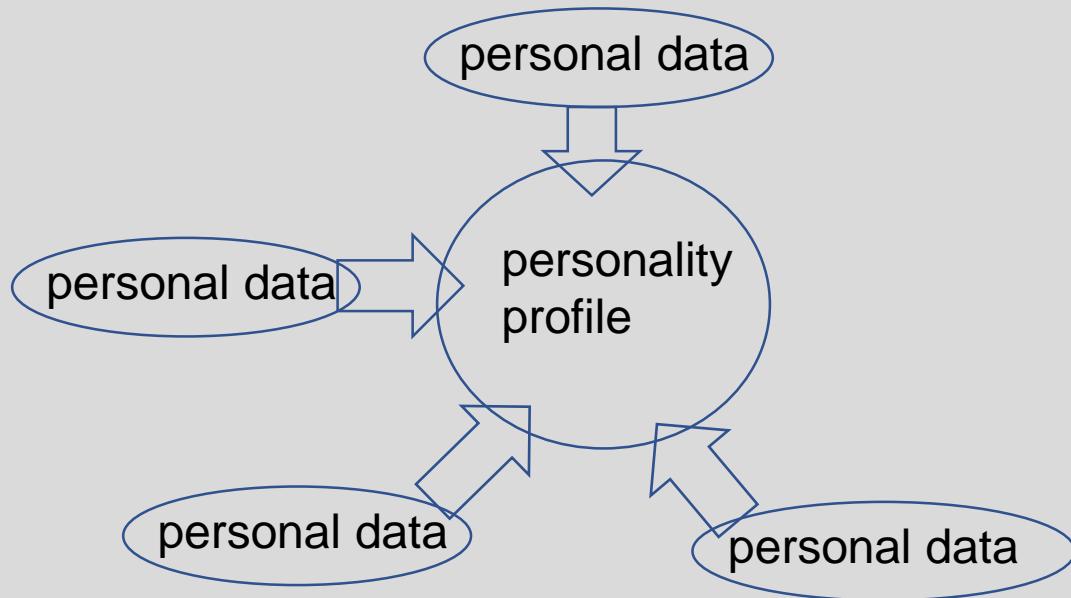
- Any data that can be related to an identifiable or identified person

Sensitive Personal Data

- religious, ideological, political or trade union-related views or activities
- health, intimate sphere, race
- social security measures
- administrative or criminal proceedings and sanctions

DPR Switzerland

Some definitions



Personality Profile

- a collection of data that permits an assessment of essential characteristics of the personality of a natural person

DPR Switzerland

Some definitions

Data processing

= anything (!) that can be done with data:

collecting, storing, archiving, analysing (or other forms of use), publishing, deleting ...

(FADP, Art. 3e)

Legal Frameworks

Overview

- Legal frameworks and definitions
- Before processing data:
 - Which data?
 - Informed consent
- Data processing: how to?
 - Anonymisation, pseudonymisation

DPR Switzerland

Which Data?

- Collect only data needed to the purpose of your study (Principle of Proportionality)
- Data may only be processed to fulfill the purpose indicated when it was collected
- Data must be accurate
- Data processing must not aim at identifying a person or making a person identifiable

DPR Switzerland

Informed Consent

Data subjects

- must be informed about planned data processing and their rights in advance.
- must consent to the processing of their data.
- have the right to object to processing their data.
- Tip: keep it short and simple (as far as possible)!

Legal Frameworks

Overview

- Legal frameworks and definitions
- Before processing data:
 - Which data?
 - Informed consent
- Data processing: how to?
 - Anonymisation, pseudonymisation

DPR Switzerland

Anonymisation

- Ensure that data cannot be related to a specific person, or can only be assigned with extraordinary effort
- Legal obligation!
- The data must be anonymised as quickly as possible
- The research results must be published in anonymous form
- Data protection regulations do not apply to anonymized data

DPR Switzerland

Anonymisation - Example

Before anonymization

Name	Age	Sex	Income	postcode
Martin Müller	51	m	79'000	3001
Andrea Sommer	21	f	55'000	3013
Dominik Fischer	44	m	102'000	3012
Arnold Furrer	65	m	40'000	3001
Simone Meier	38	f	67'000	3011

After anonymization

Name	Age	Sex	Income	postcode
*	41-60	m	79'000	30**
*	21-40	f	55'000	30**
*	41-60	m	102'000	30**
*	*	*	*	30**
*	21-40	f	67'000	30**

DPR Switzerland

Pseudonymisation

- Identifying data is replaced by an identifier or pseudonym
 - Key allows mapping of identifiers to data subjects
 - Key must be kept
 - separate from data
 - securely, encrypted
 - Must only be used if anonymization is not possible
- !
- Data protection regulations apply to pseudonymized data (\neq anonymisation)

DPR Switzerland

Pseudonymisation - example

Before pseudonymization

Name	Age	Sex	Income	postcode
Martin Müller	51	m	79'000	3001
Andrea Sommer	20	f	55'000	3013
Dominik Fischer	44	m	102'000	3012
Arnold Furrer	75	m	40'000	3001

After pseudonymization

Identifier	Age	Sex	Income	postcode
1	51	m	79'000	3001
2	20	f	55'000	3013
3	44	m	102'000	3012
4	75	m	40'000	3001

Name	Identifier
Martin Müller	1
Andrea Sommer	2
Dominik Fischer	3
Arnold Furrer	4

DPR beyond Switzerland

Europe - GDPR

General Data Protection Regulation (2018)

- Lawful, fair, transparent
- Consent form easy to understand
- Re-purposing of data requires informed consent of data subject
- Transferring data outside EU requires that target countries provide similar protection



Data protection

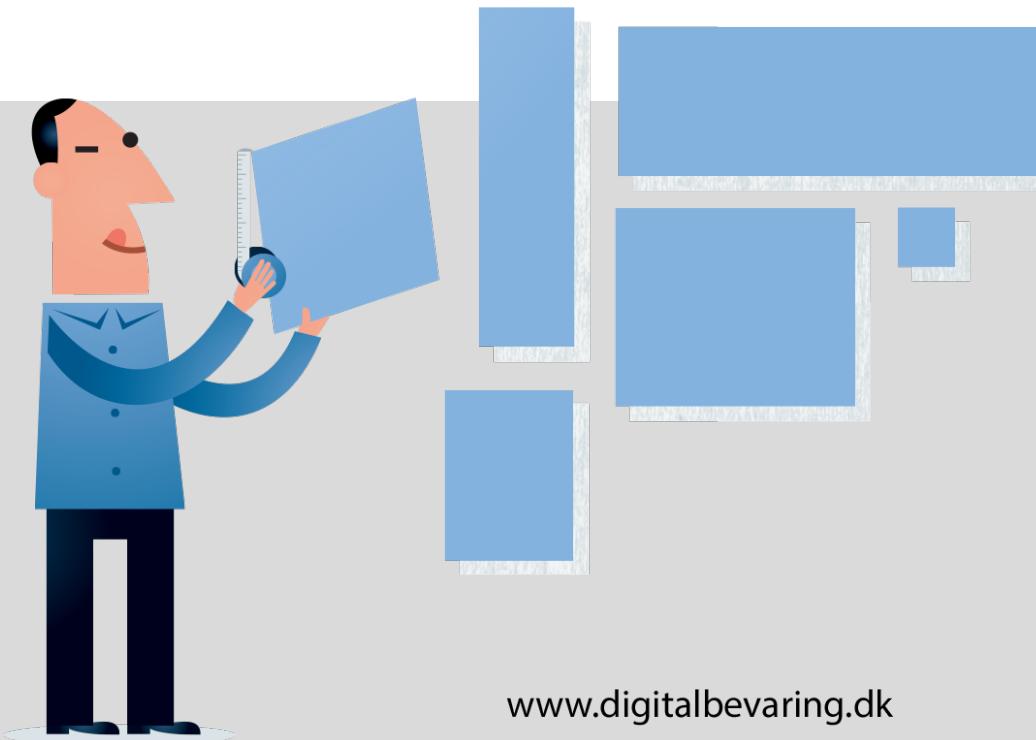
Where to get more information

- [Data protection office of the Canton of Berne](#)
- [Bern University Legal Services Office](#)
- Legal texts:
 - [Federal Act on Data Protection \(1992/2019\)](#)
 - [Data Protection Act, Canton of Berne \(1986/2013\)](#)
 - [EU, GDPR \(2018\)](#)
- [datenrecht.ch](#): website on legal regulations around data (German)
- [Open Science @ UniBe](#): basic information around handling sensitive data
- and many more ...

Data Storage & Back-up

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www.digitalbevaring.dk

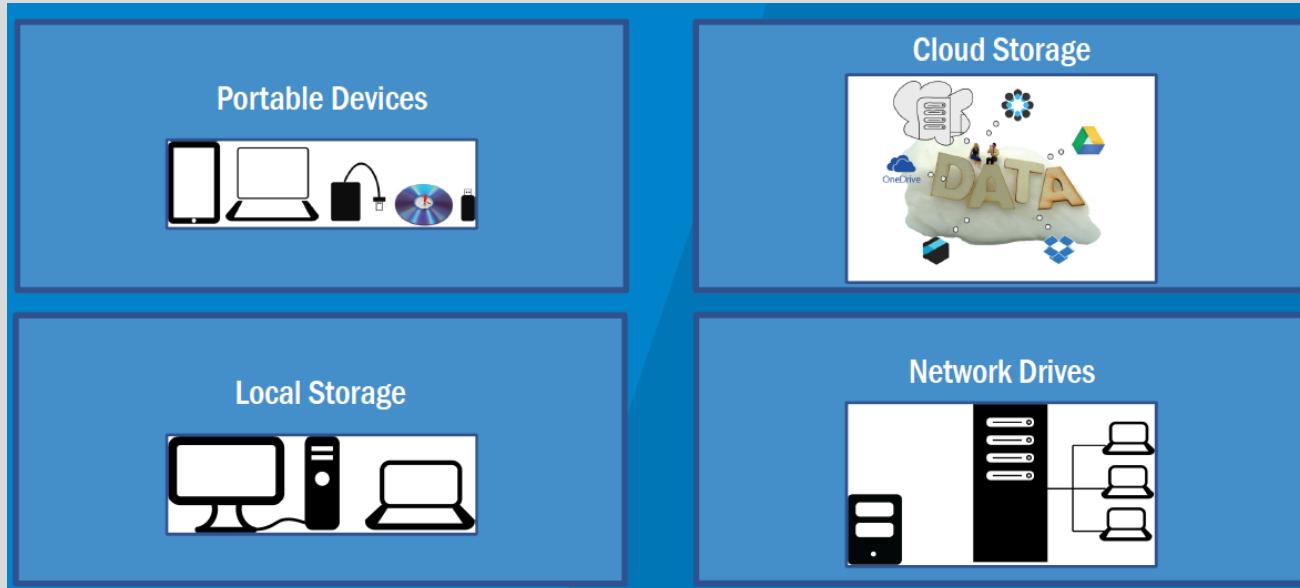
Data Storage

Check your Needs

- How much space do you need?
- Who needs access to the data and on what level?
- Do you need extra protection for sensitive data?

Storage solutions - overview

Discuss with your Neighbour the advantages or disadvantages of:



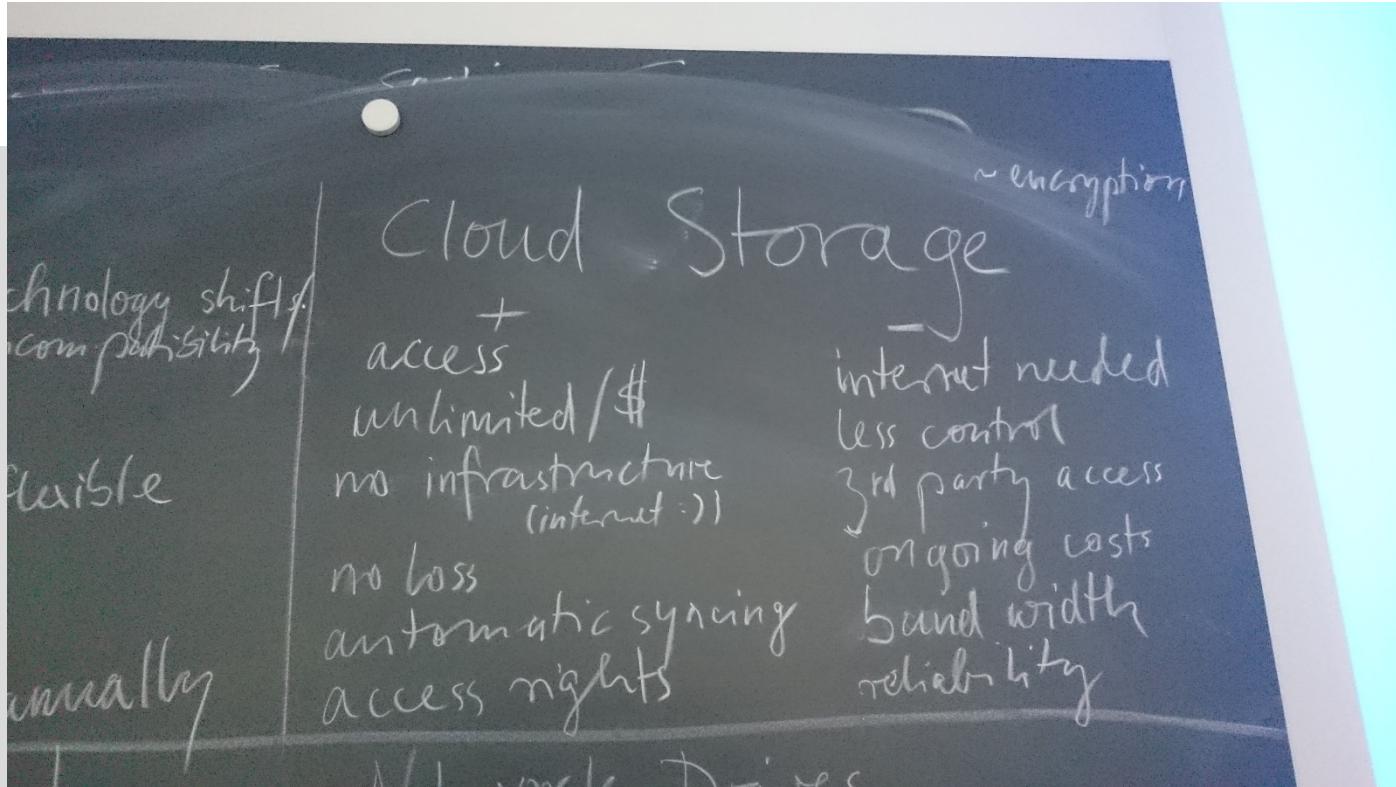
Storage solutions – Portable Devices

Portable Devices	
+ transport	- loss / theft
+ exchange	- break / damage
+ secure	- limited space / inflexible
+ cheap	- degradation
	- exchange +/- cost / manage manually
Local Storage	
+ +	

Storage solutions – Local Storage

Local Storage		exchange +/- cost / manage manually	an ad
+ encryption	- no sharing	+ privacy	/
+ privacy	- no portability	+ collaboration	/
+ availability	- limited space	+ expand / sca	/
+ fast, no internet	- can be stolen	+ maintenance	/
+ just yours :)	- location risk (fire, etc.)	+ backup	/
	- maintenance (e.g. backup)		
	- energy intensive		
	- noise		
	- compatibility		

Storage solutions – Cloud Storage



Storage solutions – Network Drives

pros	cons
<ul style="list-style-type: none">use manually+ privacycollaborationexpand / scalemaintenancebackupbackups)VC	<ul style="list-style-type: none">automatic syncingaccess rightsbandwidthreliabilitycost / investmentssame as local st.administratorreliability

Data storage

File formats and long-term storage

Not all formats are suited for archiving, if possible store data:

- In non-proprietary file formats
- Uncompressed
- Unencrypted

File formats for archiving:

- [ETH](#)
- [Kost](#) (german and french)



Data storage

Changing the file format

Risks of file conversion:

- loss of content
- loss of characteristics of the file stored within the file
- loss of layout
- loss of quality

- Not always possible
- Keep a copy in the original format
- Conversion recommendations: [ETH](#)
- Tools to validate the formats of your data files
 - [File Information Tool Set \(FITS\)](#)
 - [DROID](#)

Backup

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Is it really necessary?

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on Flickr



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Backup

Things to consider

- Who is responsible?
- What do you want to backup?
- How many backups and how frequently?
- Where will backups be stored?
- How much storage will you need?
- How will personal data be protected?
- Are there tools for automated backup?
- How long will backups be stored and how destroyed?
- How will you check the integrity of your backup files?

Backup

Simple rules

- Automated backups are better than manual
- 3-2-1 backup strategy: 3 copies, 2 different media, 1 external location
- Backups of sensitive data must be protected in the same way as the original files
- Regularly test whether restoring files from your backups is possible.
- Replace storage media regularly (portable storage media after 2-5 years)
- Tools for integrity checks: e.g. [MD5summer](#) or [Checksum Checker](#)

Data Storage & Backup

Media

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Optical



- » portable and low costs
- » small capacity,
easily damaged and lost,
not durable

Portable Flash Drive



- » portable and low costs,
robust and long-lived
- » small capacity and easily lost

Magnetic



- » low costs and high capacity
- » easily damaged,
physical degradation

Build In Flash Drive



- » robust and long-lived
- » high costs and small capacity

Storage & Backup

Take home

- You need a strategy
- Various storage solutions with advantages and disadvantages
- Not a one-size fits all solution: define a specific strategy for each project
- Short term strategy vs. Long term strategy

Data sharing and reuse



Data sharing and reuse

Why?



<https://www.youtube.com/watch?v=jpGWfEgT0F0>, Odum Institute, CC BY

Data sharing and reuse

Have you ever shared data?

Have you ever reused other people's data?

Sharing Data

Why?

- Funders' requirement
- Make use of resources more sustainable (reuse of data!)
- More transparency through reproducibility
- Access to data as a larger trend



Sharing Data

Why?

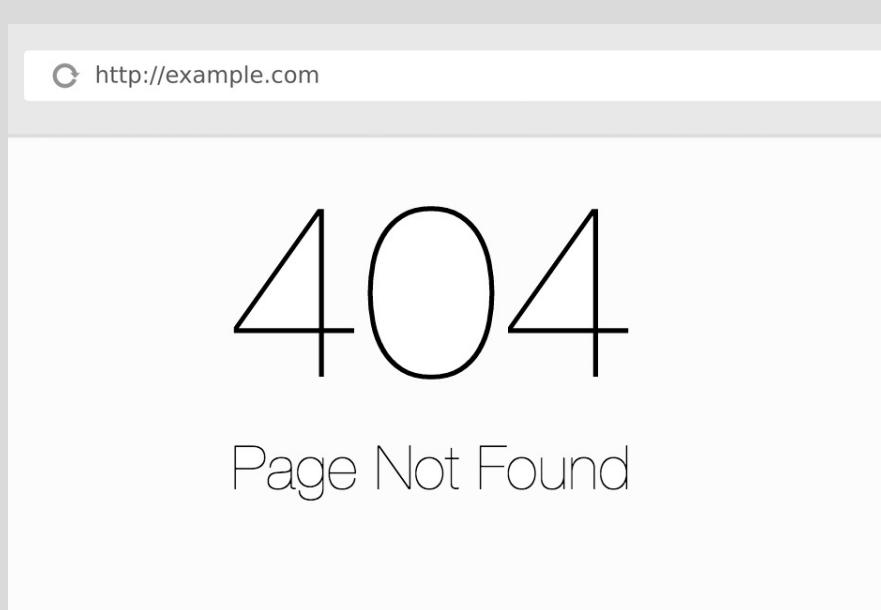
- Bring science forward, innovation
- Improvement and validation of research methods, better quality of data
- Increase impact and visibility of research
- Get more publications & citations
- Reuse other people's shared data



Digitalbevaring.dk

Sharing Data

How?



<https://blog.algorithmia.com/404-error-scanner-algorithm-find-broken-links/>

nature International weekly journal of science

Home | News & Comment | Research | Careers & Jobs | Current Issue | Archive | Audio & Video | For Authors

News & Comment > News > 2019 > May > Article

NATURE | NEWS

Publishers threaten to remove millions of papers from ResearchGate

Take-down notices “imminent” as lawsuit is filed alleging widespread copyright infringement.

Richard Van Noorden

10 October 2017 | Updated: 10 October 2017

Rights & Permissions

<https://dx.doi.org/10.1038/nature.2017.22793>

Sharing Data

How: some options

1. Data Paper / Data Journals
2. Data Repository

Sharing Data

Data Paper / Data Journal

- Useful for larger datasets
- Usually peer-reviewed
- In-depth description and contextualization
- one more publication
- Tip: link data paper to main paper record (DOI)
- Examples: [Foster](#), [HU Berlin](#)

Research Data Journal
for the Humanities and Social Sciences

nature > scientific data
SCIENTIFIC DATA

Sharing Data

Repositories – general

- Online platforms
- Allow upload of files (e.g. research data)
- Describe and give proof of files
- Increase discoverability of deposits
- Generally: good repositories best way to share data



BORIS
Bern Open Repository and Information System

Types of Repositories I

Subject-specific

Advantages

- Best visibility
- May offer subject-specific metadata
- Familiar with technical requirements for specific data

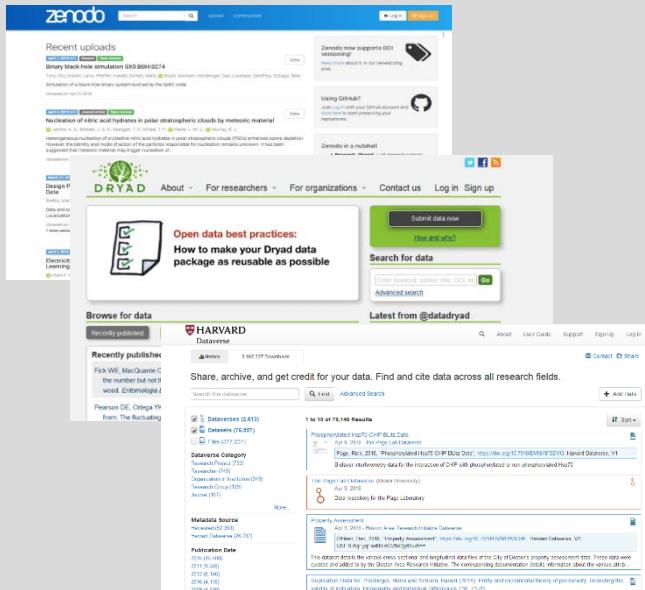


Examples:

- [GenBank](#) (Genome data)
- [Pangaea](#) (Earth & Environmental Science)
- [ICPSR](#) (numeric social science data)

Types of Repositories II

Generic



Advantages

- Open to all disciplines
- Easy to use

Examples

- [Zenodo](#)
- [Harvard Dataverse](#)
- [Dryad](#)

Types of Repositories III

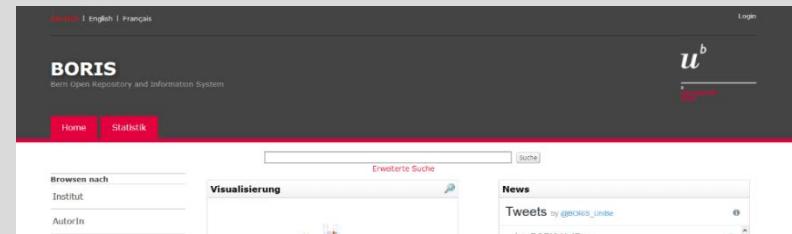
Institutional

Advantages

- Linked to your institution
- All Data at same location
- Financing of the repository is secured

Examples

- BORIS for Publications
(boris.unibe.ch)
- In process: BORIS Research Data



How to find a suitable repository

Ask in your community
Search [re3data.org](https://www.re3data.org)



Data Reuse

How?

- Find data via a search engine or on a repository
- Check thoroughly for copyright, licenses and other reuse regulations
- Restricted data (e.g personal): data reuse agreements
- Act accordingly: mutual trust is the basis of data sharing and reuse!

Data Reuse

Data search engines

- [Google Dataset Search](#) (prototype)
- [Elsevier DataSearch](#) (prototype)
- [DataCite](#)

Copyright & Licenses

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Copyright Transfer Agreement (CTA)

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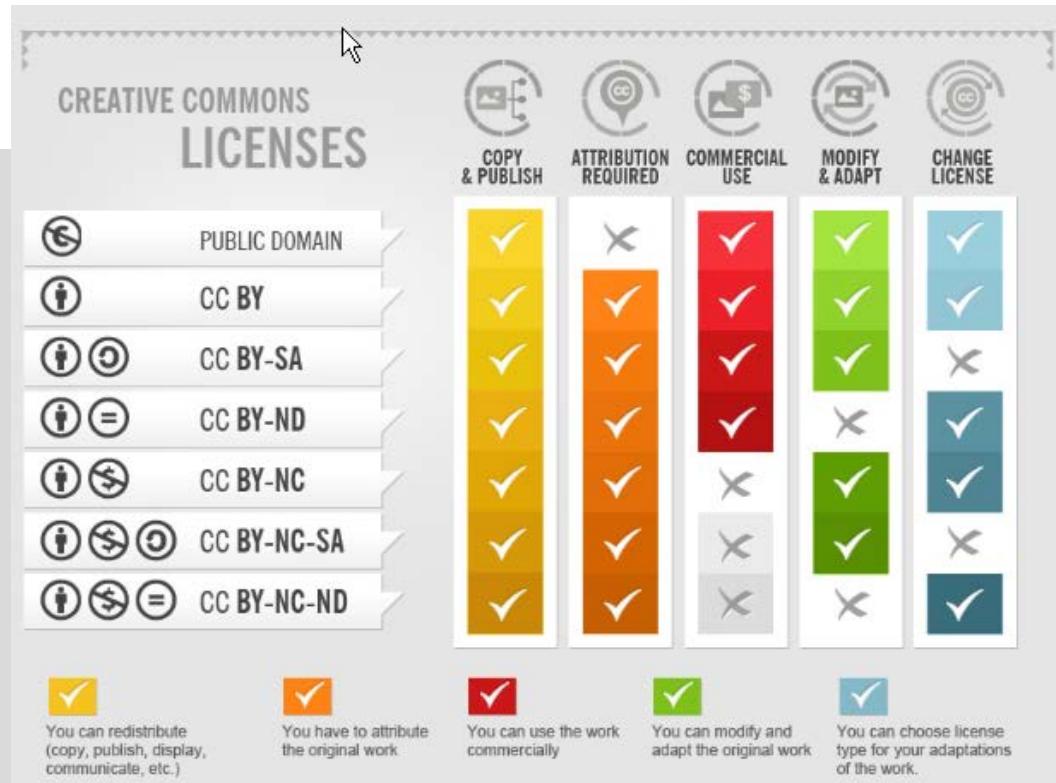
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How does it work?

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<https://vimeo.com/25684782>

Excercise

What do you get?

$$\text{cc } \text{i} + \text{cc } \text{i} \text{ C} = ?$$

$$\text{cc } \text{i} \text{ C} + \text{cc } \text{i} \text{ e} = ?$$

$$\text{cc } \text{i} + \text{cc } \text{i} \text{ = } = ?$$

-  **CC0** (Public Domain)
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-  **CC BY-SA** (Attribution + Share Alike)
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Excercise

$$\text{cc } \text{i} + \text{cc } \text{i } \text{C} = \text{cc } \text{i } \text{C}$$

$$\text{cc } \text{i } \text{C} + \text{cc } \text{i } \text{e} = \text{not allowed}$$

$$\text{cc } \text{i} + \text{cc } \text{i } \text{=} = \text{not allowed}$$

Creative Commons for Data



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How to License Research Data

DCC guide on “[How to License Research Data](#)”

[EUDAT Licensing Tool](#)

[Creative Commons License Chooser](#)

The screenshot shows a web-based tool for licensing research data. At the top, it displays the logos for DCC (Digital Curation Centre) and JISC legal, along with a subtitle: "A Digital Curation Centre and JISC Legal 'working level' guide". Below this, the main title "How to License Research Data" is centered, followed by the author's name, Alex Ball (DCC). The interface includes several sections for configuring license features:

- License Features**:
 - Allow adaptations of your work to be shared?
 - Yes
 - No
 - Yes, as long as others share alike
 - Allow commercial uses of your work?
 - Yes
 - No
- Selected License**: Attribution 4.0 International (Creative Commons CC-BY license)
- A note: "This is a Free Culture License!"
- Two questions with "Yes" and "No" buttons:
 - Do you own copyright and similar rights in your dataset and all its constitutive parts?
 - Do you allow others to make commercial use of your data?
- Information about the selected license:
 - Creative Commons Attribution (CC-BY)
 - This is the standard creative commons license that gives others maximum freedom to do what they want with your work.
 - Digital Curation Centre, 2012, Licensed under Creative Commons Attribution
 - <http://creativecommons.org/licenses/by/>
 - Public Domain Dedication (CC Zero)
 - CC Zero enables scientists, educators, artists and other creators and owners of copyright- or database-protected content to waive those interests in their works and thereby place them as completely as possible in the public domain, so that others may freely build upon, enhance and reuse the works for any purposes without restriction under copyright or database law.

Wrap up

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Data Management Planning

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Write a DMP

Common themes in a DMP

- Description of data to be collected / created
- Standards / methodologies for data collection
- Data organization and file naming
- Ethics and Intellectual Property
- Short- and long-term storage and backup
- Data sharing

Need any help? Check out our website!

www.unibe.ch/ub/openscience

<p>OPEN ACCESS</p>  <p>OA Here you find an overview of the subject area Open Access.</p>	<p>SERVICES</p>  <p>Services Learn about our many services: information, training and support.</p>	<p>DISSERTATIONS</p>  <p>Publish online Learn how to publish your doctoral thesis online and open access.</p>	<p>BORIS</p>  <p>BORIS Publications Here you find information about the institutional repository of the University of Bern.</p>
<p>BERN OPEN PUBLISHING</p>  <p>Journals & Series Here you find technical and administrative support for publishing books and journals.</p>	<p>RESEARCH DATA MANAGEMENT</p>  <p>Data Management Here you find information about research data management and DMPs.</p>	<p>LONG TERM PRESERVATION</p>  <p>How can data produced at the University of Bern be digitally archived?</p>	<p>IDENTIFIERS</p>  <p>ORCID & Co ORCID IDs, DOIs, ISBNs and ISSNs make you and your research unique.</p>