The MLIP package User Manual

Supplemental Information for the Manuscript
"The MLIP package: Moment Tensor Potentials with MPI and Active
Learning"

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1 Installation of the MLIP code and license

MLIP is a software package implementing moment tensor potentials. It is distributed for free for non-commercial purposes at https://mlip.skoltech.ru/download/. Here we describe how to install and use the MLIP package in a Linux-like system.

After a user is registered and added to the repository, the user can obtain the second version of the code (described in [1]) through git by executing

git clone https://gitlab.com/ashapeev/mlip-2.git

The command will create the mlip-2/ folder with the source files. For the MLIP package installation execute the following two commands (in a UNIX-like terminal):

./configure make mlp

These commands will generate the binary file mlp in the bin/ folder. This is the executable file which allows to run the MLIP commands including: conversion of files from/to the internal .cfg to/from files in other formats; training of MTP; calculation of grades for configurations from a scratch; selection/adding configurations from a scratch to a training set, etc.

The most frequently used MLIP commands are described in Section 5.

2 CFG file

.cfg files are text-format files containing datasets of configurations, with or without computed energies, forces, and stresses. Each .cfg can contain zero or more configurations; an example of a .cfg file is:

```
BEGIN_CFG
 Size
  4
 Supercell
  2.86
                        0.00
             0.00
             5.71
  0.00
                        0.00
  0.00
             0.00
                        4.05
 AtomData:
             id type cartes_x cartes_y cartes_z
                                                         fx
                                                                         fz
                                                                 fy
              1
                    0
                           0.00
                                    -0.13
                                               0.00
                                                       0.00
                                                               0.75
                                                                       0.00
              2
                    0
                           1.43
                                     1.45
                                               2.06
                                                       0.00
                                                              -0.13
                                                                       0.00
              3
                    1
                           0.00
                                     2.87
                                               0.00
                                                       0.00
                                                              -0.41
                                                                       0.00
              4
                    1
                           1.43
                                     4.26
                                               2.06
                                                       0.00
                                                              -0.20
                                                                       0.00
 Energy
  -16.023765555539
 PlusStress:
               XX
                       уу
                               ZZ
                                               X7.
                                                       ху
                                       yz
            -0.29
                     0.23
                            -0.09
                                     0.00
                                             0.00
                                                     0.00
Feature
           EFS_by
                     vasp
Feature
                     database:p.cfg
           from
Feature
           mindist
                     2.70
END_CFG
BEGIN_CFG
 Size
  1
 Supercell
   2
             0
   1
      1.7
             0
 AtomData:
             cartes_x cartes_y cartes_z
             0 0 0
END_CFG
```

The description of each configuration in the database starts with BEGIN_CFG and ends with END_CFG. No symbols except whitespace (which is space, tab, and the newline characters '\n' and '\r') are allowed between configurations. After BEGIN_CFG several compulsory and optional fields follow. Bellow is the list of recognizable fields.

- 1. Size (compulsory field) is followed by one integer number, the number of atoms in the configuration. Size should appear before "AtomData:".
- 2. Supercell (optional field) is followed by 3, 6, or 9 numbers, the 3 coordinates of the first lattice vector, then (optionally) 3 coordinates of the second lattice vector, and (optionally) 3 coordinates of the third lattice vector. If Supercell is missing then no lattice vectors are given (this is a open-shell molecule).
- 3. "AtomData:" (compulsory field) contains the per-atom data (atomic coordinates, forces, atom types, etc.). AtomData: is followed by one or more field names (on the same line):
 - (a) id (optional field) means the ordinal number of atom in the configuration (1, 2, ...). If id's are given, but are different from 1, 2, 3 in exactly in this order, it is an error.

- (b) type (optional field) are the element types (0, 1, ...); if they are empty then all atoms are considered to be of type 0 and this is a single-component configuration.
- (c) cartes_x, cartes_y, cartes_z (all three are compulsory fields) means the Cartesian coordinates (measured in Å). There is an alternative option to provide the configuration with direct (fractional) coordinates. In this case direct_... caption should be specified instead of cartes_....
- (d) fx, fy, fz (optional fields, but all three are required if at least one is present), are the force components in Cartesian coordinates (measured in eV/Å).
- 4. Energy (optional field) is followed by one number (measured in eV).
- 5. "PlusStress:" (optional field) must be immediately (on the same line) followed by the following 6 (not more and not less) field names (in any order), xx, yy, zz, yz, xz, and xy. On the next line are the 6 numbers, corresponding to those stresses. These are virial stresses multiplied by the cell volume (measured in eV). Positive stresses correspond to compressed configurations.
- 6. "Feature" (optional fields, multiple entries are allowed) is/are additional (textual) attributes of a configuration (such as what chemical elements corresponds to the atom indexes, what generated it, what computed its energy, whether it is an ideal crystal or has a defect, etc.). Each "Feature" has a name (one word without whitespace) and value (a string separated from the name by a space or a tab character). A configuration may contain several features. If two lines with the same name appear, the newline ('\n') character and the second line are appended to the first line; similarly for the third line, etc. The features do not have universal meanings, they are used by different tools.

3 MTP file

.mtp files encode a functional form of MTP allowing for calculation energy, forces, and stresses of a configuration. Here we give an example of the .mtp file describing the MTP of the level 8 before and after training. The example of an untrained MTP is shown below.

```
MTP
version = 1.1.0
potential_name = MTP1m
species_count = 1
potential_tag =
radial_basis_type = RBChebyshev
    min_dist = 2.25
    max_dist = 6.2
    radial_basis_size = 8
    radial_funcs_count = 2
alpha_moments_count = 18
alpha_index_basic_count = 11
alpha_index_basic = {{0, 0, 0, 0}, {0, 1, 0, 0}, {0, 0, 1, 0},
```

```
{0, 0, 0, 1}, {0, 2, 0, 0}, {0, 1, 1, 0}, {0, 1, 0, 1},
{0, 0, 2, 0}, {0, 0, 1, 1}, {0, 0, 0, 2}, {1, 0, 0, 0}}
alpha_index_times_count = 14
alpha_index_times = {{0, 0, 1, 11}, {1, 1, 1, 12}, {2, 2, 1, 12},
{3, 3, 1, 12}, {4, 4, 1, 13}, {5, 5, 2, 13}, {6, 6, 2, 13},
{7, 7, 1, 13}, {8, 8, 2, 13}, {9, 9, 1, 13}, {0, 10, 1, 14},
{0, 11, 1, 15}, {0, 12, 1, 16}, {0, 15, 1, 17}}
alpha_scalar_moments = 9
alpha_moment_mapping = {0, 10, 11, 12, 13, 14, 15, 16, 17}
```

There are two groups of fields in the file: those that could be changed by a user, and unchangeable ones related to the level and a particular functional form of the MTP. We first describe the hyperparameters that could be adjusted by the user.

species_count is the number of components (atomic types) in the system inves-

tigated;

radial_basis_type is the type of radial basis used (the most frequently used radial

basis is the Chebyshev basis);

min_dist is the minimal distance between atoms in the training set (in

Angstroms);

max_dist is the cutoff radius (in Angstroms);

radial_basis_size is the size of radial basis (the Chebyshev basis for the given MTP).

The second group of fields cannot be freely modified by a user. They are:

radial_funcs_count

is the number of radial functions f_{μ} [IN: I think it better not to write any notations here used in the paper] which form the moment tensor descriptors of the MTP of the given level (e.g., for level 8 it is necessary to consider two radial functions);

alpha_moments_count

is the total number of the scalar components of all the (scalar-valued and not) basis functions of the MTP of a given level;

alpha_index_basic_count

is the total number of the scalar components of all the moment tensor descriptors of the MTP of a given level;

alpha_index_basic

define those scalar components. The entries of this field include the indices of radial functions (the first index, could be equal to 0 or 1, because only two radial functions needed to construct all MTP basis functions), and the powers of the components of the vector joining the atomic neighbors, x_{ij} , y_{ij} , z_{ij} (the second, the third, and the fourth indices, correspondingly) [IN: Again, I think we do not need any notations here];

alpha_index_times_count

is the number of scalar multiplications required to compute all the basis functions;

alpha_index_times

define those multiplications. The entries of this field include the indices of two scalars being multiplied (the first and the second indices), the scalar prefactor (the third index), and the index of the resulting scalar (the fourth index);

alpha_scalar_moments

is the number of the scalar-valued MTP basis functions; and, finally,

alpha_moment_mapping

are the indices of those basis functions.

For example, for the current MTP of the level 8 there are 9 MTP basis functions

$$B_{1} = M_{0,0}, \qquad \text{lev}B_{1} = 2;$$

$$B_{2} = M_{1,0}, \qquad \text{lev}B_{2} = 6;$$

$$B_{3} = M_{0,0}^{2}, \qquad \text{lev}B_{3} = 4;$$

$$B_{4} = M_{0,1} \cdot M_{0,1}, \qquad \text{lev}B_{4} = 6;$$

$$B_{5} = M_{0,2} : M_{0,2}, \qquad \text{lev}B_{5} = 8;$$

$$B_{6} = M_{0,0}M_{1,0}, \qquad \text{lev}B_{6} = 8;$$

$$B_{7} = M_{0,0}^{3}, \qquad \text{lev}B_{7} = 6;$$

$$B_{8} = M_{0,0}(M_{0,1} \cdot M_{0,1}), \qquad \text{lev}B_{8} = 8;$$

$$B_{9} = M_{0,0}^{4}, \qquad \text{lev}B_{9} = 8;$$

$$(1)$$

therefore, alpha_scalar_moments = 9 and we need the objects with the indices alpha_moment_mapping to form the MTP basis functions (1) [IN: Probably, remove (1), we have it in the paper].

After the fitting of the MTP, the parameters of fitting added in .mtp file: the vectors with radial_basis_size × radial_funcs_count radial coefficients

4 ALS file

A .als-file stores the active learning state which includes the MTP, the active set, and the corresponding matrices. It has a mixed text/binary structure and is organized as follows. First goes the fitted MTP. Next the selection weights are specified. After that—the binary part follows. It contain a binary representation of active selection matrices A and A⁻¹. Actually these matrices can be recalculated from the active set that is stored below, however this data helps to prevent the change of the active learning state after loading due to rounding errors. Next, a block with the configurations corresponding to the matrix rows are stored. At the end of the .als-file the active set (in the .cfg-format with full floating-point precision) is writen.

5 mlp binary file

The mlp binary file allows the user to run various MLIP code commands. To list the most frequently used MLIP commands, execute mlp list. To provide a description of a certain command, execute mlp help [command]. A typical template of the rest commands available is

mlp [command] [input/output files] [options]

The main MLIP commands and description are given below.

- mlp relax mlip.ini --cfg-filename=to_relax.cfg --save-relaxed=relaxed.cfg
 Relaxation of configurations read from the file to_relax.cfg in accordance with the
 settings in mlip.ini, writing the result to the file relaxed.cfg.
- mlp convert-cfg <input-filename> <output-filename> --options
 Reading configurations from input-filename and writing them to output-filename,
 possibly converting to a different format. All the formats, except for internal MLIP
 .cfg, should be specified explicitly, e.g.

mlp convert-cfg input.cfg POSCAR --output-format=vasp-poscar

(in other words, mlp does not try to guess the format based on the contents or the filenames).

- mlp train init.mtp train.cfg --trained-pot-name=pot.mtp

 Training of MTP as given by init.mtp file on the dataset train.cfg. The option trained-pot-name indicates that the potential trained should be written to pot.mtp.
- mlp mindist train.cfg

 Calculation of the minimal distance between atoms for each of the configurations in train.cfg.
- mlp calc-efs pot.mtp in.cfg out.cfg
 Calculation of energies, forces, and stresses for the configurations in in.cfg with
 pot.mtp, writing the result to out.cfg.
- mlp calc-grade pot.mtp train.cfg in.cfg out.cfg --als-filename=state.als

 First, creation of the state.als file with the active learning state for the potential

 pot.mtp fitted on the database train.cfg. Then, calculation of the extrapolation

 grades of in.cfg, writing the configurations with the grades to out.cfg.
- mlp select-add pot.mtp train.cfg preselected.cfg add_to_train.cfg

 Maxvol selection of non-repetitive configurations from preselected.cfg, writing
 the selected configurations in add_to_train.cfg. After calculating the selected
 configurations on an ab initio model they are supposed to be added to the training
 set.

6 MLIP settings file

A settings file, typically named as mlip.ini, is required when MLIP is used from LAMMPS as pair_style, namely

```
pair_style mlip mlip.ini
pair_coeff * *
```

The same file can be used with the mlp relax command to specify what MTP file to use and whether or how active learning should be used. It contains the instructions and settings for MLIP determining the operational regime. An example of a simple mlip.ini is below:

More examples of settings file can be found in examples provided with the MLIP package. The mlip.ini file is organized according the following rules.

• Each setting is given in a separate line and have the form

```
identifier value
```

where identifier is the string without whitespace and value is another string without whitespace (a string can be a number). They must be separated by any number of spaces or tab symbols. Whitespace before identifier as well as any symbols after value are allowed but ignored by the parser.

- Other than identifiers and values, the lines of the settings file can contain comments. Comments begin with "#" and all the foregoing symbols are ignored in this line.
- The order of lines is not important; therefore the lines can be grouped for the user's convenience.
- If a parameter is not present (or commented out), its default value is used.
- If a setting is not supported then it is ignored (without a warning).

The recognizable identifiers and their meaning are listed below.

mtp-filename <filename>

File name with MTP to be loaded.

write-cfgs <filename>

if this setting is present then the processed configurations will be written to the specified file, may be useful for debugging.

write-cfgs:skip <number>

skip this many configurations before writing a processed configuration. Default value is 0.

select <TRUE/FALSE>

activates or deactivates calculation of extrapolation grades and optionally writing configurations with high extrapolation grades. If the FALSE value is specified the following settings will be ignored.

select:threshold <real number>

corresponds to γ_{select} mentioned in [1][Section 2.3]. If the extrapolation grade exceeds this value then the configuration will be saved to the specified file.

select:threshold-break <real number>

corresponds to γ_{break} mentioned in [1][Section 2.3]. If the extrapolation grade exceeds this value the program execution will be interrupted, that can be used for prevention of instability of the simulation.

select:save-selected <filename>

the file for saving the configurations whose grade exceeds select:threshold.

select:load-state <filename>

the file for loading the active learning state, typically created by the mlp calc-grade command.

select:log <filename>/stdout/stderr

a file (or standard output stream) for writing a log of the configuration selection process.

References

[1] I. Novikov, K. Gubaev, E. Podryabinkin, and A. Shapeev. The MLIP package: Moment Tensor Potentials with MPI and active learning. Manuscript.