

1. WEEK 11: CUSPIDAL REPRESENTATIONS

Recall that, in Week 2, we investigated cuspidal representations of $\mathrm{GL}_2(\mathbb{F}_q)$. We first defined principal representations of $\mathrm{GL}_2(\mathbb{F}_q)$ by considering the induction from Borel subgroups, and then defined the cuspidality. The aim of this week is to first generalize the notion of the cuspidality to any finite group of Lie type and investigate it from the viewpoint of Deligne–Lusztig theory.

1.1. Parabolic subgroups. Let G be a connected reductive group over $k = \mathbb{F}_q$.

Proposition/Definition 1.1. (1) Let P be a k -rational closed subgroup of G . We say that P is a *k -rational parabolic subgroup* of G if $P_{\bar{k}}$ contains a Borel subgroup of $G_{\bar{k}}$.
(2) For any k -rational parabolic subgroup P of G , there exists a k -rational connected reductive subgroup L of P such that P is the semi-direct product $P = L \ltimes U_P$, where U_P is the unipotent radical of P . We call such an L a *k -rational Levi subgroup* of P . We call the decomposition $P = L \ltimes U_P$ a *Levi decomposition*.

Remark 1.2. (1) By definition, G and any k -rational Borel subgroup of G are obviously parabolic subgroups; these are maximal/minimal parabolic subgroups.
(2) Note that a Levi subgroup of a given parabolic subgroup is not unique in general.

Example 1.3. Let $G = \mathrm{GL}_3$.

(1) We put

$$P := \begin{pmatrix} * & * & * \\ * & * & * \\ 0 & 0 & * \end{pmatrix} \subset G.$$

Then this is a k -rational parabolic subgroup of G . The unipotent radical of P is given by

$$U_P = \begin{pmatrix} 1 & 0 & * \\ 0 & 1 & * \\ 0 & 0 & 1 \end{pmatrix} \subset P.$$

Hence, for example, a Levi subgroup of P can be taken to be

$$\begin{pmatrix} * & * & 0 \\ * & * & 0 \\ 0 & 0 & * \end{pmatrix} \subset P.$$

(2) We put

$$P' := \begin{pmatrix} * & * & * \\ 0 & * & * \\ 0 & * & * \end{pmatrix} \subset G.$$

Then this is a k -rational parabolic subgroup of G . The unipotent radical of P' is given by

$$U_{P'} = \begin{pmatrix} 1 & * & * \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \subset P'.$$

Hence, for example, a Levi subgroup of P' can be taken to be

$$\begin{pmatrix} * & 0 & 0 \\ 0 & * & * \\ 0 & * & * \end{pmatrix} \subset P'.$$

Recall that there always exists a k -rational Borel subgroup of G since $k = \mathbb{F}_q$; let us fix such a B_0 . We call a k -rational parabolic subgroup P *standard parabolic* if P contains B_0 .

Fact 1.4. *Any k -rational parabolic subgroup of G is $G(k)$ -conjugate to a k -rational standard parabolic subgroup of G .*

The above definition of a parabolic subgroup is too abstract. So let us also introduce a concrete description of (standard) parabolic subgroups. In the following (in this subsection), we assume that G is split for simplicity. But the theory does not change even when G is non-split.

Recall that reductive groups are classified by root data “ (X, R, X^\vee, R^\vee) ”. Let us first review how (X, R, X^\vee, R^\vee) is associated to G (Week 4). We let T_0 be a split k -rational maximal torus of G contained in B_0 . Then X and X^\vee are defined to be $X^*(T_0)$ and $X_*(T_0)$. The sets R and R^\vee are finite subsets of X and X^\vee ; these are called the sets of roots and coroots. An element $\alpha \in X$ belongs to R if and only if there exists a closed subgroup U_α of G such that

- U_α is isomorphic to \mathbb{G}_a (fix $\iota: \mathbb{G}_a \cong U_\alpha$), and
- U_α is normalized by T_0 -conjugation and satisfies

$$t \cdot \iota(x) \cdot t^{-1} = \iota(\alpha(t) \cdot x)$$

for any $t \in T_0$ and $x \in \mathbb{G}_a$.

Let us call a root $\alpha \in R$ a *positive root* if its associated root subgroup U_α is contained in the unipotent radical U_0 of the fixed Borel subgroup B_0 . We write R_+ for the subset of R of positive roots. We put $R_- := -R_+$ and call an element of R_- a *negative root*. Note that R_- is also a subset of R since we have $-R = R$.

Fact 1.5. (1) *We have $R = R_+ \sqcup R_-$.*

- (2) *There exists a unique subset $\Delta = \{\alpha_1, \dots, \alpha_l\}$ of R_+ such that any positive root is uniquely written as a $\mathbb{Z}_{\geq 0}$ -linear combination of $\alpha_1, \dots, \alpha_l$; $\alpha = \sum_{i=1}^l n_i \alpha_i$ ($n_i \in \mathbb{Z}_{\geq 0}$).*

We call Δ the set of *simple roots*. Note that, by this fact and the definition of R_- , any negative root is uniquely written as a $\mathbb{Z}_{\leq 0}$ -linear combination of simple roots.

Remark 1.6. Recall that, the construction of root datum (X, R, X^\vee, R^\vee) depends on the choice of T_0 , but does not on B_0 . On the other hand, the notions of a positive root and a simple root depends on B_0 .

Now let I be any subset of Δ . We consider the following subset R_I of R :

$$R_I := \left\{ \alpha = \sum_{i=1}^l n_i \alpha_i \in R \mid n_i \geq 0 \text{ if } i \notin I \right\}.$$

We define a k -rational closed subgroup P_I of G by

$$P_I := \langle T_0, U_\alpha \mid \alpha \in R_I \rangle.$$

For example:

- When $I = \Delta$, we have $R_\Delta = R$ and $P_\Delta = \langle T_0, U_\alpha \mid \alpha \in R \rangle = G$.
- When $I = \emptyset$, we have $R_\emptyset = R_+$ and $P_\emptyset = \langle T_0, U_\alpha \mid \alpha \in R_+ \rangle = B_0$.

In particular, in general, P_I is a k -rational closed subgroup of G containing B_0 , hence a standard parabolic subgroup.

Fact 1.7. *The above construction gives an order-preserving bijection*

$$\{I \subset \Delta\} \xrightarrow{1:1} \{k\text{-rational standard parabolic subgroups of } G\}: I \mapsto P_I.$$

Moreover, each P_I is equipped with a natural Levi subgroup (we call the “standard Levi subgroup”) L_I , which is given by

$$L_I = \langle T_0, U_\alpha \mid \alpha \in R_I^0 \rangle,$$

where

$$R_I^0 := \left\{ \alpha = \sum_{i=1}^l n_i \alpha_i \in R \mid n_i = 0 \text{ if } i \notin I \right\}.$$

Example 1.8. Let $G = \mathrm{GL}_3$. Let T_0 be its diagonal maximal torus. As usual, we choose B_0 to be the upper-triangular one.

$$B_0 := \begin{pmatrix} * & * & * \\ 0 & * & * \\ 0 & 0 & * \end{pmatrix},$$

then both P and P' contains B_0 , hence are standard. The set R of roots is given by

$$R = \{\pm(e_1 - e_2), \pm(e_2 - e_3), \pm(e_1 - e_3)\}.$$

The corresponding root subgroups are as follows:

$$\begin{aligned} U_{e_1-e_2} &= \begin{pmatrix} 1 & * & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, & U_{e_2-e_1} &= \begin{pmatrix} 1 & 0 & 0 \\ * & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \\ U_{e_2-e_3} &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & * \\ 0 & 0 & 1 \end{pmatrix}, & U_{e_3-e_2} &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & * & 1 \end{pmatrix}, \\ U_{e_1-e_3} &= \begin{pmatrix} 1 & 0 & * \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, & U_{e_3-e_1} &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ * & 0 & 1 \end{pmatrix}. \end{aligned}$$

Thus the positive roots are $e_1 - e_2, e_2 - e_3, e_1 - e_3$. The negative roots are $e_2 - e_1, e_3 - e_2, e_3 - e_1$. The set of simple roots Δ in this case is given by $\{e_1 - e_2, e_2 - e_3\}$ (indeed, we have $e_1 - e_3 = (e_1 - e_2) + (e_2 - e_3)$). We can check that the standard parabolic subgroups corresponding to subsets of Δ are as follows:

$$\begin{aligned} P_\Delta &= \begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix}, \\ P_{\{e_1-e_2\}} &= \begin{pmatrix} * & * & * \\ * & * & * \\ 0 & 0 & * \end{pmatrix}, & P_{\{e_2-e_3\}} &= \begin{pmatrix} * & * & * \\ 0 & * & * \\ 0 & * & * \end{pmatrix}, \\ P_\emptyset &= \begin{pmatrix} * & * & * \\ 0 & * & * \\ 0 & 0 & * \end{pmatrix}. \end{aligned}$$

1.2. Parabolic induction. Let P be a k -rational parabolic subgroup of G . Let L be a k -rational Levi subgroup of P and U_P be the unipotent radical of P ; hence we have $P = L \ltimes U_P$. Note that, as U_P is connected, we can check that $(P/U_P)^F \cong P^F/U_P^F$ by the usual argument via Lang's theorem. In particular, we have a canonical surjection (quotient)

$$P^F \twoheadrightarrow P^F/U_P^F \cong (P/U_P)^F \cong L^F.$$

Definition 1.9. Suppose that σ is a representation of L^F . By pulling back σ to P^F via $P^F \twoheadrightarrow L^F$, we regard σ as a representation of P^F . We call its induction $\text{Ind}_{P^F}^{G^F} \sigma$ to G^F the *parabolic induction* of σ .

Example 1.10. Recall that a Borel subgroup is a minimal parabolic subgroup. Thus let us take P to be B_0 . In this case, a Levi subgroup of B_0 can be taken to be T_0 . The parabolic induction of a 1-dimensional representation $(\text{Ind}_{B_0^F}^{G^F} \chi$ for a character $\chi: B_0^F \rightarrow \mathbb{C}^\times$) is nothing but the principal series representation, which was introduced before.

Definition 1.11. Let ρ be a representation of G^F . We say that ρ is *cuspidal* if there does not exist a pair (P, σ) of a proper k -rational parabolic subgroup $P \subsetneq G$ with a Levi L and a representation σ of L^F such that $\langle \rho, \text{Ind}_{P^F}^{G^F} \sigma \rangle \neq 0$.

We explain why the cuspidal representations are so important. Suppose that ρ is a **non**-cuspidal irreducible representation of G^F . Then, by definition, there exists a pair $(P_1 \subsetneq G, \sigma_1)$ such that ρ is contained in $\text{Ind}_{P_1^F}^{G^F} \sigma_1$. We may assume that such a σ_1 is irreducible. Let us consider what will happen if σ_1 is not a cuspidal representation of L_1^F . Then, again by definition, σ_1 is contained in $\text{Ind}_{P_2^F}^{L_1^F} \sigma_2$ for some proper parabolic subgroup $P_2 \subsetneq L_1$ with a Levi L_2 and an irreducible representation σ_2 of L_2^F . We can continue this procedure, but not forever because there cannot exist an infinite chain of proper parabolic subgroups. In other words, eventually we arrive at a pair (P, σ) , where σ is a cuspidal irreducible representation of L^F .

Exercise 1.12. Prove the associativity of the parabolic induction.

Proposition 1.13. Let ρ be a representation of G^F . The following are equivalent:

- (1) ρ is cuspidal;
- (2) for any k -rational parabolic subgroup P with a k -rational Levi L , we have $\langle \rho, \text{Ind}_{U_P^F}^{G^F} \mathbb{1} \rangle = 0$.

Proof. Note that

$$\text{Ind}_{U_P^F}^{G^F} \mathbb{1} \cong \text{Ind}_{P^F}^{G^F} (\text{Ind}_{U_P^F}^{P^F} \mathbb{1}) \cong \bigoplus_{\sigma \in \text{Irr}(L^F)} \text{Ind}_{P^F}^{G^F} \sigma,$$

where the sum is over all irreducible representations of L^F (we used that $P^F/U_P^F \cong L^F$). Therefore, we have $\langle \rho, \text{Ind}_{U_P^F}^{G^F} \mathbb{1} \rangle = 0$ if and only if $\langle \rho, \text{Ind}_{P^F}^{G^F} \sigma \rangle = 0$ for all irreducible representations σ of L^F . \square

1.3. DL's cuspidality criterion. Suppose that T is a k -rational maximal torus of G contained in a k -rational parabolic subgroup P of G . Let L be a Levi subgroup of P and U_P the unipotent radical of P . Then, under the map $P \twoheadrightarrow P/U_P \cong L$, T is mapped to a k -rational maximal torus of L isomorphically (the kernel of the map

is $T \cap U_P$, which is semisimple and unipotent, hence trivial). Let us again write T for the k -rational maximal torus of L determined in this way.

Proposition 1.14. *For any character $\theta: T^F \rightarrow \mathbb{C}^\times$, we have $\text{Ind}_{P^F}^{G^F}(R_T^L(\theta)) \cong R_T^G(\theta)$.*

Proof. Let us fix a Borel subgroup B of G such that $T \subset B \subset P$. Note that we can always find such a Borel subgroup. (Indeed, by definition, P contains a Borel subgroup of G , say B' . Let T' be any maximal torus contained in B' . Then, as any two maximal tori of a connected linear algebraic group are conjugate, T and T' are P -conjugate, say $T = pT'p^{-1}$. By putting $B := pB'p^{-1}$, we get a desired Borel.) Let U be the unipotent radical of B .

We introduce a set \mathcal{P} as follows:

$$\mathcal{P} := \{P' \subset G \mid P' \text{ is a parabolic subgroup of } G \text{ which is } G^F\text{-conjugate to } P\}.$$

Note that we have a bijection $G^F/P^F \xrightarrow{1:1} \mathcal{P}$ given by $y \mapsto yPy^{-1}$ (here, we use a fact that, for any parabolic subgroup P , its normalizer group $N_G(P)$ is P itself). Recall that the Deligne–Lusztig variety $\mathcal{X}_{T \subset B}^G$ is defined by

$$\mathcal{X}_{T \subset B}^G := \{x \in G \mid x^{-1}F(x) \in F(U)\}.$$

For each $P' \in \mathcal{P}$, we define a subvariety $\mathcal{X}_{T \subset B}^G(P')$ of $\mathcal{X}_{T \subset B}^G$ by

$$\mathcal{X}_{T \subset B}^G(P') := \{x \in G \mid x^{-1}F(x) \in F(U), xPx^{-1} = P'\}.$$

Claim. We have $\mathcal{X}_{T \subset B}^G = \bigsqcup_{P' \in \mathcal{P}} \mathcal{X}_{T \subset B}^G(P')$.

Proof of Claim. The union on the right-hand side is obviously contained in the left-hand side and also disjoint. Thus it is enough to check the converse inclusion. Let $x \in \mathcal{X}$. Then our task is to show that there exists $P' \in \mathcal{P}$ satisfying $xPx^{-1} = P'$. In other words, it suffices to show that there exists $y \in G^F$ satisfying $xPx^{-1} = yPy^{-1}$. Since we have $x^{-1}F(x) \in F(U) \subset F(B) \subset F(P) = P$, we have an element $z \in P$ such that $x^{-1}F(x) = z$. By applying Lang’s lemma to $z \in P$, we can find an element $p \in P$ satisfying $x^{-1}F(x) = p^{-1}F(p)$, or equivalently, $xp^{-1} \in G^F$. Then we have $xPx^{-1} = (xp^{-1})P(xp^{-1})^{-1}$. So y can be taken to be xp^{-1} . \square

Here, we appeal to a general fact that $B_L := L \cap B$ is a Borel subgroup of L with unipotent radical $L \cap U$. Thus it makes sense to talk about the Deligne–Lusztig variety $\mathcal{X}_{T \subset B_L}^L$ associated to $T \subset B_L \subset L$.

Now let us suppose that $x \in \mathcal{X}_{T \subset B}^G(P')$, where $P' = yPy^{-1}$ with $y \in G^F$. Then, since $xPx^{-1} = P' = yPy^{-1}$, we have $y^{-1}x \in N_G(P) = P$. If we again write $y^{-1}x$ for the image of yx^{-1} in L under the map $P \rightarrow P/U_P \cong L$, then we have $(y^{-1}x)^{-1}F(yx^{-1}) = x^{-1}F(x) \in F(U)$, hence $(y^{-1}x)^{-1}F(yx^{-1}) \in L \cap F(U) = F(L \cap U)$. In other words, yx^{-1} belongs to $\mathcal{X}_{T \subset B_L}^L$. Thus we obtain a morphism

$$\mathcal{X}_{T \subset B}^G(P') \rightarrow \mathcal{X}_{T \subset B_L}^L: x \mapsto y^{-1}x,$$

which is an isomorphism whose inverse is simply given by $yx \mapsto x$.

Therefore, in summary, we get a decomposition

$$\mathcal{X}_{T \subset B}^G = \bigsqcup_{P' \in \mathcal{P}} \mathcal{X}_{T \subset B}^G(P') = \bigsqcup_{y \in G^F/P^F} y\mathcal{X}_{T \subset B_L}^L.$$

It is not difficult to check that this decomposition implies that the representation of G^F realized on $H_c^i(\mathcal{X}_{T \subset B}^G, \mathbb{Q}_\ell)$ is nothing but the induced representation of the

representation of P^F realized on $H_c^i(\mathcal{X}_{T \subset B_L}^L, \overline{\mathbb{Q}}_\ell)$ (through the map $P^F \rightarrow L^F$). By also noting that the above decomposition is equivariant with respect to the right T^F -translation action, we conclude that $R_T^G(\theta) \cong \text{Ind}_{P^F}^{G^F}(R_T^L(\theta))$. \square

Definition 1.15. We say that a k -rational maximal torus T of G is *elliptic* if T is not contained in any proper k -rational parabolic subgroup of G .

Corollary 1.16. *If the Deligne–Lusztig representation $R_T^G(\theta)$ contains a cuspidal irreducible constituent, then T must be elliptic.*

Proof. Let us suppose that T is not elliptic, hence there exists a proper k -rational parabolic subgroup P with a k -rational Levi subgroup L . Let ρ be any irreducible representation contained in $R_T^G(\theta)$. Then, by the previous proposition, we have $R_T^G(\theta) \cong \text{Ind}_{P^F}^{G^F} R_T^L(\theta)$. This means that there exists an irreducible representation ρ_L of L^F contained in $R_T^L(\theta)$ such that ρ is contained in $\text{Ind}_{P^F}^{G^F} \rho_L$. Hence ρ is not cuspidal. \square

Then, how about the converse statement? In fact, when the Deligne–Lusztig representation is irreducible (recall that we call such representation “regular”), the situation is understandable:

Proposition 1.17. *Suppose that S is an elliptic k -rational maximal torus of G . If $\eta: S^F \rightarrow \mathbb{C}^\times$ is a regular character, then $(-1)^{r_G - r_S} R_S^G(\eta)$ is an irreducible cuspidal representation of G^F .*

Proof. Recall that, in the proof of the exhaustion theorem, we established a formula

$$\frac{1}{\text{St}_G(s)} \sum_{s \in T \in \mathcal{T}_G} \sum_{\theta \in (T^F)^\vee} (-1)^{r_G - r_T} \cdot \theta(s)^{-1} \cdot R_T^G(\theta) = |(G^F)_s| \cdot \mathbb{1}_{[s]}$$

for any $s \in G_{\text{ss}}^F$. In particular, when $s = 1$, we get

$$\frac{1}{\text{St}_G(1)} \sum_{T \in \mathcal{T}_G} \sum_{\theta \in (T^F)^\vee} (-1)^{r_G - r_T} \cdot R_T^G(\theta) = |G^F| \cdot \mathbb{1}_{\{1\}}.$$

Note that we have $|G^F| \cdot \mathbb{1}_{\{1\}} = \text{Ind}_{\{1\}}^{G^F} \mathbb{1}$. We utilize this formula for any k -rational Levi subgroup L of a k -rational parabolic subgroup P :

$$\frac{1}{\text{St}_L(1)} \sum_{T \in \mathcal{T}_L} \sum_{\theta \in (T^F)^\vee} (-1)^{r_L - r_T} \cdot R_T^L(\theta) = \text{Ind}_{\{1\}}^{L^F} \mathbb{1}.$$

We apply the parabolic induction from P^F to G^F to the both sides. Since we have $\text{Ind}_{P^F}^{G^F}(\text{Ind}_{\{1\}}^{L^F} \mathbb{1}) \cong \text{Ind}_{U_P^F}^{G^F} \mathbb{1}$ (Exercise), the previous proposition implies that

$$\frac{1}{\text{St}_L(1)} \sum_{T \in \mathcal{T}_L} \sum_{\theta \in (T^F)^\vee} (-1)^{r_L - r_T} \cdot R_T^G(\theta) = \text{Ind}_{U_P^F}^{G^F} \mathbb{1}.$$

Since

- there are $|U_P^F|$ -many lifts of a k -rational maximal torus T of L to a k -rational maximal torus of P ,
- $\text{St}_G(1) = \text{St}_L(1) \cdot |U_P^F|$,
- $r_G = r_L$ (this follows from that L is a k -rational Levi),

we get

$$\frac{1}{\text{St}_G(1)} \sum_{T \in \mathcal{T}_P} \sum_{\theta \in (T^F)^\vee} (-1)^{r_G - r_T} \cdot R_T^G(\theta) = \text{Ind}_{U_P^F}^{G^F} \mathbb{1}.$$

Now we prove the cuspidality of the irreducible representation $(-1)^{r_G - r_S} R_S^G(\eta)$. (Recall that the irreducibility follows from the regularity of η and the dimension formula.) Our task is to show that, for any proper k -rational parabolic subgroup P of G , we have

$$\langle (-1)^{r_G - r_S} R_S^G(\eta), \text{Ind}_{U_P^F}^{G^F} \mathbb{1} \rangle = 0.$$

By using the previous decomposition, we have

$$\langle (-1)^{r_G - r_S} R_S^G(\eta), \text{Ind}_{U_P^F}^{G^F} \mathbb{1} \rangle = \frac{1}{\text{St}_G(1)} \sum_{T \in \mathcal{T}_P} \sum_{\theta \in (T^F)^\vee} (-1)^{r_T - r_S} \cdot \langle R_S^G(\eta), R_T^G(\theta) \rangle.$$

By the inner product formula, each summand is given by

$$|\{w \in W_{G^F}(S, T) \mid {}^w \eta = \theta\}|.$$

However, by the assumption that S is elliptic, S cannot conjugate to any k -rational maximal torus T of P ; in particular, this summand is zero. \square

8:49am, November 26, 2024