

12/17

On Asymptotic base loci  
of relative anticanonical divisors.  
(Joint work with Sho Ejiri (Osaka Univ.)  
Shin-ichi Matsumura (Tohoku Univ.)

§ / Main result.

§ 2. Proofs.

Notation.

- $X, Y$  smooth projective variety /  $\mathbb{C}$
- $f: X \rightarrow Y$  surjective morphism  
with connected fiber.  
(algebraic fiber space)

- $-K_{X/Y} := -(K_X - f^*K_Y)$   $K_X = \det \Omega_X$   
relative anticanonical divisor.  
divisor = line bundle.

" $-K_{X/Y}$  is hard to have positivity"  
(ample, nef, ---)

" $-K_{X/Y}$  は 正値性 をもちにくい"  
(ample, nef, ---)

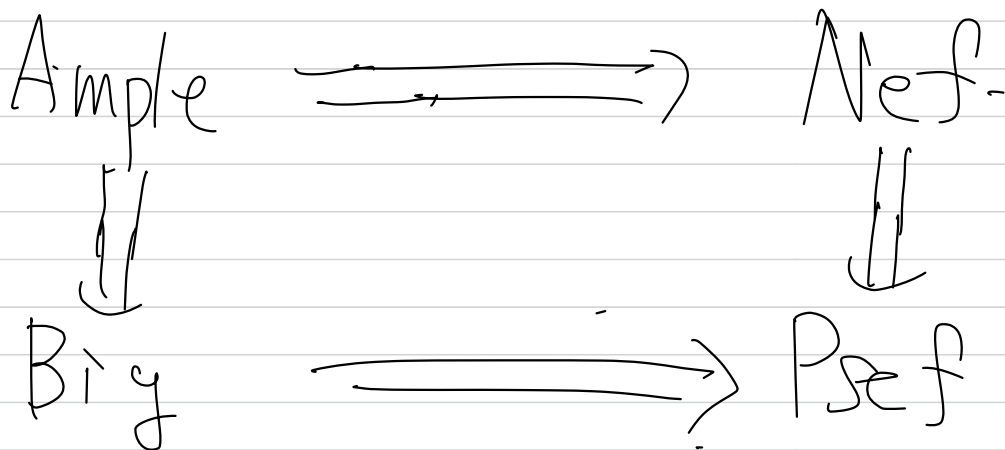
$D$ : divisor on  $X$  (positivity) (12/21) ②

$D$  ample  $\Leftrightarrow \exists h$  smooth metric on  $D$   
 s.t.  $\sqrt{-1}(\Theta)_h > 0$  (positive curvature)

$D$  nef  $\Leftrightarrow \forall C \subset X$  curve,  $D \cdot C \geq 0$   
 $\Leftrightarrow \forall \varepsilon > 0, \exists h_\varepsilon$  smooth metric on  $D$   
 s.t.  $\sqrt{-1}(\Theta)_{h_\varepsilon} \geq -\varepsilon \omega$  ( $\omega$  = Kähler form)

$D$  big  $\Leftrightarrow h^0(X, mD) \sim O(m^{\dim X})$   $m \gg 0$   
 $\Leftrightarrow \exists h$  singular metric,  $\sqrt{-1}(\Theta)_h \geq \varepsilon \omega$   
 (in the sense of current)

$D$  pseudo-effective  $\Leftrightarrow \forall A$  ample  $\forall m \in \mathbb{N}_{>0}, mD + A$  big  
 (Psef)  $\Leftrightarrow \exists h$  singular metric  
 s.t.  $\sqrt{-1}(\Theta)_h \geq 0$  (in the sense of current)



# Example

\*  $\mathcal{O}_{\mathbb{P}^n}(1)$  is ample.  
(Fubini-Study metric)

\* Zero divisor  
is Not big

$$\left( \because h^0(X, mD) = 1 \quad \forall m \gg 0 \right)$$

D: divisor on X (Positivity) (3)

D ample  $\Leftrightarrow \exists h$  smooth metric on D  
s.t.  $\sqrt{-1} \Theta_h > 0$  (positive curvature)

D nef  $\Leftrightarrow \forall C \subset X$  curve,  $D \cdot C \geq 0$   
 $\Leftrightarrow \forall \varepsilon > 0, \exists h \in \text{smooth metric on D}$   
Demailly s.t.  $\sqrt{-1} \Theta_h \geq -\varepsilon \omega$  ( $\omega$  = Kähler form)

D big  $\Leftrightarrow h^0(X, mD) \sim O(m^{\dim X}) \quad m \gg 0$   
 $\Leftrightarrow \exists h$  singular metric,  $\sqrt{-1} \Theta_h \geq \varepsilon \omega$   
Demailly  $\exists \varepsilon > 0$  (in the sense of current)

D pseudo-effective  $\Leftrightarrow \forall A$  ample  $\forall m \in \mathbb{N}_{>0}, mD + A$  big  
(Psef)  $\Leftrightarrow \exists h$  singular metric  
Demailly s.t.  $\sqrt{-1} \Theta_h \geq 0$  (in the sense of current)

$$\begin{array}{ccc} \text{Ample} & \implies & \text{Nef} \\ \Downarrow & & \Downarrow \\ \text{Big} & \implies & \text{Psef} \end{array}$$

\*  $e \in \mathbb{N}_{\geq 0}, E_e := \mathcal{O}_{\mathbb{CP}^1} \oplus \mathcal{O}_{\mathbb{CP}^1}(-e).$

$\therefore F_e = \mathbb{P}(E_e)$  Hirzebruch Surface.

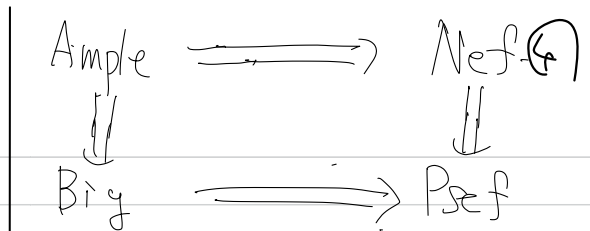
$$\pi: \bar{F}_e \rightarrow \mathbb{CP}^1.$$

$$D = -K_{F_e/\mathbb{CP}^1} = -(K_{F_e} - \pi^* K_{\mathbb{CP}^1})$$

$$\begin{aligned} h^0(\bar{F}_e, mD) &= h^0(\mathbb{CP}^1, \text{Sym}_m^m(E_e) \otimes \mathcal{O}_{\mathbb{CP}^1}(me)) \\ &= \dots = \frac{1}{2}(me+2)(m+1) \end{aligned}$$

$\therefore D$  is big on  $F_e \Leftrightarrow e > 0$ .

# S1 Main Result



Thm (Kollar-Miyaoka-Mori 92)

If  $-K_{X/Y}$  is ample, then  $\dim Y = 0$ .

Thm (Cao 91, Cao-Höring 91,  
Campana-Cao-Matsumura 91,  
Patakfalvi-Zdanowicz 91,  
(appendix with Codogni))

If  $-K_{X/Y}$  is nef (analytic fiber bundle)  
then  $f$  is locally trivial

( $\forall y \in Y, \exists U \subset Y$  Eucl'd open near  $y$   
s.t.  $f^{-1}(U) \underset{\text{biholo}}{\simeq} U \times F$ ,  $F := f^{-1}(y, \text{fiber})$ )

Aim Extend above result in case of big or psef.

Example  $\pi: E = \mathbb{P}^1(\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(-e)) \rightarrow \mathbb{CP}^1$ .  
 $-K_{E/\mathbb{CP}^1}$  is big if  $e > 0$ .

Use Asymptotic base loci.

(9)

Asymptotic base loci.

 $D$ : divisor on  $X$ ,  $A$  ample on  $X$ .

• Base locus

$$B_S(D) := \{x \in X \mid \forall s \in H^0(X, D) \ s(x) = 0\}$$

• Stable base locus.

$$B(D) = \bigcap_{m \in \mathbb{N}_{>0}} B_S(mD)$$

Augmented base locus. (= Non-ample locus)

$$B_+(D) = \bigcap_{m \in \mathbb{N}_{>0}} B(mD - A)$$

Restricted base locus. (= Non-nef locus)

$$B_-(D) = \bigcup_{m \in \mathbb{N}_{>0}} B(mD + A)$$

Property:  $B_-(D) \subset B(D) \subset B_+(D) \subset X$ 

$$D \text{ ample} \Leftrightarrow B_+(D) = \emptyset \longrightarrow D \text{ nef} \Leftrightarrow B_-(D) = \emptyset$$

↓

↓

$$D \text{ big} \Leftrightarrow B_+(D) \neq X \longrightarrow D \text{ psef} \Leftrightarrow B_-(D) \neq X$$

Main thm (Ejiri - I. - Matsumura 20) ⑥

① If  $f(B_+(-K_{X/Y})) \neq Y$ , then  $\dim Y = 0$ .

② If  $f(B_-(-K_{X/Y})) \neq Y$ , then  $B_-(-K_{X/Y}) = \emptyset$   
 ( $-K_{X/Y}$  is nef).

In particular,  $f$  is locally trivial.

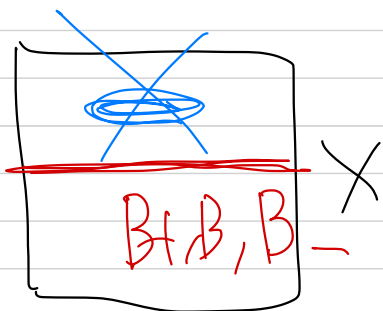
③ If  $f(B(-K_{X/Y})) \neq Y$ , then  $B(-K_{X/Y}) = \emptyset$

③' If  $B(-K_{X/Y}) = \emptyset$ , then  
 $\exists \pi: Y' \rightarrow Y$  finite étale.

$F \times Y'$

s.t.  $X \times_Y Y' \simeq F \times Y'$   
 biholo

( $F$  - fiber of  $f$ )



$\downarrow f$

$\longrightarrow Y$

Property:  $B_-(D) \subset B(D) \subset B_+(D) \subset X$

$D$  ample  $\Leftrightarrow B_+(D) = \emptyset \longrightarrow D$  nef  $\Leftrightarrow B_-(D) = \emptyset$

$\downarrow$

$D$  big  $\Leftrightarrow B_+(D) \neq X \longrightarrow D$  p nef  $\Leftrightarrow B_-(D) \neq X$

$\downarrow$

Example:  $\pi: F_e = \mathbb{P}^1(G_{\mathbb{CP}^1} \oplus G_{\mathbb{CP}^1}(-e)) \rightarrow \mathbb{CP}^1$

$-K_{F_e/\mathbb{CP}^1}$  is big if  $e > 0$ .

$f(B_+(-K_{F_e/\mathbb{CP}^1})) = \mathbb{CP}^1$  „

Rem. ③' is already proved  
by [Ambro05]

• In the case of pairs --- ??

$\Delta$  effective  $\mathbb{Q}$ -divisor on  $X$

We consider " $(K_X/Y + \Delta)$ " instead of " $-K_X/Y$ ".

①. O.K. if  $(X, \Delta)$  is lc  $\mathbb{Q}$ -pair.

②, ③. O.K. if  $(X, \Delta)$  is klt  $\mathbb{Q}$ -pair.

• In the case of singular varieties: --- ??

①. O.K. if  $X$  is lc.

(lc. on a general fiber)

$Y$  is canonical.

(Recently, Chang proved ①.  
if  $X$  is lc  
(without assuming  $Y$  is canonical))

Main thm (Ejiri-I-Matsumura 2021)  
① If  $f(B_+(K_X/Y)) \neq Y$ , then  $\dim Y = 0$ .  
② If  $f(B_-(K_X/Y)) \neq Y$ , then  $B_-(K_X/Y) = \emptyset$ .  
( $-K_X/Y$  is nef).  
In particular,  $f$  is locally trivial.  
③ If  $f(B_-(K_X/Y)) \neq Y$ , then  $B_-(K_X/Y) = \emptyset$ .  
④ If  $B_-(K_X/Y) = \emptyset$ , then  
 $\exists \pi: Y' \rightarrow Y$  finite étale.  
 $f \times_Y Y' \xrightarrow{\sim} Y'$  S.t.  $X \times_Y Y' \simeq f \times_Y Y'$   
 $\downarrow \square \quad \downarrow \pi$  b.i.h.k.  
 $X \xrightarrow{f} Y$  ( $F$  - fiber of  $f$ )

## §2 Proofs of Main th ①.

⑧

(By singular Hermitian metrics  
of direct image sheaves)

$W_Y =$  Kähler form on  $Y$ ,  $\dim Y \neq 0$ .

Thm (Cao-Păun 17. (Lem 3.4)  
Păun-Takayama (8. Berndtsson-Păun 08)

$L$  divisor  $h =$  singular metric on  $L$ ,  $m \in \mathbb{N}$   
Assume ①  $\sqrt{F}(\mathcal{H}) \geq \varepsilon f^* W_Y$ ,  $\varepsilon > 0$

②  $f(h^{\frac{1}{m}}|_F) = G_F =$  generic fiber  $F$ .

③  $f_*(mK_{X/Y} + L) \neq 0$ .

Then  $\exists H$ . singular metric.

on  $f_*(mK_{X/Y} + L)$ .

Set  $\sqrt{F}(\mathcal{H})_{\det/H}(\det f_*(mK_{X/Y} + L)) \geq r \in W_Y$

$r := r_K f_*(mK_{X/Y} + L)$



# Proofs

Setting  $\exists$   $A$  ample on  $X$   
 $\exists$   $h_A$  sm metric

s.t.  $\begin{cases} W_X := \sqrt{F} \otimes h_A \text{ Kähler form} \\ W_X \geq f^* W_Y \end{cases}$

Assume  $f(B_+(-k_{X/Y})) \neq Y$  &  $\dim Y \neq 0$ .

$\exists m \in \mathbb{N}_{>0}$ ,  $f(B_+(-mk_{X/Y} - A)) \neq Y$ .

$\Rightarrow \exists h$  singular metric on  $-mk_{X/Y} - A$ .

s.t.  $\begin{cases} h|_F \text{ is smooth on general fiber } F \\ \sqrt{F} \otimes h \geq 0 \end{cases}$

$\Rightarrow \tilde{h} := h \circ h_A$  singular metric on  $-mk_{X/Y}$

s.t.  $\begin{cases} h|_F \text{ is smooth on general fiber } F \\ \sqrt{F} \otimes \tilde{h} \geq W_X \geq f^* W_Y \end{cases}$

Asymptotic base loci. (9)  
 $D$  = divisor on  $X$ ,  $A$  ample on  $X$ .

\* Base locus

$$B_S(D) := \{x \in X \mid \forall \text{ s.e.t. } (X, D) \text{ s.e.t. } = 0\}$$

\* Stable base locus

$$B(D) = \bigcap_{m \in \mathbb{N}_{>0}} B_S(mD)$$

Augmented base locus (= Non-ample locus)

$$B_+(D) = \bigcap_{m \in \mathbb{N}_{>0}} B(mD - A)$$

$\Rightarrow$  Zero divisor on  $\mathbb{P}^1$

$$S_*(mK_X - mK_X/r)$$

has singular metric  $H$

$$\text{s.t. } \sqrt{-1} (H) \det H \geq W_X.$$

$\Rightarrow$  Zero divisor on  $\mathbb{P}^1$  is big.

Contradiction!

Thm (Cao-Păun 17, Lem 3.4)  
(Păun-Takayama 18, Berndtsson-Păun 08)

$L$  divisor.  $h$  = singular metric,  $mK_X$   
Assume ①  $\sqrt{-1} (h) \geq \varepsilon W_X$ ,  $\varepsilon > 0$   
②  $f(h|_F) = G_F$  = generic fiber  $F$ .  
③  $f_*(mK_X + L) \neq 0$ .

Then  $\exists H$  singular Hermitian metric  
on  $f_*(mK_X + L)$ .

$$\text{s.t. } \sqrt{-1} (H) \det H (\det f_*(mK_X + L)) \geq r \varepsilon W_Y$$

$$r := \text{rk } f_*(mK_X + L)$$

# § Application of - Păun-Takayama. (11)

Thm (Deng 17)

$$\textcircled{1} \quad -K_X \text{ big} \ \& \ f(B_+(-K_X)) \neq Y \\ \Rightarrow \quad -K_Y \text{ big}$$

$$\textcircled{2} \quad -K_X \text{ psef} \ \& \ f(B_-(-K_X)) \neq Y \\ \Rightarrow \quad -K_Y \text{ is psef}$$

Thm (Cao-Păun 17, Lem 3.4)  
Păun-Takayama (8: Berndtson-Păun 08)  
L divisor,  $h$  = singular metric,  $m k_X$   
Assume  $\textcircled{1} \quad \sqrt{-1} \Theta_h \geq f^* W_Y, \quad \varepsilon > 0$   
 $\textcircled{2} \quad f(h|_{F^1_p}) = G_F$  = generic fiber  $F$ .  
 $\textcircled{3} \quad f_*(m k_X + L) \neq 0$ .

Then  $\exists H$ , singular Hermitian metric  
on  $f_*(m k_X + L)$ .  
s.t.  $\sqrt{-1} \Theta_H(\det f_*(m k_X + L)) \geq \varepsilon \text{Id}_p$   
 $r := \text{rk } f_*(m k_X + L)$

$$\boxed{\text{PS}} \cdot f(B_+(-K_X)) \neq Y$$

$$\Rightarrow \exists m \in \mathbb{N}_{>0}, \exists h \text{ on } -m K_X.$$

$$\text{s.t. } \begin{cases} \sqrt{-1} \Theta_h \geq f^* W_Y. \\ f(h|_{F^1_m}) = G_F \end{cases}$$

$$f(h|_{F^1_m}) = G_F \quad \text{general fiber } F.$$

$$\Rightarrow -m K_Y = f_*(m k_{X/Y} - m K_X).$$

$$\text{has sHm 1} \quad \text{s.t. } \sqrt{-1} \Theta_H \geq W_Y.$$

$$\Rightarrow -m K_Y \text{ big.}$$

Rem

- Thm (Kollar-Miyaoka-Mori [2], Fujino-Gongyo [2])  
 $f$  smooth &  $-K_X$  ample  $\Rightarrow -K_Y$  ample.
- Thm (Miyaoka [3], Fujino-Gongyo [4])  
 $f$  smooth &  $-K_X$  nef  $\Rightarrow -K_Y$  nef

Q.  $\exists$  - proofs of above thms.?  
 by using "Păun-Takayama's method".

$\rightarrow$  Yes!

Thm (Păun-Takayama [8], Thm 5.1.2 & Cor 5.2.2)

- $Y_0$  := the set of regular values of  $f$ .
- $L$  = Divisor on  $X$ ,  $h$  = singular metric on  $L$ .

Assume. ①  $\int_X (h^n) \geq 0$  ( $\int_X (h^n) \geq \int_X W_X$ )  
 ②  $\mathcal{H}(h^{\frac{1}{m}}|_F) = \mathcal{O}_F$  general fiber  $F$   
 ③  $f_*(mK_X + L) \neq 0$ .  
 ④.  $h$  is conti on  $f^{-1}(Y_0)$

Then  $\exists H$  singular metric on  $f_*(mK_X + L)$

s.t.  $\{H\}$  is continuous on  $Y_0$ .

$\int_X (H) \geq 0$ . ( $\int_X (H) \geq \int_X W_X$ )

(pf)  $-K_X$  ample

(13)

$\Rightarrow \exists m \in \mathbb{N}_{>0}$   
 $-mK_X - A$  has semipositive metric  $h$

$\Rightarrow -mK_X$  has smooth metric  
s.t.  $\sqrt{-1} \Theta_h \geq f^*W_X$

$\Rightarrow -mK_X = f_*(mK_{X/Y} - m f^*K_X)$

has singular metric  $H$ .

s.t.  $\sqrt{-1} \Theta_H \geq W_X$  &  $H$  is continuous.

$\Rightarrow -K_X$  ample.

Fact: Siu's uniform global generation. (C. H. Siu) (14)  
 $\exists$  Example,  $\forall D$  divisor, h. singular metric  
 s.t.  $\sqrt{-1} \Theta h \geq 0$ ,

$G_X(A + D) \otimes f(h)$  is globally generated.

$$\left( \begin{array}{l} \bullet \text{ Base locus} \\ B_S(D) := \{x \in X \mid \forall s \in H^0(X, D) \ s(x) = 0\} \\ \bullet \text{ Stable base locus} \\ B(D) = \bigcap_{m \in \mathbb{N}_{>0}} B_S(mD) \\ \text{Augmented base locus (Non-ample locus)} \\ B_+(D) = \bigcap_{m \in \mathbb{N}_{>0}} B(mD - A) \end{array} \right) \quad \left( \begin{array}{l} \uparrow \\ B_S(A + D) = \emptyset \end{array} \right)$$

$-K_X$  has singular metric  $H$ .  
 $H$  cont, only  $\exists$   $\sqrt{-1} \Theta H \geq \omega_X$

$A_X$  ample (as above)  
 $h_X$  positive metric.

$\exists m > 0$ , s.t.  $m \sqrt{-1} \Theta H - 2 \sqrt{-1} \Theta h_X \geq 0$ .

$\Rightarrow D = -mK_X - 2A_X$  has singular metric  $h = H^m h_X^{-2}$   
 s.t.  $\sqrt{-1} \Theta h \geq 0$ .

$\Rightarrow B_S(A_X + D) = B_S(-mK_X - A_X) = \emptyset$

$B_+(-K_X)$

$\therefore -K_X$  ample.

Rem

By Takayama's method (in [Takayama16])  
 if  $f$  is semistable &  $-K_X$  ample  
 (resp. nef)  
 then  $-K_Y$  ample (resp. nef)

$f = \text{semistable}$

$$\Leftrightarrow f: X \longrightarrow Y \quad + (d, \dots)$$

$\cup \qquad \qquad \cup$

$$(U, z_1, \dots, z_n) \mapsto (V, w_1, \dots, w_m)$$

$$\text{s.t. } w_i = \prod_{j=1}^{m_i} z_{i,j}$$