

# Capturing Structural Similarity of Graphs

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## Abstract

It is essential to identify graphs with similar structures in, *e.g.*, Web page clustering, data deduplication, schema matching and spam detection. To capture structural similarity in these areas, we propose two revisions of the conventional notions of graph simulation and subgraph isomorphism. One revision, referred to as *p-similarity*, extends graph simulation by mapping *edges* from one graph to *paths* in another graph, and by measuring the *similarity of nodes* (*e.g.*, Web page contents). The other revision, referred to as *strong p-similarity*, further restricts simulation by mapping each node in one graph to a single node in the other. When the node mapping is further required to be 1-1, subgraph isomorphism becomes a special case of 1-1 *p-similarity*. We give a full treatment of these revisions, from complexity bounds to (approximation) algorithms. (1) We present a cubic-time algorithm for computing *p-similarity*. (2) In contrast, we show that it is NP-complete to determine strong *p-similarity* and 1-1 *p-similarity*. (3) We propose optimization problems for strong (1-1) *p-similarity*, to maximize overall similarity or the number of nodes matched. For each of these problems, we provide an approximation algorithm with *provable guarantees* on match quality. Using real-life and synthetic data, we experimentally verify that our algorithms scale well, and that *p-similarities* are able to match similar graphs commonly found in practice that cannot be identified by graph simulation or subgraph isomorphism.

## 1. Introduction

To detect Web mirrors [7] one often needs to identify similar graphs. Indeed, to decide whether a set  $C$  of pages is a mirror of a collection  $C'$  of pages, one can represent  $C$  and  $C'$  as graphs  $G$  and  $G'$ , respectively, by treating the pages as nodes and hyperlinks as edges [8, 14, 24]. Then  $C$  is a mirror of  $C'$  if  $G$  is similar to  $G'$  based on a certain graph similarity metric [8, 14, 27, 32]. The need for identifying similar graphs is also evident in Web page clustering [10], data deduplication [17], schema matching [30], pattern recognition [37], graph queries [13, 34], plagiarism detection and spam detection [5, 26], among other things.

A central question concerns how to measure the structural similarity of graphs in these contexts. A number of graph matching algorithms have been developed, typically following one of two approaches. One approach is referred to as *feature-based*. Given two graphs, it first extracts domain specific elementary structures as features from the graphs, and then measures their similarity based on the number of common features. The other, referred to as *structure-based*, directly assesses the similarity of the topology of graphs. As pointed out in [32, 37], the feature-based approach does not observe global structural connectivity, and is often less accurate than the structure-based similarity measure.

The structure-based similarity measure for node-labeled graphs often adopts one of the following two notions.

**Graph simulation** [4]. A graph  $G_1$  is said to be *simulated* by graph  $G_2$  if there exists a binary relation  $R$  on the nodes of  $G_1$  and the nodes of  $G_2$  such that for each node pair  $(v, u)$  in  $R$ , (a)  $v$  and  $u$

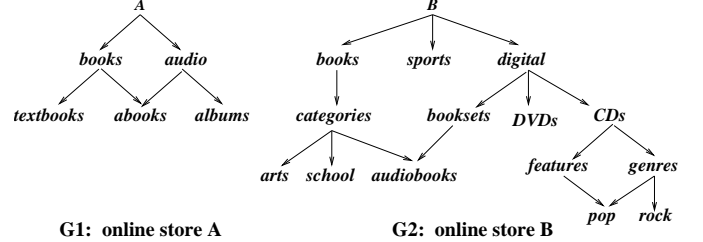


Figure 1: Graphs representing two online stores

share the same label, and (b) for each edge  $(v, v')$  in  $G_1$ , there is an edge  $(u, u')$  in  $G_2$  such that  $(v', u')$  is also in  $R$ , *i.e.*, each edge in  $G_1$  is mapped to an edge in  $G_2$ .

**Subgraph isomorphism** [12]. Graph  $G_1$  is *isomorphic* to a subgraph of  $G_2$  if there exists a *bijective function*  $f$  from the nodes of  $G_1$  to the nodes of  $G_2$  such that (a) for any node  $v$  in  $G_1$ ,  $v$  and  $f(v)$  have the same label, and (b) there exists an edge from nodes  $v$  to  $v'$  in  $G_1$  if and only if (*iff*)  $(f(v), f(v'))$  is an edge in  $G_2$ .

These notions have been widely used in, *e.g.*, Web mirrors [14, 32], pattern recognition [37], social networks [34], schema matching [28] and plagiarism detection [26].

However, the conventional notions of graph simulation and subgraph isomorphism are often too restrictive to identify similar graphs in practice. Indeed, the requirement on identical label matching is an overkill for Web mirrors, where nodes are labeled with either Web page contents or URLs [7]; and moreover, edge-to-edge mappings only allow highly similar graphs to be matched.

**Example 1.1:** Consider an online store  $A$  and its later version  $B$  depicted as graphs  $G_1$  and  $G_2$  in Fig. 1, respectively. In these graphs, each node represents a Web page for sale of certain items, as indicated by its label. One wants to know whether all the items carried by store  $A$  are still provided by its later version  $B$ . If so, graph  $G_1$  should logically be considered “similar to”  $G_2$ .

When graph simulation or subgraph isomorphism is used to measure graph similarity,  $G_1$  is *not* found similar to  $G_2$ . Indeed, (a) nodes in  $G_1$  may not find a node in  $G_2$  with the same label, *e.g.*, audio and albums; and worse still, (b) there exists no sensible binary relation on the nodes  $V_1$  of  $G_1$  and the nodes  $V_2$  of  $G_2$ , or mapping from  $V_1$  to  $V_2$ , which maps edges in  $G_1$  to edges in  $G_2$  accordingly.

However, a page checker (*e.g.*, [36]) may find each page in store  $A$  a match in store  $B$ , *i.e.*, a page with a similar functionality:

$A \mapsto B$ , books  $\mapsto$  books, audio  $\mapsto$  digital, textbooks  $\mapsto$  school, abooks  $\mapsto$  audiobooks, albums  $\mapsto$  {pop, rock} (similar to two nodes)

That is, store  $B$  has at least the same capability as its earlier version  $A$ . Hence graph  $G_1$  should be considered similar to  $G_2$ . Observe that while edges in  $G_1$  are not preserved by this similarity relation on nodes, each edge in  $G_1$  is actually mapped to *paths* in  $G_2$ , *e.g.*, the edge (books, textbooks) in  $G_1$  is mapped to the path books/categories/school in  $G_2$ . The rational behind this edge-to-path mapping is that when a node  $v$  in  $G_1$  is mapped to a node  $u$  in  $G_2$ , then for any functionality provided by a child of  $v$ , a similar functionality can be found in  $G_2$  by following a path from  $u$ .  $\square$

These suggest that we revise the conventional structure-based measures to match similar graphs commonly found in real world.

**Contributions.** We propose extensions of graph simulation and subgraph isomorphism to measure the structural similarity of node-labeled directed graphs. We also provide complexity bounds and efficient algorithms for computing these revised measures.

(1) Our first contribution is a notion of *p-similarity* that extends graph simulation in two aspects. (a) In contrast to node label equality, similarity metrics are introduced to measure the *similarity of nodes*. This allows us to, e.g., leverage page checkers (e.g., [36]) to match similar Web pages. (b) Edges in one graph are allowed to map to *paths* in the other, as opposed to edge-to-edge mappings.

(2) Our second contribution is a notion of *strong p-similarity*, which restricts *p-similarity* by requiring each node in one graph to be mapped to a single node in the other, rather than to a set of nodes. That is, strong *p-similarity* is defined in terms of a *function* for node mapping, instead of a relation. This is often needed in, e.g., graph-pattern queries [13] and schema matching [30]. In schema matching, for example, one often wants to map each attribute in a source schema to a single attribute in a target schema.

We also study a further restriction of strong *p-similarity* by requiring node mappings to be 1-1 (injective), referred to as *1-1 p-similarity*. Subgraph isomorphism is a special case of 1-1 *p-similarity* when (a) edges in one graph are only allowed to map to edges in the other, and *vice versa*, and in addition, (b) node-label equality is required instead of node similarity.

(3) Our third contribution is an  $O(n^3)$ -time algorithm that, given two graphs  $G_1$  and  $G_2$ , computes *p-similarity* relations from  $G_1$  to  $G_2$  if one exists. Compared to the  $O(n^2)$ -time complexity of graph simulation, the algorithm tells us that despite edge-to-path mappings, *p-similarity* does not make our lives much harder, i.e., one can still efficiently identify similar graphs using *p-similarity*. We also show that for any  $G_1$  and  $G_2$ , if they are *p-similar*, then there exists a *unique p-similarity* relation with the maximum cardinality.

(4) Our fourth contribution consists of complexity bounds for determining strong *p-similarity*. In contrast to the  $O(n^3)$ -time complexity of *p-similarity*, we show that the problems for determining strong *p-similarity* and 1-1 *p-similarity* both become NP-complete.

We also study subgraph matching with strong (1-1) *p-similarity*. We propose optimization problems to maximize the number of nodes matched (referred to as *maximum cardinality*), or to maximize the overall similarity of mappings *w.r.t.* a certain similarity metric (*maximum similarity*). In particular, the maximum common subgraph problem [23] is a special case of maximum cardinality.

(5) Our fifth contribution is a set of approximation algorithms for finding node mappings with maximum cardinality or maximum similarity, for strong *p-similarity* or 1-1 *p-similarity*. We show that all these optimization problems are also NP-complete. Worse still, they are hard to approximate: unless  $P = NP$ , it is beyond reach in practice to approximate the problems within  $O(1/n^{1-\epsilon})$  of the optimal solutions for any constant  $\epsilon$ . Nevertheless, we provide effective algorithms for each of these problems. These algorithms possess provable *performance guarantees* on match quality: for any graphs  $G_1$  and  $G_2$ , the solutions found by the algorithms are provable to be within  $O(\log^2(n_1 n_2)/(n_1 n_2))$  of the optimal solutions, where  $n_1$  (resp.  $n_2$ ) is the number of nodes in  $G_1$  (resp.  $G_2$ ).

(6) Our sixth and final contribution is an experimental study. Using real-life Web pages we evaluate the accuracy of our similarity measures. We find that (strong, 1-1) *p-similarity* can identify many similar graphs that conventional measures fail to detect. On a set of

Web sites for online newspapers, for example, our approximation algorithms find up to 60% of matches; in contrast, graph simulation finds no match, and algorithms based on subgraph isomorphism either do not run to completion or cannot find any match. On Web sites for online stores, the accuracy of our algorithms is up to 90%, as opposed to 0% and 67% by simulation and subgraph isomorphism, respectively. Using synthetic graphs we evaluate the efficiency of our algorithms. We find that the algorithms scale well. For example, they take less than two minutes on graphs  $G_1$  with 800 nodes and  $G_2$  with 2000 nodes.

**Applications.** The notions of *p-similarity* and strong (1-1) *p-similarity* provide alternative measures for structural similarity of graphs. Their (approximation) algorithms allow us to use these measures with performance guarantees. These techniques may find practical use in the following areas.

(1) *Web page classification and duplicate page detection.* It is estimated over 30% of the Web consists of duplicate pages (cf. [7]). This highlights the need for detecting duplicate pages and for classifying Web pages, to reduce crawling and storage costs, and to improve the accuracy of page ranks. As verified by our experimental results, the notions of (strong) *p-similarity* allow us to effectively identify Web sites with similar structures and functionality.

(2) *Object identification.* In data integration and data cleaning one typically needs to identify objects from multiple unreliable data sources that refer to the same real-world entity [6, 17]. While object identification has been studied for decades, little is known about how to identify complex objects, e.g., semistructured data. Representing such objects as graphs, (strong) *p-similarity* is able to identify complex objects by checking whether all the attributes of one object are also accessible via paths in another object, although the two objects may not have highly similar structures.

(3) *Schema matching.* As a first step to building schema mapping, schema matching is to map attributes from a source schema to those in a target schema such that the associated attributes are semantically related [30]. As schemas are typically represented as graphs, strong *p-similarity* can be used to find candidate schema matches.

(4) *Plagiarism and spam detection.* Source code can be characterized by program dependency graphs [26]. Contents and structures of documents and emails can also often be represented as graphs [5]. These promote the use of *p-similarity* in plagiarism detection and email classification, which typically need a similarity measure less restrictive than conventional subgraph isomorphism.

In addition, *p-similarities* and their computation techniques also shed light on pattern recognition [15, 37], graph-pattern query evaluation [13] and social networks [34], among other things.

**Organization.** We introduce *p-similarity* in Section 2, and give its computation algorithm in Section 3. In Section 4 we define strong *p-similarity* and 1-1 *p-similarity*, and show their NP-completeness. The optimization problems are also introduced there. Approximation algorithms are provided in Section 5, followed by experimental results in Section 6. Related work is discussed in Section 7, followed by topics for future work in Section 8. All proofs are in [2].

## 2. From Graph Simulation to P-Similarity

We first review basic notations, and then define *p-similarity*.

### 2.1 Graphs, Subgraphs, Paths and Node Similarity

A node-labeled, directed *graph* is defined as  $G = (V, E, L)$ , where (1)  $V$  is a finite set of nodes; (2)  $E \subseteq V \times V$  is a set of edges, in which  $(v, v')$  denotes an edge from node  $v$  to  $v'$ ; and (3) for each node  $v$  in  $V$ ,  $L(v)$  is the *label* of  $v$ . The label  $L(v)$  may

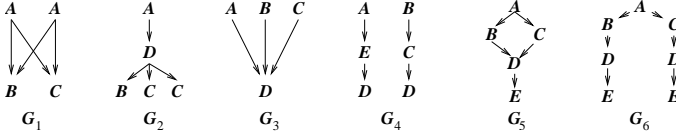


Figure 2: Graph simulation vs.  $p$ -similarity

indicate, e.g., the content or URL of a page if  $G$  represents a set of Web pages [7, 8], or an attribute if  $G$  depicts a schema [30].

A *node-induced subgraph* (or simply a *subgraph*) of a graph  $G$  is defined to be a subset of  $V$  together with all those edges whose endpoints are both in this subset. More specifically, a *graph*  $H = (V_s, E_s, L_s)$  is a *subgraph* of graph  $G = (V, E, L)$ , denoted as  $G[V_s]$ , if for each node  $v \in V_s$ ,  $v$  is in  $V$ ,  $L_s(v) = L(v)$ , and moreover,  $(v, v') \in E_s$  iff  $v, v' \in V_s$  and  $(v, v') \in E$ .

A *path*  $\rho$  in graph  $G$  is a sequence of nodes  $v_1 / \dots / v_n$  such that  $(v_i, v_{i+1})$  is an edge in  $G$  for each  $i \in [1, n-1]$ . A path is *nonempty* if it consists of at least one edge. Abusing notations for trees, we refer to  $v_2$  as a *child* of  $v_1$  (or  $v_1$  as a *parent* of  $v_2$ ), and  $v_i$  as a *descendant* of  $v_1$  for  $i \in [2, n]$ .

Consider graphs  $G_1 = (V_1, E_1, L_1)$  and  $G_2 = (V_2, E_2, L_2)$ .

We assume a *similarity matrix*  $\text{mat}()$  on  $V_1$  and  $V_2$ . For each pair  $(v, u)$  of nodes in  $V_1 \times V_2$ ,  $\text{mat}(v, u)$  is a number in the range  $[0, 1]$ , indicating how close the labels of  $v$  and  $u$  are. The matrix  $\text{mat}()$  may be produced by a page checker for comparing the contents of Web pages [36], or by an algorithm for identifying attribute correspondences across schemas [25]. We also use a *similarity threshold*  $\xi$  to indicate the suitability of mapping  $v$  to  $u$ , such that  $v$  can be mapped to  $u$  only if  $\text{mat}(v, u) \geq \xi$ .

## 2.2 $P$ -Similarity and Graph Simulation

**$P$ -similarity.** Graph  $G_1$  is said to be  $p$ -similar to  $G_2$  w.r.t. similarity matrix  $\text{mat}()$  and threshold  $\xi$ , denoted by  $G_1 \preceq_{(e,p)} G_2$  when  $\text{mat}()$  and  $\xi$  are clear in the context, if there exists a binary relation  $R_{(e,p)} \subseteq V_1 \times V_2$  such that for each  $(v, u) \in R_{(e,p)}$ ,

- (1)  $\text{mat}(v, u) \geq \xi$ , i.e., the *similarity* of the labels of  $v$  and  $u$  is above the threshold;
- (2) for each edge  $(v, v')$  in  $E_1$ , there exists a nonempty *path*  $u / \dots / u'$  in  $G_2$  such that  $(v', u') \in R_{(e,p)}$ , i.e., all the children of  $v$  match some *descendants* of  $u$  via  $R_{(e,p)}$ ; and
- (3) for every node  $v$  in  $V_1$  there exist (possibly multiple) nodes  $u$  in  $V_2$  such that  $(v, u)$  is in  $R_{(e,p)}$ , i.e., each node in  $G_1$  is mapped to a nonempty set of nodes in  $G_2$ .

We refer to  $R_{(e,p)}$  as a  $p$ -similarity relation from  $G_1$  to  $G_2$ .

**Graph simulation.** Recall (from e.g., [4]) that  $G_1$  is said to be *simulated* by  $G_2$ , denoted by  $G_1 \preceq_{(e,e)} G_2$ , if there is a binary relation  $R_{(e,e)} \subseteq V_1 \times V_2$  such that for each  $(v, u) \in R_{(e,e)}$ , (1)  $L_1(v) = L_2(u)$ , i.e.,  $v$  and  $u$  have the *same* label; (2) for each edge  $(v, v')$  in  $E_1$ , there is an edge  $(u, u')$  in  $E_2$  such that  $(v', u') \in R_{(e,e)}$ , i.e., all the children of  $v$  match *children* of  $u$ ; and (3) for each node  $v$  in  $V_1$ ,  $(v, u) \in R_{(e,e)}$  for some  $u$  in  $V_2$ .

Note that graph simulation is a special case of  $p$ -similarity when (1) node label equality is required instead of similarity, and (2) edges in  $G_1$  are only allowed to map to edges in  $G_2$ .

**Example 2.1:** Consider graphs  $G_1$  and  $G_2$  depicted in Fig. 1. As observed in Example 1.1,  $G_1 \not\preceq_{(e,e)} G_2$ . In contrast, suppose that a page checker yields a matrix  $\text{mat}_e()$ :

$\text{mat}_e(A, B) = \text{mat}_e(\text{audio}, \text{digital}) = 0.7$   
 $\text{mat}_e(\text{books}, \text{books}) = 1.0$   
 $\text{mat}_e(\text{abooks}, \text{audiobooks}) = 0.9$   
 $\text{mat}_e(\text{books}, \text{booksets}) = \text{mat}_e(\text{textbooks}, \text{school}) = 0.6$   
 $\text{mat}_e(\text{albums}, \text{rock}) = \text{mat}_e(\text{albums}, \text{pop}) = 0.65$   
 $\text{mat}_e(v, u) = 0$ , for all other node pairs

simulation	$p$ -similarity	strong $p$ -similarity	1-1 $p$ -similarity
$\preceq_{(e,e)}$	$\preceq_{(e,p)}$	$\preceq_{(e,p)}^s$	$\preceq_{(e,p)}^{1-1}$

Table 1: Notations: Simulation and  $p$ -similarity

Then  $G_1 \preceq_{(e,p)} G_2$  w.r.t.  $\text{mat}_e()$  and any threshold  $\xi \leq 0.6$ . Indeed, the node mapping given in Example 1.1 is a  $p$ -similarity relation that maps edges in  $G_1$  to paths in  $G_2$ :

$(A, \text{books}) \mapsto B/\text{books}$   
 $(A, \text{audio}) \mapsto B/\text{digital}$   
 $(\text{books}, \text{textbooks}) \mapsto \text{books}/\text{categories}/\text{school}$   
 $(\text{books}, \text{abooks}) \mapsto \text{books}/\text{categories}/\text{audiobooks}$   
 $(\text{audio}, \text{albums}) \mapsto \{\text{digital}/\text{CDs}/\text{genres}/\text{pop}, \text{digital}/\text{CDs}/\text{genres}/\text{rock}\}$

Since node albums in  $G_1$  is mapped to both rock and pop in  $G_2$ , the edge (audio, albums) in  $G_1$  is mapped to two paths in  $G_2$ .

As another example, consider graphs depicted in Fig. 2. In each pair of the graphs, assume that  $\text{mat}(v, u) = 1$  if  $u$  and  $v$  have the same label, and  $\text{mat}(v, u) = 0$  otherwise, for nodes  $v$  in one graph and  $u$  in another. Fix  $\xi = 0.5$ . One can see the following.

- (1)  $G_1 \not\preceq_{(e,e)} G_2$  since there is no binary relation that preserves the edges of  $G_1$  in  $G_2$ , e.g.,  $(A, B)$  in  $G_1$  cannot find a match in  $G_2$ . In contrast,  $G_1 \preceq_{(e,p)} G_2$ ; an example  $p$ -similarity relation consists of  $(v, u)$  for each  $v$  in  $G_1$  and  $u$  in  $G_2$  that have the same label. Here edge  $(A, B)$  in  $G_1$  is mapped to a path  $A/D/B$  in  $G_2$ .
- (2)  $G_3 \not\preceq_{(e,e)} G_4$  but  $G_3 \preceq_{(e,p)} G_4$ , for the same reason as (1).
- (3)  $G_5 \preceq_{(e,e)} G_6$  and  $G_5 \preceq_{(e,p)} G_6$ . Indeed, a  $p$ -similarity relation  $\preceq_{(e,p)}$  is the same as the one in (1), so is a simulation relation. Observe that the node labeled  $D$  in  $G_5$  is *necessarily* mapped to both nodes labeled  $D$  in  $G_6$ ; similarly for the  $E$  node in  $G_5$ .  $\square$

This example shows that there exist graphs  $G_1, G_2$  such that  $G_1$  is  $p$ -similar to  $G_2$  whereas  $G_1$  is *not* simulated by  $G_2$ . In contrast:

**Proposition 2.1:** For any graphs  $G_1, G_2$ , matrix  $\text{mat}()$  and threshold  $\xi$ , if  $G_1 \preceq_{(e,e)} G_2$  then  $G_1 \preceq_{(e,p)} G_2$ .  $\square$

**Proof sketch:** A simulation relation is also a  $p$ -similarity relation since each edge is a path and every similarity relation subsumes equality.  $\square$

This shows that simulation is a stronger notion than  $p$ -similarity. As remarked earlier, simulation is often too restrictive: a graph can only be mapped to *highly similar* graphs by simulation.

**Remark.** For the ease of reference we summarize various notions of similarity in Table 1, including those given in this section and notations to be introduced in Section 4.

One might be tempted to further relax simulation, by allowing path-to-path mappings instead of edge-to-path mappings. This can be formalized by the notion of  $\preceq_{(p,p)}$ .

We say that  $G_1 \preceq_{(p,p)} G_2$  w.r.t.  $\text{mat}()$  and  $\xi$  if there is a binary relation  $R_{(p,p)} \subseteq V_1 \times V_2$  such that for each  $(v, u) \in R_{(p,p)}$ , conditions (1) and (3) for  $p$ -similarity hold and moreover, (2) for each nonempty *path*  $v / \dots / v'$  in  $G_1$ , there exists a nonempty *path*  $u / \dots / u'$  in  $G_2$  such that  $(v', u') \in R_{(p,p)}$ , i.e., all the descendants of  $v$  map to some descendants of  $u$  via  $R_{(p,p)}$ .

The result below tells us, however, that  $\preceq_{(p,p)}$  and  $\preceq_{(e,p)}$  are *equivalent*, i.e.,  $\preceq_{(p,p)}$  does not gain any extra power.

**Proposition 2.2:** For any graphs  $G_1$  and  $G_2$ ,  $G_1 \preceq_{(p,p)} G_2$  iff  $G_1 \preceq_{(e,p)} G_2$ .  $\square$

**Proof sketch:** If  $G_1 \preceq_{(p,p)} G_2$ , then each edge in  $G_1$  is mapped to a path in  $G_2$  via  $R_{(p,p)}$ , since an edge is a nonempty path itself. Thus from  $R_{(p,p)}$  a  $p$ -similarity relation can be readily derived. Conversely, suppose that  $G_1 \preceq_{(e,p)} G_2$ . Then for each nonempty



path  $\rho = v_1/\dots/v_k$  in  $G_1$ , one can find a nonempty matching path in  $G_2$  by concatenating nonempty paths that match  $(v_i, v_{i+1})$  via  $R_{(e,p)}$ . This yields an  $R_{(e,p)}$  relation.  $\square$

In light of this result we shall focus on  $p$ -similarity.

### 3. An Algorithm for Computing P-Similarity

There are possibly multiple  $p$ -similarity relations from  $G_1$  to  $G_2$ . We want to find the *maximum*  $p$ -similarity relation  $R_{(e,p)}$ , i.e.,  $R_{(e,p)}$  has the maximum cardinality (the number of elements) among all  $p$ -similarity relations. Intuitively,  $R_{(e,p)}$  covers all similar matches from nodes of  $G_1$  to nodes of  $G_2$ .

In this section we focus on the following problem.

Given  $G_1, G_2, \text{mat}()$  and  $\xi$ , the *problem for computing the maximum  $p$ -similarity* is to find the maximum  $p$ -similarity relation from  $G_1$  to  $G_2$  w.r.t.  $\text{mat}()$  and  $\xi$ , if there exists one.

The main result of this section is an  $O(n^3)$ -time algorithm for computing maximum  $p$ -similarity. Compared with the  $O(n^2)$ -time complexity of graph simulation, this tells us that while the power of  $p$ -similarity is not for free, it does not increase the complexity too much. Moreover, we show that there is a *unique* maximum  $p$ -similarity relation if  $G_1 \preceq_{(e,p)} G_2$ .

**Overview.** An algorithm was proposed in [21] that, given a single graph  $G = (V, E, L)$ , finds a (maximum) simulation relation from  $G$  to  $G$  in  $O(|V||E|)$  time, if there is one. We extend this algorithm to compute the maximum  $p$ -similarity relation from a graph  $G_1$  to another graph  $G_2$ . To simplify the discussion, we first present a simple algorithm to illustrate conceptual-level computation. We then show how the algorithm can be improved to be in cubic-time.

**Algorithm.** Our algorithm, referred to as `compSimilarity`, is shown in Fig. 3. Given  $G_1 = (V_1, E_1, L_1)$ ,  $G_2 = (V_2, E_2, L_2)$ ,  $\text{mat}()$  and  $\xi$ , it returns a maximum  $p$ -similarity relation from  $G_1$  to  $G_2$  if  $G_1 \preceq_{(e,p)} G_2$ , and returns empty set  $\emptyset$  otherwise.

Before we illustrate the algorithm, we first present notations it uses. The *transitive closure*  $G^+(V, E^+, L)$  of graph  $G(V, E, L)$  is a graph such that for all nodes  $v, v' \in V$ ,  $(v, v') \in E^+$  iff there is a nonempty path from  $v$  to  $v'$  in  $G$ , i.e.,  $v'$  is a descendant of  $v$ . One can compute  $G^+$  in  $O(|V||E|)$  time (see [29] for details).

The algorithm also uses the following variables. (a) A set  $\text{sim}(v)$  for each node  $v$  in graph  $G_1$ , which maintains candidate nodes in  $G_2$  to which  $v$  is possibly  $p$ -similar. (b) A set  $\text{post}(v)$  consisting of all the children of node  $v$  in  $G_1$ , i.e., if  $(v, v') \in E_1$  then  $v' \in \text{post}(v)$ . (c) A set  $\text{desc}(u)$  for each node  $u$  in graph  $G_2$ , which contains all the descendants of  $u$  in  $G_2$ . Note that  $\text{desc}(u)$  in  $G_2$  is the same as  $\text{post}(u)$  in  $G_2^+$ , i.e., for any node  $u'$ ,  $u' \in \text{desc}(u)$  iff  $(u, u')$  is an edge in the transitive closure  $G_2^+$  of  $G_2$ . Thus for all  $u \in V_2$ ,  $\text{desc}(u)$  can be computed in  $O(|V_2||E_2|)$  time.

Algorithm `compSimilarity` first constructs  $\text{sim}(v)$  for each node  $v \in V_1$ , by collecting all such nodes  $u$  in  $G_2$  that  $\text{mat}(v, u) \geq \xi$ . Then it removes those nodes  $u$  from  $\text{sim}(v)$  to which  $v$  cannot be  $p$ -similar. That is,  $u$  is not a candidate match if there exists a child  $v'$  of  $v$  ( $v' \in \text{post}(v)$ ) such that  $v'$  cannot find  $p$ -similar matches reachable from  $u$  in  $G_2$ , i.e.,  $\text{desc}(u) \cap \text{sim}(v') = \emptyset$ . The process repeats until no further changes can be made. Then the nodes remaining in  $\text{sim}(v)$  are those to which  $v$  is  $p$ -similar.

More specifically, `compSimilarity` first computes  $\text{sim}(v)$  and  $\text{post}(v)$  for each node  $v$  in  $G_1$  (lines 1–3). For each node  $u$  in graph  $G_2$ , it finds its descendant set  $\text{desc}(u)$  (lines 4–6), by computing the transitive closure of  $G_2$ . After the preprocessing, for each node  $v$  in  $G_1$ , it repeatedly removes invalid  $p$ -similar nodes from  $\text{sim}(v)$  based on the definition of  $p$ -similarity (lines 7–9). Finally, it checks whether the surviving matches form a  $p$ -similarity

*Input:* Two graphs  $G_1(V_1, E_1, L_1)$  and  $G_2(V_2, E_2, L_2)$ , a similarity matrix  $\text{mat}()$ , and a similarity threshold  $\xi$ .

*Output:* A maximum  $p$ -similarity relation from  $G_1$  to  $G_2$ .

```

1. for each node  $v \in V_1$  of graph  $G_1$  do
2.    $\text{sim}(v) := \{u \mid u \in V_2 \text{ and } \text{mat}(v, u) \geq \xi\}$ ;
3.    $\text{post}(v) := \{v' \mid (v, v') \in E_1\}$ ;
4. compute the transitive closure  $G_2^+(V_2, E_2^+, L_2)$  of graph  $G_2$ ;
5. for each node  $u \in V_2$  of graph  $G_2$  do
6.    $\text{desc}(u) := \{u' \mid (u, u') \in E_2^+\}$ ;
7. repeat until no further changes
8.   if there exist nodes  $v \in V_1$ ,  $v' \in \text{post}(v)$  and  $u \in \text{sim}(v)$ 
      such that  $\text{desc}(u) \cap \text{sim}(v') = \emptyset$ 
9.     then remove  $u$  from  $\text{sim}(v)$ ;
10. if for each  $v \in V_1$ ,  $\text{sim}(v)$  is nonempty
11. then return  $\{(v, u) \mid v \in V_1, u \in \text{sim}(v)\}$ 
12. else return  $\emptyset$ .
```

Figure 3: Algorithm `compSimilarity`

relation, i.e., whether  $\text{sim}(v)$  is nonempty for each  $v \in V_1$ . If so, it returns a  $p$ -similarity relation; it returns  $\emptyset$  otherwise (lines 10–12).

**Example 3.1:** We illustrate how algorithm `compSimilarity` finds  $p$ -similarity relation for graphs in Fig. 1. For the lack of space we consider subgraphs  $G'_1$  and  $G'_2$  of  $G_1$  and  $G_2$ , respectively, where  $G'_1$  is induced by {books, textbooks, abooks}, and  $G'_2$  by {books, categories, booksets, school, audiobooks}. We use the similarity matrix  $\text{mat}_e()$  of Example 2.1, and fix  $\xi = 0.5$ .

After step 6, `compSimilarity` produces the following.

Nodes in $G'_1$	$\text{sim}()$	$\text{post}()$
books	{books, booksets}	{textbooks, abooks}
textbooks	{school}	$\emptyset$
abooks	{audiobooks}	$\emptyset$

Nodes in $G'_2$	$\text{desc}()$
books	{categories, school, audiobooks}
booksets	{audiobooks}
categories	{school, audiobooks}
school	$\emptyset$
audiobooks	$\emptyset$

Then for each node  $v$  in  $G'_1$ , the algorithm removes nodes from  $\text{sim}(v)$  to which  $v$  is not  $p$ -similar. For example, booksets is removed from  $\text{sim}(\text{books})$  because the child textbooks of books in  $G'_1$  cannot find  $p$ -similar nodes reachable from booksets in  $G'_2$ . After step 9, we get a nonempty set of  $p$ -similar nodes in  $G'_2$  for each node in  $G'_1$ , and the algorithm returns a  $p$ -similarity relation {(books, books), (textbooks, school), (abooks, audiobooks)}.  $\square$

The result below shows that maximum  $p$ -similarity relations are unique. Furthermore, the algorithm correctly finds it.

**Proposition 3.1:** For any  $G_1, G_2$ ,  $\text{mat}()$  and  $\xi$ ,  $G_1 \preceq_{(e,p)} G_2$  iff (1) there is a unique maximum  $p$ -similarity relation from  $G_1$  to  $G_2$  w.r.t.  $\text{mat}()$  and  $\xi$ , and (2) algorithm `compSimilarity` correctly finds the maximum  $p$ -similarity relation.  $\square$

**Proof sketch:** It is easy to see that after the loop (lines 7–9), for each  $u$  remaining in  $\text{sim}(v)$ ,  $v$  is  $p$ -similar to  $u$ . Thus if the algorithm returns a nonempty set  $R$ , then  $R$  is a  $p$ -similarity relation. Moreover,  $R$  is maximum because (a) `compSimilarity` starts with all possible  $p$ -similar nodes for each node  $v$  in  $G_1$ ; and (b) the loop only drops those nodes to which  $v$  cannot possibly be  $p$ -similar. Furthermore, it can be verified that the order of removing nodes is irrelevant. Thus maximum  $p$ -similarity relations are unique, and the algorithm finds it as long as it exists.  $\square$

**Improvement and analysis.** For the complexity of the algorithm, observe the following. (a) It takes  $O(|V_1||V_2| + |V_1||E_1|)$  time to

pre-process the nodes in  $G_1$  (lines 1–3). (b) Computing the transitive closure of  $G_2$  (line 4) and processing the nodes in  $G_2$  (lines 5–6) can be combined and done at the same time, which takes  $O(|V_2||E_2|)$  time in total. However, the loop (lines 7–9) may be expensive. Below we improve the loop to be within cubic-time.

The idea is to trade space for time. To do this we introduce and maintain more structures, including (a) a set  $\text{remv}(v)$  for each node  $v$  in graph  $G_1$ , consisting of nodes in  $G_2$  that should be removed from  $\text{sim}(v)$ , which is computed by inspecting  $\text{sim}(v')$  and  $\text{remv}(v')$  for each child  $v'$  of  $v$ ; and (b) an array  $\text{num}$  on any nodes  $v$  in  $G_1$  and  $u$  in  $G_2$  such that  $\text{num}(v, u) = |\text{sim}(v) \cap \text{desc}(u)|$ , i.e., the number of common nodes between  $\text{sim}(v)$  and  $\text{desc}(u)$ .

Using these variables we reduce the cost of the loop. Recall that the loop does the following: for any nodes  $v$  in  $G_1$  and any node  $u \in \text{sim}(v)$ , if there is an edge  $(v, v')$  in  $G_1$  such that  $\text{sim}(v') \cap \text{desc}(u)$  is empty, then  $u$  must be removed from  $\text{sim}(v)$ . Observe the following. (1)  $\text{sim}(v') \cap \text{desc}(u) = \emptyset$  iff  $\text{num}(v', u) = 0$ ; thus the former can be checked in constant time by inspecting  $\text{num}(v', u)$ . (2) Both  $\text{remv}(v)$  and  $\text{num}(v, u)$  can be *incrementally maintained* in response to node removal from  $\text{remv}(v')$  and  $\text{num}(v', u)$  of children  $v'$  of  $v$ ; thus they do not have to be computed starting from scratch. (3) Once  $u$  in  $\text{remv}(v)$  is inspected, it will not appear in  $\text{remv}(v)$  again. In the worst case, it takes at most  $O(|V_2|)$  time to make  $\text{sim}(v)$  empty. (4) The loop terminates if for all node  $v$  in  $G_1$ ,  $\text{remv}(v)$  is empty. Hence there are at most  $|E_1||V_2|$  iterations. In addition, if  $\text{sim}(v)$  becomes empty for some node  $v$  in  $G_1$ , the algorithm terminates and returns an empty relation. (5) The total maintenance cost in all these iterations due to the node removal is in  $O(|E_1||V_2|^2)$  time. Taken together, the loop takes at most  $O(|E_1||V_2|^2)$  time. Hence the algorithm is in  $O((|E_1| + |V_2|)(|V_1| + |V_2|^2))$  time including the pre-processing.

From this analysis and Proposition 3.1 it follows:

**Proposition 3.2:** Given  $G_1 = (V_1, E_1, L_1)$ ,  $G_2 = (V_2, E_2, L_2)$ ,  $\text{mat}()$  and  $\xi$ , whether or not  $G_1 \preceq_{(e,p)} G_2$  can be decided in  $O((|E_1| + |V_2|)(|V_1| + |V_2|^2))$  time.  $\square$

## 4. Strong P-Similarity and Intractability

Graph simulation and  $p$ -similarity aim to find a binary relation on the nodes of two graphs  $G_1$  and  $G_2$ . In such a relation a node in  $G_1$  may match multiple nodes in  $G_2$ . As remarked earlier, in some applications we need a stronger notion, namely, a function such that each node of  $G_1$  is only mapped to a single node in  $G_2$ .

In this section we first define strong  $p$ -similarity, a restriction of  $p$ -similarity by using functions instead of relations. We show that, no matter how desirable, it becomes NP-complete to compute strong  $p$ -similarity. We then present optimization problems for computing strong  $p$ -similarity. We show that these problems are also intractable, and worse still, are hard to approximate.

### 4.1 Strong P-Similarity and 1-1 P-Similarity

Consider graphs  $G_1 = (V_1, E_1, L_1)$  and  $G_2 = (V_2, E_2, L_2)$ .

**Strong  $p$ -similarity.** Graph  $G_1$  is said to be *strongly  $p$ -similar* to  $G_2$  w.r.t. a similarity matrix  $\text{mat}()$  and a similarity threshold  $\xi$ , denoted by  $G_1 \preceq_{(e,p)}^s G_2$ , if there exists a mapping  $\sigma$  from  $V_1$  to  $V_2$  such that for each node  $v \in V_1$ ,

- (1) if  $\sigma(v) = u$ , then  $\text{mat}(v, u) \geq \xi$ ; and
- (2) for each edge  $(v, v')$  in  $E_1$ , there exists a nonempty path  $u/\dots/u'$  in  $G_2$  such that  $\sigma(v') = u'$ , i.e., each child of  $v$  is mapped to a single descendant of  $u$ .

Here  $\sigma$  is called a  *$p$ -similarity mapping* from  $G_1$  to  $G_2$ .

**Example 4.1:** Consider graphs  $G_1$  and  $G_2$  of Fig. 1. We have seen

from Example 2.1 that  $G_1 \preceq_{(e,p)} G_2$ . The  $p$ -similarity relation given there can be changed into a  $p$ -similarity mapping by mapping node albums to *either* pop *or* rock, but *not* both.

To further illustrate the difference between  $p$ -similarity and strong  $p$ -similarity, let us consider the graphs of Fig. 2. As we have seen in Example 2.1,  $G_1 \preceq_{(e,p)} G_2$ ,  $G_3 \preceq_{(e,p)} G_4$  and  $G_5 \preceq_{(e,p)} G_6$ . For strong  $p$ -similarity, observe the following.

- (1)  $G_1 \not\preceq_{(e,p)}^s G_2$ . A  $p$ -similarity mapping is defined by mapping *both*  $A$  nodes in  $G_1$  to the  $A$  node in  $G_2$ , node  $B$  in  $G_1$  to the  $B$  node in  $G_2$ , and node  $C$  in  $G_1$  to *any* of the two  $C$  nodes in  $G_2$ .
- (2)  $G_3 \not\preceq_{(e,p)}^s G_4$ . In the  $p$ -similarity relation given in Example 2.1, the  $D$  node in  $G_3$  is mapped to *both* of the two  $D$  nodes in  $G_4$ . However, this is no longer allowed by strong  $p$ -similarity, and mapping the  $D$  node in  $G_3$  to *only one* of the  $D$  nodes in  $G_4$  does not make a  $p$ -similarity mapping, because either the edge  $(A, D)$  or  $(B, D)$  in  $G_3$  can no longer find a matching path in  $G_4$ .
- (3)  $G_5 \not\preceq_{(e,p)}^s G_6$ , for the same reason as (2).  $\square$

**1-1  $p$ -similarity.** As remarked earlier, one often wants a similarity mapping to map each node in  $G_1$  to a *distinct* node in  $G_2$ . We say that a graph  $G_1$  is *1-1  $p$ -similar* to  $G_2$ , denoted by  $G_1 \preceq_{(e,p)}^{1-1} G_2$ , if there exists a 1-1 (injective)  $p$ -similarity mapping  $\sigma$  from  $G_1$  to  $G_2$ , i.e., for any distinct nodes  $v_1, v_2$  in  $G_1$ ,  $\sigma(v_1) \neq \sigma(v_2)$ . We refer to  $\sigma$  as a *1-1  $p$ -similarity mapping* from  $G_1$  to  $G_2$ .

**Example 4.2:** Consider again  $G_1$  and  $G_2$  of Fig. 1. One can verify that the  $p$ -similarity mapping given in Example 4.1 is a 1-1  $p$ -similarity mapping, i.e.,  $G_1 \preceq_{(e,p)}^{1-1} G_2$ .

As another example, consider  $G_1$  and  $G_2$  of Fig. 2. While  $G_1 \preceq_{(e,p)}^s G_2$ ,  $G_1 \not\preceq_{(e,p)}^{1-1} G_2$ . In particular, the  $p$ -similarity mapping given in Example 4.1 is not injective, since it maps both  $A$  nodes in  $G_1$  to the same  $A$  node in  $G_2$ .  $\square$

Note that subgraph isomorphism is a special case of 1-1  $p$ -similarity:  $G_1$  is isomorphic to a subgraph of  $G_2$  iff there exists a 1-1  $p$ -similarity mapping  $\sigma$  from  $G_1$  to  $G_2$  that (a) maps each edge  $(v, v')$  in  $G_1$  to an edge  $(\sigma(v), \sigma(v'))$  in  $G_2$ , rather than to a path, (b) adopts node label equality rather than node similarity, and moreover, (c) if  $(\sigma(v), \sigma(v'))$  is an edge in  $G_2$ , then  $(v, v')$  *must* be an edge in  $G_1$ ; in contrast, 1-1  $p$ -similarity only requires edges from  $G_1$  to find a match in  $G_2$ , but not the other way around.

**Intractability.** In contrast to the  $O(n^3)$ -time complexity of  $p$ -similarity, it is intractable to determine strong  $p$ -similarity and 1-1  $p$ -similarity. Recall that the subgraph isomorphism problem is also intractable. However, the proofs below are quite different from the proof for the subgraph isomorphism problem.

**Theorem 4.1:** Given graphs  $G_1$  and  $G_2$ , a similarity matrix  $\text{mat}()$  and a threshold  $\xi$ , it is NP-complete to decide

- whether  $G_1 \preceq_{(e,p)}^s G_2$ , and
- whether  $G_1 \preceq_{(e,p)}^{1-1} G_2$ .

These problems are *already* NP-hard when both  $G_1$  and  $G_2$  are acyclic directed graphs (DAGs). It is NP-hard for 1-1  $p$ -similarity even when  $G_1$  is a tree and  $G_2$  is a DAG.  $\square$

**Proof sketch:** For the upper bound, we develop NP algorithms for these problems: guess a mapping from nodes in  $G_1$  to those in  $G_2$ , and then check whether the mapping is a  $p$ -similarity mapping (resp. 1-1  $p$ -similarity mapping). The latter can be done in PTIME.

The lower bound for strong  $p$ -similarity is verified by reduction from 3SAT, an NP-complete problem (cf. [19]). Given a 3SAT instance  $\phi$ , we construct graphs  $G_1$  and  $G_2$  such that  $G_1 \preceq_{(e,p)}^s G_2$  iff  $\phi$  is satisfiable. Furthermore,  $G_1$  and  $G_2$  are DAGs.

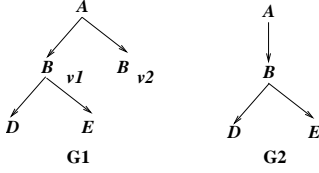


Figure 4: Cardinality vs. overall similarity

The lower bound for 1-1  $p$ -similarity is shown by reduction from X3C, which is NP-complete (cf. [19]). Given an X3C instance, the reduction constructs a tree  $G_1$  and a DAG  $G_2$  such that there exists an exact cover for the instance iff  $G_1 \sim_{(e,p)}^{1-1} G_2$ .  $\square$

#### 4.2 Optimization Problems of Strong $P$ -Similarity

It is common in practice that there may not exist strong (1-1)  $p$ -similarity mappings from the entire  $G_1$  to  $G_2$ . Thus one often wants to find mappings from subgraphs of  $G_1$  to  $G_2$  instead. There are possibly many such mappings, and it is natural to want the one with the “best quality” w.r.t. a certain quality metric.

Below we present two quality metrics, and state optimization problems for strong (resp. 1-1)  $p$ -similarity accordingly.

Consider a strong (resp. 1-1)  $p$ -similarity mapping  $\sigma$  from a subgraph  $G'_1 = (V'_1, E'_1, L'_1)$  of  $G_1$  to  $G_2$ .

**Maximum cardinality.** This metric is based on the number of nodes in  $G_1$  that  $\sigma$  maps to  $G_2$ . More specifically, the cardinality of  $\sigma$  is defined as:

$$\text{qualCard}(\sigma) = \frac{|V'_1|}{|V_1|}.$$

The *maximum cardinality problem* for strong (resp. 1-1)  $p$ -similarity, referred to as  $\text{CSP}^s$  (resp.  $\text{CSP}^{1-1}$ ), is to find, given graphs  $G_1, G_2$ , a matrix  $\text{mat}()$  and a threshold  $\xi$  as input, a  $p$ -similarity mapping  $\sigma$  from a subgraph of  $G_1$  to  $G_2$  such that  $\text{qualCard}(\sigma)$  is maximum.

Observe the following. (1) If  $G_1 \sim_{(e,p)}^s G_2$  or  $G_1 \sim_{(e,p)}^{1-1} G_2$ , then a  $p$ -similarity mapping  $\sigma$  with maximum  $\text{qualCard}(\sigma)$  is a  $p$ -similarity mapping from the entire  $G_1$  to  $G_2$ . (2) Recall the familiar *maximum common subgraph problem* (MCS; see, e.g., [23]): it is to find a subgraph  $G'_1$  of  $G_1$  and a subgraph  $G'_2$  of  $G_2$  such that (a)  $G'_1$  and  $G'_2$  are isomorphic, and (b) the cardinality of  $G'_1$  (equivalently,  $G'_2$ ) is maximum. Note that MCS is a special case of  $\text{CSP}^{1-1}$  when only edge-to-edge mappings and node label equality are allowed, and when backward edge preservation is required.

**Optimal overall similarity.** Alternatively, we consider the *overall similarity* of mapping  $\sigma$ . Here we assume a weight  $w(v)$  associated with each node  $v$ , indicating relative importance of the nodes. The metric is defined to be

$$\text{qualSim}(\sigma) = \frac{\sum_{v \in V'_1} (w(v) * \text{mat}(v, \sigma(v)))}{\sum_{v \in V_1} w(v)}.$$

Intuitively, the higher the weight  $w(v)$  is and the closer  $v$  is to its match  $\sigma(v)$ , the better the choice of  $v$  is. This metric favors “important” nodes in  $G_1$  that can find highly similar nodes in  $G_2$ .

The *maximum overall similarity problem* for strong (resp. 1-1)  $p$ -similarity, referred to as  $\text{SSP}^s$  (resp.  $\text{SSP}^{1-1}$ ), is to compute, given  $G_1, G_2$ ,  $\text{mat}()$  and  $\xi$  as input, a  $p$ -similarity mapping  $\sigma$  from a subgraph of  $G_1$  to  $G_2$  such that  $\text{qualSim}(\sigma)$  is maximum.

These optimization problems are summarized in Table 2.

**Example 4.3:** Consider graphs  $G_1$  and  $G_2$  shown in Fig. 4. There are two nodes labeled  $B$  in  $G_1$ , indicated by  $v_1$  and  $v_2$ , respectively. A similarity matrix  $\text{mat}_0()$  is given as follows:

$$\begin{aligned} \text{mat}_0(A, A) &= \text{mat}_0(D, D) = \text{mat}_0(E, E) = \text{mat}_0(v_2, B) = 1 \\ \text{mat}_0(v_1, B) &= 0.6 \quad \text{mat}_0(v, u) = 0 \text{ for other cases} \end{aligned}$$

$\text{CSP}^s$	maximum cardinality for strong $p$ -similarity
$\text{CSP}^{1-1}$	maximum cardinality for 1-1 $p$ -similarity
$\text{SSP}^s$	maximum overall similarity for strong $p$ -similarity
$\text{SSP}^{1-1}$	maximum overall similarity for 1-1 $p$ -similarity

Table 2: Notations: Optimization problems

Let  $\xi = 0.6$ , and assume a weight function such that  $w(v) = 1$  for each node  $v$  in  $G_1$ , except  $w(v_2) = 6$ . Then  $G_1$  is not 1-1  $p$ -similar to  $G_2$ . Indeed, given  $\text{mat}_0()$  and  $\xi$ , any  $p$ -similar mapping from  $G_1$  to  $G_2$  has to map both  $v_1$  and  $v_2$  in  $G_1$  to the  $B$  node in  $G_2$ , which is not allowed by a 1-1 mapping. In light of this we want to find a 1-1  $p$ -similarity mapping from a subgraph of  $G_1$  to  $G_2$ .

(1) When the maximum cardinality metric is adopted, an optimal 1-1  $p$ -similarity mapping  $\sigma_c$  is from a subgraph  $H_1$  of  $G_1$  to  $G_2$ , where  $H_1$  contains nodes  $A, D, E$  and  $v_1$ . Here  $\sigma_c$  maps each node  $v$  in  $G_1$  to a node  $u$  in  $G_2$  that has the same label as  $v$ . The mapping  $\sigma_c$  has maximum cardinality with  $\text{qualCard}(\sigma_c) = \frac{4}{5} = 0.8$ .

(2) When the maximum similarity metric is used, the optimal 1-1  $p$ -similarity mapping  $\sigma_s$  is from a subgraph  $H_2$  of  $G_1$  to  $G_2$ , where  $H_2$  consists of nodes  $A$  and  $v_2$  only. Here  $\text{qualCard}(\sigma_s) = \frac{1*1+6*1}{1+1+1+1+6} = 0.7$ . In contrast,  $\text{qualCard}(\sigma_c) = \frac{1*1+1*0.6+1*1+1*1}{1+1+1+1+6} = 0.36$ , although  $\sigma_c$  maps more nodes from  $G_1$  to  $G_2$  than  $\sigma_s$ .  $\square$

**Intractability.** Unless  $P = NP$ , it is unrealistic to have a polynomial time (PTIME) algorithm for finding an optimal (1-1)  $p$ -similarity mapping, no matter whether the maximum overall similarity metric or the maximum cardinality metric is adopted. The proof of the result below is a variation of the proof of Theorem 4.1.

**Corollary 4.2:** The maximum cardinality problem and the maximum overall similarity problem are NP-complete for both strong  $p$ -similarity and 1-1  $p$ -similarity. The problems are already NP-hard even when only DAGs are considered.  $\square$

#### 4.3 Approximation Hardness

In light of Corollary 4.2 we aim to develop efficient heuristic algorithms for finding (1-1) subgraph  $p$ -similarity mappings, with performance guarantees, i.e., the quality of the mappings found by the algorithms is within a bound of that of the optimal mappings.

Unfortunately, both the maximum cardinality problems ( $\text{CSP}^s$ ,  $\text{CSP}^{1-1}$ ) and the maximum overall similarity problems ( $\text{SSP}^s$ ,  $\text{SSP}^{1-1}$ ) are hard to approximate. Indeed, the result below shows that there exist no PTIME algorithms for finding (1-1)  $p$ -similarity mappings such that the quality of each mapping found is guaranteed to be within  $O(1/n^{1-\epsilon})$  of its optimal counterpart.

**Theorem 4.3:**  $\text{CSP}^s$ ,  $\text{CSP}^{1-1}$ ,  $\text{SSP}^s$  and  $\text{SSP}^{1-1}$  are not approximable within  $O(1/n^{1-\epsilon})$  for any constant  $\epsilon$ , where  $n$  is the number of nodes in  $G_1$  of input graphs  $G_1$  and  $G_2$ .  $\square$

The hardness is verified by a certain reduction from the *maximum weighted independent set problem* (WIS), a well-studied optimization problem. In a graph, an independent set is a set of mutually non-adjacent nodes. Given a graph with a positive weight associated with each node, WIS is to find an independent set with maximum weight, i.e., the sum of the weights of the nodes in the set is maximum. It is known that WIS is NP-complete, and is hard to approximate: it is not approximable within  $O(1/n^{1-\epsilon})$  for any constant  $\epsilon$ , where  $n$  is the number of graph nodes [20].

To show the approximation bound, we need to use *approximation factor preserving reduction* (AFP-reduction) [35]. Let  $\Pi_1$  and  $\Pi_2$  be two maximization problems. An AFP-reduction from  $\Pi_1$  to  $\Pi_2$  is a pair of PTIME functions  $(f, g)$  such that

- for any instance  $I_1$  of  $\Pi_1$ ,  $I_2 = f(I_1)$  is an instance of  $\Pi_2$  such that  $\text{opt}_2(I_2) \geq \text{opt}_1(I_1)$ , where  $\text{opt}_1$  (resp.  $\text{opt}_2$ ) is



the quality of an optimal solution to  $I_1$  (resp.  $I_2$ ), and

- for any solution  $s_2$  to  $I_2$ ,  $s_1 = g(s_2)$  is a solution to  $I_1$  such that  $\text{obj}_1(s_1) \geq \text{obj}_2(s_2)$ , where  $\text{obj}_1()$  (resp.  $\text{obj}_2()$ ) is a function measuring the quality of a solution to  $I_1$  (resp.  $I_2$ ).

The result below shows that AFP-reductions retain approximation bounds. Here an algorithm  $\mathcal{A}$  has performance guarantee  $\alpha$  if for any instance  $I$ ,  $\text{obj}(\mathcal{A}(I)) \geq \alpha \text{opt}(I)$ .

**Proposition 4.4:**<sup>[35]</sup> *If  $(f, g)$  is an AFP-reduction from problem  $\Pi_1$  to problem  $\Pi_2$ , and if there is a PTIME algorithm for  $\Pi_2$  with performance guarantee  $\alpha$ , then there is a PTIME algorithm for  $\Pi_1$  with the same performance guarantee  $\alpha$ .*  $\square$

Theorem 4.3 is verified by an AFP-reduction from WIS to each of  $\text{CSP}^s$ ,  $\text{CSP}^{1-1}$ ,  $\text{SSP}^s$  and  $\text{SSP}^{1-1}$ . That is, these problems are at least as hard as WIS when approximation is concerned.

## 5. Computing Strong Similarities

Despite Theorem 4.3, in this section we provide approximation algorithms for each of the maximum cardinality problems ( $\text{CSP}^s$ ,  $\text{CSP}^{1-1}$ ) and the maximum overall similarity problems ( $\text{SSP}^s$ ,  $\text{SSP}^{1-1}$ ). In addition, we introduce optimization techniques for improving the performance of these algorithms.

### 5.1 Approximation Algorithms: An Overview

One of the main results of this section is an approximation bound for  $\text{CSP}^s$ ,  $\text{CSP}^{1-1}$ ,  $\text{SSP}^s$  and  $\text{SSP}^{1-1}$ .

**Theorem 5.1:**  $\text{CSP}^s$ ,  $\text{CSP}^{1-1}$ ,  $\text{SSP}^s$  and  $\text{SSP}^{1-1}$  are all approximable within  $O(\log^2(n_1 n_2)/(n_1 n_2))$ , where  $n_1$  and  $n_2$  are the numbers of nodes in input graphs  $G_1$  and  $G_2$ , respectively.  $\square$

**Proof sketch:** This is verified by AFP-reductions  $(f, g)$  from these problems to WIS, by constructing product graphs of  $G_1$  and  $G_2$ . It is known that WIS is approximable within  $O(\log^2 n/n)$  [20].  $\square$

Theorem 5.1 suggests naive approximation algorithms for these problems. Given graphs  $G_1(V_1, E_1, L_1)$ ,  $G_2(V_2, E_2, L_2)$ , a similarity matrix  $\text{mat}()$  and a similarity threshold  $\xi$ , the algorithms (1) generate a product graph by using function  $f$  in the AFP-reduction, (2) find a (weighted) independent set by utilizing the algorithms in [9, 20], and (3) invoke function  $g$  in the AFP-reduction to get a (1-1)  $p$ -similarity mapping from subgraph of  $G_1$  to  $G_2$ .

More specifically, for  $\text{CSP}^s$  and  $\text{CSP}^{1-1}$ , we can leverage the approximation algorithm for maximum independent sets given in [9], which is in  $O(nm)$  time, where  $n$  and  $m$  are the numbers of nodes and edges in a graph, respectively. For  $\text{SSP}^s$  and  $\text{SSP}^{1-1}$ , we can use the algorithm of [20] for WIS, which is in  $O(nm \log n)$ -time. Thus the naive approximation algorithms for maximum cardinality and maximum overall similarity are in  $O(|V_1|^3 |V_2|^3)$ -time and  $O(|V_1|^3 |V_2|^3 \log(|V_1| |V_2|))$ -time, respectively.

Although these naive algorithms possess performance guarantees, they incur a rather high complexity in both time and space. The cost is introduced by the product graphs, which consist of  $O(|V_1| |V_2|)$  nodes and  $O(|V_1|^2 |V_2|^2)$  edges.

In Section 5.2 we develop more efficient algorithms that operate directly on the input graphs instead of on their product graph, while retaining the same performance guarantees.

### 5.2 Algorithms with Performance Guarantees

We first develop an approximation algorithm for  $\text{CSP}^s$ , and then extend the algorithm to deal with  $\text{CSP}^{1-1}$ ,  $\text{SSP}^s$  and  $\text{SSP}^{1-1}$ .

**Approximation algorithm for  $\text{CSP}^s$ .** We propose an algorithm, referred to as  $\text{compMaxCard}^s$  and shown in Figures 5 and 6. Given graphs  $G_1$ ,  $G_2$ , matrix  $\text{mat}()$  and threshold  $\xi$  as input, it computes

#### Algorithm $\text{compMaxCard}^s$

*Input:* Two graphs  $G_1(V_1, E_1, L_1)$  and  $G_2(V_2, E_2, L_2)$ , a similarity matrix  $\text{mat}()$ , and a similarity threshold  $\xi$ .

*Output:* A  $p$ -similarity mapping from subgraph of  $G_1$  to  $G_2$ .

1. **for** each node  $v \in V_1$  of graph  $G_1$  **do**  
 $\quad$  /\* create adjacency-list representation  $H_1$  for  $G_1$  \*/
2.  $\quad H_1[v].\text{prev} := \{v' \mid v' \in V_1, (v', v) \in E_1\};$
3.  $\quad H_1[v].\text{post} := \{v' \mid v' \in V_1, (v, v') \in E_1\};$   
 $\quad$  /\* create the matching-list representation  $H$  for  $G_1$  \*/
4.  $\quad H[v].\text{good} := \{u \mid u \in V_2, \text{mat}(v, u) \geq \xi\}; \quad H[v].\text{minus} := \emptyset;$
5. compute the transitive closure  $G_2^+(V_2, E_2^+, L_2)$  of graph  $G_2$ ;
6. **for** each ordered node pair  $(u_1, u_2)$  in  $G_2$  **do**  
 $\quad$  /\* create adjacency-matrix representation  $H_2$  for  $G_2^+$  \*/
7.  $\quad \text{if } (u_1, u_2) \in E_2^+ \text{ then } H_2[u_1][u_2] := 1; \text{ else } H_2[u_1][u_2] := 0;$
8.  $\quad \sigma_m := \emptyset;$
9. **while**  $\text{sizeof}(H) > \text{sizeof}(\sigma_m)$  **do**
10.  $\quad (\sigma, I) := \text{greedyMatch}(H_1, H_2, H); \quad H := H \setminus I;$
11. **if**  $\text{sizeof}(\sigma) > \text{sizeof}(\sigma_m)$  **then**  $\sigma_m := \sigma;$
12. **return**  $\sigma_m$ .

**Figure 5: Approximation algorithm  $\text{compMaxCard}^s$**

a  $p$ -similarity mapping  $\sigma$  from a subgraph of  $G_1$  to  $G_2$ , aiming to maximize  $\text{qualCard}(\sigma)$ . It is a nontrivial extension of the algorithm of [9] for finding maximum independent sets.

The algorithm maintains several data structures to ensure the quality of mappings. (a) A *matching list*  $H$  for nodes in  $G_1$ . For each node  $v$  in  $H$ ,  $H[v].\text{good}$  collects candidate nodes in  $G_2$  that may match  $v$  via the mapping  $\sigma$ ; and  $H[v].\text{minus}$  is the set of nodes in  $G_2$  that  $v$  cannot match via  $\sigma$ . (b) A set  $I$  of *pairwise contradictory matching pairs*  $(v, u)$ , where  $v$  is a node in  $G_1$  and  $u$  is a node in  $G_2$ . For any two pairs  $(v_1, u_1)$ ,  $(v_2, u_2)$  in  $I$ , if  $v_1$  is mapped to  $u_1$ , then  $v_2$  cannot be mapped to  $u_2$ , and vice versa. (c) An adjacency list  $H_1$  for  $G_1$ . For each node  $v$  in  $G_1$ ,  $H_1[v].\text{prev}$  and  $H_1[v].\text{post}$  store its parents and children, respectively. (d) An adjacency matrix  $H_2$  for the *transitive closure* graph  $G_2^+$  of  $G_2$ . For any edge  $(u_1, u_2)$  in  $G_2$ ,  $H_2[u_1][u_2] = 1$  iff there is an edge from  $u_1$  to  $u_2$  in  $G_2^+$ , i.e., a nonempty path from  $u_1$  to  $u_2$  in  $G_2$ .

The algorithm works as follows. It first constructs the adjacency list  $H_1$  and the matching list  $H$  for  $G_1$  (lines 1–4), where for each  $v$  in  $G_1$ ,  $H[v].\text{good}$  collects nodes  $v'$  in  $G_2$  such that  $\text{mat}(v, v') \geq \xi$ , and  $H[v].\text{minus}$  is initially empty. It then computes the *transitive closure* graph  $G_2^+$  of  $G_2$  and creates the adjacency matrix  $H_2$  of  $G_2^+$  (line 5–7). It is to find a  $p$ -similarity mapping  $\sigma_m$  from a subgraph of  $G_1$  to  $G_2$ . The mapping  $\sigma_m$  is initially  $\emptyset$  (line 8), and is computed by a procedure, referred to as  $\text{greedyMatch}$ , as follows.

In a nutshell,  $\text{greedyMatch}$  (Fig. 6) picks a node  $v$  from  $H$  with maximal  $H[v].\text{good}$ , and a candidate match  $u$  from  $H[v].\text{good}$ . It then recursively computes a mapping  $\sigma_1$  provided that  $(v, u)$  is a match, and a mapping  $\sigma_2$  without  $(v, u)$ . It returns the larger one of  $\sigma_1 \cup \{(v, u)\}$  and  $\sigma_2$ . In this way it decides whether  $(v, u)$  is a good choice or not. At the same time it computes sets  $I_1, I_2$  of pairwise contradictory matching pairs, for mappings with  $(v, u)$  as a match and without, respectively; it returns as  $I$  the larger one of  $I_1$  and  $I_2$ . It is worth remarking that  $I$  is nonempty.

Upon receiving  $\sigma$  and  $I$  from  $\text{greedyMatch}$  (line 10), algorithm  $\text{compMaxCard}^s$  removes conflict pairs  $I$  from  $H$  (line 10) and takes  $\sigma$  as  $\sigma_m$  if  $\sigma$  is larger than  $\sigma_m$  (line 11). It repeatedly invokes  $\text{greedyMatch}$  until  $\sigma_m$  is no smaller than  $H$  (lines 9–11), i.e., when  $\sigma_m$  covers all the remaining nodes in  $H$  to be matched. The quality of the mapping returned (line 12) is guaranteed because (a)  $\text{greedyMatch}$  always picks the larger one of  $\sigma_1 \cup \{(v, u)\}$  and  $\sigma_2$ , and (b) bad choices of  $I$  are removed from  $H$  at an early stage.

We next give the details of the procedures of  $\text{compMaxCard}^s$ .

(a) Procedure  $\text{greedyMatch}$  (Fig. 6) takes the current matching list

**Procedure greedyMatch**

Input: Graphs  $H_1, H_2$ , and matching list  $H$  for subgraph  $G_1[H]$ .

Output: A  $p$ -similarity mapping  $\sigma$  for subgraph  $G_1[H]$  to  $G_2$  and a set  $I$  of pairwise contradictory matching pairs.

1. if  $H$  is empty then return  $(\emptyset, \emptyset)$ ;
2. pick a node  $v$  of  $H$  and a node  $u$  from  $H[v].\text{good}$ ;
3.  $H[v].\text{minus} := H[v].\text{good} \setminus \{u\}$ ;  $H[v].\text{good} := \emptyset$ ;
4.  $H := \text{trimMatching}(v, u, H_1, H_2, H)$ ;
5. for each node  $v'$  in  $H$  do  
*/\* separate  $H$  into  $H^+$  and  $H^-$  \*/*
  6. if  $H[v'].\text{good}$  is not empty
  7. then  $\{H^+[v'].\text{good} := H[v'].\text{good}; H^+[v'].\text{minus} := \emptyset\}$
  8. if  $H[v'].\text{minus}$  is not empty
  9. then  $\{H^-[v'].\text{good} := H[v'].\text{minus}; H^-[v'].\text{minus} := \emptyset\}$
10.  $(\sigma_1, I_1) := \text{greedyMatch}(H_1, H_2, H^+)$ ;
11.  $(\sigma_2, I_2) := \text{greedyMatch}(H_1, H_2, H^-)$ ;
12.  $\sigma := \max(\sigma_1 \cup \{(v, u)\}, \sigma_2)$ ;  $I := \max(I_1, I_2 \cup \{(v, u)\})$ ;
13. return  $(\sigma, I)$ ;

**Procedure trimMatching**

Input: Node  $v$  with matching node  $u$ ,  $H_1, H_2$  and  $H$ .

Output: Updated matching list  $H$ .

1. for each node  $v'$  in  $H_1[v].\text{prev} \cap H$  do  
*/\* prune the matching nodes for  $v$ 's parent nodes \*/*
  2. for any node  $u'$  in  $H[v'].\text{good}$  such that  $H_2[u', u] = 0$  do
  3.  $H[v'].\text{good} := H[v'].\text{good} \setminus \{u'\}$ ;
  4.  $H[v'].\text{minus} := H[v'].\text{minus} \cup \{u'\}$ ;
5. for each node  $v'$  in  $H_1[v].\text{post} \cap H$  do  
*/\* prune the matching nodes for  $v$ 's children nodes \*/*
  6. for any node  $u'$  in  $H[v'].\text{good}$  such that  $H_2[u, u'] = 0$  do
  7.  $H[v'].\text{good} := H[v'].\text{good} \setminus \{u'\}$ ;
  8.  $H[v'].\text{minus} := H[v'].\text{minus} \cup \{u'\}$ ;
9. return  $H$ ;

**Figure 6: Procedures greedyMatch and trimMatching**

$H$  as input. It computes a  $p$ -similarity mapping  $\sigma$  from a subgraph of  $G_1[H]$  to  $G_2$ , and a set  $I$  of conflict pairs. It selects a candidate match  $(v, u)$  as mentioned earlier, moves other nodes in  $H[v].\text{good}$  to  $H[v].\text{minus}$  and sets  $H[v].\text{good}$  to empty set, since  $v$  has already picked a match  $u$  (lines 2–3). Assuming that  $(v, u)$  is a match, it updates  $H$  by pruning bad matches for the parent and the children of  $v$  in  $G_1$ , via another procedure trimMatching (line 4). The updated  $H$  is partitioned into two lists,  $H^+$  and  $H^-$ , such that for each node  $v'$  in  $H^+$ ,  $H[v'].\text{good}$  is nonempty, i.e.,  $v'$  may still find a match provided that  $(v, u)$  is a match; otherwise  $v'$  is included in  $H^-$  (lines 5–9). Procedure greedyMatch then recursively computes  $p$ -similarity mappings  $\sigma_1$  and  $\sigma_2$  for  $G[H^+]$  and  $G[H^-]$ , respectively (lines 10–11). It compares the sizes of  $\sigma_1 \cup \{(v, u)\}$  (i.e., the mapping with  $(v, u)$ ) and  $\sigma_2$  (i.e., the mapping without  $(v, u)$ ), and returns the larger one (lines 12–13). It also computes the set  $I$ . If  $(v, u)$  is not a good choice then it is included in  $I_2$  (line 12), the set of conflict pairs found when computing  $\sigma_2$ .

(b) Procedure trimMatching (Fig. 6) takes as input a candidate match  $(v, u)$  and the current matching list  $H$ . It removes bad matches from  $H$  assuming that  $(v, u)$  is a match. More specifically, for any parent  $v'$  in both  $H_1[v].\text{prev}$  and  $H$ , it moves every candidate match  $u'$  from  $H[v'].\text{good}$  to  $H[v'].\text{minus}$  if there exists no path from  $u'$  to  $u$  in  $G_2$  (i.e.,  $H_2[u', u] = 0$ ; lines 1–4), by the definition of strong  $p$ -similarity. Similarly, it processes  $v$ 's children (lines 5–8). The updated  $H$  is then returned (line 9).

**Example 5.1:** We illustrate how compMaxCard<sup>s</sup> computes a  $p$ -similarity mapping from a subgraph of  $G_1$  to  $G_2$  of Fig. 1. For the lack of space we use subgraphs  $G'_1$  and  $G'_2$ , mat() and  $\xi$  given in Example 3.1. After step 7, the algorithm constructs an adjacent list  $H_1$  and an initial matching list  $H$  for  $G'_1$  (see below), and an adjacent

matrix  $H_2$  for the transitive closure graph of  $G'_2$  (omitted).

Nodes in $H_1$	prev	post
books	$\emptyset$	{textbooks, abooks}
textbooks	{books}	$\emptyset$
abooks	{books}	$\emptyset$

Nodes in $H$	good	minus
books*	{books*, booksets}	$\emptyset$
textbooks	{school}	$\emptyset$
abooks	{audiobooks}	$\emptyset$

The algorithm then calls greedyMatch to produce a subgraph  $p$ -similarity mapping from  $G'_1$  to  $G'_2$ . At step 2 of greedyMatch, it maps books to books. After step 9, it splits  $H$  into  $H^+$  and  $H^-$ , and  $H^+$  is further partitioned into  $H_a^+$  and  $H_a^-$  by mapping abooks to audiobooks (shown below with empty lists omitted).

	Nodes	good	minus
$H^+$	textbooks	{school}	$\emptyset$
	abooks*	{audiobooks*}	$\emptyset$
$H^-$	books*	{booksets*}	$\emptyset$
$H_a^+$	textbooks*	{school*}	$\emptyset$

For these lists,  $\sigma$  and  $I$  are as follows (empty sets omitted).

	$\sigma$	$I$
$H_a^+$	{(textbooks, school)}	{(textbooks, school)}
$H^-$	{(books, booksets)}	{(books, booksets)}
$H^+$	{(textbooks, school), (abooks, audiobooks)}	{(textbooks, school)}
$H$	{(books, books), (textbooks, school), (abooks, audiobooks)}	{(books, books), (books, booksets)}

After removing  $I$  from  $H$ , the size of  $H$  (nodes in  $G_1$ ) becomes smaller than the one of  $\sigma_m$ . At this point compMaxCard<sup>s</sup> returns {(abooks, audiobooks), (textbooks, school), (books, books)} as the  $p$ -similarity mapping found.  $\square$

**Correctness and complexity.** Algorithm compMaxCard<sup>s</sup> indeed possesses the performance guarantee given in Theorem 5.1.

**Proposition 5.2:** For any  $G_1(V_1, E_1, L_1)$ ,  $G_2(V_2, E_2, L_2)$ , mat() and  $\xi$ , algorithm compMaxCard<sup>s</sup> finds a  $p$ -similarity mapping  $\sigma$  from a subgraph of  $G_1$  to  $G_2$  such that qualCard( $\sigma$ ) is within  $O(\log^2(|V_1||V_2|)/(|V_1||V_2|))$  of the optimal quality.  $\square$

**Proof sketch:** Algorithm greedyMatch generates the same mappings as the naive approximation algorithm described in Section 5.1. Thus the approximation bound of that algorithm carries over to greedyMatch. See [2] for a detailed proof.  $\square$

For the complexity, observe the following. (a) Algorithm compMaxCard<sup>s</sup> calls greedyMatch at most  $|V_1||V_2|$  times (line 10). (b) greedyMatch recursively calls itself at most  $|V_1||V_2|$  times (lines 10–11). For each call, it takes  $O((d^-(v) + d^+(v))|V_2| + |V_1|)$  time, where  $d^-(v)$  and  $d^+(v)$  are the numbers of  $v$ 's parents and children, respectively. Putting these together, algorithm compMaxCard<sup>s</sup> is in  $O(|V_1|^3|V_2|^2 + |V_1||E_1||V_2|^3)$  time. Note that (1)  $\sum_{v \in V_1} d^+(v) = \sum_{v \in V_1} d^-(v) = |E_1|$ , and (2)  $|V_2|$  can actually be replaced by the number of nodes in  $G_2$  that  $\sigma$  maps nodes in  $G_1$  to, which is often much smaller than  $|V_2|$ .

For the space complexity, compMaxCard<sup>s</sup> maintains single copies for  $H_1$  and  $H_2$  in the entire process. Each time when the matching list  $H$  is split into  $H^+$  and  $H^-$ ,  $H^+$  and  $H^-$  can reuse  $H$ 's space. Hence the total space used is in  $O((|V_1| + |V_2|)^2)$ .

We next show that algorithm compMaxCard<sup>s</sup> can be readily converted to approximation algorithms for CSP<sup>1-1</sup>, SSP<sup>s</sup> and SSP<sup>1-1</sup>.

**Approximation algorithm for CSP<sup>1-1</sup>.** A 1-1  $p$ -similarity mapping requires that no two nodes in  $G_1$  are mapped to the same node



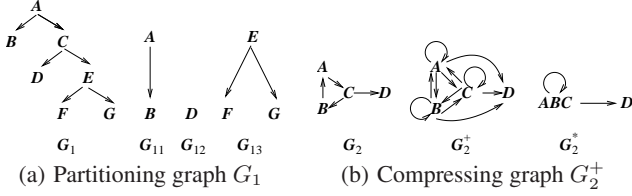


Figure 7: Reducing the graph size

in  $G_2$ . Minor changes to `compMaxCards` suffice to do this: we add an extra step to procedure `greedyMatch` such that after node  $v$  in  $H$  is mapped to  $u$  in  $G_2$ , we remove  $u$  from  $H[v']$ , `good` and add  $u$  to  $H[v']$ , minus for each node  $v'$  in  $H$  other than  $v$ . The extra step changes neither the worst-case complexity nor the performance guarantee of `compMaxCards`. This yields an approximation algorithm for  $\text{CSP}^{1-1}$ , referred to as `compMaxCard1-1`.

**Approximation algorithms for  $\text{SSP}^s$  and  $\text{SSP}^{1-1}$ .** We develop an approximation algorithm, referred to as `compMaxSims`, for the maximum overall similarity problem  $\text{SSP}^s$ . The algorithm borrows a trick from [20]. The strategy of [20] for computing WIS is as follows. It first removes nodes with weights less than  $W/n$ , where  $W$  is the maximum node weight and  $n$  is the number of nodes in a graph. It then partitions the remaining nodes into  $\log n$  groups based on their weights, such that the weight of each node in group  $i$  ( $1 \leq i \leq \log n$ ) is in the range  $[W/2^i, W/2^{i-1}]$ . Then for each  $i$ , it applies an algorithm for computing maximum independent sets (e.g., the algorithm of [9]) to the subgraph induced by group  $i$ , and returns the maximum of the solutions to these induced groups.

Along the same lines, `compMaxSims` first partitions the initial matching list  $H$  into  $\log(|V_1||V_2|)$  groups, and then it applies `compMaxCards` to each group. It returns  $\sigma$  with the maximum `qualSim`( $\sigma$ ) among  $p$ -similarity mappings for all these groups. Similarly, an approximation algorithm is developed for  $\text{SSP}^{1-1}$ , referred to as `compMaxSim1-1`. It is easy to verify that these algorithms are in  $O(\log(|V_1||V_2|)(|V_1|^3|V_2|^2 + |V_1||E_1||V_2|^3))$  time, and possess the same performance guarantee as `compMaxCards`.

### 5.3 Optimization Techniques

We next propose techniques to improve the efficiency of our algorithms, while retaining or improving their match quality.

**Partitioning graph  $G_1$ .** Consider the set  $S_1$  of nodes in  $G_1$  such that for any node  $v \in S_1$ ,  $\text{mat}(v, u) < \xi$  for each node  $u$  in  $G_2$ . That is, no node in  $S_1$  can find a  $p$ -similar match in  $G_2$ . Obviously the nodes in  $S_1$  do not contribute to any  $p$ -similarity mapping from any subgraph of  $G_1$  to graph  $G_2$ . Therefore, we only need to consider the subgraph  $G_1[V_1 \setminus S_1]$  of  $G_1$  instead of entire  $G_1$ , when computing  $p$ -similarity mappings from  $G_1$  to  $G_2$ .

Observe that  $G_1[V_1 \setminus S_1]$  may become disconnected even if  $G_1$  is connected. For example,  $G_1$  depicted in Fig. 7(a) is connected, in which node  $C$  has no  $p$ -similar nodes in  $G_2$ . After removing node  $C$  from  $G_1$ , the remaining subgraph has three pairwise disconnected components  $G_{11}$ ,  $G_{12}$  and  $G_{13}$ . It is easy to show:

**Proposition 5.3:** *Let graph  $G_1$  consist of  $k$  pairwise disconnected components  $G_{11}, \dots, G_{1k}$ . If  $\sigma_i$  is a maximum  $p$ -similarity mapping from a subgraph of  $G_{1i}$  to  $G_2$ , then  $\bigcup_{i=1}^k (\sigma_i)$  is a maximum  $p$ -similarity mapping from a subgraph of  $G_1$  to  $G_2$ .  $\square$*

This allows us to treat each component separately, and take as the final mapping the union of those mappings for the components. Better yet, if some group  $G_{1i}$  contains a single node  $v$ , e.g.,  $G_{12}$  in Fig. 7(a), a match is simply  $\{(v, u)\}$ , where  $\text{mat}(v, u) \geq \text{mat}(v, u')$  for any other node  $u'$  in  $G_2$ . Note that finding pairwise disconnected components is linear-time equivalent to finding

strongly connected components, which is in linear time [16].

The partitioning strategy may improve match quality. To see this let us examine the approximation bound  $y = \log^2 n/n$ . Obviously, (1) if  $n = e^2 \approx 7.39$ ,  $y$  is maximal, where  $e$  is the base of the natural logarithms; (2) when  $n \geq e^2$ ,  $y$  is monotonically decreasing; and (3) if  $n \leq e^2$ , it is affordable to use an exact algorithm to find the exact maximum  $p$ -similarity mapping. Thus when  $n \geq e^2$ , the larger  $n$  is, the worse the performance guarantee is. This tells us that reducing  $G_1$  to  $G_1[V_1 \setminus S_1]$  and partitioning  $G_1[V_1 \setminus S_1]$  to disconnected components indeed improve match quality.

**Compressing graph  $G_2^+$ .** Each strongly connected component (SCC) in  $G_2$  forms a *clique* in its transitive closure graph  $G_2^+$ . By a *clique* in  $G$  we mean a set  $C$  of nodes such that subgraph  $G[C]$  is a complete graph (i.e., any pair of nodes is connected by an edge).

We can replace each clique in  $G_2^+$  with a single node with a self-loop, whose label is the bag of all node labels in the clique. We denote the compressed graph by  $G_2^*(V_2^*, E_2^*)$ , where each node in  $V_2^*$  represents a (maximum) clique in  $G_2^+$ , and there exists an edge from nodes  $u_1^*$  to  $u_2^*$  in  $G_2^*$  iff there is an edge from a node in clique  $u_1^*$  to a node in clique  $u_2^*$  in  $G_2^+$ . For example, Figure 7(b) shows a graph  $G_2$ , its transitive closure graph  $G_2^+$  and its compressed graph  $G_2^*$ . Note that  $G_2^+$  is often much smaller than  $G_2$ .

By capitalizing on bags of labels, our algorithms can be modified such that any strong (1-1)  $p$ -similarity mapping they find from a subgraph of  $G_1$  to  $G_2^+$  is also a strong (1-1)  $p$ -similarity mapping from a subgraph of  $G_1$  to  $G_2$ , with the same quality. By compressing  $G_2$  to  $G_2^*$ , the performance of the algorithms is significantly improved. The compressing process incurs little extra cost since SCCs of  $G_2$  can be identified during the computation of  $G_2^+$  [29].

## 6. Experimental Study

We next present an experimental study of our algorithms for identifying (strong, 1-1)  $p$ -similar graphs. Using real-life and synthetic data, we conducted two sets of experiments to evaluate the ability and scalability of our methods for matching similar graphs vs. conventional graph simulation and subgraph isomorphism.

**Experimental setting.** We used real-life data and synthetic data.

(1) *Real-life data.* The real-life data was taken from the Stanford WebBase Project [3]. Three types of Web data were extracted, in different categories: Web sites for online stores, international organizations and online newspapers. For each Web site, we found an archive that maintained different versions of the same site.

Using the Web data we generated our graphs as follows. We randomly chose a Web site  $A$  in a certain category. We then produced a set  $T_A$  of Web graphs, using data from the archive for  $A$ . In each graph, each node was labeled with its corresponding URL. The similarity between two nodes was measured by the distance between their contents (whenever available) or URLs. We denoted these Web sites by *sites 1, 2 and 3*, respectively.

These Web graphs are typically large, having thousands of nodes. We thus considered their skeletons that retain only those nodes with a degree above a certain threshold. For each graph  $G$  in  $T_A$ , we produced its *skeleton*  $G_s$ , which is a subgraph of  $G$  such that for each node  $v$  in  $G_s$ , its degree  $\deg(v) \geq \text{avgDeg}(G) + \alpha \times \text{maxDeg}(G)$ , where  $\text{avgDeg}(G)$  and  $\text{maxDeg}(G)$  are the average node degree and the maximum node degree in  $G$ , respectively, and  $\alpha$  is a constant in  $[0, 1]$ . Skeleton  $G_s$  is the subgraph of  $G$  induced by these nodes (see Section 2), consisting of the nodes and their edges.

More specifically, for each Web site  $A$ , we generated  $T_A$  consisting of 11 graphs representing different versions of  $A$ . Based on  $T_A$ , we fixed  $\alpha = 0.2$  and produced a set of Web skeletons. Unfor-

Web Sites	Web graphs $G(V, E, L)$				Skeletons 1 ( $\alpha = 0.2$ )		Skeletons 2 (top-20)	
	# of nodes	# of edges	avgDeg( $G$ )	maxDeg( $G$ )	# of nodes	# of edges	# of nodes	# of edges
Site 1	20,000	42,000	4.20	510	250	10,841	20	207
Site 2	5,400	33,114	12.31	644	44	214	20	20
Site 3	7,000	16,800	4.80	500	142	4,260	20	37

Table 3: Web graphs and skeletons of real life data

Algorithms	Accuracy (%)						Scalability (seconds)					
	Skeletons 1 ( $\alpha = 0.2$ )			Skeletons 2 (top-20)			Skeletons 1 ( $\alpha = 0.2$ )			Skeletons 2 (top-20)		
	site 1	site 2	site 3	site 1	site 2	site 3	site 1	site 2	site 3	site 1	site 2	site 3
compMaxCard <sup>s</sup>	80	100	60	80	100	60	3.128	0.108	1.062	0.078	0.066	0.080
compMaxCard <sup>1-1</sup>	40	100	30	80	100	40	2.847	0.097	0.840	0.054	0.051	0.064
compMaxSim <sup>s</sup>	80	100	50	90	100	60	3.197	0.093	0.877	0.051	0.051	0.062
compMaxSim <sup>1-1</sup>	20	80	10	90	100	40	2.865	0.093	0.850	0.053	0.049	0.039
cdkMCS	N/A	N/A	N/A	67	100	0	N/A	N/A	N/A	156.931	189.16	0.82

Table 4: Accuracy and scalability on real life data

unately, these graphs were beyond the capability of the algorithms we could find for computing maximum common subgraphs [1]. To cope with this, we also chose top 20 nodes with the highest degree, and constructed another set of Web skeletons. The information about those Web graphs and skeletons is reported in Table 3.

Observe that each set of the graphs represent different versions of the same Web site, and hence they *should match* with each other. By using skeletons we reduce the impact of noisy nodes. Based on this, we evaluated the accuracy of our algorithms.

(2) *Synthetic data.* We also designed a generator to produce graphs for experiments, controlled by two parameters: the number  $m$  of nodes and the noise rate noise%. Given  $m$ , we first randomly generated graph  $G_1$  with  $m$  nodes and  $4 \times m$  edges. We then produced a set of 15 graphs  $G_2$  by introducing artificial noise into  $G_1$ , with added complexity to make it hard for  $G_1$  to match. More specifically,  $G_2$  was constructed from  $G_1$  as follows: (a) for each edge in  $G_1$ , with probability noise%, the edge was replaced with a path of between 1 and 5 nodes, and (b) for each node in  $G_1$ , with probability noise%, the node was attached with a subgraph of between 1 to 10 nodes. The nodes were tagged with labels randomly drawn from a set  $L$  of  $5 \times m$  distinct labels. The set  $L$  was divided into  $\sqrt{5 \times m}$  disjoint groups. Labels in different groups were considered totally different, while labels in the same group were assigned similarities randomly drawn from  $[0, 1]$ .

(3) *Algorithms.* We have implemented the following algorithms, all in Java: (a) all the algorithms developed in this paper, namely, compSimilarity, compMaxCard<sup>s</sup>, compMaxCard<sup>1-1</sup>, compMaxSim<sup>s</sup>, and compMaxSim<sup>1-1</sup>, (b) the graph simulation algorithm of [21], and (c) the algorithm given in CDK [1] for finding a maximum common subgraph, denoted by cdkMCS.

The experiments were run on a machine with an AMD Athlon  $64 \times 2$  Dual Core CPU and 2GB of memory. Each experiment was repeated over 5 times and the average is reported here.

**Experimental results.** We next present our experimental results. In both sets of experiments, we fixed the threshold for matching to be 0.75; i.e., a graph  $G_1$  is said to match  $G_2$  if there is a mapping  $\sigma$  from  $G_1$  to  $G_2$  such that  $\text{qualCard}(\sigma) \geq 0.75$  (resp.  $\text{qualSim}(\sigma)$ ; see Section 4). We also assumed a uniform weight  $w(v) = 1$  for all nodes  $v$  when measuring the overall similarity.

**Exp-1: Accuracy and efficiency on real-life data.** In the first set of experiments, we evaluated the accuracy and efficiency of (strong, 1-1)  $p$ -similarity against the conventional notions of graph simulation and subgraph isomorphism, using the sets of Web skeletons.

For each set of Web skeletons, we randomly chose one as  $G_1$ , and attempted to match it with the rest ( $G_2$ ) in the set. As remarked earlier,  $G_1$  and  $G_2$  should logically match. We use the percentage

of matches found as the accuracy measure for all algorithms. We fixed the node similarity threshold  $\xi$  to be 0.75.

In this set of experiments, both graph simulation and  $p$ -similarity did *not* find matches in almost all the cases. This shows that these algorithms, which aim at finding matches for an entire graph, are too restrictive when matching Web sites. As a result, we opt to report the results of our approximation algorithms and of the algorithm for finding common subgraphs only.

The accuracy and efficiency results are shown in Table 4. (1) In most cases, our algorithms found more than 50% of matches. (2) The strong  $p$ -similarity algorithms found more matches than the 1-1  $p$ -similarity ones since the latter pose stronger requirements than the former. (3) All algorithms found more matches on *sites 1* and *2* than *site 3* since a typical feature of *site 3* (online news papers) is its timeliness, reflected by the rapid changing of its contents and structures. (4) For skeletons 1, cdkMCS did not run to completion. For skeletons 2, our algorithms found more matches than cdkMCS. In particular, on *site 3* cdkMCS found no matches at all. In contrast, our algorithms found up to 60% of matches on the same data.

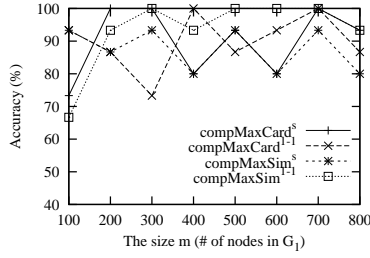
Our algorithms took less than 4 seconds in all these cases, while cdkMCS took 180 seconds even for graphs with only 20 nodes. Note that although *sites 2* and *3* are about the same size, the running time of cdkMCS on them is not comparable.

From the results we can see the following: our approximation algorithms (1) perform well on both the accuracy and efficiency on different types of Web sites, (2) find more matches than cdkMCS, and (3) are much more efficient and robust than cdkMCS.

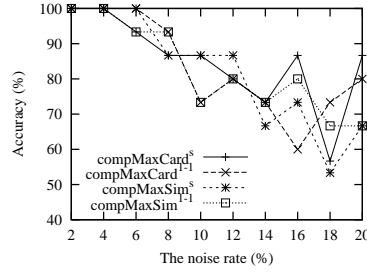
**Exp-2: Efficiency on synthetic data.** In the second set of experiments, we evaluated the accuracy and scalability of our algorithms using graphs randomly generated as described above. We also evaluated the performance of the graph simulation algorithm of [21], denoted by graphSimulation. However, we could not evaluate algorithm cdkMCS [1], since it only works on small graphs.

We investigated (a) the accuracy of our four approximation algorithms, and (b) the efficiency of these algorithms and that of graphSimulation and compSimilarity. In these experiments the accuracy of compSimilarity is constantly 100% whereas it is 0% for graphSimulation in all the cases. Thus we do not show the accuracy of these methods. We evaluated the effects of the following parameters on the performance: the number of nodes  $m$  in  $G_1$ , the noise ratio noise% and the node similarity threshold  $\xi$ . In each setting, the accuracy was measured by the percentage of matches found between  $G_1$  and a set of 15 graphs ( $G_2$ ) as mentioned above.

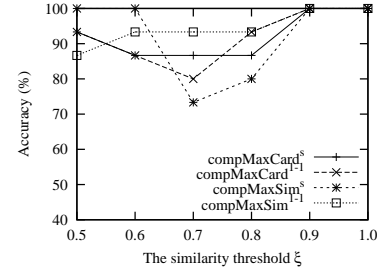
(1) Varying the size of  $G_1$ . To evaluate the impact of graph sizes on the accuracy and the scalability, we fixed noise% = 10% and  $\xi = 0.75$ , while varying  $m$  from 100 to 800, where the number of nodes in  $G_2$  was in the range [260, 2225].



(a) Accuracy w.r.t. the size  $m$

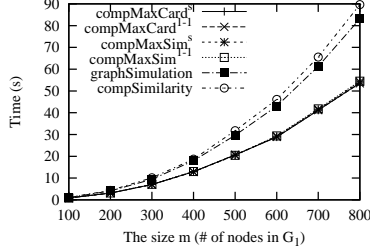


(b) Accuracy w.r.t. the noise rate

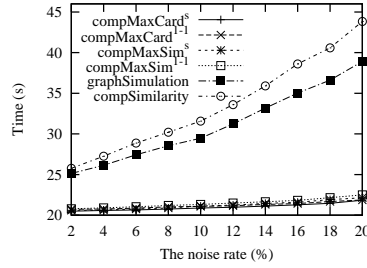


(c) Accuracy w.r.t. the threshold  $\xi$

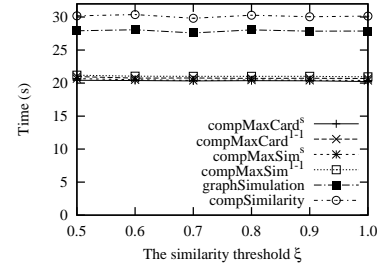
Figure 8: Accuracy on synthetic data



(a) Scalability w.r.t. the size  $m$



(b) Scalability w.r.t. the noise rate



(c) Scalability w.r.t. the threshold  $\xi$

Figure 9: Scalability on synthetic data

The accuracy results are reported in Fig. 8(a), which show that our approximation algorithms have accuracy above 65%, and are insensitive to the size of  $G_1$ . The scalability results are reported in Fig. 9(a), which show that all the algorithms scale well with the size  $m$ . The larger  $G_1$  is, the longer the algorithms take, as expected. The approximation algorithms have comparable running time, while compSimilarity and graphSimulation are comparable. Here the approximation algorithms actually outperformed both compSimilarity and graphSimulation, although their worst-case complexity bounds are not as good as those of the latter ones. This is because the worst case hardly occurred in the experiments.

(2) Varying the noise. We evaluated the accuracy and performance of the algorithms w.r.t. noise%: fixing  $m = 500$  and  $\xi = 0.75$ , we varied noise% from 2% to 20%, where the number of nodes in  $G_2$  was in the range [650, 2100] accordingly.

Figure 8(b) shows that the accuracy of our approximation algorithms is sensitive to the noise rate. Nevertheless, the accuracy is still above 50% even when noise% = 20% and  $G_2$  had 2000 nodes.

Figure 9(b) shows that while the scalability of compSimilarity and graphSimulation is sensitive to noise%, the approximation algorithms are not. All these algorithms scale well with noise%.

(3) Varying the similarity threshold. Finally, we evaluated the impact of  $\xi$ : fixing  $m = 500$  and noise% = 10%, we varied  $\xi$  from 0.5 to 1.0, where the number of nodes in  $G_2$  was about 1,300.

Figure 8(c) shows that the accuracy of our approximation algorithms is not very sensitive to  $\xi$ , with accuracy above 70% in all the cases. When  $\xi$  is between 0.6 and 0.8, the accuracy is relatively lower. This is because (a) when  $\xi$  is low ([0.5, 0.6]), it is relatively easy for a node in  $G_1$  to find its matching nodes in  $G_2$ ; (b) when  $\xi$  is high (above 0.8), the chances for each node in  $G_1$  to find its copy in  $G_2$  are higher, by the construction of  $G_2$ . Figure 9(c) tells us that the scalability of all these algorithms is indifferent to  $\xi$ .

**Summary.** Our experimental results demonstrate the effectiveness and efficiency of our matching methods. From the results we find the following. (a) The notions of (strong, 1-1)  $p$ -similarity are capable of identifying a large number of similar graphs that cannot be matched by simulation or subgraph isomorphism. (b) Our algo-

gorithms scale well with the sizes of the graphs, the noise rates, and with the node similarity threshold. The algorithms seldom demonstrated their worst-case complexity. Even for  $G_1$  of 800 nodes and  $G_2$  of 2000 nodes, all of our algorithms took less than two minutes.

## 7. Related Work

A variety of models have been proposed for measuring structural similarity of graphs: graph simulation [4, 14], subgraph isomorphism [34, 37], graph embedding [13, 18] and graph edit distance [11] (see [12, 15, 33] for surveys). While graph simulation is defined in terms of binary relations on nodes, the others are defined in terms of functions from nodes in one graph to nodes in the other. As remarked earlier,  $p$ -similarity and strong (1-1)  $p$ -similarity extend graph simulation and subgraph isomorphism by supporting (a) edge-to-path mappings rather than edge-to-edge mappings, and (b) node similarity instead of node label equality, in order to capture graph structural similarity in emerging applications.

Closer to the notion of *strong*  $p$ -similarity are the models studied for graph-pattern queries (e.g., [13]) and XML schema embedding [18]. Graph-pattern queries support a descendant-or-self axis ‘//’ and a child axis ‘/’, which are to be mapped to a path and an edge in a data graph, respectively. On one hand, strong  $p$ -similarity only allows ‘//’; on the other hand, strong  $p$ -similarity takes node similarity into account, which is not considered in [13]. Algorithms are given in [13] for DAG queries. While the algorithms are claimed to be in PTIME, it is unlikely that they work on general DAGs since the problem is NP-complete for DAGs, as shown by Theorem 4.1. In this line of research no approximation algorithms have been developed for matching similar graphs.

A notion of schema embedding is introduced in [18], which is a special case of strong  $p$ -similarity with two additional constraints: prefix-free and type matching. Node similarity is also considered in [18]. While heuristic algorithms are provided in [18], they do not have performance guarantees on match quality, as opposed to this work. Further, extensions of simulation are not studied in [18].

The edit distance of two graphs  $G_1$  and  $G_2$  is defined to be the number of deletions and insertions of nodes and edges required to transform  $G_2$  to  $G_1$ . While 1-1  $p$ -similarity can be mimicked by



graph editing when only deletions are allowed, this simulation involves excessive deletions of nodes or edges from  $G_2$  to which no nodes or edges of  $G_1$  are mapped.

Optimization problems have also been studied for similar graph matching [12, 15, 33]. These problems are often defined based on similarity metrics that measure the ratio of the size of a maximum common subgraph to the size of original graphs (e.g., [31, 32, 38]). These metrics allow edge-to-edge mappings only, and typically do not take weights of nodes into account. Another metric is based on paths [22], which assesses overlapping root-leaf paths in the graph representations of documents, but ignores the topology of the graphs. We are not aware of any previous work that has studied maximum cardinality and maximum overall similarity, which are proposed in this work for strong  $p$ -similarity and 1-1  $p$ -similarity.

Based on various similarity metrics, a number of graph matching algorithms have been developed (see [12, 15] for surveys). Closest to ours are [21, 9, 20], which provide a quadratic-time algorithm for computing simulation relations and approximation algorithms for computing maximum (weighted) independent sets, respectively. Our algorithms in Sections 3 and 5 are extensions of these algorithms. There have also been algorithms for identifying subgraph isomorphism and maximum common subgraphs (e.g., [13, 31, 34, 38]). Stack-based algorithms are studied for matching DAGs [13], by leveraging indexing to avoid computing transitive closures, and by adopting filtering for early pruning. An algorithm for computing maximum common edge subgraphs is proposed in [31], which makes use of a maximum clique formulation as well as pruning heuristics. Algorithms for approximate graph matching can be found in [34, 37], based on indexing and feature filtering. Unfortunately, these algorithms do not possess provable guarantees on match quality, as opposed to approximation algorithms of this paper. On the other hand, the indexing and filtering techniques of [31, 34, 37] can be used to improve the performance of our algorithms.

## 8. Conclusions

We have proposed several notions for capturing structural similarity of graphs, by allowing edges in one graph to map to paths in the other, and by incorporating node similarity. For graph matching based on these notions, we have provided complexity bounds and algorithms. (a) We have presented an  $O(n^3)$ -time algorithm for  $p$ -similarity, comparable to its simulation counterpart. (b) We have established the intractability for strong (1-1)  $p$ -similarity and their optimization problems, and shown that these problems are hard to approximate. (c) Despite the hardness, we have developed approximation algorithms for these problems, with provable guarantees on match quality. As verified by our experimental results, these techniques are able to identify many similar graphs that conventional graph simulation and subgraph isomorphism fail to find, and yield a promising tool for graph matching in emerging applications.

Admittedly our experimental study is still preliminary. We are experimenting with more real-life data in various domains, and hope to identify areas in which (strong, 1-1)  $p$ -similarity is most effective. We also plan to improve the efficiency of our algorithms, by leveraging indexing and filtering techniques developed in [31, 34, 37]. Another topic for future work is to compare our techniques with approaches based on feature graphs and graph edit distance.

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## APPENDIX: Proofs

**Corollary 1:** The  $p$ -similarity problem is in PTIME.  $\square$

**Proof:** Following from Proposition 3.2.

**Proof of Theorem. 4.1.** (a) The strong  $p$ -similarity problem  $(G_1 \lesssim_{(e,p)}^s G_2)$  is NP-complete even when both  $G_1$  and  $G_2$  are acyclic directed graphs (DAGs).

**Proof:** We first show that this problem is in NP. An NP algorithm is as follows: guess a relation, and then check whether it is a strong  $p$ -similarity mapping. It is easy to check whether a relation is a mapping (function), and can be done in polynomial time, so does checking whether it is  $p$ -similar according to Corollary 1.

We next show that this problem is NP-hard by reduction from the 3SAT problem, which is NP-complete (cf. [19]). Given an instance  $\phi = C_1 \wedge \dots \wedge C_n$  of 3SAT, where all the variables in  $\phi$  are  $x_1, \dots, x_m$ , clause  $C_j$  ( $j \in [1, n]$ ) is of the form  $y_{j1} \vee y_{j2} \vee y_{j3}$ , and moreover, for  $i \in [1, 3]$ ,  $y_{ji}$  is either  $x_{p_{ji}}$  or  $\overline{x_{p_{ji}}}$  for  $p_{ji} \in [1, m]$ . Here we use  $x_{p_{ji}}$  to indicate the occurrence of a variable in literal  $i$  of clause  $C_j$ . The 3SAT problem is to determine whether  $\phi$  is satisfiable or not.

More specifically, given an instance  $\phi$  of the 3SAT problem, we will construct two DAGs  $G_1, G_2$  and a similarity matrix  $\text{att}$  such that  $G_1 \lesssim_{(e,p)}^s G_2$  if and only if  $\phi$  is satisfiable.

(1) The DAG  $G_1 = (V_1, E_1)$  is defined as follows:

- $V_1 = \{R_1, C_1, \dots, C_n, X_1, \dots, X_m\}$ ;
- $E_1 = \{(R_1, X_i), (X_{p_{j1}}, C_j), (X_{p_{j2}}, C_j), (X_{p_{j3}}, C_j)\}$  for each  $i \in [1, m]$  and each  $j \in [1, n]$ .

Intuitively, graph  $G_1$  encodes the instance  $\phi$  of 3SAT. Node  $X_i$  ( $i \in [1, m]$ ) in  $V_1$  corresponds to variable  $x_i$ , and node  $C_j$  ( $j \in [1, n]$ ) in  $V_1$  corresponds to clause  $C_j$ . Node  $R_1$  is the root of graph  $G_1$ , which connects to all  $X_i$  nodes ( $i \in [1, m]$ ). An edge  $(X_i, C_j)$  in  $E_1$  encodes that variable  $x_i$  appears in clause  $C_j$ , i.e.,  $x_i$  is one of the three variables  $x_{p_{j1}}, x_{p_{j2}}$  and  $x_{p_{j3}}$ .

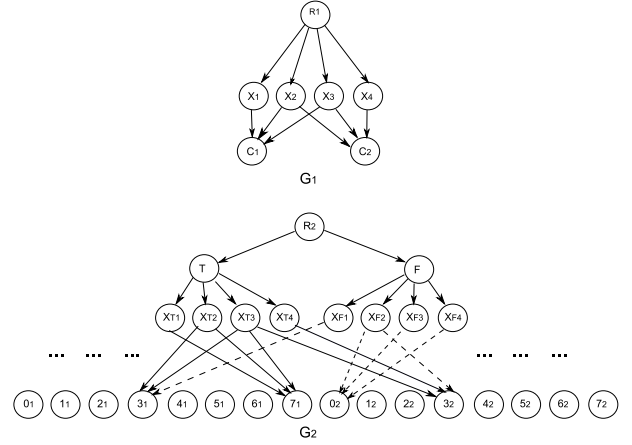
(2) The DAG  $G_2 = (V_2, E_2)$  is defined as follows:

- $V_2 = \{R_2, T, F, X_{T1}, X_{F1}, \dots, X_{Tm}, X_{Fm}, 0_1, \dots, 7_1, \dots, 0_n, \dots, 7_n\}$ ;
- $E_2 = \{(R_2, T), (R_2, F)\} \cup \{(T, X_{Ti}), (F, X_{Fi})\} \cup E'_2$ , where  $i \in [1, m]$ ;
- For each clause  $C_j = y_{j1} \vee y_{j2} \vee y_{j3}$  of  $\phi$  ( $j \in [1, n]$ ),  $E'_2$  contains  $7 \times 3$  edges.
  - (a) If we take true as 1, false as 0, and  $C_j$  as a three-bit constant  $y_{j1}y_{j2}y_{j3}$ , then the domain of clause  $C_j(\rho)$  is  $\{0, 1, \dots, 7\}$ , where  $\rho$  is a truth assignment of variables  $x_{p_{j1}}, x_{p_{j2}}$  and  $x_{p_{j3}}$ .
  - (b) For each truth assignment  $\rho$  of  $x_{p_{j1}}, x_{p_{j2}}$  and  $x_{p_{j3}}$  that makes  $C_j$  true,  $E'_2$  consists of the following edges:  $(X_{Tp_{jk}}, C_j(\rho)_j)$  if  $\rho(x_{p_{jk}}) = \text{true}$ , or  $(X_{Fp_{jk}}, C_j(\rho)_j)$  if  $\rho(x_{p_{jk}}) = \text{false}$ , where  $k \in [1, 3]$ .

Intuitively, graph  $G_2$  encodes the truth assignments of variables and clauses in the instance  $\phi$  of 3SAT that make them true. Node  $X_{Ti}$  ( $i \in [1, m]$ ), resp.  $X_{Fi}$  means assigning variable  $x_i$  a true (resp. false) value. Nodes  $\{0_j, \dots, 7_j\}$  corresponds to  $C_j(\rho)$ , measured as a three-bit constant w.r.t. the truth assignment of the three variables contained in clause  $C_j$ . Node  $R_2$  is the root of graph  $G_2$ . Nodes  $T$  and  $F$  are redundant, but make the graph structure clearer. Edges from  $X_{Ti}$  or  $X_{Fi}$  to nodes  $\{0_j, \dots, 7_j\}$  encodes the relationships between the truth assignments of variables ( $x_{p_{j1}}, x_{p_{j2}}$  and  $x_{p_{j3}}$ ) and the corresponding values of  $C_j(\rho)$ .

(3) The similarity matrix  $\text{att}$  is defined as follows:

- $\text{att}[R_1, R_2] = 1$ ;
- $\text{att}[X_i, X_{Ti}] = 1$  and  $\text{att}[X_i, X_{Fi}] = 1$  for  $i \in [1, m]$ ;



**Figure 10: An Example Reduction for strong  $p$ -similarity**

- $\text{att}[C_j, 0_j] = 1, \dots, \text{att}[C_j, 7_j] = 1$  for  $j \in [1, n]$ ;
- $\text{att}[v, u] = 0$  for any other nodes  $v \in V_1$  and  $u \in V_2$

The similarity matrix  $\text{att}$  guarantees that (a) the  $R_1$  of  $G_1$  must be mapped to  $R_2$ , (b) node  $X_i$  ( $i \in [1, m]$ ) in  $G_1$  must be mapped to node either  $X_{Ti}$  (true) or  $X_{Fi}$  (false), and (c) node  $C_j$  in  $G_1$  ( $j \in [1, n]$ ) must be mapped to one of the nodes  $\{0_j, \dots, 7_j\}$ .

Here is a simple example instance for the 3SAT problem:  $\phi = C_1 \wedge C_2$ , where  $C_1 = x_1 \vee x_2 \vee x_3$  and  $C_2 = x_2 \vee x_3 \vee x_4$ . The corresponding graphs  $G_1$  and  $G_2$  are shown in Fig.10. Observe that both  $G_1$  and  $G_2$  are DAGs.

Now we verify that  $G_1, G_2$  and  $\text{att}$  are indeed a reduction from the 3SAT problem, i.e., there is a strong  $p$ -similarity mapping from  $G_1$  to  $G_2$  if and only if the 3SAT instance  $\phi$  is satisfiable.

Assume that there is a strong  $p$ -similarity mapping  $\lambda$  from  $G_1$  to  $G_2$ , we show that there is a truth assignment  $\rho$  that makes  $\phi$  true.

The truth assignment  $\rho$  is defined as follows. For each variable  $x_i$  ( $i \in [1, m]$ ),  $\rho(x_i) = \text{true}$  if  $\lambda(X_i) = X_{Ti}$ , and  $\rho(x_i) = \text{false}$  if  $\lambda(X_i) = X_{Fi}$ . Note that node  $X_i$  in  $G_1$  can not be mapped to both nodes  $X_{Ti}$  and  $X_{Fi}$  in  $G_2$  since  $\lambda$  is a mapping (function). For each node  $C_j$  ( $j \in [1, n]$ ),  $\lambda(C_j)$  guarantees that  $\rho$  must make clause  $C_j$  true. Otherwise, there are no edges from its corresponding variables to  $\lambda(C_j)$  that makes clause  $C_j$  false. This is guaranteed by the construction of graph  $G_2$ . Following from these, we show that the truth assignment  $\rho$  indeed makes  $\phi$  true.

Conversely, if there is a truth assignment  $\rho$  that makes  $\phi$  true, we show that there is a strong  $p$ -similarity mapping  $\lambda$  from  $G_1$  to  $G_2$ . The  $p$ -similarity mapping  $\lambda$  is defined as follows:

- $\lambda(R_1) = R_2$ ;
- For  $i \in [1, m]$ ,  $\lambda(X_i) = X_{Ti}$  if  $\rho = \text{true}$ , or  $\lambda(X_i) = X_{Fi}$  if  $\rho = \text{false}$ ;
- For  $i \in [1, n]$ ,  $\lambda(C_i) = C_i(\rho)_j$ , defined as before.

It is easy to verify that  $\lambda$  is indeed a strong  $p$ -similarity mapping.

**Proof of Theorem. 4.1.** (b) The 1-1  $p$ -similarity problem  $(G_1 \lesssim_{(e,p)}^{1-1} G_2)$  is NP-complete even when  $G_1$  is a tree and  $G_2$  is a DAG.

**Proof:** We first show that this problem is in NP. An NP algorithm is as follows: guess a relation, and then check whether it is a 1-1  $p$ -similarity mapping. It is easy to check whether a relation is a 1-1 mapping (function), and can be done in polynomial time, so does checking whether it is  $p$ -similar according to Corollary 1.

We next show that this problem is NP-hard by reduction from the exact cover by 3-sets problem (X3C), which is NP-complete (cf. [19]). Given a finite set  $X = \{x_1, \dots, x_{3q}\}$  with  $|X| = 3q$  and a collection  $S = \{C_1, \dots, C_n\}$  of 3-element subsets of  $X$ , where

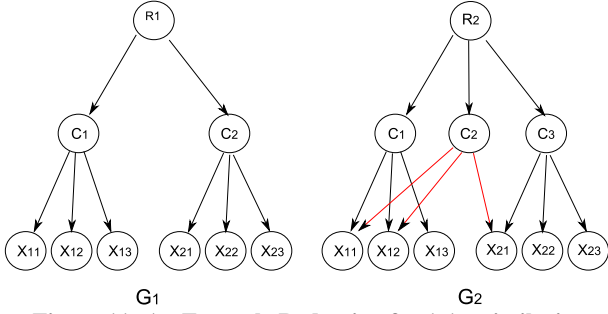


Figure 11: An Example Reduction for 1-1  $p$ -similarity

$C_i = \{x_{i1}, x_{i2}, x_{i3}\}$  for  $i \in [1, n]$ , the X3C problem is to decide whether there exists an exact cover for  $X$ , that is, a sub-collection  $S' \subseteq S$  such that  $S'$  is a partition of  $X$ , i.e., every element of  $X$  occurs in exactly one member of  $S'$ .

More specifically, given an instance  $I$  of the X3C problem, we will construct two graphs  $G_1$  and  $G_2$  and a similarity matrix  $\text{att}$  such that there is a 1-1  $p$ -similarity mapping from  $G_1$  to  $G_2$  if and only if  $I$  has an exact cover.

- (1) The tree  $G_1 = (V_1, E_1)$  is defined as follows:
  - $V_1 = \{R_1, C_1, \dots, C_q, X_{11}, X_{12}, X_{13}, \dots, X_{q1}, X_{q2}, X_{q3}\}$ ;
  - $E_1 = \{(R_1, C_i), (C_i, X_{i1}), (C_i, X_{i2}), (C_i, X_{i3})\}$  for each  $i \in [1, q]$ .

Intuitively, tree  $G_1$  is an exact cover (a solution) for the X3C instance  $I$ . Node  $R_1$  is the root node of tree  $G_1$ . Node  $C_i$  ( $i \in [1, q]$ ) corresponds to a 3-element subset in  $S$ . Nodes  $X_{i1}, X_{i2}$  and  $X_{i3}$  ( $i \in [1, q]$ ) correspond to the three elements  $x_{i1}, x_{i2}$  and  $x_{i3}$  of subset  $C_i$ . Their relationships are reflected by edges from nodes  $X_{i1}, X_{i2}$  and  $X_{i3}$  to node  $C_i$  in  $G_1$ .

- (2) The DAG  $G_2 = (V_2, E_2)$  is defined as follows:
  - $V_2 = \{R_2, C_1, \dots, C_n, X_{11}, X_{12}, X_{13}, \dots, X_{q1}, X_{q2}, X_{q3}\}$ ;
  - $E_2 = \{(R_2, C_i)\} \cup \{(C_i, X_{ik})\}$ , where  $i \in [1, n]$  and  $X_{ik} \in C_i$  for  $k \in [1, 3]$ .

Intuitively, DAG  $G_2$  encodes the instance of the X3C problem. For  $i \in [1, n]$ , node  $C_i$  corresponds to the 3-element subset  $C_i$  in  $S$ , and nodes  $X_{i1}, X_{i2}, X_{i3}$  corresponds to the three elements of  $C_i$ . Node  $R_2$  is the root of  $G_2$ . Again, their relationships are reflected by edges from nodes  $X_{i1}, X_{i2}$  and  $X_{i3}$  to node  $C_i$  in  $G_2$ .

- (3) The similarity matrix  $\text{att}$  is defined as follows:
  - $\text{att}[R_1, R_2] = 1$ ;
  - $\text{att}[C_i, C_j] = 1$  for  $i \in [1, q]$  and  $j \in [1, n]$ ;
  - $\text{att}[X_{ik}, X_{jg}] = 1$  for  $i, j \in [1, q]$  and  $k, g \in [1, 3]$ ;
  - $\text{att}[v, u] = 0$  for any other nodes  $v \in V_1$  and  $u \in V_2$ .

The similarity matrix  $\text{att}$  guarantees that (a) the root  $R_1$  of  $G_1$  must be mapped to the root  $R_2$  of  $G_2$ , (b) node  $C_i$  ( $i \in [1, q]$ ) of  $G_1$  must be mapped to nodes  $C_j$  ( $j \in [1, n]$ ) of  $G_2$ , (c) node  $X_{ik}$  in  $G_1$  ( $i \in [1, q]$  and  $k \in [1, 3]$ ) must be mapped to nodes  $X_{jg}$  in  $G_2$  ( $j \in [1, n]$  and  $g \in [1, 3]$ ).

Here is a simple example instance for the X3C problem, where  $X = \{X_{11}, X_{12}, X_{13}, X_{21}, X_{22}, X_{23}\}$  and  $S = \{C_1, C_2, C_3\}$  such that  $C_1 = \{X_{11}, X_{12}, X_{13}\}$ ,  $C_2 = \{X_{11}, X_{12}, X_{21}\}$  and  $C_3 = \{X_{21}, X_{22}, X_{23}\}$ . The corresponding tree  $G_1$  and DAG  $G_2$  are shown in Fig.11.

Now we verify that  $G_1, G_2$  and  $\text{att}$  are indeed a reduction from the X3C problem, i.e., there is a 1-1  $p$ -similarity mapping from  $G_1$  to  $G_2$  if and only if there is an exact cover for the X3C problem.

Assume that there is 1-1  $p$ -similarity mapping  $\lambda$  from  $G_1$  to  $G_2$ , we show that there is an exact cover  $S'$  for the X3C problem. First, following from  $(R_1, R_2)$  is included in the mapping  $\lambda$ , all nodes in  $G_1$  are forced to be included in the mapping. Second, due to the injective constraint, the mapping between the nodes  $X_{ik}$  ( $i \in [1, q]$

and  $k \in [1, 3]$ ) in  $G_1$  and the nodes  $X_{jg}$  ( $j \in [1, q]$  and  $g \in [1, 3]$ ) in  $G_2$  must be bijective. Third, the injective constraint also requires that (1) each node  $C_i$  ( $i \in [1, q]$ ) in  $G_1$  must be mapped to one and at most one node  $C_j$  ( $1 \leq j \leq n$ ) in  $G_2$ , (2) for any distinct nodes  $C_i$  and  $C_j$  ( $i, j \in [1, q]$  and  $i \neq j$ ) in  $G_1$ , they must be mapped to distinct nodes in  $G_2$ , i.e.,  $\lambda(C_i) \neq \lambda(C_j)$ .

Let  $S' = \{\lambda(C_i)\}$  for each  $C_i \in V_1$  of  $G_1$  ( $i \in [1, q]$ ), and we show that  $S'$  is an exact cover for the X3C problem. First, from the above description, it is easy to know that  $|S'| = q$ . If  $S'$  is not an exact cover of  $S$ , there must exist  $\lambda(C_i), \lambda(C_j) \in S'$  ( $i \neq j$  and  $1 \leq i, j \leq q$ ) such that  $\lambda(C_i) \cap \lambda(C_j) \neq \emptyset$ . Following from this, there must exist  $X_{ik}$  and  $X_{jg}$  in  $G_1$  such that  $\lambda(X_{ik}) = \lambda(X_{jg})$ , which contradicts the injectivity constraint, thus  $\lambda$  is not a valid  $p$ -similarity mapping.

For instance, suppose there is a mapping  $\lambda$  for the example in Fig.11, where  $\lambda(C_1) = C_1$  and  $\lambda(C_2) = C_2$ . Without loss of generality, we also assume that  $\lambda(X_{11}) = X_{11}$ ,  $\lambda(X_{12}) = X_{12}$  and  $\lambda(X_{13}) = X_{13}$ . In order to get a valid  $p$ -similarity mapping, we have to map  $X_{21}, X_{22}, X_{23}$  of  $G_1$  to  $X_{11}, X_{12}, X_{21}$  of  $G_2$ . Here the injectivity constraint will force a node in  $X_{21}, X_{22}, X_{23}$  of  $G_1$  to have the same mapping as some node in  $X_{11}, X_{12}, X_{13}$  of  $G_1$ . This shows  $\lambda$  violates the injectivity constraint.

Following from these, we know  $S'$  is indeed an exact cover for the X3C problem.

Conversely, if there is an exact cover  $S'$  for the X3C problem, we show that there is 1-1  $p$ -similarity mapping  $\lambda$  from  $G_1$  to  $G_2$ . We assume that  $S' = \{C'_1, \dots, C'_q\}$ , where  $C'_i$  ( $i \in [1, q]$ ) is some 3-element subset  $C_j$  ( $1 \leq j \leq n$ ) in  $S$ .

We define a mapping  $\lambda$  as follows: (1)  $\lambda(R_1) = R_2$ , (2)  $\lambda(C_i) = C'_i$  for  $i \in [1, q]$ , and (3)  $\lambda(X_{ik}) = X'_{ik}$  for  $i \in [1, q]$  and  $k \in [1, 3]$ , where  $C_i = \{X_{i1}, X_{i2}, X_{i3}\}$  and  $C'_i = \{X'_{i1}, X'_{i2}, X'_{i3}\}$ . Then it is trivial to verify that  $\lambda$  is a 1-1  $p$ -similarity mapping.

For instance, let  $S' = \{C_1, C_3\}$  be an exact cover for the X3C problem in Fig.11. Let  $\lambda$  be a mapping defined as follows: (1)  $\lambda(R_1) = R_2$ , (2)  $\lambda(C_1) = C_1$  and  $\lambda(C_2) = C_3$ , (3)  $\lambda(X_{ik}) = X_{ik}$  for  $i \in [1, 2]$  and  $k \in [1, 3]$ . Then  $\lambda$  is a 1-1  $p$ -similarity mapping.

Following the above description, we show that the 1-1  $p$ -similarity problem is NP-complete.  $\square$

**Proof of Corollary. 4.2.** The maximum cardinality problem and the maximum overall similarity problem are NP-complete for both strong  $p$ -similarity and 1-1  $p$ -similarity. The problems are already NP-hard even when only DAGs are considered.

**Proof sketch:** Let  $w(v) = 1$  for each node  $v$  in  $G_1$ . Then if there exists a strong  $p$ -similarity or 1-1  $p$ -similarity in the reductions of Theorem. 4.1, it is maximum.

**Proof of Theorem. 4.3.**  $\text{CSP}^s, \text{CSP}^{1-1}, \text{SSP}^s$  and  $\text{SSP}^{1-1}$  are not approximable within  $O(1/n^{1-\epsilon})$  for any constant  $\epsilon$ , where  $n$  is the number of nodes in  $G_1$  of input graphs  $G_1$  and  $G_2$ .

**Proof sketch:** We show that there exists an AFP-reduction  $(f, g)$  from the WIS problem to the  $\text{SSP}^s$  problem, following from which we can prove this result.

We first show how algorithm  $f$  is defined. Given an instance  $I_1$  of the WIS problem as input, which is a graph  $G(V, E)$  with a positive weight  $w(v)$  on each node  $v$ ,  $f$  outputs an instance  $I_2$  of the  $\text{SSP}^s$  problem, which consists of (1) two graphs  $G_1(V_1, E_1, L_1)$  and  $G_2(V_2, E_2, L_2)$  such that  $V_1 = V_2 = V$ ,  $E_1 = E$ ,  $E_2 = \emptyset$  and  $L_1(v) = L_2(u)$  iff  $v = u$ , (2) a similarity matrix  $\text{mat}()$  such that  $\text{mat}(v, u) = w(v)$  iff  $L_1(v) = L_2(u)$  for any nodes  $v$  in  $G_1$  and  $u$  in  $G_2$  and  $\text{mat}(v, u) = 0$  for other cases, and (3) a similarity threshold  $\xi = 1$ . It is easy to verify that algorithm  $f$  runs in PTIME.

Let  $s_1 = \{v_1, \dots, v_n\}$  be a set of nodes in  $G$ . Next we show



$s_2 = \{(v_1, v_1), \dots, (v_n, v_n)\}$  is a strong  $p$ -similarity mapping from subgraph  $G'_1[s_1]$  of  $G_1$  to  $G_2$  iff  $s_1$  is an independent set of  $G$ . If  $s_1$  is an independent set, then it is easy to know  $s_2$  is a strong  $p$ -similarity mapping from  $G'_1[s_1]$  to  $G_2$ , following from the definition of strong  $p$ -similarity. Conversely, if  $s_2$  is a strong  $p$ -similarity mapping from  $G'_1[s_1]$  to  $G_2$ , then we can easily verify for any nodes  $v_i, v_j$  ( $i \neq j$ ) in  $s_1$ ,  $(v_i, v_j)$  is not in  $E_1$ . Otherwise, since there are no edges in graph  $G_2$ , there is no way to find a descendant  $v_j$  of  $v_i$  in graph  $G_2$ , and this contradicts the definition of strong  $p$ -similarity. Following from this, it is easy to define algorithm  $g$ . That is, given a feasible solution  $s_2 = \{(v_1, v_1), \dots, (v_n, v_n)\}$  of the SSP<sup>s</sup> problem,  $g$  outputs a feasible solution  $s_1 = \{v_1, \dots, v_n\}$  of the WIS problem.

Now it is easy to verify that the weight of  $s_1$  is equal to the one of  $s_2$  (i.e.,  $\text{obj}_1(s_1) = \text{obj}_2(s_2)$ ), and  $s_1$  is the maximum independent set in  $I_1$  iff  $s_2$  is the *maximum overall similar* strong  $p$ -similarity mapping in  $I_2$  (i.e.,  $\text{opt}_2(I_2) = \text{opt}_1(I_1)$ ). Following from these, we show  $(f, g)$  is indeed an AFP-reduction from the WIS problem to the SSP<sup>s</sup> problem. Therefore, if the SSP<sup>s</sup> problem is approximable within  $O(|V_1|^{1-\epsilon})$  for some constant  $\epsilon$ , then the WIS problem must be approximable within  $O(|V_1|^{1-\epsilon})$ , which contradicts the hardness result for the WIS problem [20].

The strong  $p$ -similarity mapping above is indeed a 1-1  $p$ -similarity mapping. Following from this, the SSP<sup>s</sup> problem is verified. Let  $w(v) = 1$  for each node  $v$  in  $G_1$ , the AFP-reduction  $(f, g)$  above works for the CSP<sup>s</sup> problem and the CSP<sup>1-1</sup> problem.  $\square$

**Proof of Theorem. 5.1.** CSP<sup>s</sup>, CSP<sup>1-1</sup>, SSP<sup>s</sup> and SSP<sup>1-1</sup> are all approximable within  $O(\log^2(n_1 n_2)/(n_1 n_2))$ , where  $n_1$  and  $n_2$  are the numbers of nodes in input graphs  $G_1$  and  $G_2$ , respectively.

**Proof sketch:** We show that there exists an AFP-reduction  $(f, g)$  from the SSP<sup>s</sup> problem to the WIS problem, following from which we can show this result.

We first show how algorithm  $f$  is defined. Its input is an instance  $I_1$  of the SSP<sup>s</sup> problem, which consists of (1) two graphs  $G_1(V_1, E_1, L_1)$  and  $G_2(V_2, E_2, L_2)$ , (2) similarity matrix  $\text{mat}()$  on nodes between  $G_1$  and  $G_2$ , and (3) a similarity threshold  $\xi$ . Algorithm  $f$  first computes the *transitive closure*  $G_2^+(V_2, E_2^+, L_2)$  of graph  $G_2$ . Note that there is a path from nodes  $u_1$  to  $u_2$  in graph  $G_2$  iff there is an edge from nodes  $u_1$  to  $u_2$  in graph  $G_2^+$ . Then  $f$  produces graph  $G(V, E)$  with a positive weight on each node based on graphs  $G_1$  and  $G_2^+$ . Graph  $G$  is defined as follows:

(1)  $V = \{[v, u] | v \in V_1, u \in V_2, \text{mat}(v, u) \geq \xi\}$ . That is,  $G$  is a product graph of  $G_1$  and  $G_2$ .

(2) For any nodes  $[v_1, u_1], [v_2, u_2]$  in  $G$ , there exists an edge from  $[v_1, u_1]$  to  $[v_2, u_2]$  iff they satisfy one of the following conditions:

- $(v_1, v_2) \in E_1, v_1 \neq v_2$  and  $(u_1, u_2) \in E_2^+$ . Moreover, if there is a loop  $(v_1, v_1)$  (resp.  $(v_2, v_2)$ ) in  $G_1$ , then there must exist a loop  $(u_1, u_1)$  (resp.  $(u_2, u_2)$ ) in  $G_2^+$ ;
- $(v_1, v_2) \notin E_1, v_1 \neq v_2$ , and  $u_1, u_2$  are any nodes in  $G_2$  such that  $\text{mat}(v_1, u_1) \geq \xi$  and  $\text{mat}(v_2, u_2) \geq \xi$ . Moreover, if there is a loop  $(v_1, v_1)$  (resp.  $(v_2, v_2)$ ) in  $G_1$ , then there must exist a loop  $(u_1, u_1)$  (resp.  $(u_2, u_2)$ ) in  $G_2^+$ ;
- (3) for each node  $[v, u]$  in  $G$ , its weight is  $\text{mat}(v, u)$ .

Finally, algorithm  $f$  produces a graph  $G^c(V, E^c)$ , which is an instance  $I_2$  of the WIS problem. Graph  $G^c(V, E^c)$  is the *complementary* graph of  $G(V, E)$  such that an edge  $e \in E^c$  iff  $e \notin E$ . Note that graph  $G$  contains no self-loops. Also, we do not allow self-loops in  $G^c$ . It is easy to verify that algorithm  $f$  runs in PTIME.

Let  $s_2 = \{[v_1, u_1], \dots, [v_n, u_n]\}$  be a set of nodes in  $G^c$ . Next we show  $s_2$  is an independent set in graph  $G^c$  iff  $s_1 = \{v_1, u_1, \dots, v_n, u_n\}$  is a strong  $p$ -similarity mapping from

subgraph  $G_1[V'_1]$  of  $G_1$  to  $G_2$  such that  $V'_1 = \{v_1, \dots, v_n\}$ .

If  $s_2$  is an independent set of  $G^c$ , then there exists an edge in graph  $G$  between any nodes  $[v_i, u_i]$  and  $[v_j, u_j]$  ( $i \neq j$ ) of  $s_2$ . The construction of the edges in  $G$  guarantees the following: (1) If there is an edge from nodes  $[v_i, u_i]$  to  $[v_j, u_j]$ , then  $v_i \neq v_j$ . Moreover, if there is an edge from  $v_i$  to  $v_j$  in  $G_1$ , then there must exist a path from  $v_i$  to  $v_j$  in  $G_2$ , i.e., there exists an edge from  $v_i$  to  $v_j$  in  $G_2^+$ ; And (2) nodes with self-loops in  $G_1$  must be mapped to nodes with self-loops in  $G_2^+$ . Now it is easy to verify that  $s_1$  is a strong  $p$ -similarity mapping from  $G_1[V'_1]$  to  $G_2$ , following from the definition of strong  $p$ -similarity.

Conversely, if  $s_1$  is a strong  $p$ -similarity mapping from  $G_1[V'_1]$  to  $G_2$ , then we can easily show that for any nodes  $[v_i, u_i]$  and  $[v_j, u_j]$  ( $i \neq j$ ) of  $s_2$ , there exists an edge  $([v_i, u_i], [v_j, u_j])$  in  $G$ , following from which  $s_2$  is an independent set of  $G^c$ .

Putting these together, it is easy to define algorithm  $g$ . That is, given a feasible solution  $s_2 = \{[v_1, u_1], \dots, [v_n, u_n]\}$  of the WIS problem,  $g$  outputs a feasible solution  $s_1 = \{v_1, u_1, \dots, v_n, u_n\}$  of the SSP<sup>s</sup> problem.

Now it is easy to verify that the weight of  $s_1$  is equal to the one of  $s_2$  (i.e.,  $\text{obj}_1(s_1) = \text{obj}_2(s_2)$ ), and  $s_1$  is the *maximum overall similar* strong  $p$ -similarity mapping in  $I_1$  iff  $s_2$  is the maximum independent set in  $I_2$  (i.e.,  $\text{opt}_2(I_2) = \text{opt}_1(I_1)$ ). Following from these, we show  $(f, g)$  is indeed an AFP-reduction from the SSP<sup>s</sup> problem to the WIS problem. Since the WIS problem is approximable within  $O(n/\log^2 n)$ , where  $n$  is the number of graph nodes [20], then the SSP<sup>s</sup> problem must be approximable within  $O((|V_1||V_2|)/\log^2(|V_1||V_2|))$ .

For the SSP<sup>1-1</sup> problem, we need to add some extra edges to graph  $G_c$  above. For each node pair  $[v, u_1]$  and  $[v, u_2]$  ( $u_1 \neq u_2$ ), add an edge  $([v, u_1], [v, u_2])$  to  $G_c$ . In this way, we guarantee the independent  $s_2$  corresponds to a 1-1  $p$ -similar mapping.

For the CSP<sup>s</sup> (resp. CSP<sup>1-1</sup>) problem, the AFP-reduction  $(f, g)$  for SSP<sup>s</sup> (resp. SSP<sup>1-1</sup>) can be used. Moreover, all nodes are assigned equal weights in this case.  $\square$

**Proof of Proposition. 5.2.** For any two graphs  $G_1(V_1, E_1, L_1)$ ,  $G_2(V_2, E_2, L_2)$ ,  $\text{mat}()$  and  $\xi$ , algorithm  $\text{compMaxCard}^s$  finds a  $p$ -similarity mapping  $\sigma$  from a subgraph of  $G_1$  to  $G_2$  such that  $\text{qualCard}(\sigma)$  is within  $O(\log^2(|V_1||V_2|)/(|V_1||V_2|))$  of the optimal quality.

**Proof sketch:** Finding an independent set in a graph  $G$  is equivalent to finding a clique in its complementary graph, and vice versa. Accordingly, MAXIMUM INDEPENDENT SET is the same problem as MAXIMUM CLIQUE on the complementary graph, and vice versa.

Algorithm  $\text{compMaxCard}^s$  is a simulation of algorithm ISRemoval shown in Fig. 12, which aims to find a maximum clique of the graph. Algorithm ISRemoval is the dual of algorithm CliqueRemoval in [9], which aims to find a maximum independent set of the graph. One can easily find the resemblance between  $\text{compMaxCard}^s$  and ISRemoval.

The tricky part is the procedure  $\text{greedyMatch}$ , which corresponds to algorithm Ramsey (see a detailed explanation in [9]).  $(\sigma, I)$  is the return of procedure  $\text{greedyMatch}(H_1, H_2, H)$ , where  $\sigma$  and  $I$  correspond to a clique and an independent set in the product graph respectively.

Basically,  $\text{greedyMatch}$  simulates Ramsey as follows:

- Line 1 of  $\text{greedyMatch} \rightarrow$  line 1 of Ramsey;
- Line 2 of  $\text{greedyMatch} \rightarrow$  line 2 of Ramsey;
- In  $\text{greedyMatch}$   $H^+$  and  $H^-$  correspond to  $\mathcal{N}(v)$  and  $\overline{\mathcal{N}}(v)$  respectively. Lines 3–9 of  $\text{greedyMatch}$  compute  $H^+$  and  $H^-$ , where Ramsey does not explicitly mention this part;

**Algorithm ISRemoval***Input:* An undirected graph  $G(V, E)$ .*Output:* An independent set  $I$  of  $G$ .

1.  $i := 1$ ;  $(I_1, C_1) := \text{Ramsey}(G)$ ;
2. **while**  $V$  is not empty **do**
3.  $G := G \setminus C_i$ ;
4.  $i := i + 1$ ;  $(C_i, I_i) := \text{Ramsey}(G)$ ;
5. **return**  $\max(I_1, I_2, \dots, I_i)$ .

**Procedure Ramsey***Input:* An undirected graph  $G(V, E)$ .*Output:* An independent set  $I$  and a clique  $C$  of  $G$ .

1. **if**  $V = \emptyset$  **then return**  $(\emptyset, \emptyset)$ ;
2. choose some node  $v$  of  $G$  **do**
3.  $(C_1, I_1) := \text{Ramsey}(\mathcal{N}(v))$ ;  
*/\*subgraph  $\mathcal{N}(v)$  of  $G$  consists of the neighbors of  $v$ \*/*
4.  $(C_2, I_2) := \text{Ramsey}(\overline{\mathcal{N}}(v))$ ;  
*/\*subgraph  $\overline{\mathcal{N}}(v)$  of  $G$  consists of the non-neighbors of  $v$ \*/*
5.  $I := \max(I_1, I_2 \cup \{v\})$ ;  $C := \max(C_1 \cup \{v\}, C_2)$ ;
6. **return**  $(I, C)$ .

**Figure 12: Algorithm ISRemoval**

- Lines 10 and 11 of greedyMatch  $\rightarrow$  lines 3 and 4 of Ramsey respectively;
- Line 12 of greedyMatch  $\rightarrow$  line 5 of Ramsey;
- Line 13 of greedyMatch  $\rightarrow$  line 6 of Ramsey.

Putting these together, we conclude our algorithm compMaxCard<sup>s</sup> guarantees to find a  $p$ -similarity mapping  $\sigma$  from a subgraph of  $G_1$  to  $G_2$  such that  $\text{qualCard}(\sigma)$  is within  $O(\log^2(|V_1||V_2|)/(|V_1||V_2|))$  of the optimal quality.