

Lab 02B: Week 6 (Solutions)

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The **specific aims** of this lab are:

- practice using the sign test, Wilcoxon signed-rank and Wilcoxon rank-sum test
- develop an understanding of when is best to use non-parametric and parametric tests presented for testing means
- learn how to check the normality assumption

The unit **learning outcomes** addressed are:

- LO1 Formulate domain/context specific questions and identify appropriate statistical analysis.
- LO3 Construct, interpret and compare numerical and graphical summaries of different data types including large and/or complex data sets.
- LO5 Identify, justify and implement appropriate parametric or non-parametric statistical tests.
- LO8 Create a reproducible report to communicate outcomes using a programming language.

1 Group work

Answer the following questions in small groups.

Five sets of identical twins were selected at random from a population of identical twins. One child was selected at random from each pair to form an "experimental group." These five children were sent to school. The other five children were kept at home as a control group. At the end of the school year the following IQ scores were obtained.

Pair	Experimental group	Control group
1	105	100

1 Pair	110 Experimental group	112 Control group
2	125	120
3	139	128
4	142	135
5	127	126

1. Which of the tests that we have considered so far in DATA2002 can be applied here? Why might you use one instead of the other?

Paired t -test, sign test applied to the differences, Wilcoxon signed rank test. The paired t -test is the most powerful test when the assumptions are met. The sign test is very robust, but not very powerful. The Wilcoxon signed rank test is a compromise between the paired t -test and sign test, as it is reasonably robust and powerful.

2. If you were to proceed with a Wilcoxon signed rank test, calculate the test statistic.

Pair	Experimental group	Control group	Difference	Abs(Difference)	Rank	Signed rank
1	110	112	-2	2	2	-2
2	125	120	5	5	3	3
3	139	128	11	11	5	5
4	142	135	7	7	4	4
5	127	126	1	1	1	1

$$w^+ = 3 + 5 + 4 + 1 = 13 \text{ and } w^- = 2$$

3. Under the null hypothesis of no difference in the mean IQ score between the experimental and control group, what is the expected value of the Wilcoxon signed rank test statistic?

If there was no difference between the experimental and control group we'd expect the ranks to be evenly distributed across the positive and negative differences.

The total sum of the ranks is: $1+2+3+4+5 = 15$, so we'd expect to see $15/2 = 7.5$. I.e. $E(W^+) = E(W^-) = 7.5$.

4. If you had to guess which of the following p-values looks most reasonable for this example?
 - a. 0.0001
 - b. 0.9663
 - c. 0.0938

d. 0.4367

Don't perform the test in R, just think about the observed test statistic, the expected test statistic and the sample size.

c. 0.0938. There's some evidence of a difference, but we don't have many observations so we can't make a strong statement one way or the other.

2 Questions

2.1 Drug abuse and IQ

In a study of drug abuse in a suburban area, investigators found that the median IQ of arrested abusers who were 16 years of age or older was 107. The following table show the IQs of a random sample of 15 persons from another suburban area.

Subject	1	2	3	4	5	6	7	8	9
IQ	100	90	135	108	107	119	127	109	105

```
iq = c(100, 90, 135, 108, 107, 119, 127, 109, 105)
```

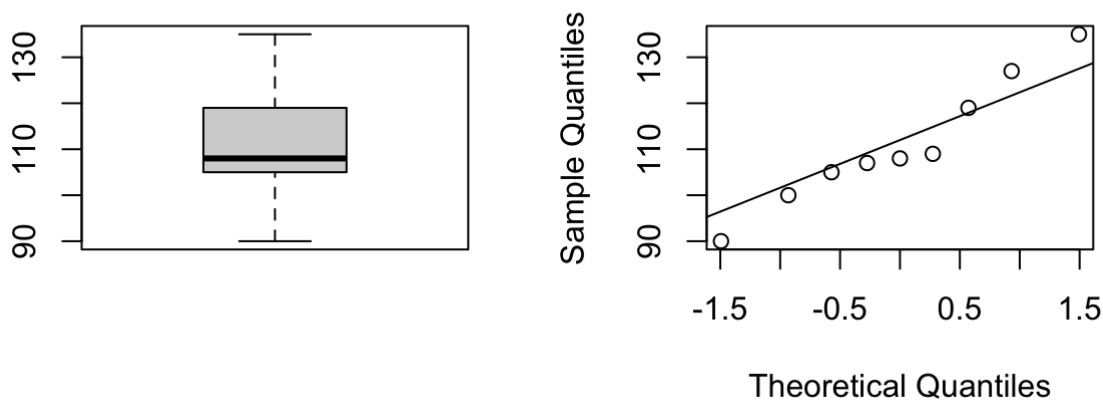
Check for normality in the data. Using the Wilcoxon signed-rank test can the researchers conclude that the mean IQ of arrested abusers who are 16 or older from the population of interest is higher than 107? Try calculating the test statistic **by hand** and use the normal approximation to identify the correct p-value from the options below. Confirm your calculations with R.

- a. $P(Z < -1.77) = 0.04$
- b. $P(Z > -0.77) = 0.78$
- c. $P(Z < -0.77) = 0.22$
- d. $P(Z > -1.77) = 0.96$

We can try to check for normality using a boxplot and QQ plot

```
par(mfrow = c(1, 2))
boxplot(iq)
qqnorm(iq)
qqline(iq)
```

Normal Q-Q Plot



It's hard to be sure with only 9 observations. In the QQ plot, the points are reasonably close to the line, so the normality assumption probably isn't wildly inappropriate, but let's err on the side of caution and go with a non-parametric test anyway.

The table of differences $d_i = x_i - 107$ is

Subject	1	2	3	4	5	6	7	8	9
x_i	100	90	135	108	107	119	127	109	105
$d_i = x_i - 107$	-7	-17	28	1	0	12	20	2	-2
$ d_i $	7	17	28	1	0	12	20	2	2
Rank of $ d_i $, r_i	4	6	8	1		5	7	2.5	2.5
Signed rank	-4	-6	8	1		5	7	2.5	-2.5

The effective sample size is $n = 8$ as one of the differences is 0 (neither positive or negative).

The Wilcoxon signed-rank test for the IQ of the arrested abusers who are 16 or older from the population of interest is

Hypotheses: $H_0: \mu = 107$ against $H_1: \mu > 107$.

Assumption: X_i are independently sampled from a symmetric distribution.

Test statistic: $W^+ = \sum_{i:D_i > 0} R_i$ where $D_i = X_i - 107$ and R_i are the ranks of $|D_1|, |D_2|, \dots, |D_n|$.

Observed test statistic: $w^+ = 8 + 1 + 5 + 7 + 2.5 = 23.5$ and $w^- = 4 + 6 + 2.5 = 12.5$

p-value: Since there are ties, normal approximation is used.

$$E(W^+) = \frac{n(n+1)}{4} = \frac{8(8+1)}{4} = 18$$

$$\text{Var}(W^+) = \frac{1}{4} \sum_{i=1}^8 r_i'^2 = \frac{1}{4} [4^2 + 6^2 + 8^2 + \dots + 2.5^2] = \frac{203.5}{4} = 50.875$$

$$P(W^+ \geq 23.5) = P\left(Z \geq \frac{23.5-18}{\sqrt{50.875}}\right) = P(Z \geq 0.77) = 0.220$$

```
library(tidyverse)
dat = tibble(iq)
dat = dat %>% mutate(
  d = iq - 107,
  abs_d = abs(d)
)
effective_dat = dat %>% filter(d != 0)
effective_dat$r = rank(effective_dat$abs_d)
w_plus = sum(effective_dat$r[effective_dat$d > 0])
w_minus = sum(effective_dat$r[effective_dat$d < 0])
n = nrow(effective_dat)
ew = n*(n+1)/4
varw = sum(effective_dat$r^2)/4
c(ew, varw)
```

```
[1] 18.000 50.875
```

```
t0 = (w_plus - ew)/(sqrt(varw))
p_val = 1 - pnorm(t0)
c(t0, p_val)
```

```
[1] 0.7710996 0.2203239
```

Decision: Since p-value is greater than 0.05, we do not reject H_0 . The data is consistent with H_0 that the IQ of the arrested abusers who are 16 or older from the population of interest is 107.

```
wilcox.test(iq, mu = 107, alternative = "greater", correct = FALSE)
```

Wilcoxon signed rank test

data: iq

V = 23.5, p-value = 0.2203

alternative hypothesis: true location is greater than 107

```
# alternatively wilcox.test(iq - 107, alternative = 'greater',
# correct = FALSE)
```

There is a parameter for the `wilcox.test()` function that indicates if a continuity correction should be used when calculating the p-value using a normal approximation (i.e. when there are ties or when the sample size is large). The default is `correct = TRUE`. In examples when we're comparing R output to our "manual" calculation I specify `correct = FALSE` to ensure the results match. In general though it's fine (and probably better) to leave it as the default setting `correct = TRUE`. In large sample sizes the difference will be negligible.

2.2 Weight gain

The weight of 5 pigs on diet X and 5 pigs on diet Y are

Diet X : {12, 16, 16, 12, 10} and diet Y : {30, 12, 24, 32, 24}.

Test if there is a difference in weight using the Wilcoxon rank-sum test. What is the corresponding p-value?

- a. $P(Z < -2.02) = 0.02$
- b. $2P(Z < -2.02) = 0.04$
- c. $P(Z < -0.43) = 0.33$
- d. $2P(Z > -0.43) = 0.66$

```
wdat = data.frame(  
  weight = c(12, 16, 16, 12, 10, 30, 12, 24, 32, 24),  
  diet = rep(c("X", "Y"), each = 5)  
)
```

We have $n_x = n_y = 5$ and $N = 10$. The ranks for the combined sample are

```
library(dplyr)  
wdat = wdat %>%  
  mutate(ranks = rank(weight))  
wdat
```

	weight	diet	ranks
1	12	X	3.0
2	16	X	5.5
3	16	X	5.5
4	12	X	3.0
5	10	X	1.0
6	30	Y	9.0
7	12	Y	3.0
8	24	Y	7.5
9	32	Y	10.0
10	24	Y	7.5

```
wdat %>%  
  group_by(diet) %>%  
  summarise(sum(ranks))
```

```
# A tibble: 2 × 2  
  diet `sum(ranks)`  
  <chr>      <dbl>  
1 X             18  
2 Y             37
```

Or a manual method of finding ranks:

Group	X	X	X	X	X	Y	Y	Y	Y	Y
Weight	12	16	16	12	10	30	12	24	32	24

Weight Group	\bar{X}	\bar{X}	\bar{X}	\bar{X}	\bar{X}	\bar{Y}	\bar{Y}	\bar{Y}	\bar{Y}	\bar{Y}
Ordered weight	10	12	12	12	16	16	24	24	30	32
Ordered group	X	X	X	Y	X	X	Y	Y	Y	Y
Successive rank	1	2	3	4	5	6	7	8	9	10
Ranks	1	3	3	3	5.5	5.5	7.5	7.5	9	10

Let μ_x and μ_y be the population mean weight for pigs on diets X and Y, respectively. The Wilcoxon rank-sum test for the difference between diet X and Y is

Hypotheses: $H_0: \mu_x = \mu_y$ vs $H_1: \mu_x \neq \mu_y$.

Assumptions: X_i and Y_i are independent and follow the same type of distribution, differing only by a shift.

Test statistic: $W = R_1 + R_2 + \dots + R_{n_x}$ (the sum of the ranks of observations in diet X). Under H_0 , $W \sim \text{WRS}'(5, 5)$, the Wilcoxon rank sum distribution with sizes 5, 5 and with ties as shown.

Observed test statistic: $W = 3 + 5.5 + 5.5 + 3 + 1 = 18$

p-value: $2P(W \leq 18)$. Since there are ties, we should use normal approximation to the distribution of W or derive the exact distribution of W . In this case, it doesn't matter whether one sums the ranks from the smaller or larger sample or whether the sum is in the lower or upper range.

$$E(W) = \frac{n_x(N+1)}{2} = \frac{5 \times (10+1)}{2} = 27.5$$

$$\frac{N(N+1)^2}{4} = \frac{10(10+1)^2}{4} = 302.5$$

$$\text{Var}(W) = \frac{n_x n_y}{N(N-1)} \left(\sum_{i=1}^N r_i^2 - \frac{N(N+1)^2}{4} \right) = \frac{5(5)(382-302.5)}{10(9)} = 22.083$$

$$2P(W \leq 18) \approx 2P\left(Z \leq \frac{w-E(W)}{\sqrt{\text{Var}(W)}}\right) = 2P\left(Z \leq \frac{18-27.5}{\sqrt{22.083}}\right) = 2P(Z \leq -2.02) = 0.04$$

```

nx = 5
ny = 5
N = nx + ny
ew = nx * (N + 1)/2
varw = (sum(wdat$rank^2) - N * (N + 1)^2/4) * nx * ny/(N * (N - 1))
c(ew, varw)

```

```
[1] 27.50000 22.08333
```

```

w = sum(wdat$rank[wdat$diet == "X"])
t0 = (w - ew)/sqrt(varw)
p_value = 2 * pnorm(t0)
c(w, t0, p_value)

```

```
[1] 18.00000000 -2.02158167 0.04321959
```

Decision: Since the p-value is less than 0.05 there is evidence against H_0 . There is evidence of a

Decision: Since the p-value is less than 0.05 there is evidence against H_0 . There is evidence of a significant difference in the population mean weights of pigs using diets X and Y.

```
wilcox.test(weight ~ diet, data = wdat, correct = FALSE)
```

Wilcoxon rank sum test

data: weight by diet

W = 3, p-value = 0.04322

alternative hypothesis: true location shift is not equal to 0

2.3 How fast can you type?

Ten executive assistants were selected at random from among the executive assistants at a large university. The typing speed (number of words per minute) was recorded for each executive assistant on two different brands of computer keyboards. The following results were obtained.

Executive Assistant	Brand A	Brand B
Amy	74	72
Bruce	80	86
Carol	68	72
Dion	74	70
Ellen	86	85
Fred	75	73
Gwen	78	72
Hugh	69	65
Ingrid	76	79
Kenneth	77	75

```
x = data.frame(
  ea = c("Amy", "Bruce", "Carol", "Dion",
        "Ellen", "Fred", "Gwen", "Hugh",
        "Ingrid", "Kenneth"),
  brand_a = c(74, 80, 68, 74, 86, 75, 78, 69, 76, 77),
  brand_b = c(72, 86, 72, 70, 85, 73, 72, 65, 79, 75)
)
```

- Add a column called `diff` to the data frame `x` that equals the difference between the Brand A speeds and the Brand B speeds. Also add a column `ranks` that has the ranks of the absolute values of the differences.
- Generate diagnostic plots to ascertain whether or not the differences are normally distributed. Discuss with reference to the diagnostic plots why you think the differences can or cannot be

Discuss, with reference to the diagnostic plots, why you think the differences can or cannot be reasonably assumed to follow a normal distribution.

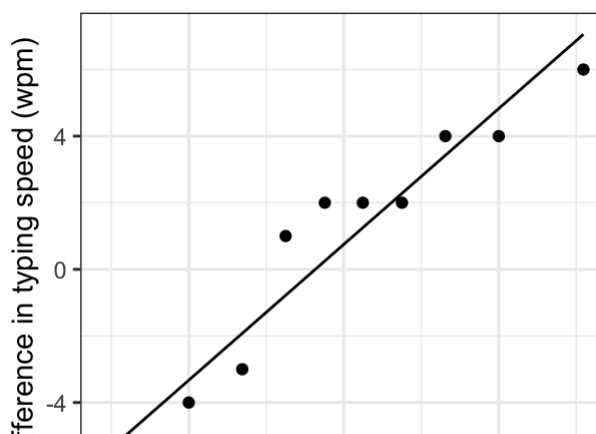
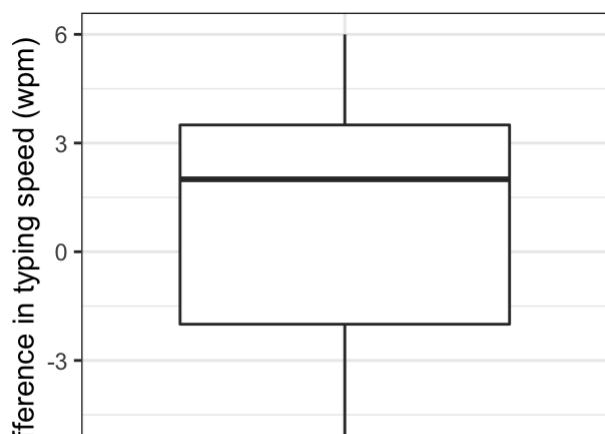
- Perform the **sign test** to determine if these data provide enough evidence at the 5% significance level to infer that the brands differ with respect to typing speed.
- Perform the **Wilcoxon signed-rank test** at the 5% level of significance.
- Use R to calculate the p-value for the paired t -test. Does the paired t -test come to the same decision as the sign test and Wilcoxon signed-rank test?
- Which test is better, the **sign test**, **Wilcoxon signed-rank test** or **paired t -test**? Why?

a. By hand, the table of differences is

$d_i = a_i - b_i$	2	-6	-4	4	1	2	6	4	-3	2
$ d_i $	2	6	4	4	1	2	6	4	3	2
Rank of $ d_i $, r_i	3	9.5	7	7	1	3	9.5	7	5	3
Signed rank	3	-9.5	-7	7	1	3	9.5	7	-5	3

In R:

```
x = x %>%
  mutate(
    diff = brand_a - brand_b,
    ranks = rank(abs(diff))
  )
library(ggplot2)
p1 = ggplot(x, aes(x = "", y = diff)) +
  geom_boxplot() + theme_bw() +
  labs(y = "Difference in typing speed (wpm)", x="")
p2 = ggplot(x, aes(sample = diff)) +
  geom_qq() +
  geom_qq_line() +
  theme_bw() +
  labs(y = "Difference in typing speed (wpm)", x="")
gridExtra::grid.arrange(p1, p2, ncol=2)
```





b. In the boxplot, the median isn't quite in the centre of the box and the top whisker is slightly shorter than the bottom whisker which indicates a left skew distribution. However, in the QQ plot the points all lie reasonably close to the diagonal line which suggests that the differences are approximately normally distributed.

c. The **sign test** for the difference in typing speeds for executive assistants on two different brands of computer keyboards is

Hypotheses: $H_0: p_+ = \frac{1}{2}$ against $H_1: p_+ \neq \frac{1}{2}$.

Assumptions: Differences, $D_i = A_i - B_i$, are independent.

Test statistic: $T = \#(D_i > 0)$. Under H_0 , $T \sim \mathcal{B}(10, 0.5)$.

Observed test statistic: $t_0 = \#(d_i > 0) = 7$.

p-value:

$$\begin{aligned}
 2P(X \geq 7) &= 2 \sum_{i=7}^{10} \binom{10}{i} 0.5^i 0.5^{10-i} \\
 &= 2 \times 0.5^{10} \left[\binom{10}{7} + \binom{10}{8} + \binom{10}{9} + \binom{10}{10} \right] \\
 &= 2 \times 0.000977 [10 \times 9 \times 8 / (3 \times 2) + 10(9)/2 + 10 + 1] \\
 &= 2 \times 0.1719 = 0.3438
 \end{aligned}$$

Decision: Since the p-value is greater than 0.05, we do not reject H_0 and conclude that there is no difference in the typing speeds for executive assistants on two different brands of computer keyboards.

```

t0 = sum(x$diff > 0)
n = sum(x$diff != 0)
c(t0, n)

[1] 7 10

2 * sum(dbinom(t0:n, n, 1/2))

[1] 0.34375

binom.test(t0, n)

```

Exact binomial test

```
data:  t0 and n
number of successes = 7, number of trials = 10, p-value =
0.3438
alternative hypothesis: true probability of success is not equal to 0.5
95 percent confidence interval:
 0.3475471 0.9332605

sample estimates:
probability of success
      0.7
```

d. The Wilcoxon signed-rank test:

Hypotheses: $H_0: \mu_d = 0$ against $H_1: \mu_d \neq 0$.

Assumptions: $D_i = A_i - B_i$ are independently sampled from a symmetric distribution.

Test statistic: $W^+ = \sum_{i: D_i > 0} R_i$ where R_i are the ranks of $|D_1|, |D_2|, \dots, |D_n|$.

Observed test statistic: $w^+ = 33.5, w^- = 21.5, w = \min(w^+, w^-) = 21.5$.

p-value: $2P(W \leq 21.5)$. We can use the normal approximation, noting that

$$E(W^+) = \frac{n(n+1)}{4} = \frac{10(10+1)}{4} = 27.5 \text{ and } \text{Var}(W^+) = \frac{1}{4} \sum_{i=1}^{10} r_i^2 = \frac{380.5}{4} = 95.125,$$

$$\begin{aligned} 2P(W \leq 21.5) &\approx 2P\left(Z \leq \frac{w - E(W^+)}{\sqrt{\text{Var}(W^+)}}\right) \\ &= 2P\left(Z \leq \frac{21.5 - 27.5}{\sqrt{95.125}}\right) \\ &= 2P(Z \leq -0.6152) \\ &= 2 \times 0.2692 \\ &= 0.5384 \end{aligned}$$

Decision: As the p-value is greater than 0.05, we do not reject H_0 . The data is consistent with H_0 that there is no difference in the typing speeds for executive assistants on two different brands of computer keyboards.

```
w_plus = sum(x$ranks[x$diff > 0])
w_minus = sum(x$ranks[x$diff < 0])
w = min(w_plus, w_minus)
n = length(x$ranks)
c(w_plus, w_minus, w, n)
```

```
[1] 33.5 21.5 21.5 10.0
```

```
ew = n * (n + 1)/4
varw = sum(x$ranks^2)/4
t0 = (w - ew)/sqrt(varw)
p_value = 2 * pnorm(t0)
```

```
p_value = 2 * pnorm(t0)
c(t0, p_value)
```

```
[1] -0.6151824 0.5384343
```

```
wilcox.test(x$diff, correct = FALSE)
```

Wilcoxon signed rank test

```
data: x$diff
V = 33.5, p-value = 0.5384
alternative hypothesis: true location is not equal to 0
```

e. The paired t -test can be calculated in R as follows:

```
t.test(x$diff)
```

One Sample t-test

```
data: x$diff
t = 0.65175, df = 9, p-value = 0.5309
alternative hypothesis: true mean is not equal to 0
95 percent confidence interval:
 -1.976715 3.576715
sample estimates:
mean of x
      0.8
```

The p-value for the paired t -test is 0.5309. Hence, we do not reject the null hypothesis at the 5% level of significance. This agrees with the conclusion from the sign test and the Wilcoxon signed-rank test.

f. The Wilcoxon signed-rank test is generally preferred to the sign test as they both have the same assumption (symmetry) but the signed-rank test uses more information and is therefore more powerful (better able to reject the null when the null is false). The t -test is the most powerful test *when the assumption of normality holds*. With only 10 observations, it's difficult to say that the normality assumption holds with any certainty, but it looks OK in the QQ plot. Hence the paired t -test is preferred. It is reassuring that all three tests come to the same decision.

2.4 Rats teaching rats

From a group of nine rats available for a study of transfer of learning, five were selected at random and were trained to imitate leader rats in a maze. They were then placed together with four untrained control rats in a situation where imitation of the leaders enable them to avoid receiving an electric shock. The results (the number of trials required to obtain ten correct responses in ten consecutive trials) were as follows:

Trained rats: {78, 64, 75, 45, 82} and Controls: {110, 70, 53, 51}.

Test if there is a difference in the number of trials required between the trained rats and the controls using the Wilcoxon rank-sum test given the following probabilities:

```
data.frame(x = 0:10, p = round(pwilcox(0:10, m = 4, n = 5), 4))
```

	x	p
1	0	0.0079
2	1	0.0159
3	2	0.0317
4	3	0.0556
5	4	0.0952
6	5	0.1429
7	6	0.2063
8	7	0.2778
9	8	0.3651
10	9	0.4524
11	10	0.5476

The ranks for the combined sample are

Trained	78	64	75	45	82	Control	110	70	53	51
Ranks	7	4	6	1	8		9	5	3	2

Let μ_x and μ_y be the population means for the trained and control groups, respectively. The Wilcoxon rank-sum test is

Hypotheses: $H_0: \mu_x = \mu_y$ vs $H_1: \mu_x \neq \mu_y$

Assumptions: X_i and Y_i are independent and follow the same distribution but differ by a shift.

Test statistic: $W = R_1 + R_2 + \dots + R_{n_y}$. Under H_0 , W follows the $WRS(4, 5)$ distribution.

Observed test statistic: $w = 9 + 5 + 3 + 2 = 19$

p-value: $2P(W \leq 19) = 2 \times 0.4524 = 0.9048$

$\min(W) = \frac{n_y(n_y+1)}{2} = 10$, so

```
pwilcox(19 - 10, m = 4, n = 5)
```

```
[1] 0.452381
```

Decision: Since the p-value is greater than 0.05, we conclude that the data is consistent with H_0 . There are no differences in the number of trials required between the trained rats and the controls.

In R:

```
rats = data.frame(
  trials = c(78, 64, 75, 45, 82, 110, 70, 53, 51),
  treatment = rep(c("Trained", "Control"), times = c(5, 4))
)
wilcox.test(trials ~ treatment, data = rats)
```

Wilcoxon rank sum exact test

data: trials by treatment

W = 9, p-value = 0.9048

alternative hypothesis: true location shift is not equal to 0

3 For practice after the computer lab

Read sections 14.1, 14.2 and 14.3 from Larsen and Marx (2012). You can look at questions 14.2.1, 14.2.2, 14.2.6, 14.2.7, 14.2.8, 14.2.9, 14.2.11, 14.3.1, 14.3.3, 14.3.4, 14.3.5, 14.3.6, 14.3.7, 14.3.8, 14.3.9 and 14.3.10.

3.1 Thinking about the WSR test statistic

When performing a Wilcoxon signed-rank test, in the event that there are no ties, you can calculate the exact p-value by deriving the exact distribution. Let W^+ be the Wilcoxon signed-rank distribution. When $n = 3$, find all the probabilities $P(W^+ \leq w^+)$ for $w^+ = 0, 1, 2, \dots$ [Hint: How many ways can you get each possible w^+ outcome?] Check your answers with the `psignrank()` function.

There are $2^3 = 8$ possible signed ranks

1, 2, 3	$w^+ = 6$;	-1, -2, -3	$w^+ = 0$
-1, 2, 3	$w^+ = 5$;	1, -2, -3	$w^+ = 1$
1, -2, 3	$w^+ = 4$;	-1, 2, -3	$w^+ = 2$
1, 2, -3	$w^+ = 3$;	-1, -2, 3	$w^+ = 3$

Each with probability $\frac{1}{8}$ under H_0 . Thus

$$\begin{aligned}
 P(w^+ \leq 0) &= \frac{1}{8} = 0.125 \\
 P(w^+ \leq 1) &= \frac{2}{8} = 0.250 \\
 P(w^+ \leq 2) &= \frac{3}{8} = 0.375 \\
 P(w^+ \leq 3) &= \frac{5}{8} = 0.625 \\
 P(w^+ \leq 4) &= \frac{6}{8} = 0.750
 \end{aligned}$$

$$P(w^+ \leq 5) = \frac{1}{8} = 0.125$$

$$P(w^+ \leq 6) = \frac{8}{8} = 1$$

```
| dsignrank(0:6, n = 3)
```

```
[1] 0.125 0.125 0.125 0.250 0.125 0.125 0.125
```

```
| psignrank(0:6, n = 3)
```

```
[1] 0.125 0.250 0.375 0.625 0.750 0.875 1.000
```

References

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