

---

“본 강의 동영상 및 자료는 대한민국 저작권법을 준수합니다. 본 강의 동영상 및 자료는 상명대학교 재학생들의 수업목적으로 제작·배포되는 것이므로, 수업목적으로 내려받은 강의 동영상 및 자료는 수업목적 이외에 다른 용도로 사용할 수 없으며, 다른 장소 및 타인에게 복제, 전송하여 공유할 수 없습니다. 이를 위반해서 발생하는 모든 법적 책임은 행위 주체인 본인에게 있습니다.”

---

## *2. Prologue*

---

2.1 Introduction

2.2 Computational complexity

2.3 Time complexity of common functions

**2.4 Recurrence relation**

2.5 Fibonacci

---

## 2.4 Recurrence relation

---

- Why recurrence relation?
- What is the time complexity of this function?

```
int Fib ( int n )  
{  
    if ( n == 0 || n == 1 )  
        return n;  
  
    return Fib (n-1) + Fib (n-2);  
}
```

$$T(n) = T(n - 1) + T(n - 2) + k$$

---

## 2.4 Recurrence relation

---

- Recurrence relation?

$$\begin{aligned}t_n &= at_{n-1} + b \\T(n) &= aT(n/b) + n^d\end{aligned}$$

- An equation in which each term of the sequence is defined as a function of the preceding terms
  - In many cases, the time complexity is represented by the recurrence relations
  - Solution
    - (1) Characteristic equation
    - (2) Repeated substitution or telescoping
    - (3) Master theorem
-

## ***2.4 Recurrence relation***

---

### **(1) Characteristic equation**

- An equation created from a recurrence relation by substituting  $t_n$  by  $x^n$
- Homogeneous recurrence relation

$$a_0 t_n + a_1 t_{n-1} + \dots + a_k t_{n-k} = 0$$

- Inhomogeneous recurrence relation

$$a_0 t_n + a_1 t_{n-1} + \dots + a_k t_{n-k} = b^n p(n)$$

---

## 2.4 Recurrence relation

---

### (1) Characteristic equation

- Homogeneous recurrence relations

$$a_0 t_n + a_1 t_{n-1} + \dots + a_k t_{n-k} = 0$$

#### – Strategy

1. Build a characteristic equation

– Set  $t_n = x^n$ .

$$a_0 x^n + a_1 x^{n-1} + \dots + a_k x^{n-k} = 0$$

2. Solve characteristic equation

$$a_0 x^k + a_1 x^{k-1} + \dots + a_k = 0$$

– Solutions:  $r_1, r_2, \dots, r_k$ .

3. Solution to the recurrence relation

$$t_n = \sum_{i=1}^k c_i r_i^n$$

---

## 2.4 Recurrence relation

---

### (1) Characteristic equation

- Homogeneous recurrence relations

- Example

- Example 1:

$$t_n - 3t_{n-1} - 4t_{n-2} = 0, \quad n \geq 2, t_0 = 0, t_1 = 1.$$

- Example 2:

$$t_n = t_{n-1} + t_{n-2}, \quad n \geq 2, t_0 = 0, t_1 = 1.$$

- Example 3:

$$t_n = 5t_{n-1} - 8t_{n-2} + 4t_{n-3}, \quad n \geq 3, t_0 = 0, t_1 = 1, t_2 = 2.$$

---

## 2.4 Recurrence relation

---

### (1) Characteristic equation

- Inhomogeneous recurrence relations

$$a_0 t_n + a_1 t_{n-1} + \dots + a_k t_{n-k} = b^n p(n)$$

- Case 1:  $p(n) = 1$

$$t_n - 2t_{n-1} = 3^n.$$

- Case 2:  $p(n)$ : a polynomial in  $n$  of degree  $d$

$$t_n - 2t_{n-1} = (n + 5)3^n.$$

---



## 2.4 Recurrence relation

---

### (1) Characteristic equation

- Inhomogeneous recurrence relations
  - Case 1:  $p(n) = 1$

$$t_n - 2t_{n-1} = 3^n.$$

- Convert to homogeneous recurrence relation and solve it.

$$\begin{aligned} t_{n+1} - 2t_n &= 3^{n+1} = 3 \cdot 3^n \\ 3t_n - 6t_{n-1} &= 3 \cdot 3^n \end{aligned}$$

$$t_{n+1} - 5t_n + 6t_{n-1} = 0$$

---

## 2.4 Recurrence relation

---

### (1) Characteristic equation

- Inhomogeneous recurrence relations
  - Case 2:  $p(n)$ : a polynomial in  $n$  of degree  $d$

$$t_n - 2t_{n-1} = (n+5)3^n.$$

- Convert to homogeneous recurrence relation and solve it.

$$\begin{aligned} 9t_n - 18t_{n-1} &= (n+5)3^{n+2} \\ t_{n+2} - 2t_{n+1} &= (n+7)3^{n+2} \\ -6t_{n+1} + 12t_n &= -6(n+6)3^{n+1} \end{aligned}$$

$$t_{n+2} - 8t_{n+1} + 21t_n - 18t_{n-1} = 0$$

---

## ***2.4 Recurrence relation***

---

### **(2) Repeated substitution**

- Continually substitute the recurrence relation on the right hand side
- Substitute a value into the original equation and then derive a previous version of the equation

#### **– Examples**

$$\textcircled{1} \quad T(n) = T(n-1) + n$$

$$T(1) = 1$$

$$\textcircled{2} \quad T(n) = 2T(n/2) + n$$

$$T(1) = 1$$

---

## 2.4 Recurrence relation

---

### (2) Repeated substitution

$$\textcircled{1} \quad T(n) = T(n-1) + n$$

$$T(1) = 1$$

$$T(n) = T(n-1) + n$$

$$T(n-1) = T(n-2) + (n-1)$$

$$T(n-2) = T(n-3) + (n-2)$$

...

$$T(2) = T(1) + 2$$

*Sum them all*

$$T(n) = T(1) + 2 + 3 + \dots + n = n(n+1)/2 = O(n^2)$$

---

## 2.4 Recurrence relation

---

### (2) Repeated substitution

$$\textcircled{2} \quad T(n) = 2T(n/2) + n$$

$$T(1) = 1$$

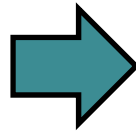
$$T(n) = 2T(n/2) + n$$

$$T(n/2) = 2T(n/4) + n/2$$

$$T(n/4) = 2T(n/8) + n/4$$

...

$$T(2) = 2T(1) + 2$$



$$T(n) = 2T(n/2) + n$$

$$2T(n/2) = 4T(n/4) + n$$

$$4T(n/4) = 8T(n/8) + n$$

...

$$2^{k-1} T(2) = 2^k T(1) + n$$
$$(n = 2^k)$$

$$\begin{aligned} T(n) &= n + n + \dots + n \\ &= (k+1)n = n \log n + n \\ &= O(n \log n) \end{aligned}$$

---

## 2.4 Recurrence relation

---

### (3) Master theorem

- If  $T(n) = aT\left(\left\lceil\frac{n}{b}\right\rceil\right) + O(n^d)$  for  $a > 0$ ,  $b > 1$ , and  $d \geq 0$ , then

$$T(n) = \begin{cases} O(n^d), & \text{if } d > \log_b a \\ O(n^d \log n), & \text{if } d = \log_b a \\ O(n^{\log_b a}), & \text{if } d < \log_b a \end{cases}$$

- Example: Merge sort

$$T(n) = 2T(n/2) + n$$

---

## *Quiz6*

---

Solve  $T(n)$  for the following questions.

–  $T(n) = 2 T(n/3) + c$

–  $T(n) = 3 T(n/2) + c$

–  $T(n) = 2 T(n/4) + n$

–  $T(n) = T(n/2) + c$

---