

1. Mark the following as True or False. Briefly but convincingly justify all of your answers using the definition of  $O(\cdot)$ ,  $\Theta(\cdot)$  and  $\Omega(\cdot)$

1.  $n = O(n \log(n))$ : **True**

Solution:

Given:  $T(n) = n$

We know that

$$1 \leq \log(n), \text{ for all } n \geq 2$$

On multiplying both sides with  $n$  we will get:

$$n \leq n \log(n), \text{ for all } n \geq 2$$

Since the above line is the summary / definition of  $O(\cdot)$  giving us

$$T(n) = O(n \log(n)), \text{ with } n_o = 2, c = 1$$

Which proves the given statement is True.

2.  $n^{1/\log(n)} = \Theta(1)$ : **True**

Solution:

Given:

$$T(n) = n^{1/\log_2(n)} \quad (1)$$

Since  $\log_2(2) = 1$ , we will replace it in eqn. (1)

$$T(n) = n^{\log_2(2)/\log_2(n)} \quad (2)$$

Applying property of logarithm:  $\frac{1}{\log_b(a)} = \log_a(b)$

$$T(n) = n^{\log_n(2)}$$

Since  $\log_n(n) = 1$  we get

$$T(n) = 2^1 = \text{constant}$$

Taking  $c_1 = 1$  and  $c_2 = 3$ :

$$c_1 \cdot 1 \leq T(n) = 2 \leq c_2 \cdot 1, \text{ for all } n \geq 0$$

Since the above line is the summary / definition of  $\Theta(\cdot)$  giving us

$$T(n) = \Theta(1) \text{ with } n_o = 0, c_1 = 1, c_2 = 3$$

Which proves the given statement is True.

3. If  $f(n) = \begin{cases} 5^n & \text{if } n < 2^{1000} \\ 2^{1000}n^2 & \text{if } n \geq 2^{1000} \end{cases}$  and  $g(n) = \frac{n^2}{2^{1000}}$ ,  
then  $f(n) = O(g(n))$ . **True**

We know that:

$$n^2 < 2n^2, \text{ for } n > 2$$

Multiplying by  $2^{2000}$  on both sides:

$$2^{2000}n^2 < 2^{2001}n^2, \text{ for } n > 2$$

$$2^{1000}n^2 < 2^{2001} \cdot \left(\frac{n^2}{2^{1000}}\right), \text{ for } n > 2$$

$$T(n) < 2^{2001} \cdot (g(n)), \text{ for } n > 2^{1000}$$

According to the definition of the  $O(\cdot)$  we can say that  $T(n) = O(g(n))$ , for  $c = 2^{2001}$ ,  $n_0 = 2^{1000}$ . Hence The Given statement is True.

4. For all the possible functions  $f(n), g(n) \geq 0$ , if  $f(n) = O(g(n))$ , then  $2^{f(n)} = O(2^{g(n)})$ : **False**  
Let us consider the following statement to be true:

$$\forall f(n), g(n) \geq 0, (f(n) = O(g(n))) \implies (2^{f(n)} = O(2^{g(n)})) \quad (1)$$

We Will disprove this statement by giving a contradictory example

Let's consider  $f(n) = 2n$  and we know that  $f(n) = O(n)$  for  $c = 3, n \geq 0$  which gives us  $g(n) = n$

Now putting the values of  $g(n)$  and  $f(n)$  in eqn. 1:

$$2^{f(n)} = O(2^{g(n)})$$

$$2^{2n} = O(2^n)$$

By the definition of  $O(\cdot)$  we can say that:

$$2^{2n} \leq c \cdot 2^n \quad \text{for some } n_0, c \text{ and } n \geq n_0$$

$$2^n \leq c \quad \text{for some } n_0, c \text{ and } n \geq n_0$$

But we know that  $2^n$  is an unbounded function and hence there cannot exist a constant  $c$  which will be always greater than or equal to the function, which contradicts the argument we made which means our assumption was wrong and  $2^{f(n)}$  is not  $O(2^{g(n)})$

Hence the given statement is False

5.  $5^{\log \log(n)} = O(\log(n)^2)$ : **False**

Solution:

Let us consider the given argument to be correct:

$$T(n) = 5^{\log \log(n)} = O(\log(n)^2) \quad (1)$$

Applying property of logarithm:  $n^{\log_b(a)} = a^{\log_b(n)}$

$$T(n) = \log(n)^{\log_2 5} \approx \log(n)^{2.3} \quad (2)$$

The given argument states that  $T(n) = O(\log(n)^2)$ . So according to definition of  $O(\cdot)$  and eqn. 2 we can say that

$$\begin{aligned} \log(n)^{2.3} &\leq c \cdot \log(n)^2 \text{ for some } n \geq n_0 \\ \log(n)^{0.3} &\leq c \text{ for some } n \geq n_0 \end{aligned}$$

But we know that  $\log(n)$  is an unbounded function and hence there cannot exist a constant  $c$  which will be always greater than the function, which contradicts the argument we made which means our assumption was wrong and  $T(n)$  is not  $O(\log(n)^2)$

Hence the given statement is False

6.  $n = \Theta(100^{\log(n)})$ : **False**

Using property of logarithm we can rewrite it as:

$$n = \Theta(n^{\log(100)}) \approx \Theta(n^{6.6}) \quad (1)$$

Since  $\Theta(\cdot)$  means both  $\Omega(\cdot)$  and  $O(\cdot)$  we first check  $\Omega(\cdot)$ :

We will use a proof by contradiction to disprove this. Suppose as per the definition of  $\Omega(\cdot)$ , there is some  $n_0$  and some  $c > 0$  such that for all  $n \geq n_0$ ,

$$n \geq c \cdot n^{6.6}.$$

Let us choose  $n = \max\{1/c, n_0\} + 1$ .

Then  $n > n_0$  but we will have  $n > 1/c$  which implies that  $c \cdot n^2 > n$  and since  $c \cdot n^{6.6} > c \cdot n^2$ , for  $n > 1$ , it also implies that  $c \cdot n^{6.6} > n$ . Which is a Contradiction to our assumption above about  $\Omega(\cdot)$ .

Since  $\Omega(\cdot)$  is not correct then  $\Theta(\cdot)$  is also Wrong, Hence the given statement is False.

2. **n-naught not needed.** Suppose that  $T(n) = O(n^d)$ , and that  $T(n)$  is never equal to  $\infty$ . Prove rigorously that there exists a  $c$  so that  $0 \leq T(n) \leq c \cdot n^d$  for all  $n \geq 1$ . That is, the definition of  $O(\cdot)$  holds with  $n_0 = 1$ .

Solution:

Let  $T(n)$  be an arbitrary polynomial function of order  $d$  and  $T(n) = O(n)$ :

$$T(n) = c_0 + c_1 \cdot n^1 + c_2 \cdot n^2 + \dots + c_{d-1} \cdot n^{d-1} + c_d \cdot n^d$$

We know that

$$\begin{aligned} |T(n)| &= |c_d \cdot n^d + c_{d-1} \cdot n^{d-1} + \dots + c_0| \leq |c_d| \cdot n^d + |c_{d-1}| \cdot n^{d-1} + \dots + |c_0| \\ |T(n)| &\leq |c_d| \cdot n^d + |c_{d-1}| \cdot n^{d-1} + \dots + |c_0| \end{aligned}$$

we can also say that:

$$|T(n)| \leq |c_d|n^d + |c_{d-1}|n^d + \dots + |c_1| \cdot n^d + |c_0| \cdot n^d, \text{ for all } n \geq 1$$

$$T(n) \leq \sum_{i=0}^d |c_i| \cdot n^d, \text{ for all } n \geq 1$$

Since the above line is the summary / definition of  $O(\cdot)$  which states that

$$T(n) = O(n^d)$$

Which asserts our assumption, meaning our assumption regarding Big-Oh of  $T(n)$  was correct. Hence Proved.

3. Solve the following recurrence relations; i.e. express each one as  $T(n) = O(f(n))$  for the tightest possible function  $f(n)$ , and give a short justification. Be aware that some parts might be slightly more involved than others. Unless otherwise stated, assume  $T(1) = 1$ .

1.  $T(n) = 2T(n/2) + 3n$

We can write the above statement as

$$T(n) = 2T(n/2) + O(n)$$

We apply the master theorem with  $a = b = 2$  and with  $d = 1$ . We have  $a = b^d$ , and so the running time is  $O(n^d \cdot \log_2(n)) = O(n \cdot \log_2 n)$ .

2.  $T(n) = 3T(n/4) + \sqrt{n}$

We can write the above statement as

$$T(n) = 3T(n/4) + O(n^{\frac{1}{2}})$$

We apply the master theorem with  $a = 3, b = 4$  and with  $d = \frac{1}{2}$ . We have  $a > b^d$ , and so the running time is  $O(n^{\log_b(a)}) = O(n^{\log_4(3)})$ .

3.  $T(n) = 7T(n/2) + \Theta(n^3)$

We can write the above statement as

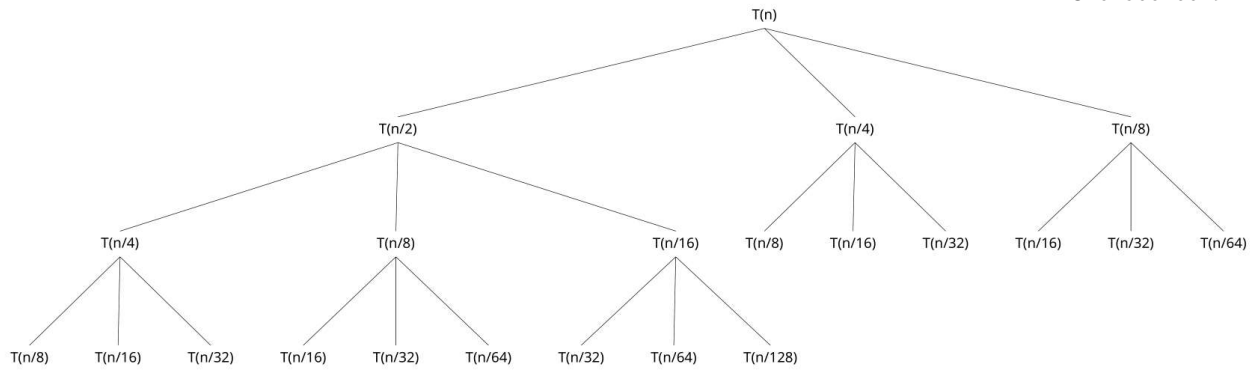
$$T(n) = 7T(n/2) + \Theta(n^3)$$

We apply the master theorem with  $a = 7, b = 2$  and with  $d = 3$ . We have  $a < b^d$ , and so the running time is  $O(n^d) = O(n^3)$ .

4.  $T(n) = 4T(n/2) + n^2 \cdot \log(n)$

We can write the above statement as

$$T(n) = 4T(n/2) + n^2 \cdot \log(n)$$



We Can See from the tree that at Each level the Problem divides into 4 sub-problems at each level So we will use Substitution : At Second Level:

$$T(n) = 4T(n/2) + n^2 \log(n)$$

$$T(n) = 4(4T(n/4) + n^2/4 \cdot \log(n/2)) + n^2 \log(n)$$

$$T(n) = 16(4T(n/8) + n^2/16 \cdot \log(n/4)) + n^2(\log(n) + \log(n/2))$$

...

$$T(n) = n^2(\log(n) + \log(n/2) + \log(n/4) + \log(n/8) + \dots)$$

$$T(n) = n^2 \cdot \sum_{i=0}^{\log(n)} \log\left(\frac{n}{2^i}\right) \approx n^2 \cdot \log(n) \cdot \log(n+1)$$

$$T(n) = n^2 \cdot (\log(n))^2$$

By the definition of  $O(\cdot)$  we came to the conclusion that:  $T(n) = O((n \cdot \log(n))^2)$ , where  $n_0 = 2, c = 2$ .

5.  $T(n) = 2T(n/3) + n^c$ , where  $c \geq 1$  is a constant

We can write the above statement as

$$T(n) = 2T(n/3) + n^c$$

We apply the master theorem with  $a = 2, b = 3$  and with  $d = c$ , where  $c \geq 1$ . We have  $a < b^c, \forall c \geq 1$  and so the running time is  $O(n^d) = O(n^c)$ .

6.  $T(n) = 2T(\sqrt{n}) + 1$ , where  $T(2) = 1$

Let us consider  $n = 2^{2^k}$

We can write the above statement as

$$T(2^{2^k}) = 2 \cdot T(\sqrt{2^{2^k}}) + 1$$

Which will be

$$T(2^{2^k}) = 2 \cdot T(2^{2^{k-1}}) + 1$$

Now we can apply substitution here

$$T(2^{2^k}) = 2(2^{2^{k-1}}) + 1$$

$$T(2^{2^k}) = 2(2 \cdot T(2^{2^{k-2}}) + 1) + 1$$

$$T(2^{2^k}) = 2^2(2 \cdot T(2^{2^{k-3}}) + 1) + 1 + 2$$

$$T(2^{2^k}) = 2^3(2 \cdot T(2^{2^{k-4}}) + 1) + 1 + 2 + 4$$

...

$$T(2^{2^k}) = 2^k \cdot T(2^{2^0}) + 1 + 2 + 4 + \dots + 2^{k-1}$$

$$T(2^{2^k}) = 2^k + 2^{k-1} + \dots + 4 + 2 + 1$$

$$T(2^{2^k}) = \sum_{i=0}^k 2^i = 2^{k+1} - 1$$

Which means that

$$T(2^{2^k}) \leq 2^{k+1}$$

On substituting back  $2^{2^k} = n$

$$T(n) \leq \log_2(\log_2(2^{k+1})) = \log_2(k+1)$$

$$T(n) \leq \log_2(k+1) \leq \log_2(k^2) \quad \forall k \geq 2$$

$$T(n) \leq \log_2(k^2) = 2 \cdot \log_2(k) \quad \forall k \geq 2$$

Since the above line is the summary / definition of  $O(\cdot)$  giving us

$$T(n) = O(\log(n)) \text{ for } c = 2, n_0 = 2$$

Hence the Algorithm has Complexity  $O(\log(n))$ .

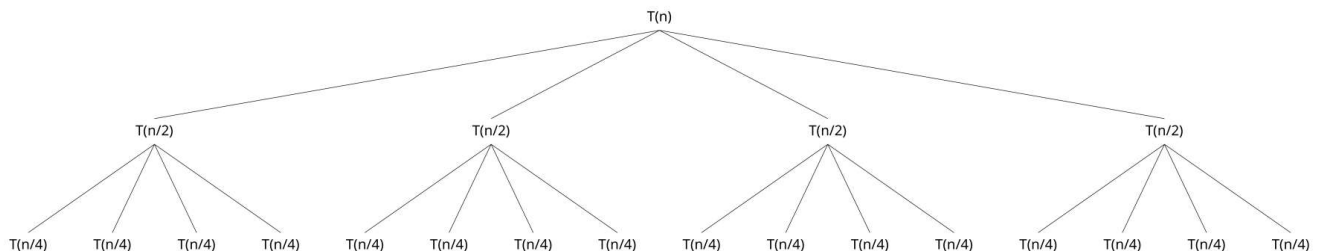
4. Different-sized sub-problems. (6 points) Solve the following recurrence relation.  $T(n) = T(n/2) + T(n/4) + T(n/8) + n$  where  $T(1) = 1$ .

Given Recurrence Relation:

$$T(n) = T(n/2) + T(n/4) + T(n/8) + n \quad (1)$$

Here we use substitution. Upon replacing the values of  $T(n/2)$ ,  $T(n/4)$  and  $T(n/8)$  we will get:

$$\begin{aligned} T(n) &= \{T(n/4) + T(n/8) + T(n/16) + n/2\} \\ &+ \{T(n/8) + T(n/16) + T(n/32) + n/4\} \\ &+ \{T(n/16) + T(n/32) + T(n/64) + n/8\} + n \end{aligned} \quad (1)$$



At the Top Level we have  $n$  operations,

At the Second Level we have  $n/2 + n/4 + n/8 = 7n/8$  operations

At the Third Level we have

$$\{n/4 + n/8 + n/16\} + \{n/8 + n/16 + n/32\} + \{n/16 + n/32 + n/64\} = 7n/8(n/2 + n/8 + n/16) = 49n/64$$

Similarly For each subsequent level the no. of operations will decrease down by a factor of  $7/8$

This happens because in the recurrence relation the sum of no. of operations of child Processes are  $1/2 + 1/4 + 1/8 = 7/8$  times the parent Process. Which will make the net number of operations:

$$T(n) = n + \frac{7n}{8} + \frac{49n}{64} + \dots \quad (1)$$

Considering it as an Infinite G.P. the total number of operations will be:

$$T(n) = n \cdot \sum_{i=0}^{\infty} \frac{7^i}{8^i} \quad (1)$$

$$T(n) = \frac{n}{1 - 7/8} = 8 \cdot n$$

Since  $T(n) = 8n \leq 9$ , for all  $n \geq 1$ . By the definition of  $O(\cdot)$

We can say that  $T(n) = O(n)$ .

5. What's wrong with this proof? (9 points) Consider the following recurrence relation:  $T(n) = T(n/5) + 10 \cdot n$  for  $n=5$ , where  $T(0) = T(1) = T(2) = T(3) = T(4) = 1$ . Consider the following three arguments.

1. Claim:  $T(n) = O(n)$ . To see this, we will use strong induction. The inductive hypothesis is that  $T(k) = O(k)$  for all  $5 \leq k < n$ . For the base case, we see  $T(5) = T(0) + 10 \cdot 5 = 51 = O(1)$ . For the inductive step, assume that the inductive hypothesis holds for all  $k < n$ . Then

$$T(n) = T(n - 5) + 10 \cdot n$$

and by induction  $T(n - 5) = O(n/5)$ , so

$$T(n) = O(n - 5) + 10 \cdot n = O(n)$$

This establishes the inductive hypothesis for  $n$ . Finally, we conclude that

$$T(n) = O(n) \text{ for all } n$$

2. Claim:  $T(n) = O(n)$ . To see this, we will use the Master Method. We have  $T(n) = a \cdot T(n/b) + O(n^d)$ , for

$$a = d = 1 \text{ and } b = \frac{1}{1 - 5/n}.$$

Then we have that  $a < b^d$  (since  $1 < 1/(15/n)$  for all  $n > 0$ ), and the master theorem says that this takes time  $O(n^d) = O(n)$ .

3. Claim:  $T(n) = O(n^2)$ . Imagine the recursion tree for this problem. (Notice that it's not really a "tree," since the degree is 1). At the top level we have a single problem of size  $n$ . At the second level we have a single problem of size  $n - 5$ . At the  $t$ 'th level we have a single problem of size  $n - 5t$ , and this continues for at most  $t = \lfloor n/5 \rfloor + 1$  levels. At the  $t$ 'th level for  $t \leq \lfloor n/5 \rfloor$ , the amount of work done is  $10(n - 5t)$ . At the last level the amount of work is at most 1. Thus the total amount of work done is at most

$$1 + \sum_{t=0}^{\lfloor n/5 \rfloor} 10(n - 5t) = O(n^2)$$

- Which, if any, of these arguments are correct?

**All the arguments are wrong.**

- For each argument that you said was incorrect, explain why it is incorrect. If you said that all three were incorrect, then give a correct argument.

In the Provided Proofs there are following mistakes:

1. In First Argument it was said that in Base case  $T(5) = T(0) + 10 \cdot 5 = 51 = O(1)$  which can not be said about  $T(n)$  since we put value of  $n$  in  $T(n)$  the output is the value of the function and we cannot say anything about the complexity.

$$b = \frac{1}{1 - 5/n}$$

2. In the Second Argument it is mentioned  $b = \frac{1}{1 - 5/n}$ , which is wrong since the value of  $b$  is not constant. Which is the reason we cannot use Master Theorem.

3. In the Third Argument  $1 + \sum_{t=0}^{\lfloor n/5 \rfloor} 10(n - 5t) = O(n^2)$  the Summation will range from 0 to  $n/5 - 1$ . which is given wrong.

**Correct Answer:**

Claim:  $T(n) = O(n^2)$ . Considering the recursion tree for this problem. At the top level we have a single problem of size  $n$ . At the first level we have a single problem of size  $n - 5$ . At the  $(t+1)$ 'th level we have a single problem of size  $n - 5t$ , and this continues for at most  $t = \lfloor n/5 \rfloor$  levels. At the  $(t+1)$ 'th level for  $t < \lfloor n/5 \rfloor$ , the amount of work done is  $10(n - 5t)$ . At the last level the amount of work is at most 1. Thus the total amount of work done is at most

$$1 + \sum_{t=0}^{\lfloor n/5 \rfloor - 1} 10(n - 5t) = O(n^2)$$