**Due:** August 24, 2019, 5pm

Please answer each of the following problems. Refer to the course webpage for the **collaboration policy**, as well as for **helpful advice** for how to write up your solutions.

- 1. Fun with Big-O notation. (6 points; 1 point each) Mark the following as True or False. Briefly but convincingly justify all of your answers, using the definitions of  $O(\cdot)$ ,  $\Theta(\cdot)$  and  $\Omega(\cdot)$ . [To see the level of detail we are expecting, the first question has been worked out for you.]
  - (z)  $n = \Omega(n^2)$ . This statement is False. To see this, we will use a proof by contradiction. Suppose that, as per the definition of  $\Omega(\cdot)$ , there is some  $n_0$  and some c > 0 so that for all  $n \ge n_0$ ,  $n \ge c \cdot n^2$ . Choose  $n = \max\{1/c, n_0\} + 1$ . Then  $n \ge n_0$ , but we have n > 1/c, which implies that  $c \cdot n^2 > n$ . This is a contradiction.
  - (a)  $n = O(n \log(n))$ .
  - (b)  $n^{1/\log(n)} = \Theta(1)$ .
  - (c) If

$$f(n) = \begin{cases} 5^n & \text{if } n < 2^{1000} \\ 2^{1000} n^2 & \text{if } n \ge 2^{1000} \end{cases}$$

and  $g(n) = \frac{n^2}{2^{1000}}$ , then f(n) = O(g(n)).

- (d) For all possible functions  $f(n), g(n) \ge 0$ , if f(n) = O(g(n)), then  $2^{f(n)} = O(2^{g(n)})$ .
- (e)  $5^{\log \log(n)} = O(\log(n)^2)$
- (f)  $n = \Theta\left(100^{\log(n)}\right)$
- 2. **n-naught not needed.** (3 points) Suppose that  $T(n) = O(n^d)$ , and that T(n) is never equal to  $\infty$ . Prove rigorously that there exists a c so that  $0 \le T(n) \le c \cdot n^d$  for all  $n \ge 1$ . That is, the definition of  $O(\cdot)$  holds with  $n_0 = 1$ . [We are expecting a rigorous proof using the definition of  $O(\cdot)$ ].
- 3. Fun with recurrences. (6 points; 1 point each)

Solve the following recurrence relations; i.e. express each one as T(n) = O(f(n)) for the tightest possible function f(n), and give a short justification. Be aware that some parts might be slightly more involved than others. Unless otherwise stated, assume T(1) = 1. [To see the level of detail expected, we have worked out the first one for you.]

- (z) T(n) = 6T(n/6) + 1. We apply the master theorem with a = b = 6 and with d = 0. We have  $a > b^d$ , and so the running time is  $O(n^{\log_6(6)}) = O(n)$ .
- (a) T(n) = 2T(n/2) + 3n
- (b)  $T(n) = 3T(n/4) + \sqrt{n}$
- (c)  $T(n) = 7T(n/2) + \Theta(n^3)$

- (d)  $T(n) = 4T(n/2) + n^2 \log n$
- (e)  $T(n) = 2T(n/3) + n^c$ , where  $c \ge 1$  is a constant (that is, it doesn't depend on n).
- (f)  $T(n) = 2T(\sqrt{n}) + 1$ , where T(2) = 1
- 4. Different-sized sub-problems. (6 points) Solve the following recurrence relation.

$$T(n) = T(n/2) + T(n/4) + T(n/8) + n,$$

where T(1) = 1. [We are expecting a formal proof. You may state your final running time with  $O(\cdot)$  notation, but do not use it in your proof.]

5. What's wrong with this proof? (9 points) Consider the following recurrence relation:

$$T(n) = T(n-5) + 10 \cdot n$$

for  $n \ge 5$ , where T(0) = T(1) = T(2) = T(3) = T(4) = 1. Consider the following three arguments.

1. Claim: T(n) = O(n). To see this, we will use strong induction. The inductive hypothesis is that T(k) = O(k) for all  $5 \le k < n$ . For the base case, we see  $T(5) = T(0) + 10 \cdot 5 = 51 = O(1)$ . For the inductive step, assume that the inductive hypothesis holds for all k < n. Then

$$T(n) = T(n-5) + 10n,$$

and by induction T(n-5) = O(n-5), so

$$T(n) = O(n-5) + 10n = O(n).$$

This establishes the inductive hypothesis for n. Finally, we conclude that T(n) = O(n) for all n.

2. **Claim:** T(n) = O(n). To see this, we will use the Master Method. We have  $T(n) = a \cdot T(n/b) + O(n^d)$ , for a = d = 1 and

$$b = \frac{1}{1 - 5/n}.$$

Then we have that  $a < b^d$  (since 1 < 1/(1-5/n) for all n > 0), and the master theorem says that this takes time  $O(n^d) = O(n)$ .

3. Claim:  $T(n) = O(n^2)$ . Imagine the recursion tree for this problem. (Notice that it's not really a "tree," since the degree is 1). At the top level we have a single problem of size n. At the second level we have a single problem of size n - 5. At the t'th level we have a single problem of size n - 5t, and this continues for at most  $t = \lfloor n/5 \rfloor + 1$  levels. At the t'th level for  $t \leq \lfloor n/5 \rfloor$ , the amount of work done is 10(n-5t). At the last level the amount of work is at most 1. Thus the total amount of work done is at most

$$1 + \sum_{t=0}^{\lfloor n/5 \rfloor} 10(n-5t) = O(n^2).$$

- (a) (3 points) Which, if any, of these arguments are correct? [We are expecting a single sentence stating which are correct.]
- (b) (6 points) For each argument that you said was incorrect, explain why it is incorrect. If you said that all three were incorrect, then give a correct argument. [We are expecting a few sentences of detailed reasoning for each incorrect algorithm; and if you give your own proof we are expecting something with the level of detail of the proofs above—except it should be correct!]