# Integration of advanced 3D SPECT modelling for pinhole collimators into the open-source STIR framework

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#### 2 ABSTRACT

- 3 Pinhole-SPECT systems are becoming increasingly important in clinical and preclinical nuclear
- 4 medicine investigations as they can provide a superior resolution-sensitivity tradeoff compared to
- 5 conventional parallel-hole and fan-beam collimators. Previously, open-source software did not
- 6 exist for reconstructing tomographic images from pinhole-SPECT datasets. A 3D SPECT system
- 7 matrix modelling library specific for pinhole collimators has recently been integrated into STIR —
- 8 an open-source software package for tomographic image reconstruction. The pinhole-SPECT
- 9 library enables corrections for attenuation and the spatially variant collimator-detector response by
- incorporating their effects into the system matrix. Attenuation corrections can be calculated with a
- 11 simple single line-of-response or a full model. The spatially variant collimator-detector response
- 3 The single line-of-response of a full model. The spatially variant commutator-detector response
- can be modelled with point spread function and depth of interaction corrections for increased
- 13 system matrix accuracy. Improvements to computational speed and memory requirements can
- be made with image masking. This work demonstrates the flexibility and accuracy of STIR's
- 15 forthcoming support for pinhole-SPECT datasets using measured and simulated single-pinhole
- 16 SPECT data from which reconstructed images were analyzed quantitatively and qualitatively. The
- 17 extension of the open-source STIR project with advanced pinhole-SPECT modelling will enable
- the research community to study the impact of pinhole collimators in several SPECT imaging
- 19 scenarios and with different scanners.
- 20 Keywords: Image reconstruction, molecular imaging, Monte Carlo methods, nuclear medicine, SPECT

#### 1 INTRODUCTION

- Single-photon emission computed tomography (SPECT) is based on the detection of individual  $\gamma$ -rays
- 22 emitted from a radiotracer distribution within a subject. An Anger camera detects the  $\gamma$ -rays with a
- 23 scintillating crystal and associated electronics after they have passed through a collimator. The collimator

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acts as a lens to form a 2D projection image identifying the direction from which the  $\gamma$ -rays originated, and a series of projection images acquired from different angles can be subsequently used to reconstruct the 3D 25 radiotracer distribution in a tomographic image. 26

The design of the collimator in terms of hole-size, material, and overall geometry, among other factors, affects the spatial resolution and sensitivity of a SPECT system. A number of designs exist including but not limited to parallel-hole, fan-beam, converging and diverging, slit-slat, coded-aperture, and single- and multi-pinhole collimators. Therefore, the choice of collimator design is application dependent in order to channel photons of different energies, magnify or minify images, or select between imaging quality and imaging speed (1). Although parallel-hole and fan-beam collimators are conventionally used when imaging small fields-of-view (FOVs), pinhole collimators can provide a superior resolution-sensitivity tradeoff (2). Besides the successful application of pinhole-SPECT systems in small-animal imaging, there has been a resurgence in the use of pinhole collimators for clinical cardiac and brain studies and when imaging small FOVs (3).

While the use of pinhole-SPECT has regained popularity in clinical and preclinical investigations of molecular imaging agents, there are no open-source software solutions available for reconstructing pinhole-SPECT datasets. However, recent efforts have led to the integration of a 3D SPECT system matrix modelling library for pinhole collimators into the open-source Software for Tomographic Image 40 Reconstruction (STIR). The STIR package is an object-oriented library implemented in C++ that provides a framework for research in the processing and reconstruction of emission tomography studies (4). Initially 42 written for support of positron emission tomography (PET) data, STIR was previously extended to handle 43 SPECT data with parallel- and converging-hole collimators (5, 6). The expansion of STIR's support for pinhole collimators marks the first open-source platform for reconstructing pinhole-SPECT datasets which is important in the advancement of molecular imaging techniques and technologies.

47 This work aims to demonstrate the forthcoming capabilities of STIR's support for pinhole-SPECT 48 datasets. This was achieved by integrating parts of the SPECT Reconstruction Library developed at the University of Barcelona (SRL-UB) into STIR (7, 8, 9, 10). The library enables corrections for the spatially 49 variant collimator-detector response and attenuation by incorporating their effects into the system matrix.

#### 2 **TECHNICAL DESCRIPTION**

Similar to the original SPECTUB implementation, the new pinhole-SPECT implementation is referred to as PinholeSPECTUB and includes a dedicated reader for SPECT projection data in interfile format (11). 52 The pinhole-SPECT interfile reader utilizes the projection matrix size, pixel scaling factor, and detector 53 radius according to the face of the scintillating crystal. Calculation of the system matrix is executed 54 with the ProjMatrixByBinPinholeSPECTUB projector class derived from the existing STIR 55 ProjMatrixByBin class which utilizes a detector and collimator text file in addition to the usual 56 STIR parameter file. The parameter file is a text file which uses an Interfile-like syntax. It is composed of keywords corresponding to the names of the various reconstruction and matrix parameters with the values 58 entered next to them. A detailed description of all parameters can be found in STIR's documentation. 59

The detector file defines the intrinsic resolution for point spread function (PSF) corrections, scintillating 60 crystal attributes for depth of interaction (DOI) corrections, and orbit information for the acquisition (i.e., 61 initial angle, number of angles, angular increment, direction of rotation, and axial position with respect to the reconstructed volume). Note that only circular camera orbits are supported at this time. The collimator file defines the radius of rotation and geometry for cylindrical or polygonal collimators (i.e., the detector 64

element exposed by the pinhole, hole position, shape, size, tilt, and acceptance angle). An illustration of 66 the pinhole-SPECT system matrix geometry is shown in Fig. 1.

## Figure 1 goes here.

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The system matrix weights the contribution of each image voxel along the line of response (LOR) to 68 each detector element. For increased system matrix accuracy, corrections can be made for the spatially 69 variant collimator-detector response function in terms of intrinsic PSF, DOI, and/or attenuation (ATT) 70 corrections by setting the appropriate fields in the parameter file. When PSF correction is disabled, a 71 72 geometrical approach is applied by considering the shadow projection of the pinhole on the detector for higher computational speed and reduced memory requirements compared to the PSF approach, but is less 73 74 accurate. When PSF correction is enabled, the shadow of the hole is convolved with the PSF in detector 75 space to account for blurring effects of the camera. Values parsed from the parameter file define the number of sigmas to consider in the PSF along with the subsampling factor to temporally reduce PSF resolution 76 for increased calculation accuracy before downsampling the final PSF to the bin size. Furthermore, when 77 78 PSF or DOI corrections are enabled, an additional parsed parameter sets the spatial resolution in which to sample PSF and DOI distributions. 79

Enabling DOI corrections subdivides the scintillating crystal using Bresenham's line algorithm to calculate the crystal attenuation and DOI along the LOR. If DOI correction is disabled, then half the crystal thickness is added to the detector radius. When ATT correction is enabled, a simple correction can be applied where the same attenuation factor is applied for the whole PSF, or a full correction can be applied where different attenuation factors are applied for each bin of the PSF (6). Further improvements to speed and memory can be made with image masking using the default cylinder, an attenuation map, or a mask file. The default cylinder is based on the object radius in the image volume. It is important to always set the object radius greater than or equal to the size of the object in the attenuation map or mask file when masking as the matrix weights are calculated according to this value, and failure to do so will result in an error. The projection matrix can be kept in memory or calculated per projection angle. In the latter case, the memory is released before starting calculations on a new angle, thereby reducing memory requirements but increasing computation time for iterative reconstruction algorithms.

#### **MATERIALS AND METHODS** 3

- To test the pinhole-SPECT implementation in STIR, Cubresa's novel silicon-photomultiplier (SiPM)-
- based preclinical SPECT system The Spark was used with a single-pinhole (SPH) collimator 93
- (Cubresa Inc., Winnipeg, Canada). This system was recently characterized with the National Electrical
- Manufacturers Association (NEMA) NU 1-2018 Standards for Performance Measurements of Gamma
- Cameras, and a corresponding Geant4 Application for Tomographic Emission (GATE) Monte Carlo model 96
- was validated (12). 97
- Simulations and image reconstructions were performed on an HP Z820 workstation operating Ubuntu 98
- 18.04.5 LTS with two Intel Xeon E5-2630 2.3 GHz hexa-core CPUs and 64 GB of 1600 MHz DDR3
- 100 memory. The SPH-SPECT data for quantitative image assessment was simulated with GATE v9.0 while
- qualitative image assessment was done with in vivo data. Tomographic images were reconstructed with 101
- STIR v5.0.2 on a single CPU core as the PinholeSPECTUB library has not yet been configured to use 102
- 103 the Message Passing Interface capabilities of STIR which would allow it to perform several computations

104 in parallel.

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#### 3.1 Quantitative assessment of reconstructed data

#### 3.1.1 Phantom simulations and data generation

Phantom data was simulated with three different subjects containing technetium-99m (<sup>99m</sup>Tc): a NEMA 107 Micro-PET IQ phantom, a mouse-sized NEMA triple line source scatter phantom, and a volumetric cylinder. 108 The IQ phantom (outer diameter  $\varnothing_{OD} = 33.5$  mm, length L = 63.0 mm) was made from polymethyl 109 methacrylate (PMMA) with three different regions of interest (ROIs): a spillover ROI with water and air, a 110 111 4, 5} mm, L = 20.0 mm). The triple line source scatter phantom ( $\varnothing_{OD}$  = 25.4 mm, L = 60.0 mm) was made 112 from acrylic housing three precision capillary tubes ( $\varnothing_{OD} = 0.8 \text{ mm}$ ,  $\varnothing_{ID} = 0.4 \text{ mm}$ ) with one located at the center and two with a 10.0 mm radial offset separated by 90 deg. The volumetric cylinder ( $\varnothing_{OD}$  = 28.0 mm, 114 L = 55.0 mm) was made from acrylic with a uniform ROI of radioactivity ( $\varnothing_{ID}$  = 26.0 mm, L = 21.0 mm). 115

Table 1 summarizes the simulated phantom acquisitions, projection and reconstruction matrices, 116 reconstruction algorithms, and applied analysis which are further described in the proceeding subsections. 117 Note that the Spark has a fixed rotation extent of 270 deg and NEMA's specification of a 3 deg angular 118 increment was used unless stated otherwise. GATE simulation results were output to Rapid Object-Oriented 119 Technology (ROOT) format and subsequently converted to Cubresa's list mode format. Projection data with 120 0.5 mm bins were generated from list mode data using a 30%-wide energy window centered at 140 keV. 121 Projection images were converted from Cubresa's format to Interfile format for use with STIR. Various 122 123 reconstruction algorithms and matrix corrections were then used to assess figures of merit in terms of computation cost, contrast-to-noise ratio, resolution, uniformity, and variability. 124

**Table 1.** Summary of simulated phantom acquisitions and reconstructions.

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Subject	Activity	Acquisition	Projections	Projection matrix	Reconstruction matrix	Algorithm <sup>1</sup>	Analysis <sup>2</sup>
IQ phantom	50 MBq	Forward proj.	64 (8 subsets)	104×104 px, 1.0 mm	120×92×92 vx, 0.5 mm	OSEM	Computation cost
		3600 s	91 (7 subsets)	208×208 px, 0.5 mm	230×184×184 vx, 0.25 mm	OSEM,	Hot rod CNR
						OSOSL,	
						OSSPS	
Line source	30 MBq	5460 s	91 (7 subsets)	208×208 px, 0.5 mm	230×184×184 vx, 0.25 mm	OSEM	Resolution
Cylinder	20 MBq	910 s	91 (7 subsets)	208×208 px, 0.5 mm	230×184×184 vx, 0.25 mm	OSEM	Uniformity & CV

<sup>&</sup>lt;sup>1</sup> OSEM: Ordered subsets expectation maximization, OSOSL: Ordered subsets one step late with median root prior (penalization factor, PF = 1.0), OSSPS: Ordered subsets separable paraboloidal surrogate with quadratic prior (PF = 0.3).

#### 126 3.1.2 Computation cost with different matrix corrections

To compare computation costs for different types of matrix corrections and masking, a forward projection 127 of the IQ phantom was made with 64 views over 360 deg (see Table 1). Memory requirements and 128 CPU time when storing the matrix in memory were compared to calculating it per projection angle. The 129 maximum RAM and CPU time were recorded with Ubuntu's /usr/bin/time -v command when 130 calling STIR's OSMAPOSL program from the command line. The ordered subset expectation maximization 131 (OSEM) algorithm was used with 8 subsets and 40 subiterations, and the matrix was calculated with 132 no corrections (N-C), attenuation correction (ATT-C), DOI correction (DOI-C), PSF correction (PSF-C), 133 all corrections (ATTDOIPSF-C), and all corrections with masking (ATTDOIPSFM-C) using the default 134 cylindrical mask ( $\emptyset = 34.0 \text{ mm}$ ) (13). 135

<sup>&</sup>lt;sup>2</sup> CNR: Contrast-to-noise ratio, CV: Coefficient of variation.

## 136 3.1.3 Contrast-to-noise ratios in the IQ phantom

- Sample sinograms of the IQ phantom hot rods are shown in Fig. 2 from the GATE simulation and the
- 138 STIR forward projection including ATT, DOI, and PSF effects. Visual agreement between these sinograms
- 139 supports that the implementation of the PinholeSPECTUB projector matrix in STIR is suitable for
- 140 pinhole-SPECT datasets.
- To compare different reconstruction algorithms, the simulated IQ phantom was used to assess contrast-to-
- 142 noise ratios CNR for each hot rod i:

$$CNR_i = \frac{|I_i - I_{ref}|/(I_i + I_{ref})}{\sigma/\mu}.$$
 (1)

- Here,  $I_i$  is the mean intensity of the  $i^{th}$  hot rod delineated by the attenuation map,  $I_{ref}$  is the mean intensity
- of the reference ROI central to the hot rods ( $\emptyset = 5.4$  mm, L = 15.0 mm), and  $\sigma$  and  $\mu$  are the standard
- deviation and mean intensity, respectively, in the ROI central to the uniform volume ( $\emptyset = 18.0$  mm,
- 146 L = 11.25 mm). To elaborate, the cylindrical ROIs covered 60% of the active diameter and 75% of the
- 147 active length based on NEMA's methodology, with the exception of the hot rod ROIs which used the entire
- 148 diameter and length in analysis. Note that the coefficient of variation CV is represented by the denominator
- 149 in Eq. 1:

$$CV = -\frac{\sigma}{\mu}.$$
 (2)

- 150 The reconstruction algorithms chosen for comparison of CNR were OSEM, the ordered subsets one step
- 151 late algorithm with median root prior (OS-OSL-MRP) using a penalization factor of PF = 1.0 (14), and the
- ordered subsets separable paraboloidal surrogate algorithm with quadratic prior (OS-SPS-QP) using PF =
- 153 0.3 and relaxation parameters of  $\alpha = 1.0$  and  $\gamma = 0.1$  (15). The OS-SPS-QP algorithm was initialized with
- 154 the OSEM image after 21 subiterations.

# 155 3.1.4 Resolution in the scatter phantom

- To compare resolution with different types of corrections available in the PinholeSPECTUB library,
- 157 the triple line source scatter phantom projection images were reconstructed with the OSEM algorithm in
- 158 the following configurations: N-C, ATT-C, DOI-C, PSF-C, and ATTDOIPSF-C. In-plane resolution was
- 159 calculated according to NEMA's methodology from the average full width at half maximum (FWHM) in x
- 160 and y directions from three 3.5 mm-thick transverse slices: one at the center, and two at  $\pm$  14.5 mm. The
- 161 average of all x and y FWHM results were then calculated.

#### 162 3.1.5 Uniformity and variability in the volumetric cylinder

- 163 To compare uniformity and variability with different types of corrections available in the
- 164 PinholeSPECTUB library, the volumetric cylinder projection images were also reconstructed with
- the OSEM algorithm in the following configurations: N-C, ATT-C, DOI-C, PSF-C, and ATTDOIPSF-C.
- 166 Variability was assessed from the coefficient of variation using Eq. 2, and uniformity U was assessed as

$$U = \frac{I_{\text{max}} - I_{\text{min}}}{I_{\text{max}} + I_{\text{min}}} \tag{3}$$

where  $I_{\rm max}$  and  $I_{\rm min}$  refer to the maximum and minimum intensities in the ROI central to the uniform

168 volume ( $\emptyset = 15.6 \text{ mm}, L = 15.75 \text{ mm}$ ).

#### 169 3.2 Qualitative assessment of reconstructed in vivo data

An *in vivo* dataset was chosen to demonstrate qualitative image quality from an investigation of novel

171 radiotracers for Alzheimer's disease diagnosis (16, 17). As summarized in Table 2, a B6SJLF1/J mouse

was administered an intravenous tail-vein injection with an iodine-123 (123I)-labelled cholinesterase agent

173 with subsequent scan commencing 2 h post-injection. The reconstructed image was visually inspected for

174 uptake in different organs.

**Table 2.** Summary of *in vivo* acquisition and reconstruction.

Subject	Activity	Acquisition	Projections	Projection matrix	Reconstruction matrix	Algorithm
In vivo mouse	28 MBq	3600 s	91 (7 subsets)	208×208 px, 0.5 mm	230×184×184 vx, 0.25 mm	OSEM

#### 4 RESULTS

# 4.1 Quantitative assessment of reconstructed data

# 76 4.1.1 Computation cost with different matrix corrections

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**Table 3.** Maximum RAM and CPU time required in SPH-SPECT OSEM reconstruction.

	Matrix in r	nemory	Matrix per projection		
Correction type <sup>1</sup>	Max RAM (MB)	CPU time (s)	Max RAM (MB)	CPU time (s)	
N-C	4519	57	172	162	
ATT-C	4528	227	181	1141	
DOI-C	7877	632	225	3484	
PSF-C	12025	137	298	422	
ATTDOIPSF-C	17012	1417	378	7802	
ATTDOIPSFM-C	9875	780	264	4334	

<sup>&</sup>lt;sup>1</sup> N-C: No corrections, ATT-C: Attenuation correction, DOI-C: DOI correction, PSF-C: PSF correction, ATTDOIPSF-C: all corrections, and ATTDOIPSFM-C: All corrections with masking using the default cylindrical mask (Ø = 34.0 mm).

#### 178 4.1.2 Contrast-to-noise ratios in the IQ phantom

- 179 Figure 4 goes here.
- 180 4.1.3 Resolution in the scatter phantom
- 181 Figure 5 goes here.
- 182 4.1.4 Uniformity and variability in the volumetric cylinder
- 183 Figure 6 goes here.

# 184 4.2 Qualitative assessment of reconstructed in vivo data

- 185 Figure 7 goes here.
  - 5 DISCUSSION
  - 6 CONCLUSIONS

# CONFLICT OF INTEREST STATEMENT

Dalhousie University and Cubresa share an academic-industry research collaboration.

#### **AUTHOR CONTRIBUTIONS**

- MS wrote the ProjMatrixByBinPinholeSPECTUB class to integrate prototype pinhole-SPECT
- system matrix estimation software into STIR. MS also performed data collection, image reconstruction, 188
- analysis, and wrote the manuscript. CF wrote the prototype pinhole-SPECT system matrix estimation 189
- software. All authors contributed to the revision of the manuscript and read and approved the final draft. 190

# **FUNDING**

- This work was supported in part by a Nova Scotia Graduate Scholarship, a series of NSERC grants in
- collaboration with Cubresa Inc., a CFI grant (37854), and a CIHR grant (PJT- 153319). The software is
- 193 maintained in part by CCP SyneRBI (EPSRC Grant EP/T026693/1).

# **ACKNOWLEDGMENTS**

- 194 The authors would like to thank Drs. Sultan Darvesh, G. Andrew Reid, and Ian Pottie for allowing the
- acquisition of a single-pinhole SPECT dataset for this study during the investigation of a novel radiotracer 195
- for Alzheimer's disease diagnosis as well as Christa Davis for performing the tail-vein injection. The main 196
- author would like to thank the Collaborative Computation Project (CCP) for supporting the integration 197
- of the software into the open-source STIR framework. The authors would also like to thank Dr. Daniel 198
- Deidda for engaging in discussion during the development of the integration software. 199

#### DATA AVAILABILITY STATEMENT

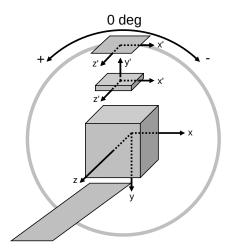
- 200 The datasets used and/or analyzed during the current study are available from the corresponding author on
- reasonable request. 201

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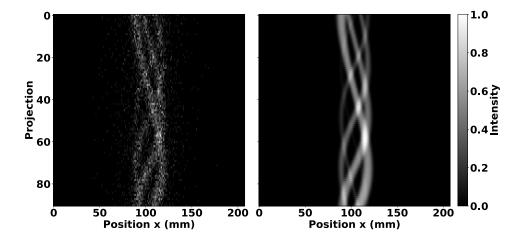
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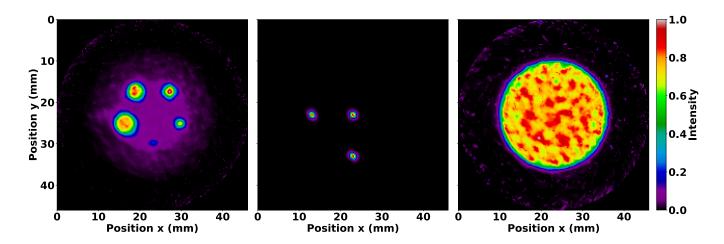
#### FIGURE CAPTIONS



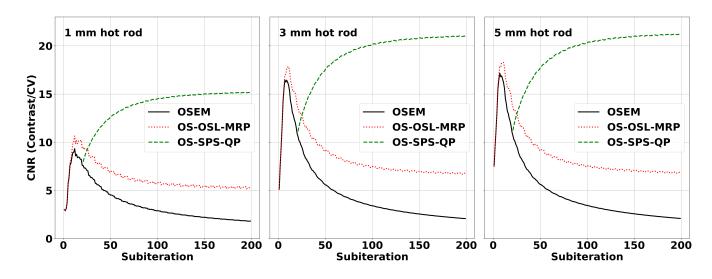
**Figure 1.** PinholeSPECTUB system of reference and sign criteria illustrated for a polygonal collimator setup. Note that the projection matrix adheres to STIR's coordinate system as indicated by the x, y, and z axes. The detector and collimator use a rotating frame of reference where the transaxial x' and axial z' axes coincide with STIR's axes when the detector is at 0 deg. The collimator uses a right-handed coordinate system as indicated by the y' axis which points toward the detector. Further information is given in the text and in STIR's documentation.



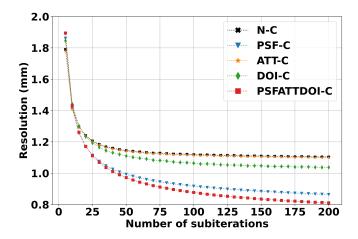
**Figure 2.** Projection of IQ phantom hot rod region displayed in a 2D sinogram arrangement showing the GATE simulated data (**left**) and the STIR forward projection of the radioactive source distribution adding PSF, DOI, and ATT degradations (**right**).



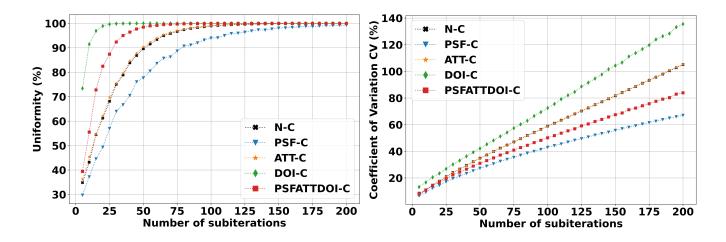
**Figure 3.** Simulated data. Axial sum of normalized OSEM images after 35 subiterations with no matrix corrections and seven subsets for the IQ phantom hot rods (**left**), mouse-sized NEMA line source phantom (**middle**), and volumetric cylinder (**right**). The IQ phantom image was summed over the length of the hot rods whereas the other images were summed over the entire length of the reconstructed image. Note the expected distributions of <sup>99m</sup>Tc.



**Figure 4.** IQ phantom hot rod CNR plots comparing OSEM (solid line), OS-OSL with median root prior (dotted line), and OS-SPS with quadratic prior (dashed line) over subiterations for the 1 mm hot rod (**left**), 3 mm hot rod (**middle**), and 5 mm hot rod (**right**). All images were reconstructed with no matrix corrections and seven subsets, and the OS-SPS-QP reconstruction was initialized using the OSEM image after 21 subiterations. Hot rod contrast was calculated relative to the central inter-rod region void of <sup>99m</sup>Tc, and CV was calculated in the uniform <sup>99m</sup>Tc region.

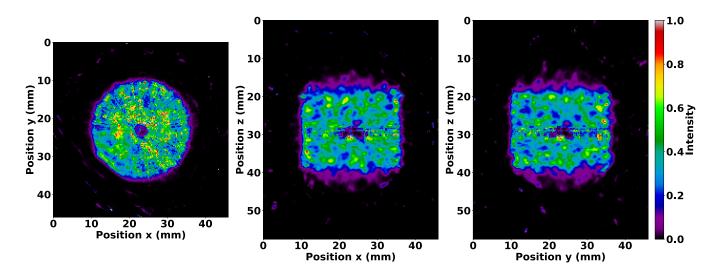


**Figure 5.** SPECT spatial resolution with scatter in the mouse-sized NEMA triple line source phantom using the OSEM algorithm with seven subsets.



**Figure 6.** SPECT uniformity (**left**) and variability (**right**) in the volumetric cylinder using the OSEM algorithm with 7 subsets.

**Figure 7.** Sagittal fused SPECT/CT of the *in vivo* mouse with a window ranging from 0 to 1. The <sup>123</sup>I distribution shows a nonpersistent tracer in the brain.



**Figure 8.** Slices of the volumetric cylinder reconstructed with OSEM in 35 subiterations using DOI correction where image values were thresholded between 0 and 1. The DOI correction bug is illustrated in the central axial (**left**), coronal (**middle**), and sagittal (**right**) planes. The bug affects voxels within a small angle from the pinhole axis as seen along the pinhole trajectory in a 270 deg counter-clockwise acquisition starting at 180 deg. The axial view shows the formation of a multi-armed cross or 'star shot' artifact, and all views show the compounding effect at the isocenter due to the intersection of LORs affected by the bug.