GCalignR. An R package for aligning Gas-Chromatography data

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Abstract Chemical signals are among the most fundamental and oldest means of animal communication. The desire to unravel broader patterns of chemical communication in birds and mammals paved the way for two not entirely new techniques, gas-chromatography and mass-spectrometry, in the fields of ecology and evolution. Comparing chemical profiles or chromatograms across many individuals yields some major obstacles as even the newest GC machines have an inherent error when measuring the retention times of chemical substances. Here we present GCalignR, an R package for the alignment of chromatography peaks among samples prior to hypothesis testing using multivariate statistics. GCalignR is specifically designed to be used by non-chemists by providing easy to use functions to check and align gas-chromatography data based on retention times. In addition, the package implements heatmaps and other plots to evaluate and potentially adjust the peak alignment. We hope that GCalignR will provide a tool that fits into a common biologist's workflow in R and that the package will contribute to the standardization and reproducibility of studies on chemical communication.

Introduction

Chemical cues are arguably the most common mode of communication among animals (Wyatt, 2014). Patterns in complex chemical signatures can therefore yield information about phylogenetic relatedness (de Meulemeester et al., 2011), sexual maturation (Caspers et al., 2011), kinship (Bonadonna and Sanz-Aguilar, 2012; Krause et al., 2012; Stoffel et al., 2015) and genetic quality (Charpentier et al., 2010; Leclaire et al., 2012; Stoffel et al., 2015). One of the most common approaches for resolving the chemical composition of samples is gas-chromatography (GC), which can rapidly detect and quantify molecules within a sample to generate a characteristic chromatogram or chemical profile (McNair and Miller, 2011). Although GC is relatively rapid and inexpensive, making it attractive for studies of non-model organisms, individual molecules are characterised according to their retention times, making them effectively anonymous. An additional mass-spectrometry step (GC-MS) can provide further details of the chemical composition of individual molecules, allowing them to be compared to existing databases where available.

GC provides a fast and effective means of resolving broad patterns of chemical similarity, but relies heavily on the correct alignment of homologous substances, represented by specific peaks, across samples. However, peak alignment is not necessarily straightforward as it is necessary to account for perturbations in retention times caused by subtle, random and often unavoidable experimental variation including changes in ambient temperature, flow rate of the carrier gas and column ageing (Scott, 2003; Pierce et al., 2005). Variation in peak intensities both within and among samples can also contribute towards errors in characterising chemical profiles.

GC is widely used in behavioural and ecological studies of (usually) non model organisms, such as birds, mammals and insects, where the goal is often to investigate broad chemical patterns. These studies tend to use GC-MS less often than studies of humans and other model organisms, both because GC-MS is relatively expensive and because many of the chemicals that are resolved often reveal limited homology to currently available databases. However, aligning GC data is non-trivial due to the anonymous nature of the many peaks. Consequently, although a number of programs are available for aligning GC-MS data, which make use mass spectrograms, we are only aware of a single program that can handle GC data (Dellicour and Lecocq, 2013). As a result, most studies of mammalian and avian chemical communication have relied on manual alignment and peak calling (Drea et al., 2013), which is time-consuming, particularly for large samples of individuals, can be biased and subjective, and is not strictly reproducible.

Here, we introduce GCalignR, an R package that implements a simple algorithm to align peaks based on retention time data obtained by GC and provides sophisticated visualisations for the evaluation of alignment quality. First of all, the check_input function is used to ensure that the data are formatted correctly (figure, (1)). Second, the align_chromatograms function is used to align the data (figure (2)) as follows: (i) systematic shifts of chromatograms are corrected by applying appropriate linear shifts to whole chromatograms based on a single reference sample; (ii) retention times of individual peaks are grouped iteratively together with homologous peaks of other samples and aligned within the same row in a retention time matrix; and (iii) rows with similar retention times are merged where appropriate. Third, diagnostic plots allow the resulting alignments to be visually inspected (figure (3)), thereby facilitating optional pre-processing or re-alignment of the data (figure (4)). Finally,

to compensate for differences in total chemical concentrations among samples, measures of peak abundance (e.g. peak area or peak height) can be normalised using the function norm_peaks (figure (5)).

Implementing GC alignment and checking within R brings several advantages over currently available stand-alone programs. First, the code is open source, which facilitates flexibility and transparency in data analysis. Second, all computational steps can be integrated into R Markdown documents (Allaire et al., 2016), thereby enhancing reproducibility. Finally, our package provides a seamless transition from the processing of the peak data through to downstream analysis within other widely used R packages for multivariate analysis, e.g. vegan (Oksanen et al., 2016).

The Package

GCalignR contains functions to align peaks from GC and GC-MS data based on retention times and evaluate the resulting alignments. The main aim of the package is to provide a simple tool that guides the user through the alignment of large datasets prior to the statistical analysis of multivariate chemical data. A typical workflow for the analysis of chemical signatures in GCalignR is shown in figure 1 and described below. The package vignette provides a detailed description of all of the functions and their arguments and can be accessed via browseVignettes('GCalignR') after the package has been installed. The workflow is described below and the standard input format of GCalignR is a tab-delimited text file, as illustrated in the vignette.

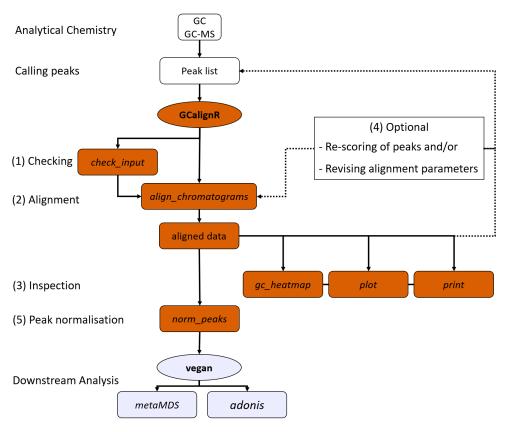


Figure 1: GCalignR workflow. In addition to the alignment of substances across samples, the package provides functions for checking and inspecting the data. The aligned data are ready to use for analyses in conjunction with other packages. Each function is explained within the main text.

Example dataset

The functionality of GCalignR is illustrated using GC data from skin swabs of 41 Antarctic fur seal *Arctocephalus gazella* mother-pup pairs from two neighbouring breeding colonies at South Georgia in the South Atlantic (Stoffel et al., 2015). The chemical data associated with these samples are provided in the file peak_data.txt, which is distributed with the package. Additional data on colony membership and age-class are provided in the data frame peak_factors.RData.

Table 1: Important paramters altering the alignment of chemical datasets using the function align_chromatograms

Paramter	Description
blanks	Character vector containing the names of negative control samples (blanks) that are used to identify and remove contaminants
data	Path to a tab-delimited text file list containing the chemical data. See the vignette for an example and alternative input formats
del_single_peak	Logical that implements the optional functionality to remove unique substances from the aligned dataset
max_diff_peak2mean	Numeric value defining the allowed deviation of the retention time of a focal peak from the mean of the corresponding row during peak alignment
max_linear_shift	Numeric value (in minutes) that defines the range that is considered for the adjustment of linear shifts in peak retention times among samples
min_diff_peak2peak	Numeric values defining the expected minimum difference in retention times among substances. Rows that are more similar than the threshold value will be merged, if no conflict emerges due to the presence of peaks in more than one row within a single sample.
rt_col_name	Name of the variable containing retention times of peaks. The name needs to correspond to a variable included in the chemical data
reference	Name of a sample that will be used as reference to adjust linear shifts in peak retention times across samples. By default, a reference is automatically selected

Alignment of GC peaks among samples

As outlined briefly above, the core algorithm in align_chromatograms (table 1) implements three consecutive manipulations of the peak data (figure i-iii) together with two further optional manipulations (figure iv and v). These are described in detail below.

(i) Linear adjustments of chromatograms

First, all peaks within a chromatogram are shifted with respect to a reference chromatogram to account for systematic shifts in retention times among homologous chemicals shared among samples (figure i). This procedure is implemented for all of the samples in such a way that the number of shared peaks is maximised. The parameter max_linear_shift defines the maximum range of linear shifts that are considered by the function. This approach clearly relies on their being a sufficient number of substances shared among the samples. In the absence of shared substances, the function will be unlikely to find a suitable shift and consequently the chromatograms will remain untransformed. By default, a reference is selected automatically by searching for the sample with the highest average similarity to all other samples based on the number of shared peaks prior to alignment. Optionally, the alignment can be implemented using an internal standard (labelled 'reference') containing substances that are known a priori to occur in most or all of the samples.

(ii) Peak alignment

Individual peaks are aligned across samples by comparing the peak retention times of each sample consecutively with the mean of all previous samples (figure ii). If the focal cell within the matrix contains a retention time that is larger than the mean retention time of all previous cells within the same row plus a user-defined threshold (equation (1)), that cell is moved to the next row.

$$rt_{m} > \left(\frac{\sum_{i=1}^{m-1} rt_{i}}{m-1}\right) + max_diff_peak2mean$$
 (1)

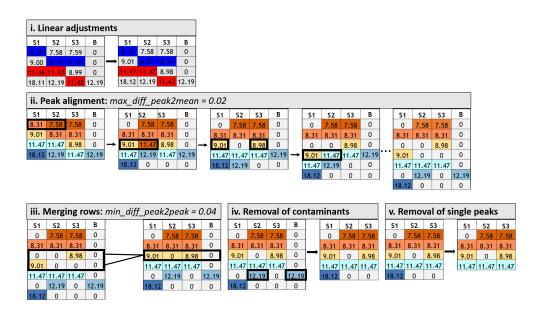


Figure 2: Overview of the alignment algorithm implemented in GCalignR uising a hypothetical dataste. Within each matrix, rows correspond to substances and columns correspond to samples. Consecutive manipulations of the matrices are shown from left to right. Zeros indicate absence of peaks and are therefore not considered in computations. i. Chromatograms are linearly shifted with respect to a reference (here S2). Linear adjustments in this example are conducted based on two peaks coloured in blue and red. colouring of cells in ii-v refer to the substance identity in the final alignment and black rectangles indicate conflicts that cause manipulations. ii. Peaks are aligned row by row. Initially, always the second sample is compared to the first. Then the next sample is compared to all of the samples in previous columns until the last column is reached. iii. If merging does not result in the loss of any data, rows are merged. iv. If specified, all peaks found in one or more blanks (negative controls) are removed as well as the blank itself. v. Optionally, unique peaks present in a single sample are not of interest for similarity analyses and can be removed as well.

where rt = retention time; $m = focal cell and max_diff_peak2mean defines the user-defined threshold deviation from the mean retention time.$

If the focal cell contains a retention time that is smaller than the mean retention time of all previous cells within the same row minus a user-defined threshold (equation (2)), all previous retention times are then moved to the next row.

$$rt_m < \left(\frac{\sum_{i=1}^{m-1} rt_i}{m-1}\right) - max_diff_peak2mean \tag{2}$$

After the last retention time of a row has been evaluated, this procedure is repeated for the next row until the end of the retention time matrix is reached.

(iii) Merging rows

Occasionally, due to minor variation in retention times, homologous peaks can be sorted into different, but adjacent, rows in different samples. However, this results in a clear pattern whereby some of the samples will have a retention time in one of the rows while the other samples will have a retention time in an adjacent row. Consequently, the function merges adjacent rows when this does not cause any loss of information (i.e. no sample exists that contains substances in both rows, (figure iii). Again, the user can define the threshold for the minimal difference in the retention time between two mergeable peaks with min_diff_peak2peak.

(iv) Removal of contaminants

After aligning peaks, the package offers several optional post-processing steps for cleaning up the data. First of all, negative control samples, if available, can be used to remove potential contaminants, including unwanted chemical substances in laboratory reagents or within the gas chromatography column. Chemical data for negative controls can be included in the input file and, by specifying these samples as blanks, align_chromatograms will remove all substances present in the controls from the aligned dataset (figure iv).

(v) Removal of single peaks

Frequently, substances occur only within a single sample. For comparative approaches based on similarity matrices, these substances are often not informative and can be removed from the dataset. align_chromatograms implements the removal of unique substances (figure v) when the delete_single_peak argument is set to TRUE.

Workflow

Here, we demonstrate a typical workflow in GCalignR using the fur seal dataset as an example. All of the alignment steps described above are implemented within the function align_chromatograms. A list of user-defined parameters and their descriptions can be accessed from the documentation in the helpfile by typing <code>?align_chromatograms</code>. Prior to peak alignment, the check_input function interrogates the input file for typical formatting errors and missing data. We encourage the use of unique names for samples consisting only of letters, numbers and underscores. If the data fail to pass this quality test, indicative warnings will be returned to assist in error correction. As this function is executed internally prior to any alignment, the data need to pass this check before the alignment can begin.

In order to begin the alignment procedure, the following code needs to be executed:

```
min_diff_peak2peak = 0.08, # threshold for merging of rows max_linear_shift = 0.05, # threshold for linear adjustments delete_single_peak = TRUE, # removes unique peaks blanks = c("C2","C3")) # removes contaminants
```

Afterwards, a summary of the alignment process can be retrieved using the printing method, which summarises the function call including defaults that were not altered by the user. This provides all of the relevant information to retrace every step of the alignment procedure.

print(aligned_peak_data) # verbal summary of the alignment procedure

```
#> Summary of Peak Alignment running align_chromatograms
#> Input: peak_data
#> Start: 2017-02-01 18:04:11 Finished: 2017-02-01 18:41:11
#>
#> Call:
#>
    GCalignR::align_chromatograms(data=peak_data, rt_col_name=time,
    max_linear_shift=0.05, blanks=(C2, C3), sep=\t, rt_cutoff_low=NULL,
#>
    rt_cutoff_high=NULL, reference=NULL, max_diff_peak2mean=0.02,
#>
    min_diff_peak2peak=0.08, delete_single_peak=FALSE)
#>
#>
#> Summary of scored substances:
      total blanks retained
#>
        490
                171
#>
#> In total 490 substances were identified among all samples. 171 substances were
#>
    present in blanks. The corresponding peaks as well as the blanks were removed
    from the data. 319 substances are retained after all filtering steps.
#>
#>
#> Sample overview:
#>
    The following 84 samples were aligned to the reference 'P31':
    M2, M3, M4, M5, M6, M7, M8, M9, M10, M12, M14, M15, M16, M17, M18, M19, M20,
#>
    M21, M23, M24, M25, M26, M27, M28, M29, M30, M31, M33, M35, M36, M37, M38, M39,
#>
    M40, M41, M43, M44, M45, M46, M47, M48, P2, P3, P4, P5, P6, P7, P8, P9, P10,
#>
    P12, P14, P15, P16, P17, P18, P19, P20, P21, P23, P24, P25, P26, P27, P28, P29,
#>
    P30, P31, P33, P35, P36, P37, P38, P39, P40, P41, P43, P44, P45, P46, P47, P48
#>
#> For further details type...
     'gc_heatmap(aligned_peak_data)' to retrieve heatmaps
#>
     'plot(aligned_peak_data)' to retrieve further diagnostic plots
#>
```

As alignment quality may vary with the parameter values selected by the user, the plot function can be used to output four diagnostic plots. These allow the user to explore how the parameter values affect the resulting alignment and can help flag issues with the raw data.

```
plot(aligned_peak_data) # diagnostic plots, can be envoke separately as well
```

Figure a shows the distribution of peak numbers across samples both before and after the alignment. In this example dataset, we specified two negative controls (blanks): C2 and C3. After the removal of substances shared with the controls as well as unique substances, the number of post-alignment peaks is significantly reduced across samples. For details of the number of removed substances, type print(aligned_peak_data). Figure b shows a histogram of linear shifts of entire samples implemented by the function align_chromatograms. The majority of samples were not shifted at all, whereas a small number were shifted between -0.01 and 0.01 minutes. c shows a histogram of the amount of variation among the samples in the retention times of single substances (defined as retention times that were aligned based on user-defined criteria). In this example, the distribution shows a left-skew, indicating that the majority of substances vary less than 0.05 minutes. Finally, figure d shows the extent of sharing of substances across samples. This shows a typical pattern whereby most of the substances are found in a small number of samples but there a number of substances are also present in most of the samples.

Additionally, the full alignment can be visualised using a heat map with the function gc heatmap.

```
gc_heatmap(aligned_peak_data, type = "binary", threshold = 0.05)
```

The resulting heatmap for the example dataset (figure) shows all of the post-alignment peaks across all samples and substances. Filled cells represent peaks whereas empty cells indicate the absence

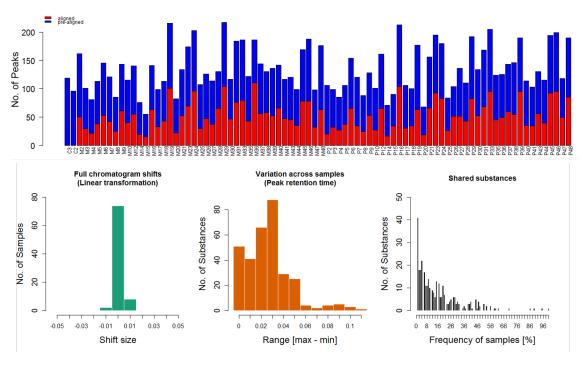


Figure 3: Diagnostic plots summarise aligned datasets.



Figure 4: Heatmaps allow to inspect the distribution of substances across samples as well as the variability of their retention times. Substances on the horizontal axis are ordered by retention times, increasing from left to right.

of a given substance within a sample. Substances that deviate by less than a defined threshold value (in this case, 0.05 minutes) from the mean retention time across all samples are shown in light blue. Red cells indicate a deviation that is larger than 0.05 minutes and thereby flag potentially problematic alignments. For the example dataset, only a scattering of larger deviations are observed and these do not appear to be clustered within samples or substances.

Peak normalisation

In order to account for differences in the total concentration of samples, we provide an additional function normalise_peaks that can be used to normalise peak abundances.

Downstream analyses

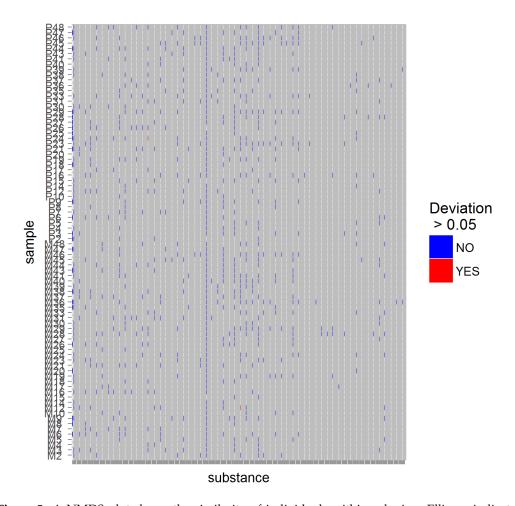
The output of GCalignR is compatible with other functionalities in R, thereby providing a seamless transition between packages. For instance, multivariate analyses can be conduced using the package **vegan**. To visualise patterns of chemical similarity within the fur seal dataset in relation to breeding colony membership, we implemented non-metric-multidimensional scaling (NMDS) based on a Bray-Curtis dissimilarity matrix and visualised the outcome using **ggplot2** (Wickham, 2009).

Figure reveals a clear pattern in which seals from the two colonies cluster separately based on their chemical profiles, as shown also by Stoffel et al. (2015). Although a sufficient number of standards were lacking to calculate the internal error rate (as shown below for the bumblebee datasets), the strength of this pattern, together with the rarity of substances invoking large deviations from the mean retention time (figure), suggests that the alignment implemented by GCalignR is of high quality. To explore this further, we introduced random errors into the raw dataset, re-aligned the resulting datasets, and then quantified the strength of clustering by colony using the function adonis from the package vegan, which performs a permutation-based multivariate analysis of variance ("permutational manova", (Anderson, 2001)). The introduced errors followed a Gaussian profile with -0.02 to 0.02. For each error rate value, defined as the proportion of peaks within each sample with random errors, we generated 10 datasets.

Introducing errors into the fur seal dataset affected both the total number of scored substances as well as the strength of the detected pattern (figure). The total number of substances scored gradually increased with increasing error rate (figure a) as higher variation in retention times caused peaks to be split into more than one substance. In parallel, Adonis R2 values fell linearly up to an error rate of 0.5 before levelling off at around 0.07 (say something about significance, still highly significant!). This suggests both that the original dataset is clearly structured and that the results of the alignment procedure within GCalignR are relatively robust to low to moderate (i.e. < 0.2) rates of peak calling error.

Validation based on error rates of known substances

To further assess the performance of GCalignR, we calculated alignment error rates based on three previously published bumblebee datasets comprising known substances identified using GC-MS (Dellicour and Lecocq, 2013). The first dataset comprises 24 *Bombus bimaculatus* individuals characterised



 $\textbf{Figure 5:} \ \ A \ \ NMDS \ plot \ shows \ the \ similarity \ of \ individuals \ within \ colonies. \ \ Ellipses \ indicate \ a \ confidence \ level \ of \ 0.95.$

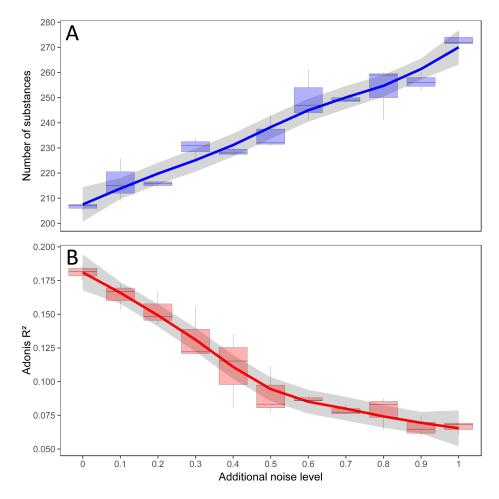


Figure 6: Influence of additional noise (random errors) on the total number of scored substances and the strength of the colony effect.

for 32 substances (total = 717 retention times). The second comprises 20 *B. ephippiatus* individuals characterised for 42 substances (total = 782 retention times) and the third comprises 11 *B. flavifrons* individuals characterised for 44 substances (total = 457 retention times). We calculated the error rate as the ratio between incorrectly assigned retention times and the total number of retention times (equation (1)).

$$Error = \left[\frac{N_{missaligned}}{N_{total}}\right] \tag{3}$$

where N denotes the number of retention times defined as being misaligned (i.e. not assigned to the row that defines the mode of a given substance). By systematically changing the two parameters $\max_{diff_peak2mean}$ and $\min_{diff_peak2peak}$, we explored 100 parameter combinations to explore how parameter values affect the alignment accuracy. All three datasets show generally low error rates, typically between 3–5% for most parameter combinations, although low values of the parameter $\min_{diff_peak2peak}$ tend to be associated with somewhat higher error rates, especially when $\max_{diff_peak2mean}$ is also low (figure).

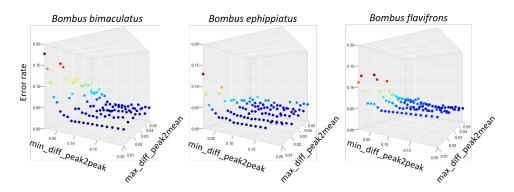


Figure 7: Effects of alignment parameters on the error rate of three datasets comprising known substances (data of Dellicour and Lecocq (2013)). Each point shows the error in aligning substances for a combination of max_diff_peak2mean and min_diff_peak2peak.

Additionally, we simulated the effect of noise by introducing errors at random into each of the datasets as described for the fur seal dataset above. As expected, error rates were initially low for all three species but increased with progressively increasing levels of noise (figure). *B. bimaculatus* and *B. flavifrons* both showed approximately linear responses whereas B. ephippiatus began to level off when exceeding an additional noise level of around 0.7. This probably reflects the fact that chemical diversity is lower in *B. ephippiatus* (stats).

Final remarks

GcalignR is primarily intended as a pre-processing tool in the analysis of complex chemical signatures of animals where overall patterns of chemical similarity are of interest as opposed to specific (i.e. known) chemicals. We have therefore prioritised an objective and fast alignment procedure that is not claimed to be free of error. However, error rate calculations suggest that the algorithm performs well, at least for most parameter combinations, while realistically low levels of noise appear to have a modest effect on the resulting alignments. Importantly, GCaligner also implements a suite of diagnostic plots that allow the user to visualise the influence of parameter settings on the resulting alignments, allowing fine-tuning of both the pre-processing and alignment steps (figure).

Availability

The current stable version requires at least R 3.2.5 and is available on CRAN.

install.packages("GCalignR")

We aim to extend the functionalities of **GCalignR** in future and the developmental version can be downloaded from GitHub within R using **devtools** (Wickham and Chang, 2016).

devtools::install_github("mastoffel/GCalignR", build_vignettes = TRUE)

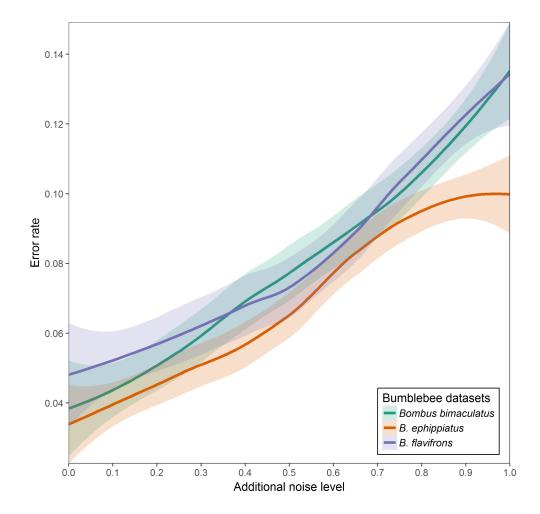


Figure 8: Additional noise in peak retention times increases the error rate substantially. Therefore, optimal alignments require clearly resolved peaks that need to be extracted prior to using **GCalignR**

Data accessibility

The code used to generate these results and accompanying documentation are provided in a single PDF file written in Rmarkdown (S1) along with the necessary data (S2). The raw fur seal chemical dataset is included in this R package and the bumblebee datasets (Dellicour and Lecocq, 2013) can be downloaded here as a zip-archieve.

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