

ABERYSTWYTH UNIVERSITY

PROGRESS REPORT

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# Partridge: An Intelligent Literature Analysis and Recommendation Suite.

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*Author:*

JAMES RAVENSCROFT  
jrr9@aber.ac.uk  
090407039

*Supervisor*

Amanda Clare Maria Liakata

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# Contents

<b>1</b>	<b>Project Summary</b>	<b>2</b>
<b>2</b>	<b>Current Progress</b>	<b>3</b>
2.1	Literature Review . . . . .	3
2.1.1	Natural Language Processing . . . . .	3
2.1.2	CISP, CoreSC and SAPIENTA . . . . .	4
2.2	Related Works . . . . .	5
2.2.1	Search Engines . . . . .	5
2.2.2	Scientific Paper Search Engines . . . . .	6
2.2.3	Social Citation and Recommendation Engines . . . . .	7
2.3	Methodology . . . . .	8
2.3.1	Web Presentation Frameworks . . . . .	9
2.3.2	Natural Language Libraries . . . . .	10
2.4	Prototyping/Pilot Studies . . . . .	10
2.5	Subsequent Changes to Methodology . . . . .	10
<b>3</b>	<b>Planning</b>	<b>10</b>
3.1	Development Methodology . . . . .	10
3.1.1	Existing Methodologies . . . . .	10
3.1.2	Partridge's Development Methodology . . . . .	11
3.2	Work Timeline . . . . .	11
<b>A</b>	<b>Project Timeline</b>	<b>12</b>
<b>A</b>	<b>Project Wiki</b>	<b>12</b>

# 1 Project Summary

Partridge is a web-based tool designed to assist in information processing and knowledge acquisition within the domain of scientific research.

Since the advent of the 'Digital Age' and the ability of computers to copy and reproduce information for a negligible cost, the amount of information available to researchers has been increasing drastically. B-C Björk (2009) estimates that approximately 1.4 Million papers were published in the year 2006 alone[5]. Moreover, the growing popularity of Open Access publishing (making papers available for free online[28]) across most scientific disciplines[5][13] is providing researchers with an even larger volume of information to be processed. As available information increases, relevant material becomes progressively more difficult to find and the need for an automated information retrieval tool more apparent. The problem is even more vital for General Practitioners. Goldacre (2008:97) points out that "there have been an estimated 15 million medical academic articles published so far, and 5000 journals published every month... picking out what's relevant is a gargantuan task." [?]

Partridge aims to autonomously process as many scientific papers as possible to facilitate researchers who would otherwise be required to manually read each paper themselves. This should reduce the amount of information that the reader is required to process themselves, thereby speeding up the research process. Partridge will achieve this through the use of several existing techniques in Natural Language Processing which are discussed below.

From the point of view of it's users, Partridge will assist researchers in two ways. The system will provide filtering of papers based upon their specific domain (i.e. is the paper primarily concerned with methodology within an experiment in chemistry or is it about Ethics in Psychological studies?) and their result, whether the paper yielded positive, negative or inconclusive evidence for a hypothesis. Depending upon the time constraints of the project, it is hoped that Partridge will also offer a user 'profiling' system that provides recommendations for researchers based on their reading history. This feature should help users find relevant papers more quickly or find research that they may have otherwise overlooked.

There are already several tools that help researchers manage the vast library of journals available on the internet. Search engines such as Google (<http://www.google.com/>), and social citation management tools such as CiteULike (<http://www.citeulike.org>), do offer some assistance in tracking down relevant information. However, these tools are often too general or rely upon the user knowing exactly what keywords to use before carrying out the search. These drawbacks are further discussed in Section 2.2 below.

To overcome the drawbacks of these existing systems, Partridge will make use of several cutting edge Artificial Intelligence (AI) techniques in order to analyse and process the papers in a more in depth way. AI is a very complex and field and implementing the above features will be incredibly challenging. To help with this, Partridge will build upon the system implemented by Liakata et al. for classifying papers on a sentence-by-sentence basis[17] and make use of Natural Language Toolkit for Python [?]

## 2 Current Progress

The Partridge project has been underway since the beginning of October. The following section looks at some literature on the subjects of Natural Language Processing and information retrieval within the domain of scientific papers. Some related works are investigated and compared to Partridge and details of prototyping work that has been carried out are given.

### 2.1 Literature Review

In science fiction literature and films, Artificial Intelligence (AI) and the ability of machines to automatically process and understand human language is almost always present. The current state of AI is a long way behind these fantastic visions. Dale(2000) comments that “Even the most ardent exponent of artificial intelligence research would have to admit that the likes of HAL in Kubrick’s 2001: A Space Odyssey remain firmly in the realms of science fiction[10]”.

Despite lacking behind the imagination of authors and script writers, over the last 60 years there has been a huge amount of progress in AI techniques. The phrase ‘Artificial Intelligence’ was coined in 1956[26] and can be used as an umbrella term, describing many subfields from “learning and perception to... diagnosing diseases(*Ibid*).”

Turing(1950) proposed a test for determining whether a machine could be considered intelligent or not[29]. Turing’s test is based upon whether a computer can communicate in a natural language proficiently enough to deceive a human into thinking that the machine is also human. A machine able to pass such a test would need to possess the ability to represent and learn from knowledge, to be able to reason about what it knows and to be able to process natural language[26]. Partridge will also need to be able to process natural language and learn from any observations it makes. It is reasonable to consider Partridge’s backend system to be an Artificial Intelligence problem.

#### 2.1.1 Natural Language Processing

Natural Language Processing (NLP) is still a relatively unexplored discipline and as such is a very active area of research and development within the Artificial Intelligence community[18]. NLP enables the automated extraction of meaningful information from texts written in human languages such as English or French. NLP has already been applied to many text classification and data extraction problems. Studies have been carried out in the detection of emotions in suicide notes[16], the classification of a web page’s genre on the World Wide Web[20] and emotional polarity (is the sentence positive or negative) of a phrase or sentence[30]. Liddy(2001) defines Natural Language Processing as:

A theoretically motivated range of computational techniques for analyzing and representing naturally occurring texts at one or more levels of linguistic

analysis for the purpose of achieving human-like language processing for a range of tasks or applications (*Ibid*).

In the case of Partridge, scientific papers, constituting the naturally occurring texts, are processed at sentence-by-sentence and word-by-word levels of linguistics and represented in the form of Extended Markup Language (XML) documents, a format that is both human and machine readable. This information is then used for the purpose of classifying and searching papers in a human-like way.

It is therefore necessary to define what a 'human-like way' of processing scientific papers. Krug (2005), suggests that when browsing the internet, humans find it much easier to locate specific information within a labelled and logically structured document than one that is provided as a single text entity[14]. In order to help humans to find relevant research papers, Partridge will represent all papers in its repository in a logical hierarchical structure. This will facilitate better information retrieval for keyword searches and enable more advanced NLP techniques. Partridge's document storage format should therefore be standardised to provide a uniform way of processing each document.

### 2.1.2 CISP, CoreSC and SAPIENTA

Soldatova and Liakata(2007) proposed a methodology for storing the Core Information about Scientific Papers (CISP) as a way to formally represent scientific concepts that should be present in the articles in a logical ontology[27]. They then proceed to define a schema for their CISP ontology that defines the Core Scientific Concepts (CoreSC) as part of the XML document itself[15].

It is proposed that Partridge uses the CoreSC schema for document storage to provide a standard way to access and process the papers and thus eliminate dealing with multiple documents during its learning phase. Using CoreSC also offers the advantage of making Partridge compatible with the ART corpus. This is a set of physical chemistry and biochemistry research papers that have been pre-processed and already annotated using CISP concepts[19]. This would provide Partridge with a set of papers that could be used as a training set for classification tasks and could be used for information retrieval tests from early on in the project.

Liakata et al. (2012) describe a system for automatically processing and categorising sentences in a research paper according to their respective CoreSC element[17]. SAPIENTA (<http://www.sapientaproject.com>) was trained using the ART corpus and can achieve promising accuracies when categorising sentences (*Ibid*). With the authors' permissions, Partridge will make use of SAPIENTA when introducing new papers into its repository. It is hoped that preclassifying papers will make the development of Partridge more manageable within the timeframe available and will also allow more effort to be put into other classification problems rather than re-implementing a SAPIENTA clone. Any modifications that have to be made to SAPIENTA to integrate it into Partridge will be submitted back to the original authors to help them improve their works.

After pre-processing by SAPIENTA is complete, Partridge will need to do some classification work of its own to determine the area of study (i.e. Physics, Chemistry, Biology),

type of paper (Literature review, Case Study, Experiment) and the polarity of the result (positive, negative, inconclusive). These tasks involve implementing existing AI techniques and applying them specifically to the task of text classification. To avoid the time consuming task of re-implementing these methods, it was decided that a library should be used instead. Different NLP libraries and their properties are discussed below in Section 2.3.2.

## 2.2 Related Works

### 2.2.1 Search Engines

There are already many existing systems for finding and filtering information on the World Wide Web. Search engines are very useful for information retrieval in this very large and generalised search domain. Most people have heard of Google (<http://www.google.com>), Yahoo (<http://www.yahoo.co.uk>), Bing (<http://www.bing.com>) and Ask (<http://www.ask.com>). There are many more similar systems available for free general use across the internet. They all present very similar user interfaces (as shown in Figure 1) in which users are asked to supply keywords that might be linked to relevant documents and the search engine returns a list of Uniform Resource Locators (URLs) that they consider to match the user's query.

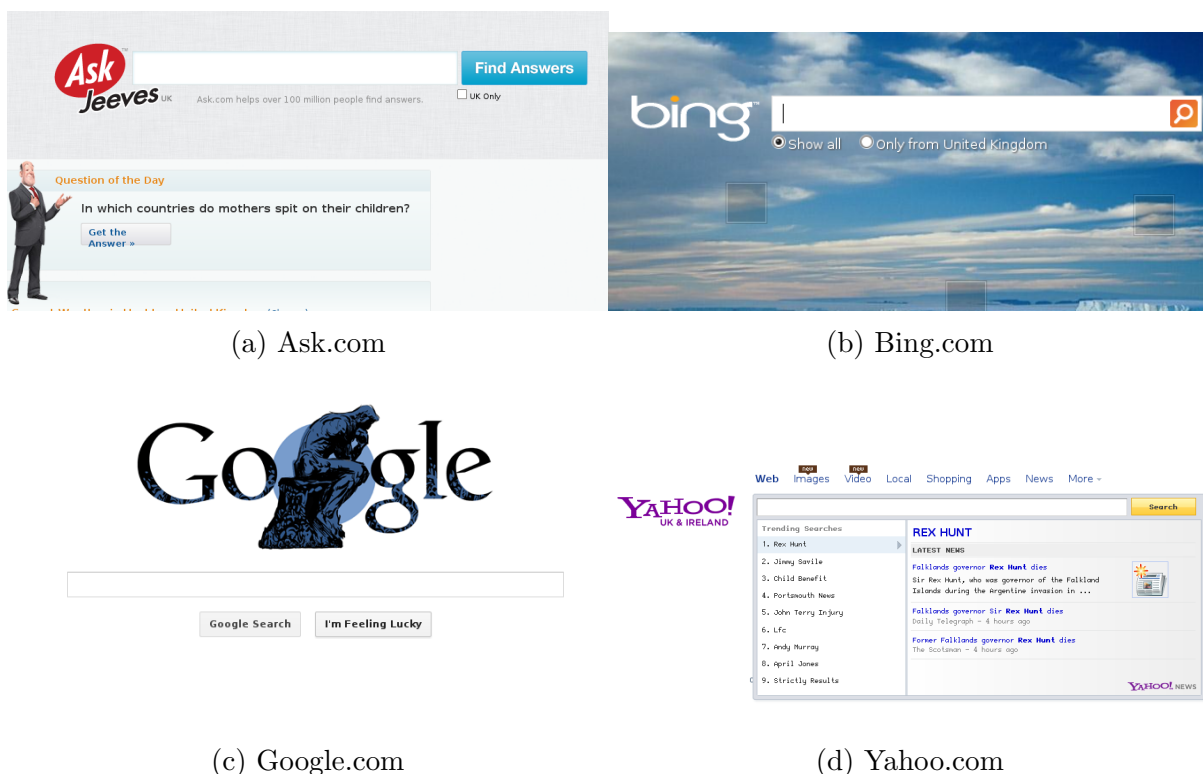


Figure 1: 4 popular search engine interfaces

Search engines are helpful in locating pages and websites within the World Wide Web. Unfortunately, the problem space they deal with is usually too big for them to find

scientific papers and journals given a set of keywords. Internet search engines index a huge proportion of irrelevant information compared to useful information[3], and as a result, even relatively specific queries such as “effects of gravity on rockets” yield millions of results (as shown in Figure 2).

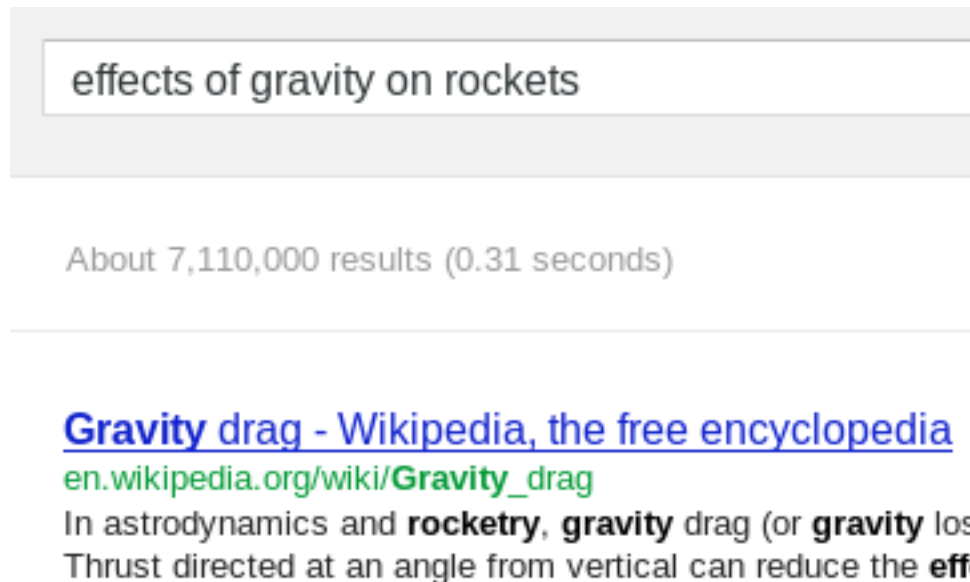
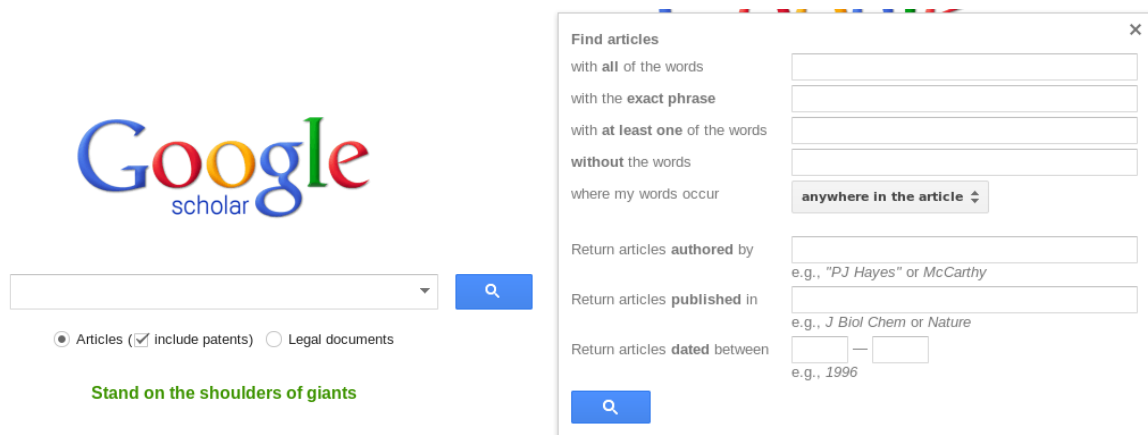


Figure 2: Google showing over 7M results for “effects of gravity on rockets”

Partridge offers an advantage over these mechanisms as it will specifically index research papers rather than attempting to index the whole Internet. This means that there should be a higher proportion of useful information as output compared to the output of an Internet Search Engine.

### 2.2.2 Scientific Paper Search Engines

There are also a number of search and indexing systems that specifically look for scientific papers as opposed to web pages. One of the most publicised and well known paper search systems is Google Scholar (<http://scholar.google.com>). As can be seen in Figure 3a, This is an adaptation of Google’s general search engine (discussed above) to specifically index and search scientific papers. Google also offers advanced query options specific to Scholar that allow searching by author, year and for words that occur only in the document title as shown in figure 3b. Whilst this does deal with the problem of ‘information overload’ and provides even more fine control over the information returned from searches, the user is still required to have a very good idea of what they are looking for in terms of keywords and/or specific authors. It is possible that a user would not know what they are looking for until they’ve seen it. Even if the user has a set of keywords to search for, they can only search the title of the paper or the content as a whole. This means that users who want to find a particular phrase within a CoreSC part of the paper (e.g. only look for this phrase in the ‘Result’ section of the paper) are unable to get results at their desired level of detail.



(a) Google Scholar's General front page

(b) Advanced search features

Figure 3: Google Scholar's user interface

Partridge will provide the option to filter papers by subject and it is hoped that the system will also provide user-specific recommendations by profiling them through their reading history. This will make it easier for users to find relevant papers without knowing exactly which keywords they need to search for. Partridge will also offer facilitate searching for keywords within a specific CoreSC section by making use of Liakata et al's SAPIENTA project for classifying each sentence of paper as it is added to the repository.

## 2.2.3 Social Citation and Recommendation Engines

Social citation and recommendation engines also provide a partial solution to the 'information overload' problem. Services like Goodreads (<http://www.goodreads.com/>) and CiteUlike (<http://www.citeulike.org/>) allow you to register your interest in specific authors and subjects. This allows the sites to build up a profile of the sorts of materials that you might be interested in and provide lists of recommendations as in Figure ??.



(a) Goodreads user profile page

(b) a CiteUlike user profile page

Figure 4: Goodreads and citeulike social recommendation systems



These systems have the ability to make recommendations to the user without requiring specific keywords or search terms. They do this by learning the user’s profile and taking into account the preferences of their ‘friends’ and their browsing history. However, the above-named systems do not take into account the content of the paper or book. They only deal with metadata as can be seen in Figure 5. This means that important discriminatory information that could be contained within the actual document content is overlooked completely. Partridge will analyse documents on a sentence-by-sentence and possibly word-by-word basis, thereby taking account of any embedded information that could be missed by these social metadata systems.



Figure 5: Goodreads and citeulike search only deal with metadata.

## 2.3 Methodology

The language that Partridge will be written in is one of the most fundamental and important choices to be made. The language choice effects not only the end performance of the application, but the speed at which the project is developed and the portability of the end code [7]. To avoid a learning overhead, it was decided that the programming language should be one that the author is familiar with. This reduced the choice of language down to C, Java and Python.

The C programming language was invented by Kernighan and Ritchie and published in 1978[23]. The language is small and optimised[22] and therefore compiled C programs tend to run extremely fast. Unfortunately, C is designed to be general and lack restriction [?] which is often an advantage for programmers who favour optimised code over excessive error checking. However, this means that debugging C programmes can be quite long winded and challenging. Since the NLP aspects of Partridge present an ambitious challenge in themselves, having to debug applications without help from a managed programming environment is not desirable.

The Java programming language on the other hand, does provide memory management and error checking[8] at the cost of program performance. Java is a pseudo-compiled

language that is translated into bytecode then interpreted at runtime by a Virtual Machine(VM) on the client computer. Gosling (2000) describes java as a “general-purpose... programming language [that allows] developers to write a program once and then be able to run it everywhere on the internet[12].” Java provides a stable programming environment and error checking, both of which would be advantageous in the development of Partridge. Java’s syntax is very verbose and a lot of ‘boiler-plate’ code must be written before a program can be executed. Therefore Java is not suitable as a rapid prototyping language. Since Partridge’s development requires a steep learning curve for the author, a language that allows quick prototyping with minimal programming.

Python is a programming language with a famously shallow learning curve, readable syntax and dynamic inspection that facilitates rapid prototyping [4]. The language was invented by Guido Van Rossum as a multi-use, flexible, interpreted scripting language, extensible via compiled C modules[1]. Developing Partridge in python would provide a flexible prototyping environment and readable syntax allowing for investigative work to be carried out quickly and efficiently with minimal overheads for writing boiler-plate code and debugging. The ability to extend the language using native code would give Partridge an even greater advantage. Since NLP techniques can be quite processor intensive and Python is an interpreted language, and in its very nature, slower than a compiled language, inefficient sections of Python code could be re-written as C extensions and compiled into the application. For these reasons, Python was selected as the preferred programming language for Partridge development.

### **2.3.1 Web Presentation Frameworks**

Partridge’s web frontend will be used by researchers to wish to query the system for information on scientific papers. As it is a web tool, Partridge will be presented as a set of HTML web pages.

It is possible to use a separate software stack Such as Apache web server (<http://httpd.apache.org/>) and PHP (<http://www.php.net>) as a web presentation layer. However, this would involve adding more software to the project and building an interface between the PHP scripts and Partridge’s python backend. To prevent unnecessary complication, this approach will be avoided and instead, a Python web toolkit will be used and integrated directly with the backend.

Django (<http://www.djangoproject.com/>) is a Python web framework with integrated database management and templating capabilities [11]. The framework provides automatic management of all aspects of your application’s data storage systems unless explicitly disabled. The framework also uses a fairly stricty Model-View-Controller pattern and this is enforced in the structure of the application code. These features may be useful in a general web application such as a news site. Partridge requires a much more complex internal structure to take into account the machine learning and NLP aspects of the system. Having to ‘shoehorn’ Partridge into a specific structure to make it compatible with Django adds extra overhead to the project and is not desirable.

Flask (<http://flask.pocoo.org>) is a python ‘microframework’ for web development. Ronacher (2012) writes that “Flask aims to keep the core simple but extensible...[and]

won't make any decisions for you[24].” It uses a simple templating system that can optionally be swapped out for an equivalent system and does not impose any limitations or requirements on the layout of the program or the type of database that is used. Flask integrates very simply into existing software using Python annotations(*Ibid*). This approach means that the framework could be adapted to Partridge very quickly, rather than requiring Partridge to be adapted to the framework. Therefore, Flask has been chosen as the preferred web framework for use in the project.

### 2.3.2 Natural Language Libraries

. There are several existing libraries to facilitate Natural Language Processing. Many are written for Java [21][9] and are very complex or not well documented. The Natural Language Toolkit (NLTK) is a simple and intuitive library written for Python. Bird(2009) states that NLTK was designed “to provide an intuitive framework along with substantial building blocks, giving users a practical knowledge of NLP[4]”. The project is relatively mature in comparison to the above named Java libraries. There is also a free book that accompanies the project available at <http://nltk.org/book/> which provides a huge amount of information on how to implement many popular NLP techniques using the library. For this reason NLTK was chosen as the primary accompanying library for the project.

## 2.4 Prototyping/Pilot Studies

## 2.5 Subsequent Changes to Methodology

# 3 Planning

## 3.1 Development Methodology

### 3.1.1 Existing Methodologies

Selecting a suitable development methodology for building Partridge is another very important choice for the project.

Under the traditional ‘Waterfall’ Software Development model, Requirements Gathering, Analysis, Program Design, Coding, Testing and Operations were all defined as formal phases in the development cycle. There is little flexibility other than moving back up the waterfall to rectify mistakes after testing.[25]. This model was very focused on paperwork and bureaucracy, trying to maintain a paper trail and manage risk through accountability (*Ibed*). This approach to software development is very heavyweight and slow and often produced software that did not match the users’ needs as a result [6].

As an alternative to the heavyweight Waterfall approach, Beck et al came up with the principle of the Agile Manifesto, favouring a lightweight, responsive development model

over the heavyweight slow waterfall system[2]. Many of Beck’s ideas focus around working in a team of developers and prioritising communication between team members. This is most prominent in the Extreme Programming (XP) method of software development. Since Partridge is an individual project, XP is not really applicable. However, some concepts like rapid prototyping/spike work and iterative release cycles will be used as part of the Partridge development methodology.

### **3.1.2 Partridge’s Development Methodology**

Since Partridge is an individual project but does involve discussions with supervisors, a customised methodology has been adopted. Firstly, all design and planning documentation have been written up and placed on a wiki which is accessible and modifiable by the author and both supervisors. This creates a paper trail for all tasks and also allows collaboration between involved parties through the Internet. A full printout of the wiki is available in Appendix A.

Weekly meetings are held with both supervisors. The notes from the preceeding week are analysed and each task discussed in depth. New tasks are then noted down along with any observations that should be documented. These new notes are uploaded to the wiki the following day or earlier. Each party present at the meeting adds their own observations to the notes page. This page is then reviewed at the next meeting. As seen in Appendix A, this practice has already been running for several weeks and has so far proven to be highly effective.

Partridge will adopt an Agile approach to release cycles, producing a working software package at iterations of one month. Each iteration, the software will include more of the desired functionality discussed above and in the wiki. GitHub’s issue manager program will be used to track tasks and bugfixes and plan which tasks will be carried out in which iteration. Tasks that are created in a full iteration (where no development time is left) will be added to a backlog and integrated in the next iteration with enough development time to contain it.

Partridge’s testing strategy consists of multiple unit tests that are run at integration of new code into the codebase. As soon as the first release is built, Partridge will be made available for use by the public and users encouraged to test the system and submit any bugs via the GitHub issue manager. It is hoped that colleagues at Aberystwyth University and Dr. Liakata’s colleagues at the EBI will try to use the system once it becomes available.

## **3.2 Work Timeline**

The tasks involved in Partridge have been carefully calculated and prioritised. They were then added to the GitHub issue management system and a report generated listing them in the order that they are expected to be accomplished. This report can be seen in Appendix A.

**A Project Timeline**

**A Project Wiki**

## References

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Paper presented in the ACM explaining ‘information overload’ and a summary of the shortfalls of modern search engines in information retrieval.

- [4] S. Bird, E. Klein, and E. Loper, *Natural Language Processing with Python*, ser. O’Reilly Series. O’Reilly Media, Incorporated, 2009. [Online]. Available: <http://books.google.co.uk/books?id=KG1bfiiP1i4C>

This book provides an interesting preface on NLP and some reference for the NLTK python library

- [5] B.-C. Björk, A. Roos, and M. Lauri, “Scientific journal publishing: yearly volume and open access availability,” <http://InformationR.net/ir/14-1/paper391.html>], 2009.

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Provides some notes on the differences between C and Java

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This paper observed that the popularity of Open Access articles is growing year by year - and so is awareness and visibility of OA.

- [14] S. Krug, *Don't Make Me Think: A Common Sense Approach to the Web (2nd Edition)*. Thousand Oaks, CA, USA: New Riders Publishing, 2005.

This book provided some insights into how humans use the internet and some inspiration as to how Partridge could emulate this behaviour.

- [15] M. Liakata and L. Soldatova, “Guidelines for the annotation of general scientific concepts,” *Aberystwyth University, JISC Project Report* <http://ie-repository.jisc.ac.uk/88>, 2008.

This paper provides a set of guidelines on how to use CoreSC and CISP to annotate scientific documents.

- [16] M. Liakata, J.-H. H. Kim, S. Saha, J. Hastings, and D. Rebholz-Schuhmann, “Three Hybrid Classifiers for the Detection of Emotions in Suicide Notes.” *Biomedical informatics insights*, vol. 5, no. Suppl. 1, pp. 175–184, 2012. [Online]. Available: <http://dx.doi.org/10.4137/BII.S8967>

Research giving an insight into sentiment analysis techniques using multiple output categories rather than just binary classification.

- [17] M. Liakata, S. Saha, S. Dobnik, C. Batchelor, and D. Rebholz-Schuhmann, “Automatic recognition of conceptualization zones in scientific articles and two life science applications,” *Bioinformatics*, vol. 28, no. 7, pp. 991–1000, Apr. 2012. [Online]. Available: <http://dx.doi.org/10.1093/bioinformatics/bts071>

This is Maria's key paper on SAPIENTA. It discusses some approaches her and her team took to annotating CoreSC in papers and how the system works

- [18] E. Liddy, "Natural language processing," 2001.

In her encyclopedia entry, Liddy defines natural language processing and the components that make it up. This was used as a basis for some of Partridge's literature review.

- [19] L. M. and S. L., "The art corpus," 2009.

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- [26] S. Russell and S. Norvig, *Artificial Intelligence: A Modern Approach*, ser. Prentice Hall Series in Artificial Intelligence. Prentice Hall, 2010. [Online]. Available: <http://books.google.co.uk/books?id=8jZBksh-bUMC>



Provided some general background in AI as well as a lot of information about machine learning techniques to be used as a backend for Partridge's NLP system.

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In this paper, the CISP ontology is formalised and suggested as a way of providing better metadata for papers

- [28] P. Suber, "Open Access Overview," <http://www.earlham.edu/~peters/fos/overview.htm> retrieved on 11/11/2012, October 2012.

This article gives a brief overview of Open Access publishing, what its about and how it works.

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This is one of the first papers to discuss artificial intelligence and provides a link between natural language processing and AI

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This paper discusses the best features for classifying the polarity of a phrase within the context of a machine learning NLP system.