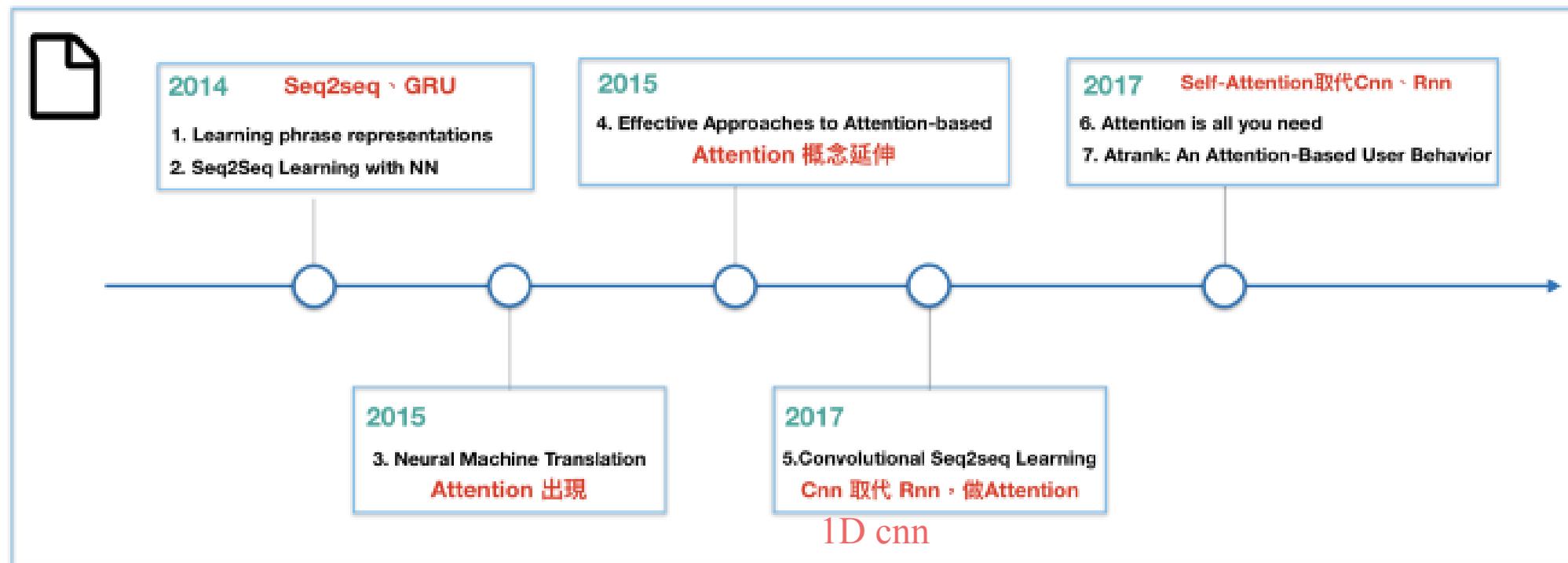


# Part.3

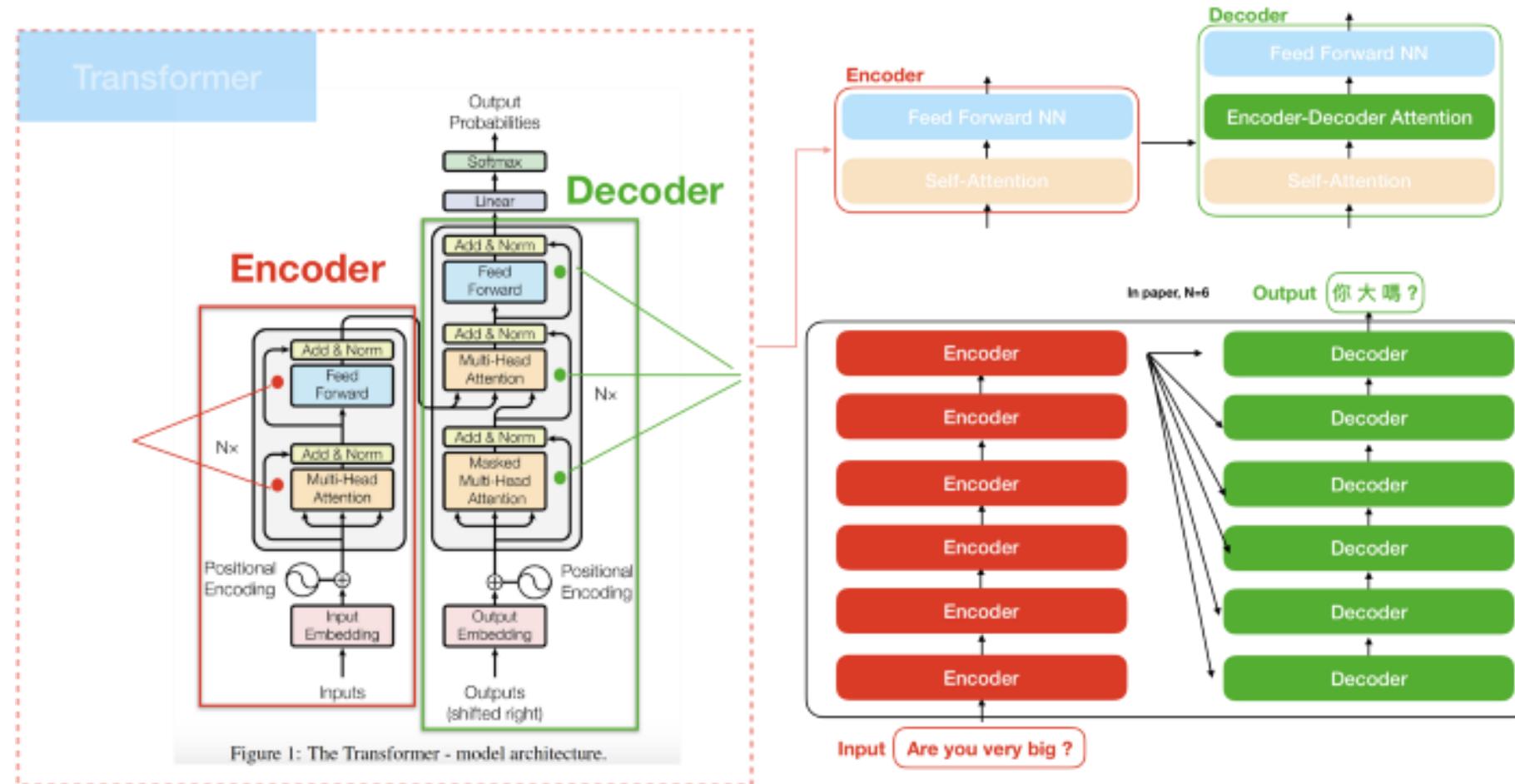
## Transformer & BERT

# History



<https://medium.com/@bgg/seq2seq-pay-attention-to-self-attention-part-2-%E4%B8%AD%E6%96%87%E7%89%88-ef2ddf8597a4>

# Transformer



# Scaled Dot-Product Attention

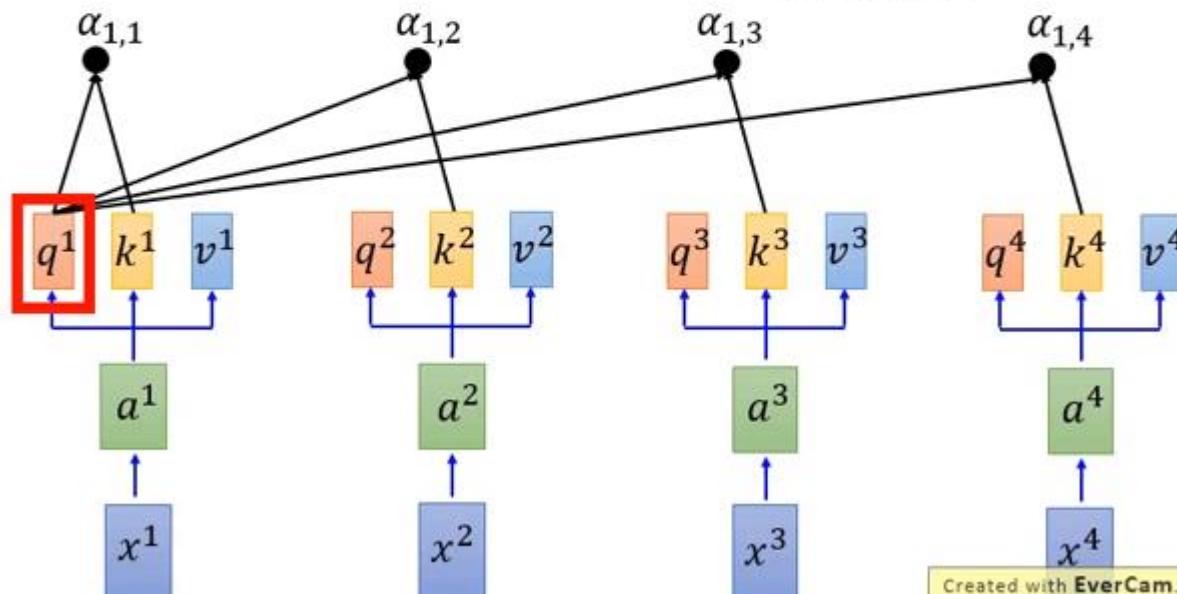
- 表達Q跟K的匹配程度

## Self-attention

拿每個 query  $q$  去對每個 key  $k$  做 attention

$d$  is the dim of  $q$  and  $k$

$$\text{Scaled Dot-Product Attention: } \alpha_{1,i} = \underbrace{q^1 \cdot k^i}_{\text{dot product}} / \sqrt{d}$$

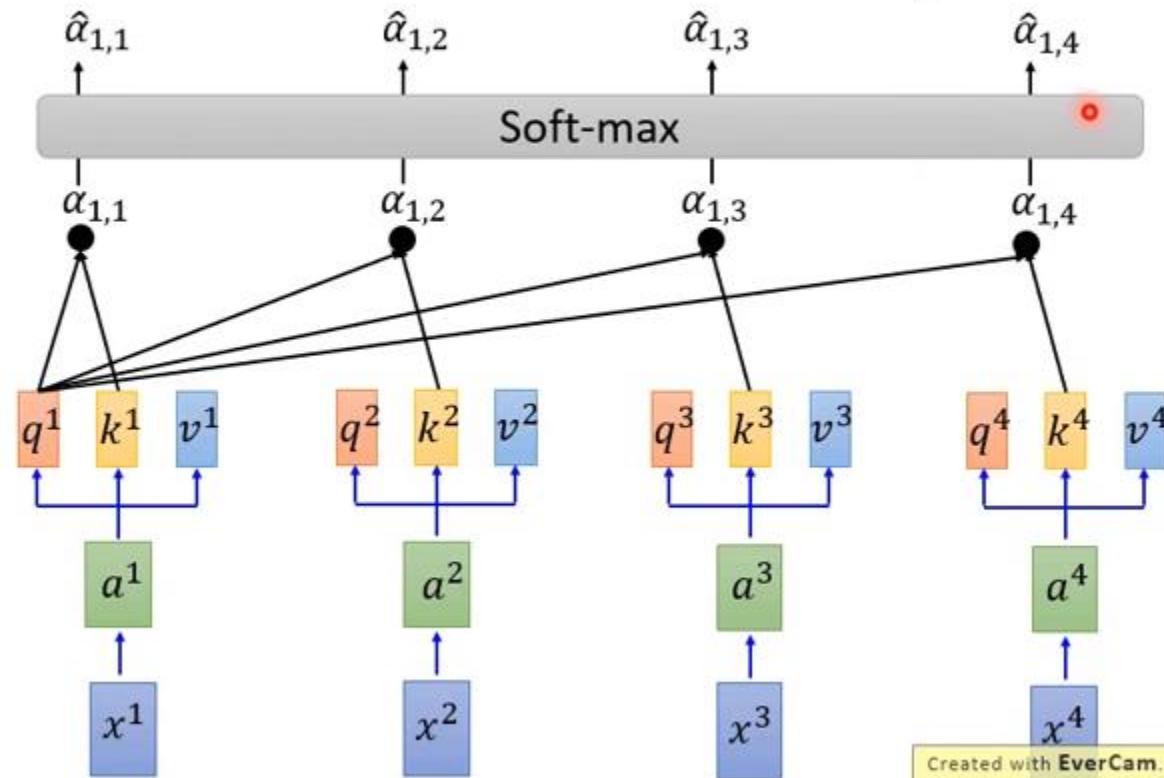


# Scaled Dot-Product Attention

- 取softmax得到 Attention score

## Self-attention

$$\hat{\alpha}_{1,i} = \exp(\alpha_{1,i}) / \sum_j \exp(\alpha_{1,j})$$



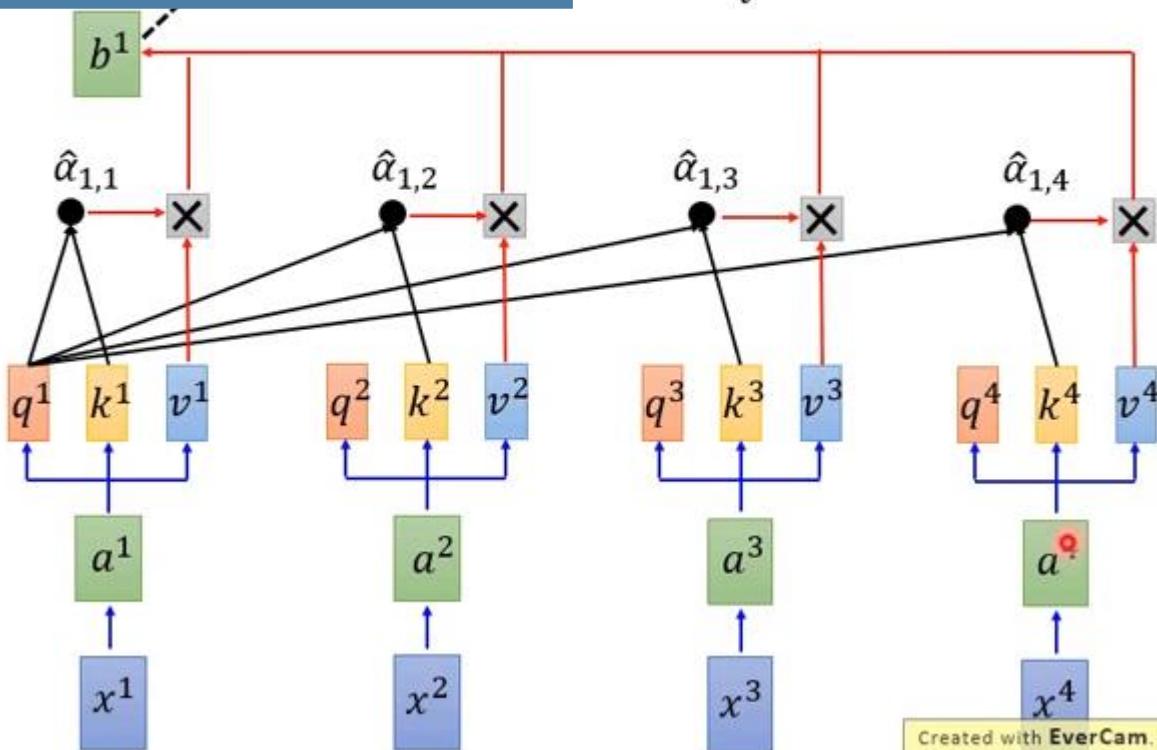
# Scaled Dot-Product Attention

- Weighted sum

## ***Self-attention***

Considering the whole sequence

$$b^1 = \sum_i \hat{\alpha}_{1,i} v^i$$

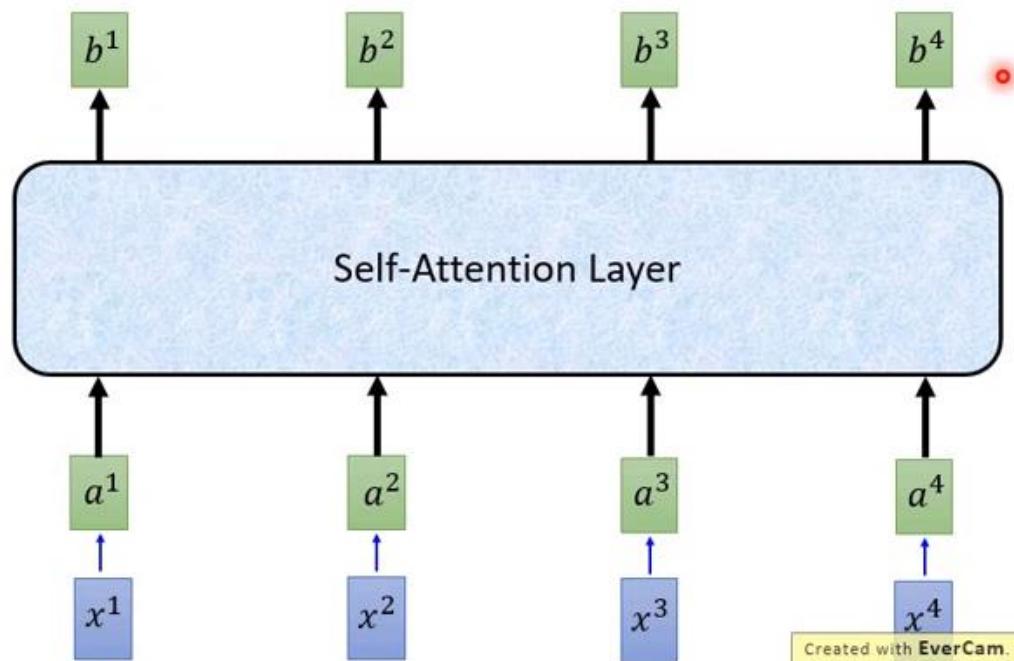


# Scaled Dot-Product Attention

- 平行處理 (矩陣運算)

## Self-attention

$b^1, b^2, b^3, b^4$  can be parallelly computed.



## Self-attention

$$q^i = W^q a^i$$

$$k^i = W^k a^i$$

$$v^i = W^v a^i$$

$$q^1 \quad k^1 \quad v^1$$

$$a^1$$

$$x^1$$

$$\begin{matrix} q^1 & q^2 & q^3 & q^4 \end{matrix} = \begin{matrix} W^q \\ Q \end{matrix} \begin{matrix} a^1 & a^2 & a^3 & a^4 \\ I \end{matrix}$$

$$\begin{matrix} k^1 & k^2 & k^3 & k^4 \end{matrix} = \begin{matrix} W^k \\ K \end{matrix} \begin{matrix} a^1 & a^2 & a^3 & a^4 \\ I \end{matrix}$$

$$\begin{matrix} v^1 & v^2 & v^3 & v^4 \end{matrix} = \begin{matrix} W^v \\ V \end{matrix} \begin{matrix} a^1 & a^2 & a^3 & a^4 \\ I \end{matrix}$$

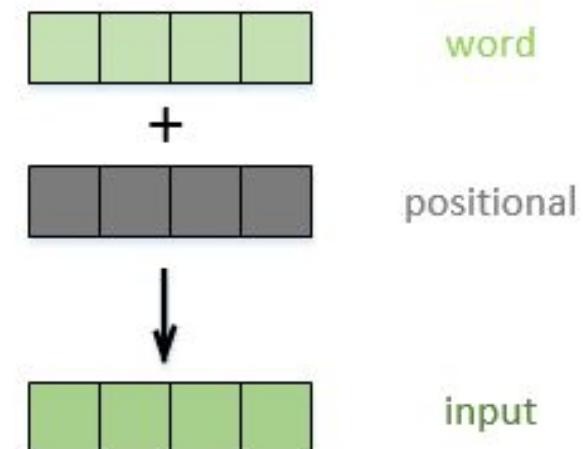
Created with EverCam.  
<http://www.camdemys.com>

# Positional Encoding

- Self-attention沒有考慮先後順序
- 額外加入位置的訊息
- 同時考慮語意和詞在句字中的位置
- PE公式：

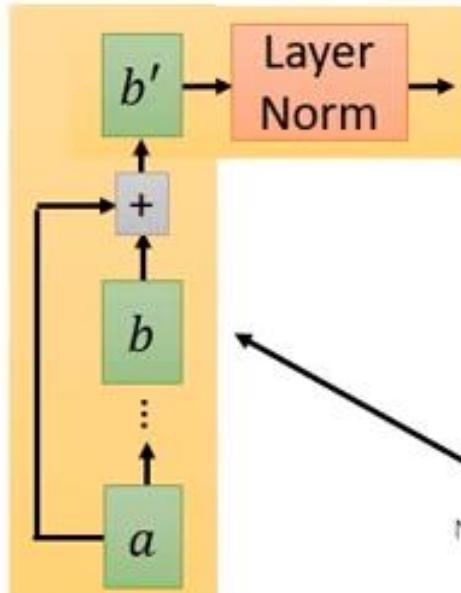
$$PE_{(pos,2i)} = \sin(pos/10000^{2i/d_{model}})$$

$$PE_{(pos,2i+1)} = \cos(pos/10000^{2i/d_{model}})$$

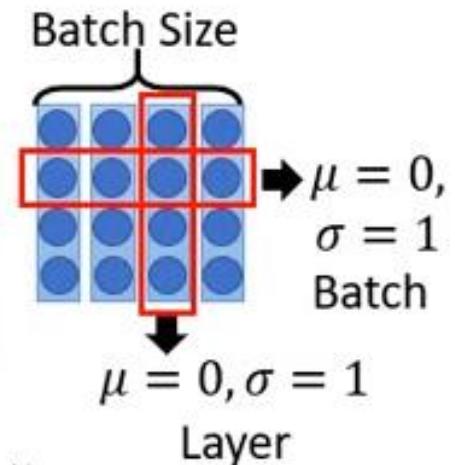
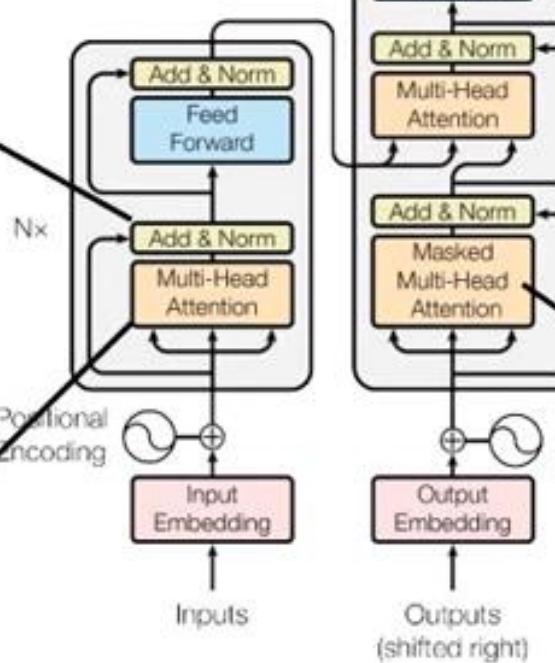


# Transformer

## Transformer



Layer Norm:  
<https://arxiv.org/abs/1607.06450>  
Batch Norm:  
<https://www.youtube.com/watch?v=BZh1ltr5Rkg>



**Masked:** attend on the generated sequence

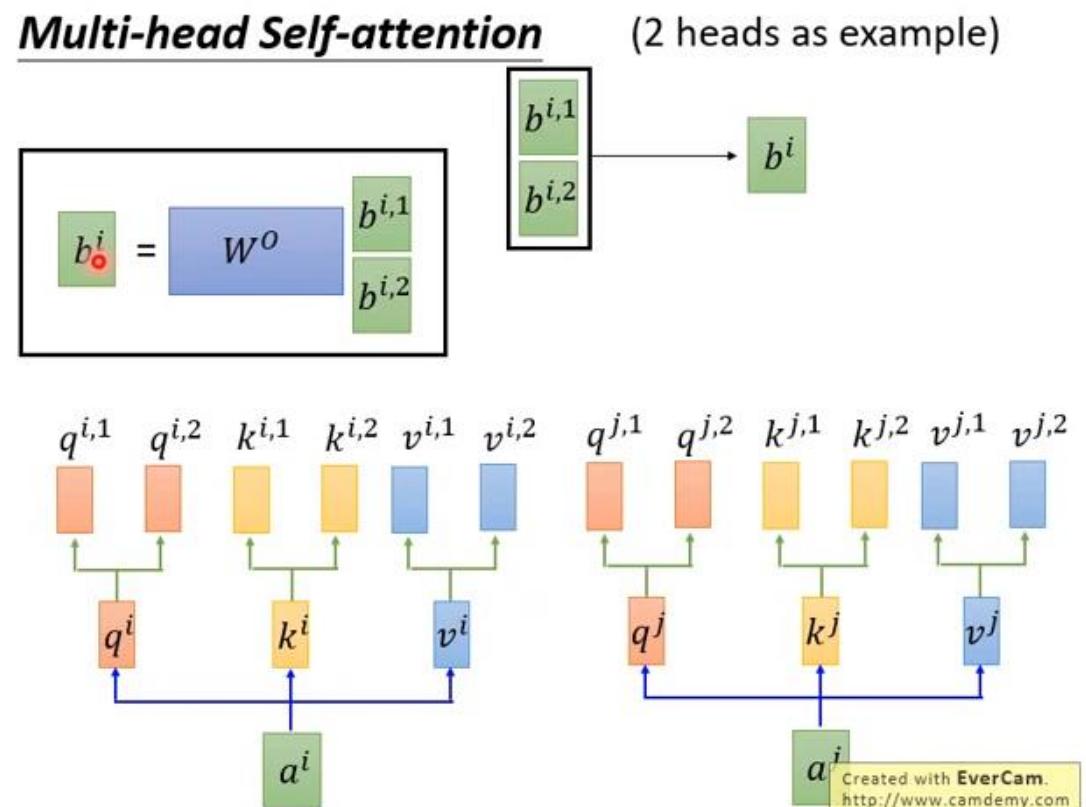
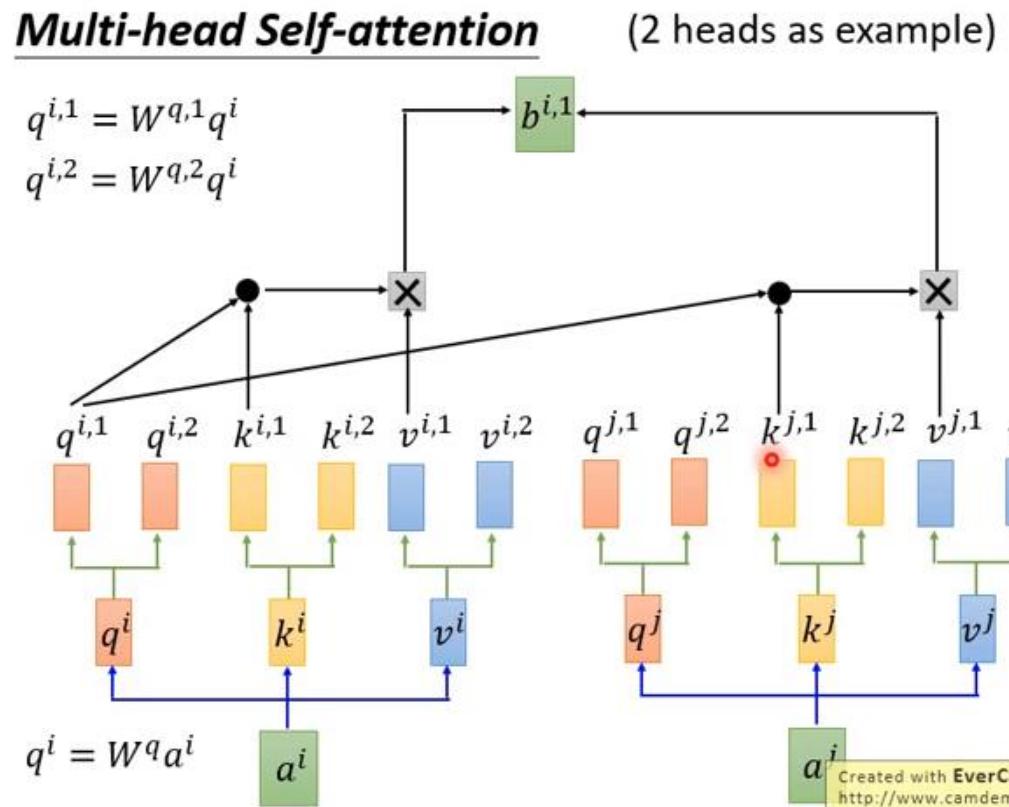
## Multi-Head Attention



**5. Multiple Heads**

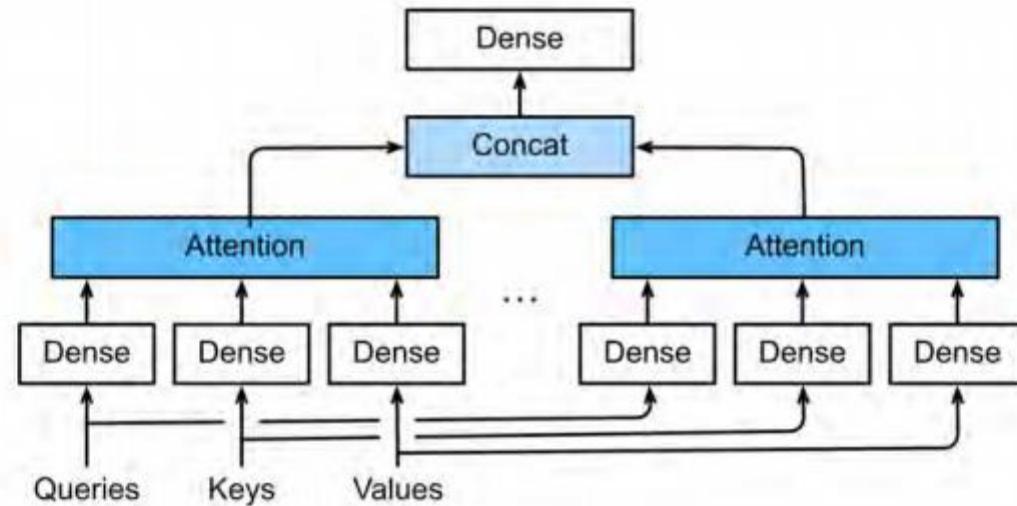
# Multi-Head Attention

- 分裂q, k, v (head能各自關注不同重點)



# Multi-Head Attention

Q: query  
K: key  
V: value



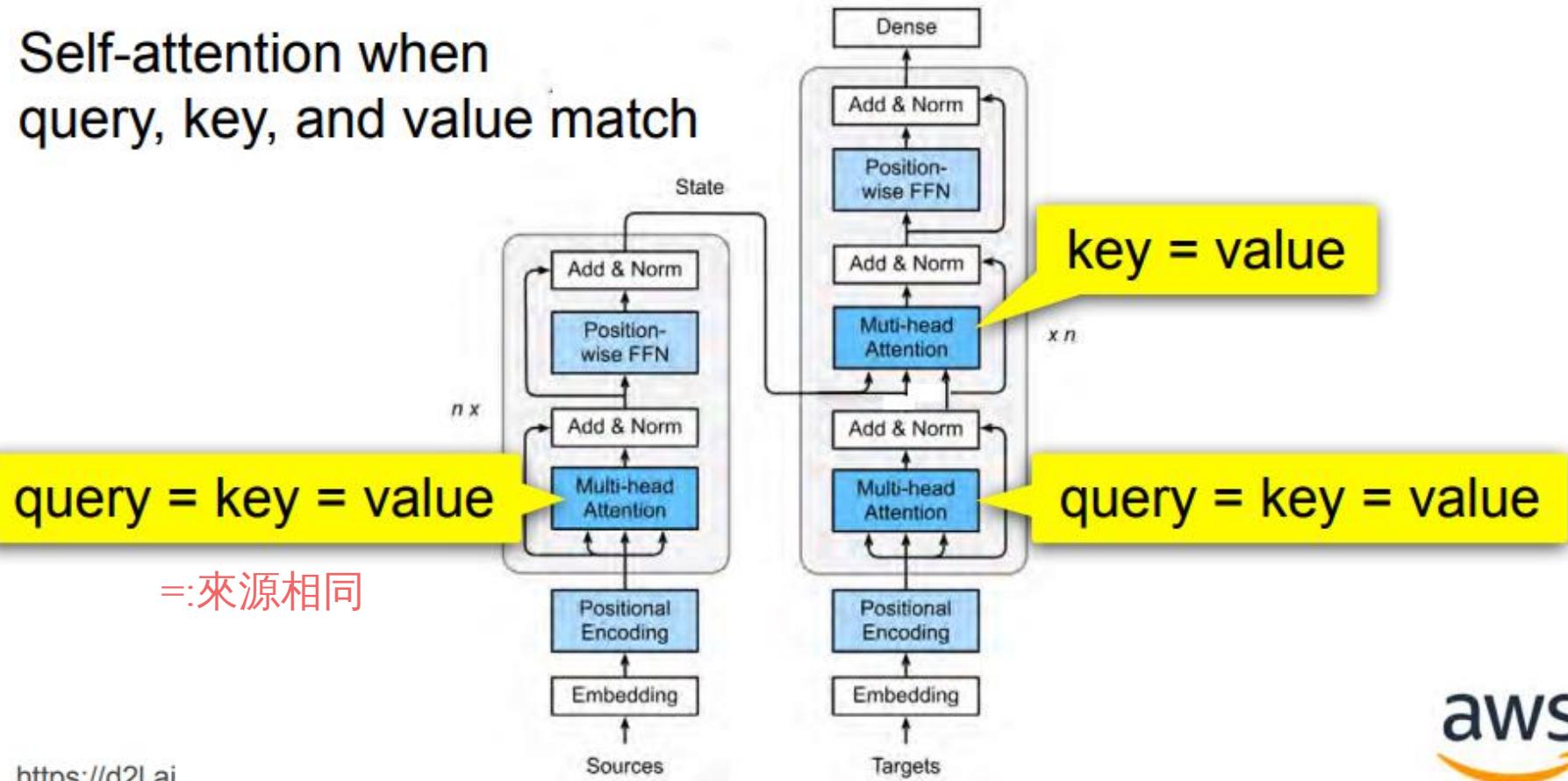
$$\text{Attention}(Q, K, V) = \text{softmax} \left( \frac{QK^T}{\sqrt{d_k}} \right) V$$

$$\text{MultiHead}(Q, K, V) = \text{Concat}(\text{head}_1, \dots, \text{head}_h)W^O$$

$$\text{where } \text{head}_i = \text{Attention} \left( QW_i^Q, KW_i^K, VW_i^V \right)$$

# Multi-Head Attention

Self-attention when  
query, key, and value match



# Semantic Segmentation



# Semantic Segmentation



'sea' or 'water'?

# Semantic Segmentation

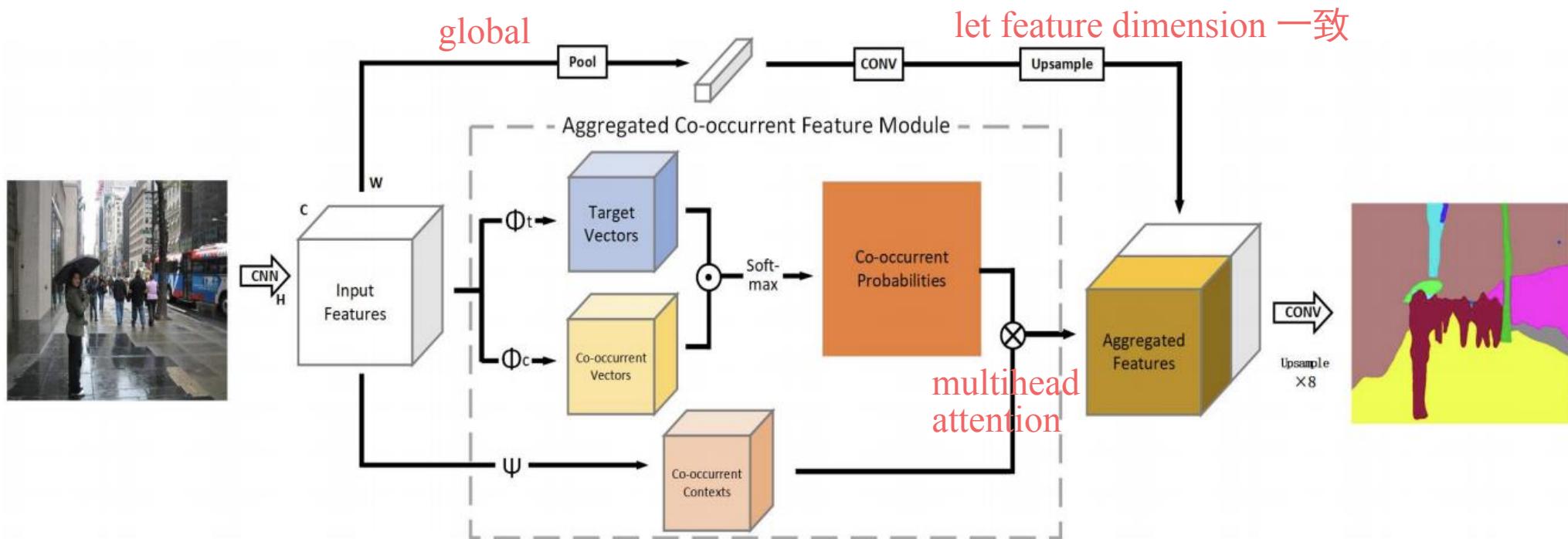


'sea' because  
of 'boats'

'sea' or 'water'?

# Co-occurrence Features

## Multi-head attention for semantic segmentation (Zhang et al., '19)

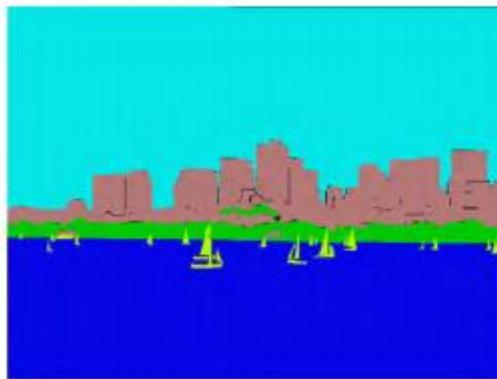


# Multi-Head Attention

**Classify pixels co-occurring with boat as sea rather than water**



(a) Image



(b) Ground Truth



(e) legend



(c) FCN (baseline)



(d) CFNet (ours)

## Q & A

- 回顧一下Transformer是甚麼?
- 把Attention換成Self Attention有什麼好處?

## **BERT** **Bidirectional Encoder Representations from Transformers** **(Devlin et al, 2018)**

SOTA on 11 NLP tasks

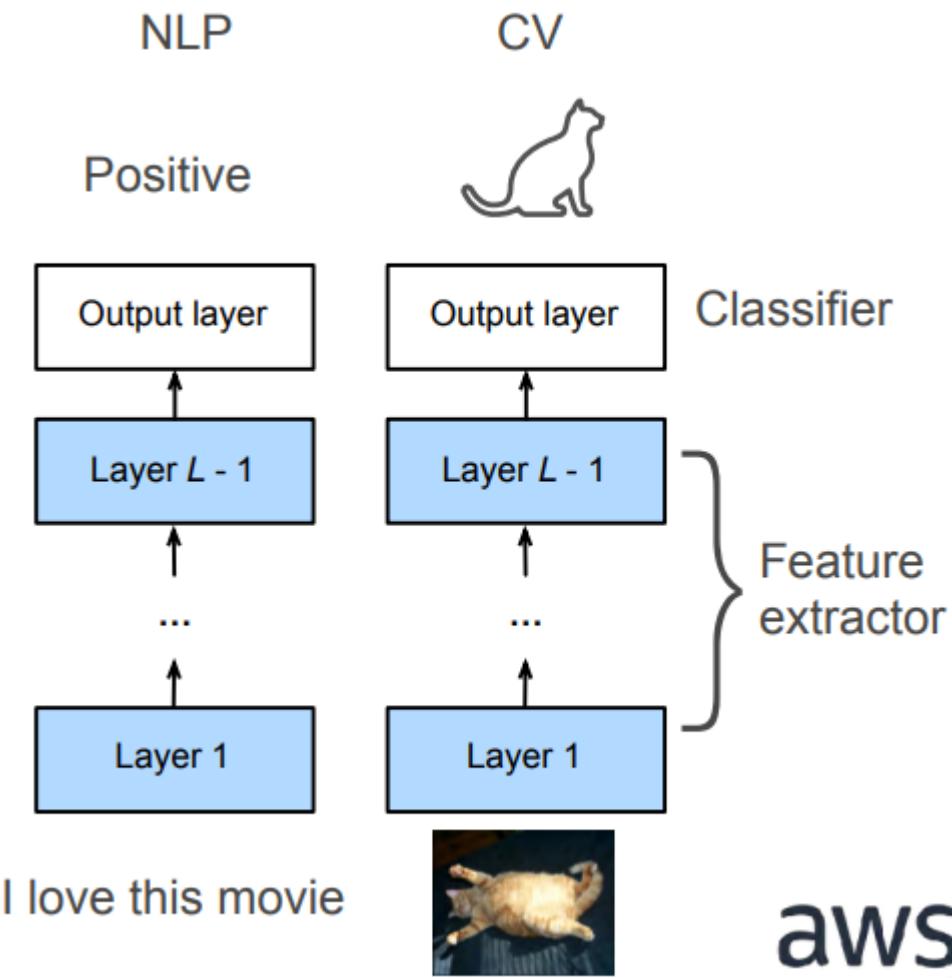
[courses.d2l.ai/berkeley-stat-157/index.html](https://courses.d2l.ai/berkeley-stat-157/index.html)



# Motivation of BERT

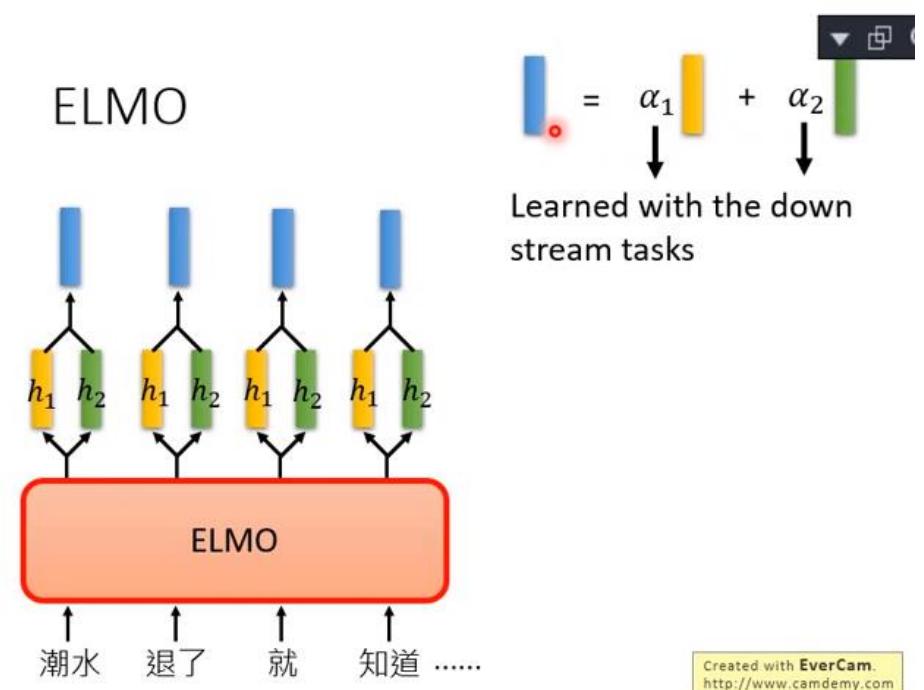
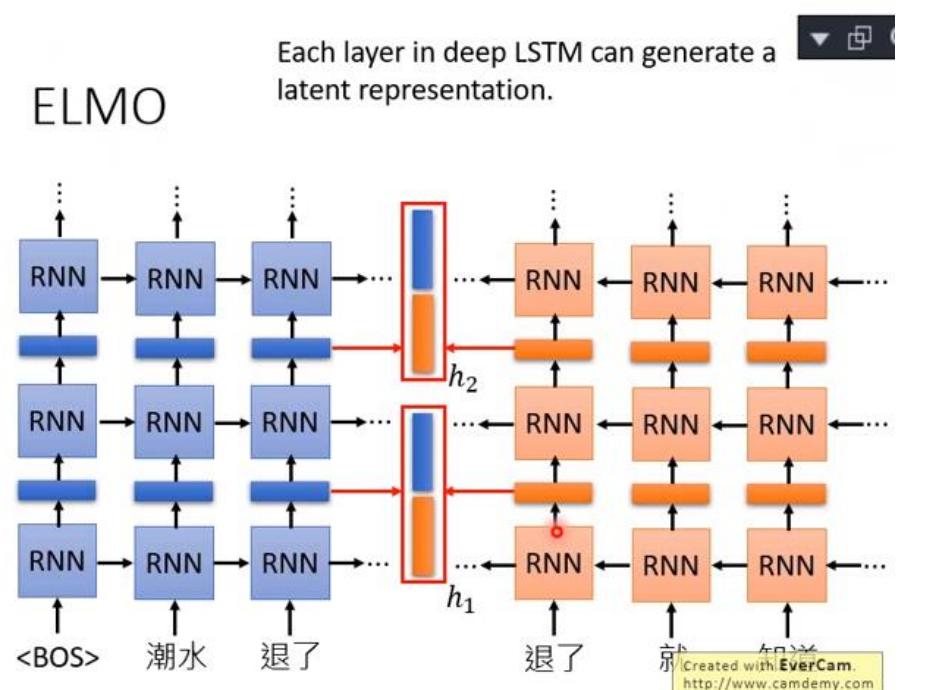
## Motivation

- Fine-tuning for NLP (learning a prior for NLP)
- Pre-trained model captures prior
- Only add one (or more) output layers for new task



# ELMO

- Embeddings from Language Models (為了解決一詞多義)
- BERT 前的 Language Model





## ELMo

- ELMo dynamically determines word embedding in downstream task.
- ELMo generates three embeddings:
  - word embedding
  - 1st LSTM layer embedding
  - 2st LSTM layer embedding
- Pre-training -> get three embeddings ( $v_1, v_2, v_3$ ) per word.
- Fine tuning -> freeze embeddings and train weights ( $w_1, w_2, w_3$ ) for ( $v_1, v_2, v_3$ ) per word.
- The final embedding is  $w_1v_1 + w_2v_2 + w_3v_3$

2 hidden state embedding

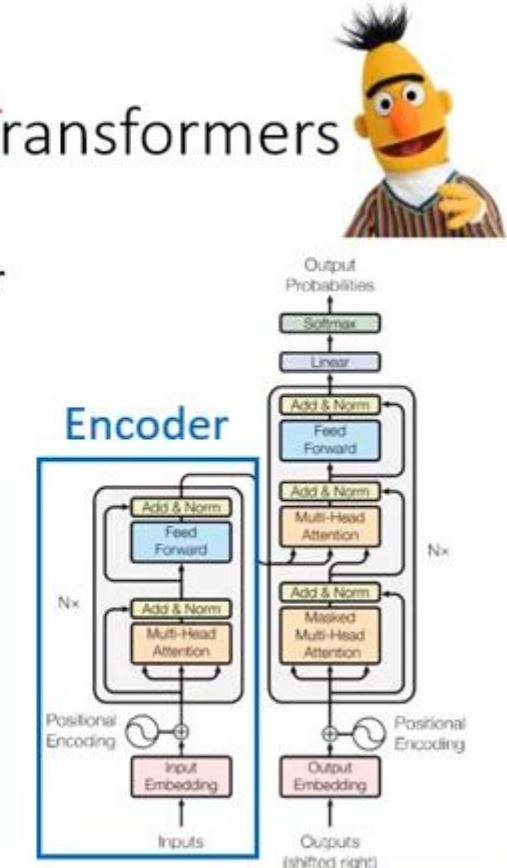
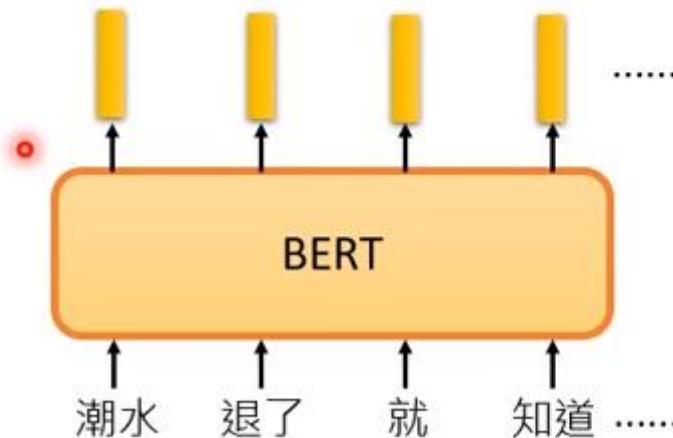
# BERT

- Transformer 的 Encoder
- 輸出一串Embedding

Bidirectional Encoder

Representations from Transformers  
(BERT)

- BERT = Encoder of Transformer  
Learned from a large amount of text  
without annotation



Although I use "word" as unit here, "character" may be a better choice.

Created with EverCam.  
<http://www.camdemmy.com>

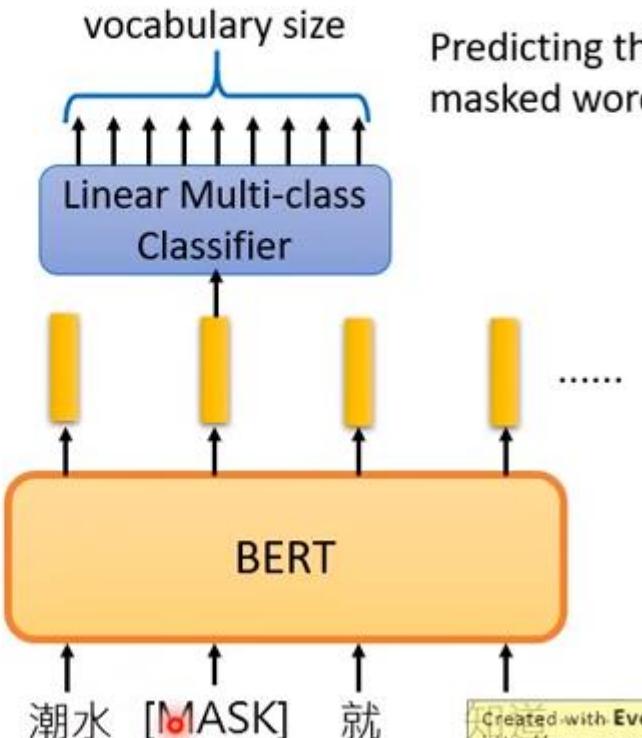
- 預測被mask的詞彙



## Training of BERT

- Approach 1:  
Masked LM

如果兩個詞彙填在同  
一個地方沒有違和感  
那它們就有類似的  
embedding



## Approach 1 – Masked Language Model

- Estimate  $p(x_i | x_{[1:i-1]}, x_{[i+1:n]})$  rather than  $p(x_i | x_{[1:i-1]})$ 
  - Randomly mask 15% of all tokens and predict token
  - 80% of them - replace token with <mask>
  - 10% of them - replace with <random token>
  - 10% of them - replace with <token>

Alex is obnoxious but the **tutorial** is awesome.

Alex is obnoxious but the <mask> is awesome.

Alex is obnoxious but the <banana> is awesome.

Alex is obnoxious but the <tutorial> is awesome.

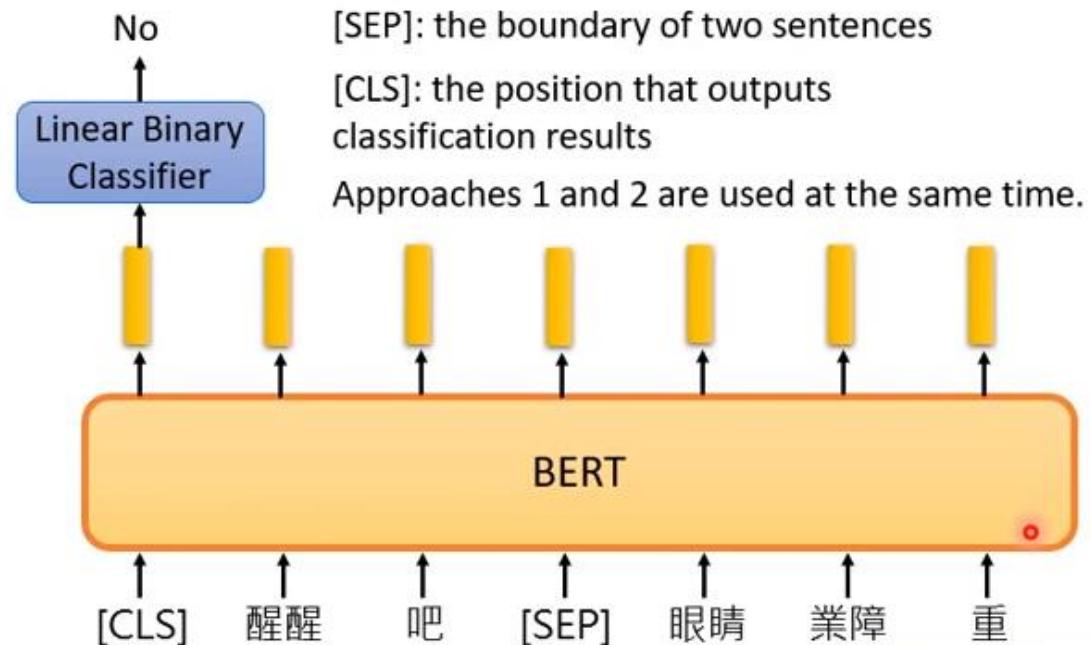
## Approach 2 – Next Sentence Prediction

- 兩種方法同時使用的效果最好

### Training of BERT



#### Approach 2: Next Sentence Prediction



## Approach 2 – Next Sentence Prediction

- Predict next sentence
  - 50% of the time, replace it by random sentence
  - Feed the Transformer output into a dense layer to predict if it is a sequential pair.
- **Learn logical coherence** 可以知道下面的句子對不對

<BOS> Alex is obnoxious <SEP>

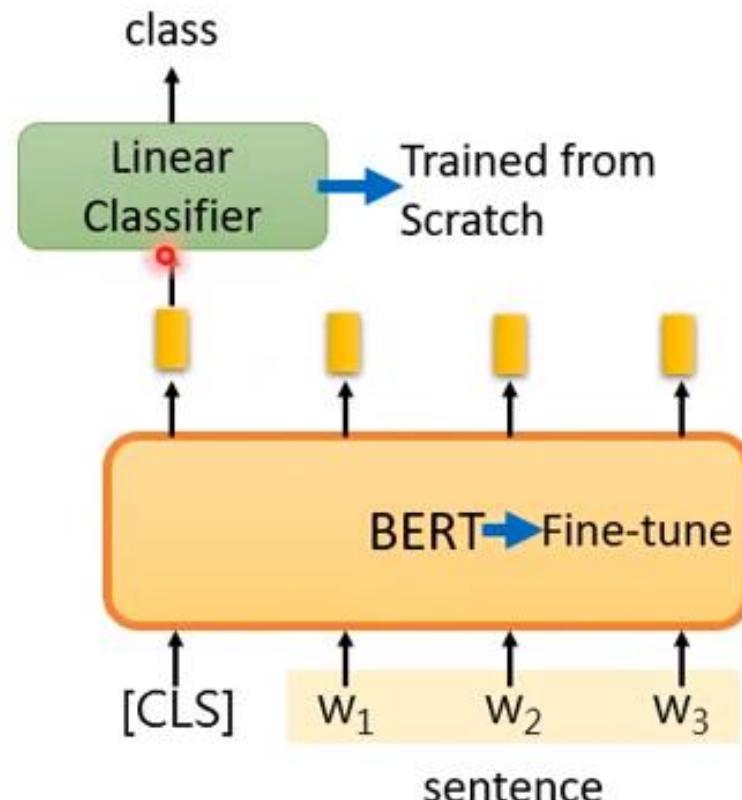
I don't like his shirt

<BOS> Alex is obnoxious <SEP>

Look a Martian



## How to use BERT – Case 1



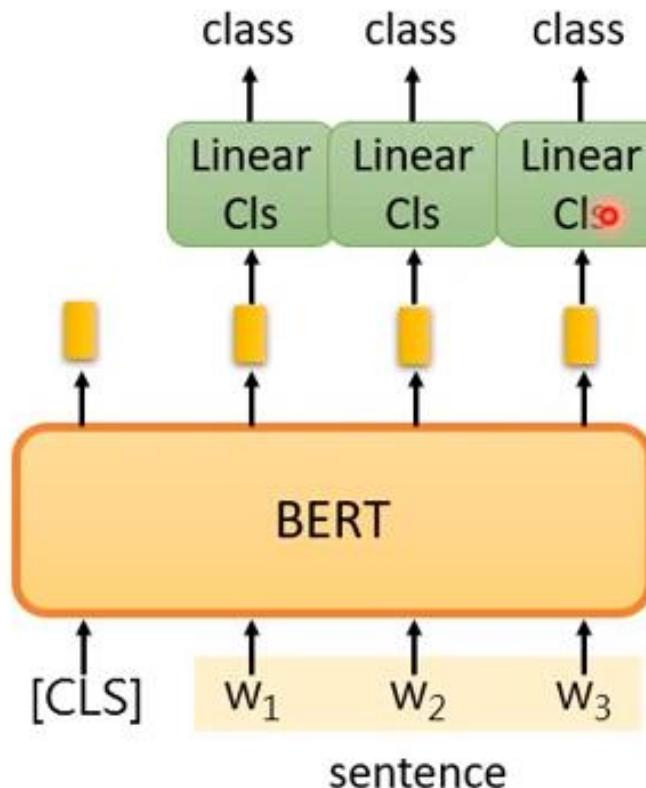
Input: single sentence,  
output: class

Example:  
Sentiment analysis (our  
HW),  
Document Classification

# How to use BERT

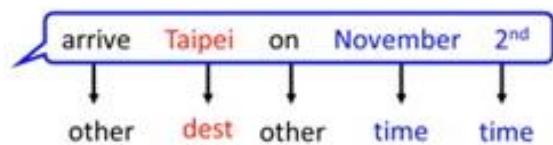


## How to use BERT – Case 2



Input: single sentence,  
output: class of each word

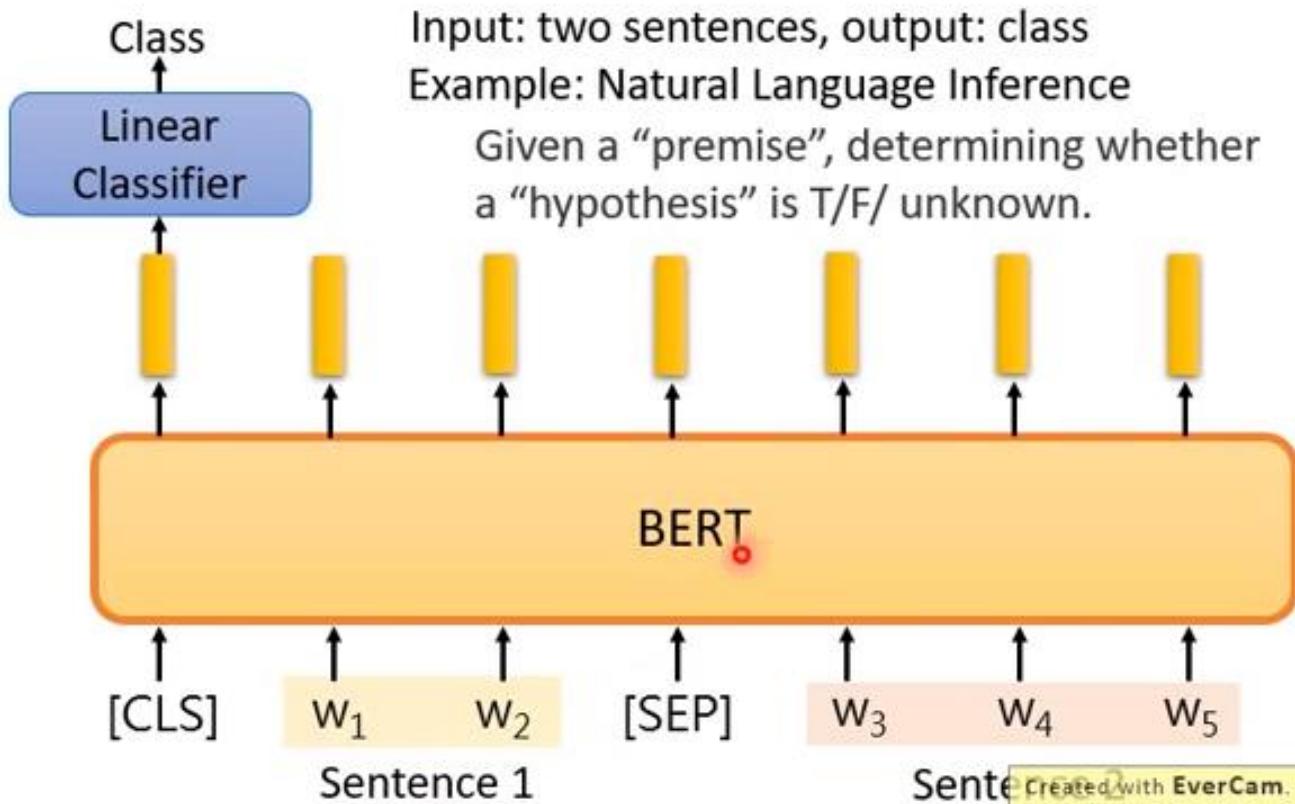
Example: Slot filling



# How to use BERT



## How to use BERT – Case 3



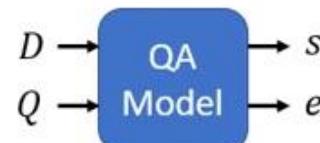
# How to use BERT

## How to use BERT – Case 4

- Extraction-based Question Answering (QA) (E.g. SQuAD)

Document:  $D = \{d_1, d_2, \dots, d_N\}$

Query:  $Q = \{q_1, q_2, \dots, q_M\}$



output: two integers ( $s, e$ )

Answer:  $A = \{d_s, \dots, d_e\}$



In meteorology, precipitation is any product of the condensation of atmospheric water vapor that falls under gravity. The main forms of precipitation include drizzle, rain, sleet, snow, graupel and hail... Precipitation forms as smaller droplets coalesce via collision with other rain drops or ice crystals **within a cloud**. Short, intense periods of rain are called "showers".

What causes precipitation to fall?

**gravity**  $s = 17, e = 17$

What is another main form of precipitation besides drizzle, rain, snow, sleet and hail?

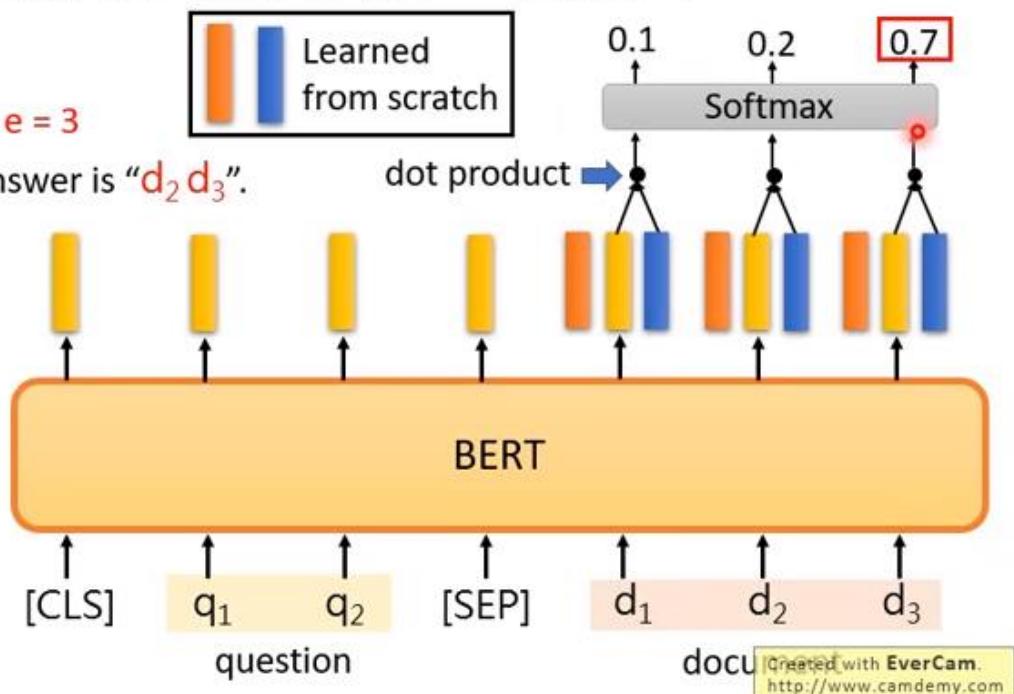
**graupel**

Where do water droplets collide with ice crystals to form precipitation?

**within a cloud**

$s =$

## How to use BERT – Case 4



## GPT uses Transformer **Decoder** (Radford et al., '18)

- Pre-train language model, then fine-tune on each task
- **Trained on full length documents**
- 12 blocks, 768 hidden units, 12 heads
- **SOTA for 9 NLP tasks**
- Language model only looks **forward**
  - I went to the bank to deposit some money.
  - I went to the bank to sit down.

- GPT-2的參數量遠大於GPT (至少10倍)
- Transformer 的 Decoder
- 40GB 網際網路文本

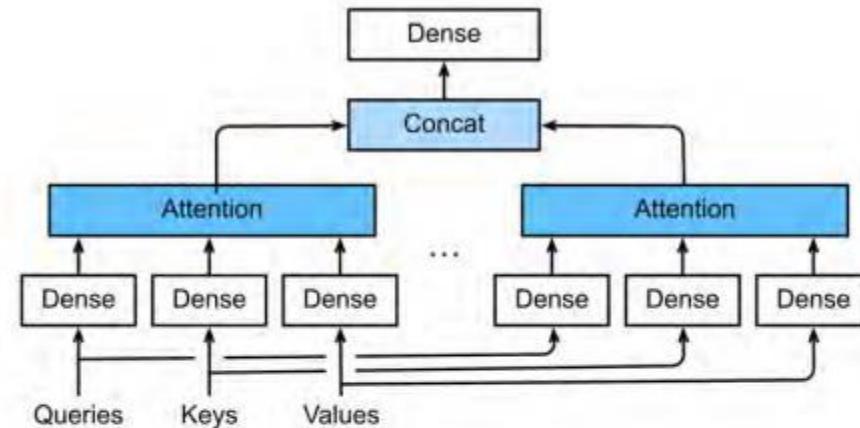
[https://d4mucfpksywv.cloudfront.net/better-language-models/language\\_models\\_are\\_unsupervised\\_multitask\\_learners.pdf](https://d4mucfpksywv.cloudfront.net/better-language-models/language_models_are_unsupervised_multitask_learners.pdf)

## Generative Pre-Training (GPT)



## Architecture

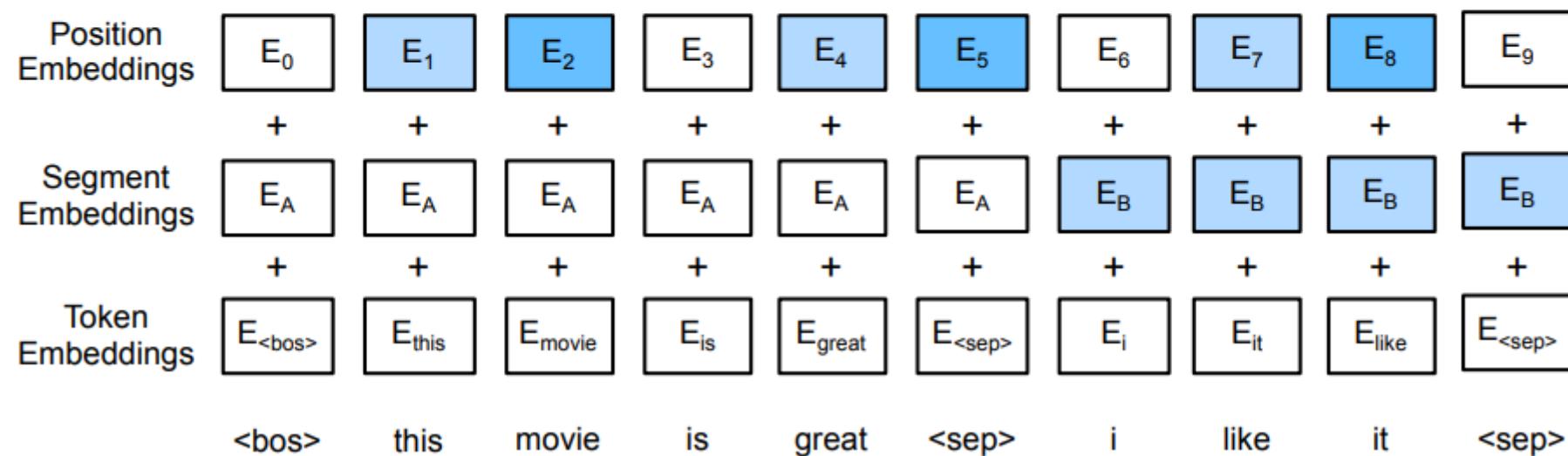
- (Big) transformer encoder
- Train on large corpus (books, wikipedia) with > 3B words



	blocks	hidden units	heads	parameters
small	12	768	12	110M
large	24	1024	16	340M

## Input Encoding

- Each example is a pair of sentences
- Add segment embedding and position embedding



# GPT2

## GPT2 (it gets even bigger, Radford et al., '19)

- Pretrained on 8M webpages (WebText, 40GB)
- Without fine-tuning **SOTA** on 7 language models

	blocks	hidden units	parameters
<b>small</b>	<b>12</b>	<b>768</b>	<b>110M</b>
<b>large</b>	<b>24</b>	<b>1024</b>	<b>340M</b>
<b>GPT2</b>	<b>48</b>	<b>1600</b>	<b>1.5B</b>

## GPT2 Demo ([gluon-nlp.mxnet.io](http://gluon-nlp.mxnet.io))

```
$python sampling_demo.py --model 117M  
Please type in the start of the sentence  
>>> average human attention span is even shorter than that of a  
goldfish  
----- Begin Sample 0 -----  
average human attention span is even shorter than that of a  
goldfish strutting its way down the jaws. An estimate by the USA  
TODAY Science team of 80 human-sized models reveals that a complex  
jaw becomes a grandiose mitesaur in 100 million years, less than an  
exothermic Holocene huge sea lion, and towering 500 meters tall.
```

Similar mitesaur-sized jaws would burden as trillions

Scientists would expect a lost at least four million times as much  
time in the same distances ocean as other mammals

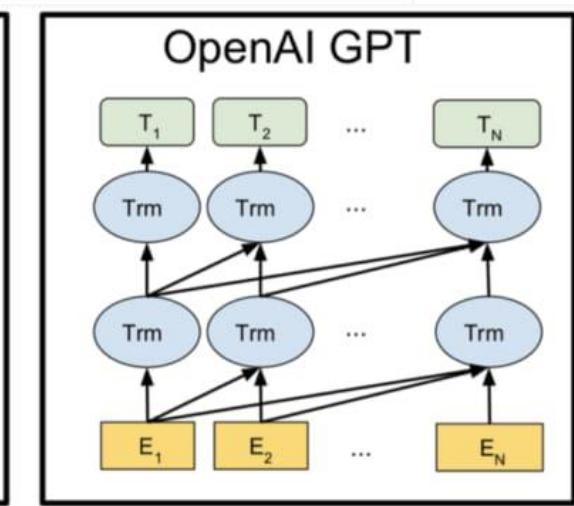
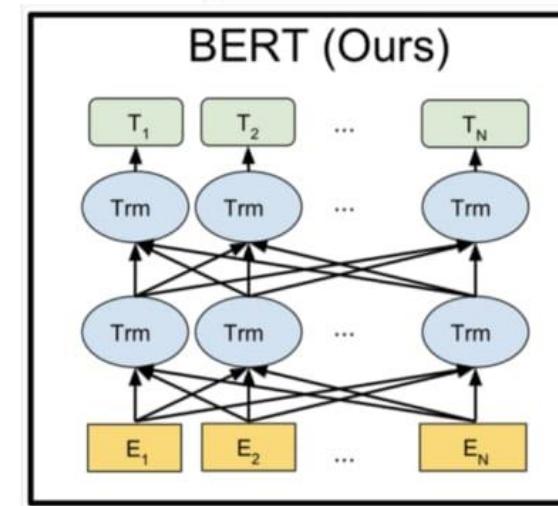
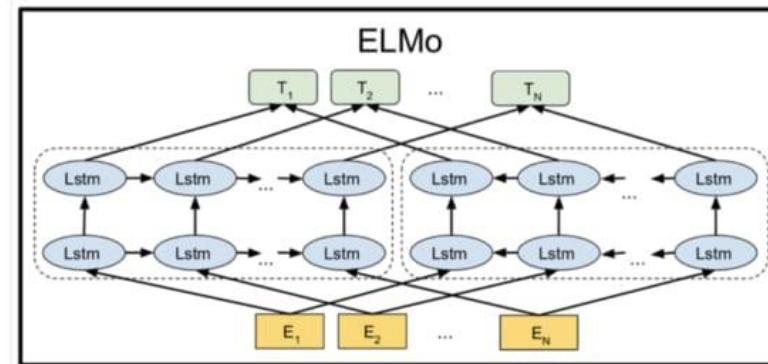
## Q & A

- **Transformer, ELMO, GPT, BERT的目的 & 結構?**

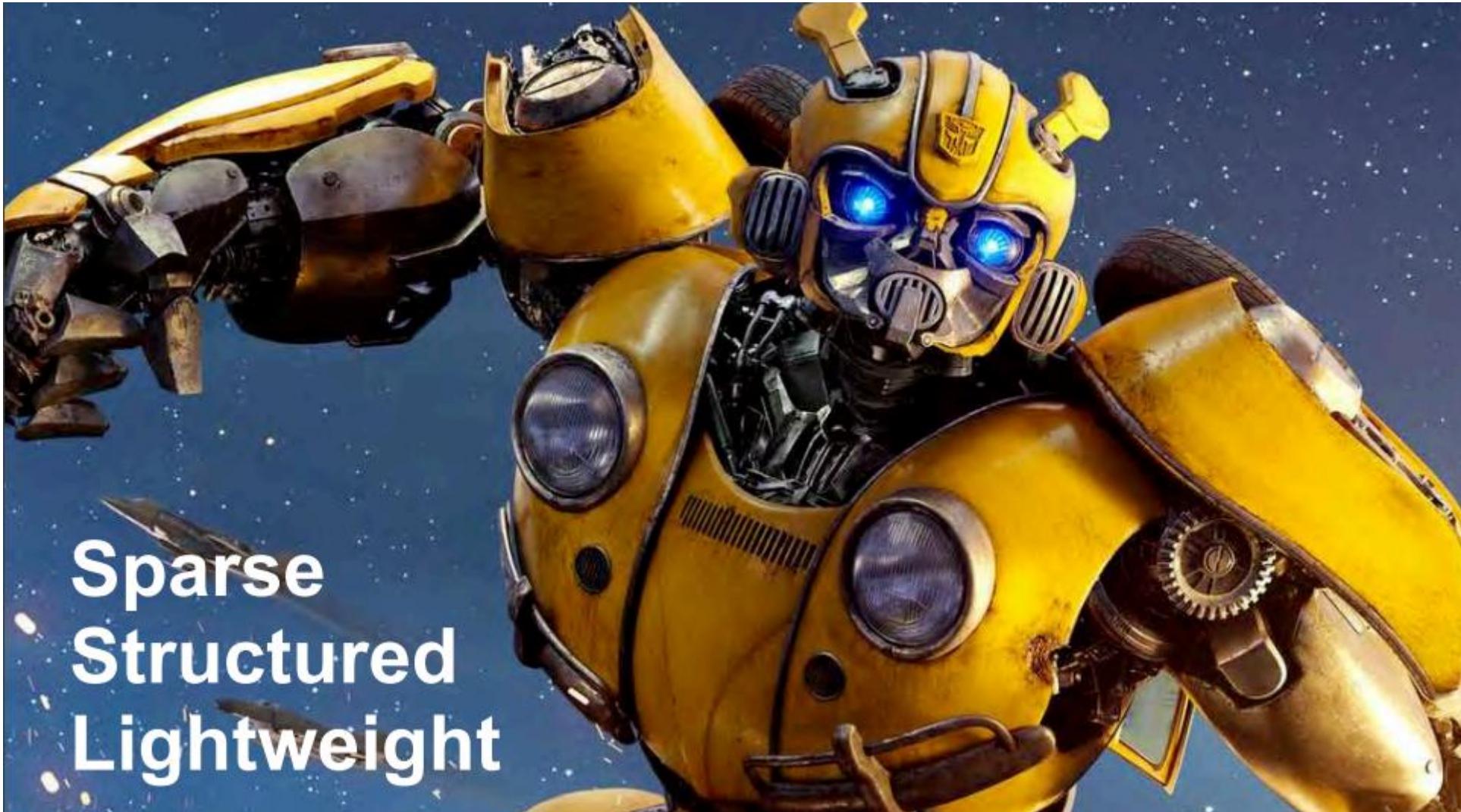
## 三者間的關係

- **ELMO: 動態Embedding**
- **GPT: 簡單使用Transformer的Decoder**
- **BERT: 使用Transformer的Encoder**

與克漏字來訓練



## Challenges



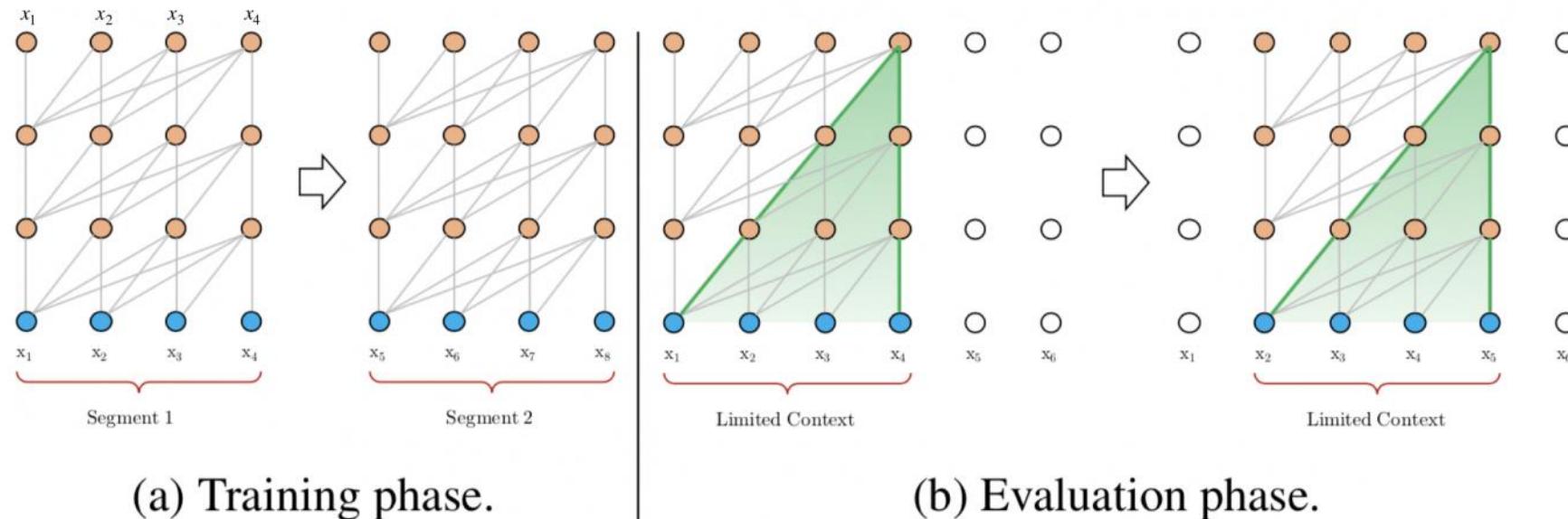
**Sparse  
Structured  
Lightweight**

## And More

- Character-Level Language Modeling with Deeper Self-Attention  
(Rami Al-Rfou et al, 18')
- Generating Long Sequences with Sparse Transformers (Rewon Child 1 et al, 19')
- **Transformer-XL**: Attentive Language Models Beyond a Fixed-Length Context  
(Zihang Dai et al, 19')
- Lightweight and Efficient Neural Natural Language Processing with Quaternion Networks(Yi Tay et al, 19')
- **XLNet**: Generalized Autoregressive Pretraining for Language Understanding(Zhilin Yang et al, 19')

# Character-Level Language Modeling

- 添加**多個loss**來提高其表現以及加快擬合速度，並加深**transformer**的層數
- character-level: 將句子按**character為單位**組成多個batch，每個batch預測一個詞
- 使用 $x_1 \dots x_{n-1}$ 預測字符 $x_n$

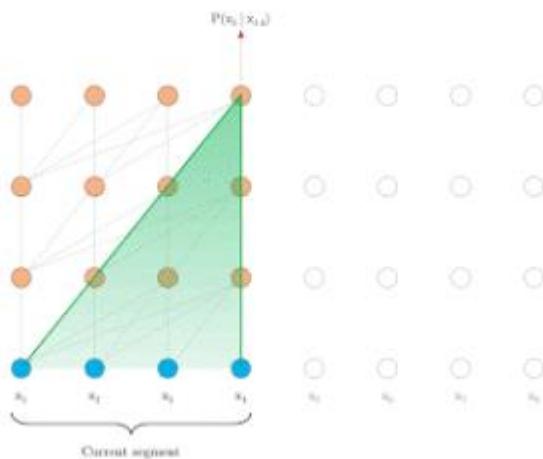


# Transformer-XL

- 想要解決的問題：如何賦予Encoder捕獲長距離依賴的能力
- Transformer的序列長度極限在200左右, BERT的則在512
- 基於Al-Rfou等人提出的vanilla Transformer，並加入了
  - 循環機制（Recurrence Mechanism）
  - 相對位置編碼（Relative Positional Encoding）
- 可以被用於單詞級和character級的語言建模

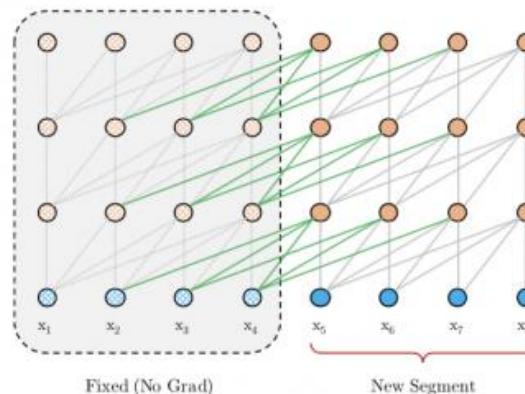
# Vanilla Transformer

- 每個segment分別訓練，之間不產生任何互動
- 隨t時刻移動預測character

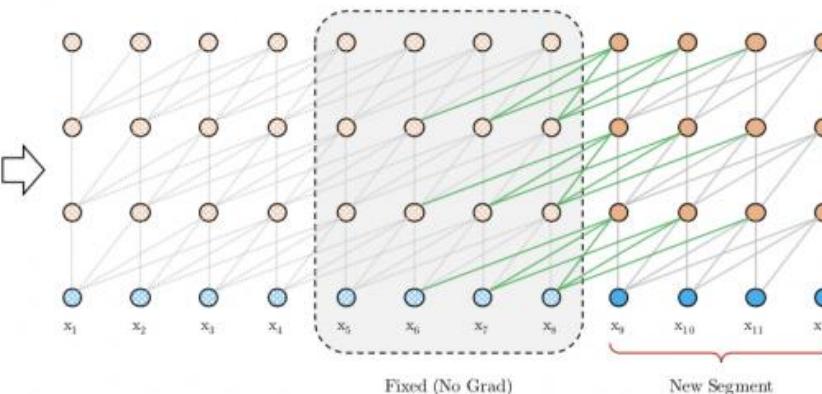


# Transformer-XL

- 循環機制 (Recurrence Mechanism)：
  - 利用之前的信息來實現長期依賴性
  - 灰色箭頭(同vanilla Transformer)為前一個hidden state的output
  - 綠色箭頭使用上一個segment的hidden state  
跨segment



(a) Training phase.



(b) Evaluation phase.

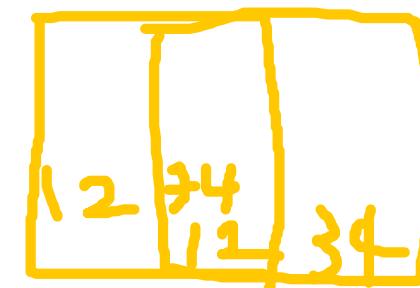
# Transformer-XL

- 相對位置編碼（Relative Positional Encoding）
  - 原始Positional Encoding在每個segment分開處理，因此不同segment會有相同的Positional Encoding
  - 但他們的位置和重要性應該並不相同
  - 新的Encoding方式是根據相對距離

$W, u, v$ 是要學習的參數

$E$ 為片段s的詞向量

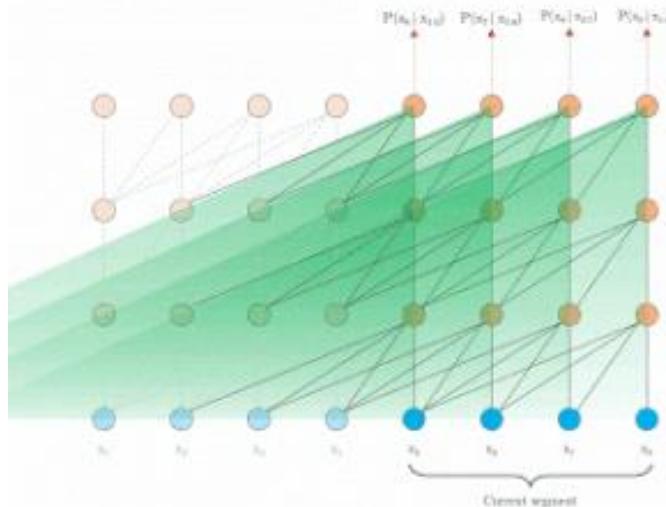
$R$ 為相對位置



$$\begin{aligned}\mathbf{A}_{i,j}^{\text{rl}} = & \underbrace{\mathbf{E}_{x_i}^\top \mathbf{W}_q^\top \mathbf{W}_{k,E} \mathbf{E}_{x_j}}_{(a)} + \underbrace{\mathbf{E}_{x_i}^\top \mathbf{W}_q^\top \mathbf{W}_{k,R} \mathbf{R}_{i-j}}_{(b)} \\ & + \underbrace{u^\top \mathbf{W}_{k,E} \mathbf{E}_{x_j}}_{(c)} + \underbrace{v^\top \mathbf{W}_{k,R} \mathbf{R}_{i-j}}_{(d)}\end{aligned}$$

# Transformer-XL

- 在不需要重新計算的情況下處理新段中的所有元素，顯著提高了速度  
(每個input都做預測，vanilla只在最後做預測) input sequence=true



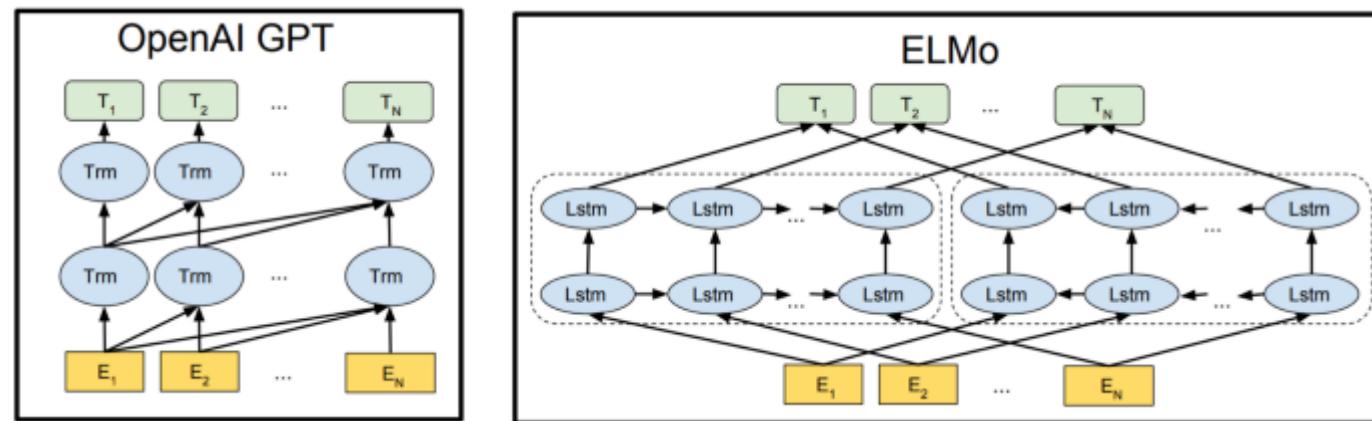
# XLNet

- 改善了ELMo, GPT, BERT的缺點
- 有ELMo, GPT的AR (AutoRegressive)性質
- 也有BERT的AE性質，能夠捕捉bidirectional context的訊息
- 最後再使用Transformer-XL訓練大型文本的架構
- NLP趨勢: Pre-train model + Downstream(transfer learning)

<https://medium.com/ai-academy-taiwan/2019-nlp%9C%80%E5%BC%B7%E6%A8%A1%E5%9E%8B-xlnet-ac728b400de3>

# XLNet

- 自回歸語言模型（AutoRegressive LM）
  - 從左向右的語言模型任務
  - AR的思想就是一個詞只能從上文或者下文任一個方向來判斷
  - ELMo講簡單一點就是一次訓練兩個AR，本質上還是一個AR模型。



# XLNet

- 自編碼語言模型（AutoEncoding LM）
  - BERT的MLM(Masked Language Model)
  - 能夠抓到上下文的資訊
  - **Input noise**: 只有Pre-train會用到<mask>, Finetune時則不會, 且mask後會失去部分位置資訊

BERT

Pre-train:

	$x_{mask}$	$x_2$	$x_3$	$x_4$
$x_{mask}$	●	●	●	●
$x_2$	●	●	●	●
$x_3$	●	●	●	●
$x_4$	●	●	●	●

Fine-tune:

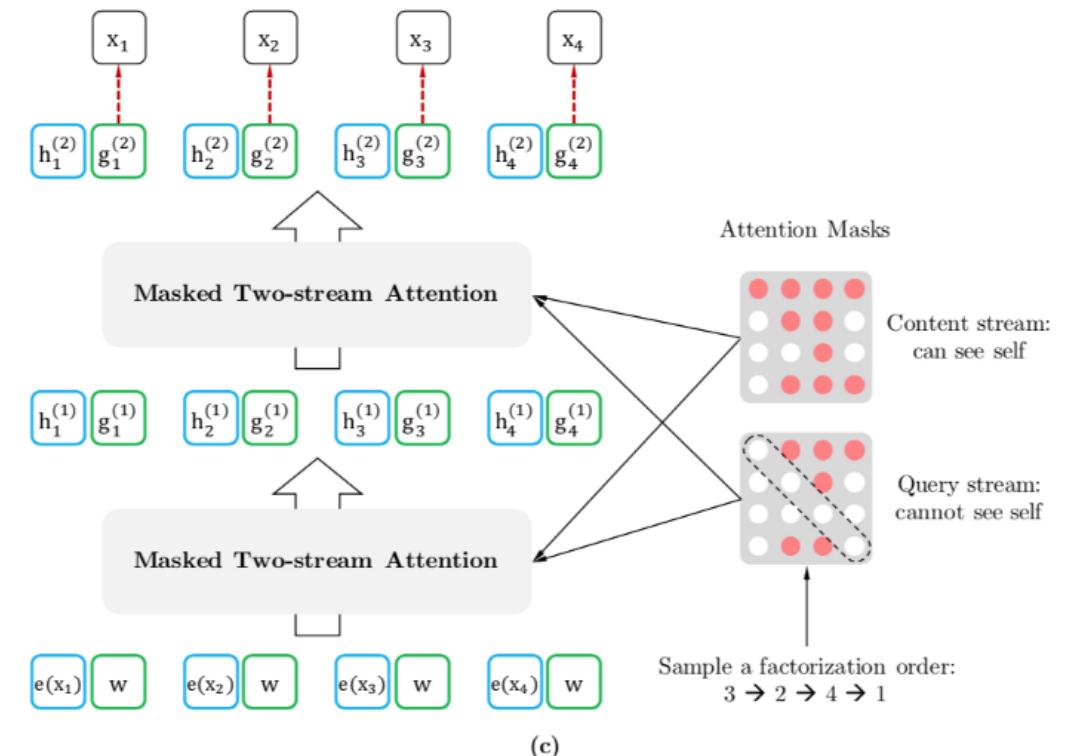
	$x_1$	$x_2$	$x_3$	$x_4$
$x_1$	●	●	●	●
$x_2$	●	●	●	●
$x_3$	●	●	●	●
$x_4$	●	●	●	●

● : Attention mark

<https://zhuanlan.zhihu.com/p/70257427>

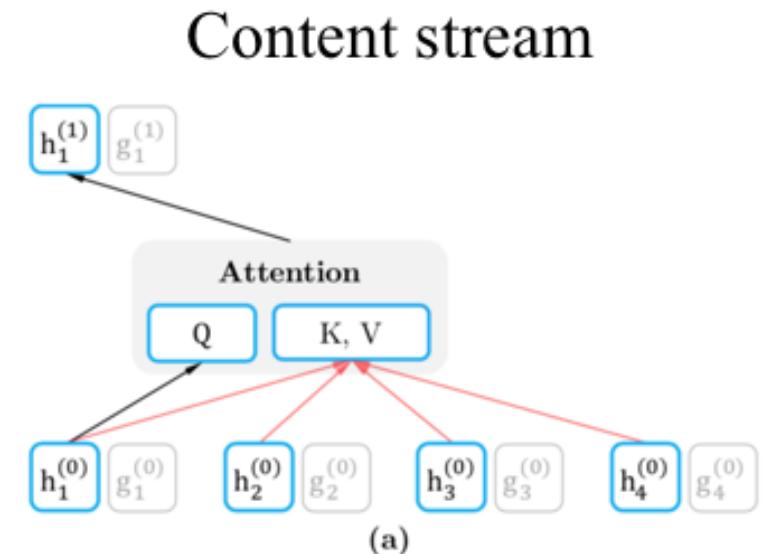
# XLNet

- Two-Stream Self-Attention
  - 使用Content stream以及Query stream
  - Content stream負責學習上下文
  - Query stream取代`<Mask>` token，把Content stream產生的representation拿來做預測



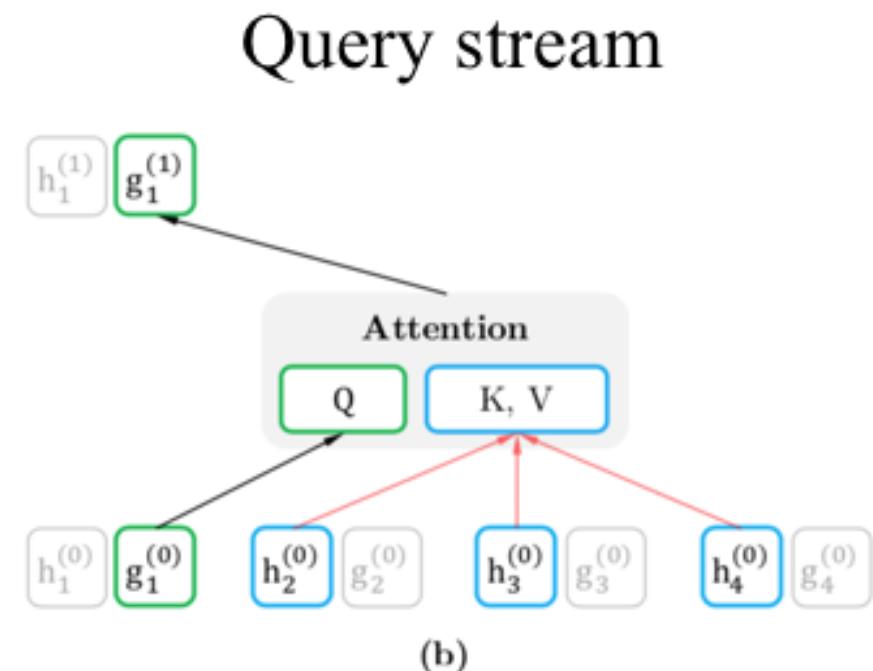
# XLNet

- Content stream
  - 就是一個標準的self-attention
  - $h_1$ 在進行Attention時會使用到QKV
  - $h_1$ 作為Q， $h_1 \sim 4$ 作為K與V  
( $h_1$ 與 $h_1 \sim 4$ 進行attention)
  - 接著再與V相乘得到 $h_1$ 的representation



# XLNet

- Query Stream
  - 在Pre-train擔任預測單詞的作用
  - 另外設置一個representation g
  - 把當前t位置的attention weight mask掉  
**(Attention Mask)**



謝謝聆聽

Thank you