

1 Size-differentiated Export in Different Dynamical 2 Regimes in the Ocean

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6 **Key Points:**

- 7 Submesoscale dynamics enhance the contribution of slow-sinking particles to
8 POC export, especially for steep particle size-spectrum slopes
- 9 Remineralization processes intensify the role of slow-sinking particles, to the
10 point where these particle sometime dominate POC export

11 **Abstract**

12 Export of Particulate Organic Carbon (POC) is mainly driven by gravitational sinking.
 13 Thus, traditionally, it is thought that larger, faster-sinking particles make up most of
 14 the POC export flux. However, this need not be the case in a dynamic oceanic flow
 15 field, where the ocean velocity can influence the descent rate of particles. Particles
 16 with different settling speeds are released in two process-oriented model simulations
 17 of an upper ocean eddying flow to evaluate the impact of (1) ocean dynamics on the
 18 respective contribution of the different sinking-velocity classes to POC export, and (2)
 19 the particle number size-spectrum slope. The analysis reveals that the leading export
 20 mechanism changes from gravitationally-driven to advectively-driven as submesoscale
 21 dynamics become more important. The vertical velocity associated with submesoscale
 22 dynamics enhances the contribution of slower-sinking particles to POC export^{c1}, es-
 23 pecially where the relative abundance of small particles is larger, as captured by a
 24 steeper particle size-spectrum slope. ^{c2}Remineralization ^{c3} generally decreases the
 25 total amount of biomass exported, but its impact is weaker in dynamical regimes where
 26 submesoscale dynamics are present and export is advectively-driven. ^{c4}In an advec-
 27 tively-driven export regime, remineralization processes counter-intuitively enhance the
 28 role of slower-sinking particles to the point where these slower-sinking velocity classes
 29 dominate the export, therefore challenging the traditional paradigm for POC export.
 30 This study demonstrates that slow-sinking particles can be a significant contribution,
 31 and at times, even dominate the export flux.

32 **1 Introduction**

33 Photosynthesis in the sunlit upper ocean and the production of Particulate Or-
 34 ganic Carbon (POC) takes up dissolved inorganic carbon and facilitates the uptake
 35 of CO₂ from the atmosphere. The sinking of POC exports organic carbon from the
 36 upper ocean to the interior, leading to the sequestration of carbon (Falkowski, Barber,
 37 & Smetacek, 1998) on timescales ranging from days to years depending on the sink-
 38 ing depth and circulation. Understanding the mechanisms driving the export of POC
 39 from the ocean's surface to the interior is therefore crucial to better constrain Earth's
 40 carbon budget.

41 Traditionally, POC export is thought to occur through gravitational sinking and
 42 one-dimensional models have been used to describe the sinking POC flux with depth.
 43 Particles produced through primary and secondary production in the surface layer
 44 that are relatively large and fast-sinking, tend to sink out of the upper surface layer
 45 on timescales shorter than the timescale on which the particles get remineralized.
 46 It is reasonable to treat POC export as sinking-dominated if the vertical advective
 47 velocities in the ocean are weaker than the velocities associated with gravitational
 48 sinking. However, Particulate Organic Matter (POM) has a wide range of particle
 49 shape, size and type, that result in particle sinking velocities ranging from practically
 50 zero, to several hundreds of meters per day. The size spectrum, or number distribution
 51 of particle sizes, is usually characterized by a power law with the power ranging between
 52 -2 and -4, for which the abundance of small particles is $\mathcal{O}(10^4 - 10^8)$ greater than large
 53 particles. The biomass size spectrum, which indicates the distribution of biomass vs.
 54 particle size, tends to be flatter and variable in shape (Sheldon, Prakash, & Sutcliffe,
 55 1972) compared to the particle number spectrum, because the volume (and mass) of

^{c1} A steeper particle size spectrum, also increases the relative contribution of smaller, slower-sinking particles

^{c2} Implementing a r

^{c3} scheme

^{c4} Under specific conditions

a particle scales with its linear size raised to a power that exceeds 1 (and typically varies between 2 and 3 depending on shape and porosity). Importantly, it means that a significant fraction of the particulate biomass is in the small size fraction. Even though the sinking velocity w_s of particles does not perfectly relate to particle size l , it is fair to assume that $w_s \sim l^n$ (with $n = 2$ according to Stokes law, and $1 < n < 2$ for complex particle shapes). Due to this, as well as the fact that particles of organic matter are not very much greater in their densities than seawater, a significant fraction of the biomass sinks very slowly (at velocities less than tens of meters per day). When the gravitational sinking velocity of particles is comparable to (or smaller than) the vertical velocities in the flow field, the dynamics of the flow field can impact the trajectories and fate of the POC. Thus, depending on the flow dynamics, and the fraction of slow-sinking particulate biomass, the sinking of organic matter can be affected by the fluid flow in the ocean.

Recent studies have shown that ocean dynamics can play a role in driving the transport of carbon from the euphotic layer to the ocean interior. For example, enhanced vertical velocities along the edge of a mesoscale eddy led to a funneling of particles along the eddy's periphery (van Haren, Millot, & Taupier-Letage, 2006; Waite et al., 2016). Omand et al. (2015) found that submesoscale mixed layer eddies, while contributing to the restratification of a frontal zone, were subducting a large amount of non-sinking POC from the surface productive layer during the onset of the Spring bloom in the subpolar North Atlantic. Advectively subducting plumes or filaments of high oxygen, chlorophyll and small POC (evidenced through backscatter) were detected from a suite of gliders during the North Atlantic Bloom experiment (Alkire et al., 2012). Using model simulations to capture the process of eddy-driven subduction, Omand et al. (2015) estimated the downward advective flux of non-sinking POC and parameterized it. Briggs et al. (2011) quantified the flux of fast-sinking particles consisting largely of diatoms from observations of optical backscatter. But, these estimates did not account for a range of sinking particle velocities. Typically, particulate organic matter (POM) has a wide spectrum of sinking velocities and in order to understand its fate and export, we need to consider the biomass distribution as a function of the particle sinking velocity spectrum and its interaction with the dynamics of the flow field in the ocean.

A growing body of literature focusing on submesoscale (1-10 km) dynamics is exploring its impact on biogeochemical processes (Lévy, Ferrari, Franks, Martin, & Rivière, 2012; Mahadevan, 2016). Submesoscale dynamics, characterized by Rossby numbers of order 1, typically develop in filaments in areas where sharp density fronts exist (Klein & Lapeyre, 2009; McWilliams, 2016; Thomas, Taylor, Ferrari, & Joyce, 2013). In this dynamical regime, geostrophic balance breaks down and a secondary ageostrophic circulation develops across the front, capable of generating large vertical velocities on the order of 100 m/day (Fox-Kemper, Ferrari, & Hallberg, 2008; Mahadevan, 2016). On the denser side of the front, the vorticity is cyclonic and associated with downwelling velocities, while anticyclonic vorticity and upwelling is expected on the lighter side of the front. The distribution of relative vorticity across a front is asymmetric and skewed toward cyclonic vorticity (Rudnick, 2001), leading to more localized and more intense downwelling regions, as opposed to weaker and larger upwelling regions (Mahadevan & Tandon, 2006). Enhanced vertical velocities can generate a local bloom by supplying nutrients to the sunlit layer of the ocean (Lévy, Klein, & Treguier, 2001; Mahadevan & Archer, 2000), or can significantly increase the export of POC to the ocean interior through downwelling (Estapa et al., 2015; Gruber et al., 2011; Lévy et al., 2012; Omand et al., 2015).

The downwelling velocities $\mathcal{O}(100 \text{ m/day})$ generated at submeso-scales provide a physical mechanism capable of competing with gravitational sinking and thus exporting particles over a larger portion of the particle size spectrum. Through this

109 mechanism, smaller particles can be exported on timescales shorter than their rem-
 110 ineralization timescales, despite their slower sinking velocities. Depending on the frac-
 111 tion of biomass in smaller particles (i.e., with slow sinking velocities), the impact of
 112 submesoscale dynamics on the export of POC is potentially significant.

113 In this study, we account for a range of particle sinking velocities in a dynamic
 114 flow field. Despite progress on sampling and viewing particles in the ocean (McDonnell
 115 & Buesseler, 2010), direct measurements of particles sinking velocities are difficult
 116 to obtain, and often inferred from key parameters such as particle type, size, and
 117 density. Though we acknowledge a large variability in these relationships, we assume
 118 a relationship between particle size, biomass, and particle sinking velocity in order to
 119 assess the impact of the flow dynamics and particle size spectrum on the export flux.

120 We rely on a submesoscale-resolving, non-hydrostatic ocean model to simulate the
 121 dynamics in the upper few hundred meters of the ocean. The model does not represent
 122 surface waves or boundary layer turbulence, but rather, examines the fate of particulate
 123 organic matter beneath the turbulent surface boundary layer. The dynamical model
 124 is coupled with a particle-tracking module to model the advection of particles by fluid
 125 flow, while neglecting the effects of particle inertia and drag on their advection. In
 126 addition, the particles sink with a range of sinking velocities (between 0.025–5 m
 127 day⁻¹).

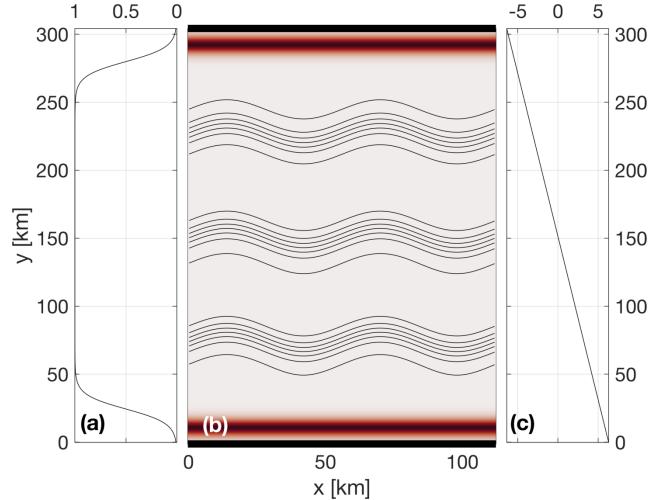
128 The model is used to quantify the contribution of slow-sinking particles to carbon
 129 export, as a function of (1) the dynamics of the flow field, (2) the slope of the sinking
 130 velocity spectrum, and (3) the remineralization timescale. Particles in the model
 131 are prescribed with both a constant and time-varying sinking velocity to mimic a
 132 remineralizing behavior. Particles are released in two fundamentally different flow
 133 fields in terms of dynamics based on observed conditions in the Northeast Pacific:
 134 In the summer, where ocean dynamics are characterized by low Rossby numbers and
 135 weak vertical advective velocities, and in the winter, where ocean dynamics include
 136 submesoscale frontal structures and local Rossby numbers $\mathcal{O}(1)$. Both simulations
 137 and the particle-tracking module are described in Section 2. The impact of particles
 138 characteristics and ocean dynamics on the export of POC is quantified in Section 3,
 139 and discussed in Section 4. Section 5 summarizes the key conclusions of the study.

140 2 Methods

141 2.1 Model setup and domain

142 This study uses a non-hydrostatic, three-dimensional, Process Study Ocean Model
 143 (PSOM; Mahadevan, Olinger, & Street, 1996a, 1996b) to simulate an eddy field that is
 144 representative of the Northeast Pacific Ocean. The model is set in a channel configura-
 145 tion with periodic east-west boundaries, and solid boundaries in the south and north.
 146 The domain covers 112 km in the x-direction, 304 km in the y-direction, and 1000 m
 147 in the vertical (Figure 1). The horizontal resolution is 500 m, while a stretched grid is
 148 used in the vertical with 32 levels ranging in thickness from 1.25 m near the surface to
 149 70 m at the lowermost level. The model is integrated numerically in time and evolves
 150 the temperature, salinity, free-surface height, pressure, and three-dimensional velocity
 151 field from an initial state, subject to momentum and buoyancy fluxes applied through
 152 the surface boundary.

160 Time-varying wind stress and heat flux are prescribed at the surface boundary.
 161 Time series are computed from measurements collected at Station Papa and available
 162 through the Pacific Marine Environmental Laboratory (PMEL, 2018). Daily wind
 163 stress and net heat fluxes are calculated over the period 2007–2016 to produce a year-
 164 long climatology. A squared low-pass filter with a cut-off frequency of 8.5 days is
 165 applied to both time series to remove high-frequency variability. In all numerical



153 **Figure 1.** PSOM model setup. (a) Meridional profile of scaling coefficient that multiplies the
 154 time-varying zonal wind stress τ_x shown in Fig. 3a. The taper at north and south boundaries
 155 prevents ‘coastal’ up-/down-welling being entirely concentrated in the boundary grid cell. (b)
 156 Restoration factor (color shading) used to dampen internal wave reflection at boundaries as well
 157 as up-/down-welling due to the windstress curl. Surface density contours (black) show the three
 158 fronts used to initialize the model. (c) Meridional variation of the time-dependent surface heat
 159 flux (Fig. 3a) prescribed over the domain.

166 experiments, simulations are run for the first 5 days without any forcing applied to
 167 the surface boundary. Surface wind stress and heat fluxes are then linearly ramped up
 168 between days 5 and 10 of the simulation, to reach realistic values at day 10.

169 While the meridional component, τ_y , is set to zero, the zonal component of
 170 the wind stress, τ_x , is prescribed at the surface throughout the model domain and
 171 is tapered at the northern and southern boundaries to avoid excessive Ekman-driven
 172 upwelling and downwelling (Figure 1a). A restoration timescale is prescribed to contain
 173 the curl-driven upwelling and downwelling regions generated by the tapering of the
 174 wind stress, as well as to limit internal wave reflection at the solid boundaries back
 175 into the domain (Figure 1b). While net surface heat fluxes are homogeneous in the
 176 zonal direction, a meridional gradient is maintained throughout the simulation. The
 177 meridional gradient was determined from the North American Regional Reanalysis
 178 (NARR) product (Mesinger et al., 2006), and set to $1/24 \text{ W/m}^2/\text{km}$ (Figure 1c).

179 Initial hydrographic conditions are determined from a three-dimensional gridded
 180 field of temperature and salinity from Argo floats (Gaillard, 2015; Gaillard, Reynaud,
 181 Thierry, Kolodziejczyk, & von Schuckmann, 2016). Argo data is averaged monthly
 182 over the period 2002-2012 and two different months are used to initialize the two main
 183 numerical experiments for this study: Climatological conditions in April are used to
 184 initialize the *Papa-summer* experiment, while January climatological conditions are
 185 used to initialize the *Papa-winter* experiment (Table 1). The north-south background
 186 density gradient is then intensified into three fronts located at $y = 75$, $y = 150$, and
 187 $y = 225 \text{ km}$ (Figure 1). The amplitude of the density gradient associated with the three
 188 fronts is determined from the probability distribution function (PDF) of the density
 189 gradients measured by underwater gliders deployed around Station Papa over the
 190 period 2008-2010 (Pelland, 2018; Pelland, Eriksen, & Cronin, 2016). To reduce model
 191 spin-up time, density fronts are perturbed by a sinusoidal wave with a wavelength close

220 **Table 1.** Summary of the key characteristics of PSOM experiments *Papa-summer* and
 221 *Papa-winter*.

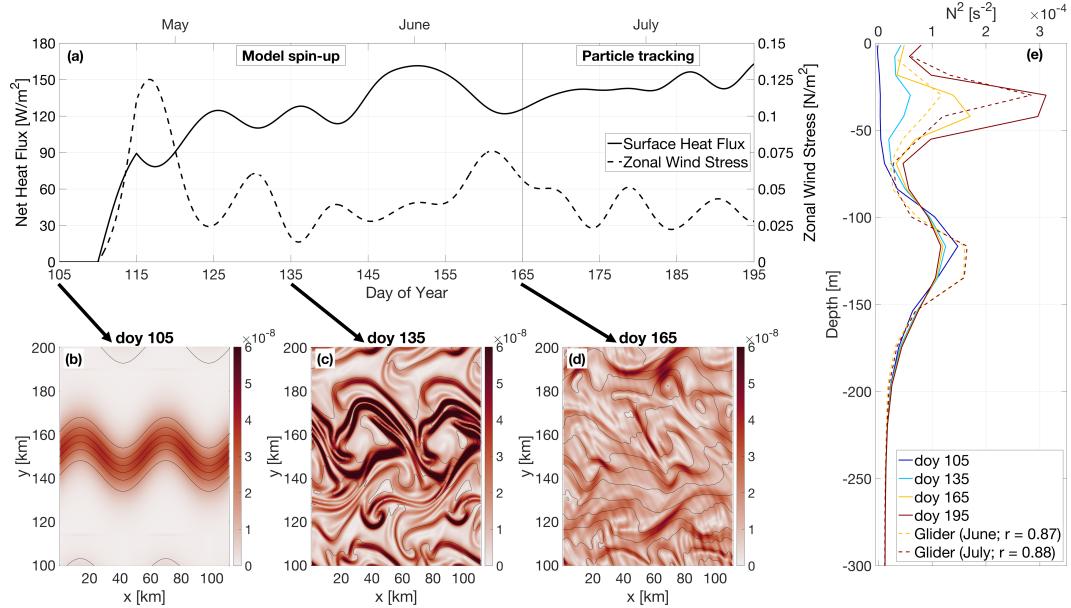
	<i>Papa-summer</i>	<i>Papa-winter</i>
Time period	April – July	January – March
Spin-up	60 days	50 days
Advective timestep	216 s	108 s
Horizontal diffusivity	1 m ² s ⁻¹	0.2 m ² s ⁻¹
Restoration timescale	3 days	15 days
Zonal wind stress	0 – +0.16 N m ⁻²	-0.05 – +0.17 N m ⁻²
Surface heat flux	-46.8 – +167.5 W m ⁻²	-57.6 – +15.3 W m ⁻²
Maximum M ² ($\times 10^{-8}$)		
initial	3.2 s ⁻²	33.9 s ⁻²
spun-up	12.0 s ⁻²	50.0 s ⁻²
Maximum N ² ($\times 10^{-4}$)		
initial	1.5 s ⁻²	1.6 s ⁻²
spun-up	3.1 s ⁻²	1.1 s ⁻²
Averaged mixed layer depth		
initial	73 m	85 m
spun-up	11 m	93 m

192 to the 1st baroclinic deformation radius ($\lambda = 66$ km). Similar PSOM configurations
 193 were successfully used in previous studies (Mahadevan, D’Asaro, Lee, & Perry, 2012;
 194 Omand et al., 2015).

195 Two main experiments are conducted using the same configuration of PSOM,
 196 where only initial conditions and surface forcings are varied: *Papa-summer* aims
 197 at generating ocean dynamics representing conditions in the Northeast Pacific in
 198 the summertime. Summer ocean dynamics are characterized by a flow generally in
 199 geostrophic balance, with relatively weak density gradients and low Rossby numbers
 200 ($\ll 1$). *Papa-winter* aims at capturing wintertime ocean conditions in the region. A
 201 different dynamical regime is expected to dominate during wintertime when mixed
 202 layers are deeper and lateral density gradients enhanced, with sharper density fronts,
 203 filament-like features and localized Rossby number $\mathcal{O}(1)$ over spatial scales $\mathcal{O}(1$ km)
 204 (Callies, Ferrari, Klymak, & Gula, 2015; Mensa et al., 2013; Thompson et al., 2016).
 205 The individual characteristics of each of
 206 *Papa-summer* and *Papa-winter* are detailed below.

207 2.1.1 *Papa-summer* Model Experiment

208 In *Papa-summer*, PSOM is initialized based on climatological Argo data in April.
 209 The magnitude of the density gradient across the front is set to 3.34×10^{-6} kg/m³/m,
 210 which corresponds to the 95th percentile of the PDF of density gradients measured
 211 in April from glider data collected in the region (Figure 2 and Table 1). The model
 212 is run with a timestep of 216 s and is allowed to spin-up for 60 days, allowing sum-
 213 mer stratification to develop. The model is then run for 30 additional days, saving
 214 instantaneous model fields every 3 hours for particle tracking. The month of April
 215 is chosen for initialization so the experiment would capture the onset of positive net
 216 heat fluxes, and the summer restratification that ensues in July-August (Figure 2). In
 217 this region, the summer stratification is associated with large primary productivity,
 218 particle production, and POC export (e.g., fecal pellets, dead phytoplankton; Plant
 219 et al., 2016).



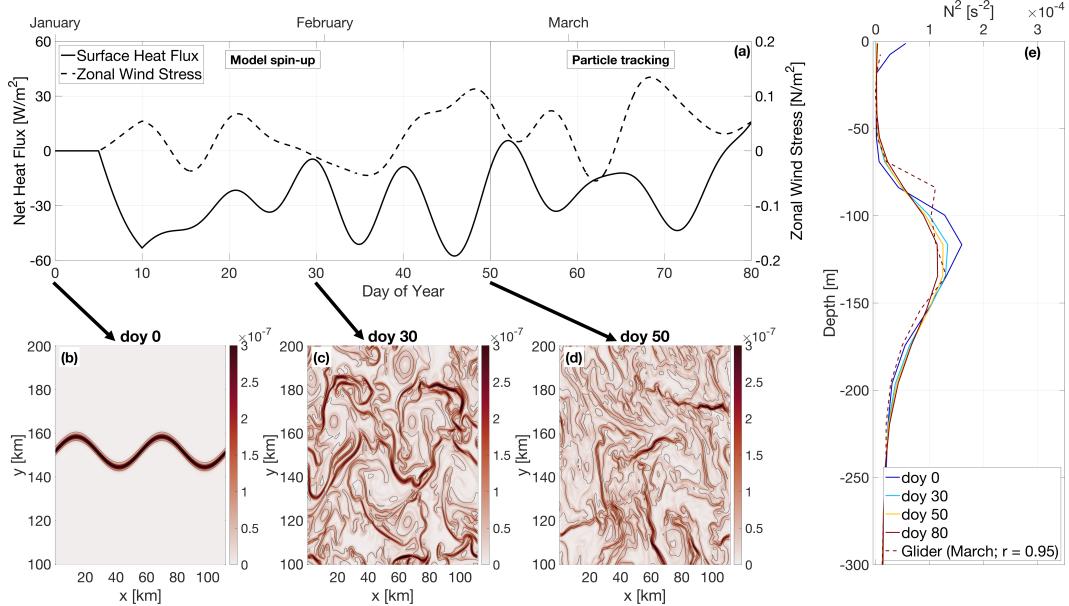
222 **Figure 2.** PSOM configuration for *Papa_summer*. (a) Time series of net heat fluxes and wind
 223 stress prescribed at the surface. Notice the positive heat fluxes, as well as downfront winds (i.e.
 224 eastward) persisting throughout the experiment. (b)-(d) surface horizontal buoyancy gradients
 225 $M^2 = |\nabla_H b|^2$ (in s^{-2}) at day of year (doy) 105, 135, and 165. Black contours show isopycnals (in
 226 kg/m³; CI = 0.01 kg/m³). (e) Vertical profile of the buoyancy frequency N^2 at day of year 105,
 227 135, 165, and 195, showing the development of summer stratification centered at $z = 30$ m (solid
 228 lines). Monthly-average vertical stratification obtained from glider profiles collected in June and
 229 July are superimposed (dashed lines), along with the correlation coefficient between observations
 230 and model outputs.

2.1.2 *Papa_winter Model Experiment*

231 In *Papa_winter*, PSOM is initialized based on climatological Argo data in January.
 232 The frontal gradient is set to 3.54×10^{-5} kg/m³/m, which corresponds to the
 233 99th percentile of the PDF of density gradients measured in January from glider data
 234 collected in the region (Figure 3 and Table 1). The model is allowed to spin-up for 50
 235 days allowing for the prescribed fronts to become unstable. To accommodate for the
 236 larger density gradients and stronger velocities, the advective timestep is shortened to
 237 108 s and the horizontal diffusivity is lowered to 0.2 m²/s throughout the experiment.
 238 The model is run for 30 additional days, saving instantaneous model fields every 1.5
 239 hours for particle tracking. The month of January is chosen for initialization so the
 240 experiment would capture the time of year where the mixed layer is the deepest, and
 241 Rossby number O(1) occur more frequently. The objective is for this experiment to
 242 contrast *Papa_summer* by capturing the statistics of ocean conditions dominated by
 243 submesoscale dynamics.

2.1.3 *Validation*

244 To ensure that PSOM simulations yielded realistic conditions for both *Papa_summer*
 245 and *Papa_winter*, distributions of horizontal (M^2) and vertical (N^2) buoyancy gradi-
 246 ents are compared with glider observations collected over the period 2008-2009 (Pelland
 247 et al., 2016). During this period, underwater gliders sampled in a “bow-tie” pattern



245 **Figure 3.** PSOM configuration for *Papa_winter*. (a) time series of net heat fluxes and wind
 246 stress prescribed at the surface. Notice the mostly negative heat fluxes, as well as alternating
 247 zonal wind direction. (b)-(d) surface horizontal buoyancy gradients $M^2 = |\nabla_H b|^2$ (in s^{-2}) at day
 248 of year (doy) 0, 30, and 50. Black contours show isopycnals (in kg/m^3 ; CI = $0.01 kg/m^3$). (e)
 249 Vertical profile of the buoyancy frequency N^2 at doy 0, 30, 50, and 80, showing the persistence
 250 of the halocline between $z = 80$ and $z = 180$ m throughout the experiment (solid lines). Monthly-
 251 average vertical stratification obtained from glider profiles collected in March is superimposed
 252 (dashed line), along with the correlation coefficient between observations and model outputs.
 253

258 centered on Station Papa. Gliders sample the water column in a triangular wave
 259 pattern, whose shape is easily affected by currents, due to the slow moving speed of
 260 the glider (~ 1 km/hr). It is therefore challenging to associate a specific spatial scale
 261 with gradients computed between glider profiles, as profile separation distances can be
 262 highly variable through depth and time. To circumvent this issue, horizontal buoy-
 263 ancy gradients are computed between each pair of glider profiles available within one
 264 branch of the bow-tie. Each along-track lateral buoyancy gradient is thus associated
 265 with a specific separation scale and a timestamp. Glider-based density gradients can
 266 be affected by internal waves. To filter the impact of internal waves on the PDF of
 267 horizontal buoyancy gradients, only gradients computed at a scale of twice the Rossby
 268 radius ± 1 km are considered. Rossby radii are estimated from the glider data and
 269 are ~ 8 km in winter and ~ 20 km in summer.

270 2.2 Particle Tracking Experiments

271 2.2.1 Particle Advection Scheme

To quantify the impact of submesoscale dynamics on the export of Particulate Organic Matter (POC), Lagrangian particle trajectories are computed using the same scheme as in “TRACMASS” (Döös, Kjellsson, & Jönsson, 2013) with the flow fields from the two experiments described above. The three-dimensional, non-divergent velocity components from the faces of each “C” grid cell are linearly interpolated onto the particle’s position within the grid cell. For example, the eastward (along the x-axis)

velocity of a particle is given by

$$u(x) = u_{i-1} + \frac{(x - x_{i-1})}{(x_i - x_{i-1})}(u_i - u_{i-1}), \quad (1)$$

where the subscripts $i - 1$ and i denote the western and eastern walls of the grid cell where the particle is located, respectively. This can be re-written as

$$\frac{\partial x}{\partial t} + \beta x + \delta = 0, \quad (2)$$

where $\beta = (u_i - u_{i-1})/\Delta x$ and $\delta = -u_{i-1} - \beta x_{i-1}$ (Döös et al., 2013). This differential equation can be solved analytically for $\beta \neq 0$ as

$$x_{t_1} = \left(x_0 + \frac{\delta}{\beta} \right) \exp^{-\beta(t_1 - t_0)} - \frac{\delta}{\beta} \quad (3)$$

The time it will take for the particle to reach the eastern or western face of the grid cell can be computed by taking $x_{t_1} = x_i$ or $x_{t_1} = x_{i-1}$, respectively, and solving for t_1 . For each advective timestep, the times required for the particle to reach any of the 6 walls of the grid cell are computed using (3). If any of those times is shorter than the advective timestep, the particle is advected until it reaches the cell wall. Then the flow field in the adjacent grid cell is considered and the particle is advected over the remaining time.

2.2.2 Particle Seeding

For all particle-tracking experiments, a single particle seeding event is prescribed. In the horizontal, particles are seeded every 250 m over the entire domain in the x-direction, and for $100 < y < 200$ km in the y-direction. The seeding is centered over the mean position of the central front (see Figure 2) and is therefore not affected by undesired effects created by the solid north-south solid boundaries. In the vertical, particles are seeded every 1 m between 75 and 85 m. This depth range is chosen as it corresponds to the average euphotic depth at Station Papa, defined by the 1% light level. ^{c1}Particle seeding is located at the base of the euphotic layer where biological processes not captured by the particles (e.g., grazing, repackaging, aggregation, etc.) are not as active (Ducklow, Steinberg, & Buesseler, 2001). The euphotic depth was computed for the months of February and June over the period 2007-2016 from profiles of Photosynthetically ^{c2}Active Radiation (PAR) collected at Station Papa as part of the long-term monitoring of Line P executed by the Department of Fisheries and Ocean Canada^{c2}. The average euphotic depth computed for both of these months is around 80 m, which agrees with previously established estimates of the euphotic depth (Harrison, Whitney, Tsuda, Saito, & Tadokoro, 2004; Sherry, Boyd, Sugimoto, & Harrison, 1999).

In each particle-tracking experiment, four different classes of particles are released. Each particle class is characterized by a different sinking velocity: 0.025, ^{c3}1, and 5 m/day. The slowest-sinking class is essentially selected to represent non-sinking particles: based on the setup of our experiments, the slowest-sinking particles would take 800 days on average to be exported to a depth of 100 m through gravitational sinking, a timescale much greater than commonly observed remineralization timescales. The fastest-sinking velocity is chosen as an end-member velocity class of particle^{c4}, based on the PDF of vertical velocities in the model. At any given time, at

^{c1} *Text added.*

^{c2} *Available*

^{c2} <https://www.waterproperties.ca/linep/index.php>

^{c3} *0.05,*

^{c4} *that will be exported in its entirety over the course of our experiment.*

305 least 85% of the model vertical velocity is weaker than 5 m/day. The results presented
 306 for the 5 m/day sinking class can therefore be theoretically extrapolated to any class
 307 with a higher sinking velocity.

308 The advective timestep for particles is set to 1.5 hours. The flow field is linearly
 309 interpolated in time between model outputs, justifying the higher temporal resolution
 310 used for particle tracking in *Papa_winter*. Particle positions are saved every 3 hours,
 311 along with key model variables interpolated onto the particle positions (e.g., density,
 312 vorticity). Particles are tracked for ^{c1}four weeks (28 days). Each particle-tracking ex-
 313 periment contains 1,971,717 particles per sinking-velocity class, for a total of 9,858,585
 314 particles. Particles located deeper than the maximum winter mixed layer (i.e., 100 m;
 315 Pelland et al., 2016; Plant et al., 2016) are considered exported, as they will likely not
 316 be re-entrained into the mixed layer.

317 2.2.3 Density and Biomass Spectra

The slope ξ of the size spectrum of particles (also known as the Junge slope; White et al., 2015) is the slope of the log-log curve of particle number N vs. particle radius r , where

$$N(r) = N_0 \left(\frac{r}{r_0} \right)^{-\xi}. \quad (4)$$

318 Here, N_0 and r_0 represent a reference particle number and radius, chosen arbitrarily.
 319 For small particles ($<400 \mu\text{m}$) and relatively low temperature ($<15^\circ\text{C}$), it has been
 320 shown that the relationship between particle radius r and sinking velocity w_s exhibits
 321 a range of variation and is difficult to determine empirically. Nevertheless, Stokes' law,
 322 where $w_s \propto r^2$, is often used as a lower-bound sinking velocity estimate (Bach et al.,
 323 2012).

Assuming a Stokes-like relationship, we can construct a particle sinking velocity spectrum $N(w_s)$ based on (4), as

$$N(w_s) = N_0 \left(\frac{w_s}{w_{s_0}} \right)^{-\xi/2}, \quad (5)$$

where w_{s_0} is the sinking speed of particles with radius r_0 . For a specific slope and sinking-velocity class, an equivalent number of particles per simulated particle can be computed using (5) (See Figure 4). For example, using the largest sinking velocity class as a reference (i.e., $w_{s_0} = 5 \text{ m/day}$ and $N_0 = 1,971,717$), and a spectral slope $\xi = 4$, each simulated particle with a sinking velocity of 0.025 m/day in fact represents 40,000 particles (Figure 4). The relative biomass of a particle in a specific sinking-velocity class, $B_p(w_s)$ can be estimated if the biomass is assumed to scale with the particle's volume. The relative biomass of one particle in a sinking-velocity class w_s can therefore be computed as

$$B_p(w_s) = B_p(w_{s_0}) \left(\frac{w_s}{w_{s_0}} \right)^{3/2} \quad (6)$$

where $B_p(w_{s_0})$ is the biomass of a particle in the sinking velocity class w_{s_0} . The total biomass associated with one simulated particle can be obtained by scaling (6) by the ratio $N(w_s)/N_0$:

$$B(w_s) = B_0 \left(\frac{w_s}{w_{s_0}} \right)^{3/2} \frac{N(w_s)}{N_0} \quad (7)$$

where $B_0 = B_p(w_{s_0})$. Combining (5) and (7) yields an expression relating the biomass associated with a simulated particle for a specific sinking-velocity class and the spectral

^{c1} three

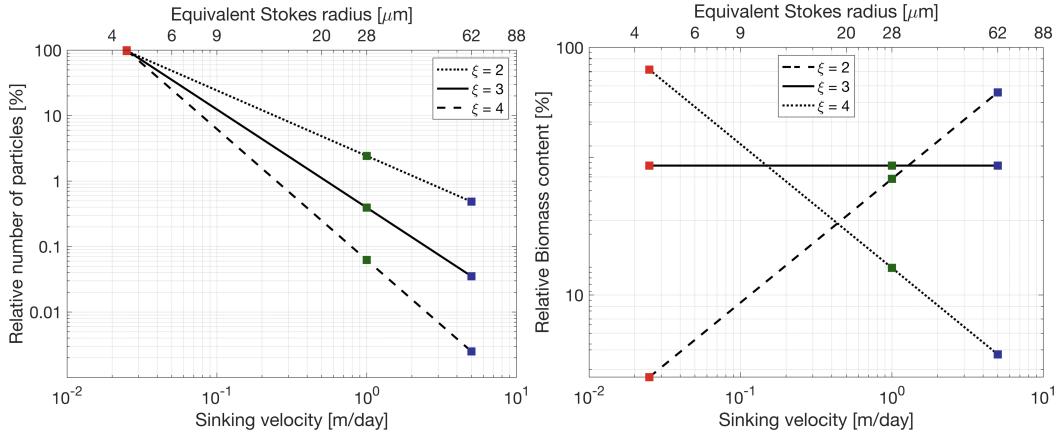


Figure 4. Relative number of particles (left) and biomass (right) as a function of sinking velocity w_s . Sinking velocity spectrum are shown for three different Junge slope ξ : 2 (dotted), 3 (solid), and 2 (dashed). Colored squares indicate the sinking velocities of the three particle classes modeled: 0.025 m/day (red), 1 m/day (green), and 5 m/day (blue).

slope (Figure 4):

$$B(w_s) = B_0 \left(\frac{w_s}{w_{s_0}} \right)^{\frac{3-\xi}{2}}. \quad (8)$$

Using the same example as before where $\xi = 4$, if the amount of biomass associated with one simulated particle in the 5 m/day sinking-velocity class is taken as $B_0 = 1$, then one simulated particle sinking at 0.025 m/day contains 14.14 units of biomass and a single particle contains $14.14/40,000 = 3.5 \times 10^{-4}$ units of biomass (see Figure 4). This normalized formulation of particle number and biomass (see Equations (5) and (8)) has the advantage that the impact of spectral slope on the relative export of biomass can be quantified without needing a large number of particle-tracking experiments, where the number of seeded particles would vary to account for the different spectral slopes. For the purpose of this study, only the relative amount of biomass is relevant. For simplicity, we define a normalized biomass unit for $\xi = 3$ as $B_0 = 1$. The values taken by B_0 for other Junge slopes ξ are computed under the condition that the total amount of biomass is kept constant (Figure 4b).

2.2.4 Particle Remineralization Scheme

Remineralization of particles as they sink through the water column impacts the amount of biomass exported. Slow-sinking particles generally contain less biomass and spend more time in the mixed layer, which means that they are remineralized at a shallower depth than faster sinking particles. Remineralization processes are complex, species-dependent, and generally not well-understood. In the absence of a consensus on a general functional form of particle remineralization, we rely on an idealized relationship which assumes that the biomass content of a particle decreases in time proportionally to the particle volume. Remineralization is thus modeled as an exponential decrease of biomass with time at a rate k (Iversen & Ploug, 2010, 2013)

$$B(t) = B^0 \exp(-kt), \quad (9)$$

where B^0 denotes the biomass content at $t = 0$ days, and the remineralization rate is taken to be $k = 0.13 \text{ day}^{-1}$ in this study (Iversen & Ploug, 2010). This remineralization rate is independent of particle sinking velocity, and seems to lie within the range of other estimates (Iversen & Ploug, 2010, 2013; Ploug, Iversen, Koski, & Buitenhuis,

2008). The change in biomass with time is in turn expected to affect the sinking velocity of the particle. Given that $B \propto w^{3/2}$ (see Equation (6)), particles in all sinking-velocity classes undergo a decay in sinking speed according to

$$w_s(t) = w_s^0 \exp\left(-\frac{2kt}{3}\right), \quad (10)$$

where w_s^0 is the initial sinking velocity at $t = 0$ days. In this study, the impact of remineralization is thus considered through the implementation of a time-dependent sinking velocity (Equation 10). While particles classes are classified based on their initial sinking-velocity, it is worth noting that over the length of the particle-tracking experiments that include remineralization (28 days), particle sinking speeds slow down to 10% of their initial velocity.

3 Results

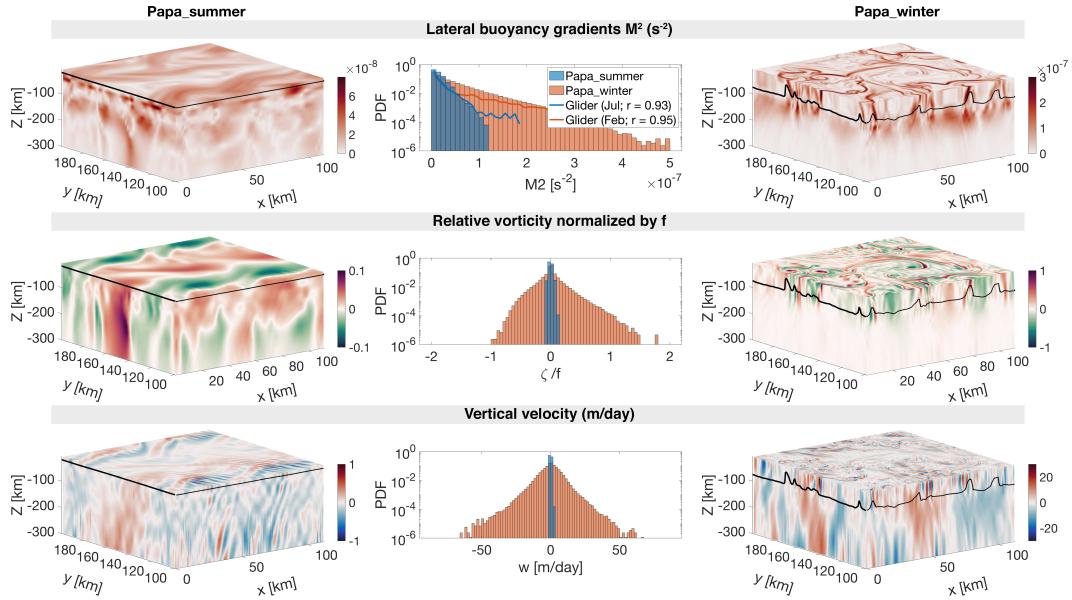
3.1 Seasonally varying dynamical regimes

Two model experiments are designed to capture different dynamical conditions observed in the Northeast Pacific Ocean in summer and winter. *Papa-summer* is initialized in early spring (doy 105) when the water column is characterized by a relatively deep mixed layer (~ 100 m) and a halocline located between 100 and 150 m (Figure 2). The forcing by a realistic, positive, net heat flux generates the restratification of the water column, with the development of a strong thermocline between 25 and 50 m leading to the shoaling of the mixed layer and a subsurface peak in N^2 at about 30 m (see Figure 2). A comparison between model outputs and monthly-averaged density profiles from underwater gliders collected in June and July over the period 2008–2009 yields correlation coefficients of $r = 0.87$ and $r = 0.88$, respectively. These high correlation suggest that *Papa-summer* numerical experiment captures the vertical spring and summer conditions in the Northeast Pacific Ocean.

In the horizontal, the prescribed density fronts progressively become unstable within the first 60 days of the experiment (Figure 2). During this time, the Total Kinetic Energy (KE_{tot}) contained in the model domain slowly increases before reaching a maximum at doy 162, where it remains relatively constant for the rest of the simulation. The flattening of the KE_{tot} curve is used to determine the time necessary for the simulation to spin-up, hence determining the start day of the particle-tracking experiments. The ocean dynamics associated with *Papa-summer* are characterized using PDFs of horizontal buoyancy gradients ($M^2 = |\nabla_H b|^2$), vertical velocities (w), and Rossby numbers computed from the normalized vertical component of the relative vorticity ($Ro = (v_x - u_y)/f$ where $f = 1.12 \times 10^{-4}$; Figure 5).

Lateral buoyancy gradients in the summer are relatively weak $\mathcal{O}(10^{-8} \text{ s}^{-2})$ and result in low Rossby numbers $\mathcal{O}(0.1)$, with positive relative vorticity on the denser (north) side of the front and negative relative vorticity on the lighter (south) side of the front. Corresponding vertical velocities are consistently weaker than 1 m/day ($< 10^{-5}$ m/s) and are characterized by regions of weak upwelling and downwelling on 10 km scales, associated with the meandering of the front (Bower & Rossby, 1989). Alternating bands of upwelling and downwelling at $\mathcal{O}(1 \text{ km})$ spatial scale are superimposed, and likely caused by propagating internal waves. Coherent vertical velocities structures extend to depths much greater than the mixed layer depth (~ 25 m; Figure 5). The amplitude of the vertical velocity field coincides with the expected order of magnitude given by the scaling $w \propto Ro f U/N$ (Mahadevan, 2016): using $Ro \sim 0.1$ (Figure 5), $N \sim 10^{-2} \text{ s}^{-1}$ (Figure 2), $f \sim 10^{-5} \text{ s}^{-1}$, and $U \sim 0.01 \text{ m/s}$, we obtain $w \sim 10^{-6} \text{ m/s}$, or $\sim 10^{-1} \text{ m/day}$.

Papa-winter is, on the other hand, initialized in the winter (doy 0) to capture a time period where the mixed layer depth is deeper (~ 100 m) and density gradients



371 **Figure 5.** Snapshots of M^2 (top), ζ/f (middle), and w (bottom) half-way through the
 372 particle tracking experiment for *Papa_summer* (left) and *Papa_winter* (right), with the Mixed Layer
 373 Depth indicated by the solid black line. The corresponding Probability Distribution Functions
 374 (PDFs) are shown in the center for both *Papa.summer* (blue) and *Papa.winter* (red). Note the
 375 different colorbars used for *Papa.summer* and *Papa.winter*. Histograms of M^2 computed from
 376 glider data at Station Papa in February (blue line) and July (red line) are superimposed in the
 377 top middle panel.

393 more pronounced (Pelland et al., 2016). At this time of year, the water column in
 394 this region is characterized by the presence of a deep halocline between 100 and 150
 395 m (Figure 3 Pelland et al., 2016). After spin-up, the vertical stratification remains
 396 consistent throughout the model run, and compares well with the vertical profile ob-
 397 tained from glider observations for the month of March ($r = 0.95$; see Figure 3). In
 398 the horizontal, prescribed density fronts are much sharper than in summer (i.e., over
 399 smaller spatial scales $O(1 \text{ km})$ vs. $O(10 \text{ km})$). Because of these stronger density
 400 gradients, combined with the alternating zonal winds and constantly negative surface
 401 heat flux, the fronts become unstable more rapidly than in summer (Figure 3). As a
 402 result, KE_{tot} starts to plateau at day 48. The experiment is considered spun-up by
 403 day 50 and the particle-tracking experiment is initialized.

404 The frontal structures visible in the horizontal buoyancy gradient field are as-
 405 sociated with filaments of relatively high Rossby number of $O(1)$ (Figure 5). The
 406 PDF of relative vorticity reveals a positively-skewed distribution ($s = 0.68$). This is in
 407 agreement with the fact that the relative vorticity is more likely to be cyclonic than an-
 408 ticyclonic, based on conservation of potential vorticity (Hoskins & Bretherton, 1972).
 409 Regions with high Rossby number are localized and located in the mixed layer exclu-
 410 sively. In places where the local Rossby number reaches $O(1)$, geostrophic balance is
 411 lost and a vertical secondary ageostrophic circulation begins to slump the isopycnals
 412 and restore the flow to a more geostrophically-balanced flow. This ageostrophic sec-
 413 ondary circulation therefore generates “hot spots” of higher vertical velocities. The
 414 fine-scale structures in the vertical velocity field corresponding to $O(1)$ Rossby num-
 415 bers can be seen in Figure 5, with local vertical velocities up to 60 m/day ($\sim 7 \times 10^{-4}$
 416 m/s). Contrary to the PDF of relative vorticity, the distribution of vertical velocities

417 demonstrate a negative skewness ($s = -0.25$). This is in agreement with the theory:
 418 In fact, positive relative vorticity is associated with the dense side of a density front,
 419 where vertical velocities are negative (Mahadevan, 2016). Once again, the amplitude
 420 of these vertical velocity hot spots is coherent with the scaling $w \propto RofU/N$: using
 421 $Ro \sim 1$, $N \sim 10^{-2}$ 1/s, $f \sim 10^{-5}$ 1/s, and $U \sim 0.1$ m/s, we obtain $w \sim 10^{-4}$ m/s, or
 422 $\sim 10^1$ m/day.

423 Comparing *Papa-summer* and *Papa-winter* highlights the different dynamical
 424 regimes in the two experiments. In *Papa-winter*, density fronts tend to be sharper,
 425 meaning larger density gradients over shorter spatial scales. When computed at the
 426 kilometer-scale, the PDF of horizontal buoyancy gradients in *Papa-winter* exhibits a
 427 longer tail than in *Papa-summer* (Figure 5). When compared to observations, the
 428 PDFs of M^2 in *Papa-summer* and *Papa-winter* demonstrate a correlation with obser-
 429 vations of $r = 0.93$ and $r = 0.95$, respectively.

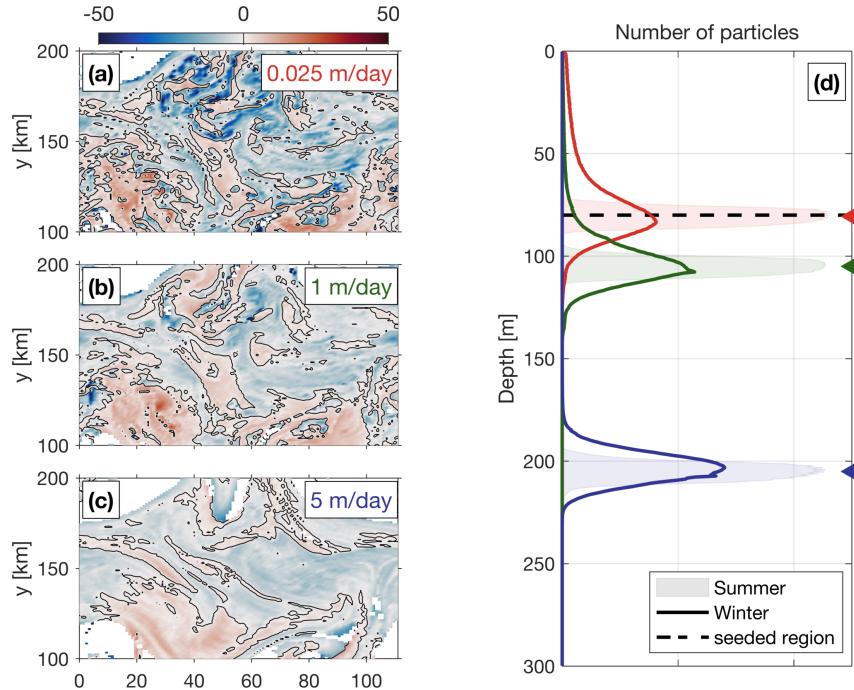
430 The wider PDF of vertical velocities in *Papa-winter* shows advective velocities
 431 that match and exceed typical gravitational sinking velocities, particularly for smaller,
 432 and therefore slower-sinking, particulate organic material. The secondary ageostrophic
 433 circulation that develops at submeso-scales (i.e., $Ro \mathcal{O}(1)$) therefore generates an ex-
 434 port mechanism that directly competes with the traditional paradigm that relies on
 435 gravitational sinking leading the export of particulate matter in the ocean.

436 3.2 Gravitational and Advective Export of POC

437 Both model experiments described above were then used to investigate the re-
 438 lationship between ocean dynamics and particle downward flux, using Lagrangian
 439 particle-tracking. Domain-averaged, downward particle flux is expected to be a com-
 440 bination of the flux driving by gravitational sinking ($\langle w_s B \rangle$), and by the vertical ad-
 441 vective currents affecting the particle along its pathway ($\langle wB \rangle$). The deviation in
 442 particle depths from the traditional one-dimensional gravitationally driven model is
 443 shown in Figure 6 for both summer and winter cases. In the summer, the PDF of par-
 444 ticle density versus depth remains relatively narrow through time, and is centered on
 445 a depth level that can be predicted using a simple 1D gravitational model (see shaded
 446 curves in Figure 6). The spread in the particle density also vary little among particle
 447 classes with different sinking velocities, suggesting that downward fluxes of particles
 448 is greatly dominated by gravitational settling and is not subject to significant vertical
 449 ocean currents.

460 In the winter, however, PDFs of particle density versus depth is wider, in agree-
 461 ment with the stronger vertical ocean currents occurring in the winter (see Figure 5).
 462 A top-view of the deviation in the downward particle flux from the traditionally con-
 463 sidered 1D gravitational model can be seen in Figure 6 (panels (a)-(c)). Slower-sinking
 464 particles deviate more than faster-sinking particles, exhibiting median depth anom-
 465 alies up to 50 m. This is due to the fact that slower-sinking particles spend more time
 466 in the mixed layer, where most of the stronger vertical currents tend to occur (Figure
 467 5). An interesting result emerges from the spatial distribution of the depth-anomaly:
 468 both positive (i.e., particles are shallower than expected) and negative (i.e., particles
 469 are deeper than expected) anomalies are organized into features with a length-scale
 470 $\mathcal{O}(1\text{-}10 \text{ km})$. This further highlights the importance of winter submesoscale circulation
 471 for vertical fluxes of particles.

472 A relative amount of biomass is associated to the particles using Equation (8).
 473 PDFs of relative biomass as a function of the vertical velocity is shown in Figure 7.
 474 Following the traditional paradigm derived from the simple 1D gravitational model, the
 475 downward flux of biomass in the summer is dominated by faster-sinking particle classes
 476 capable of carrying particulate material downwards more efficiently. The contribution
 477 of slower-sinking particles, however, depends critically on the slope of the size spectrum



450 **Figure 6.** [left] The median depth anomaly of particles with a sinking speed (a) 0.025 m/d,
 451 (b) 1 m/d, (c) 5 m/d within each grid cell for the winter case 25 days after particles are released.
 452 The ‘depth anomaly’ is with respect to the ‘expected’ sinking depth (= sinking speed \times time
 453 since release). Blue (red) grid cells indicate that the median depth of particles in this cell is
 454 deeper (shallower) than expected, based on a 1D gravitational model where $z = w_s \times t$. [right]
 455 (d) Probability Distribution Function (PDF) of particles as a function of depth for each velocity
 456 class. The winter distribution is shown as thick lines, while the summer distribution is repre-
 457 sented by the shaded regions. Triangle markers indicate the expected depth of particles after 25
 458 days based on the 1D gravitational model, which is used as a reference to compute the depth
 459 anomalies. Release depth is indicated by the thick dashed line.

478 (see Figure 4). As the Junge slope increases, the spectrum of biomass steepens, and
 479 the relative contribution of slower-sinking particles to the downward biomass flux
 480 significantly increases (Figure 7c). In fact, the contribution of slower-sinking particles
 481 to the summer downward flux increases by a factor 100 (from 0.2% to ^{c1}0%) when the
 482 Junge slope varies from $\xi = 2$ to $\xi = 4$. While significant, the impact of a change in
 483 the Junge slope in summer conditions does not challenge the dominant role played by
 484 faster-sinking particles. This result can be explained by the fact that, in the summer,
 485 vertical velocities are weak and vertical biomass fluxes are therefore gravitationally-
 486 driven ($\langle w_s B \rangle > \langle w B \rangle$).

494 In the winter, PDFs of relative biomass as a function of vertical velocities present
 495 a much larger spread, with velocity magnitudes exceeding 50 m/day. For $\xi = 2$,
 496 the relative contribution of slower-sinking particles to the downward flux significantly
 497 increases from 0.2% in the summer to about 3% in the winter, demonstrating the
 498 impact advective velocities alone can have on vertical fluxes (Figure 7b). Nevertheless,
 499 slower-sinking particles remain a relatively small contributor to the total downward

^{c1} 2

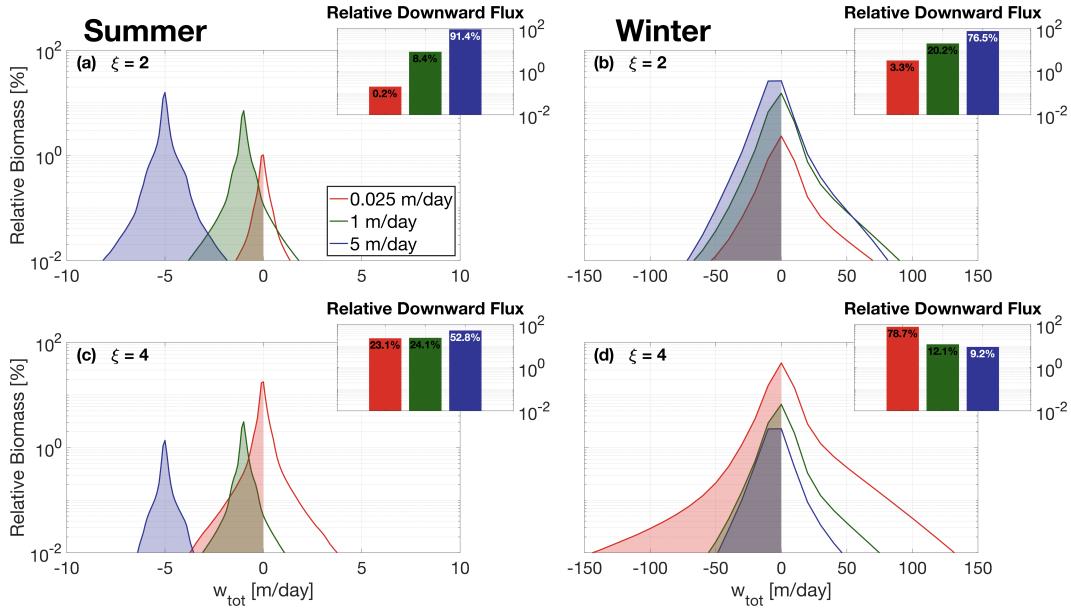


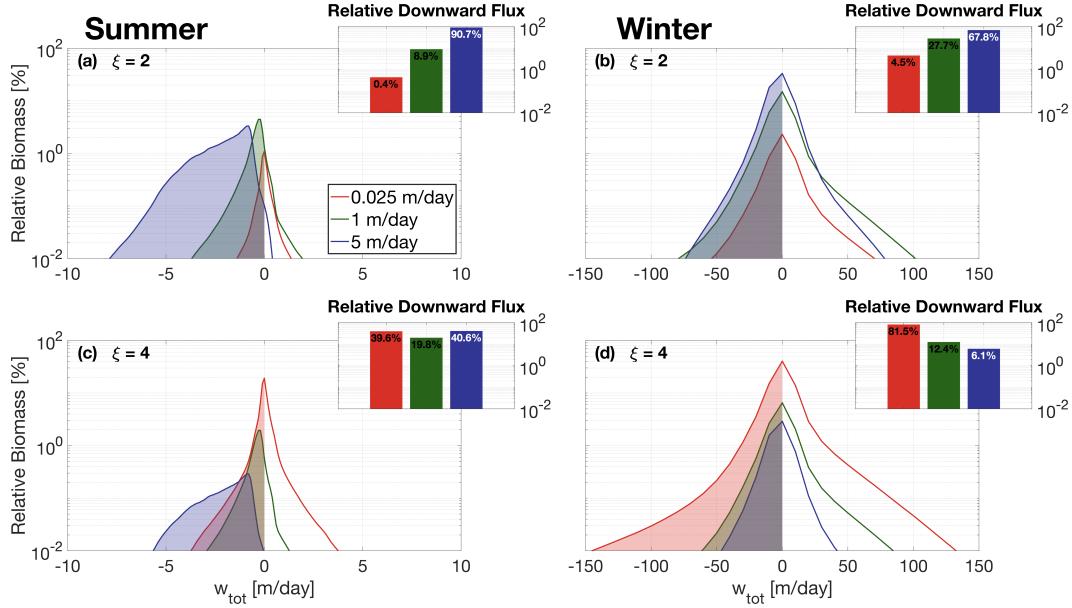
Figure 7. Probability Distribution Function (PDF) of relative biomass versus total vertical velocity ^{c3}(sinking + advective) along particle trajectories in the summer case [left] and winter case [right], with a Junge slope of 2 [top] and 4 [bottom]. ^{c4}PDFs are computed from the whole 24-day particle tracking experiments. Inserts show the integrated relative downward biomass flux associated with each sinking-velocity class, categorized according to their initial sinking velocity. Both winter dynamics and steeper Junge slopes tend to increase the relative contribution of slower-sinking particles.

flux of biomass. When winter ocean dynamics are coupled with a steeper Junge slope, however, slower-sinking particles largely dominate the downward biomass flux. In our winter simulations with $\xi = 4$, we find that the slowest-sinking particle class is responsible for about 79% of the biomass flux (Figure 7d).

Our results show that both a steepening of the particle size spectrum and the presence of submesoscale dynamics can enhance the contribution of slower-sinking particles to the downward biomass flux. While the former is simply due to an increase in particle density in slower-sinking particle classes, the latter is attributed to the larger vertical velocity generated by submesoscale instabilities. When both are combined, as expected in the wintertime, slower-sinking particles then become the leading contributor to the downward biomass transport. However, slower-sinking particles are generally expected to remineralize on timescales shorter than their export timescale, fueling the argument that the focus should be upon faster-sinking particle classes. The impacts of remineralization on export are thus considered in the following section to test the robustness of the findings.

3.3 Particle Remineralization

Both submesoscale dynamics and the Junge slope were identified as key factors impacting the respective role played by different particle classes in driving downward biomass fluxes. Simple Lagrangian particles were used to isolate the effects of these two factors. In reality, however, sinking velocities of particulate matter varies in time as the particles slowly remineralize. A remineralizing behavior was therefore implemented for the Lagrangian particles, using Equation (10), to investigate the impact



540 **Figure 8.** ^{c8}Same as Figure 7, but including particle remineralization (see Equation 10).

522 that remineralization processes have on our findings. The traditional paradigm relies
 523 on the fact that slow-sinking particles tend to fully remineralize over short timescales,
 524 further enhancing the importance of faster-sinking particles classes in driving down-
 525 ward biomass fluxes. While this paradigm holds for flatter Junge slope, where the
 526 biomass content is dominated by faster-sinking particles, it becomes unfit at steeper
 527 slopes.

528 Figure 8 compares the relative biomass and downward biomass fluxes associated
 529 with each of the modeled particle classes for ^{c1} $\xi = 2$ and $\xi = 4$ ^{c2}including
 530 the remineralization scheme. As previously detailed, downward fluxes of biomass
 531 are dominated by faster-sinking particles during summertime and in the absence of
 532 remineralization ^{c3}(see Figure 7). This is due to the fact that the flux of biomass
 533 $\langle w_{tot}B \rangle = \langle w_s B \rangle + \langle w B \rangle$ is driven by $\langle w_s B \rangle$, despite a smaller relative biomass content
 534 per particle. This is characteristic of a gravitationally-driven system, where settling
 535 velocity dictates the contribution to downward fluxes. Implementing remineralization
 536 processes, however, directly affects the particle settling velocity which slows down as
 537 particles remineralize. This effect can ^{c4}particularly be seen in Figure 8^{c5}a and c,
 538 where PDFs of relative biomass per particle class are shifted towards weaker vertical
 539 velocities than in the absence of remineralization, as predicted by Equation (10). ^{c6}

541 In an advectively-driven system where $\langle w_s B \rangle \sim \langle w B \rangle$, the relative amount of
 542 biomass content in a particle class becomes important and dictates the respective con-
 543 tribution of each particle class to the total downward biomass fluxes. This shift from a
 544 gravitationally-driven to an advectively-driven system is observed when implementing

^{c1} Text added.

^{c2} with and without

^{c3} Text added.

^{c4} Text added.

^{c5} Text added.

^{c6} As a result, the gravitationally-driven term $\langle w_s B \rangle$ decreases with time, and the downward flux of biomass becomes generally advectively-driven by day 25 (Figure 8).

545 particle remineralization in the summer (Figure 8^{c9}): in the absence of remineralization,
 546 faster-sinking particles dominate the downward biomass fluxes (^{c10}53%; see
 547 Figure 7c). When remineralization processes are considered, slower-sinking particles
 548 ^{c11}contribute more to biomass fluxes (see inset in Figure 8c). As shown in Figure 7,
 549 downward biomass fluxes in the wintertime are generally advectively-driven, due to the
 550 larger vertical velocities associated with wintertime ocean dynamics. Biomass fluxes
 551 are dominated by the slower-sinking particles ^{c12}when $\xi = 4$, representing 79% of the
 552 downward biomass flux (Figure 7d). Even after implementing the remineralization
 553 scheme, slower-sinking particles remain the largest contributor to downward biomass
 554 fluxes (82%; see Figure 8d).

555 These results highlight the importance in considering slower-sinking particle
 556 classes when considering downward biomass fluxes. It also demonstrates that, con-
 557 trarily to the traditional paradigm, remineralization processes enhance the role played
 558 by slower-sinking particles in biomass fluxes, in cases where the biomass spectrum
 559 slope is negative.

560 4 Discussion

561 4.1 Dynamical Regimes

562 *Papa-summer* and *Papa-winter* experiments were designed to statistically cap-
 563 ture the ocean dynamics at Station Papa (145°W, 50°N) in the Northeast Pacific
 564 Ocean. After spin-up, the model demonstrated similar distributions of both horizontal
 565 (M^2) and vertical (N^2) density gradients to observational estimates from underwater
 566 gliders (see Figures 2, 3, and 5). The two experiments, however, show significantly
 567 different distributions of M^2 , with the winter distribution exhibiting a longer tail, due
 568 to sharper density gradients. The tail of the wintertime distribution is only partially
 569 captured by the glider data, due to the fact that underwater gliders sampled gradients
 570 at spatial scales of 10 km and greater, while the model has a horizontal resolution of
 571 500 m, allowing sharper submesoscale fronts and filaments to be formed.

572 Studies investigating submesoscale dynamics traditionally focused on regions
 573 where the presence of submesoscale fronts and filaments are established, such as west-
 574 ern boundary currents with strong gradients (D'Asaro, Lee, Rainville, Harcourt, &
 575 Thomas, 2011; Thomas, Tandon, & Mahadevan, 2013), or the edge of mesoscale fea-
 576 tures (van Haren et al., 2006; Waite et al., 2016). The seasonality in submesoscale
 577 dynamics captured in the glider dataset at Station Papa and reflected in the model
 578 experiments, echoes the behavior seen from recent observational studies conducted
 579 at a similar latitude in the Atlantic Ocean, which demonstrate the intensification of
 580 submesoscale dynamics in the wintertime (Buckingham et al., 2016; Thompson et al.,
 581 2016). Despite being sometimes qualified as an “eddy desert” with low kinetic energy
 582 (Chelton, Schlax, & Samelson, 2011), ocean characteristics in the eastern part of the
 583 Pacific subpolar gyre suggest the presence of submesoscale features in the wintertime:
 584 strong density gradients, localized Rossby numbers of order 1, a balanced Richardson
 585 number $Ri_b = \frac{f^2 N^2}{M^4}$ smaller than 1, a positively skewed distribution in vorticity, and a
 586 negatively skewed distribution of vertical velocities (see Figure 5; Buckingham et al.,
 587 2016; Rudnick, 2001; Thomas, Taylor, et al., 2013).

588 Strong downward velocities are hypothesized to enhance POC export by advect-
 589 ing slower-sinking particles out of the mixed layer. *Papa-winter* indeed exhibits vertical

^{c9} e

^{c10} 60

^{c11} become the dominant contributor

^{c12} Text added.

590 velocities more than 20 times larger than in *Papa-summer*. The vertical currents in
 591 *Papa-winter*, however, tend to be much patchier than the weaker vertical currents
 592 observed in *Papa-summer*. Because both particle production and downward vertical
 593 velocities present a high degree of patchiness, it requires a certain level of covariance
 594 between the two fields for the export to effectively be enhanced (Mahadevan et al.,
 595 2012). A more realistic seeding strategy for Lagrangian particles, such as one guided
 596 by biological tracers, would likely provide important information towards a better
 597 understanding of the effects of patchiness on POC export at submeso-scales

598 The hypothesis tested in this study is that submesoscale activity enhances export
 599 of particulate matter at Station Papa by shortening the export timescale of particulate
 600 matter. The wintertime intensification in submesoscale activity has the potential to
 601 indeed enhance export (see discussion in Section 4.2). However, the seasonal cycle
 602 in submesoscale activity is out of phase with the one in net community productivity,
 603 which peaks in the spring and summertime when the mixed layer is shallower (Plant
 604 et al., 2016). Two mechanisms are therefore present to potentially sustain a year-long
 605 POC export flux: In the winter, less particulate material is present in the mixed layer,
 606 but active submesoscale dynamics tend to enhance the POC export flux by advecting
 607 the more numerous slower-sinking particles into the ocean interior. In the summer,
 608 the production of POC is at its yearly maximum, but export tends to be dominated
 609 by gravitational sinking, which favors faster-sinking particles and thus exclude part of
 610 the particle spectrum from contributing to the export flux.

611 4.2 Downward Fluxes

612 Analyses of particle tracking experiments reveal that the contribution of slower-
 613 sinking particles to the downward particulate flux depends on two main factors: (1)
 614 the dynamics of the oceanic flow field, and (2) the slope of the size spectrum (i.e., the
 615 Junge slope ξ).

616 Mixed layer ocean dynamics at station Papa change significantly between the
 617 winter and the summer. In the winter, submesoscale dynamics are intensified, and
 618 sharp fronts and filaments develop in the mixed layer. This seasonal change in dy-
 619 namics is consistent with recent observations (Buckingham et al., 2016; Thompson
 620 et al., 2016), and models (Brannigan, Marshall, Naveira-Garabato, & George Nurser,
 621 2015; Callies et al., 2015; Rocha, Gille, Chereskin, & Menemenlis, 2016) characterizing
 622 the seasonal cycle of submesoscale dynamics. The winter intensification in subme-
 623 soscale dynamics was proven to have an important impact on the downward flux of all
 624 sinking-velocity classes modeled in this experiment.

625 In the summer, gravitational sinking governs a downward particulate flux, which
 626 is dominated by faster-sinking particles, with little to no contribution from slower-
 627 sinking particles. In the winter, however, vertical fluxes tend to be advectively-driven,
 628 which leads to a slightly weaker downward flux of faster-sinking particles than in the
 629 summer due to resuspension, but a much larger flux of slower-sinking particles, which
 630 are present in far greater numbers (Figure 7). The gravitationally-driven flux in the
 631 summer is mechanistically different from the advectively-driven winter flux, which
 632 raises the question as to which process is most efficient in driving a downward flux of
 633 particulate material.

634 In the absence of remineralization, both a steeper size spectrum slope ($\xi > 3$ in
 635 this case) and enhanced submesoscale dynamics, increase the contribution of slower-
 636 sinking particle classes to the downward biomass flux. This is only when both of
 637 these conditions are combined, however, that slower-sinking particles dominate the
 638 downward flux of biomass (Figure 7). This is a significant result, as Junge slopes

639 greater than 3 have been observed in the ocean ^{c1}: In-situ observations yield aver-
 640 age spectral slopes varying between 3.5 and 4.5 (Kostadinov, Siegel, & Maritorena,
 641 2009, see Table 2 in)^{c2}, while spectral analysis of satellite data suggest global spectral
 642 slopes varying between 3 and 6. More recent observational work located in the North-
 643 east Pacific, including Station Papa, found a spectral slope also greater than 3(White
 644 et al., 2015, ; Z. Xiaodong, personal communication). ^{c3}Junge slopes are expected to
 645 vary in space, depending on the community composition, both lateraly and vertically
 646 (Kostadinov et al., 2009; White et al., 2015)^{c4}, as well as in time; spectrum slopes
 647 tend to be flatter during a spring bloom event, where larger particles (e.g., diatoms)
 648 are produced in large quantities, and steeper during the wintertime, when commu-
 649 nities are mostly composed of small particles. The threshold value of $\xi = 3$ for a
 650 change in the biomass spectral slope (see Figure 4b) is of course a consequence of first-
 651 order approximations used in this study describing the relationships between particle
 652 size, sinking velocity, and biomass content. Nevertheless, our results demonstrate the
 653 importance of including the smaller particle size range of the particle spectrum, in the
 654 estimation or measurement of vertical fluxes, especially when submesoscale dynamics
 655 are active. It also highlights the importance of better constraining the relationships
 656 linking particle size, sinking velocity, and biomass content.

657 Introducing remineralization processes significantly decreases the biomass flux.
 658 Counter-intuitively, however, the implementation of a remineralization scheme further
 659 strengthens the contribution of slower-sinking particles to the biomass flux (Figure
 660 8). This can be explained by the fact that remineralization processes have a greater
 661 impact on sinking-velocity classes that rely on gravitational sinking to be exported, as
 662 these particles decelerate as they remineralize. In the summer, all particle classes are
 663 similarly affected by remineralization, as downward fluxes are gravitationally-driven.
 664 In the winter, however, slower-sinking particles are exported through advective pro-
 665 cesses. Their export timescale is barely affected by remineralization processes as it
 666 only depends on local ocean dynamics.

667 ^{c1}These results are robust to the range of sinking rates explored. If one considers
 668 a particle class with a sinking rate far exceeding the vertical advective velocity (e.g.,
 669 100 m/day; Turner, 2015)^{c2}, then the associated biomass flux can be estimated by
 670 relying on the traditional 1-D paradigm, assuming $w_{tot} \approx w_s$. Combining this approx-
 671 imation with Equation 8 shows that the slope of the biomass flux spectrum is positive
 672 for $\xi < 5$, in which case very fast-sinking particles would dominate vertical biomass
 673 fluxes. However, for $\xi > 5$, the slope of the biomass flux spectrum becomes negative
 674 as well, meaning that the biomass flux is always dominated by the slow-sinking parti-
 675 cle classes, regardless of the ocean dynamical regime. While considered large, values of
 676 $\xi > 5$ remain realistic and fall within the range obtained from satellite-based estimates
 677 (Kostadinov et al., 2009).

678 The results of this study suggest that slow- and non-sinking particles must be
 679 considered when studying the downward flux of particulate matter in the upper ocean.
 680 The patchiness associated with both particle production and submesoscale features
 681 poses a real observational challenge to properly resolve vertical fluxes. Based on our
 682 findings, subsequent studies should focus on testing the impact of patchiness on vertical
 683 fluxes. In the wintertime, when size spectral slope is steep and submesoscale dynamics

^{c1} Text added.

^{c2} Text added.

^{c3} Text added.

^{c4} Text added.

^{c1} Text added.

^{c2} Text added.

684 most active, vertical fluxes could be grossly underestimated depending on the level of
 685 co-occurrence between particle production and stronger vertical currents.

686 5 Conclusion

687 The main conclusions of this study are:

- 688 1. Ocean dynamics in the subpolar Northeast Pacific exhibit a seasonal cycle with
 689 low submesoscale activity in the summertime, and more submesoscale features
 690 present in the wintertime. Submesoscale dynamics generate larger, and asym-
 691 metric, vertical currents leading to a vertical biomass flux driven by advective
 692 processes, as opposed to gravitational sinking in the summertime.
- 693 2. Submesoscale dynamics generally enhance the downward particulate flux by
 694 increasing the contribution of slower-sinking particles to the total flux through
 695 advective transport. The slower-sinking particles are found to be significant
 696 for export, and can be even make the dominant contribution under certain
 697 conditions.
- 698 3. The contribution of slower-sinking particles to the downward biomass flux de-
 699 pends on the slope of the particle size spectra (i.e., the Junge Slope), that
 700 controls the relative number of particles per size class. Two cases emerge from
 701 this study:
 - 702 (a) If the Junge slope is smaller than 3, larger particles contribute most to vertical
 703 biomass fluxes independently of flow dynamics, as there are no mechanisms
 704 capable of selectively advecting slower-sinking particles. The system is de-
 705 scribed as gravitationally-driven.
 - 706 (b) If the Junge slope is greater than 3, as most commonly observed, ocean
 707 dynamics become key for determining which particle classes dominate the
 708 downward flux. As submesoscale dynamics become more active, ageostrophic
 709 circulations leading to larger vertical velocities develop. In these conditions,
 710 downward biomass fluxes are largely driven by the slower-sinking particle
 711 classes.
- 712 4. Remineralization processes logically reduce the amount of biomass flux. How-
 713 ever, it unexpectedly enhances the role of slower-sinking particles, which are are
 714 advectively transported. The impact of remineralization is greater on faster-
 715 sinking particles since it affects both the biomass content and their sinking
 716 velocity.

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 725 University of Washington's Library (<http://hdl.handle.net/1773/41656>; Pelland,
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