# Homework

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### 1 Dataset

For completing the task, I tried several preprocessing approaches for the dataset. First, I attempted to normalize the text, then formatted the text in the following structure: "[ \${author} ] \${text}". The two approaches were as follows: one involved taking the lines of text exactly as they appeared in the dataset, while the other involved merging consecutive lines from the same author, thereby constructing a direct dialogue with longer lines for each character.

```
6 [ king henry iv ] so shaken as we are, so wan ...
1 [ westmoreland ] my liege, this haste was hot ...
2 [ king henry iv ] it seems then that the tidin...
3 [ westmoreland ] this match'd with other did, ...
4 [ king henry iv ] here is a dear, a true indus...
```

Figure 1: Preprocessing

In the end, I proceeded with the second method, where I created longer, unified lines.

# 2 Tokenization

For completing the task, I used both proposed tokenizers, the character-level tokenizer and the imported one. Specifically, for the subword tokenizer, I used "gpt2" from the transformers.GPT2Tokenizer library.

Figure 2: SubWord tokenization

# 3 Models

I trained multiple models to address the task. However, in the final form, I will present only four of them.

#### 3.1 Small Model - Character Tokenizer

During the experiments, I settled on the following configuration: a batch size of 128, a maximum sequence length of 512, an embedding dimension of 384, 6 attention heads and layers, and a hidden dimension of 128, and Adam Optimizer with a learning rate of 1e-4.

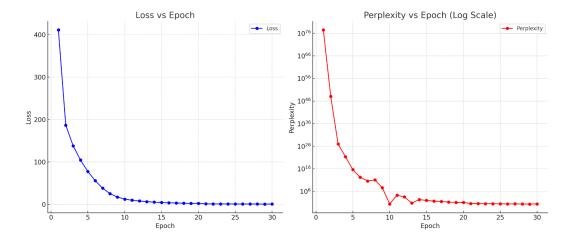


Figure 3: Small Model - Character Tokenizer

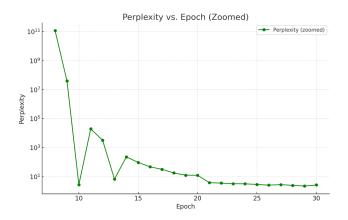


Figure 4: Small Model - Character Tokenizer

The evolution of the losses is shown in the figures below. As can be observed, there is a convergence, with the final loss on the test dataset being 1.3589. Additionally, the last perplexity value calculated in the final epoch is 2.69.

## **Qualitative Evaluation**

Figure 5: Qualitative Evaluation - Small Model Character Tokenizer

Regarding qualitative evaluation, although the model seems to converge, I don't think it generates well. Even though it starts from the premise of being a model without pretraining, the results don't seem to illustrate anything very coherent. Different word forms are not being formed with each epoch; the model tends to develop a particular style in which it prioritizes certain letters more or less. However, there are instances where it seems to attempt to write different words.

It's not possible to talk about a BLEU score because appropriate words can't be identified, nor are the letters arranged in an intuitive, suitable order.

## 3.2 Big Model - Character Tokenizer

This time, I tried to scale up the model. Thus, the configuration looked as follows: batch size of 64, max sequence length of 512, embedding dimension of 512, attention heads and layers equal to 8, and hidden dimension of 1024. Additionally, I used the Adam optimizer with a learning rate of 1e-4. Everything was trained for 90 epochs, using a GPU P100, with the condition to fit within the 12 hours of resources provided by Kaggle.

Thus, the number of parameters increased from 786,432 to 25,165,824.

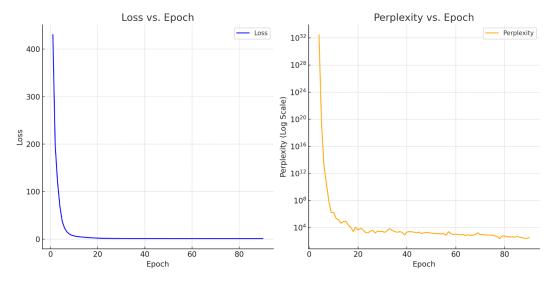


Figure 6: Big Model - Character Tokenizer

As can be seen in the figure above, the model saturates. Perhaps, if I had trained it for a longer period, I would have reached lower scores, as seen in Figure 7, but in a way, with this configuration, these were the results.

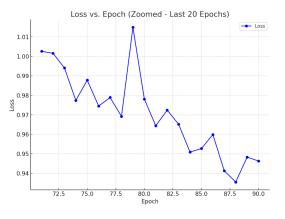


Figure 7: Big Model - Character Tokenizer - Loss

# **Qualitative Evaluation**

```
Epoch 85/100, Loss: 0.9327, Perplexity: 580.011
Predicted fort: [bile do no y hy esh)eth m thmeo ws wul ebsot w dnwa tw sash,woowhalloel r he heoidherh e dosa lia difaalt eit fe fitta wtwo be low bollaw clashed: dift all esh either the same predicted fort; [bile do no y hy esh)eth m thmeo ws wul ebsot w dnwa tw sash,woowhalloel r he heoidherh e dosa lia diftaalt eit fe fitta wtwo be low bollaw clashed: difter the same products of the same product
```

Figure 8: Qualitative Evaluation - Big Model Character Tokenizer

I would say that, again, although word forms are not clearly shaped, the generations seem a bit more varied. A positive sign for generating diverse words that are appropriate in their context.

#### 3.3 Small Model - Subword Tokenizer

For training using this tokenizer, I used the same text preprocessing strategy. The initial configuration for a 'small' model was: embedding dimension equal to 128, hidden dimension equal to 128, the number of attention heads and the number of layers equal to 2, max sequence length equal to 512, and batch size equal to 16. The learning rate remained at 1e-4, and the optimizer was of the Adam type. The model has around 13.2 million parameters.

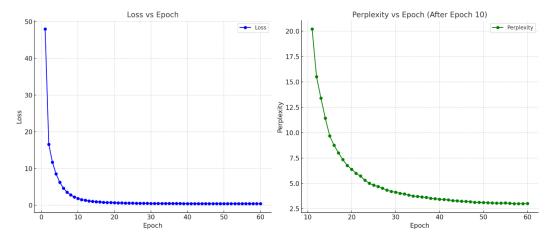


Figure 9: Small Model - Subword Tokenizer

As can be seen, the model converges again, and the perplexity decreases to values around 3. At this score, there is an expectation of some form of logic among the generated words. However, the BLUE score remains minimal, around 0.0001.

#### 3.3.1 Qualitative Evaluation

```
Predicted Text: [ donce ] ] i lordge, and nearly, ze, and i, all king,,, orkight, and,,wort, is, aam, then,,ius the incl., and,,,, and king,imen, and, king, the shie, the you, king, louwer, and, king, the ish,,, and man, the,,, is, and the,,o is, misuse, and,, transformation, and, leshusmen,, garden,, not,, loyaltyire s,, thear

fpoch 57/60, loss: 0.3985, Perplexity: 2.9918, BlueScore: 0.0001

Predicted Text: [ kingworeland ] i lordge, and repro,,, seeking, and i,, the king,,,okeight, employ, thewart,'s, man, visitingretch,,, a,, and, eve and i king, in er, and, king, the, shire, specify pictures, king, l,ouwer, and eve, king,, the ish,,, and man marks epid,,work merchant and the,,o's unc misuse, and misuse of wh ist transformation and, leshusmen, and much, gone,, loyaltyires of,, epidar

Epoch 58/60, loss: 0.3970, Perplexity: 2.9905, BlueScore: 0.0001

Predicted Text: [ firstmore ] i lordge, and,,,, seeking, and i,, the king,,,orkight, the, thinwart,'s, man,,retch,,, the,, and,,, and i king,imer, and, king, the eshires, the pictures mistrust king, i,couwer, and,, king, the eshires, the pictures mistrust king, i,couwer, and, king, the ikin,,, and man welcomes the,,, and, and the,,arers's, misuse, and,, shameless absent, and, leshusme en, and garden,, not and, ires,,, thear

Epoch 58/60, loss: 0.3955, Perplexity: 3.0032, BlueScore: 0.0001

Predicted Text: [ kingworeland ] i lordge brown i opposition,,, seeking, i i, the world,,,orkshireight, and, athwart, is, man, whenceales,,, him,, and,, casting and, world, theyshire, the you, world, i turfouwer, and casting, world, the,sh,, and man, epid funeral,,work, and the,, o is casting misuse, and, transformation, and, leshusem, full, a,,,ires, in,, them
```

Figure 10: Qualitative Evaluation - Small Model - Subword Tokenizer

In terms of qualitative evaluation, it can be observed how the model generates the author's name very well, and also how it uses words from adjacent lexical fields or related domains close to the main domain. There are still issues, but one can see early signs of more qualitative generation.

# 3.4 Big Model - Subword Tokenizer

In this case, I tried to use a larger model, which was trained for 11 hours using a P100 GPU. It was configured with: 32 batch size, 384 embedding dimension, 4 attention heads and layers, 128 hidden dimension, and a maximum sequence length of 256. The learning rate was 3e-4 with the Adam optimizer.

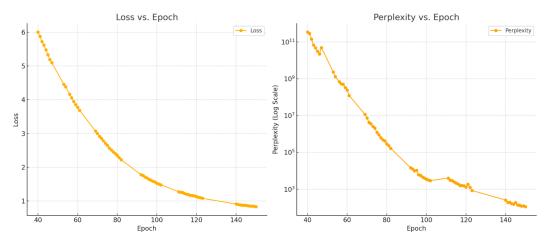


Figure 11: Big Model - Subword Tokenizer

Thus, the model size has increased to 20.1 million parameters. As can be seen, there is very good convergence. And if I had more resources, I would definitely have let the model train for more epochs. However, perhaps increasing the learning rate would have also helped.

# 3.4.1 Qualitative Evaluation

```
Epoch 146/150, Loss: 0.8578, Perplexity: 138.6421, BlueScore: 0.8001

Predicted Text: [kingmoreland] i lordge him and lodging as ael this, and, limitsess clouds heels.'d with asesternight oath and it athwart wallance, place gates roaked load,st you and, and quality deal c ried and shade rab ruinimer, andents rab must clouds isshire ] ire,, rabth spritessendower'd and a int er rab, clouds theysh regard mistrust, and place- wit sprites midnight,red, and my quality corpse, is a'd, and'd, shameless,, and cried-shwomen,, i move, thrown, shores sitsold was, heavenly times Epoch 147/150, Loss: 0.8461, Perplexity: 132.9395, BlueScore: 0.8001

Predicted Text: [tammoreland] i lordge and present was hot and the and i limitsess his vol.,, iest ernight thousand and i athwarty lur, debt. theales load, al., and worst,hes and magical bits mortimer, andents bits, his,shire, the, the bits y vent,endower, and never the bits, the ish breeds seeks. and retreat to the nap old ired govern and whose worst corpse a be never misuse. and misuse, shameless transformation, and death welshwomen, i move,,,, retold.' spoken, the, Epoch 148/150, Loss: 0.8483, Perplexity: 122.1640, BlueScore: 0.8001

Predicted Text: [protemoreland] i necessge serve thorough bos for hot, the hears thorough i limits, this question, in, iesternight thousand somew mine athwart came, sh, whenceitten load. you news e and worst, past and i irregular mortimer. and, irregular, this comesshire, the, the irregular irregular,, o wer, and a lawful irregular with, this ish breeds taken, and barren, late weapon, letred, and whose wo rst corpse, came a interim. and interim, shameless transformation, and your welshwomen, i be,,, more retold, faith, a,

Epoch 149/150, Loss: 0.8390, Perplexity: 123.9837, BlueScore: 0.8001

Predicted Text: [benmoreland] i lordge's and army! a, the, and i limits forbid the heels.'d, iestern ight wounds i quiet athwart's,, mile? theales load, dick a,, and,, a and i fatal, imer, andents fatal, the isshire, the, thanks fatal mer, we,ower, and a the fa
```

Figure 12: Big Model - Subword Tokenizer

In terms of qualitative analysis, the model delivers very good results even with a perplexity score of 113. Yes, it's not a logically coherent text, but at least the author is generated very intuitively, and the rest of the words seem to form some sort of connection between them. By comparison, this model is not much larger than the previous one, but it seems to have more potential.