# **Algorithms and Data Structures (II)**

Gabriel Istrate

June 9, 2024

- Given a weighted graph G = (V, E)
  - ▶ with "weight" function  $w: E \to \mathbb{R}$

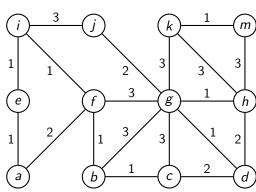
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  - ► a tree

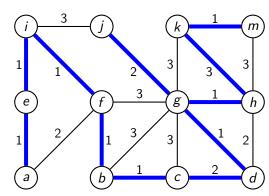
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  - ► a spanning tree

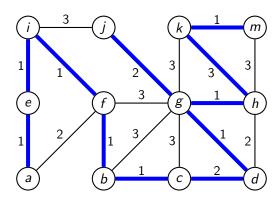
- Given a weighted graph G = (V, E)
  - ▶ with "weight" function  $w: E \to \mathbb{R}$
- Find an acyclic subset  $T \subseteq E$ 
  - ► a tree
- $\blacksquare$  T "spans" the entire graph G (i.e., touches all vertexes)
  - ► a spanning tree
- T's total weight of the tree is minimal

$$w(T) = \sum_{(u,v)\in T} w(u,v)$$

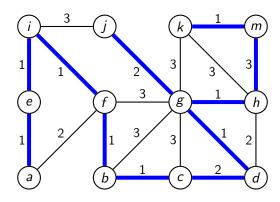
a *minimum-weight spanning tree*, or "minimum spanning tree"







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  - e is the *lightest edge*
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- Does it work?

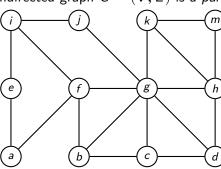
$$\begin{array}{ll} \textbf{Generic-MST}(G,w)1 & A=\varnothing \\ & 2 & \textbf{while } A \text{ is not a spanning tree} \\ & 3 & \text{find a } \textit{safe} \text{ edge } e=(u,v) \\ & 4 & A=A \cup \{e\} \end{array}$$

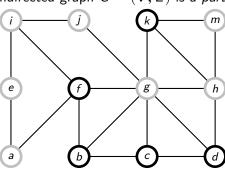
■ *Invariant*: A is a subset of a minimum spanning tree

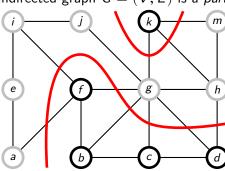
- *Invariant*: A is a subset of a minimum spanning tree
- A *safe edge* is an edge that maintains the invariant
  - $lackbox{ } e$  is such that, if A is a subset of a minimum spanning tree, then  $A \cup \{e\}$  is also a subset of a minimum spanning tree

**Generic-MST**
$$(G, w)$$
1  $A = \emptyset$   
2 **while**  $A$  is not a spanning tree  
3 find a  $safe$  edge  $e = (u, v)$   
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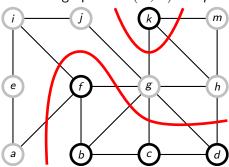
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  - more or less the definition of a greedy algorithm



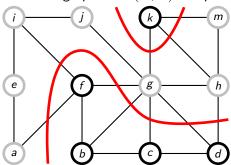




■ A *cut* (S, V - S) of an undirected graph G = (V, E) is a *partition* of V



■ An edge e = (u, v) crosses the cut (S, V - S) if  $u \in S$  and  $v \in V - S$ , or vice-versa



- An edge e = (u, v) crosses the cut (S, V S) if  $u \in S$  and  $v \in V S$ , or vice-versa
- A cut (S, V S) respects a set of edges A if no edge in A crosses the cut

### **Finding a Safe Edge**

Generic-MST
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# Finding a Safe Edge

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■ Let (S, V - S) be a cut of G that respects A

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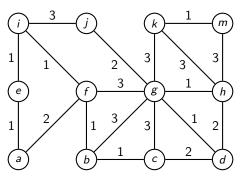
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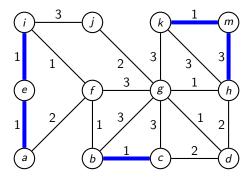
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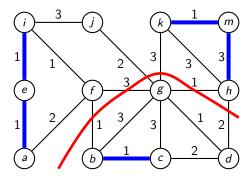


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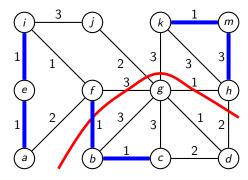
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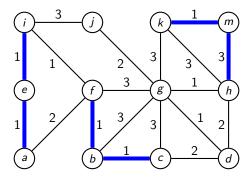
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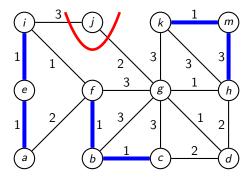
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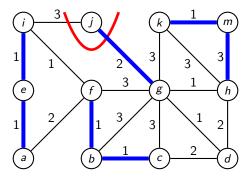
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# **Concrete Algorithms**

- Kruskal's algorithm (1956)
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- Prim's algorithm (1957)
  - based on the generic minimum-spanning-tree algorithm
  - incrementally builds a *single tree* A

# **Disjoint-Set Data Structure**

■ Make-Set(x) creates a set containing x

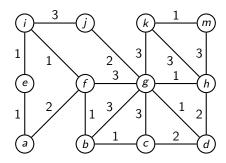
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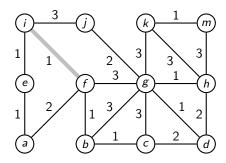
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- Union(x, y) joins the sets containing x and y

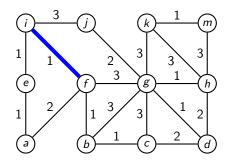
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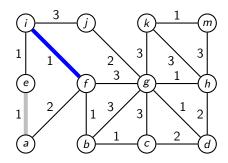
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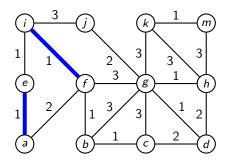
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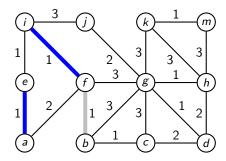
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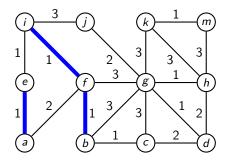
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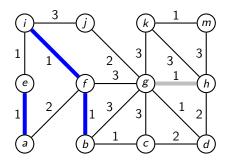
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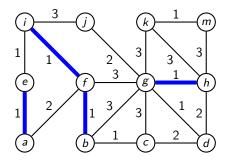
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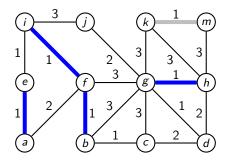
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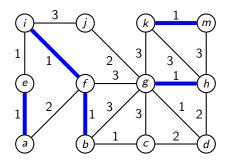
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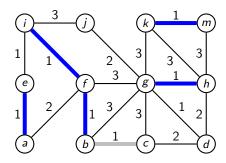
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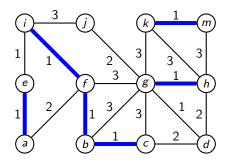
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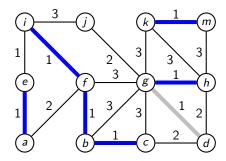
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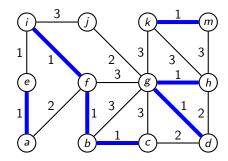
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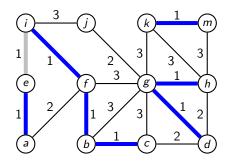
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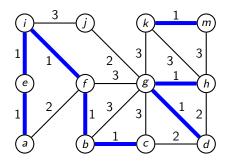
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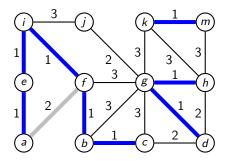
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 \begin{aligned} \mathbf{MST\text{-}Kruskal}(G,w) 1 & A = \varnothing \\ 2 & \mathbf{for} \ \text{each vertex} \ v \in V(G) \\ 3 & \mathbf{Make\text{-}Set}(v) & \textit{// disjoint-set} \ \text{data structure} \\ 4 & \text{sort } E \ \text{in non-decreasing order by weight } w \\ 5 & \mathbf{for} \ \text{each edge} \ (u,v) \in E, \ \text{taken in non-decreasing order by } w \\ 6 & \mathbf{if} \ \mathbf{Find\text{-}Set}(u) \neq \mathbf{Find\text{-}Set}(v) \\ 7 & A = A \cup \{(u,v)\} \\ 8 & \mathbf{Union}(u,v) \end{aligned}
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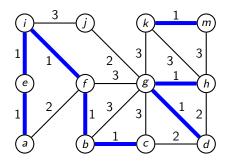
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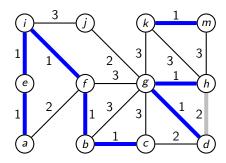
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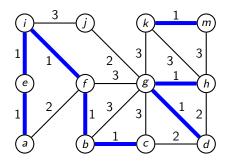
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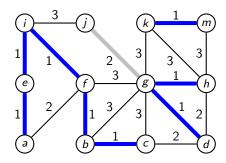
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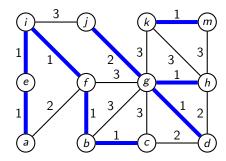
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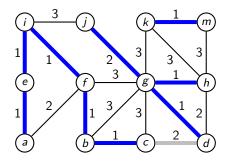
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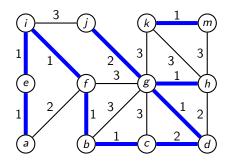
```
\begin{array}{lll} \textbf{MST-Kruskal}(G,w) & 1 & A = \varnothing \\ & 2 & \textbf{for} \ \text{each vertex} \ v \in V(G) \\ & 3 & \textbf{Make-Set}(v) & \textit{// disjoint-set} \ \text{data structure} \\ & 4 & \text{sort} \ E \ \text{in non-decreasing order by weight} \ w \\ & 5 & \textbf{for} \ \text{each} \ \text{edge} \ (u,v) \in E, \ \text{taken in non-decreasing order by} \ w \\ & 6 & \textbf{if} \ \textbf{Find-Set}(u) \neq \textbf{Find-Set}(v) \\ & 7 & A = A \cup \{(u,v)\} \\ & 8 & \textbf{Union}(u,v) \end{array}
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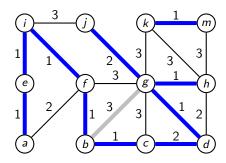
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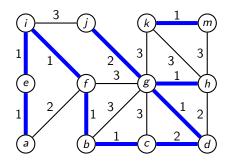
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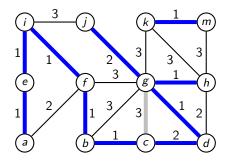
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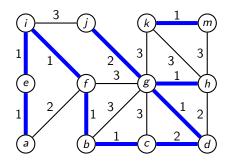
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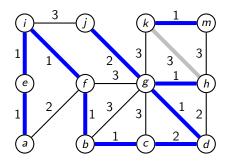
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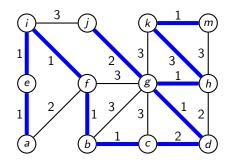
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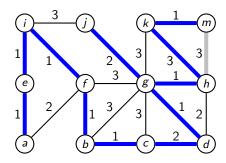
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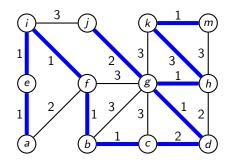
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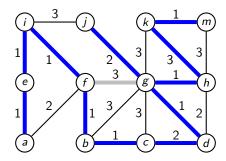
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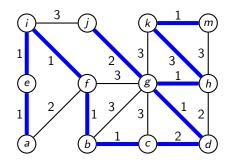
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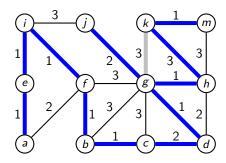
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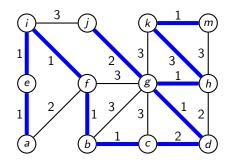
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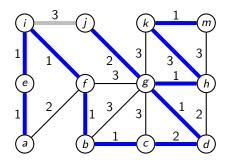
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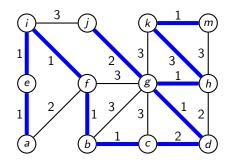
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|V| times **Make-Set** (loop of line 2–3)

```
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```

- |V| times **Make-Set** (loop of line 2–3)
- $O(|E| \log |E|)$  for sorting E (line 4)

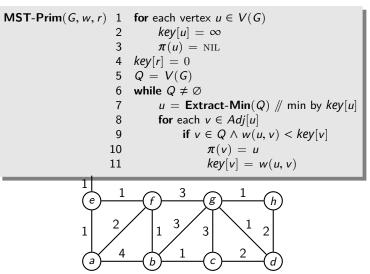
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 \begin{aligned} \mathbf{MST\text{-}Kruskal}(G,w) 1 & A = \varnothing \\ 2 & \textbf{for} \text{ each vertex } v \in V(G) \\ 3 & \mathbf{Make\text{-}Set}(v) & \text{$/\!\!/} \text{ disjoint-set data structure} \\ 4 & \text{sort } E \text{ in non-decreasing order by weight } w \\ 5 & \textbf{for} \text{ each edge } (u,v) \in E, \text{ taken in non-decreasing order by } w \\ 6 & \textbf{if Find\text{-}Set}(u) \neq \textbf{Find\text{-}Set}(v) \\ 7 & A = A \cup \{(u,v)\} \\ 8 & \textbf{Union}(u,v) \end{aligned}
```

- |V| times **Make-Set** (loop of line 2–3)
- $O(|E| \log |E|)$  for sorting E (line 4)

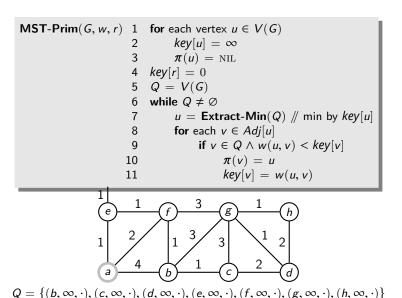
```
 \begin{aligned} \mathbf{MST\text{-}Kruskal}(G,w) 1 & A = \varnothing \\ 2 & \textbf{for} \ \text{each vertex} \ v \in V(G) \\ 3 & \mathbf{Make\text{-}Set}(v) & \text{$\#$ disjoint\text{-}set$ data structure} \\ 4 & \text{sort } E \ \text{in non-decreasing order by weight } w \\ 5 & \textbf{for} \ \text{each edge} \ (u,v) \in E, \ \text{taken in non-decreasing order by } w \\ 6 & \textbf{if Find\text{-}Set}(u) \neq \textbf{Find\text{-}Set}(v) \\ 7 & A = A \cup \{(u,v)\} \\ 8 & \textbf{Union}(u,v) \end{aligned}
```

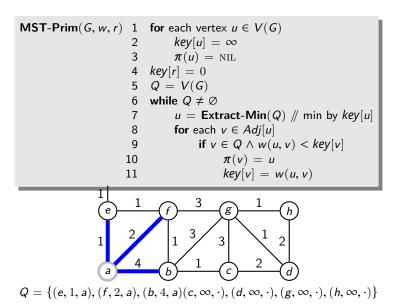
- |V| times **Make-Set** (loop of line 2–3)
- $O(|E| \log |E|)$  for sorting E (line 4)
- O(|E|) times **Union**

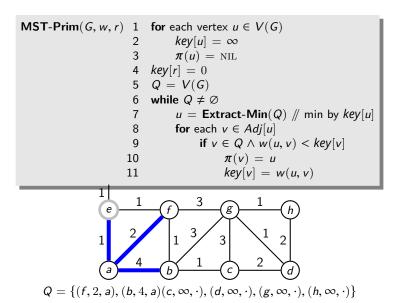
```
\begin{array}{lll} \mathbf{MST\text{-}Prim}(G,w,r) & 1 & \text{for each vertex } u \in V(G) \\ 2 & key[u] = \infty \\ 3 & \pi(u) = \text{NIL} \\ 4 & key[r] = 0 \\ 5 & Q = V(G) \\ 6 & \text{while } Q \neq \varnothing \\ 7 & u = \mathbf{Extract\text{-}Min}(Q) \ /\!\!/ \ \text{min by } key[u] \\ 8 & \text{for each } v \in Adj[u] \\ 9 & \text{if } v \in Q \land w(u,v) < key[v] \\ 10 & \pi(v) = u \\ 11 & key[v] = w(u,v) \end{array}
```



$$Q = \{(\textbf{a}, 0, \cdot), (\textbf{b}, \infty, \cdot), (\textbf{c}, \infty, \cdot), (\textbf{d}, \infty, \cdot), (\textbf{e}, \infty, \cdot), (\textbf{f}, \infty, \cdot), (\textbf{g}, \infty, \cdot), (\textbf{h}, \infty, \cdot)\}$$







$$\begin{aligned} \textbf{MST-Prim}(G, w, r) & 1 & \textbf{for each vertex } u \in V(G) \\ 2 & key[u] = \infty \\ 3 & \pi(u) = \text{NIL} \\ 4 & key[r] = 0 \\ 5 & Q = V(G) \\ 6 & \textbf{while } Q \neq \varnothing \\ 7 & u = \textbf{Extract-Min}(Q) \text{ } / \text{min by } key[u] \\ 8 & \textbf{for each } v \in Adj[u] \\ 9 & \textbf{if } v \in Q \land w(u, v) < key[v] \\ 10 & \pi(v) = u \\ 11 & key[v] = w(u, v) \end{aligned}$$

$$\begin{aligned} \textbf{MST-Prim}(G, w, r) & 1 & \textbf{for each vertex } u \in V(G) \\ 2 & key[u] = \infty \\ 3 & \pi(u) = \text{NIL} \\ 4 & key[r] = 0 \\ 5 & Q = V(G) \\ 6 & \textbf{while } Q \neq \varnothing \\ 7 & u = \textbf{Extract-Min}(Q) \text{ } / \text{min by } key[u] \\ 8 & \textbf{for each } v \in Adj[u] \\ 9 & \textbf{if } v \in Q \land w(u, v) < key[v] \\ 10 & \pi(v) = u \\ 11 & key[v] = w(u, v) \end{aligned}$$

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$$\begin{aligned} \textbf{MST-Prim}(G, w, r) & 1 & \textbf{for each vertex } u \in V(G) \\ 2 & key[u] = \infty \\ 3 & \pi(u) = \text{NIL} \\ 4 & key[r] = 0 \\ 5 & Q = V(G) \\ 6 & \textbf{while } Q \neq \varnothing \\ 7 & u = \textbf{Extract-Min}(Q) \text{ } / \text{min by } key[u] \\ 8 & \textbf{for each } v \in Adj[u] \\ 9 & \textbf{if } v \in Q \land w(u, v) < key[v] \\ 10 & \pi(v) = u \\ 11 & key[v] = w(u, v) \end{aligned}$$

$$\begin{aligned} \textbf{MST-Prim}(G, w, r) & 1 & \textbf{for each vertex } u \in V(G) \\ 2 & key[u] = \infty \\ 3 & \pi(u) = \text{NIL} \\ 4 & key[r] = 0 \\ 5 & Q = V(G) \\ 6 & \textbf{while } Q \neq \varnothing \\ 7 & u = \textbf{Extract-Min}(Q) \text{ } / \text{min by } key[u] \\ 8 & \textbf{for each } v \in Adj[u] \\ 9 & \textbf{if } v \in Q \land w(u, v) < key[v] \\ 10 & \pi(v) = u \\ 11 & key[v] = w(u, v) \end{aligned}$$

