



# CS 564: Database Management Systems

## Lecture 5: Advanced SQL II

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# Announcements

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Form groups for later assignments

- Due on **Feb 5 (Monday), 11:59pm**

Assignment #1. SQL

- Due on **Feb 7 (Wednesday), 11:59pm**

# Module A1: SQL

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SQL: Basics I

SQL: Basics II

Advanced SQL I

**Advanced SQL II**

- Set operations and nested queries

# Outline of this Lecture

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## SQL: Set Operators

- UNION/EXCEPT/INTERSECT
- duplicates in SQL

## SQL: Nested Queries

- IN/EXISTS/ALL
- correlated queries

# Set Operators Refresher

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$$R = \{1, 2, 3\}$$

$$S = \{1, 2, 4, 5\}$$

Intersection:

$$R \cap S = \{1, 2\}$$

# Set Operators Refresher

---

$$R = \{1, 2, 3\}$$

$$S = \{1, 2, 4, 5\}$$

Intersection:

$$R \cap S = \{1, 2\}$$

Union:

$$R \cup S = \{1, 2, 3, 4, 5\}$$

# Set Operators Refresher

---

$$R = \{1, 2, 3\}$$

$$S = \{1, 2, 4, 5\}$$

Intersection:

$$R \cap S = \{1, 2\}$$

Union:

$$R \cup S = \{1, 2, 3, 4, 5\}$$

Difference:

$$R - S = \{3\}$$

$$S - R = \{4, 5\}$$

# Set Operators in SQL

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SQL supports set operations between the outputs of subqueries:

(subquery) **INTERSECT** (subquery)

(subquery) **UNION** (subquery)

(subquery) **EXCEPT** (subquery)



# Set Operators: INTERSECT

```
SELECT A FROM R  
INTERSECT  
SELECT A FROM S;
```

R	A	S	A	output	A
	1		1		1
	1		1		
	1		2		2
	2		2		
	3		4		
			5		

Returns the tuples that belong  
in **both** subquery results

# Set Operators: UNION

```
SELECT A FROM R  
UNION  
SELECT A FROM S;
```

R	A	S	A	output	A
	1		1		1
	1		1		2
	1		2		3
	2		2		4
	3		4		5
			5		

Returns the tuples that belong in **either** subquery results

# Set Operators: EXCEPT

```
SELECT A FROM R  
EXCEPT  
SELECT A FROM S;
```

R	A	S	A	output	A
	1		1		3
	1		1		
	1		2		
	2		2		
	3		4		
			5		

Returns the tuples that belong in the first and **not** the second subquery result

# Keyword **ALL**

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When using set operators, SQL eliminates all duplicate tuples

We can modify the semantics by using the keyword **ALL** (e.g. **UNION ALL**)

When using **ALL**, the operators are evaluated using **multiset** (or **bag**) semantics

# Set Operators: INTERSECT ALL

```
SELECT A FROM R  
INTERSECT ALL  
SELECT A FROM S;
```

R	A	S	A	output	A
	1		1		1
	1		1		1
	1		2		
	2		2		2
	3		4		
			5		

The number of copies of each tuple is the **minimum** of the number of copies in the subqueries

# Set Operators: UNION ALL

```
SELECT A FROM R  
UNION ALL  
SELECT A FROM S;
```

R	A
	1
	1
	1
	2
	3

S	A
	1
	1
	2
	2
	4
	5

The number of copies of each tuple is the **sum** of the number of copies in the subqueries

**output**

A
1
1
1
1
1
2
2
2
3
4
5

# Set Operators: EXCEPT ALL

```
SELECT A FROM R  
EXCEPT ALL  
SELECT A FROM S;
```

R	A	S	A	output	A
	1		1		1
	1		1		3
	1		2		
	2		2		
	3		4		
			5		

The number of copies of each tuple is the **difference** (if positive) of the number of copies in the subqueries

# Example Database

**Sailors** (sid: integer, **sname**: string, **rating**: integer, **age**: real)

**Boats** (bid: integer, **bname**: string, **color**: string)

**Reserves** (sid: integer, bid: integer, **day**: date)

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98



# Example Queries

Find the names of sailors who have reserved a red or a green boat

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Example Queries

Find the names of sailors who have reserved a red or a green boat

```
SELECT
FROM
WHERE
```

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Example Queries

Find the names of sailors who have reserved a red or a green boat

```
SELECT S.name
FROM   Sailors S, Reserves R, Boats B
WHERE  S.sid = R.sid
      AND R.bid = B.bid
      AND (B.color = 'red' OR B.color = 'green')
```

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Example Queries

Find the names of sailors who have reserved a red or a green boat

```
SELECT S.sname
FROM   Sailors S, Reserves R, Boats B
WHERE  S.sid = R.sid AND R.bid = B.bid AND B.color = 'red'
UNION
SELECT S.sname
FROM   Sailors S, Reserves R, Boats B
WHERE  S.sid = R.sid AND R.bid = B.bid AND B.color = 'green'
```

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Example Queries

Find the names of sailors who have reserved red boats but not green boats

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Example Queries

Find the names of sailors who have reserved red boats but not green boats

```
SELECT S.name
FROM   Sailors S, Reserves R, Boats B
WHERE  S.sid = R.sid AND R.bid = B.bid AND B.color = 'red'
EXCEPT
SELECT S.name
FROM   Sailors S, Reserves R, Boats B
WHERE  S.sid = R.sid AND R.bid = B.bid AND B.color = 'green'
```

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Outline of this Lecture

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## SQL: Set Operators

- UNION/EXCEPT/INTERSECT
- duplicates in SQL

## **SQL: Nested Queries**

- IN/EXISTS/ALL
- correlated queries

# Nested Queries

---

A parenthesized SELECT-FROM-WHERE statement (*subquery*) can be used as a value in a:

- **FROM** clause
- **WHERE** clause



# Nested Query – Example

Find the names of sailors who have reserved boat 103

```
SELECT S.sname
FROM   Sailors S, Reserves R
WHERE  S.sid = R.sid
      AND R.bid = 103;
```

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Nested Query – Example

Find the names of sailors who have reserved boat 103

```
SELECT S.sname
FROM   Sailors S, Reserves R
WHERE  S.sid = R.sid
      AND R.bid = 103;
```

**Equivalent to:**

```
SELECT S.sname
FROM   Sailors S
WHERE  S.sid IN (
    SELECT R.sid
    FROM   Reserves R
    WHERE  R.bid = 103 );
```

outer query

inner query

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Nesting and Unnesting

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Everything in SQL can be represented as a multiset

Hence the output of one query can be used as the input to another  
(nesting)

**Unnesting**: find an equivalent SQL query that does not use nesting

# Set Comparison Operators

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- IN (NOT IN)
- EXISTS (NOT EXISTS)
- op ANY
- op ALL
  - op is one of the arithmetic comparison operators (<, <=, =, <>, >=, >)

# Nested Query – EXISTS

Find the names of sailors who have reserved boat 103

```
SELECT S.sname
FROM Sailors S
WHERE EXISTS (SELECT *
              FROM Reserves R
              WHERE R.bid = 103
                 AND R.sid = S.sid);
```

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Correlated Subqueries

Find the names of sailors who have reserved boat 103

```
SELECT S.sname
FROM Sailors S
WHERE EXISTS (SELECT *
              FROM Reserves R
              WHERE R.bid = 103
                 AND R.sid = S.sid);
```

← correlated subquery

Sailors

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

Reserves

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

Boats

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

A **correlated subquery** uses values defined in the outer query  
The inner subquery gets executed multiple times!

# Correlated Subqueries

Find the names of sailors who have reserved boat 103

```
SELECT S.sname
FROM Sailors S
WHERE EXISTS (SELECT *
              FROM Reserves R
              WHERE R.bid = 103
                 AND R.sid = S.sid);
```

**Equivalent to:**

```
SELECT S.sname
FROM   (SELECT R.sid
        FROM Reserves R
        WHERE R.bid = 103) as Temp, Sailors S
WHERE  S.sid = Temp.sid
```

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Nested Query – Exercise

Find the names of sailors who have reserved red boats but not green boats

```
SELECT S.sname
FROM   Sailors S, Reserves R, Boats B
WHERE  S.sid = R.sid
      AND R.bid = B.bid
      AND B.color = 'red'
      AND S.sid NOT IN (SELECT R2.sid
                        FROM   Reserves R2, Boats B2
                        WHERE  R2.bid = B2.bid
                        AND    B2.color = 'green');
```

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red



# Nested Query – op ANY

Find sailors whose rating is better than some sailor called Horatio

\* Note that multiple sailors may be called Horatio

```
SELECT S.sname
FROM Sailors S
WHERE S.rating > ANY (SELECT S2.rating
                      FROM   Sailors S2
                      WHERE  S2.sname = 'Horatio');
```

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Nested Query – op ALL

Find sailors whose rating is better than every sailor called Horatio

\* Note that multiple sailors may be called Haratio

```
SELECT S.sname
FROM Sailors S
WHERE S.rating > ALL (SELECT S2.rating
                       FROM   Sailors S2
                       WHERE  S2.sname = 'Horatio');
```

**Sailors**

sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horato	9	35
85	Art	3	25.5
95	Bob	3	63.5

**Reserves**

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Boats**

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Outline of this Lecture

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## SQL: Set Operators

- UNION/EXCEPT/INTERSECT
- duplicates in SQL

## SQL: Nested Queries

- IN/EXISTS/ALL
- correlated queries

# Jupyter Notebook

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# Summary

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## SQL: Set Operators

- UNION/EXCEPT/INTERSECT
- UNION ALL, EXCEPT ALL, INTERSECT ALL

## SQL: Nested Queries

- IN/EXISTS/op ALL/op ANY
- Correlated queries