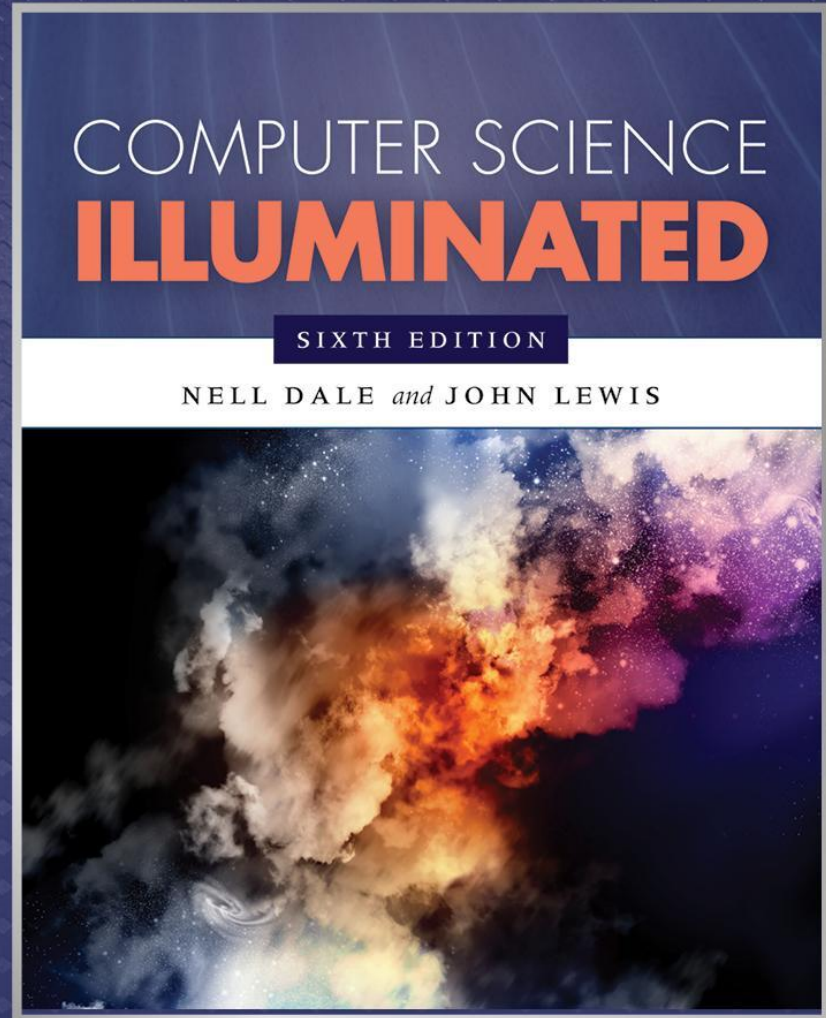


Problem Solving and Algorithms



Chapter Goals

- Describe the computer problem-solving process and relate it to **Polya's How to Solve It** list
- Distinguish between a **simple type** and a **composite type**
- Describe two composite **data-structuring mechanisms**
- Recognize a **recursive** problem and write a recursive algorithm to solve it
- Distinguish between an **unsorted array** and a **sorted array**
- Distinguish between a **selection** sort and an **insertion** sort

Chapter Goals

- Describe the *Quicksort* algorithm
- Apply the *selection* sort, the *bubble* sort, *insertion* sort, and *Quicksort* to an array of items by hand
- Apply the *binary search* algorithm
- Demonstrate an *understanding of the algorithms* in this chapter by *hand-simulating* them with a sequence of items

Problem Solving

Problem solving

The act of finding a solution to a perplexing, distressing, vexing, or unsettled question

How do **you** define problem solving?

Problem Solving

How to Solve It: A New Aspect of
Mathematical Method *by George Polya*

*"How to solve it list" written within the
context of mathematical problems*

But list is quite general



*We can use it to solve computer
related problems!*

Problem Solving

How do you solve problems?

Understand the problem

Devise a plan

Carry out the plan

Look back

Strategies

Ask questions!

- What do I know about the problem?
- What is the information that I have to process in order to find the solution?
- What does the solution look like?
- What sort of special cases exist?
- How will I recognize that I have found the solution?

Strategies

Ask questions! Never reinvent the wheel!

Similar problems come up again and again in different guises

A good programmer recognizes a task or sub-task that has been solved before and plugs in the solution

Can you think of two similar problems?

Strategies

Divide and Conquer!

Break up a large problem into smaller units and solve each smaller problem

- Applies the concept of abstraction*
- The divide-and-conquer approach can be applied over and over again until each sub-task is manageable*

Computer Problem-Solving

Analysis and Specification Phase

Analyze

Specification

Algorithm Development Phase

Develop algorithm

Test algorithm

Implementation Phase

Code algorithm

Test algorithm

Maintenance Phase

Use

Maintain

Phase Interactions

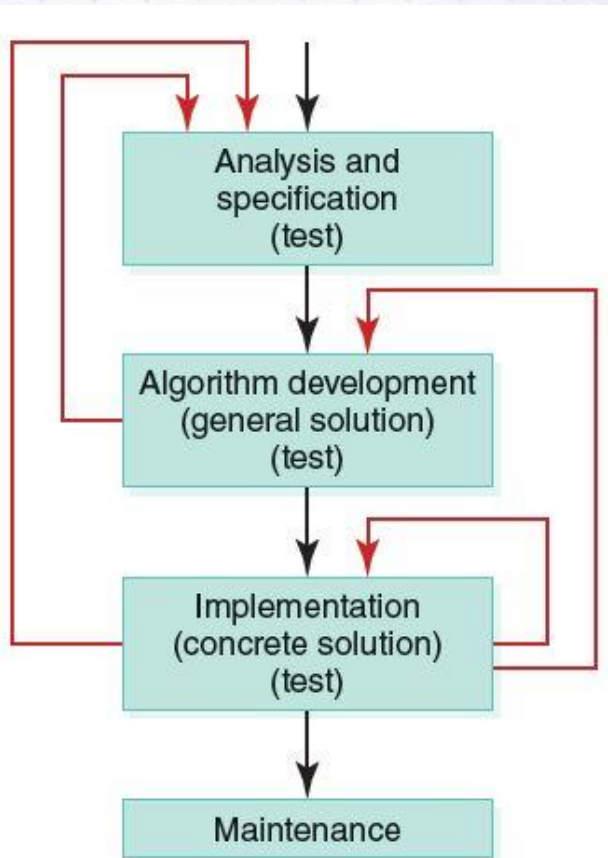


FIGURE 7.3 The interactions among the four problem-solving phases

Should we
add another
arrow?

(What happens
if the problem
is revised?)

Algorithms

Algorithm

*A set of **unambiguous** instructions for solving a problem or sub-problem in a **finite** amount of **time** using a finite amount of **data***

Abstract Step

An algorithmic step containing unspecified details

Concrete Step

An algorithm step in which all details are specified

Developing an Algorithm

*Two methodologies used to **develop** computer solutions to a problem*

- **Top-down design** focuses on the **tasks** to be done*
- **Object-oriented design** focuses on the **data** involved in the solution*

Summary of Methodology

Analyze the Problem

Understand the problem!!

Develop a plan of attack

List the Main Tasks (becomes Main Module)

Restate problem as a list of tasks (modules)

Give each task a name

Write the Remaining Modules

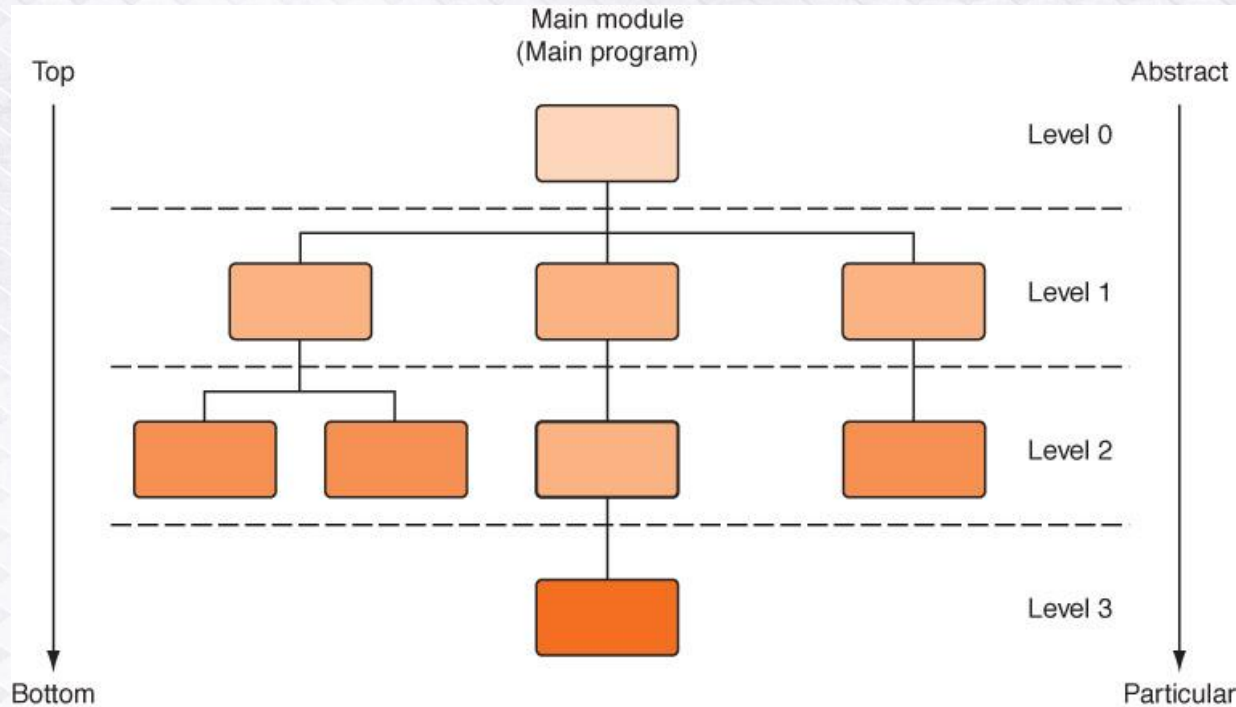
Restate each abstract module as a list of tasks

Give each task a name

Re-sequence and Revise as Necessary

Process ends when all steps (modules) are concrete

Top-Down Design



Process continues for as many levels as it takes to make every step concrete

Name of (sub)problem at one level becomes a module at next lower level

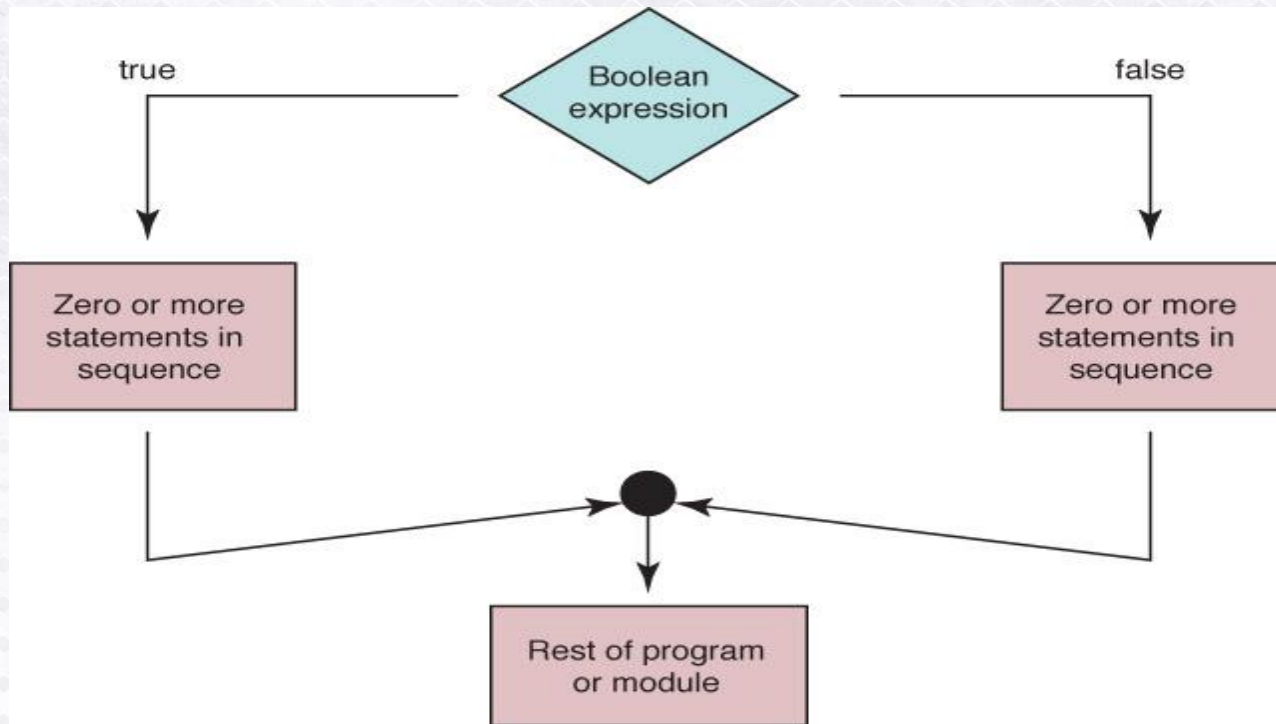
Control Structures

Control structure

An instruction that determines the order in which other instructions in a program are executed

Can you name the ones we defined in the functionality of pseudocode (CS-150)?

Selection Statements



Flow of control of if statement

Algorithm with Selection

Problem: Write the appropriate dress for a given temperature.

Write "Enter temperature"
Read temperature
Determine Dress

Which statements are concrete?
Which statements are abstract?

Algorithm with Selection

Determine Dress

IF (temperature > 90)

Write “Texas weather: wear shorts”

ELSE IF (temperature > 70)

Write “Ideal weather: short sleeves are fine”

ELSE IF (temperature > 50)

Write “A little chilly: wear a light jacket”

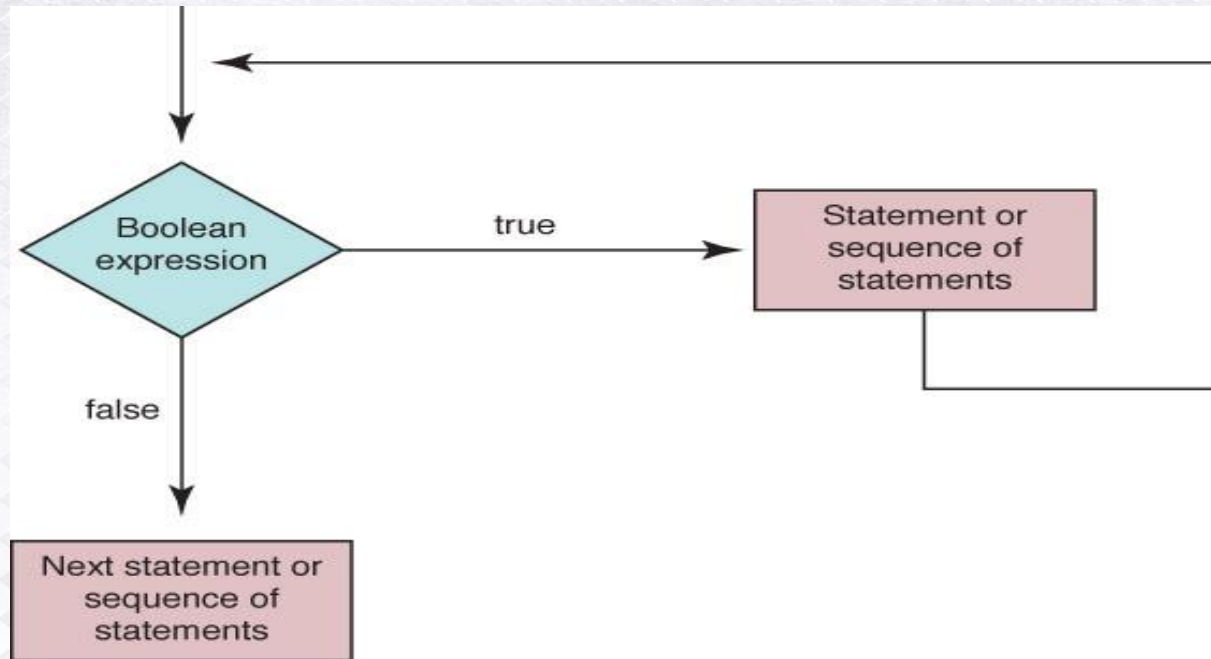
ELSE IF (temperature > 32)

Write “Philadelphia weather: wear a heavy coat”

ELSE

Write “Stay inside”

Looping Statements



Flow of control of while statement

Looping Statements

A count-controlled loop

Set sum to 0

Set count to 1

While (count <= limit)

 Read number

 Set sum to sum + number

 Increment count

Write "Sum is " + sum

Why is it
called a
count-controlled
loop?

Looping Statements

An event-controlled loop

```
Set sum to 0
Set allPositive to TRUE
WHILE (allPositive)
    Read number
    IF (number > 0)
        Set sum to sum + number
    ELSE
        Set allPositive to FALSE
Write "Sum is " + sum
```

Why is it
called an
event-controlled
loop?
What is the
event?

Looping Statements

Calculate Square Root

Read in square

Calculate the square root

Write out square and the square root

Are there any abstract steps?

Looping Statements

Calculate Square Root

Set epsilon to 1

WHILE (epsilon > 0.001)

 Calculate new guess

 Set epsilon to $\text{abs}(\text{square} - \text{guess} * \text{guess})$

Are there any abstract steps?

Looping Statements

Calculate New Guess

Set newGuess to
 $(\text{guess} + (\text{square}/\text{guess})) / 2.0$

Are there any abstract steps?

Looping Statements

Read in square

Set guess to square/4

Set epsilon to 1

WHILE (epsilon > 0.001)

 Calculate new guess

 Set epsilon to $\text{abs}(\text{square} - \text{guess} * \text{guess})$

Write out square and the guess

Composite Data Types

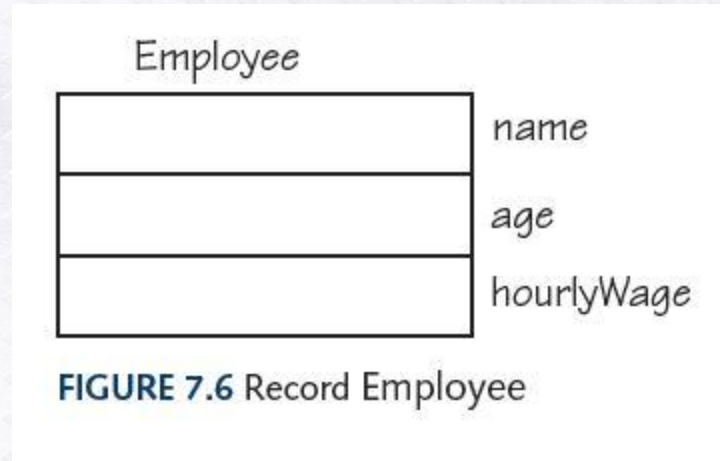
Records

A named heterogeneous collection of items in which individual items are accessed by name. For example, we could bundle name, age and hourly wage items into a record named Employee

Arrays

A named homogeneous collection of items in which an individual item is accessed by its position (index) within the collection

Composite Data Types



Following algorithm, stores values into the fields of record:

```
Employee employee      // Declare and Employee variable
Set employee.name to "Frank Jones"
Set employee.age to 32
Set employee.hourlyWage to 27.50
```

Composite Data Types

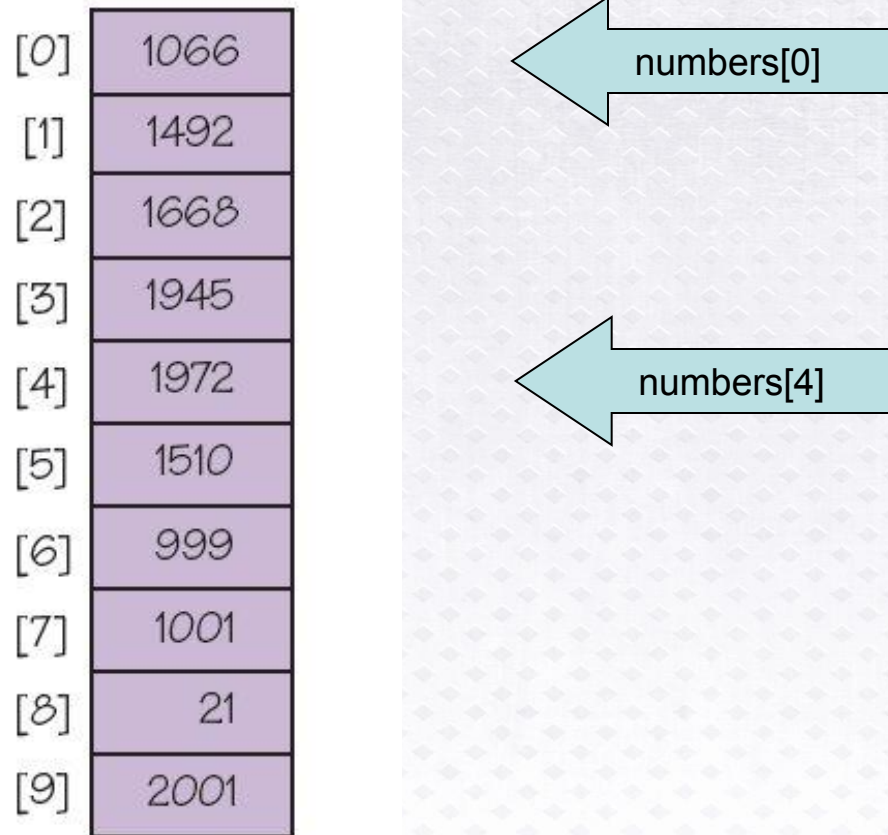


FIGURE 7.5 An array of ten numbers

Arrays

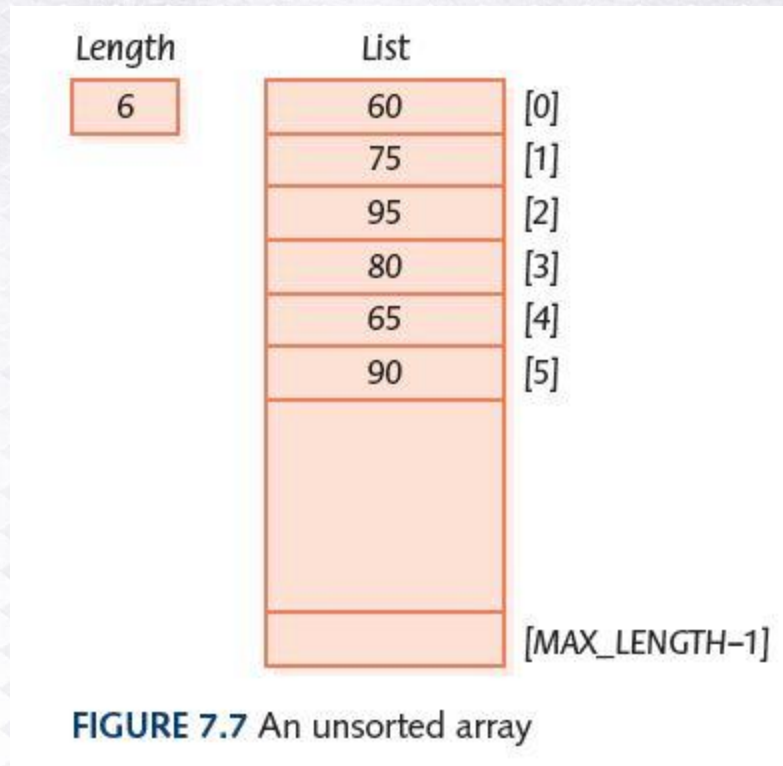
As data is being read into an array, a counter is updated so that we always know how many data items were stored

*If the array is called **list**, we are working with*

list[0] to list[length-1] or

list[0]..list[length-1]

An Unsorted Array



`list[0]...list[length-1]`
is of interest

Composite Data Types

Fill array numbers with limit values

integer data[20]

Write “How many values?”

Read length

Set index to 0

WHILE (index < length)

 Read data[index]

 Set index to index + 1

Sequential Search of an Unsorted Array

A sequential search examines each item in turn and compares it to the one we are searching.

If it matches, we have found the item. If not, we look at the next item in the array.

*We stop either when we have **found the item** or when we have looked **at all the items and not found** a match*

Thus, a loop with two ending conditions

Sequential Search Algorithm

Set position to 0

Set found to FALSE

WHILE (position < length **AND NOT** found)

 IF (numbers[position] equals searchitem)

 Set found to TRUE

 ELSE

 Set position to position + 1

RETURN position

Sequential Search Algorithm w/ Sentinel

*The previous algorithm had **two** conditions to check in the WHILE*

*We can reduce the number of tests per iteration by posting a **sentinel***

We insert a copy of the value searched for after the last item so a copy of the item is always found

Now the WHILE only has one condition to check!

Sequential Search Algorithm w/ Sentinel

Set position to 0

Set data[length] = searchitem ← Posting the Sentinel

WHILE (position < length)

 IF (data [position] equals searchitem)

 RETURN position

 ELSE

 Set position to position + 1

Clear data[length] ← Removing the Sentinel

RETURN position as zero

Sequential Search Complexity

In both algorithms the number of tests is proportional to the length of the list – $O(n)$

The order of the testing can influence the number of tests required to be carried out.

Booleans Expression

AND returns TRUE if both operands are true and FALSE otherwise

OR returns TRUE if either operand is true and FALSE otherwise

NOT returns TRUE if its operand is false and FALSE if its operand is true

The order matters (sometimes). If A is FALSE in the expression *A AND B*, do we need to check *B*?

What about *A OR B*?

Sorted Arrays

*The values stored in an array have **unique keys** of a type for which the relational operators are defined*

***Sorting** rearranges the elements into either ascending or descending order within the array*

*A **sorted** array is one in which the elements are in order*

Sequential Search in a Sorted Array

*If items in an array are sorted, we can **stop looking** when we pass the place where the item would be if it were present in the array*

Is this better?

A Sorted Array



FIGURE 7.8 A sorted array

A sorted array of integers

A Sorted Array

Read in array of values

Write “Enter value for which to search”

Read searchItem

Set found to TRUE if searchItem is there

IF (found)

 Write “Item is found”

ELSE

 Write “Item is not found”

A Sorted Array

Set found to TRUE if searchItem is there

Set index to 0

Set found to FALSE

WHILE (index < length AND NOT found)

 IF (data[index] equals searchItem)

 Set found to TRUE

 ELSE IF (data[index] > searchItem)

 Set index to length

ELSE

 Set index to index + 1

Binary Search

Sequential search

Search begins at the beginning of the list and continues until the item is found or the entire list has been searched

Binary search (list must be sorted)

Search begins at the middle and finds the item or eliminates half of the unexamined items; process is repeated on the half where the item might be

Say that again...

Binary Search

Set lower to 0

Set upper to length - 1

Set found to FALSE

WHILE (upper \geq lower AND NOT found)

 Set middle to (upper + lower) // 2

 IF (data[middle] equals target))

 Set found to TRUE

 ELSE IF (data[middle] < target)

 Set last to middle + 1

 ELSE

 Set first to middle - 1

RETURN found

Binary Search

Length	Items
11	ant [0]
	cat [1]
	chicken [2]
	cow [3]
	deer [4]
	dog [5]
	fish [6]
	goat [7]
	horse [8]
	rat [9]
	snake [10]
	.
	.

FIGURE 7.9 Binary search example

Searching for cat

First	Last	Middle	Comparison
0	10	5	cat < dog
0	4	2	cat < chicken
0	1	0	cat > ant
1	1	1	cat = cat Return: true

Searching for fish

First	Last	Middle	Comparison
0	10	5	fish > dog
6	10	8	fish < horse
6	7	6	fish = fish Return: true

Searching for zebra

First	Last	Middle	Comparison
0	10	5	zebra > dog
6	10	8	zebra > horse
9	10	9	zebra > rat
10	10	10	zebra > snake
11	10		first > last Return: false

FIGURE 7.10 Trace of the binary search

Binary Search Worked Example 1

Target: 13

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

Binary Search Worked Example 1

Target: 13

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

↑
lower

Binary Search

Worked Example 1

Target: 13

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

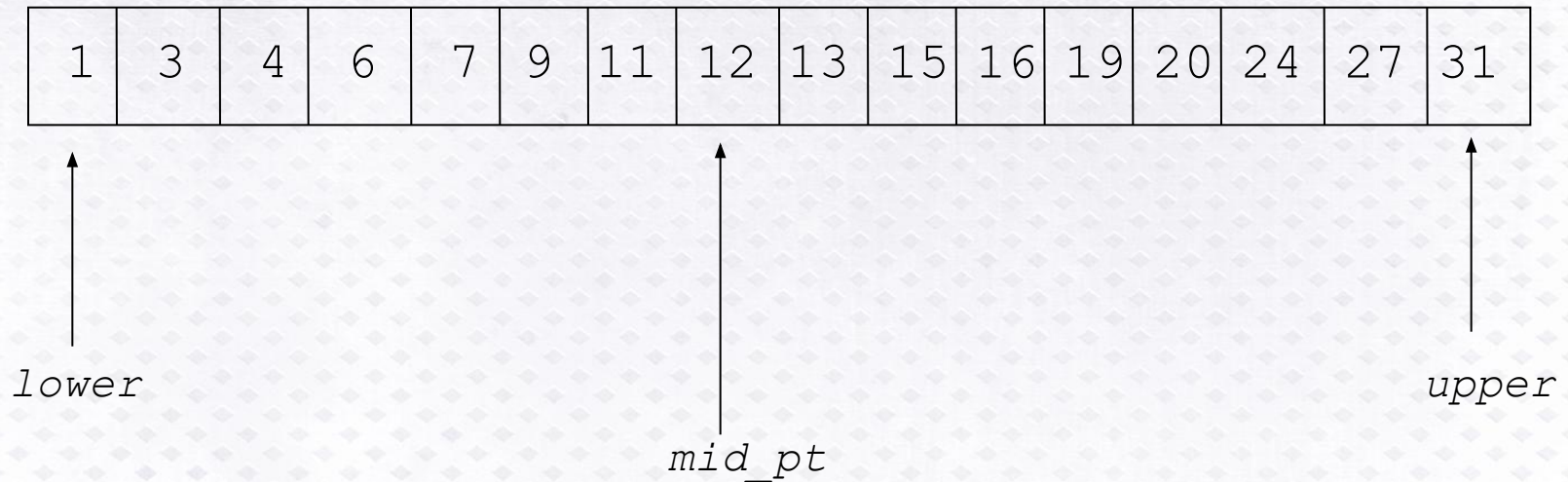
↑
lower

↑
upper

Binary Search

Worked Example 1

Target: 13



Binary Search

Worked Example 1

Target: 13

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

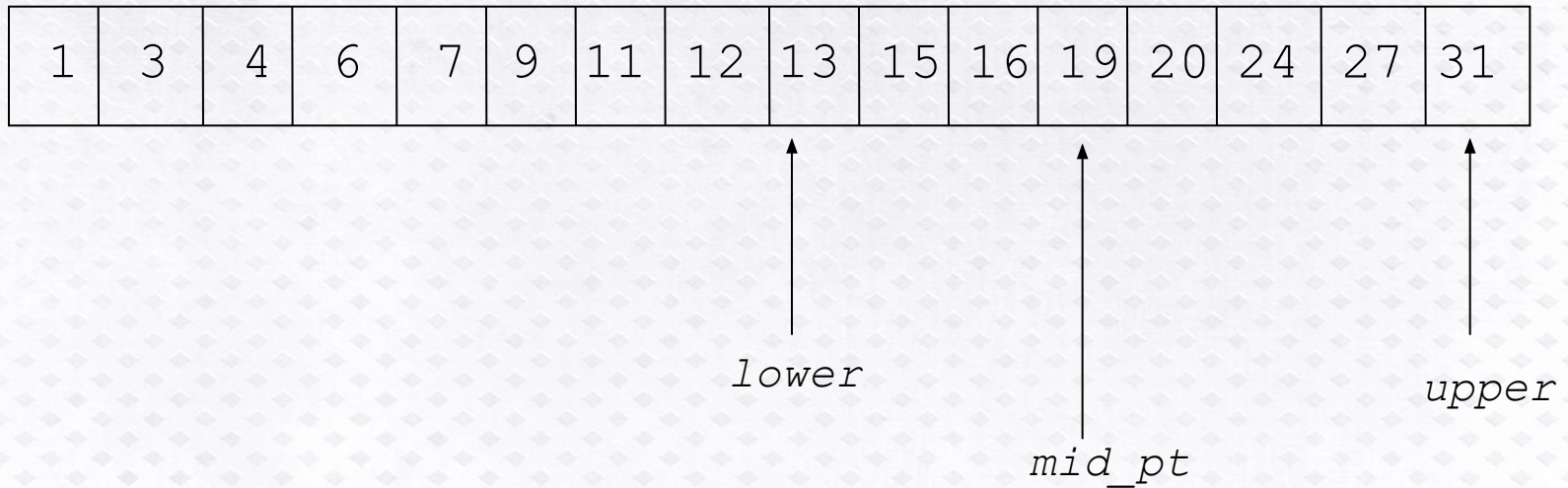
lower

upper

Binary Search

Worked Example 1

Target: 13

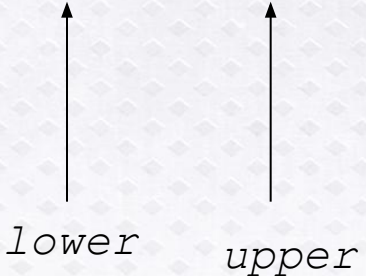


Binary Search

Worked Example 1

Target: 13

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

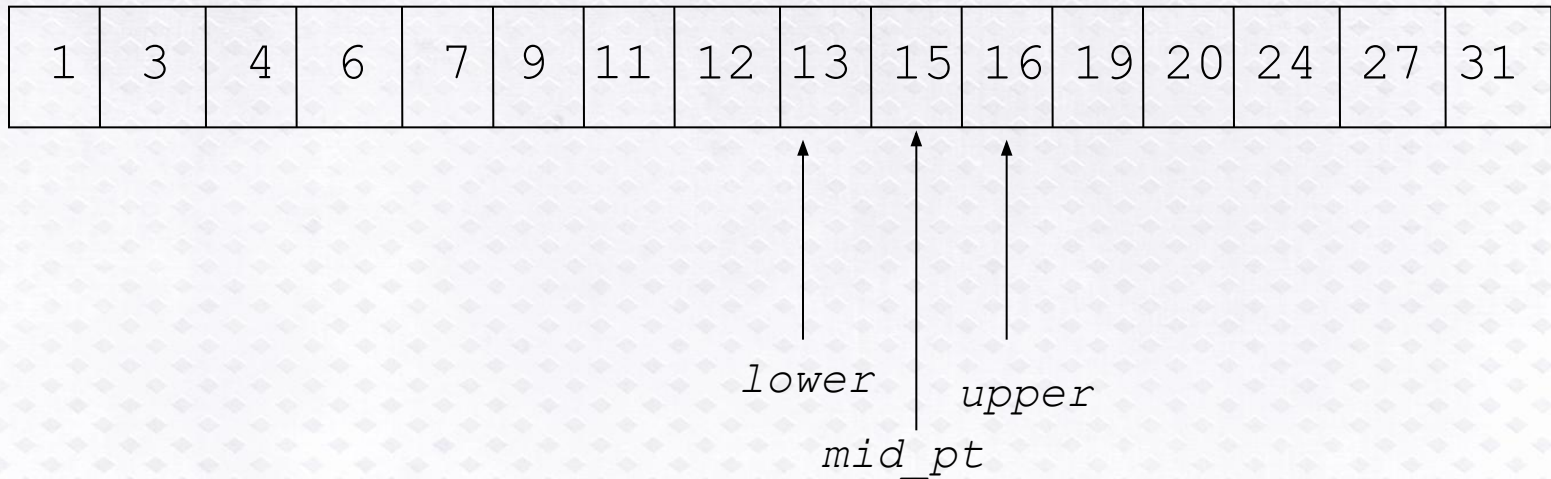


lower *upper*

Binary Search

Worked Example 1

Target: 13



Binary Search

Worked Example 1

Target: 13

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

↑
lower

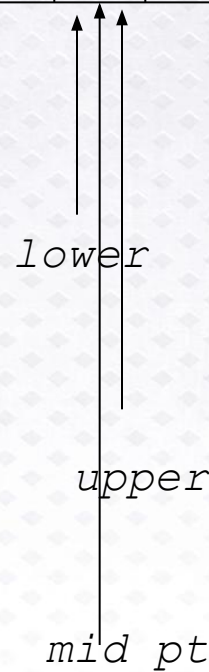
↑
upper

Binary Search

Worked Example 1

Target: 13,
FOUND

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----



Binary Search

Worked Example 2

Target: 6

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

Binary Search

Worked Example 2

Target: 6

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

↑
lower

Binary Search

Worked Example 2

Target: 6

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

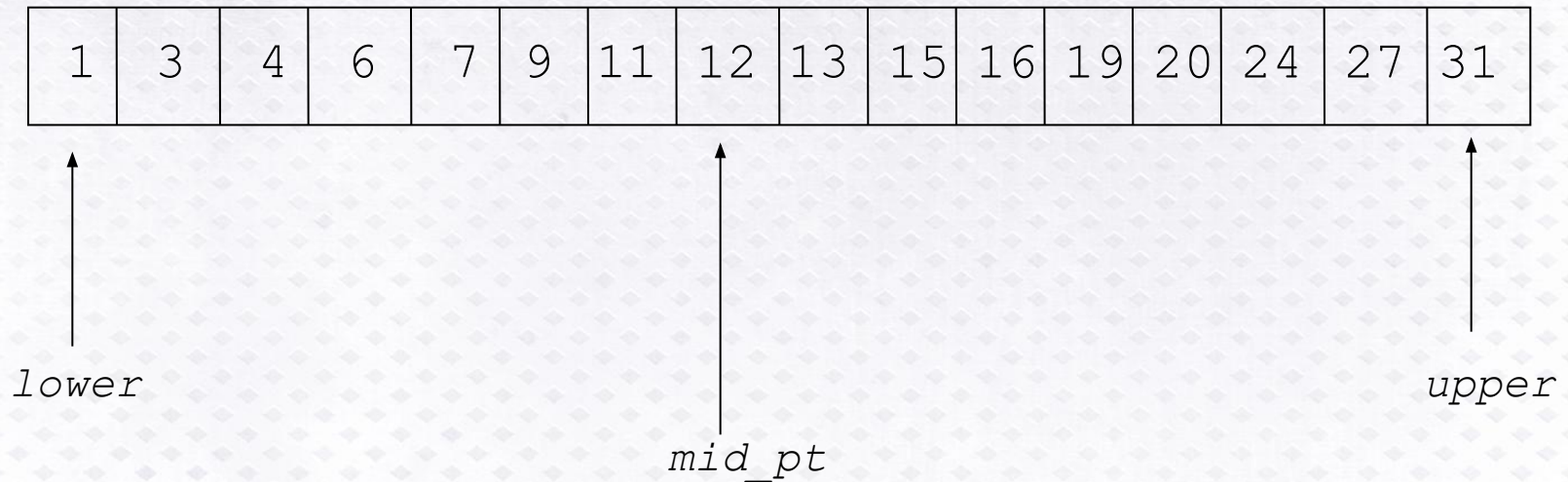
↑
lower

↑
upper

Binary Search

Worked Example 2

Target: 6



Binary Search

Worked Example 2

Target: 6

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

↑
lower

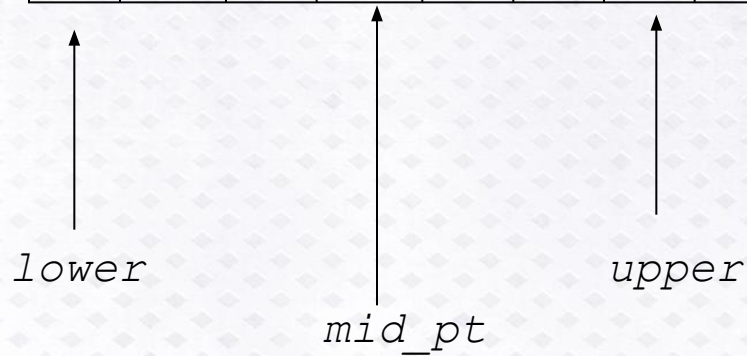
↑
upper

Binary Search

Worked Example 2

Target: 6
FOUND

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----



Binary Search

Worked Example 3

Target: 14 (Doesn't exist)

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

Binary Search

Worked Example 3

Target: 14 (Doesn't exist)

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

↑
lower

Binary Search

Worked Example 3

Target: 14 (Doesn't exist)

1	3	4	6	7	9	11	12	13	15	16	19	20	24	27	31
---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----

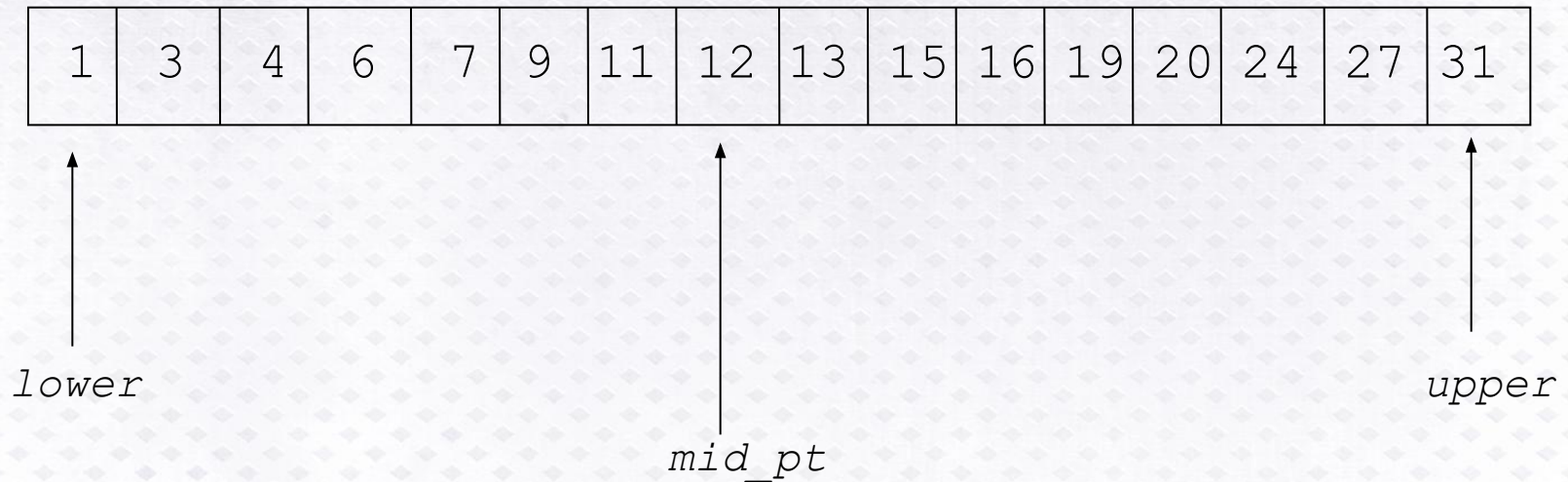
↑
lower

↑
upper

Binary Search

Worked Example 3

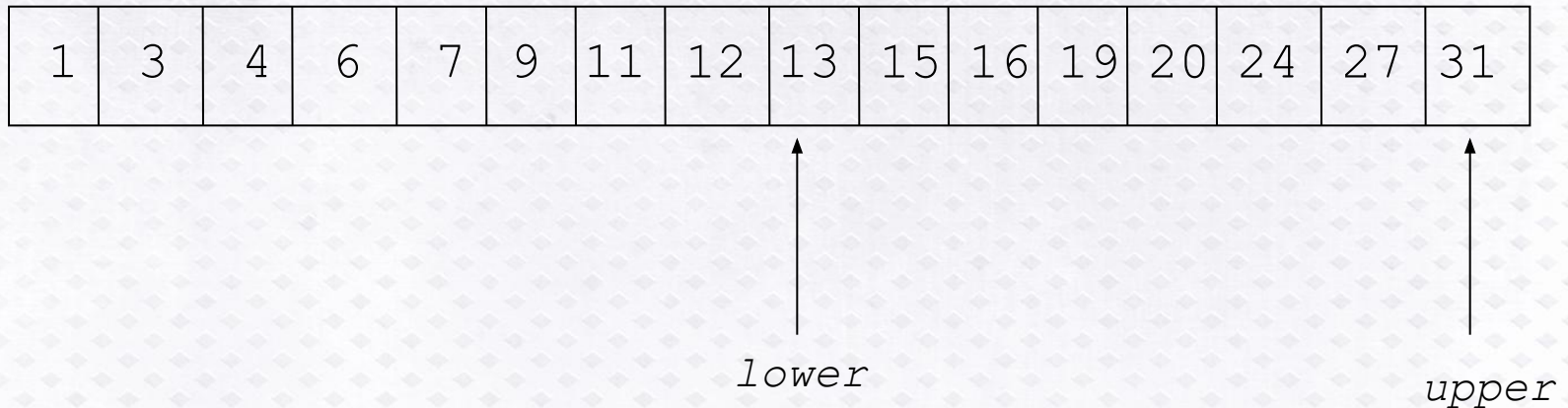
Target: 14 (Doesn't exist)



Binary Search

Worked Example 3

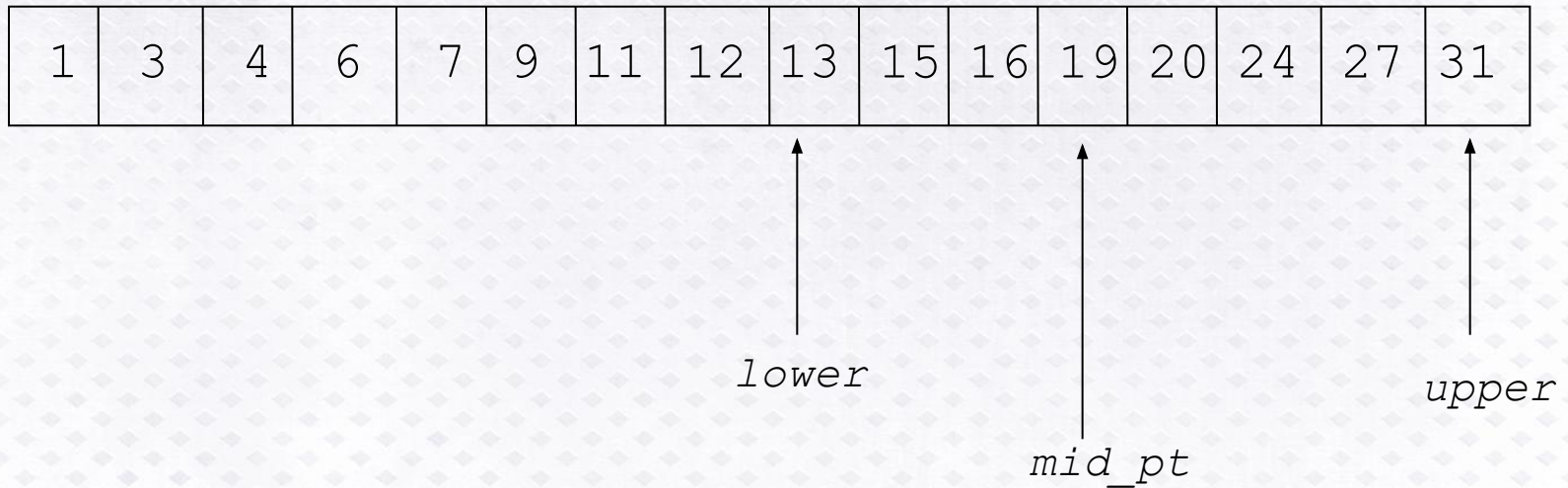
Target: 14 (Doesn't exist)



Binary Search

Worked Example 3

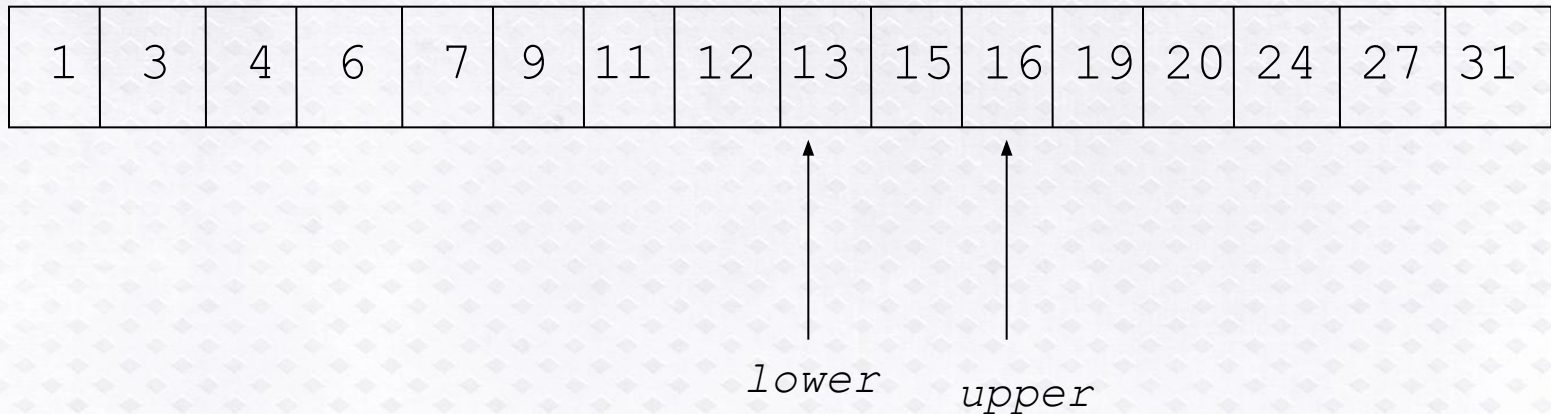
Target: 14 (Doesn't exist)



Binary Search

Worked Example 3

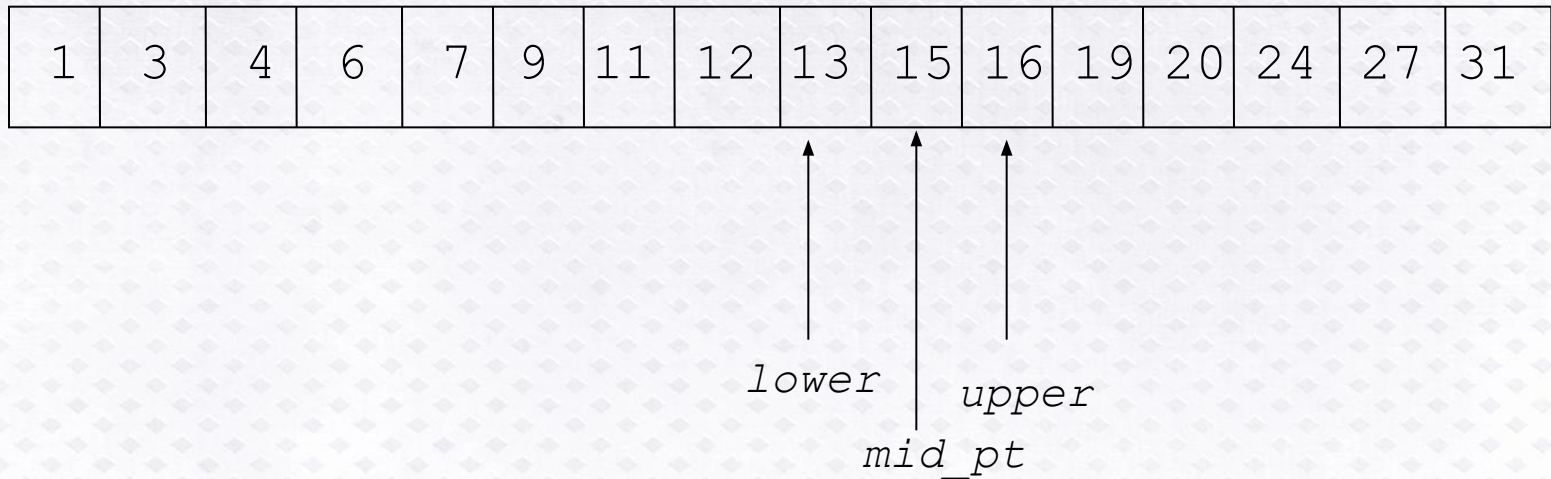
Target: 14 (Doesn't exist)



Binary Search

Worked Example 3

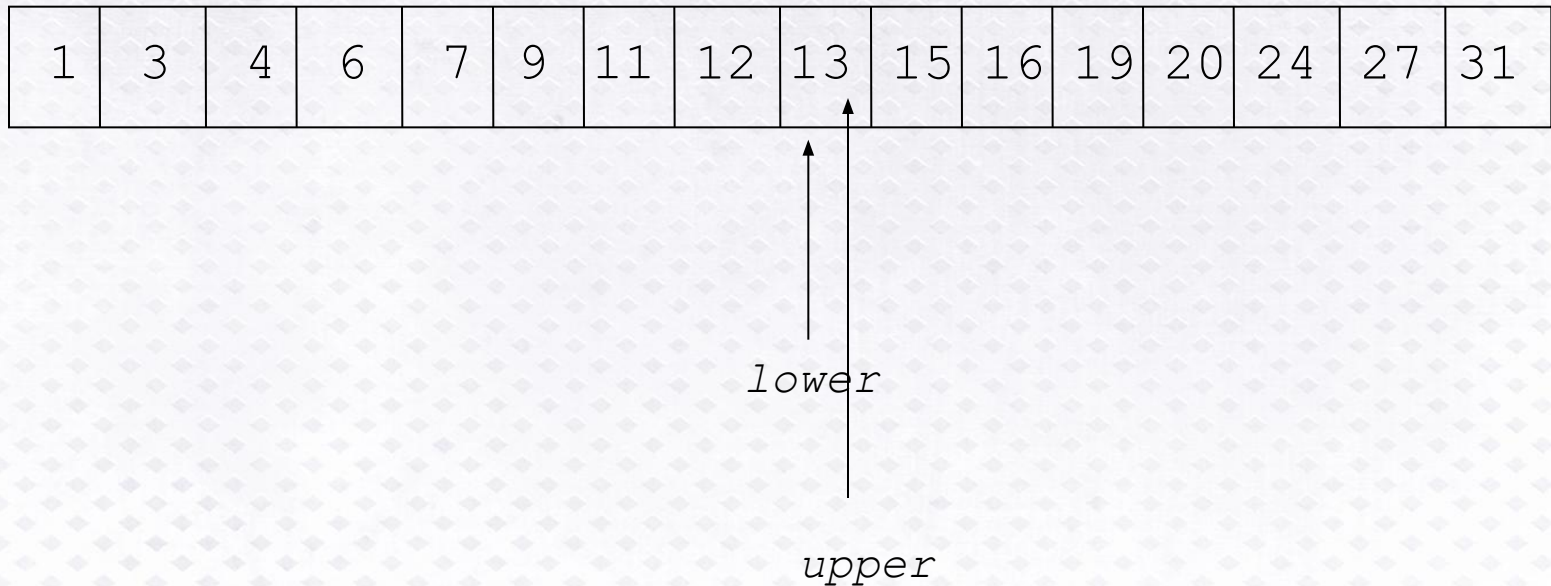
Target: 14 (Doesn't exist)



Binary Search

Worked Example 3

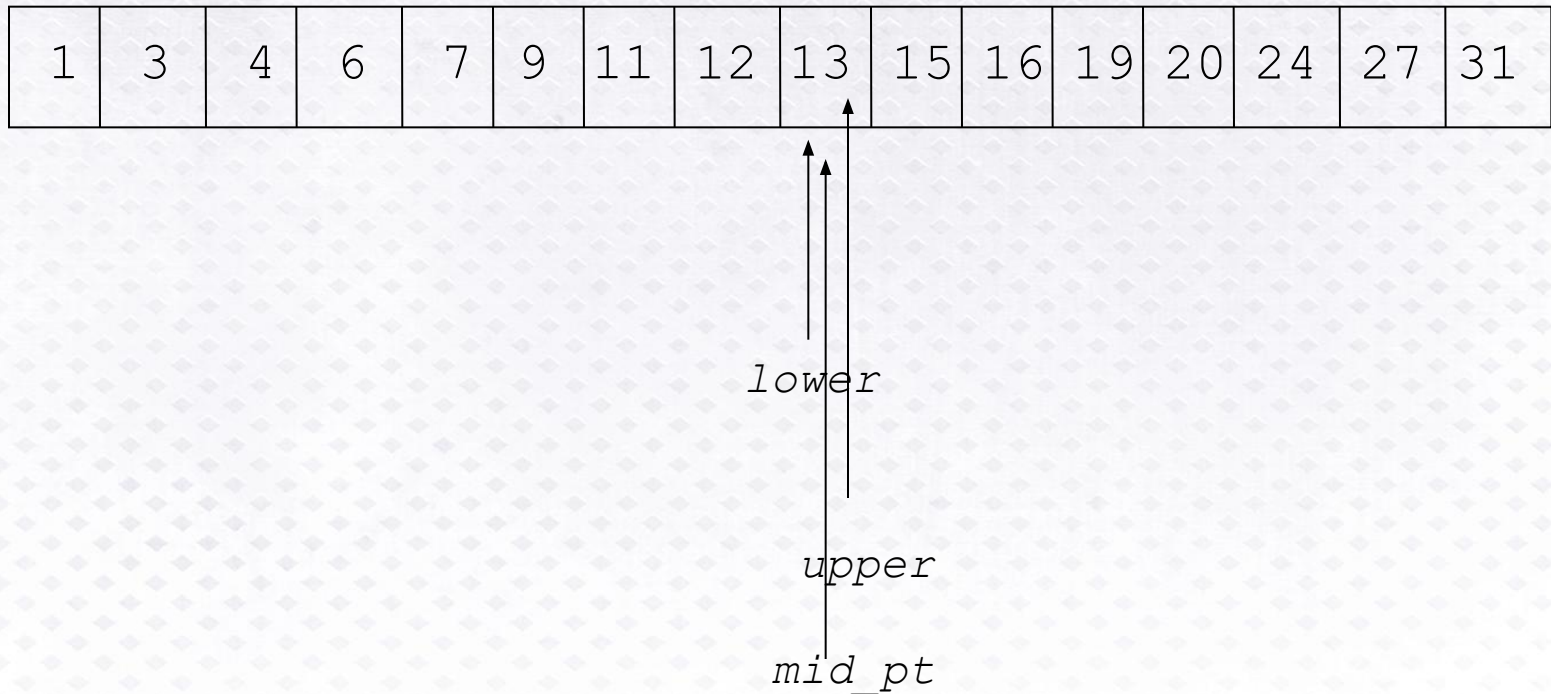
Target: 14 (Doesn't exist)



Binary Search

Worked Example 3

Target: 14 (Doesn't exist)
NOT FOUND



Recursive Binary Search

```
binarysearch(target, lower, upper)
```

```
    found = FALSE
```

```
    middle = (upper + lower) / 2
```

```
    IF (data[middle] < target)
```

```
        binarysearch(target, middle + 1, upper)
```

```
    ELSE IF (data[middle] equals target)
```

```
        Set found to TRUE
```

```
    ELSE
```

```
        binarysearch(target, lower, middle – 1)
```

```
    RETURN found
```

Binary Search

TABLE

7.1

Average Number of Comparisons

Length	Sequential Search	Binary Search
10	5.5	2.9
100	50.5	5.8
1000	500.5	9.0
10000	5000.5	12.0

Is a binary search
always better?

Binary Search Complexity

What is the complexity of Binary Search?

How many times do we need to check for the entry?

In the example searches with 16 values we calculated the mid point 4 times

Binary Search Complexity

What is the relationship between the number of times we calculate the mid-point and the number of values?

*We are repeatedly **dividing by 2** so this question is the same as asking how many times can we divide n by 2, or if $n = 2^k$ what is the value of k ?*

*Any number, n , can be written as b^x and x is the **logarithm** to base b of n .*

$$b^x \Rightarrow x = \log_b(n)$$

Note that $\log_b(1) = 0$ for all bases b

Binary Search Complexity

To calculate logarithm base b of a number n precisely requires the use of calculus, but in many cases it is good enough to determine how many times we can divide n by b until we get a number ≤ 1 . This integer is $\geq \log_b(n)$.

In general for our search algorithm, we will calculate the mid point $\log_2(n)$ times for an unsuccessful search and between 1 and $\log_2(n)$ times for a successful search.

So the algorithm is: $O(\log_2(n)) - O(\log n)$

Some Example Calculations

- $\log_3 27 = 3$ since $27/3/3/3 = 1$
- $\log_4 64 = 3$ since $64/4/4/4 = 1$
- $\log_4 27 \approx 3$ since $27/4/4/4/4 = 0.42$
- $\log_3 64 \approx 4$ since $64/3/3/3/3 = 0.79$

Some useful bases:

10 – this is what the log key on a calculator usually produces

2 – frequently occurs in computer applications as numbers are stored in binary and also problems are often solved by dividing into two sub-problems

$e = 2.71828$ – the so-called natural logarithm (\ln)

What difference does the base make?

n	$\log_2 n$	$\log_{10} n$	$\ln(n)$
1	0	0	0
10	3.32	1	2.30
100	6.64	2	4.61
1000	9.97	3	6.91
10000	13.29	4	9.21

Sorting

Sorting

Arranging items in a collection so that there is an ordering on one (or more) of the fields in the items

Sort Key

The field (or fields) on which the ordering is based

Sorting

Sorting algorithms

Algorithms that order the items in the collection based on the sort key

Stable Sorting Algorithms

Algorithms that don't re-order sorted data

Selection Sort

Given a list of values, put them in ascending order

- Find the value that comes first,
and write it on a second sheet of paper*
- Cross out the value off the original list*
- Continue this cycle until all the values on the original
list have been crossed out and written onto the
second list, at which point the second list contains the
same items but in sorted order*

Selection Sort

A slight adjustment to this manual approach does away with the need to duplicate space

- As you cross a value off the original list, a free space opens up*
- Instead of writing the value found on a second list, exchange it with the value currently in the position where the crossed-off item should go*

Selection Sort

Names		Names		Names		Names		Names	
[0]	Sue	[0]	Ann	[0]	Ann	[0]	Ann	[0]	Ann
[1]	Cora	[1]	Cora	[1]	Beth	[1]	Beth	[1]	Beth
[2]	Beth	[2]	Beth	[2]	Cora	[2]	Cora	[2]	Cora
[3]	Ann	[3]	Sue	[3]	Sue	[3]	Sue	[3]	June
[4]	June	[4]	June	[4]	June	[4]	June	[4]	Sue
(a)		(b)		(c)		(d)		(e)	

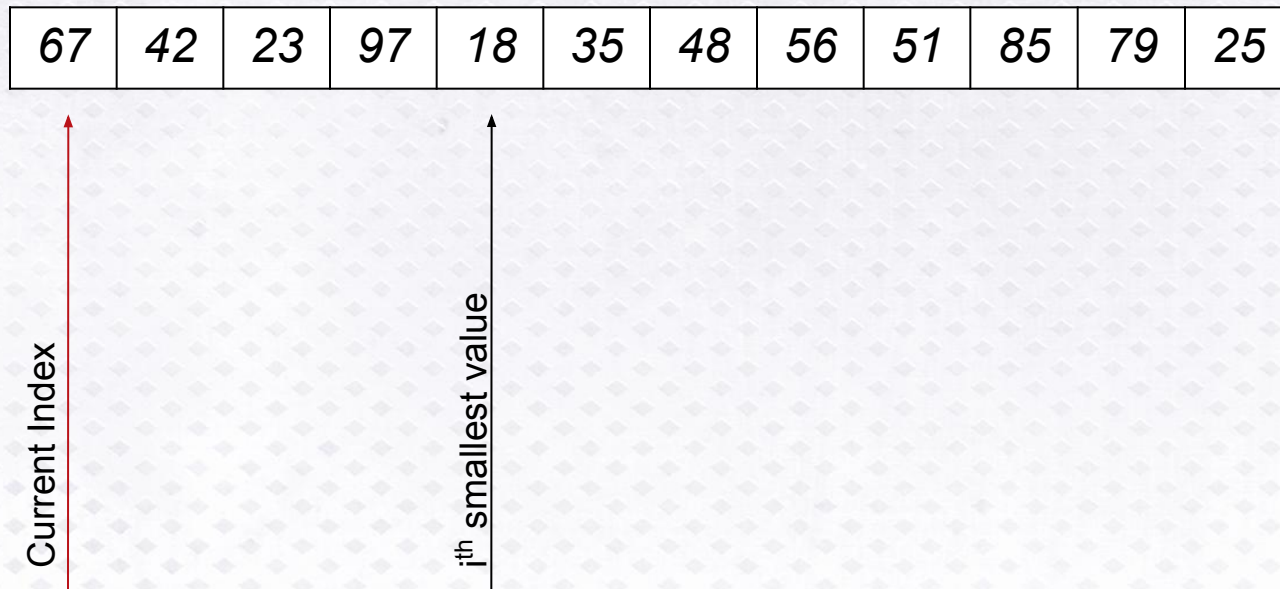
FIGURE 7.11 Examples of selection sort (sorted elements are shaded)

Selection Sort Worked Example

67	42	23	97	18	35	48	56	51	85	79	25
----	----	----	----	----	----	----	----	----	----	----	----

Selection Sort

Worked Example



Selection Sort Worked Example

18	42	23	97	67	35	48	56	51	85	79	25
----	----	----	----	----	----	----	----	----	----	----	----

Current Index

i^{th} smallest value

Selection Sort Worked Example

18	23	42	97	67	35	48	56	51	85	79	25
----	----	----	----	----	----	----	----	----	----	----	----

Current Index

i^{th} smallest value

Selection Sort Worked Example

18	23	25	97	67	35	48	56	51	85	79	42
----	----	----	----	----	----	----	----	----	----	----	----



Selection Sort

Worked Example

18	23	25	35	67	97	48	56	51	85	79	42
----	----	----	----	----	----	----	----	----	----	----	----

Current Index

i^{th} smallest value

Selection Sort

Worked Example

18	23	25	35	42	97	48	56	51	85	79	67
----	----	----	----	----	----	----	----	----	----	----	----

Current Index

i^{th} smallest value

Selection Sort

Worked Example

18	23	25	35	42	48	97	56	51	85	79	67
----	----	----	----	----	----	----	----	----	----	----	----

Current Index

i^{th} smallest value

Selection Sort Worked Example

18	23	25	35	42	48	51	56	97	85	79	67
----	----	----	----	----	----	----	----	----	----	----	----

Current Index
 i^{th} smallest value

Selection Sort

Worked Example

18	23	25	35	42	48	51	56	97	85	79	67
----	----	----	----	----	----	----	----	----	----	----	----

Current Index

i^{th} smallest value

Selection Sort

Worked Example

18	23	25	35	42	48	51	56	67	85	79	97
----	----	----	----	----	----	----	----	----	----	----	----

Current Index

i^{th} smallest value

Selection Sort Worked Example

18	23	25	35	42	48	51	56	67	79	85	97
----	----	----	----	----	----	----	----	----	----	----	----

Current Index
↑
 i^{th} smallest value

Selection Sort

Worked Example

18	23	25	35	42	48	51	56	67	79	85	97
----	----	----	----	----	----	----	----	----	----	----	----

Current Index
↑
 i^{th} smallest value

Selection Sort

Selection Sort

Set firstUnsorted to 0

WHILE (**not sorted yet**)

 Find smallest unsorted item

 Swap firstUnsorted item with the smallest

 Set firstUnsorted to firstUnsorted + 1

Not sorted yet

current < length – i

Selection Sort

Find smallest unsorted item

Set indexOfSmallest to firstUnsorted

Set index to firstUnsorted + 1

WHILE (index \leq length - 1)

 IF (data[index] < data[indexOfSmallest])

 Set indexOfSmallest to index

 Set index to index + 1

Set index to indexOfSmallest

Selection Sort

Swap firstUnsorted with smallest

Set tempItem to data[firstUnsorted]

Set data[firstUnsorted] to data[indexOfSmallest]

Set data[indexOfSmallest] to tempItem

Selection Sort

FOR index1 \leftarrow 0 to length - 2

min_pos = index1

FOR index2 \leftarrow index1 + 1 to length - 1

IF data[index2] < data[min_pos]

min_pos = index2

temp = data[index1]

data[index1] = data[min_pos]

data[min_pos] = temp



Selection Sort: Complexity

*What is the **complexity** of this algorithm?*

Outer loop executes: $n-1$ times

Inner loop executes: $1 + 2 + \dots + n-1$ times

*Complexity is **$O(n^2)$***

Data movements: Maximum of n exchanges

Bubble Sort

Bubble Sort uses the same strategy:

Find the next item

Put it into its proper place

But uses a different scheme for finding the next item

*Starting with the **last** list element, compare successive pairs of elements, swapping whenever the elements of the pair are not sorted*

Bubble Sort

Names		Names		Names		Names		Names	
[0]	Phil	[0]	Phil	[0]	Phil	[0]	Phil	[0]	Al
[1]	Al	[1]	Al	[1]	Al	[1]	Al	[1]	Phil
[2]	John	[2]	John	[2]	Bob	[2]	Bob	[2]	Bob
[3]	Jim	[3]	Bob	[3]	John	[3]	John	[3]	John
[4]	Bob	[4]	Jim	[4]	Jim	[4]	Jim	[4]	Jim

(a) First iteration (sorted elements are shaded)

Names			
[0]	Al	[0]	Al
[1]	Phil	[1]	Bob
[2]	Bob	[2]	Phil
[3]	John	[3]	Jim
[4]	Jim	[4]	John

Names			
[0]	Al	[0]	Al
[1]	Bob	[1]	Bob
[2]	Phil	[2]	Jim
[3]	Jim	[3]	Phil
[4]	John	[4]	John

Names			
[0]	Al	[0]	Al
[1]	Bob	[1]	Bob
[2]	Jim	[2]	Jim
[3]	Phil	[3]	John
[4]	John	[4]	Phil

(b) Remaining iterations (sorted elements are shaded)

FIGURE 7.12 Examples of a bubble sort

Bubble Sort

Bubble sort is very slow!

Can you see a way to make it faster?

Under what circumstances is bubble sort fast?

Bubble Sort

Bubble Sort

Set firstUnsorted to 0

Set index to firstUnsorted + 1

Set swap to TRUE

WHILE (index < length AND swap)

 Set swap to FALSE

 “Bubble up” the smallest item in unsorted part

 Set firstUnsorted to firstUnsorted + 1

Bubble Sort

Bubble up

Set index to length – 1

WHILE (index > firstUnsorted + 1)

 IF (data[index] < data[index – 1])

 Swap data[index] and data[index – 1]

 Set swap to TRUE

 Set index to index - 1

Bubble Sort

Worked Example

Consider the following list of integers to sort:

48 35 67 23 51 56 25 18 42

Swap occurred this pass:

Bubble Sort

Worked Example

48 35 67 23 51 56 25 18 42

Swap occurred this pass: FALSE

Bubble Sort Worked Example

48 35 67 23 51 56 28 28 42

Swap

Swap occurred this pass: ~~TRUE~~ **FALSE**

Bubble Sort Worked Example

48 35 67 23 51 58 58 25 42

Swap

Swap occurred this pass: TRUE

Bubble Sort Worked Example

48 35 67 23 ~~58~~ ~~58~~ 56 25 42

Swap

Swap occurred this pass: TRUE

Bubble Sort Worked Example

48 35 67 28 28 51 56 25 42

Swap

Swap occurred this pass: TRUE

Bubble Sort Worked Example

48 35 ~~68~~ ~~68~~ 23 51 56 25 42



Swap

Swap occurred this pass: TRUE

Bubble Sort Worked Example

48 38 38 67 23 51 56 25 42

Swap

Swap occurred this pass: TRUE

Bubble Sort Worked Example

48 48 35 67 23 51 56 25 42



Swap

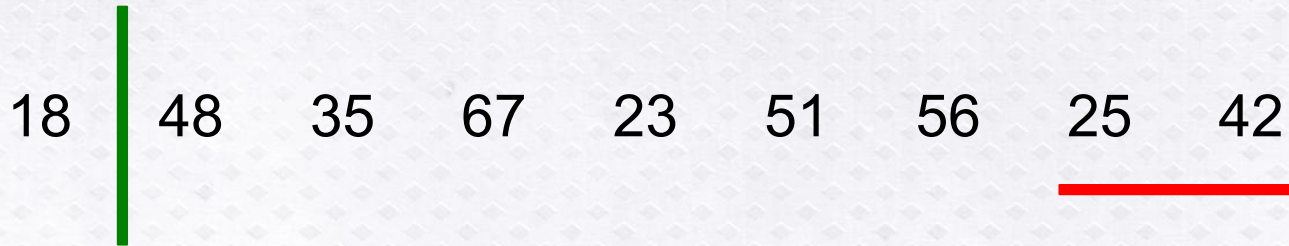
Swap occurred this pass: TRUE

Bubble Sort Worked Example

18 | 48 35 67 23 51 56 25 42

Swap occurred this pass: FALSE

Bubble Sort Worked Example



Swap occurred this pass: FALSE

Bubble Sort Worked Example



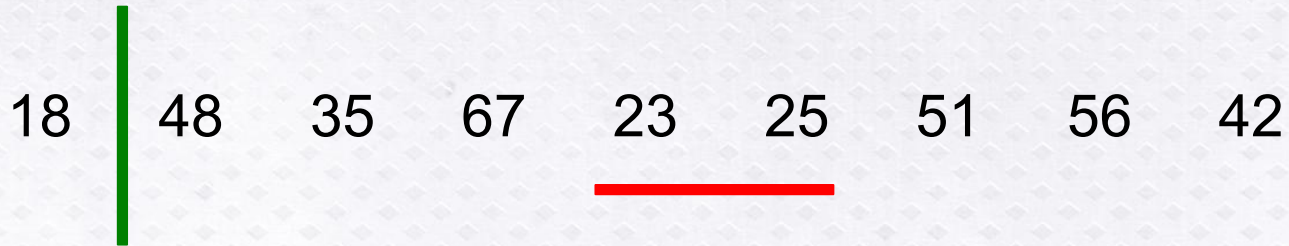
Swap occurred this pass: ~~TRUE~~ FALSE

Bubble Sort Worked Example



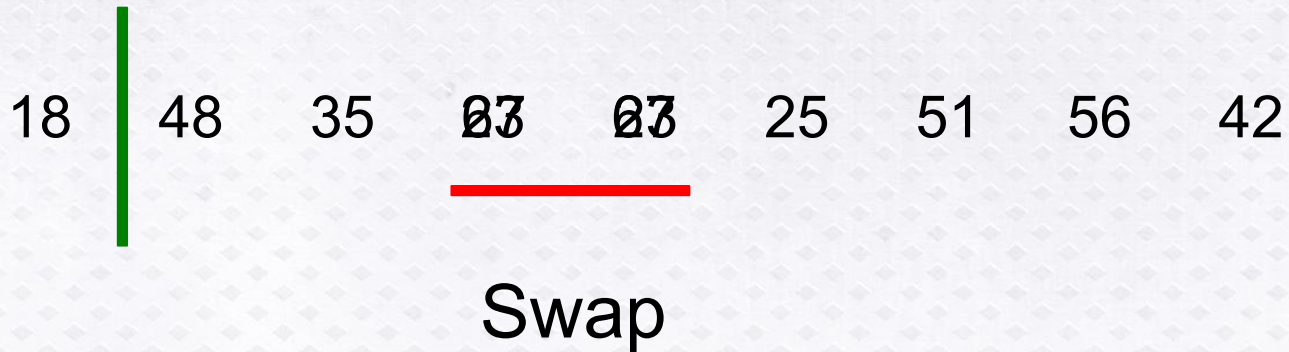
Swap occurred this pass: TRUE

Bubble Sort Worked Example



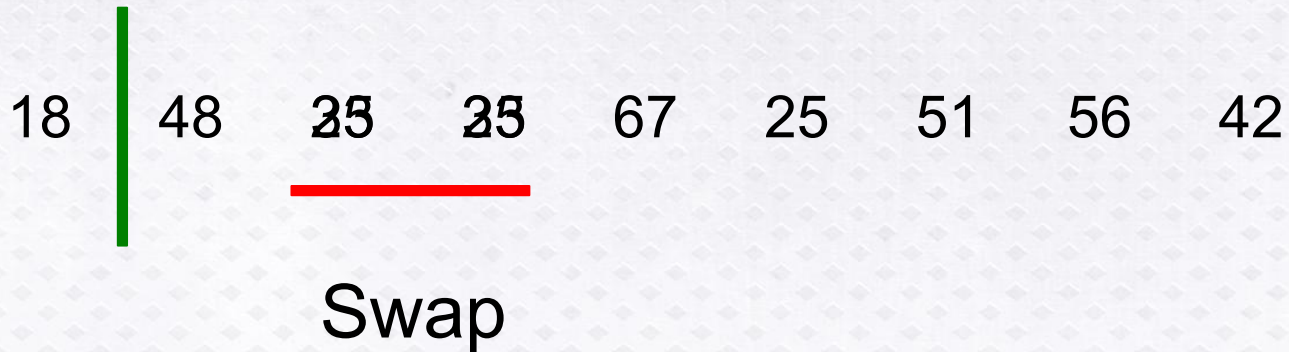
Swap occurred this pass: TRUE

Bubble Sort Worked Example



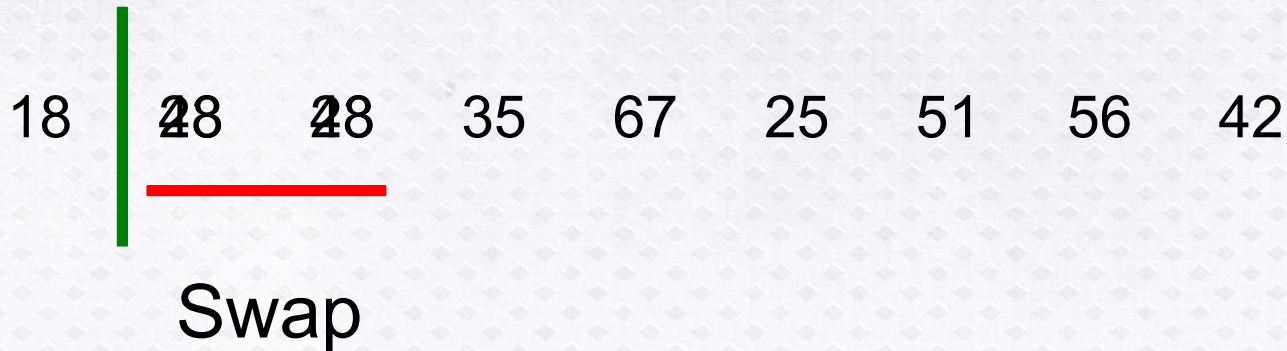
Swap occurred this pass: TRUE

Bubble Sort Worked Example



Swap occurred this pass: TRUE

Bubble Sort Worked Example



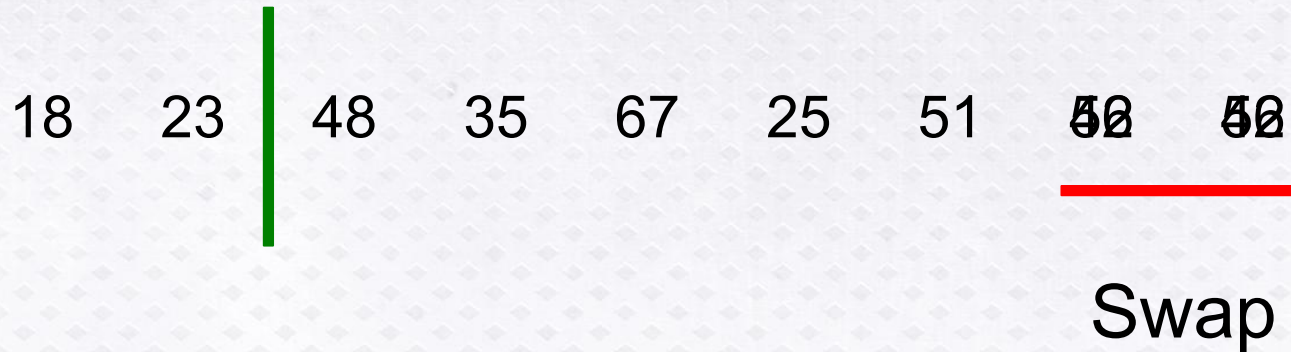
Swap occurred this pass: TRUE

Bubble Sort Worked Example

18 23  48 35 67 25 51 56 42

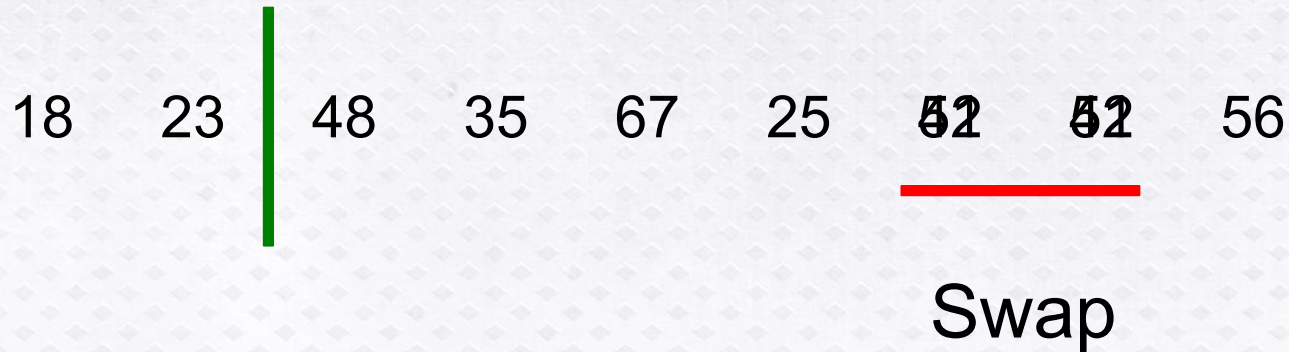
Swap occurred this pass: FALSE

Bubble Sort Worked Example



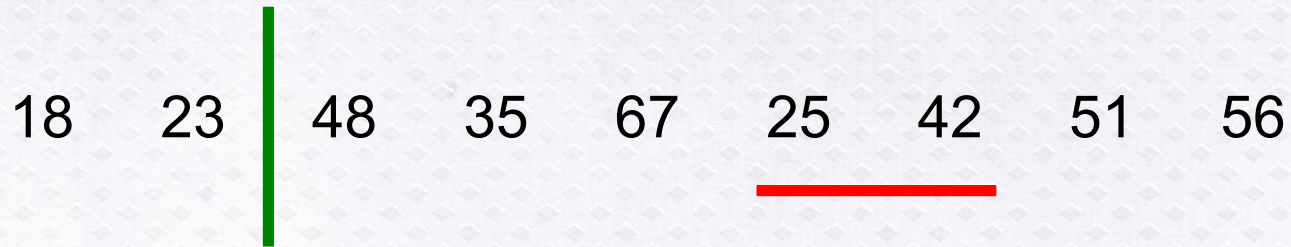
Swap occurred this pass: ~~TRUE~~ FALSE

Bubble Sort Worked Example



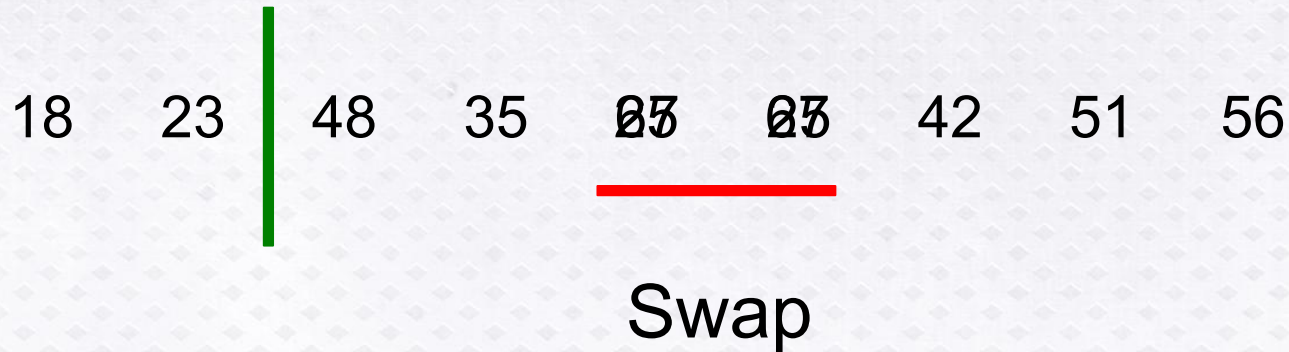
Swap occurred this pass: TRUE

Bubble Sort Worked Example



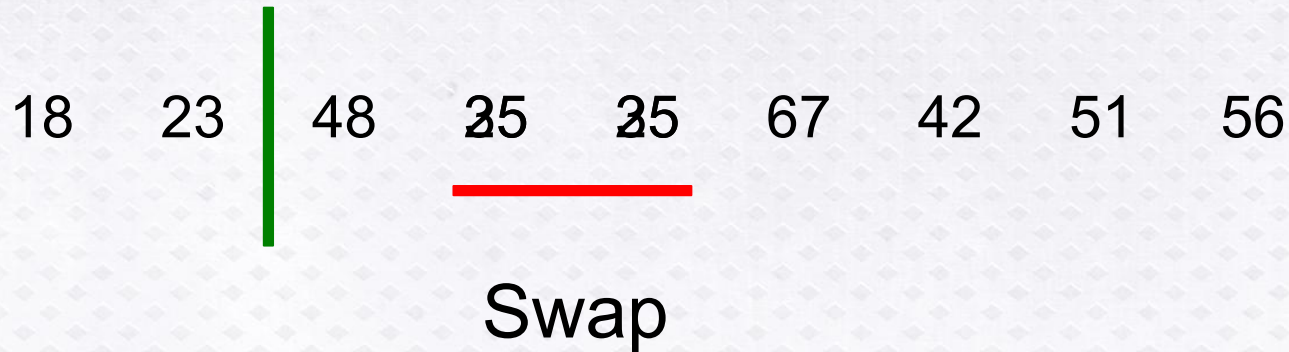
Swap occurred this pass: TRUE

Bubble Sort Worked Example



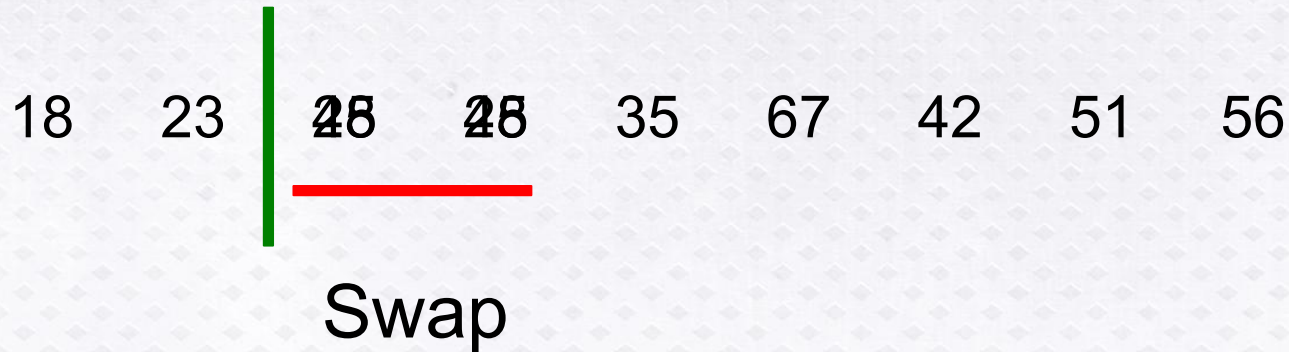
Swap occurred this pass: TRUE

Bubble Sort Worked Example



Swap occurred this pass: TRUE

Bubble Sort Worked Example



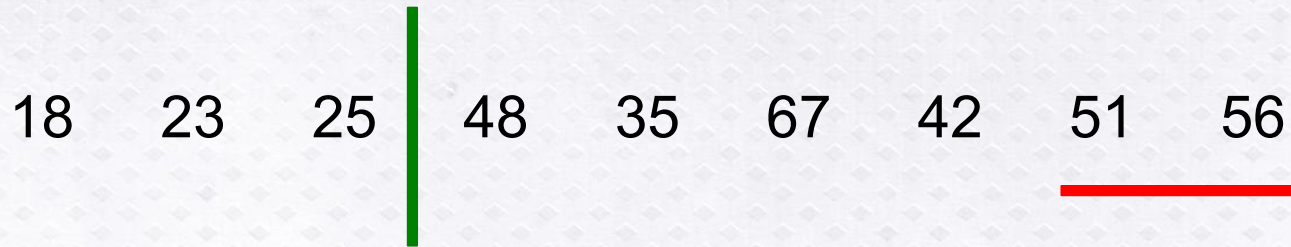
Swap occurred this pass: TRUE

Bubble Sort Worked Example

18 23 25 | 48 35 67 42 51 56

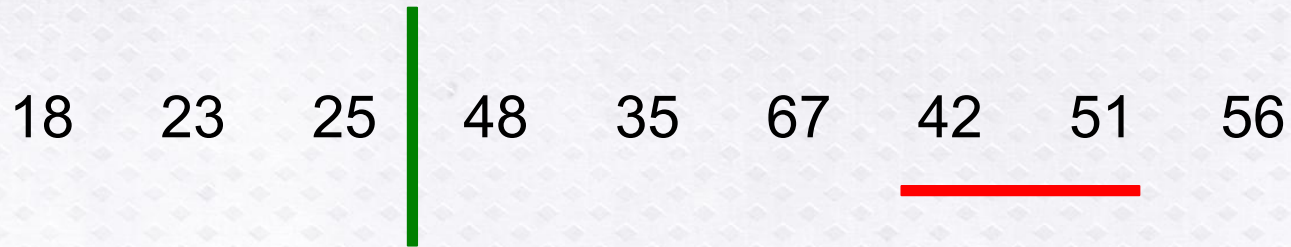
Swap occurred this pass: FALSE

Bubble Sort Worked Example



Swap occurred this pass: FALSE

Bubble Sort Worked Example



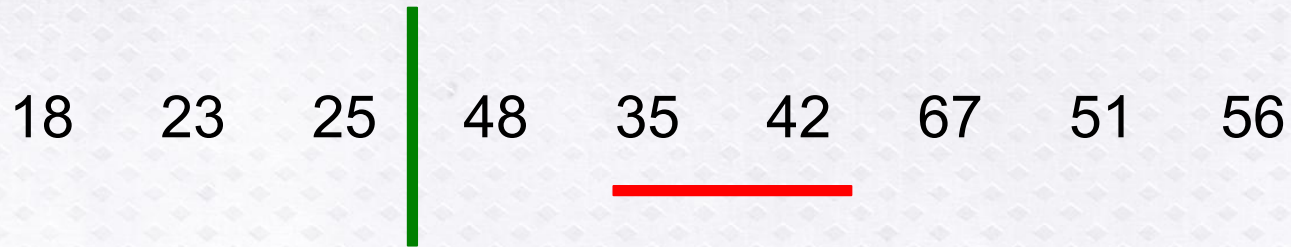
Swap occurred this pass: FALSE

Bubble Sort Worked Example



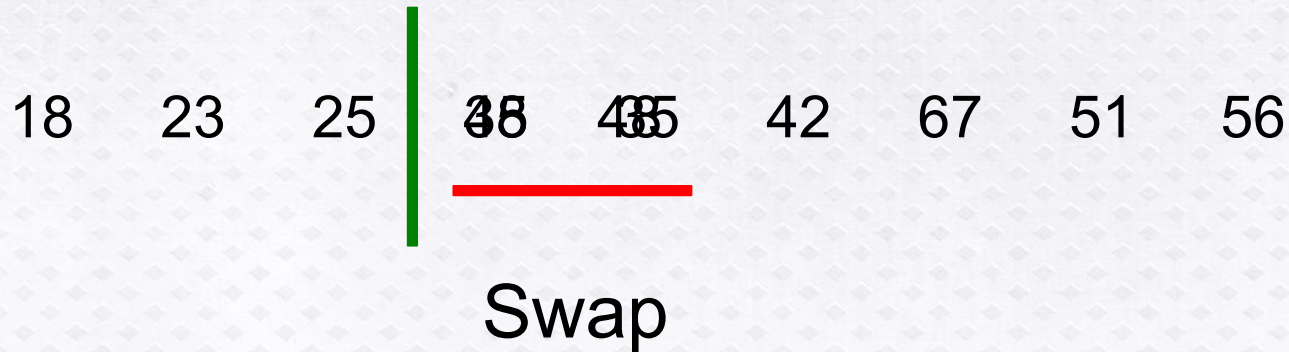
Swap occurred this pass: ~~TRUE~~ FALSE

Bubble Sort Worked Example



Swap occurred this pass: TRUE

Bubble Sort Worked Example



Swap occurred this pass: TRUE

Bubble Sort Worked Example

18 23 25 35 | 48 42 67 51 56

Swap occurred this pass: FALSE

Bubble Sort Worked Example

18 23 25 35 | 48 42 67 51 56

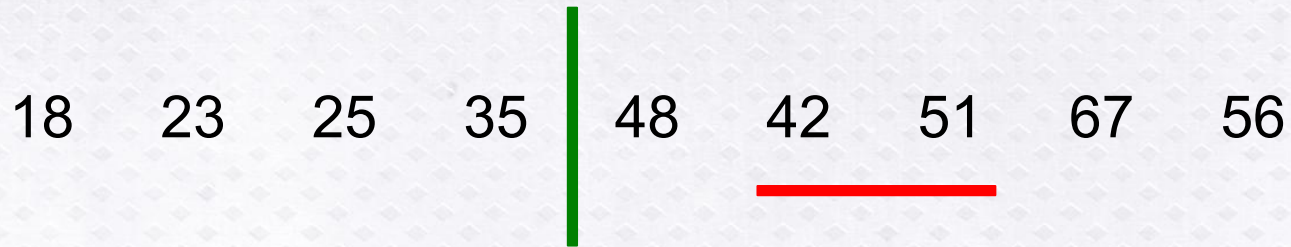
Swap occurred this pass: FALSE

Bubble Sort Worked Example



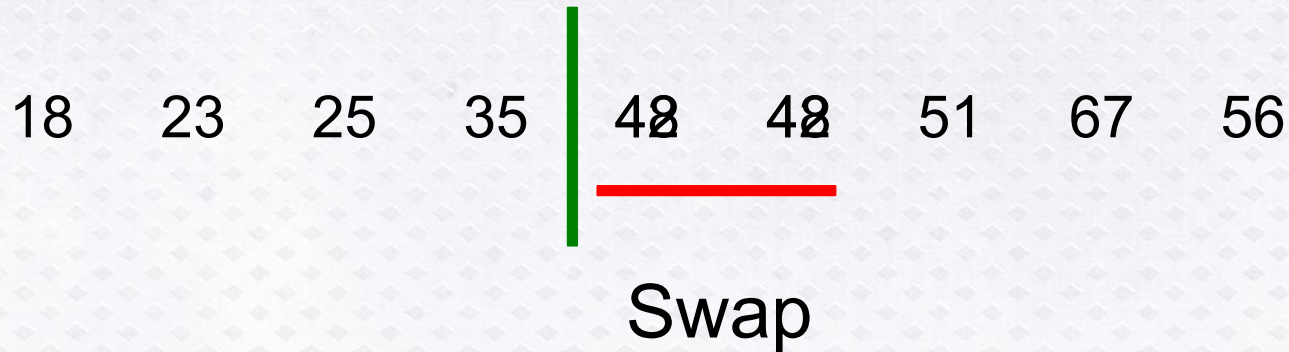
Swap occurred this pass: ~~TRUE~~ **FALSE**

Bubble Sort Worked Example



Swap occurred this pass: TRUE

Bubble Sort Worked Example



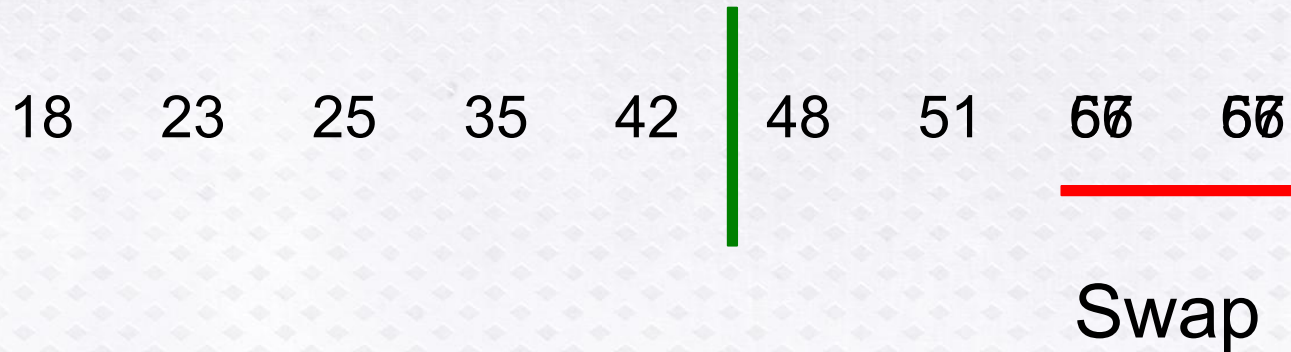
Swap occurred this pass: TRUE

Bubble Sort Worked Example

18 23 25 35 42 | 48 51 67 56

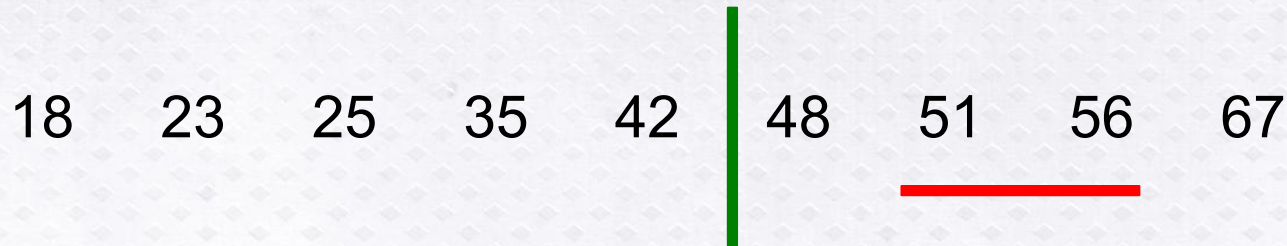
Swap occurred this pass: FALSE

Bubble Sort Worked Example



Swap occurred this pass: ~~TRUE~~ FALSE

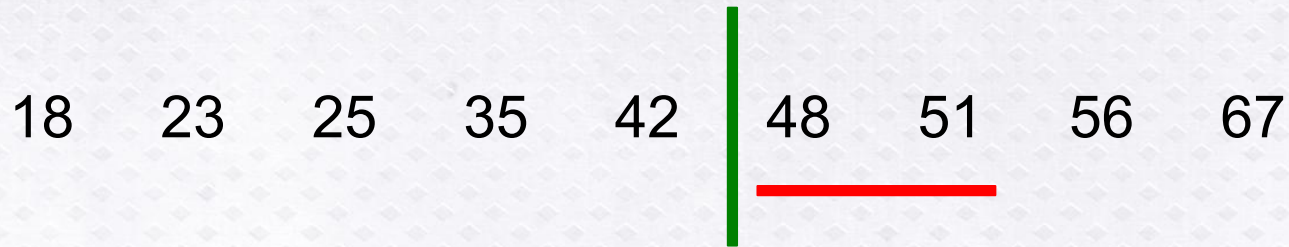
Bubble Sort Worked Example



Swap occurred this pass: TRUE

Bubble Sort

Worked Example



Swap occurred this pass: TRUE

Bubble Sort

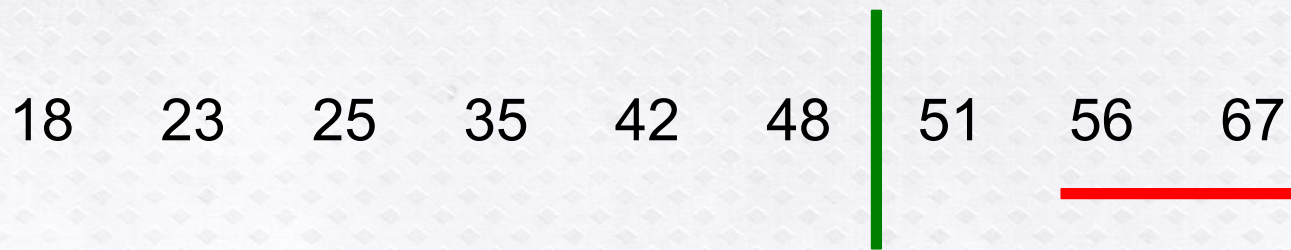
Worked Example

18 23 25 35 42 48 | 51 56 67

Swap occurred this pass: FALSE

Bubble Sort Worked Example

18 23 25 35 42 48 | 51 56 67



Swap occurred this pass: FALSE

Bubble Sort Worked Example




Swap occurred this pass: FALSE

Bubble Sort

Worked Example

18 23 25 35 42 48 51 56 67



Done!

Bubble Sort: Complexity

*What is the **complexity** of this algorithm?*

Outer loop executes: $n-1$ times

Inner loop executes: $1 + 2 + \dots + n-1$ times

*Complexity is **$O(n^2)$***

Data movements: Maximum of $\sum_{i=1}^{n-1} i = \frac{n(n-1)}{2}$ exchanges

Selection vs Bubble Sort

Compared to Selection Sort, Bubble Sort has the same number of comparisons (on average), but many more data exchanges.

Can we improve the algorithm?

When might Bubble Sort be fast?

What about when the data is (almost) sorted?

Insertion Sort

Expressing sorting as a Divide and Conquer strategy, we could say

To sort a list:

- *Create a sorted list consisting of smallest item*
- *Repeatedly add the next smallest item to create a sorted list of all items*

Insertion sort works by adding items successively to a sorted list, but removes the constraint that the list so far contains all the smallest items.

Insertion Sort

- *A list of length 1 is sorted*
- *A list of length 2 is sorted by comparing (and exchanging if necessary) the two entries*
- *A sorted list of length 3 can be created by adding a 3rd item into the correct place in a sorted list of length 2*
- *A sorted list of length n can be created by adding a 3rd item into the correct place in a sorted list of length $n-1$*

Insertion Sort

*The item being added to the sorted portion can be bubbled up as in the **bubble sort***

The diagram illustrates the Insertion Sort algorithm through five stages of an array of names. Each stage is represented by a table with indices [0] through [4] and the corresponding names. The names are Phil, John, Al, Jim, and Bob. The first stage shows the initial array. The second stage shows John being inserted into the sorted portion. The third stage shows Al being inserted. The fourth stage shows Jim being inserted. The fifth stage shows Bob being inserted.

Names		Names		Names		Names		Names	
[0]	Phil	[0]	John	[0]	Al	[0]	Al	[0]	Al
[1]	John	[1]	Phil	[1]	John	[1]	Jim	[1]	Bob
[2]	Al	[2]	Al	[2]	Phil	[2]	John	[2]	Jim
[3]	Jim	[3]	Jim	[3]	Jim	[3]	Phil	[3]	John
[4]	Bob	[4]	Bob	[4]	Bob	[4]	Bob	[4]	Phil

FIGURE 7.13 Insertion sort

Insertion Sort

InsertionSort

Set current to 1

WHILE (current < length)

 Set index to current

 Set placeFound to FALSE

 WHILE (index > 0 AND NOT placeFound)

 IF (data[index] < data[index – 1])

 Swap data[index] and data[index – 1]

 Set index to index – 1

 ELSE

 Set placeFound to TRUE

 Set current to current + 1

Insertion Sort

Worked Example

Consider the following list of integers to sort:

48 35 67 23 51 56 25 18 42

Insertion Sort

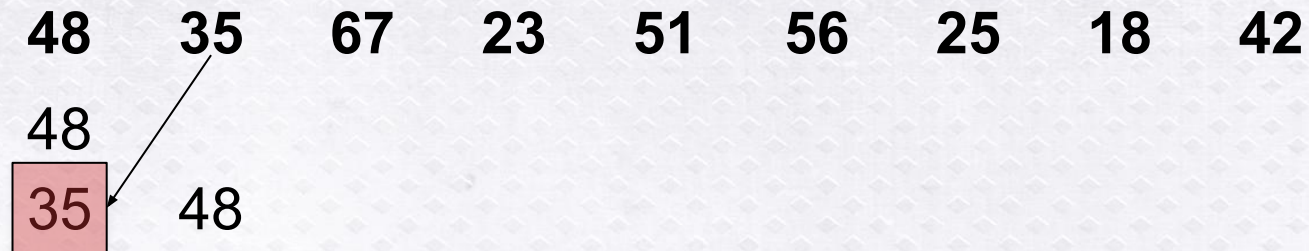
Worked Example

Consider the following list of integers to sort:



Insertion Sort Worked Example

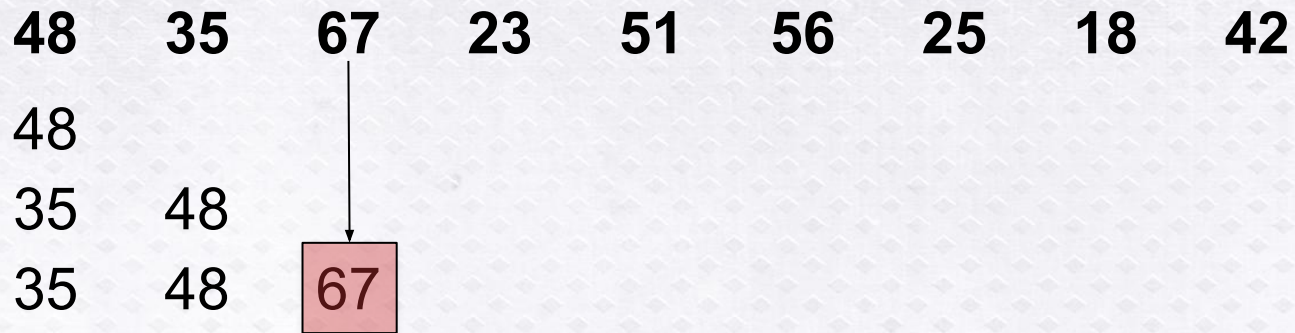
Consider the following list of integers to sort:



Insertion Sort

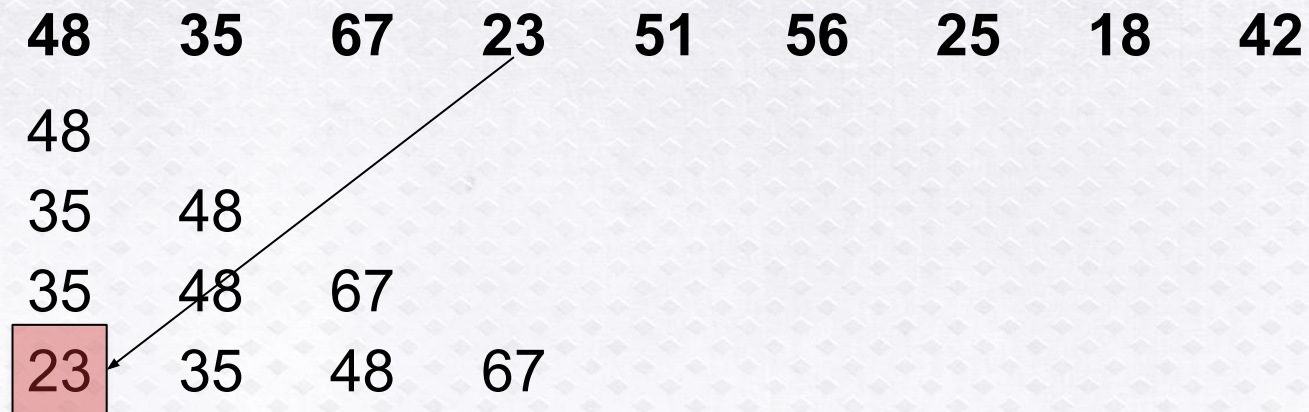
Worked Example

Consider the following list of integers to sort:



Insertion Sort Worked Example

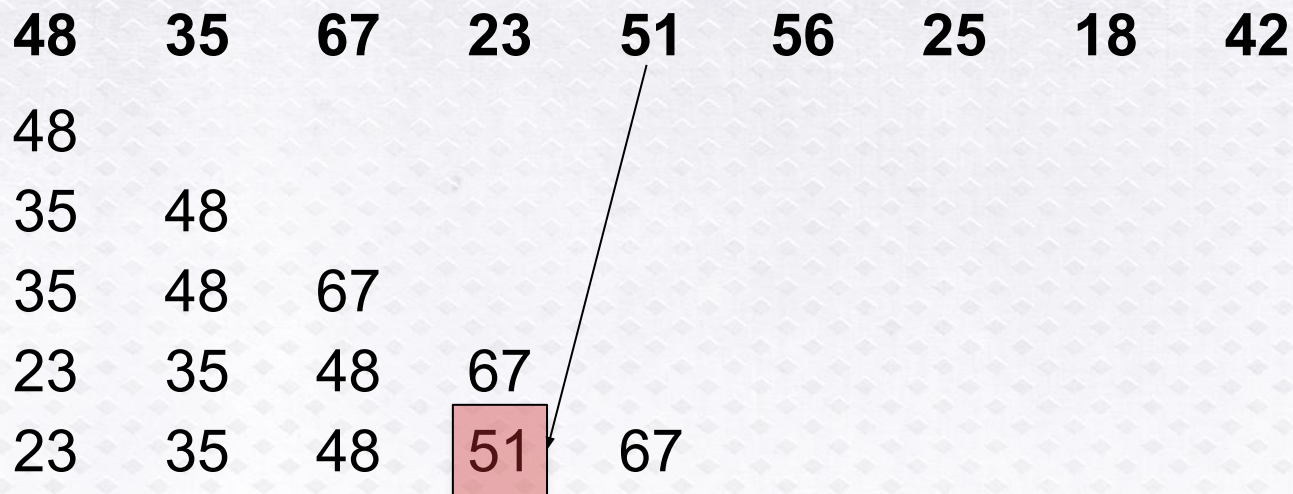
Consider the following list of integers to sort:



Insertion Sort

Worked Example

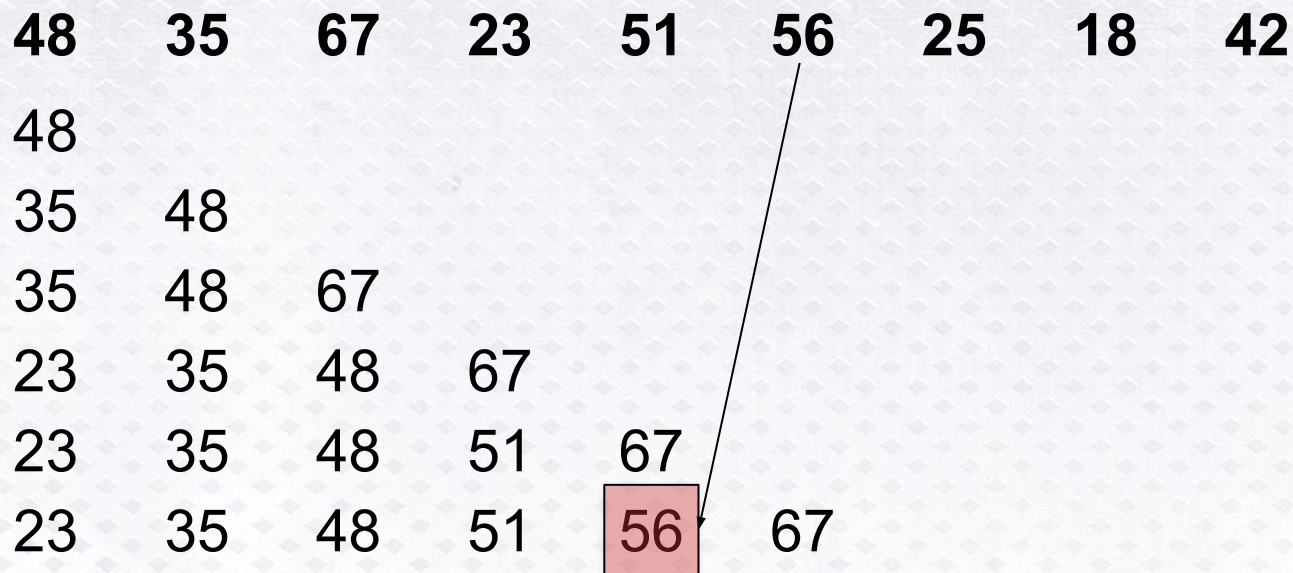
Consider the following list of integers to sort:



Insertion Sort

Worked Example

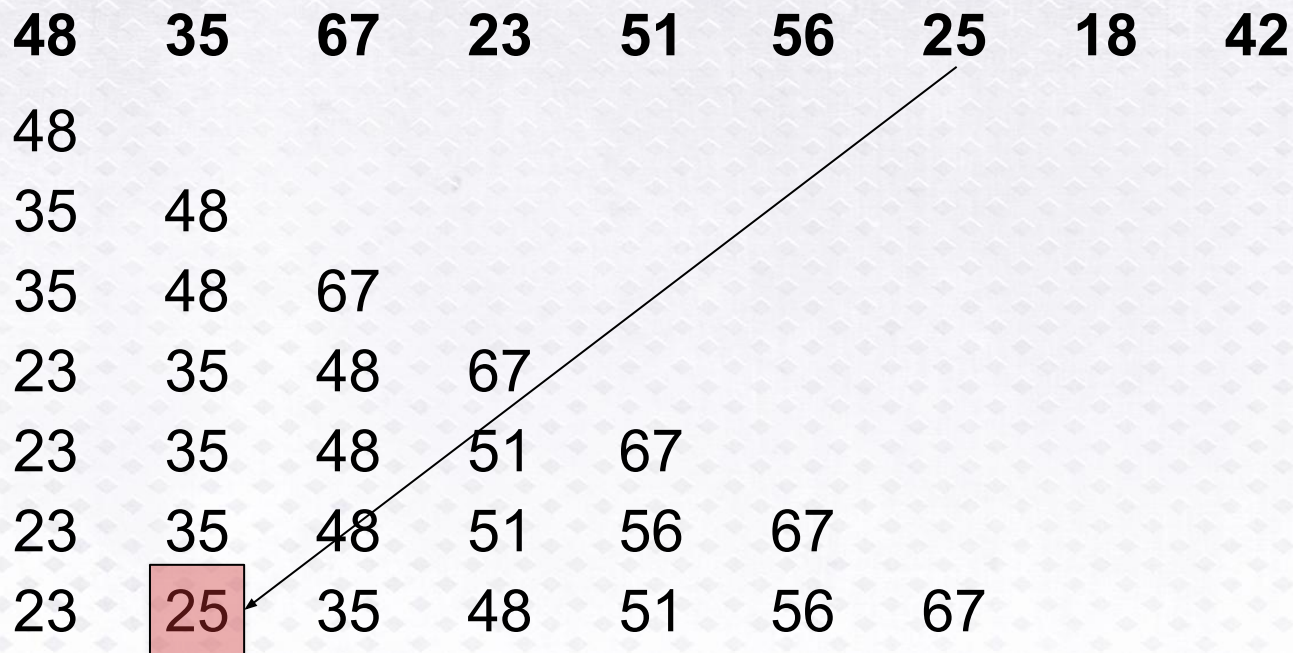
Consider the following list of integers to sort:



Insertion Sort

Worked Example

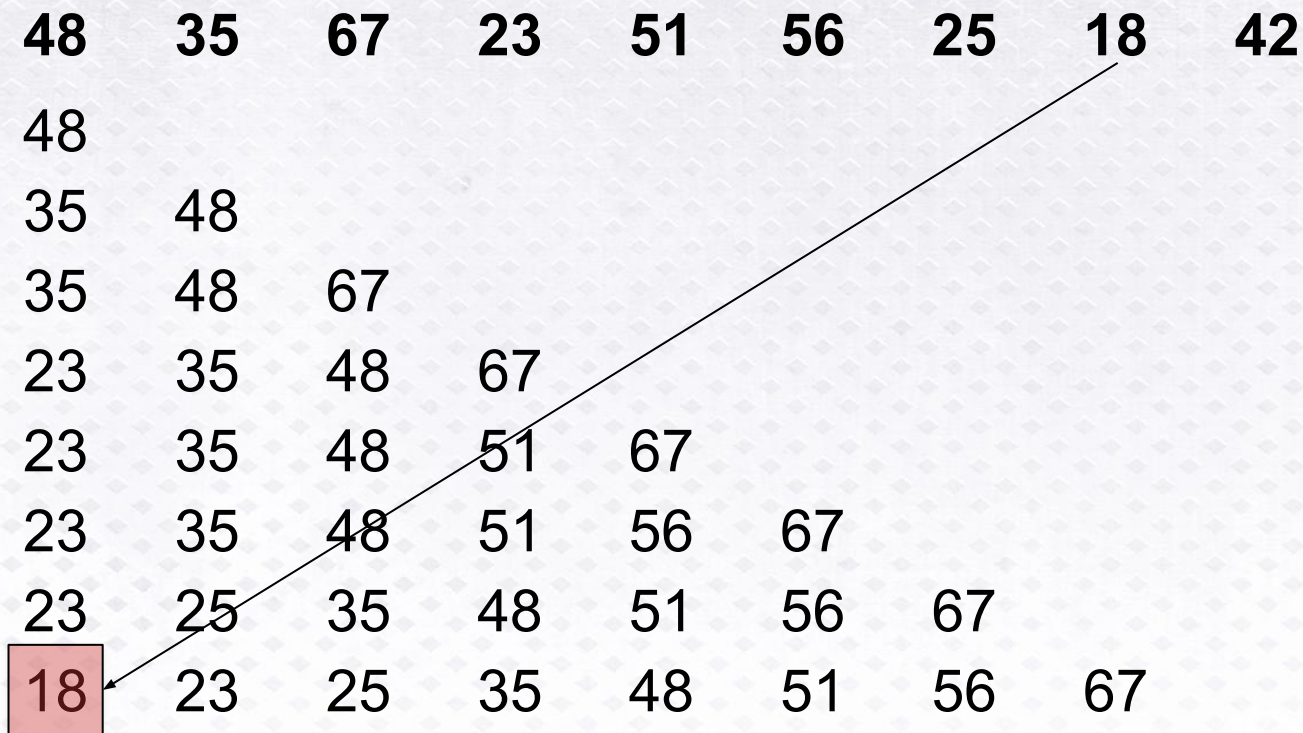
Consider the following list of integers to sort:



Insertion Sort

Worked Example

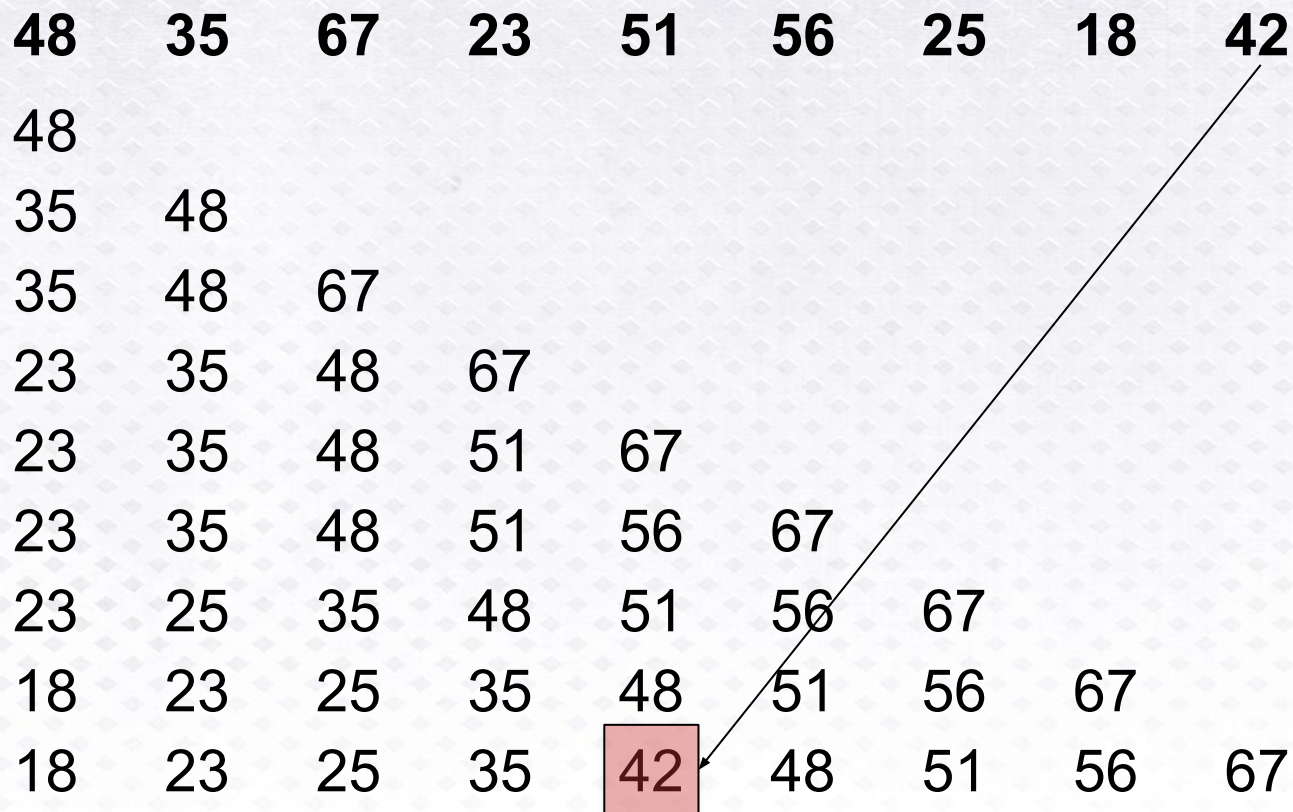
Consider the following list of integers to sort:



Insertion Sort

Worked Example

Consider the following list of integers to sort:



Subprogram Statements

We can give a section of code a name and use that name as a statement in another part of the program

When the name is encountered, the processing in the other part of the program halts while the named code is executed

Remember?

Subprogram Statements

What if the subprogram needs data from the calling unit?

Parameters

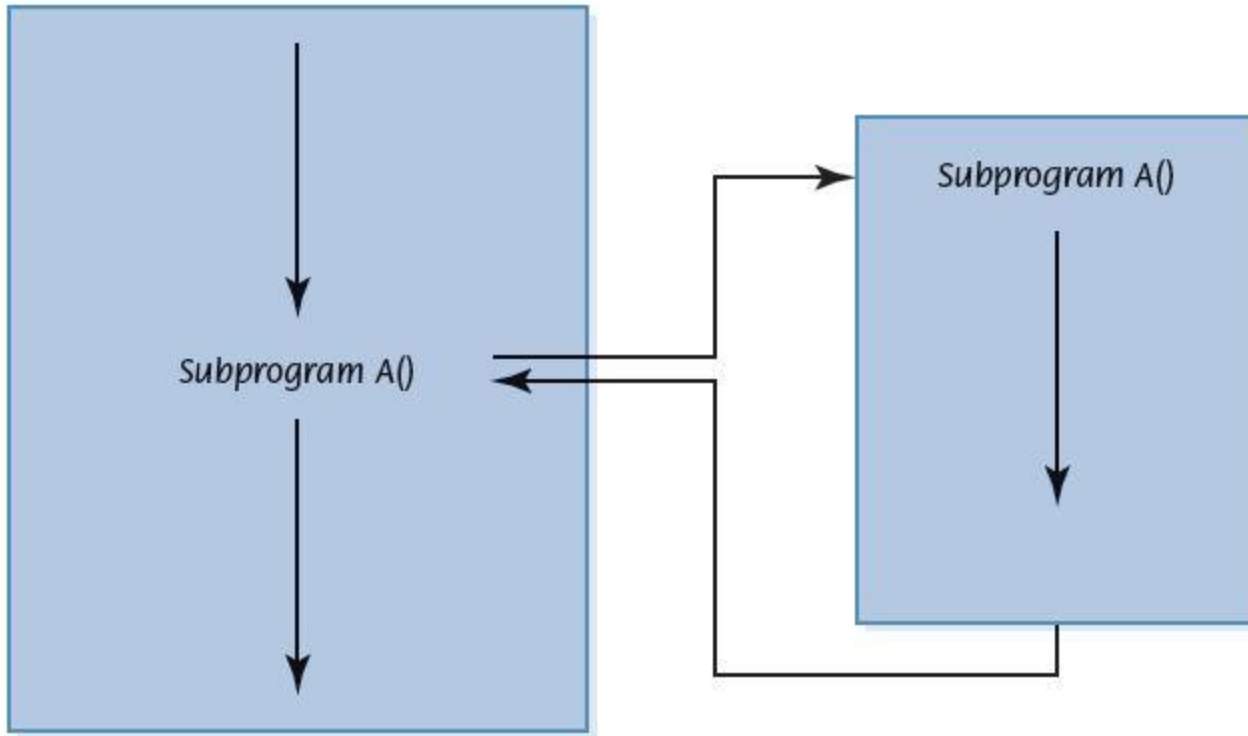
*Identifiers listed in parentheses beside the subprogram declaration; sometimes called **formal parameters***

Arguments

*Identifiers listed in parentheses on the subprogram call; sometimes called **actual parameters***

Subprogram Statements

(a) Subprogram A does its task and calling unit continues with next statement



Recursion

Recursion

The ability of a subprogram to call itself

Base case

The case to which we have an answer

General case

The case that expresses the solution in terms of a call to itself with a smaller version of the problem

Recursion

For example, the factorial of a number is defined as the number times the product of all the numbers between itself and 0:

$$N! = N * (N - 1)!$$

Base case

$$\text{Factorial}(0) = 1 \text{ (0! is 1)}$$

General Case

$$\text{Factorial}(N) = N * \text{Factorial}(N-1)$$

Recursion

Write “Enter n”

Read n

Set result to **Factorial(n)**

Write result + “ is the factorial of “ + n

Factorial(n)

IF (n equals 0)

 RETURN 1

ELSE

 RETURN n * Factorial(n-1)

Merge Sort

Recall that we expressed Binary Search recursively

We could express all our sorting algorithms recursively

But: All our current algorithms build up a sorted list one entry at a time

What if we split the list to be sorted into half (like we did with Binary Search)?

Merge Sort

Break the problem down into:

- *split the list into two*
- *sort each half*
- *merge the two sorted halves together*

How do we split our list?

How do we merge?

Merge Sort

MergeSort(indata, outdata, lower, upper)

IF lower equals right

 outdata[lower] = indata[lower]

ELSE

 mid_pt = (lower + upper) // 2

MergeSort(indata, outdata, lower, mid_pt)

MergeSort(indata, outdata, mid_pt + 1, upper)

Merge(outdata, indata, lower, mid_pt, upper)

Merge Sort

Merge process:

Merge(indata, outdata, lower, mid_pt, upper)

Set pointer variables

WHILE Loop 1 - Merge two halves of indata

WHILE Loop 2 - Append remainder of first half

WHILE Loop 3 - Append remainder of second half

Merge Sort

Merge process:

Merge(indata, outdata, lower, mid_pt, upper)

inptr1 = lower; inptr2 = mid_pt + 1; outptr = lower

WHILE (inptr1 <= mid_pt AND inptr2 <= upper)

IF (indata[inptr1] <= indata[inptr2])

outdata[outptr] = indata[inptr1]

inptr1 = inptr1 + 1

ELSE

outdata[outptr] = indata[inptr2]

inptr2 = inptr2 + 1

outptr = outptr + 1

Loop 1:

Merge Sort

Merge process continued:

Loop 2:

```
WHILE (inptr1 <= mid_pt)
    outdata[outptr] = indata[inptr1]
    inptr1 = inptr1 + 1
    outptr = outptr + 1
```

Loop 3:

```
WHILE (inptr2 <= upper)
    outdata[outptr] = indata[inptr2]
    inptr2 = inptr2 + 1
    outptr = outptr + 1
```

Merge Sort Worked Example

48 35 67 23 51 56 25 18 42

Merge Sort Worked Example

48 35 67 23 51 56 25 18 42

48 35 67 23

51 56 25 18 42

Merge Sort Worked Example

48 35 67 23 51 56 25 18 42

48 35 67 23

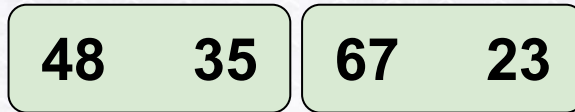
51 56 25 18 42

48 35

67 23

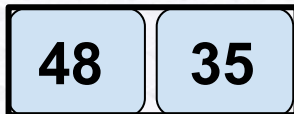
Merge Sort Worked Example

48 35 67 23 51 56 25 18 42



Merge Sort Worked Example

48 35 67 23 51 56 25 18 42



Merge Sort Worked Example

48 35 67 23 51 56 25 18 42

48 35 67 23

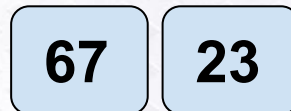
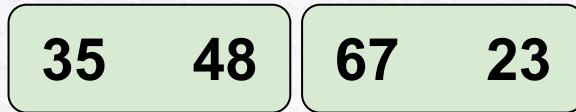
51 56 25 18 42

35 48

67 23

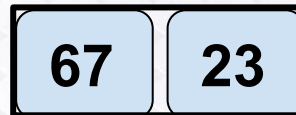
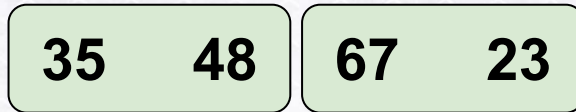
Merge Sort Worked Example

48 35 67 23 51 56 25 18 42



Merge Sort Worked Example

48 35 67 23 51 56 25 18 42



Merge Sort Worked Example

48 35 67 23 51 56 25 18 42

48 35 67 23

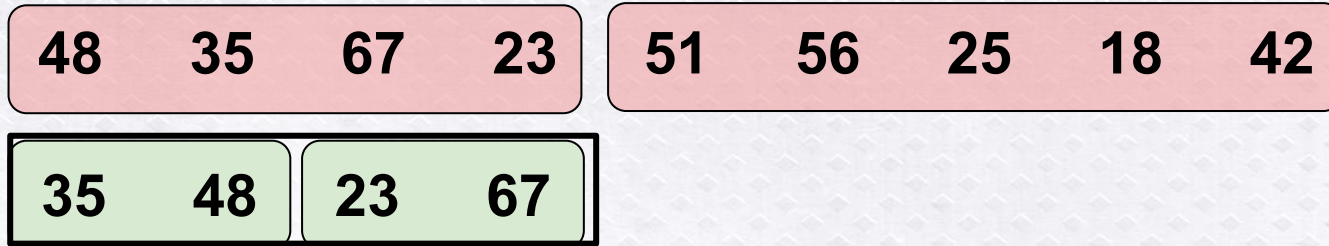
51 56 25 18 42

35 48

23 67

Merge Sort Worked Example

48 35 67 23 51 56 25 18 42



Merge Sort Worked Example

48 35 67 23 51 56 25 18 42

23 35 48 67

51 56 25 18 42

Merge Sort Worked Example

48 35 67 23 51 56 25 18 42

23 35 48 67

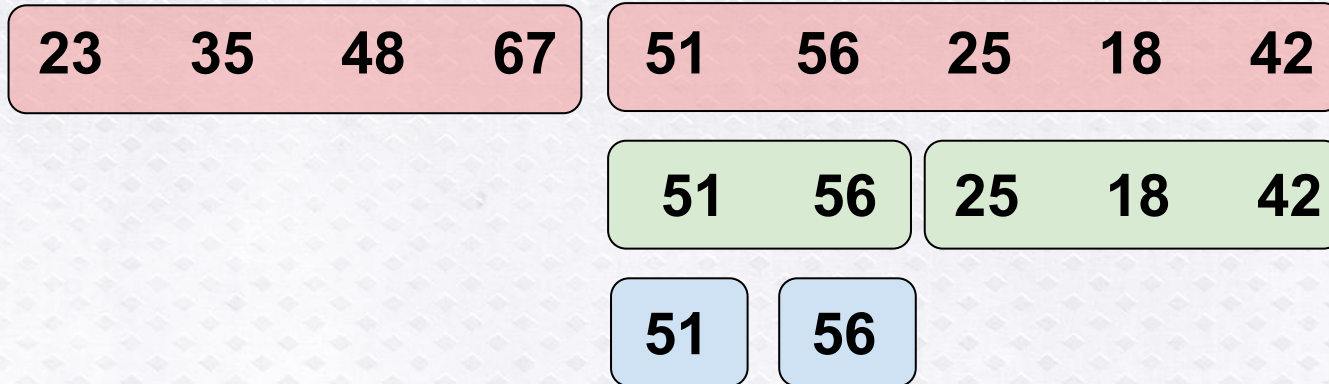
51 56 25 18 42

51 56

25 18 42

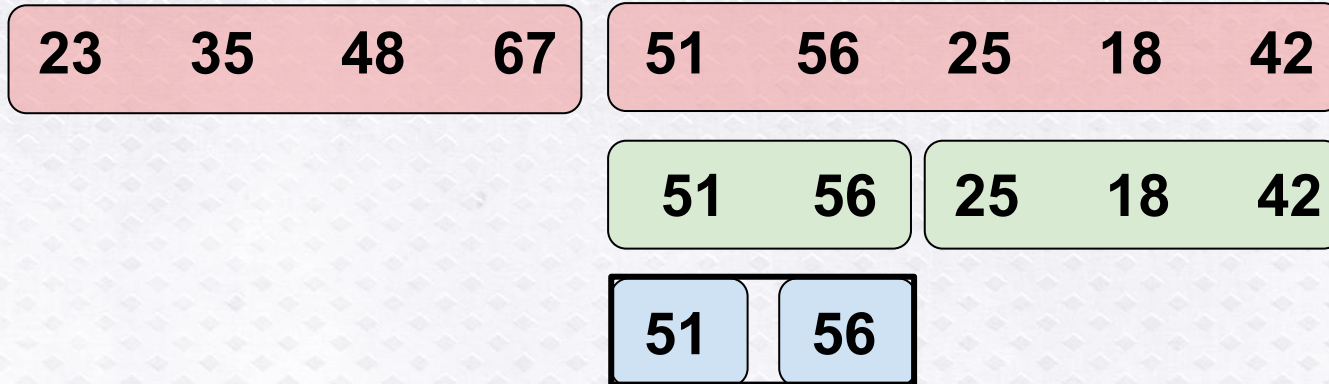
Merge Sort Worked Example

48 35 67 23 51 56 25 18 42



Merge Sort Worked Example

48 35 67 23 51 56 25 18 42



Merge Sort Worked Example

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23 35 48 67

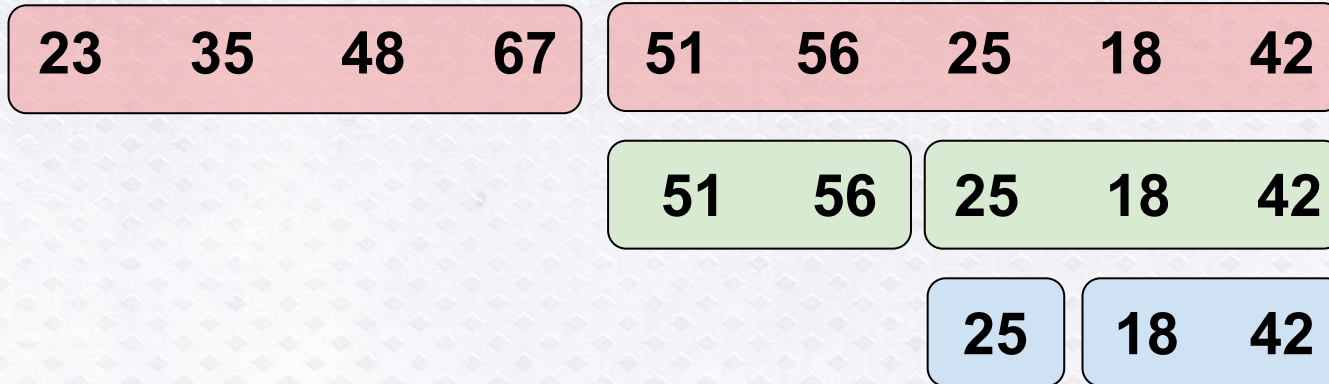
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51 56

25 18 42

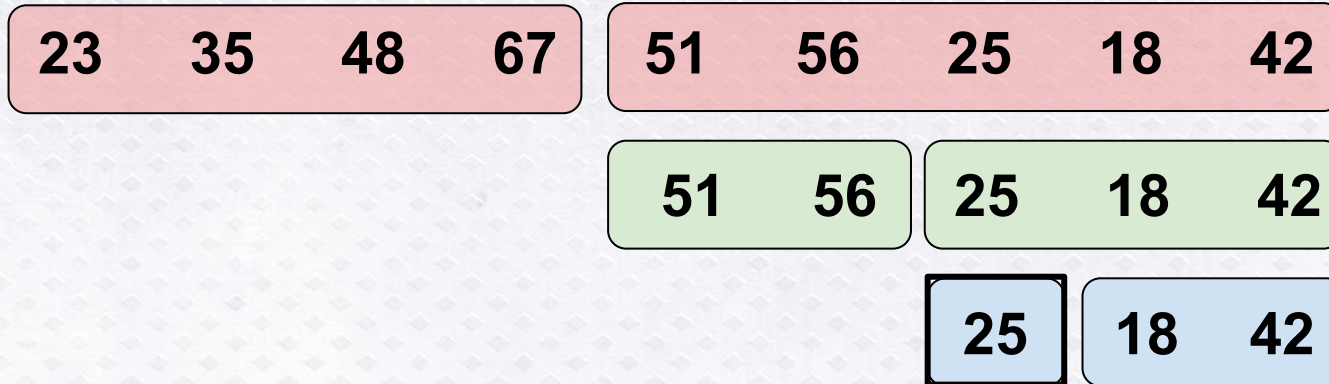
Merge Sort Worked Example

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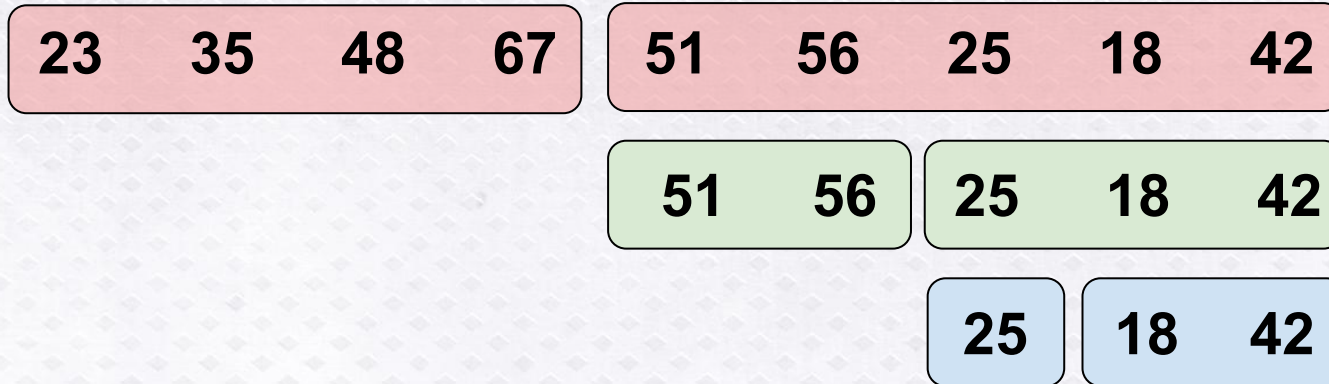
Merge Sort Worked Example

48 35 67 23 51 56 25 18 42



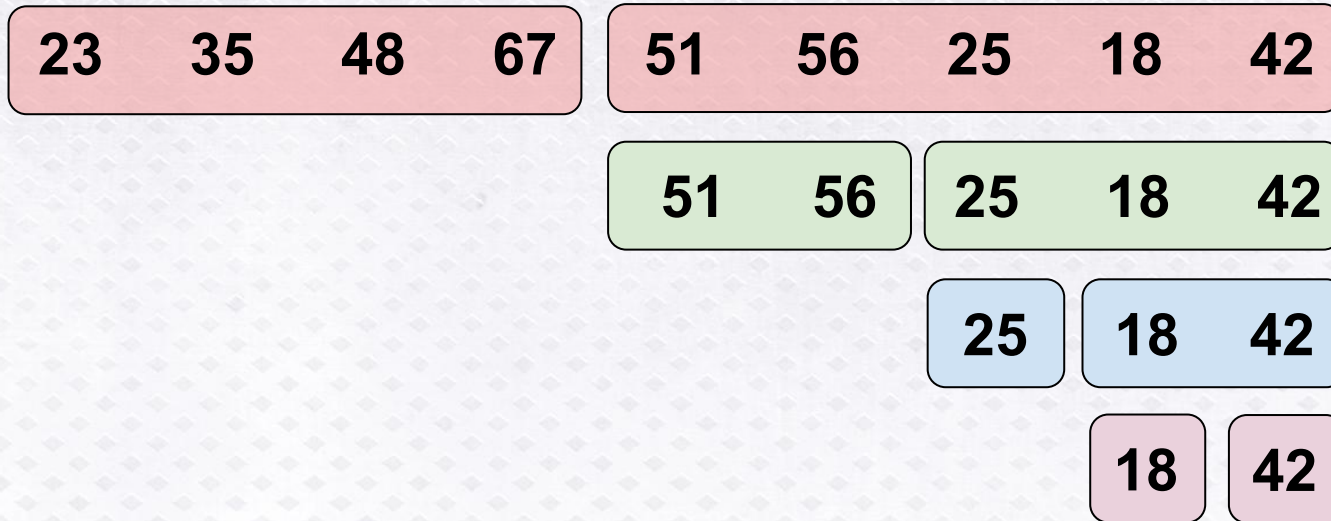
Merge Sort Worked Example

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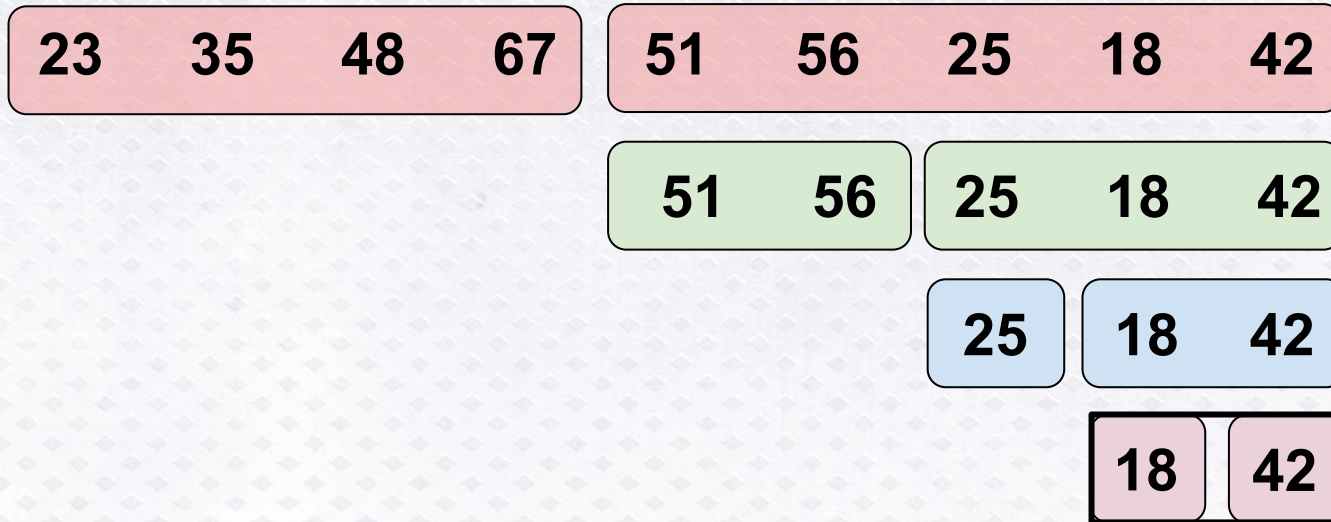
Merge Sort Worked Example

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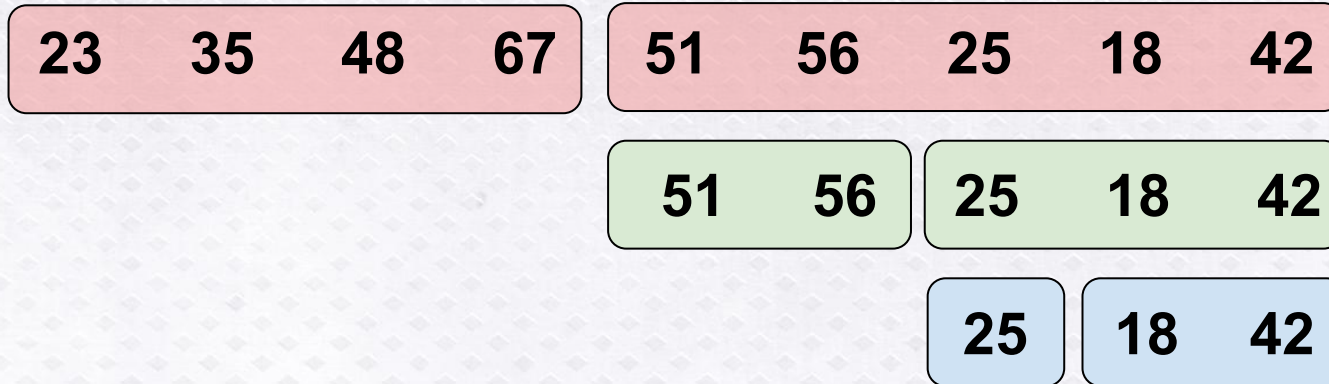
Merge Sort Worked Example

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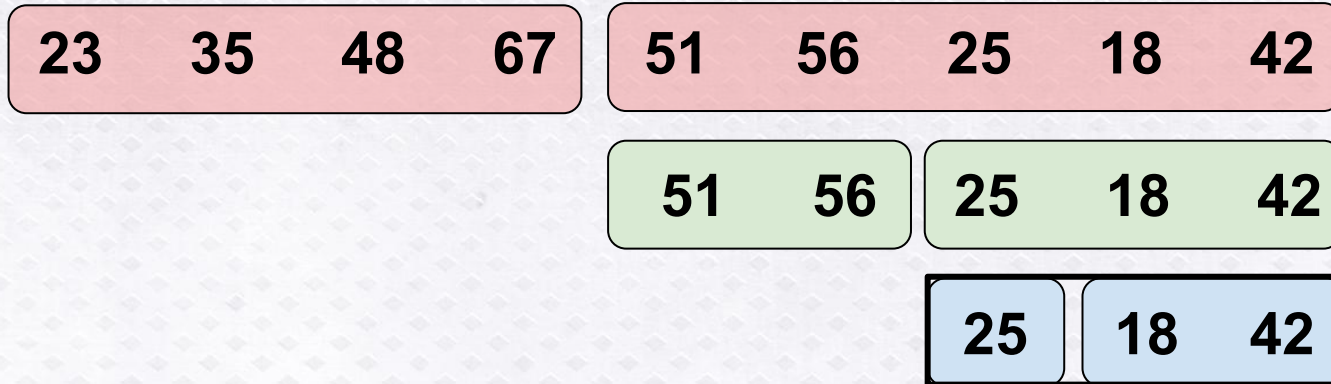
Merge Sort Worked Example

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Merge Sort Worked Example

48 35 67 23 51 56 25 18 42



Merge Sort Worked Example

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23 35 48 67

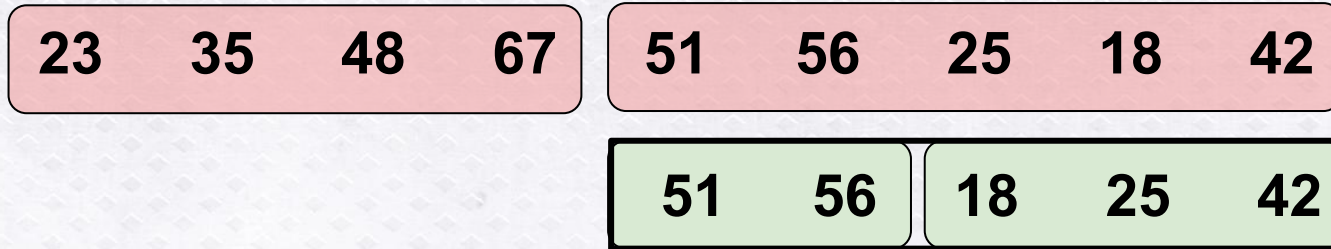
51 56 25 18 42

51 56

18 25 42

Merge Sort Worked Example

48 35 67 23 51 56 25 18 42



Merge Sort Worked Example

48 35 67 23 51 56 25 18 42

23 35 48 67

18 25 42 51 56

Merge Sort Worked Example

48 35 67 23 51 56 25 18 42

23	35	48	67	18	25	42	51	56
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Merge Sort Worked Example

18 23 25 35 42 48 51 56 67

Sorted!

Merge Sort

What is the complexity of this algorithm?

- *At each stage the size of the list is halved*
- *For a list of length n we can halve it $\log(n)$ times*
- *At each merge stage the time is proportional to the length of the lists being merged – $O(n)$*
- *Since we have $\log(n)$ layers of merging the overall complexity is*
 - *$O(n \log(n))$*

Merge Sort: Doing better

Is this the best we can do?

Consider sorting 3 elements a , b , and c .

Possible orderings are

$$a < b < c$$

$$a < c < b$$

$$b < a < c$$

$$b < c < a$$

$$c < a < b$$

$$c < b < a$$

In general for n entries there are $n!$ possible orderings

Merge Sort: Doing better

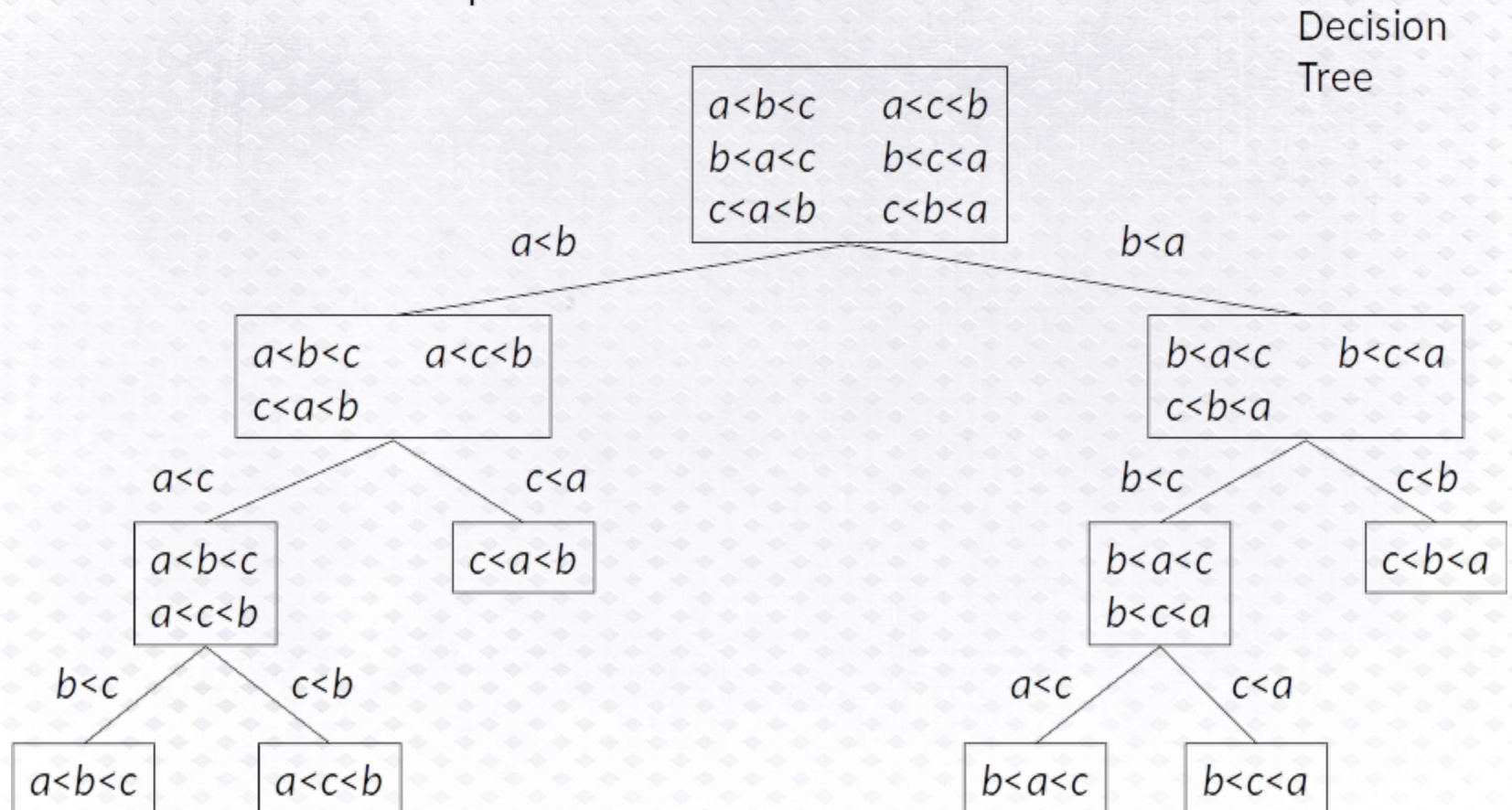
In a sort based on comparing elements at each stage two elements are compared and they are either:

- *in the correct order*
- *in the wrong order and must be exchanged*

Another way of looking at this is that each comparison eliminates half the possible orderings

Merge Sort: Doing better

Consider our example of three values



Merge Sort: Doing better

How big is this Decision Tree?

- *Top layer – one set of possible orderings*
- *Next layer – two sets of possible orderings*
- *n'th layer – $2n$ sets of possible orderings*

For n elements to be sorted we had $n!$ permutations

To find sorted arrangement (ie ordering sets containing one entry) we need $n!$ possible orderings at one level

We need $\log(n!)$ layers

Merge Sort: Doing better

This means we need at least $n \log(n)$ comparisons:

$$\begin{aligned}\log(n!) &= \log(n(n-1)(n-2)\dots(2)(1)) \\ &= \log(n) + \log(n-1) + \dots + \log(1) \\ &\geq (n \log(n))\end{aligned}$$

Lower bound for sort based on comparisons is $(n \log(n))$

But with merge sort we need an extra copy of the values (insertion, selection and bubble sort only required space for one value when swapping entries)

Merge Sort: Doing better

Can we find another divide and conquer algorithm similar to merge sort, ie based on splitting the data in half, which doesn't require so much space?

Quicksort

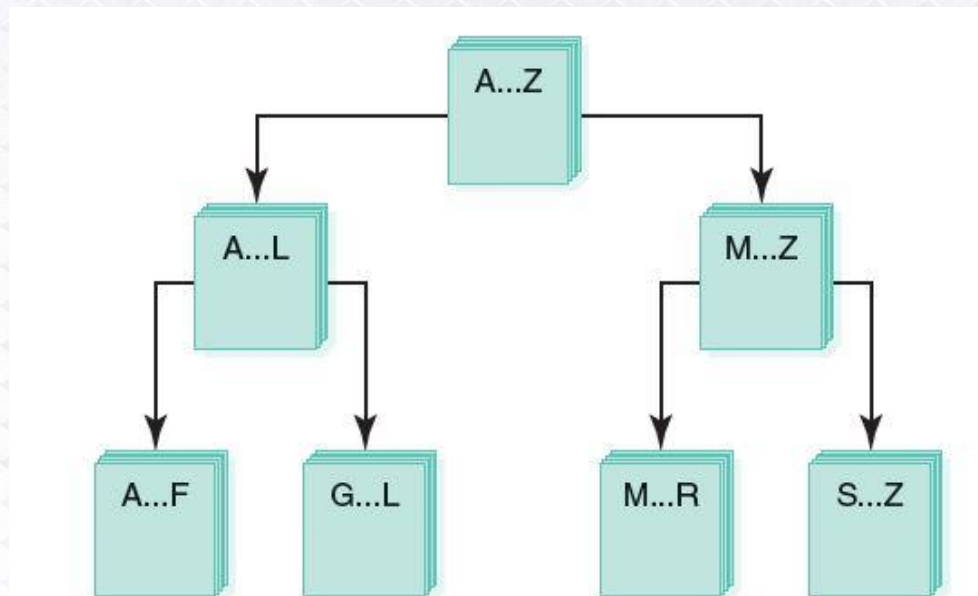


FIGURE 7.15 Ordering a list using the Quicksort algorithm

Quicksort algorithm

*With each attempt to sort the stack of data elements, the stack is divided at a **splitting value**, splitVal, and the same approach is used to sort each of the smaller stacks (a smaller case)*

Process continues until the small stacks do not need to be divided further (the base case)

The variables first and last in Quicksort algorithm reflect the part of the array data that is currently being processed

Quicksort

Quicksort(first, last)

IF (first < last) // There is more than one item

 Select splitVal

 Split (splitVal) // Array between first and
 // splitPoint-1 <= splitVal
 // data[splitPoint] = splitVal
 // Array between splitPoint + 1
 // and last > splitVal

 Quicksort (first, splitPoint - 1)

 Quicksort (splitPoint + 1, last)

Quicksort

Split(splitVal)

Set left to first + 1

Set right to last

WHILE (left <= right)

 Increment left until data[left] > splitVal OR left > right

 Decrement right until data[right] < splitVal

 OR left > right

 IF(left < right)

 Swap data[left] and data[right]

Set splitPoint to right

Swap data[first] and data[splitPoint]

Return splitPoint

Quicksort

splitVal = 9

9	20	6	10	14	8	60	11
[first]							[last]

smaller values			larger values				
9	8	6	10	14	20	60	11
[first]							[last]

smaller values			larger values				
6	8	9	10	14	20	60	11
[first]		[split-Point]					[last]

Quicksort

(a) Initialization

9	20	6	10	14	8	60	11
[first]	[left]						[right]

(b) Increment left until $\text{list}[\text{left}] > \text{splitVal}$ or $\text{left} > \text{right}$

9	20	6	10	14	8	60	11
[first]	[left]						[right]

(c) Decrement right until $\text{list}[\text{right}] > \text{splitVal}$ or $\text{left} > \text{right}$

9	20	6	10	14	8	60	11
[first]	[left]					[right]	

(d) Swap $\text{list}[\text{left}]$ and $\text{list}[\text{right}]$; move left and right toward each other

9	8	6	10	14	20	60	11
[first]		[left]		[right]			

(e) Increment left until $\text{list}[\text{left}] > \text{splitVal}$ or $\text{left} > \text{right}$

Decrement right until $\text{list}[\text{right}] \leq \text{splitVal}$ or $\text{left} > \text{right}$

9	8	6	10	14	20	60	11
[first]		[right]		[left]			

(f) $\text{Left} > \text{right}$ so no swap occurs within the loop

Swap $\text{list}[\text{first}]$ and $\text{list}[\text{right}]$

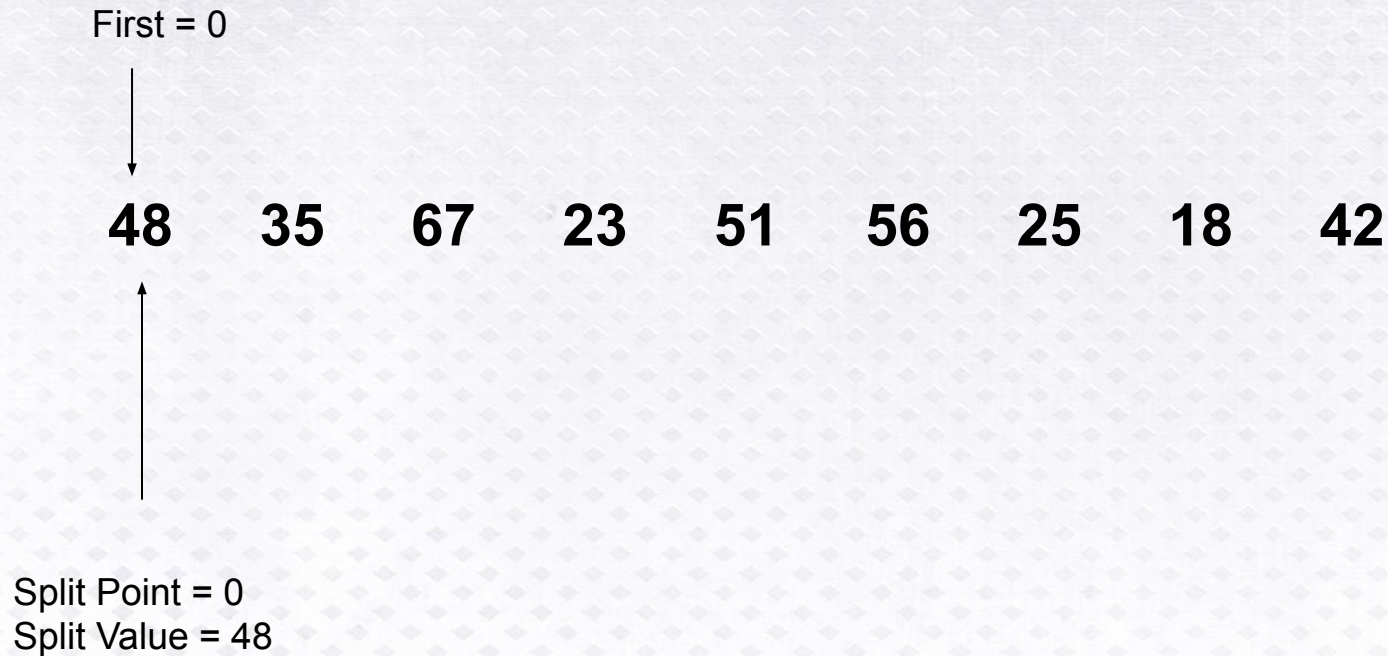
6	8	9	10	14	20	60	11
[first]		[right]					
		(splitPoint)					

FIGURE 7.16 Splitting algorithm

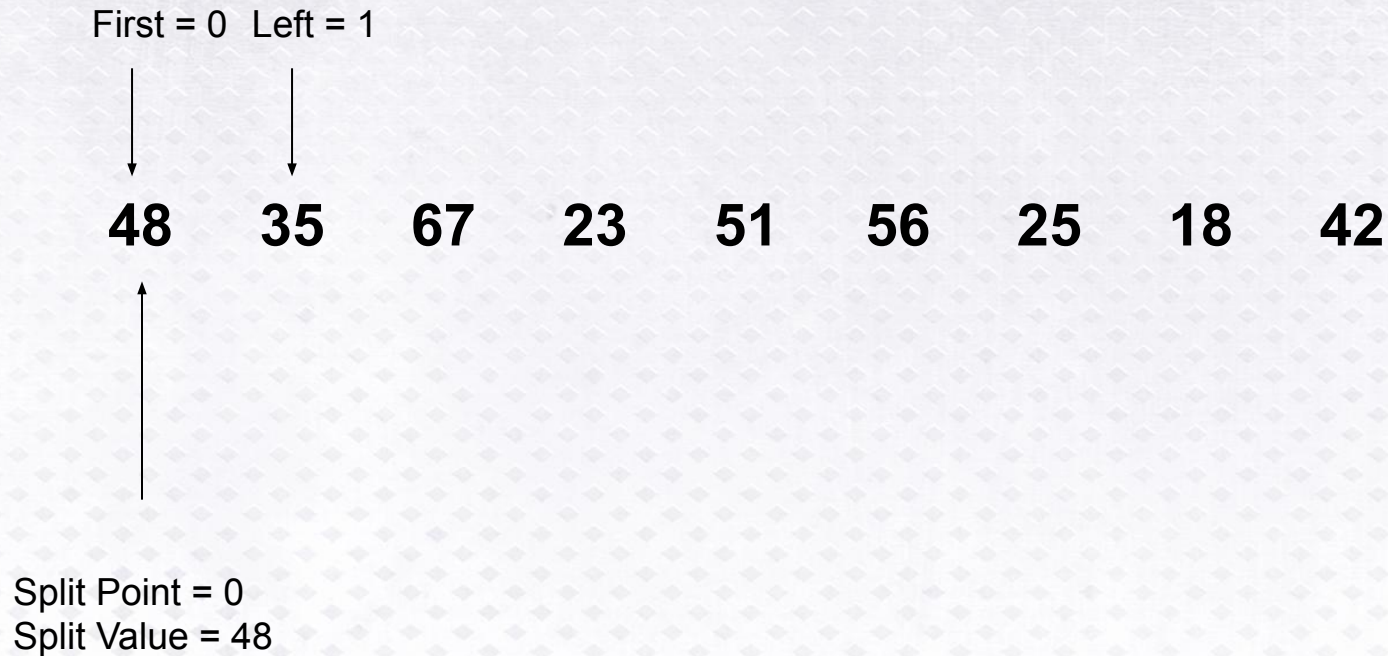
Quick Sort Worked Example

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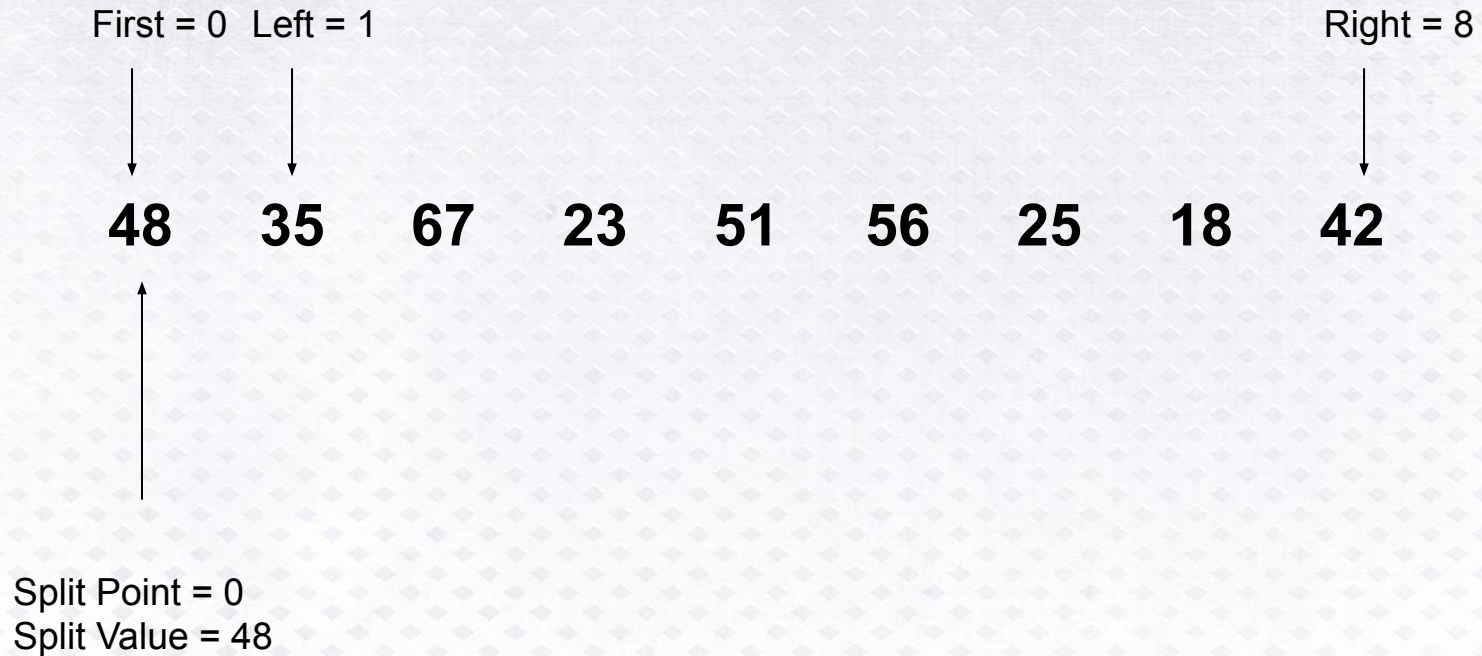
Quick Sort Worked Example



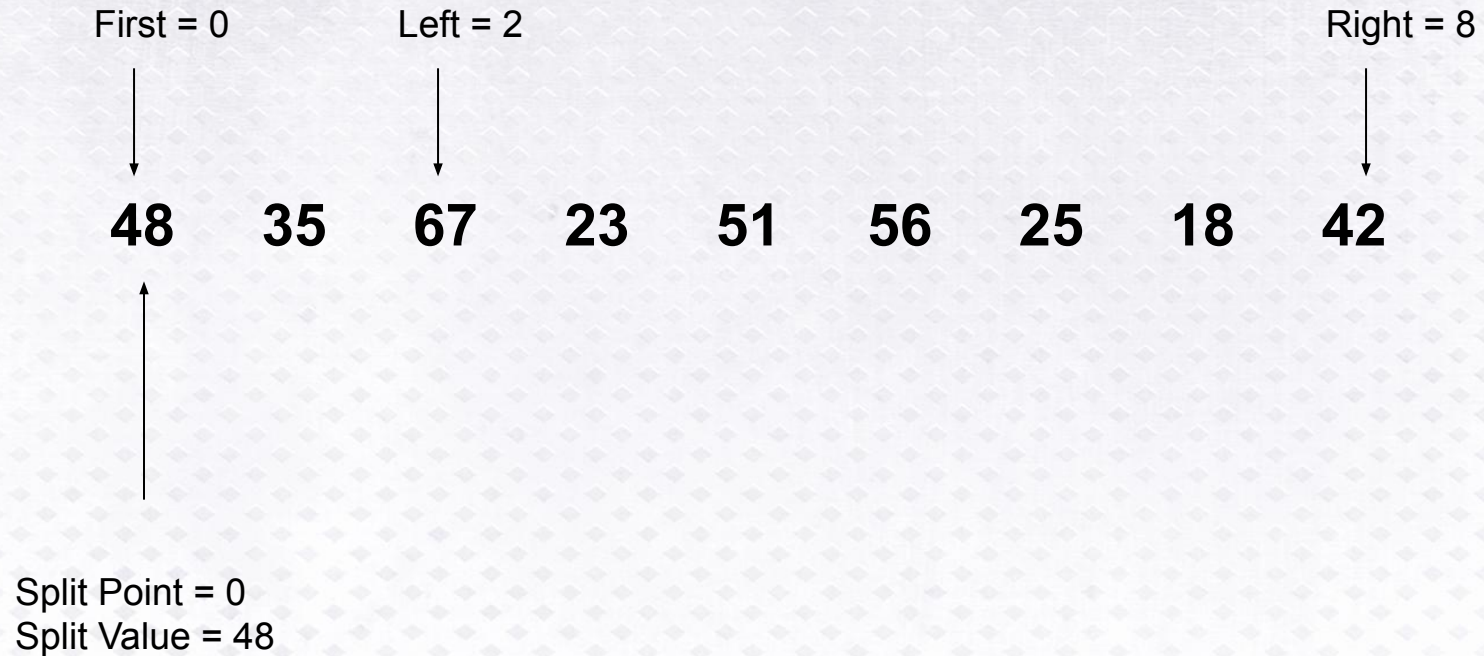
Quick Sort Worked Example



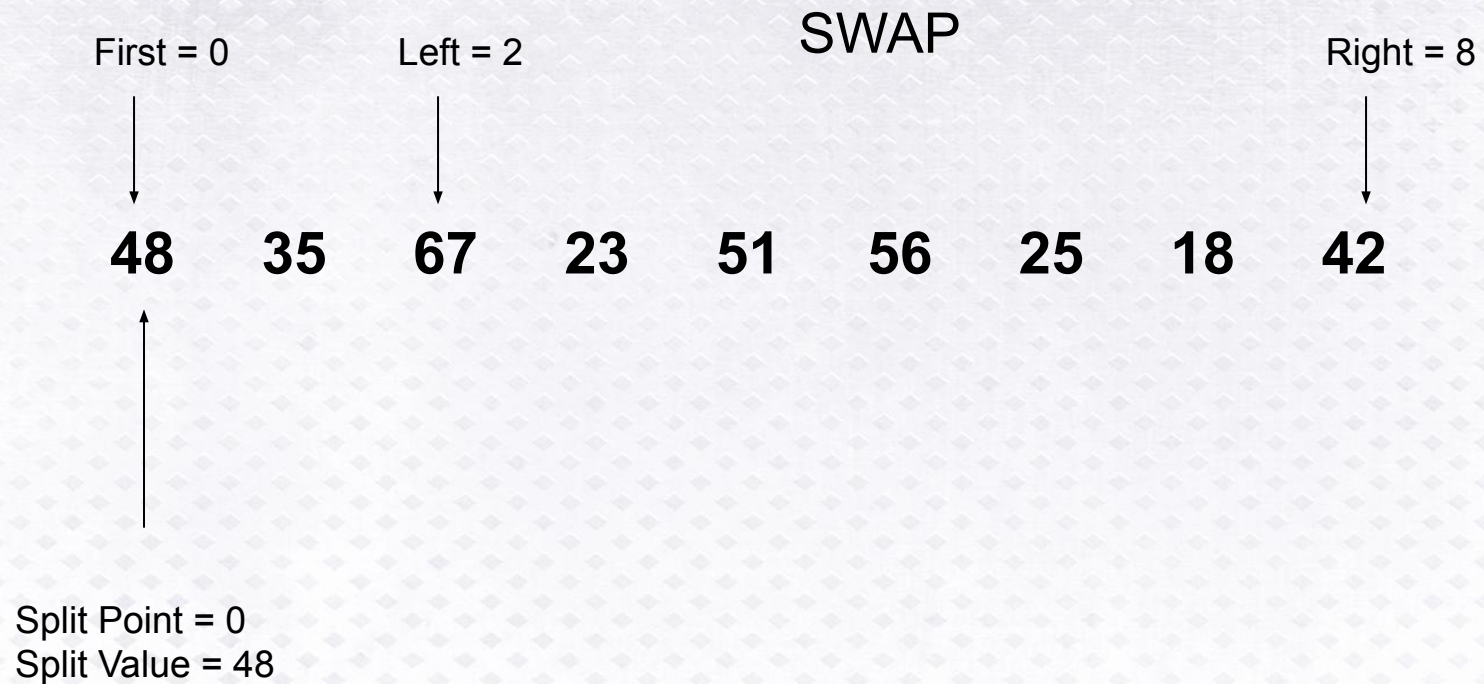
Quick Sort Worked Example



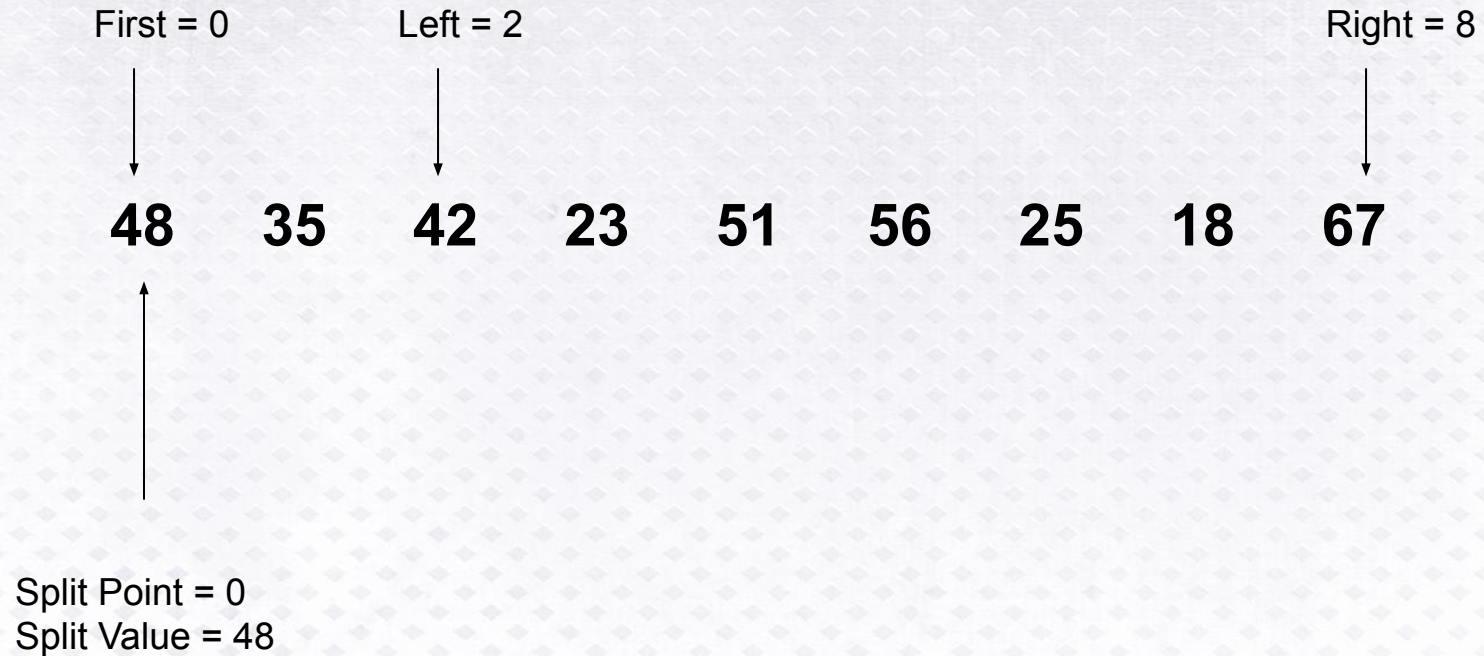
Quick Sort Worked Example



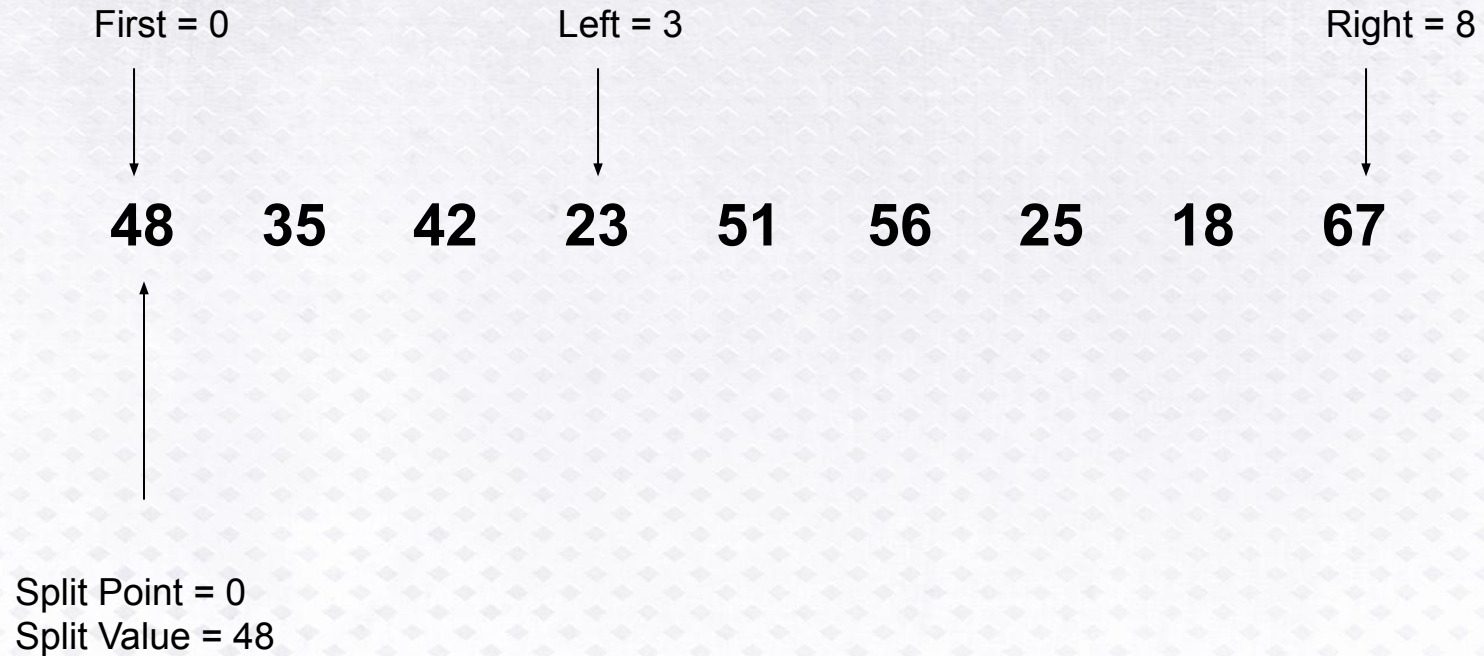
Quick Sort Worked Example



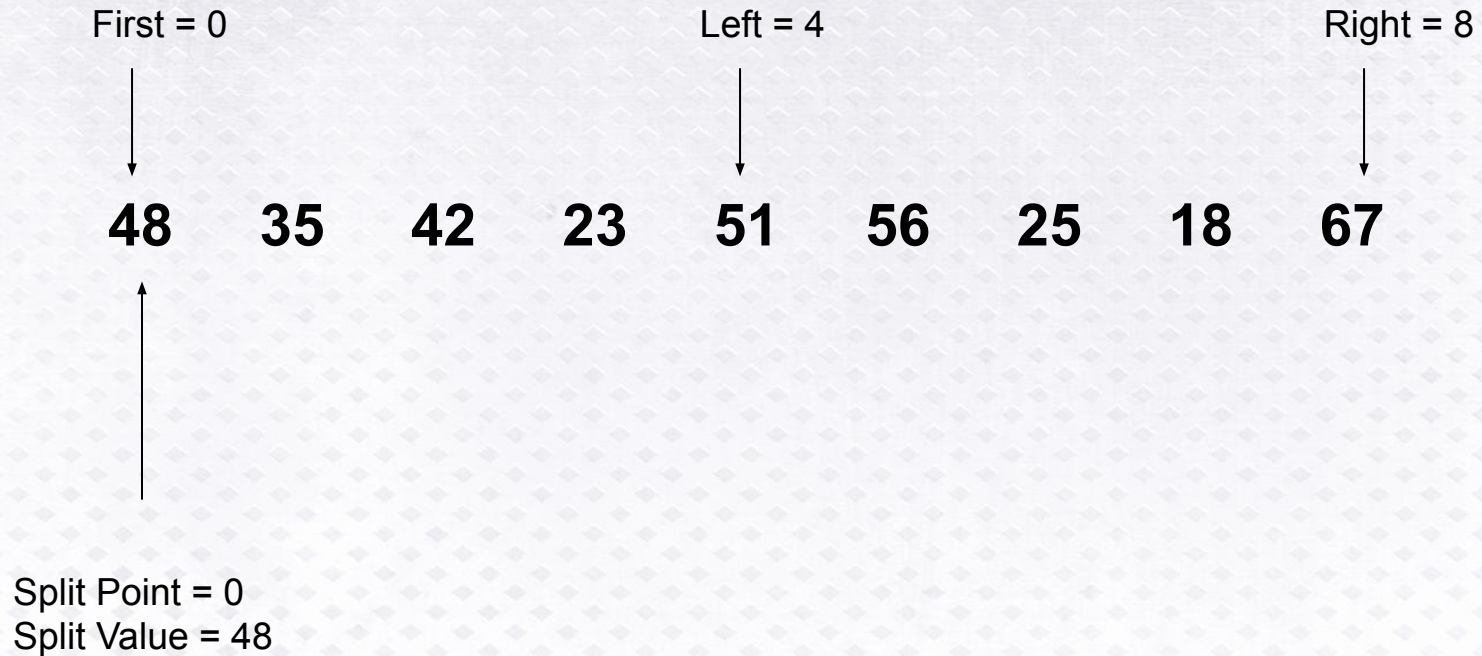
Quick Sort Worked Example



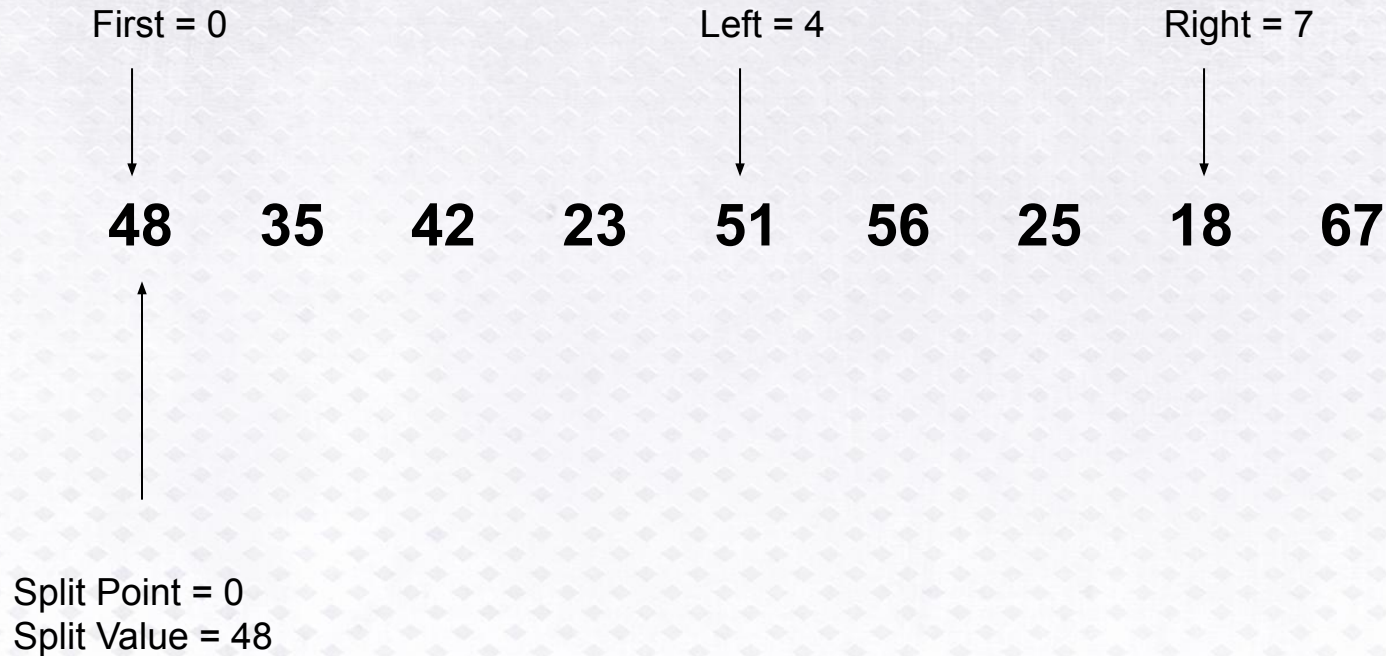
Quick Sort Worked Example



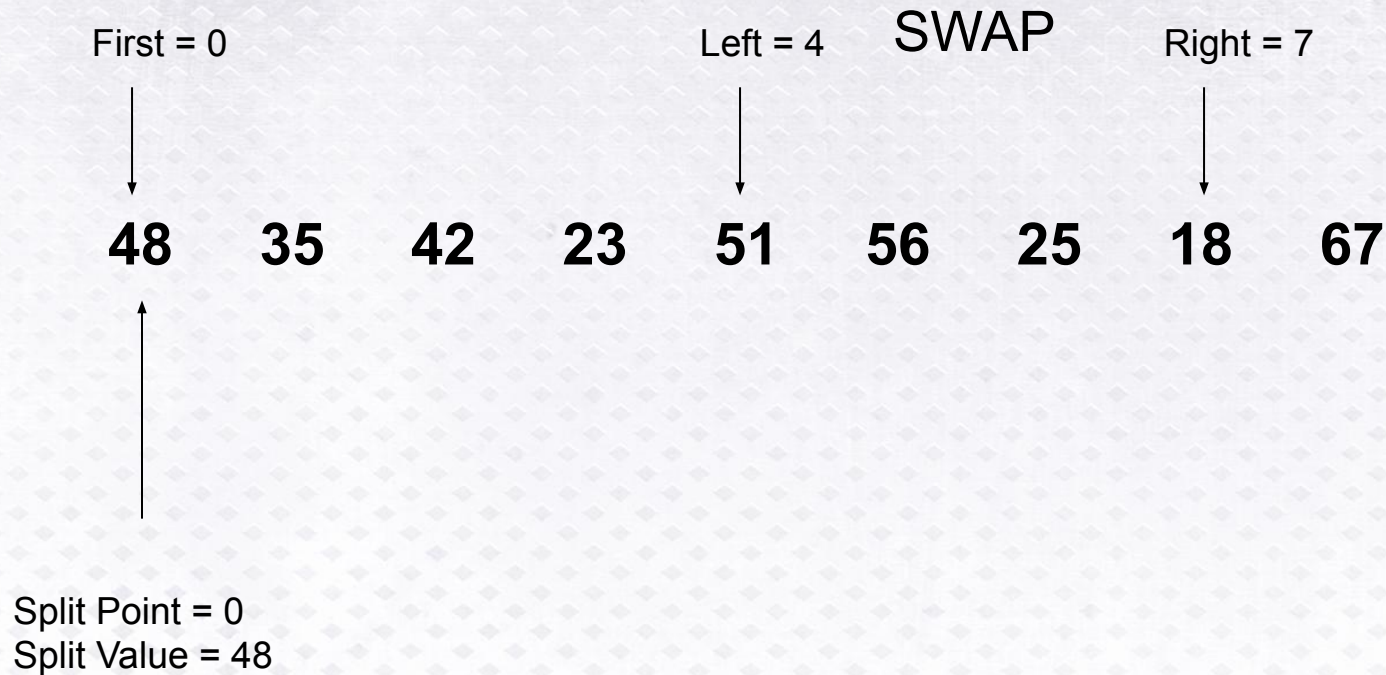
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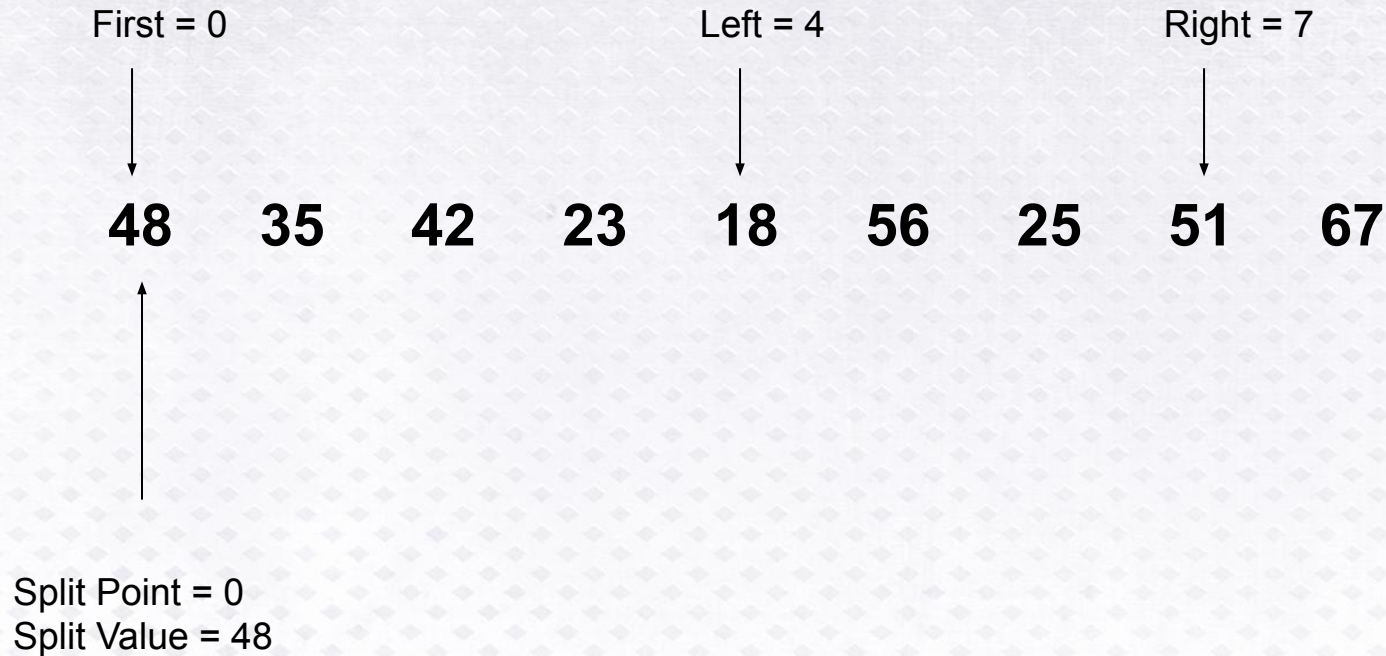
Quick Sort Worked Example



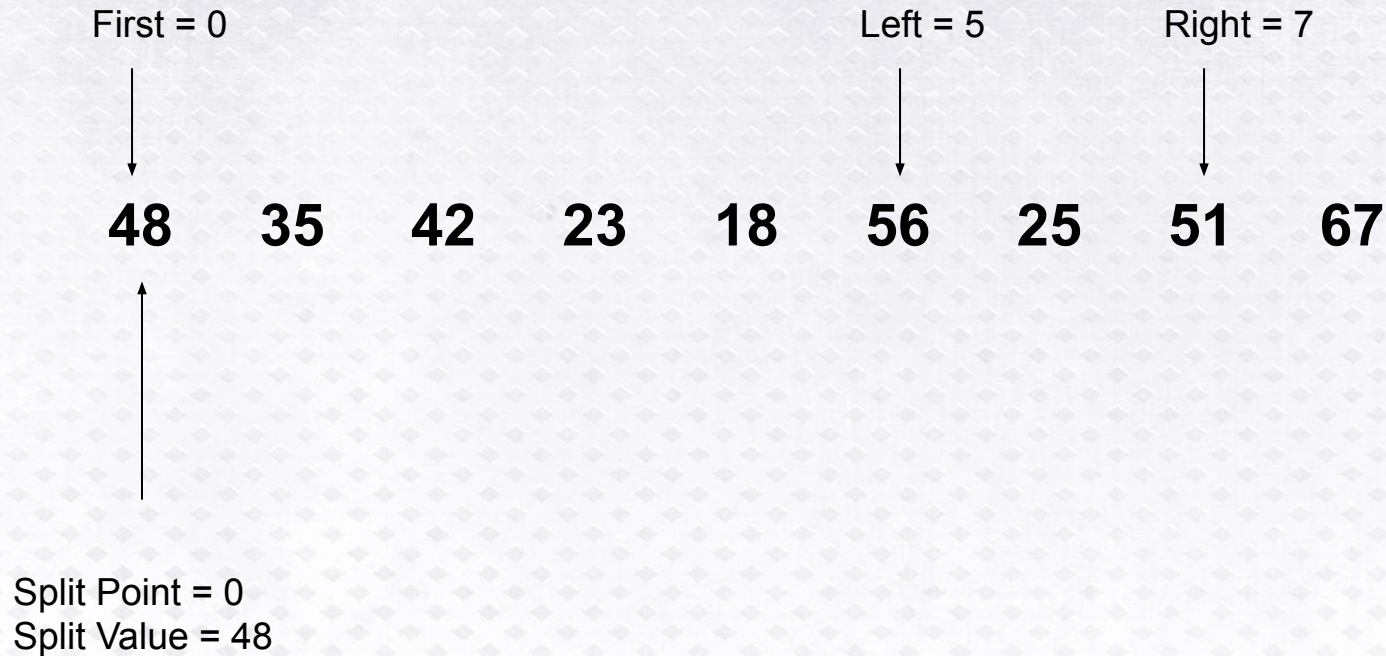
Quick Sort Worked Example



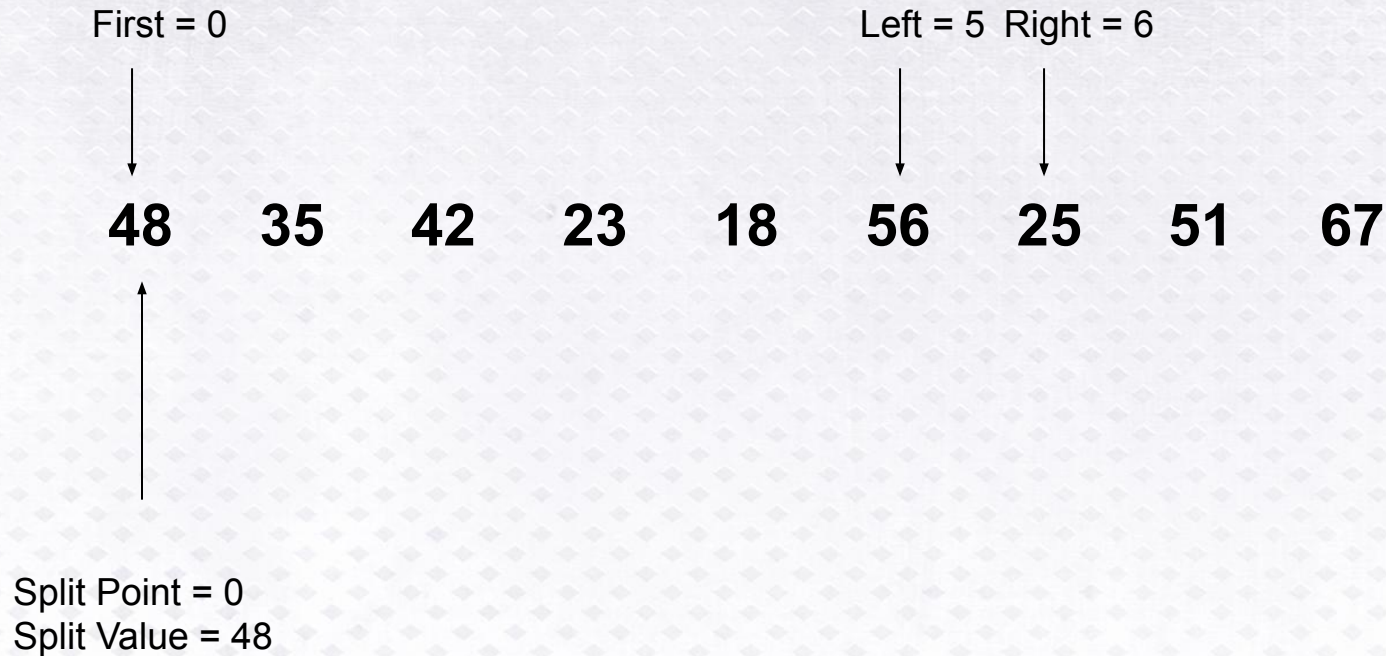
Quick Sort Worked Example



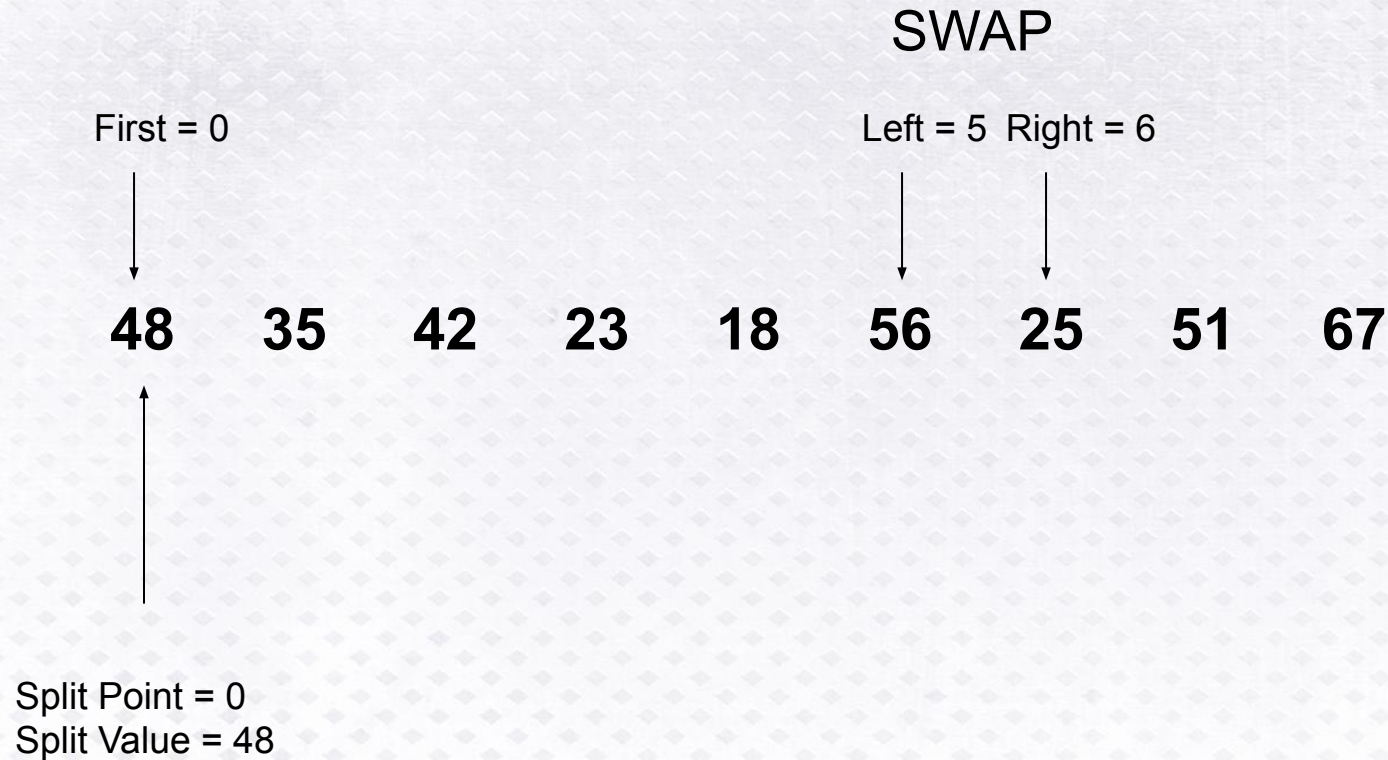
Quick Sort Worked Example



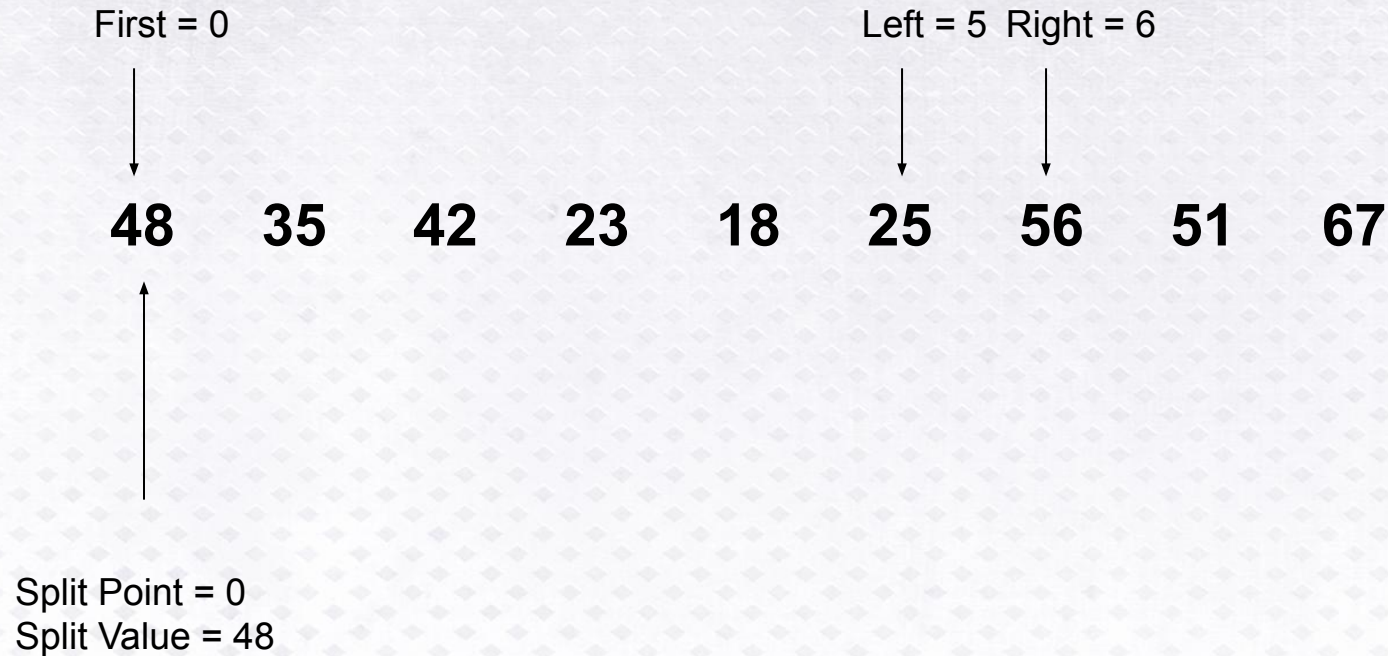
Quick Sort Worked Example



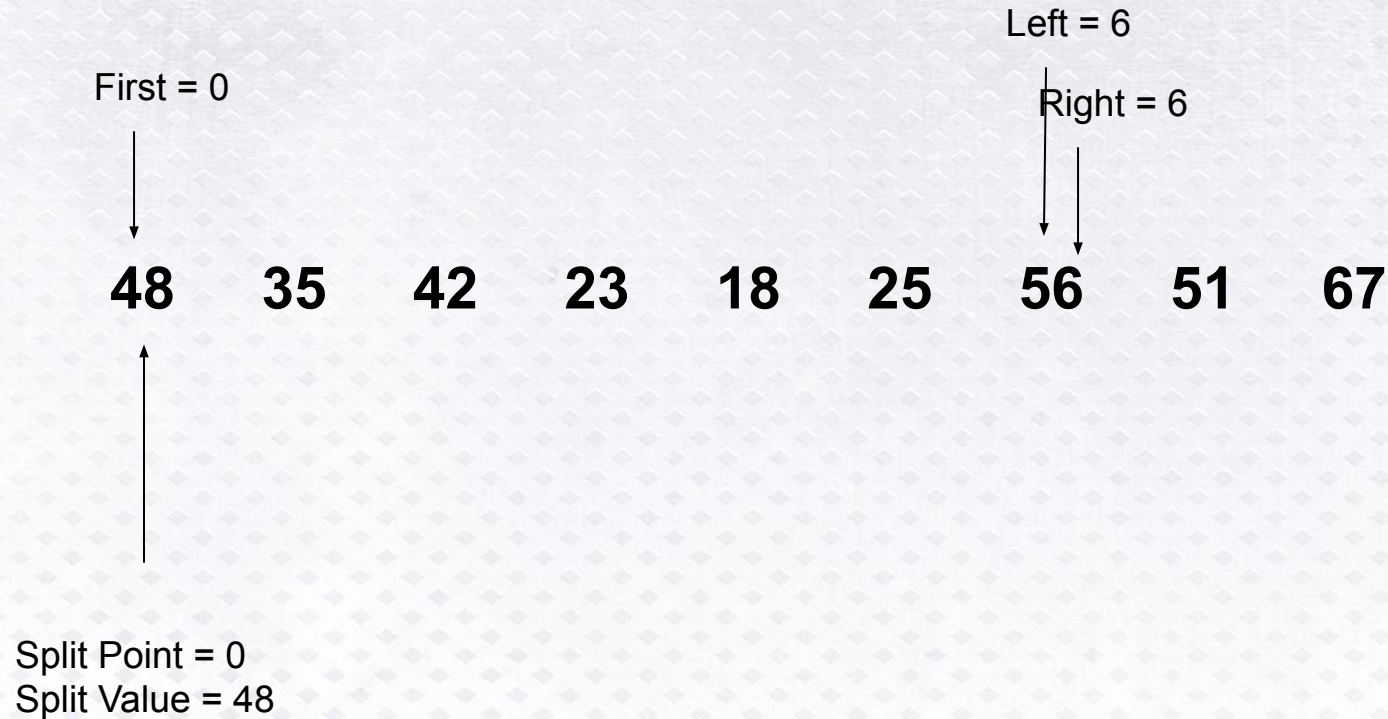
Quick Sort Worked Example



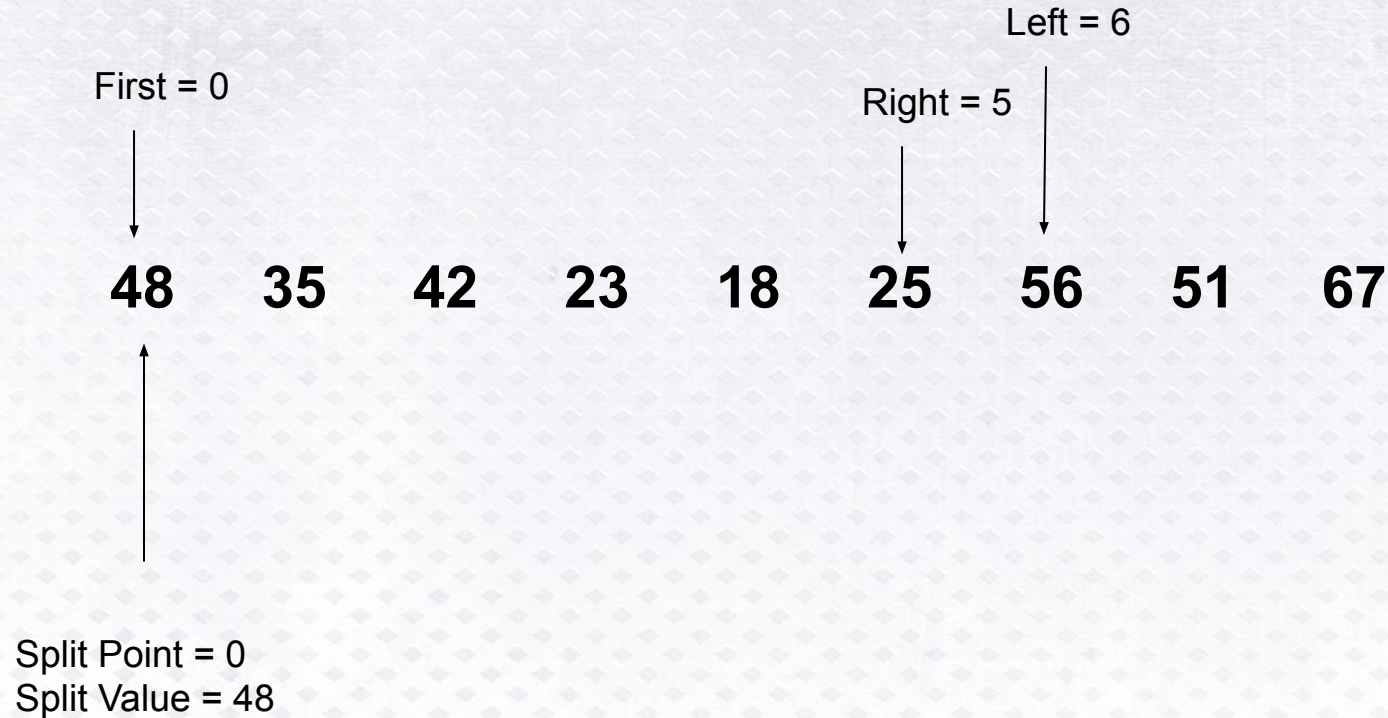
Quick Sort Worked Example



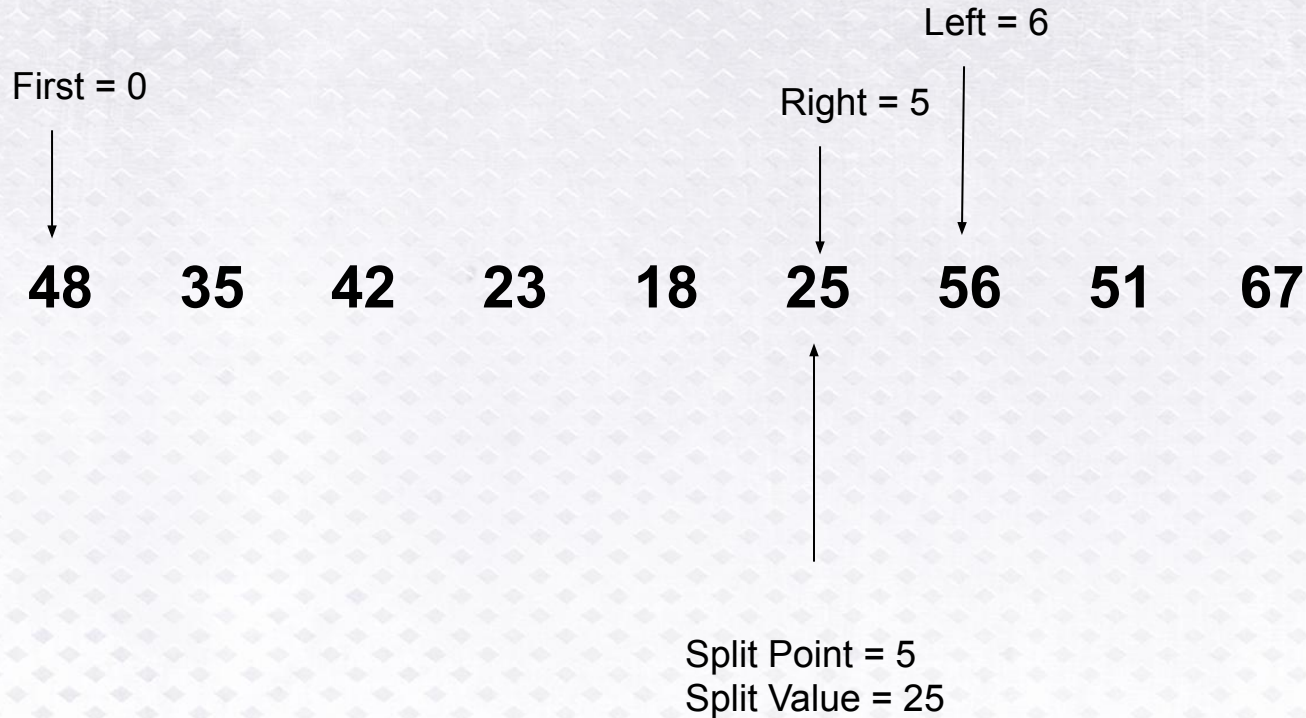
Quick Sort Worked Example



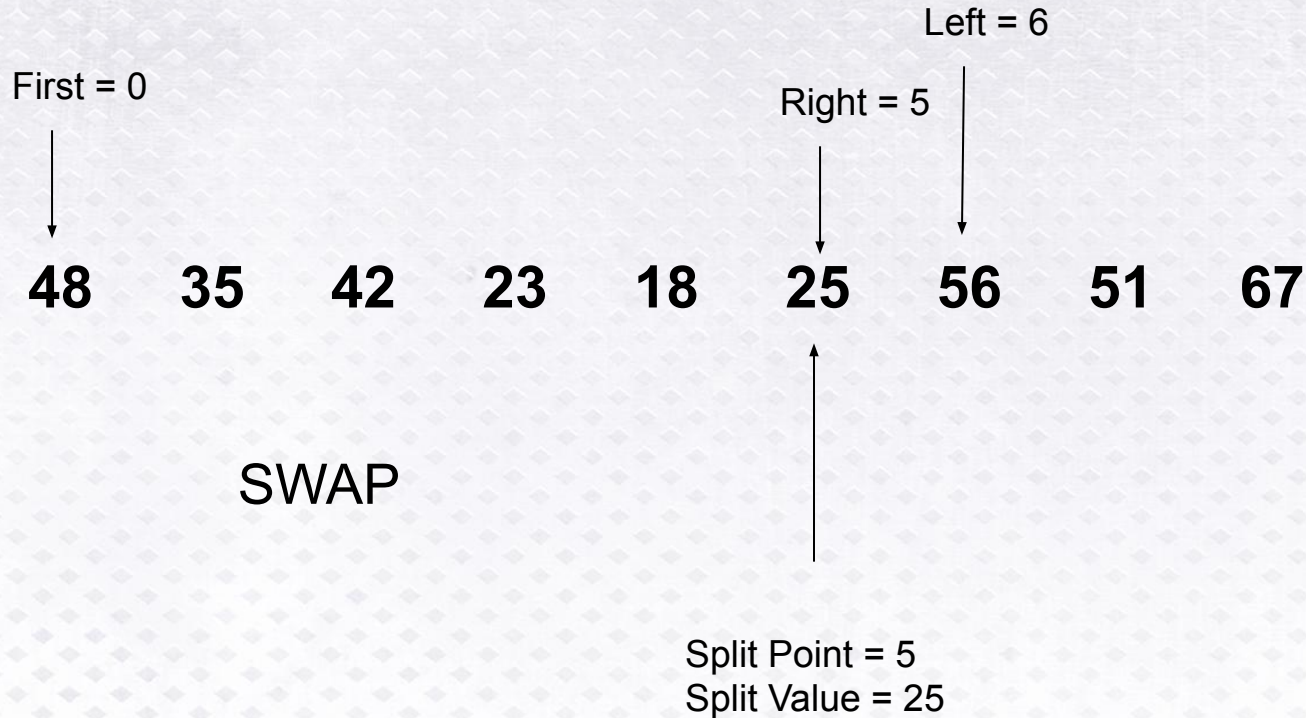
Quick Sort Worked Example



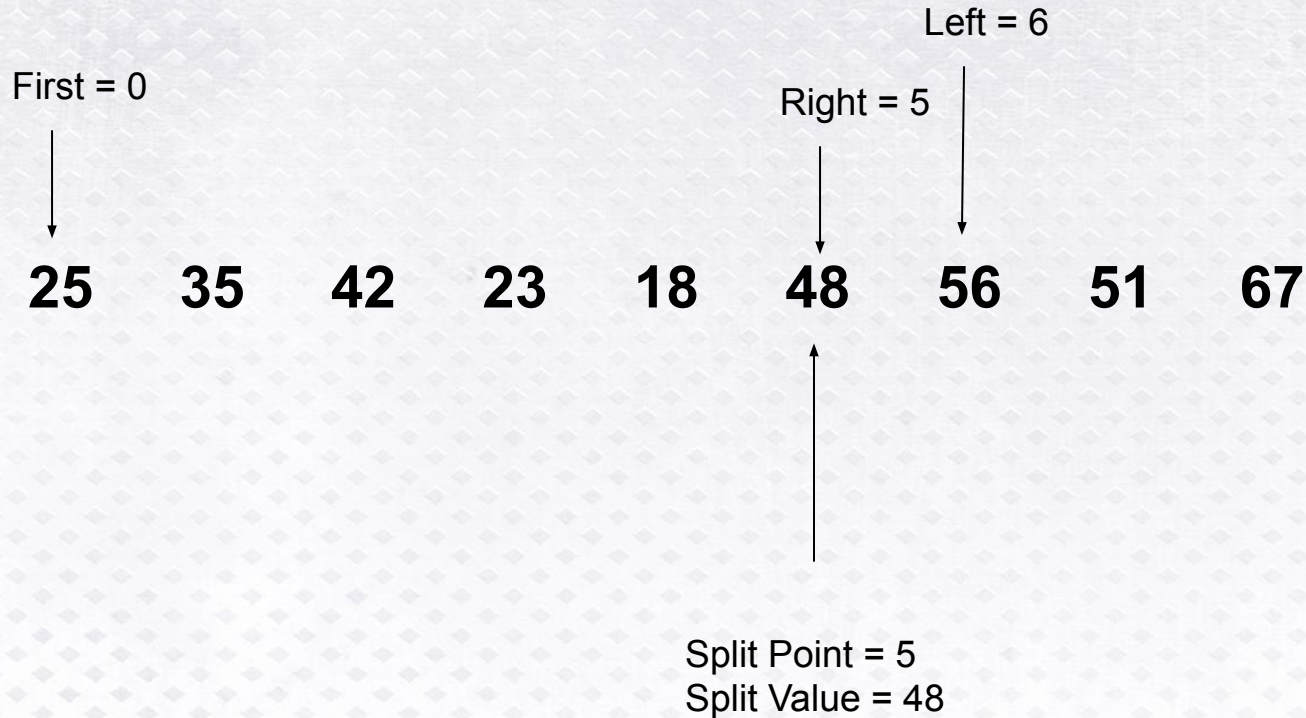
Quick Sort Worked Example



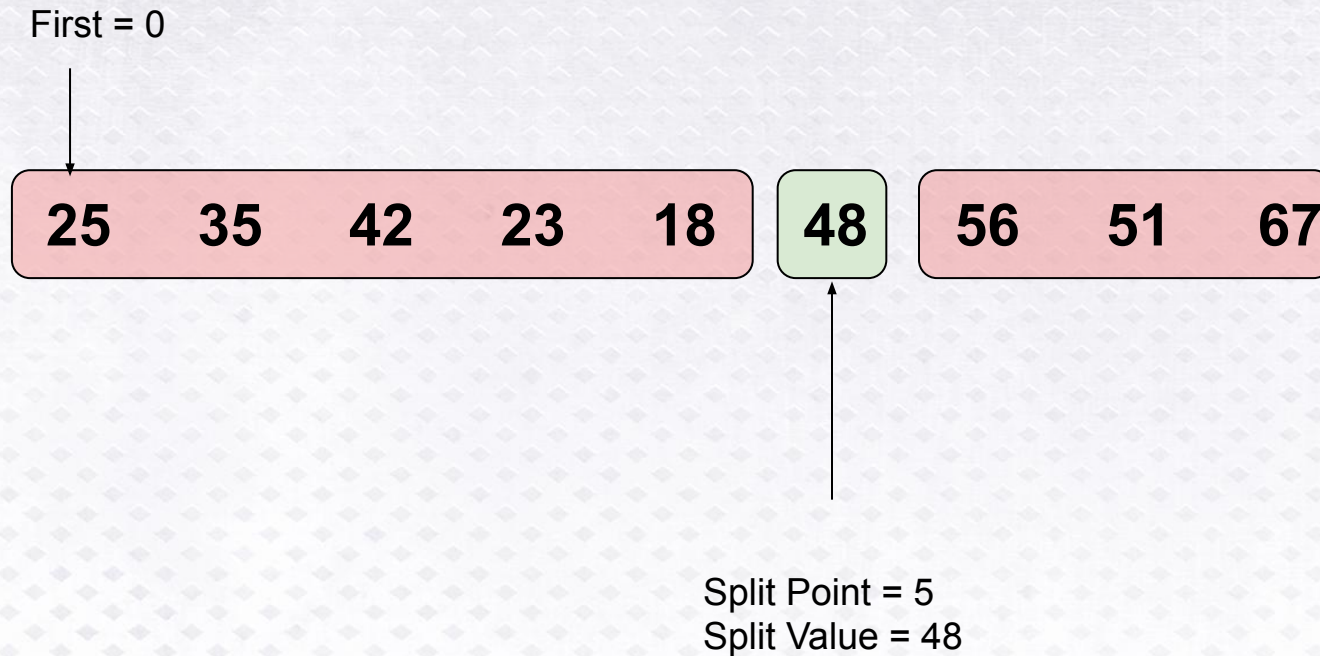
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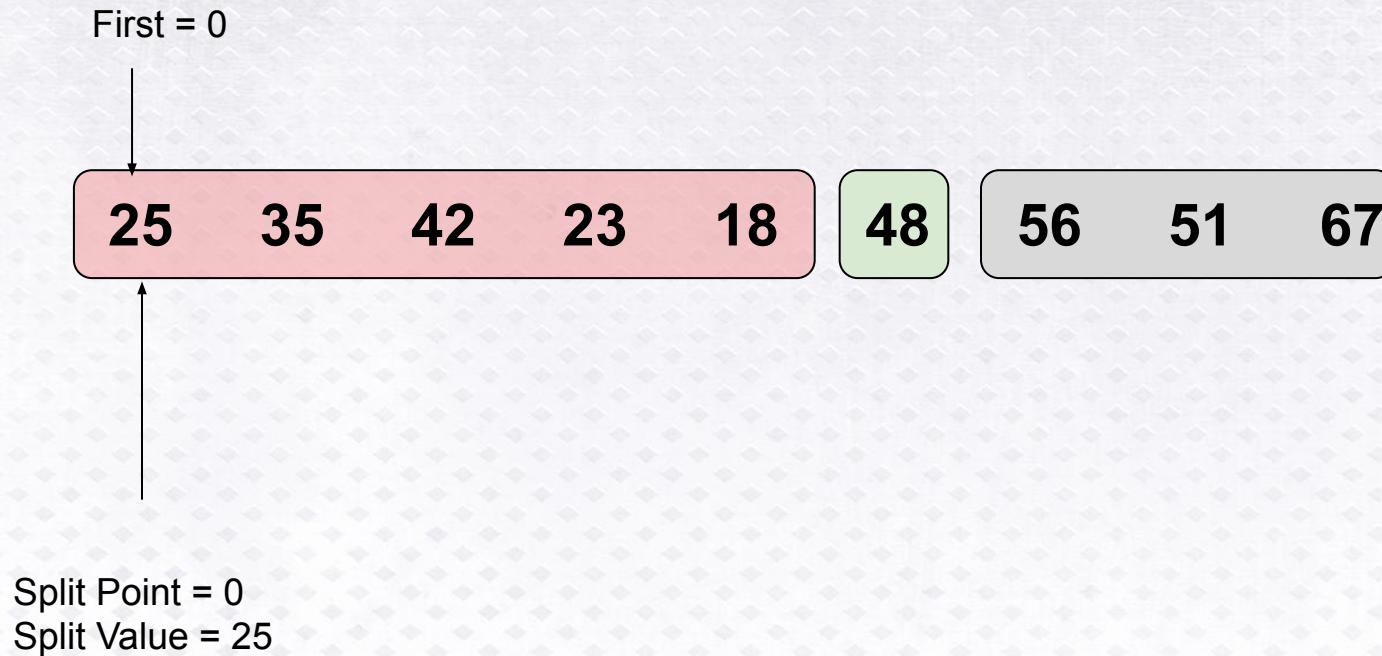
Quick Sort Worked Example



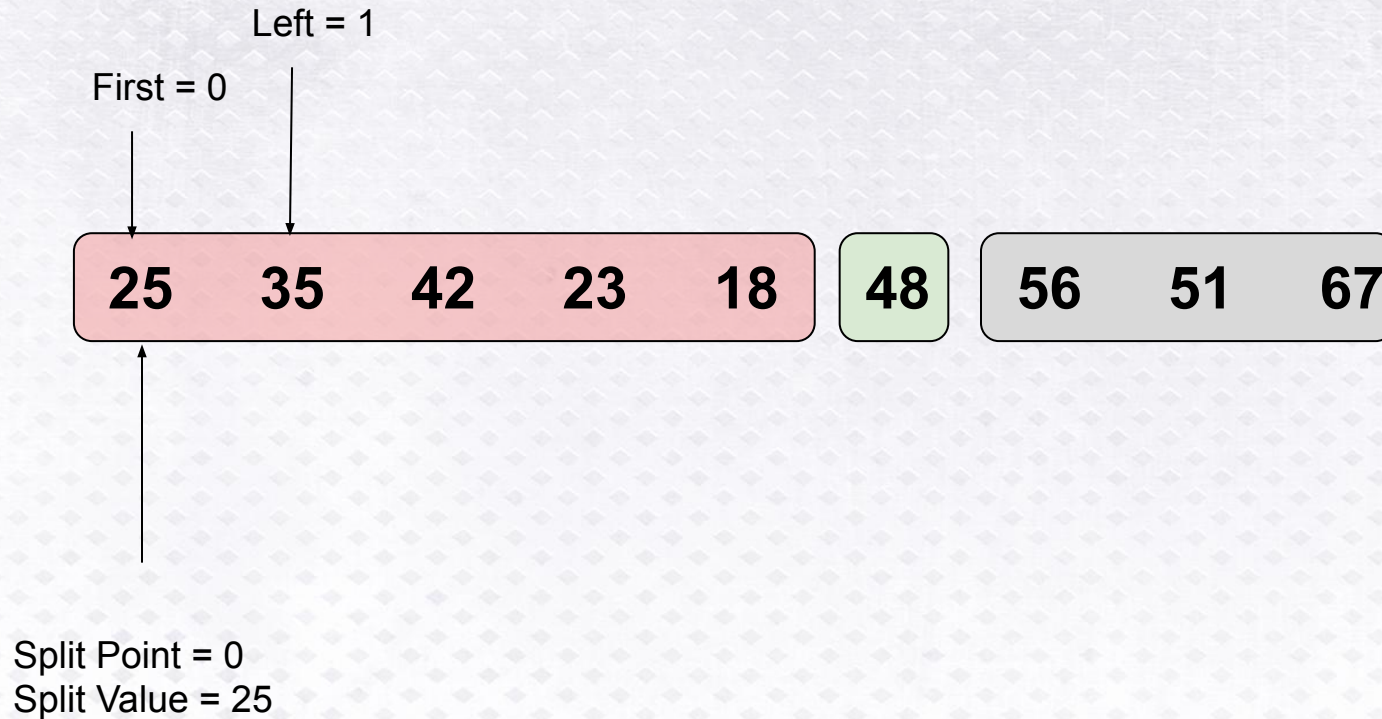
Quick Sort Worked Example



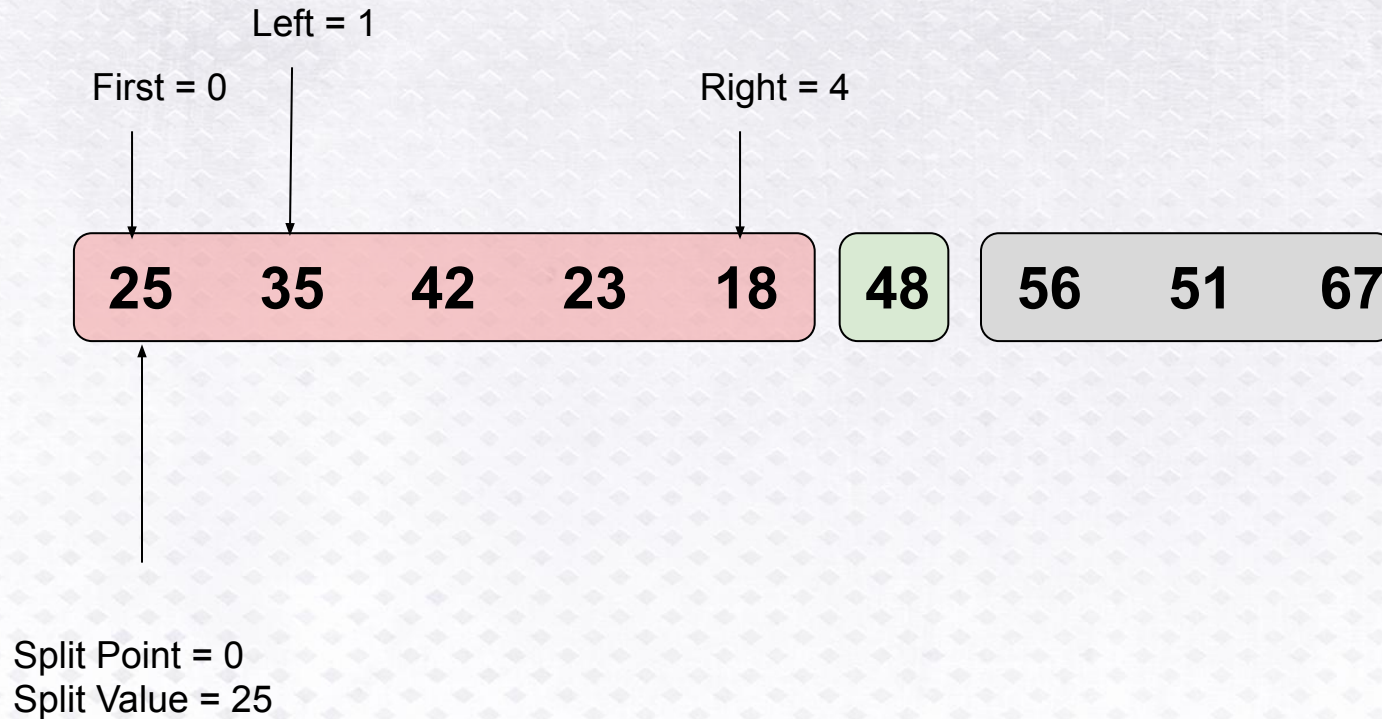
Quick Sort Worked Example



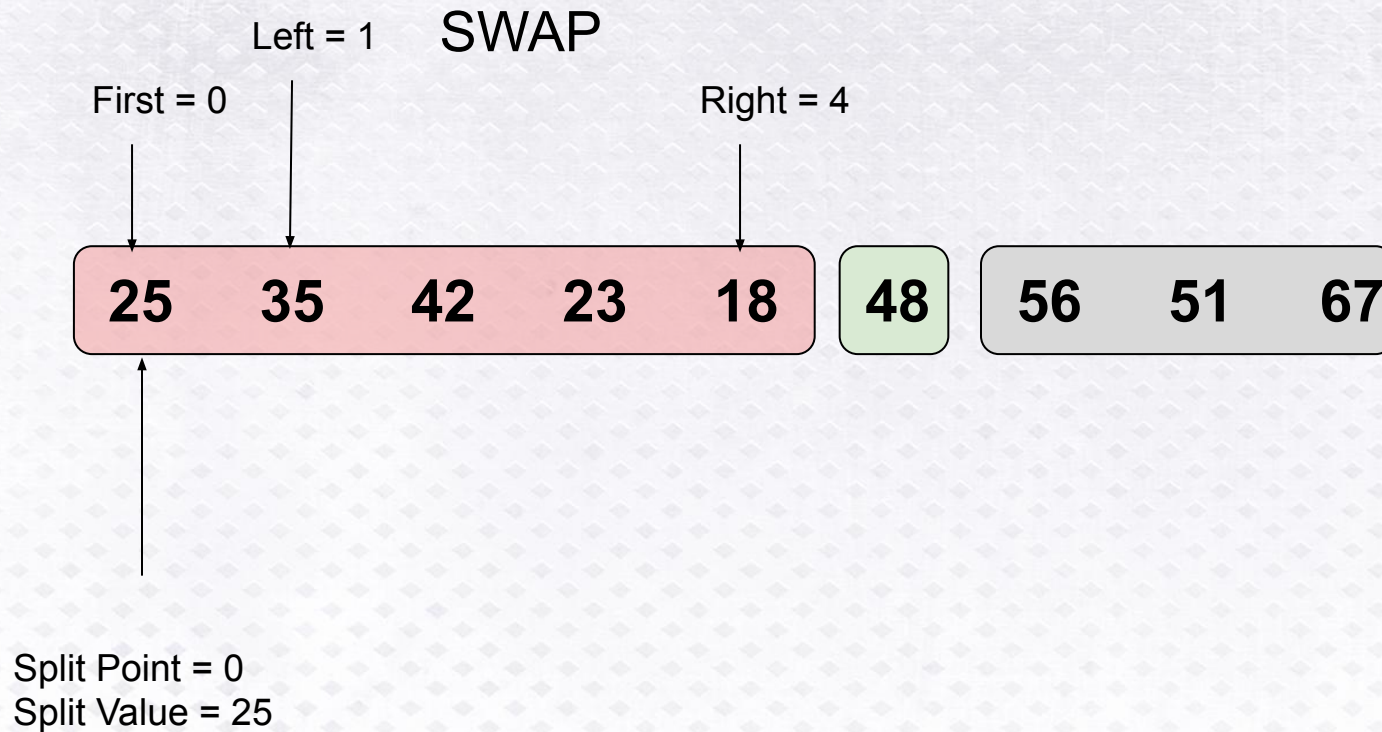
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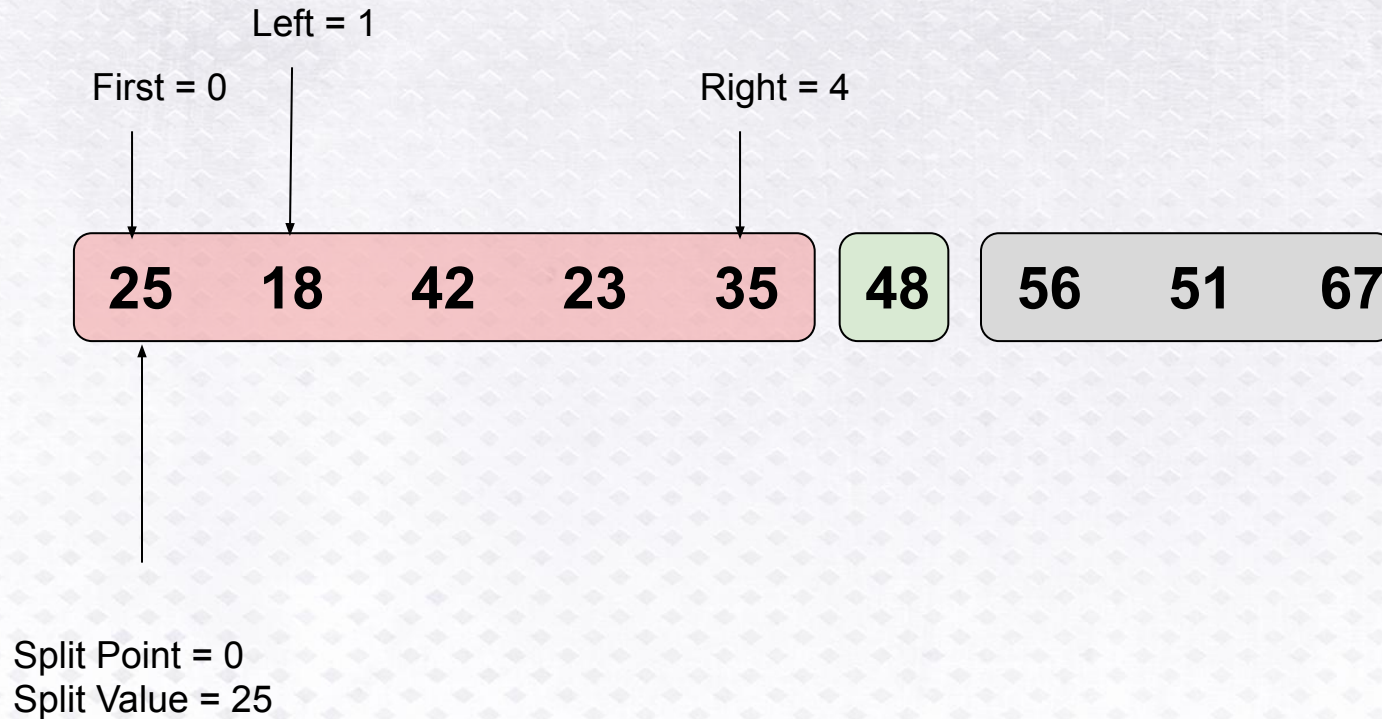
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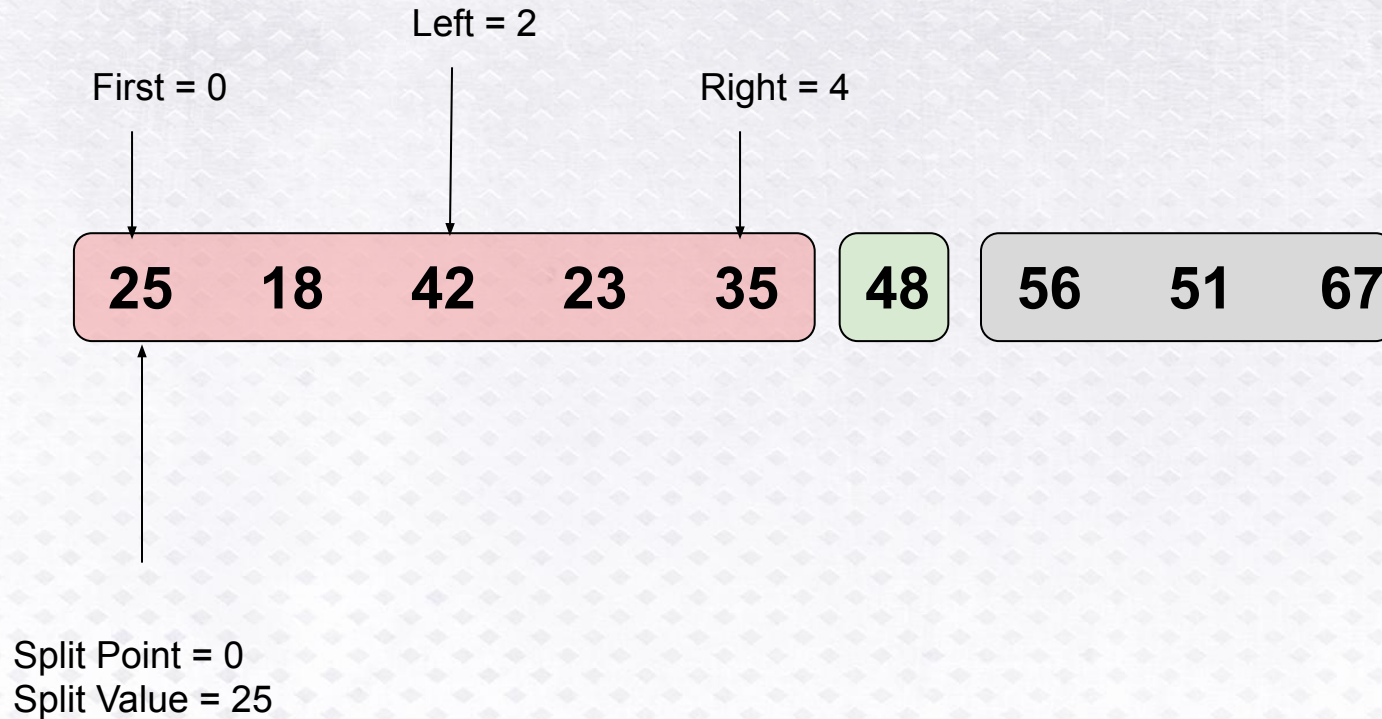
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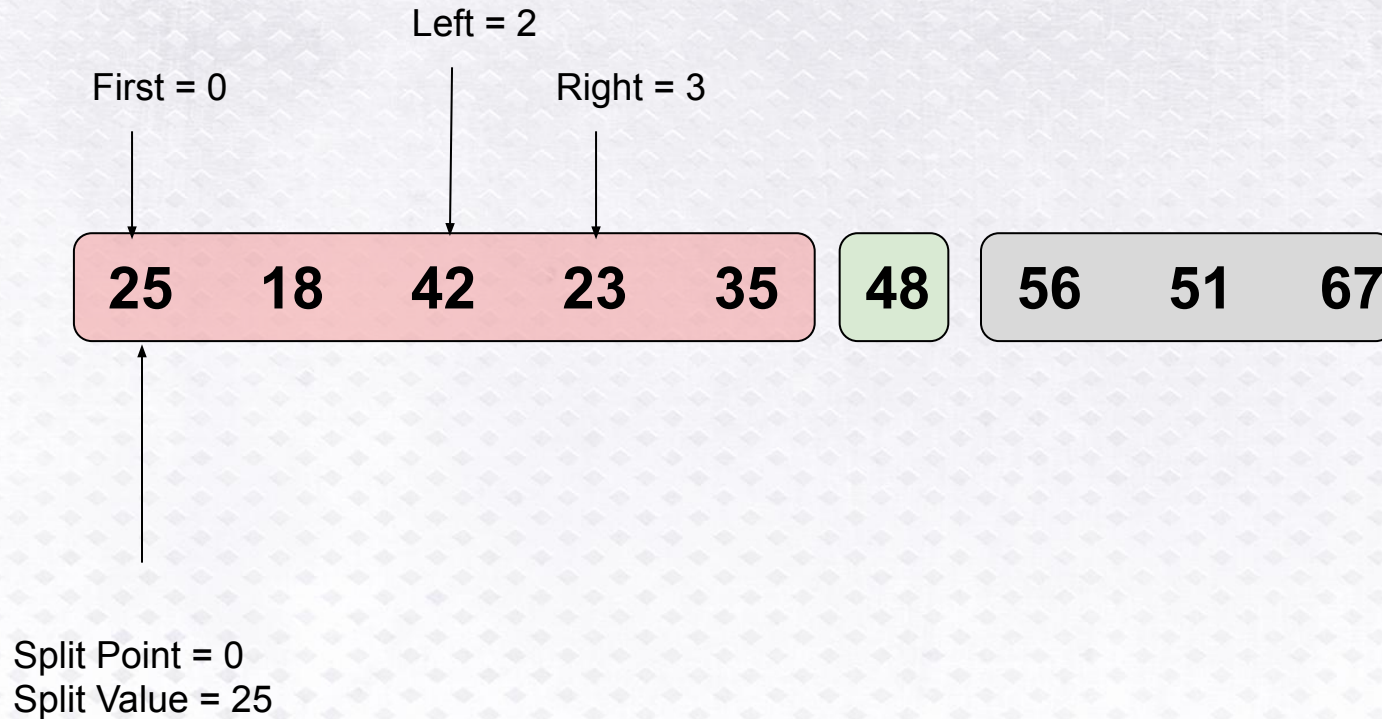
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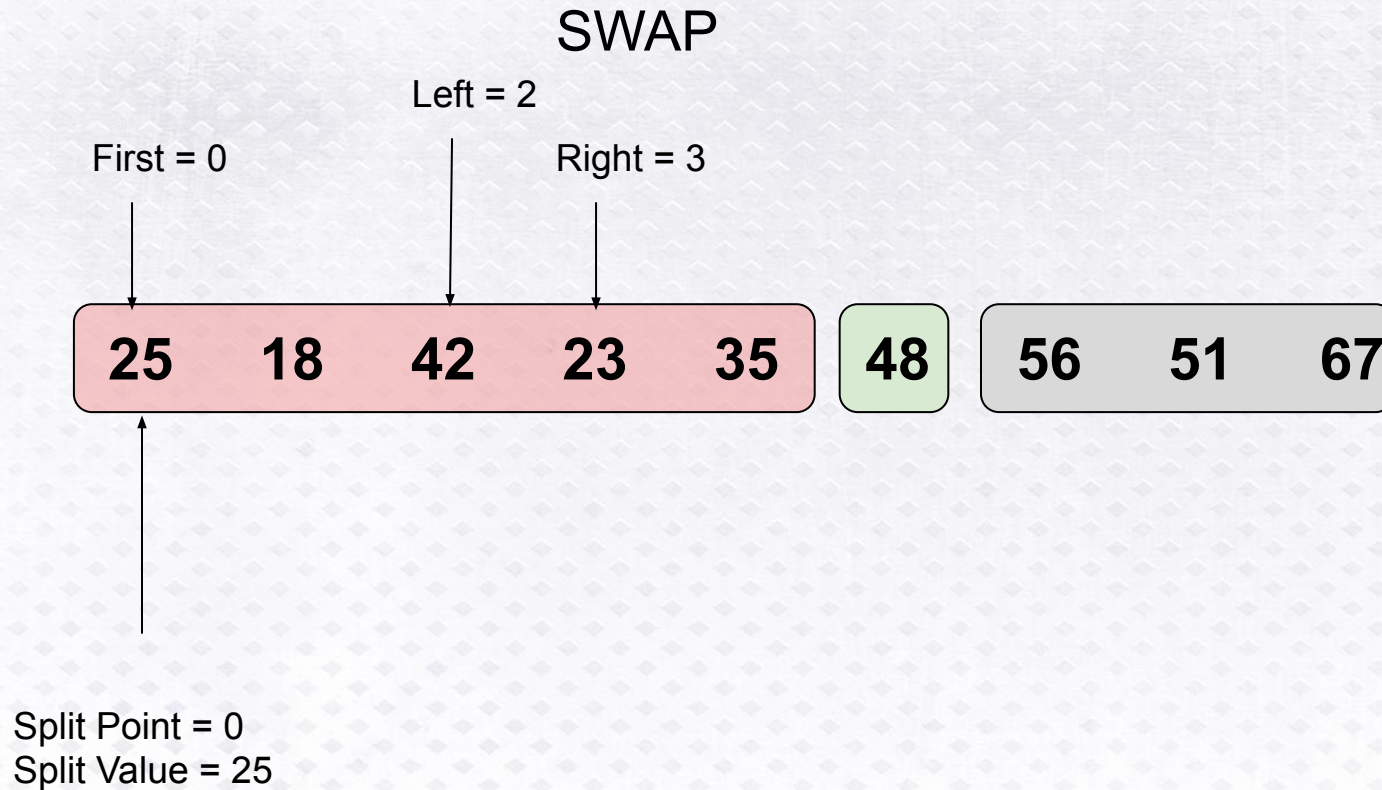
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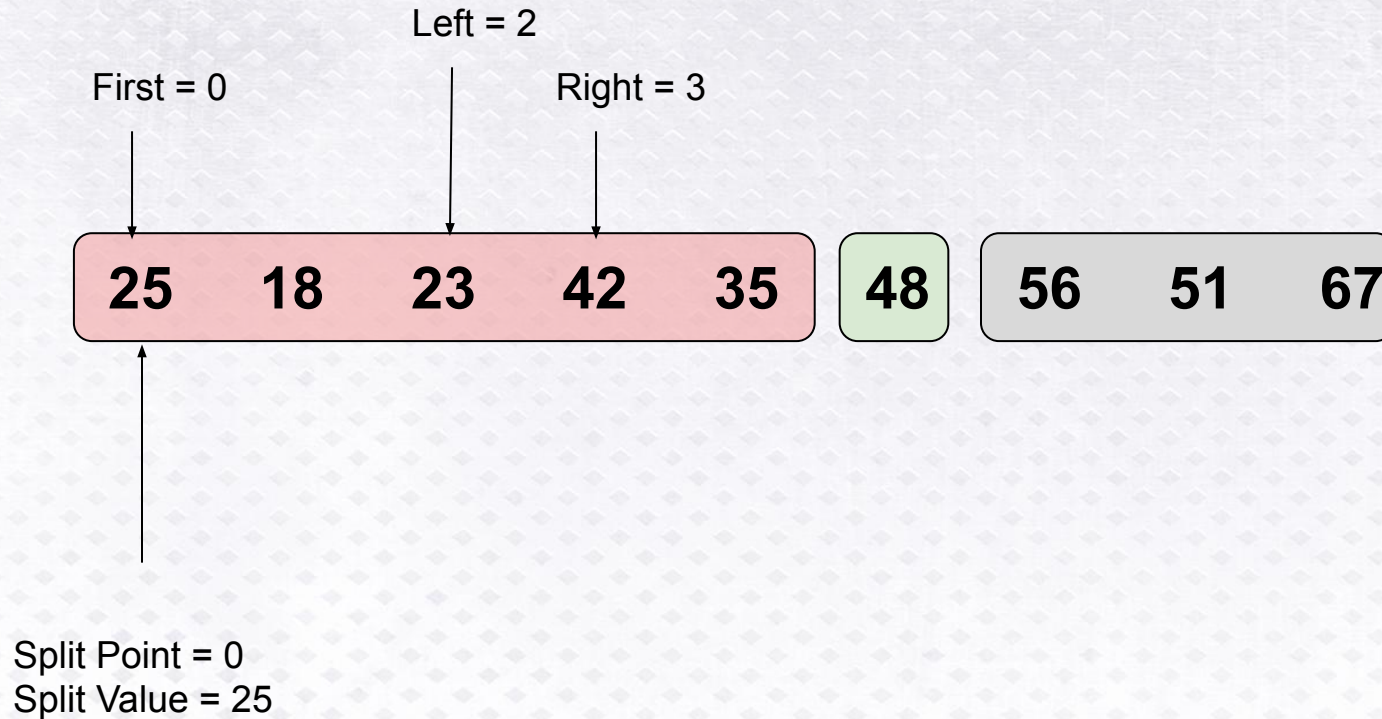
Quick Sort Worked Example



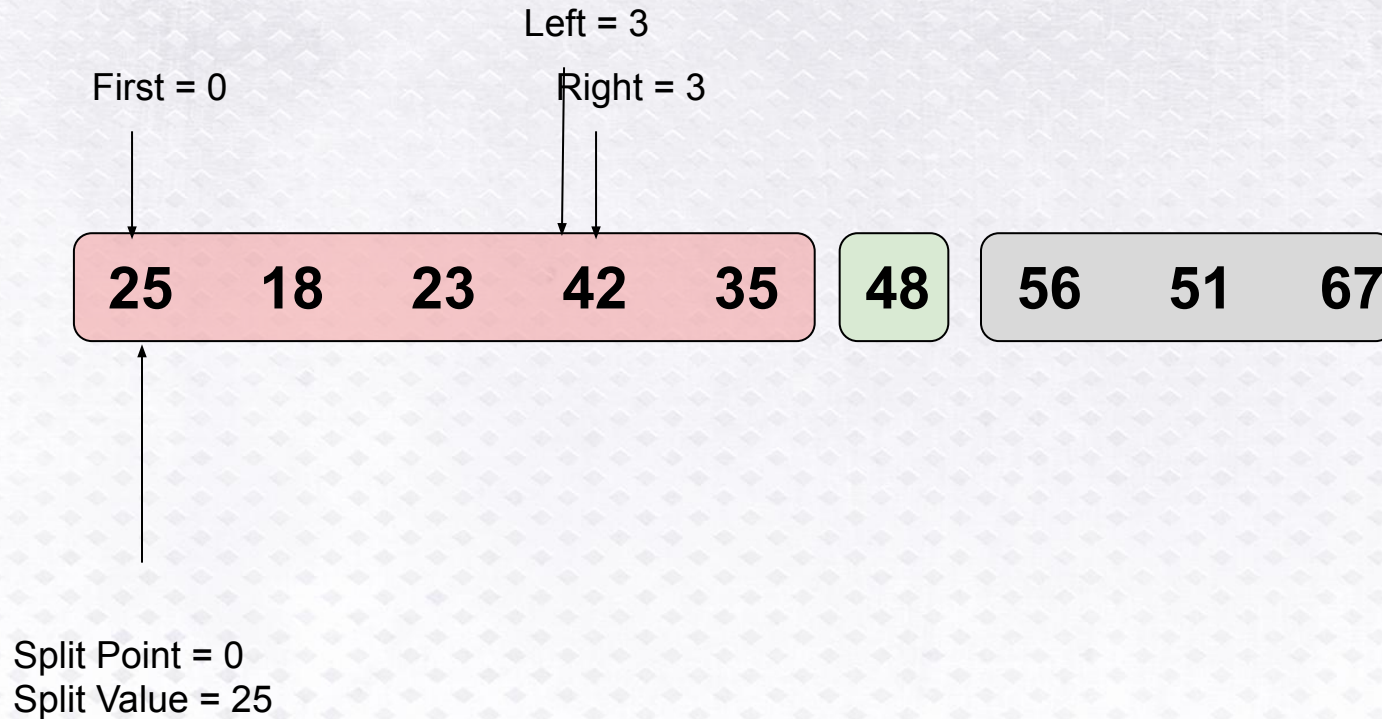
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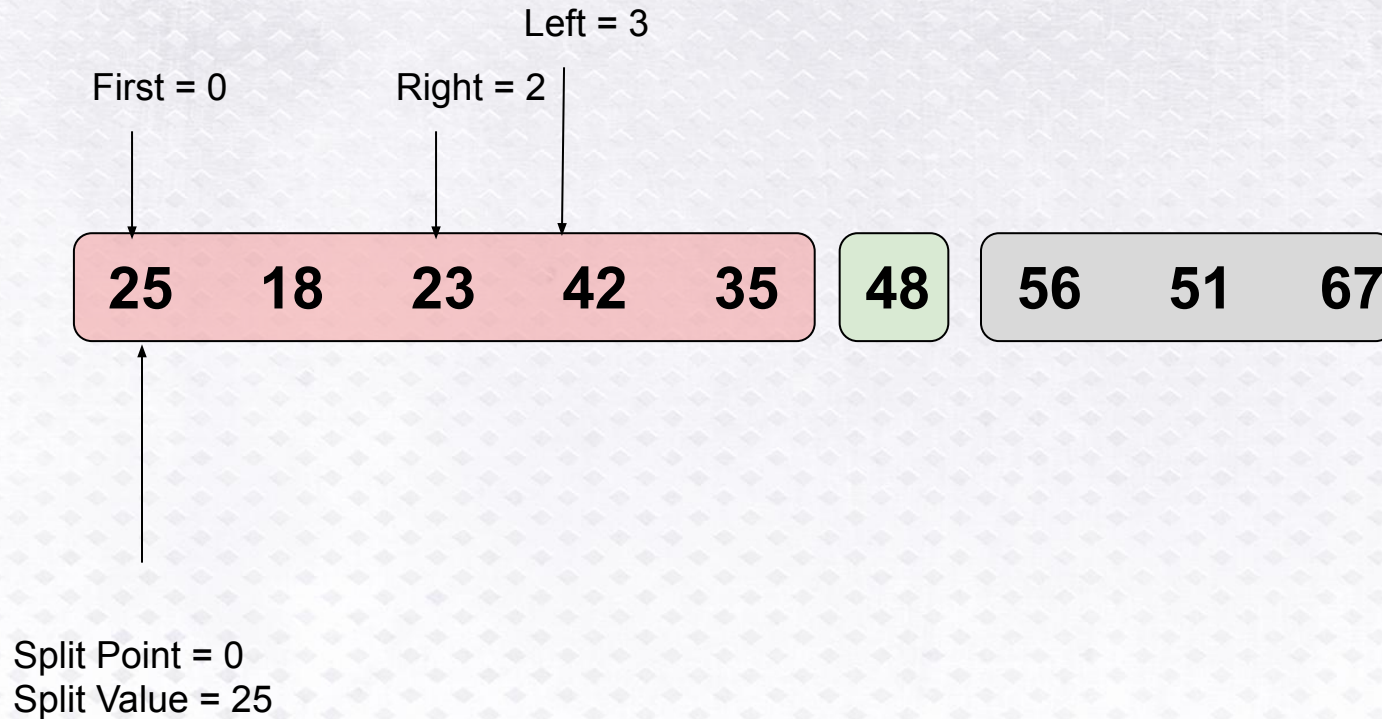
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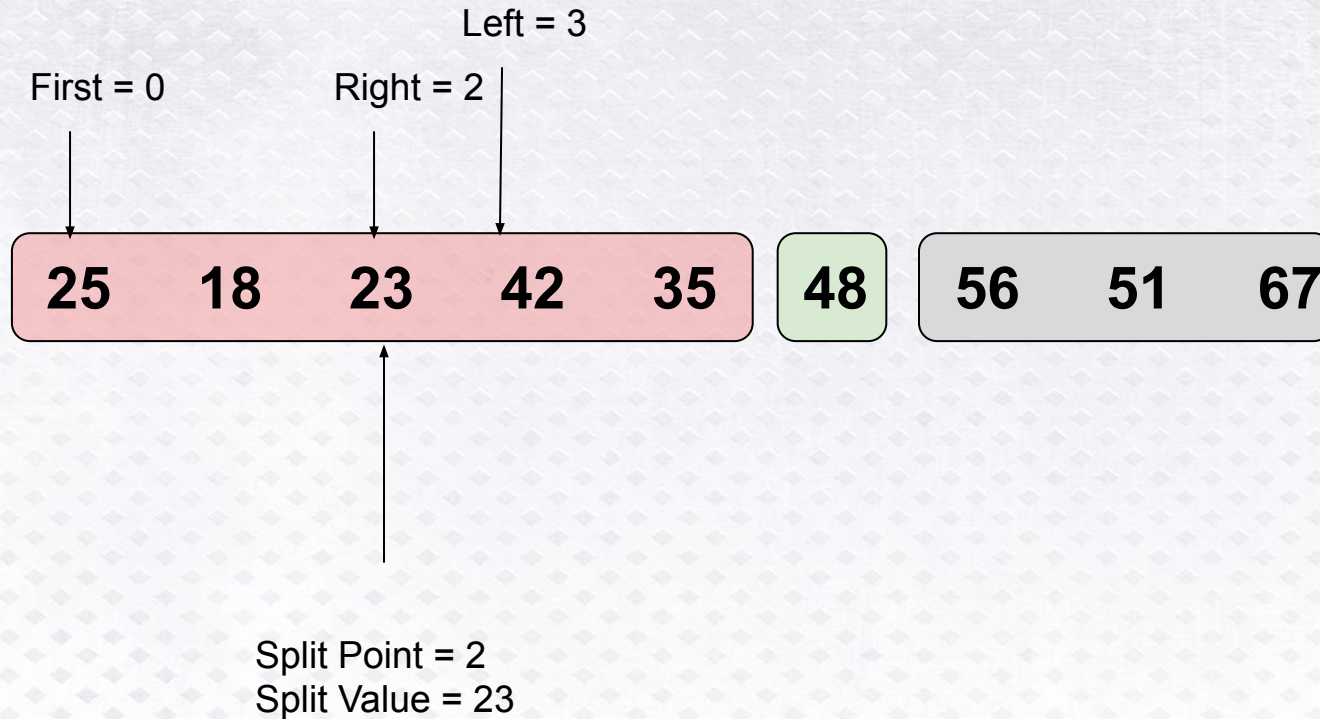
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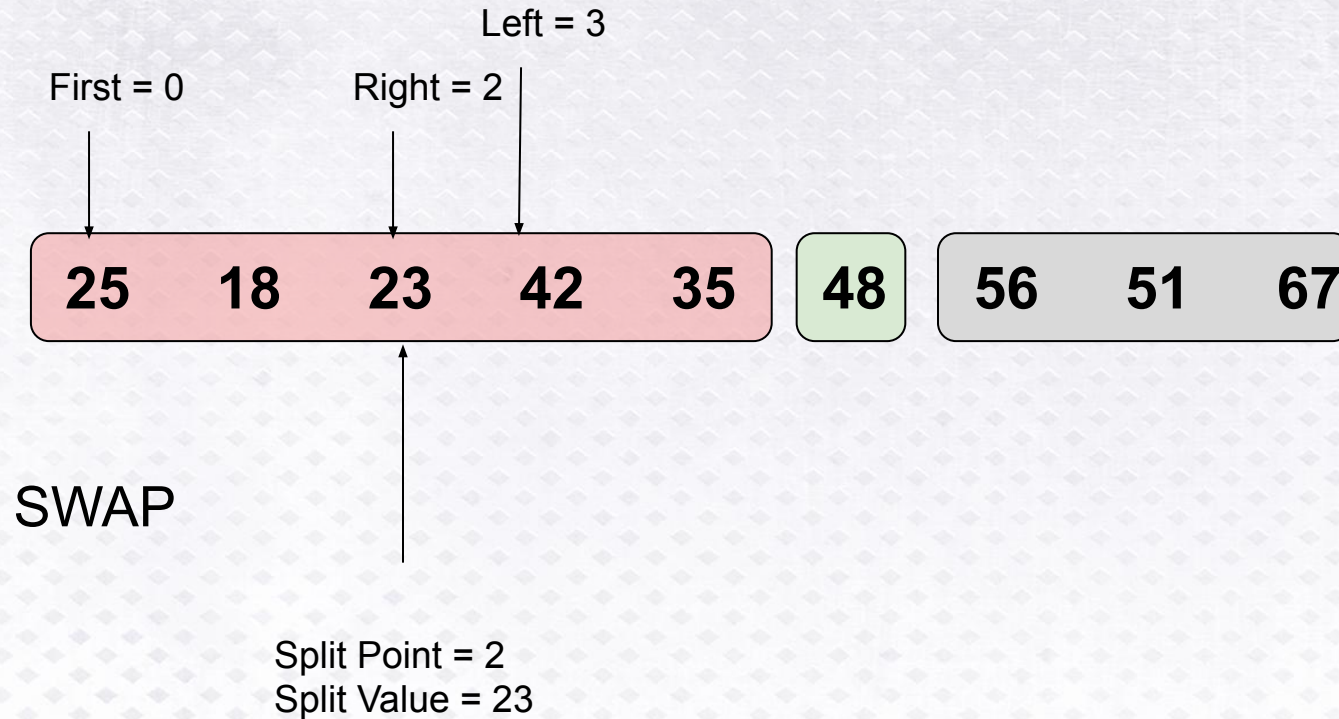
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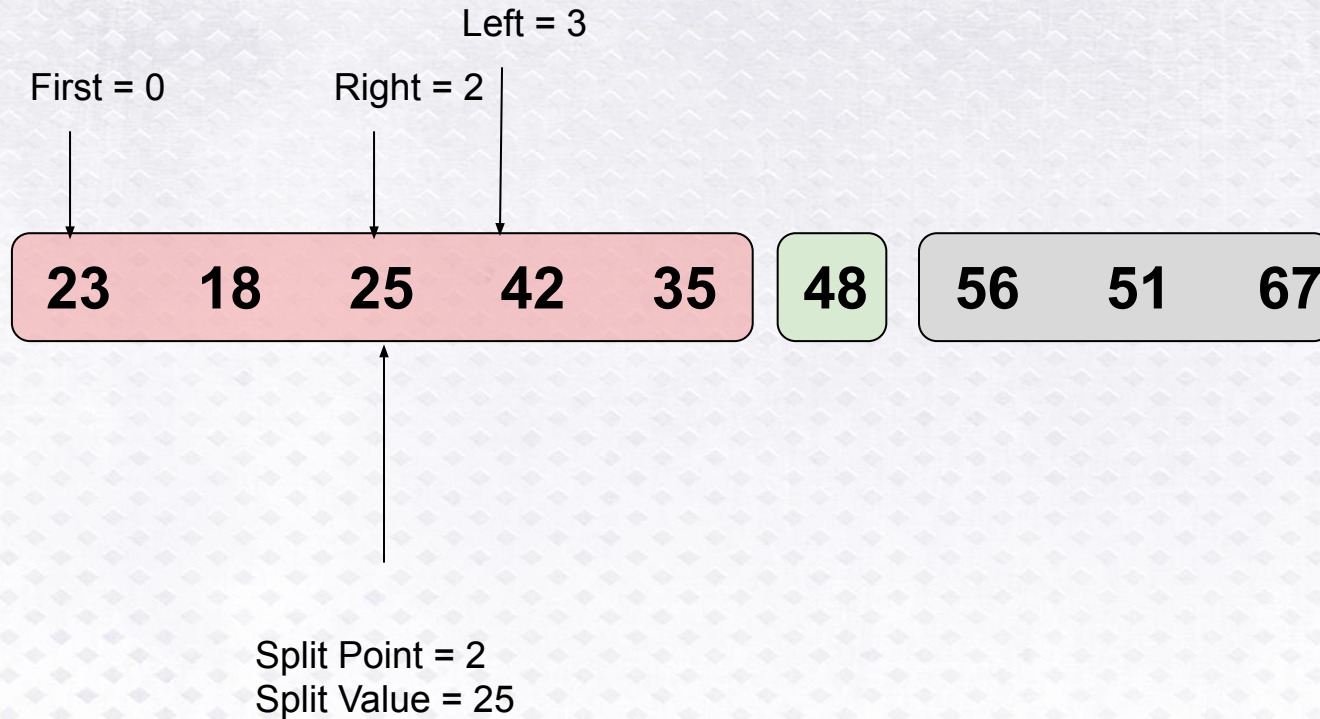
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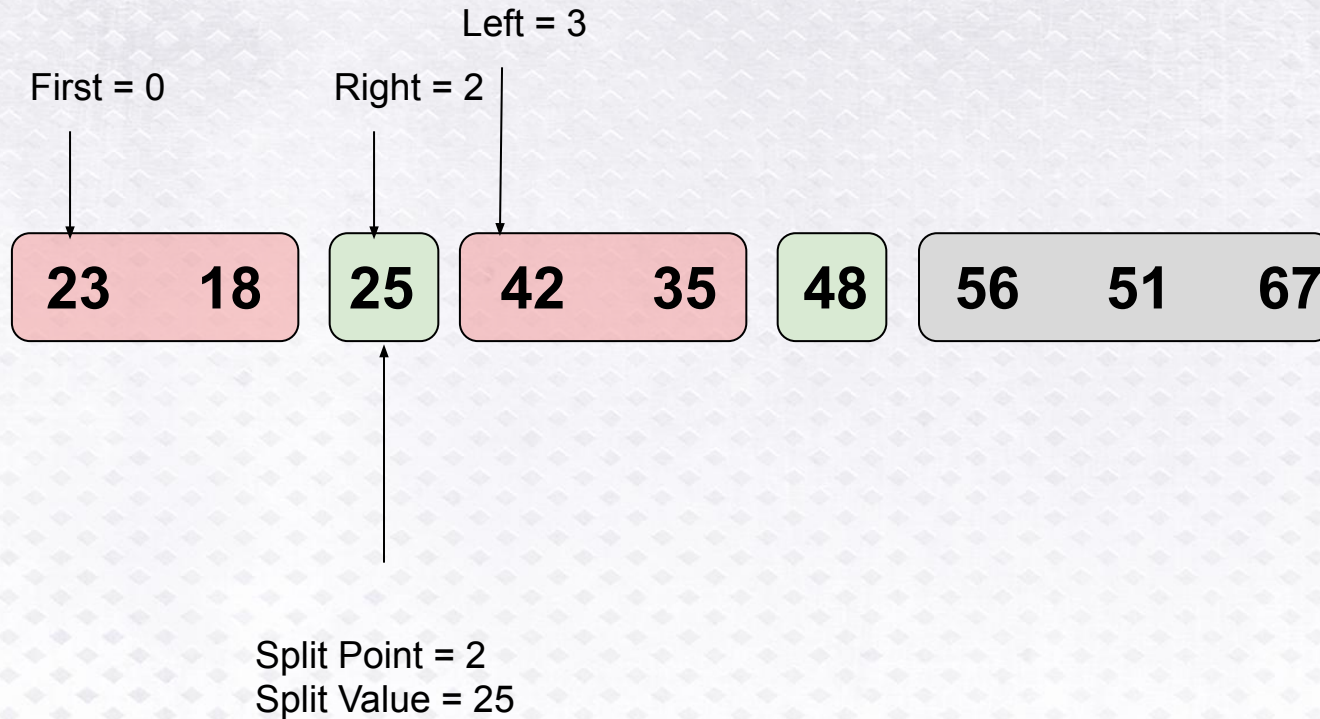
Quick Sort Worked Example



Quick Sort Worked Example

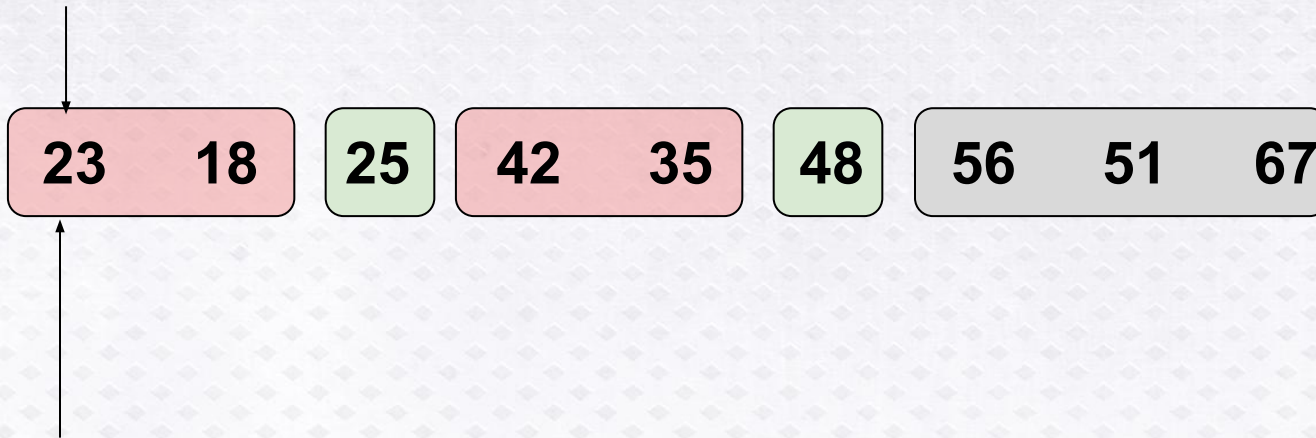


Quick Sort Worked Example



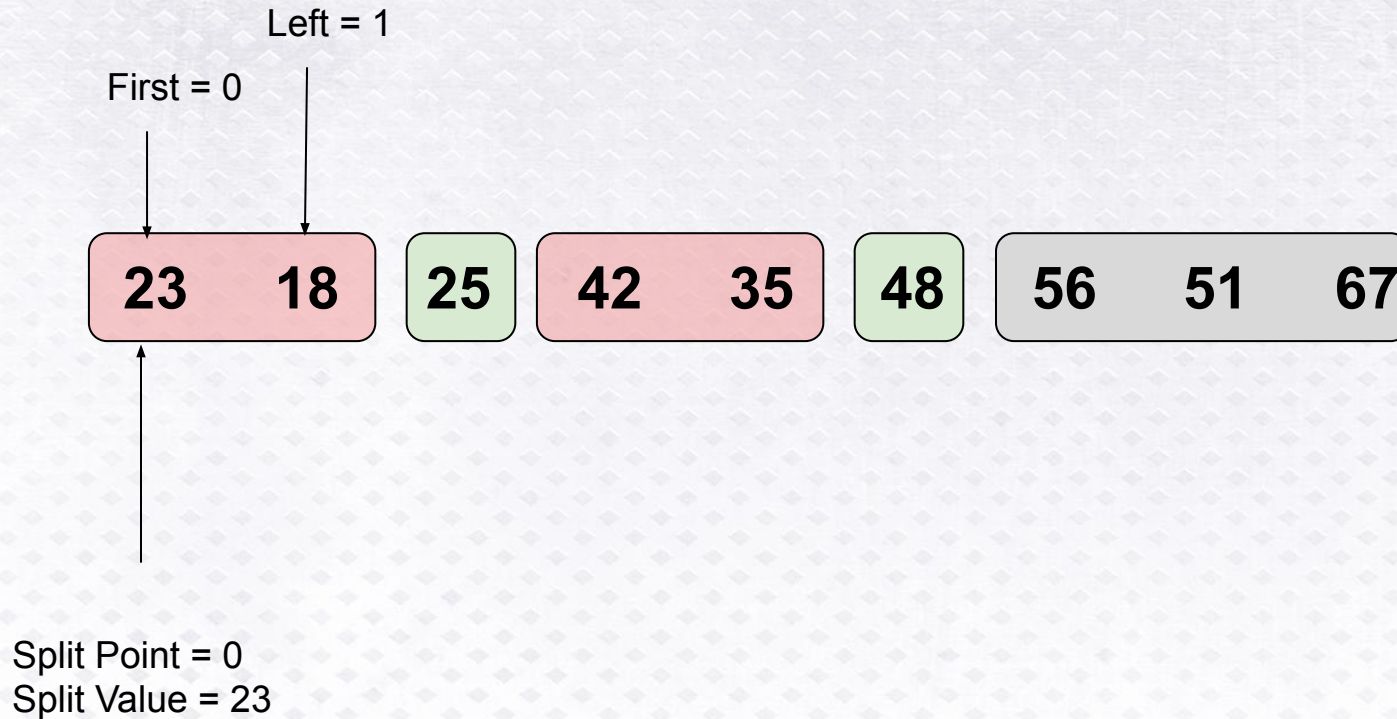
Quick Sort Worked Example

First = 0

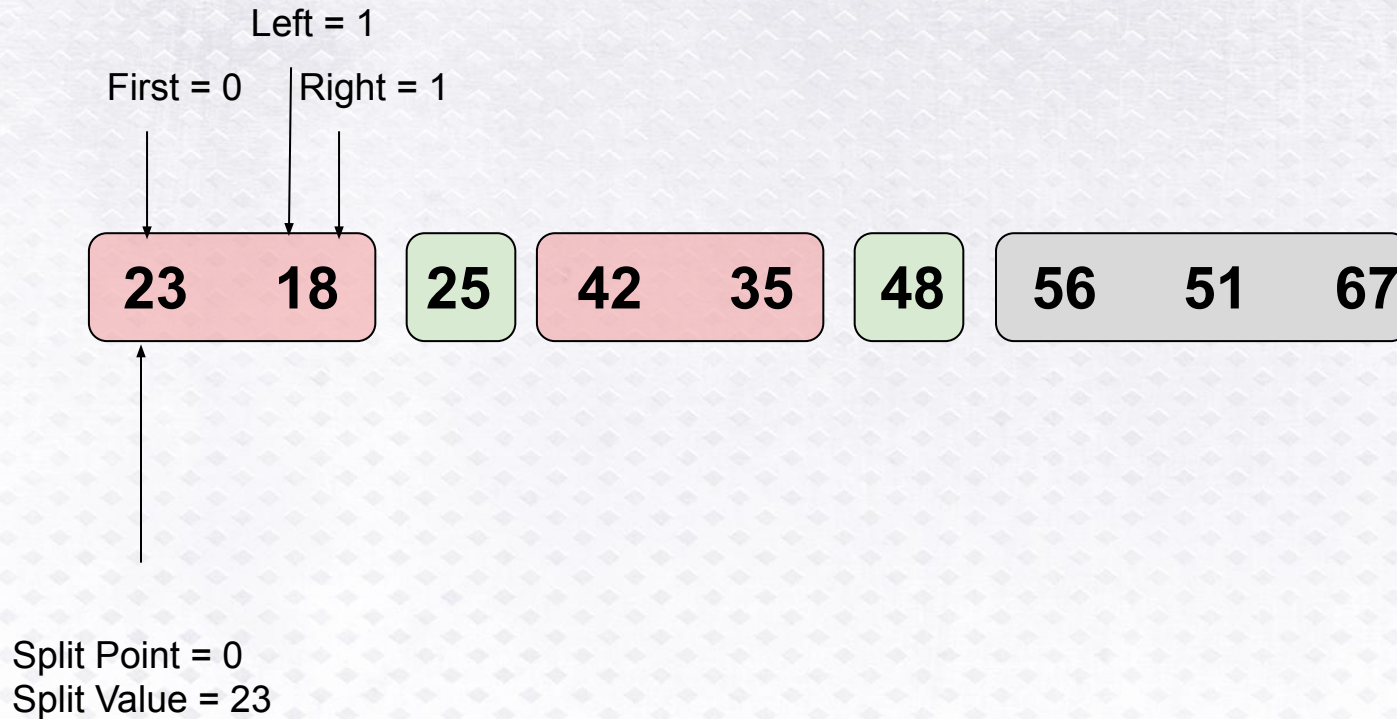


Split Point = 0
Split Value = 23

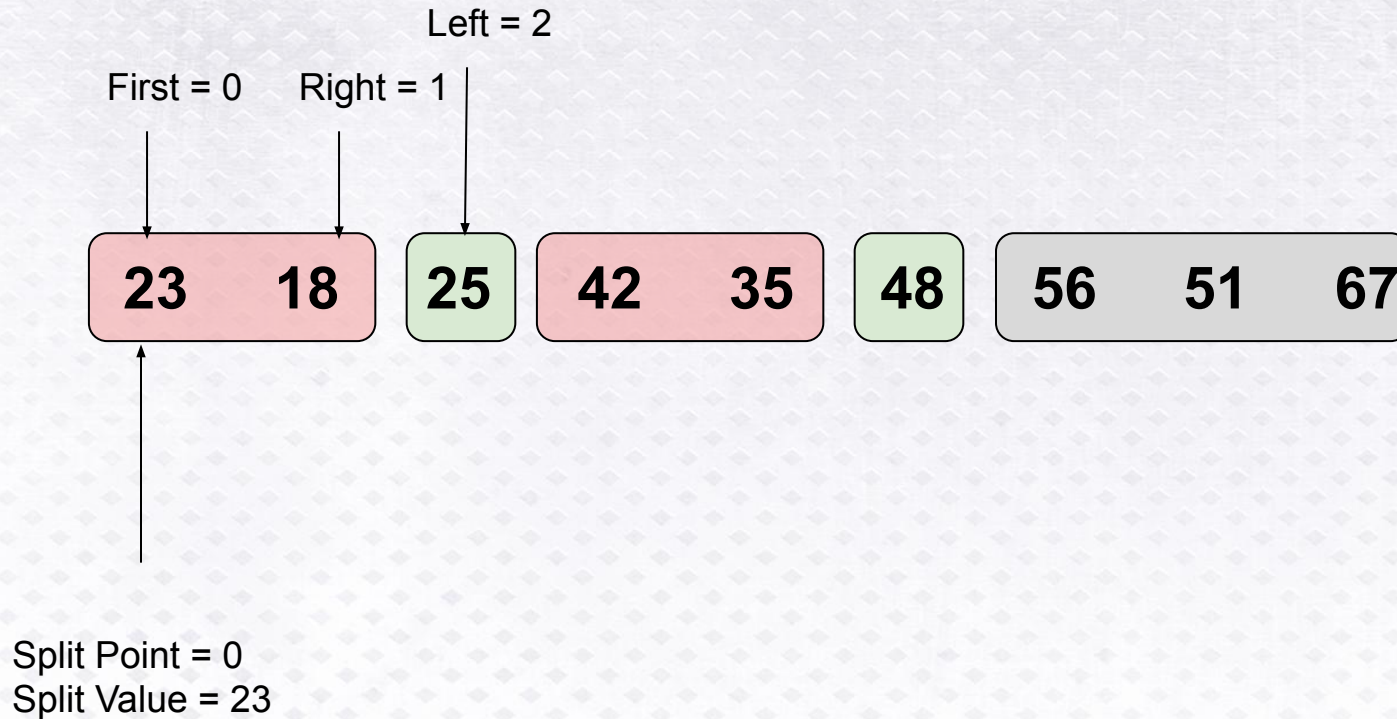
Quick Sort Worked Example



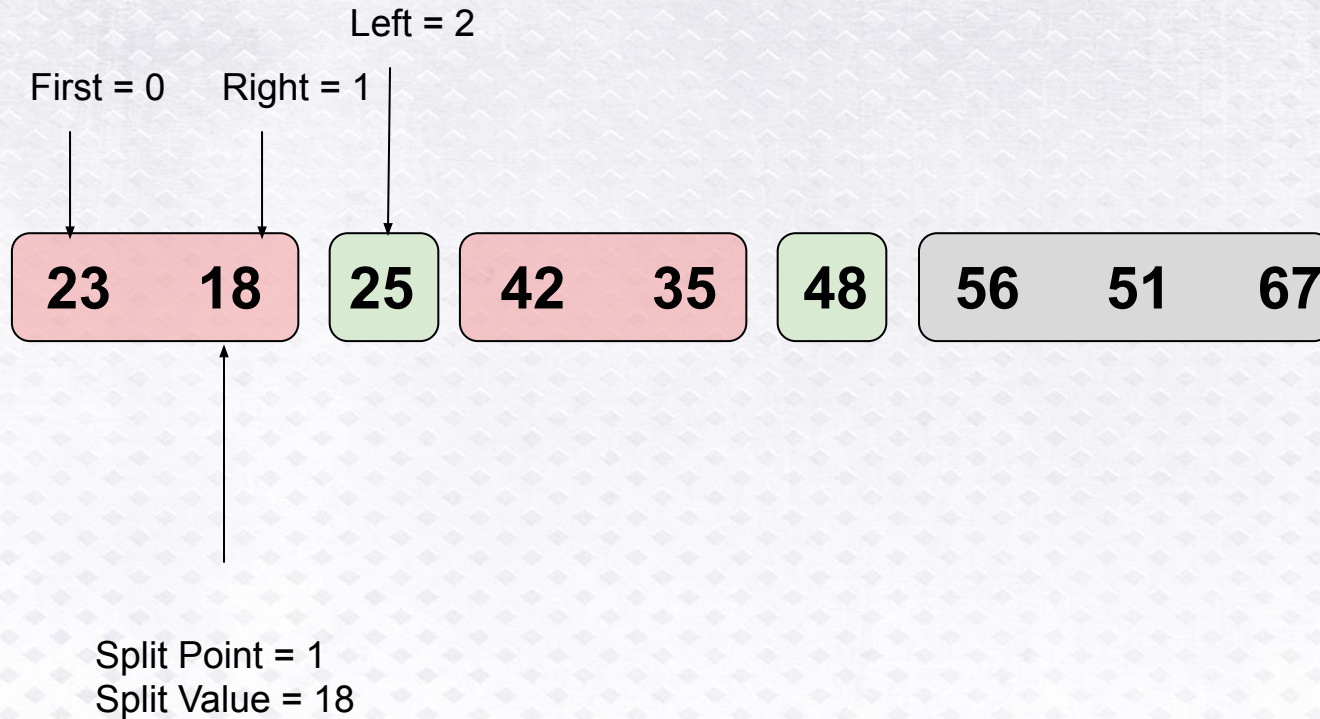
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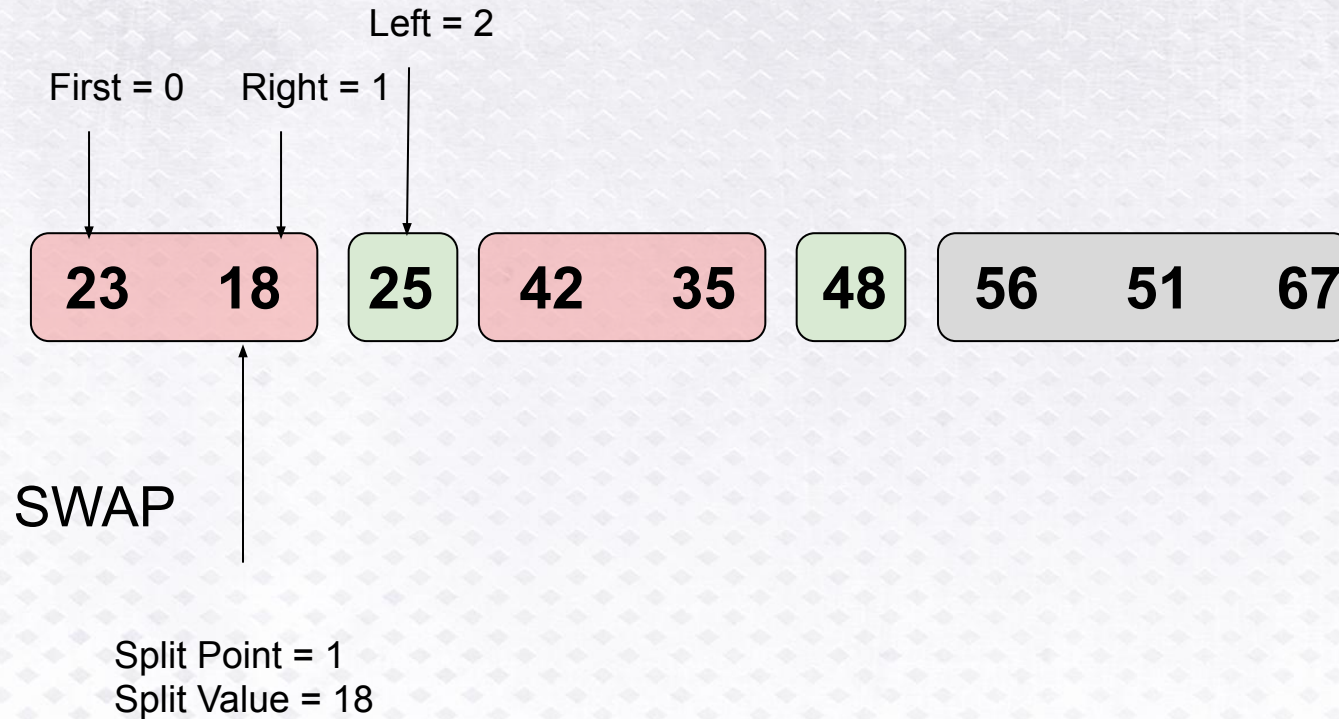
Quick Sort Worked Example



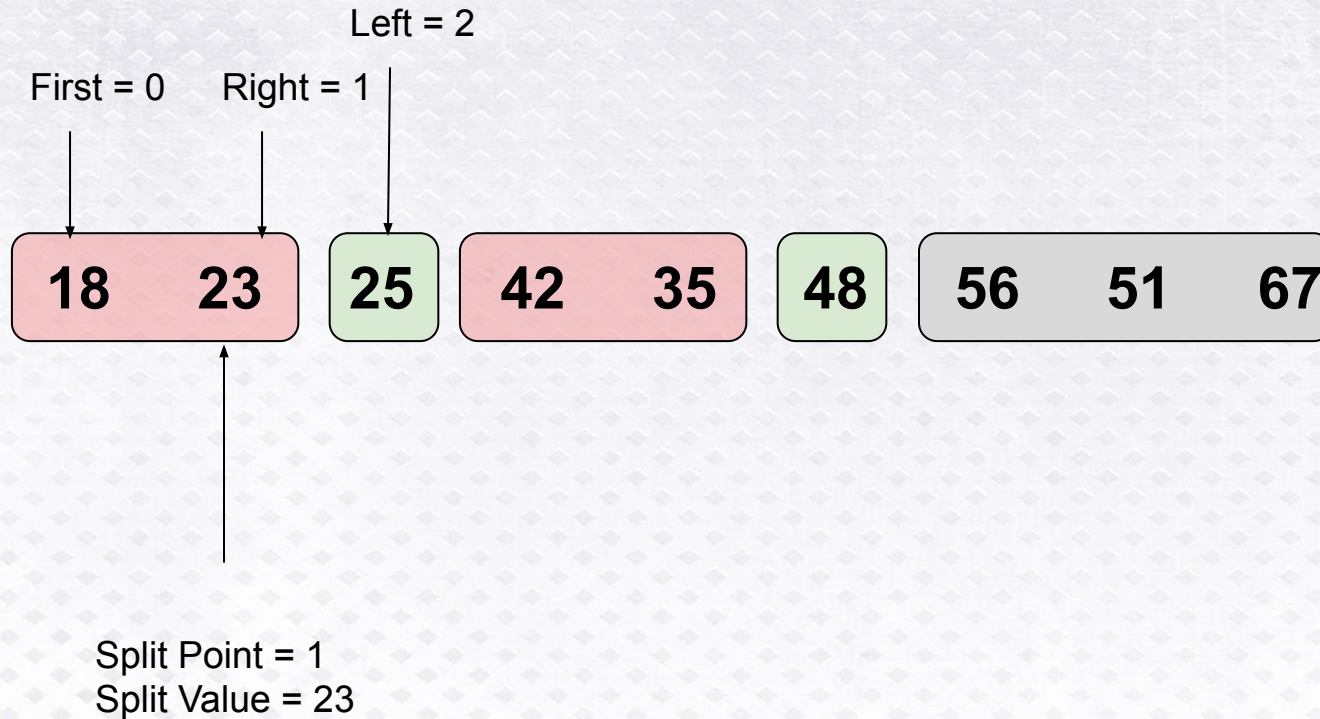
Quick Sort Worked Example



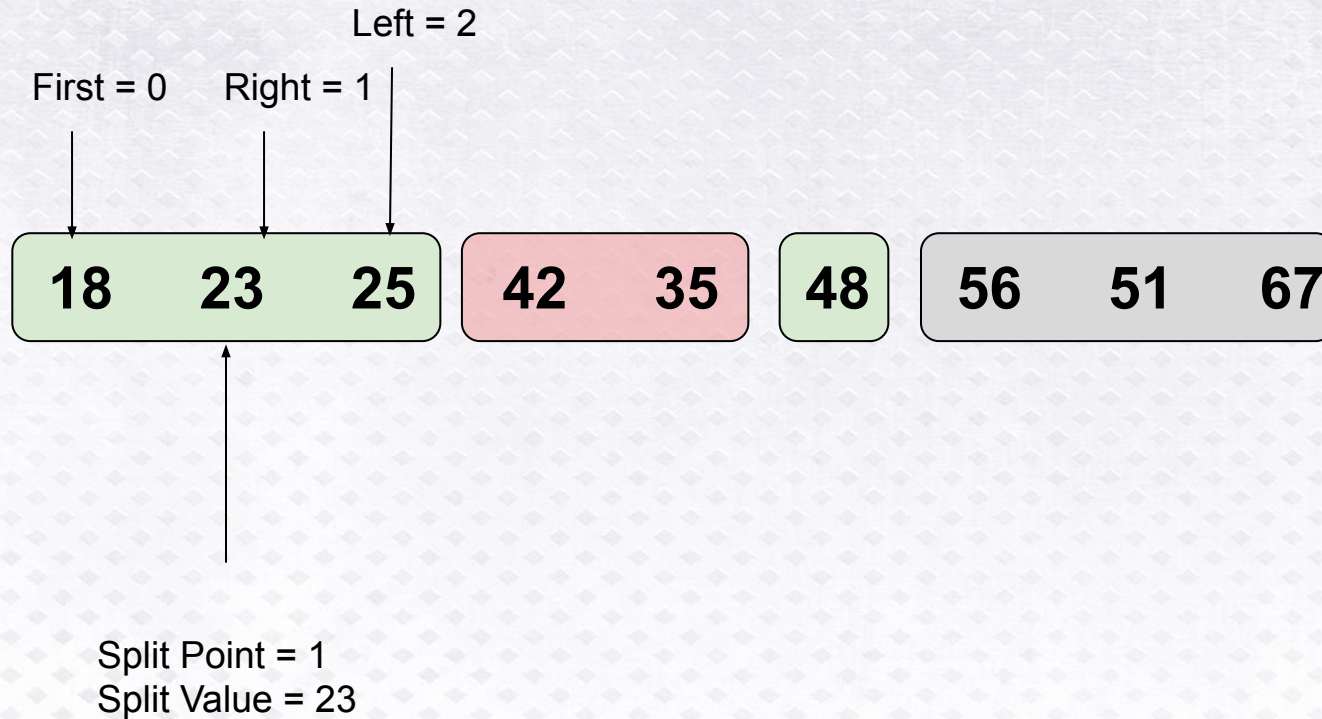
Quick Sort Worked Example



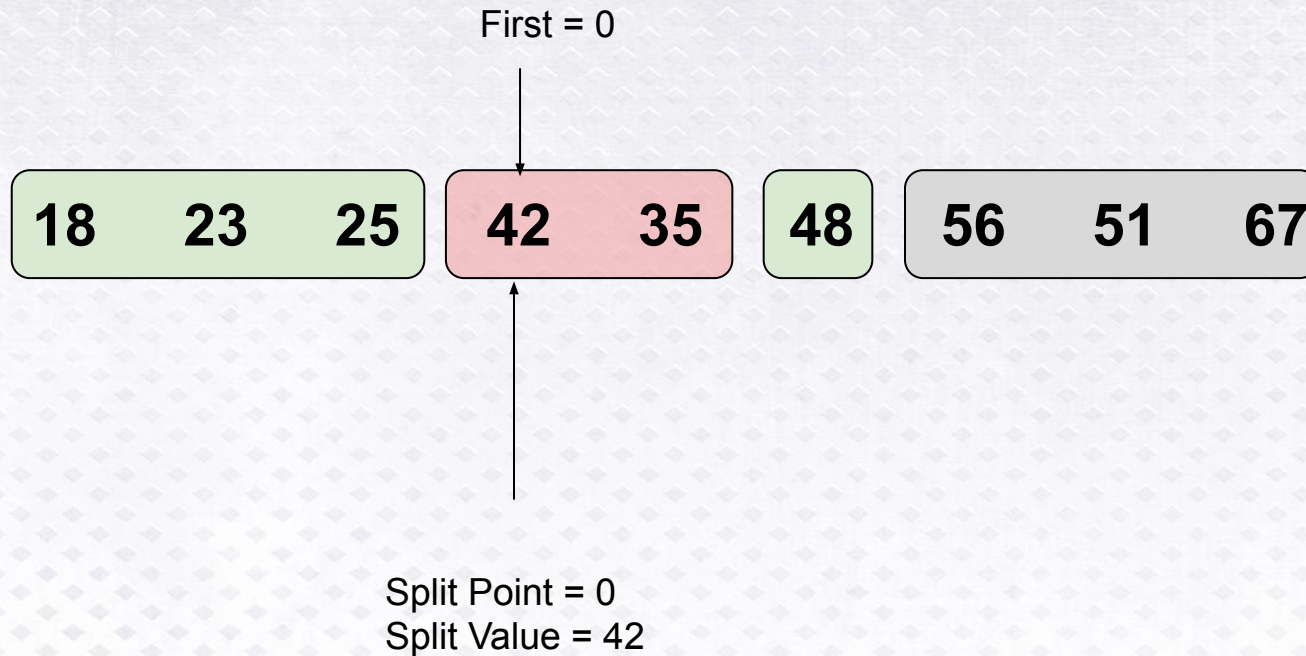
Quick Sort Worked Example



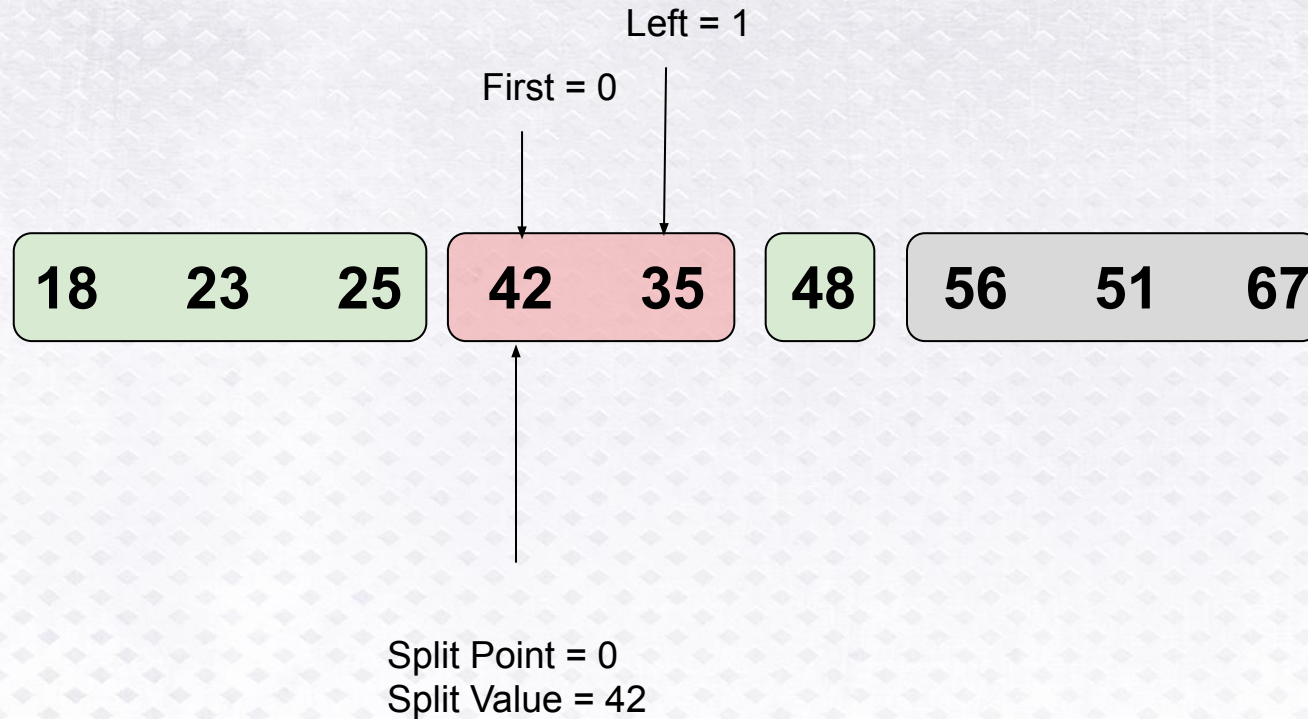
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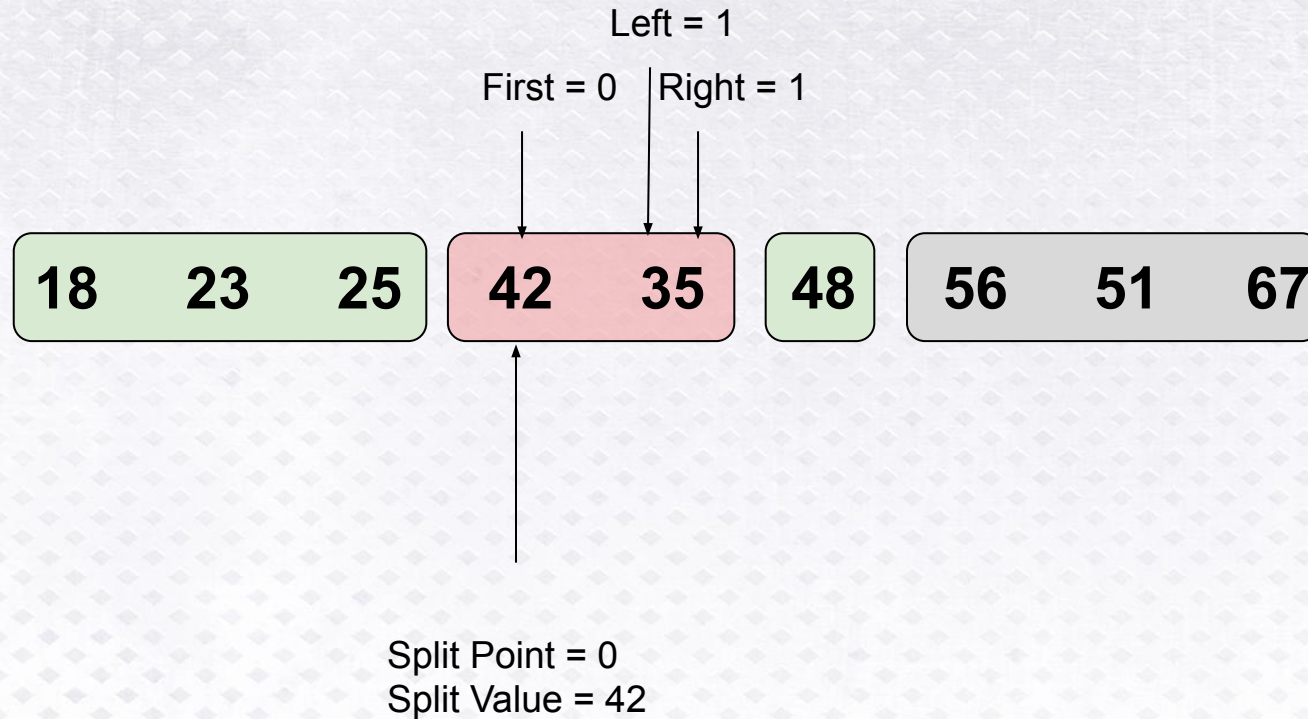
Quick Sort Worked Example



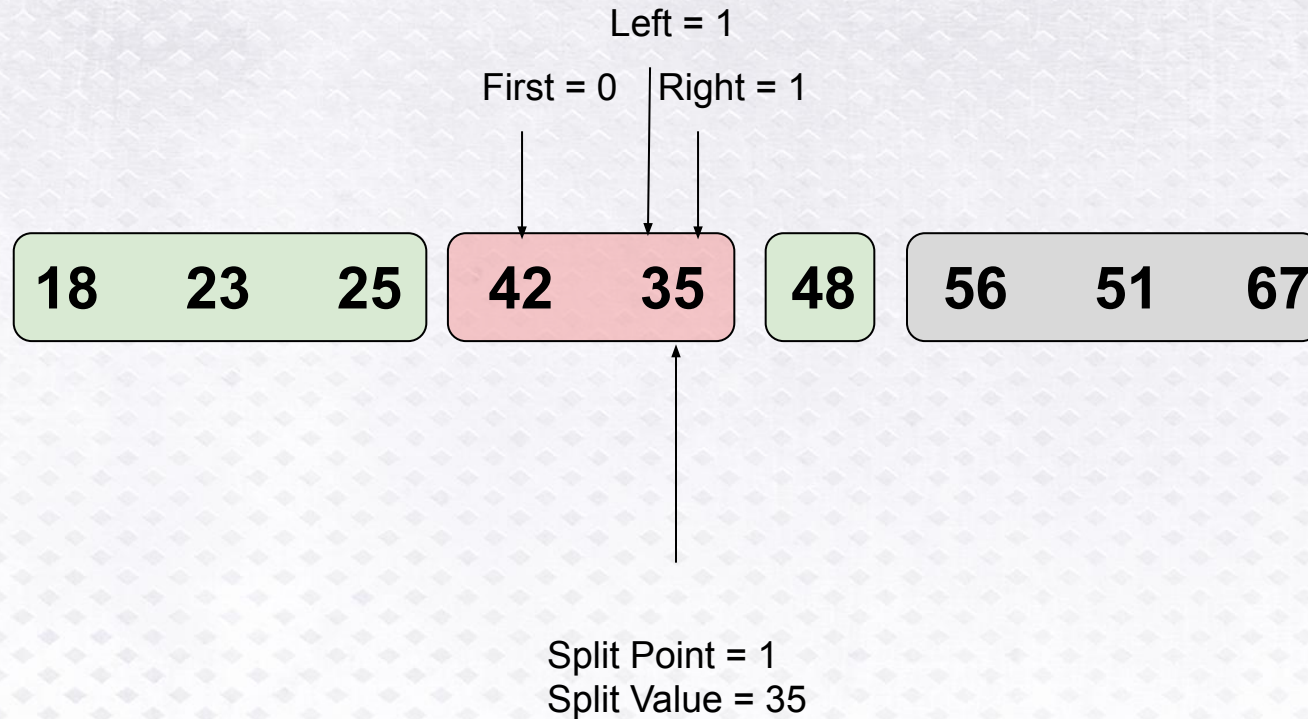
Quick Sort Worked Example



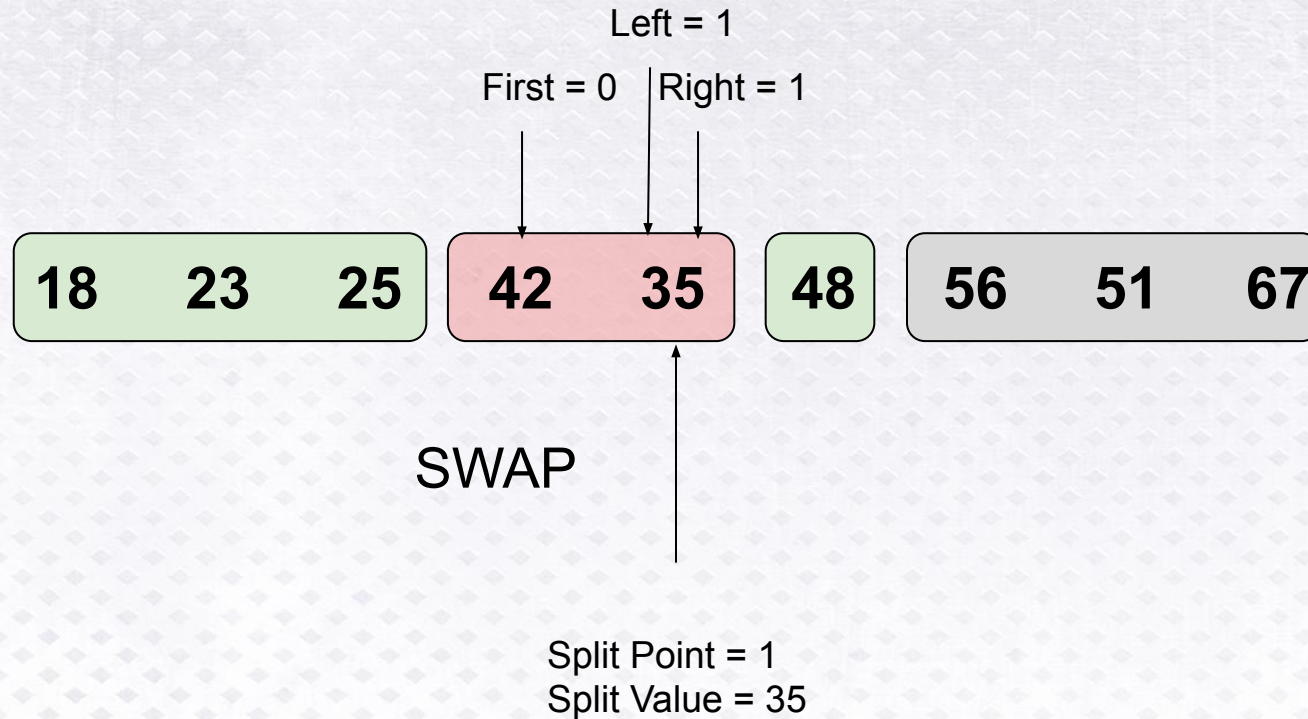
Quick Sort Worked Example



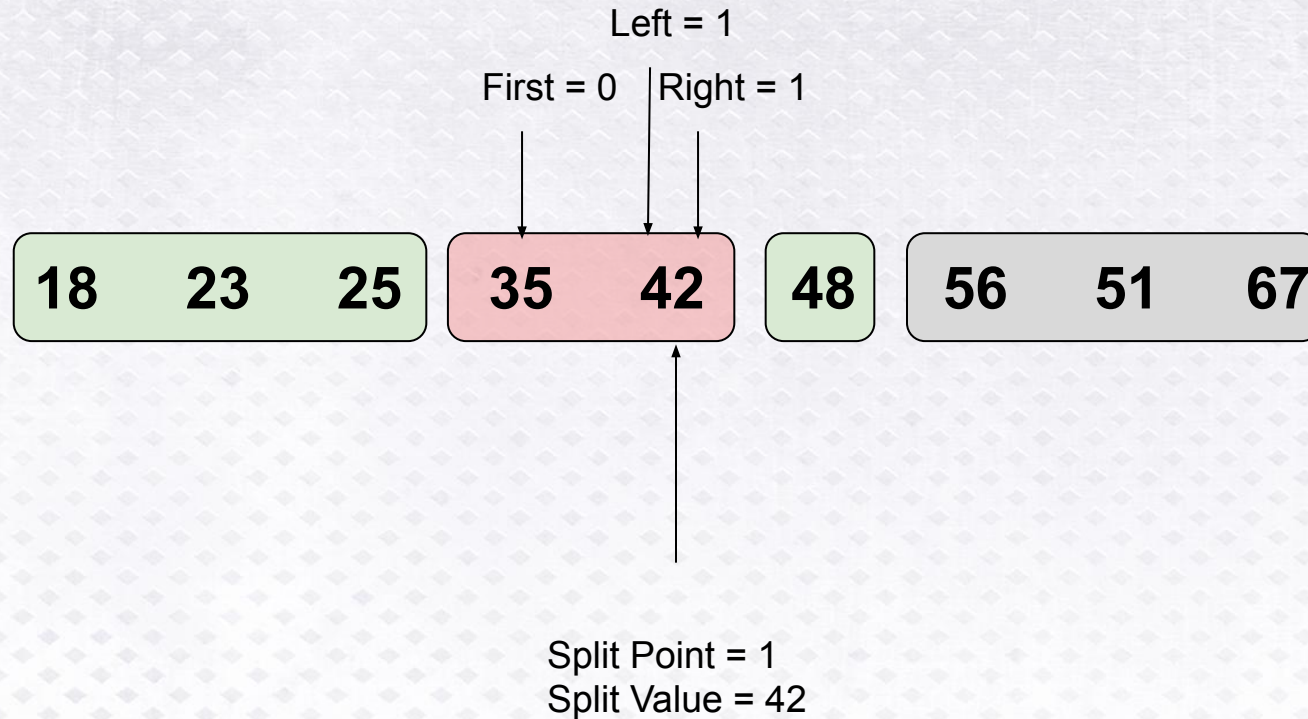
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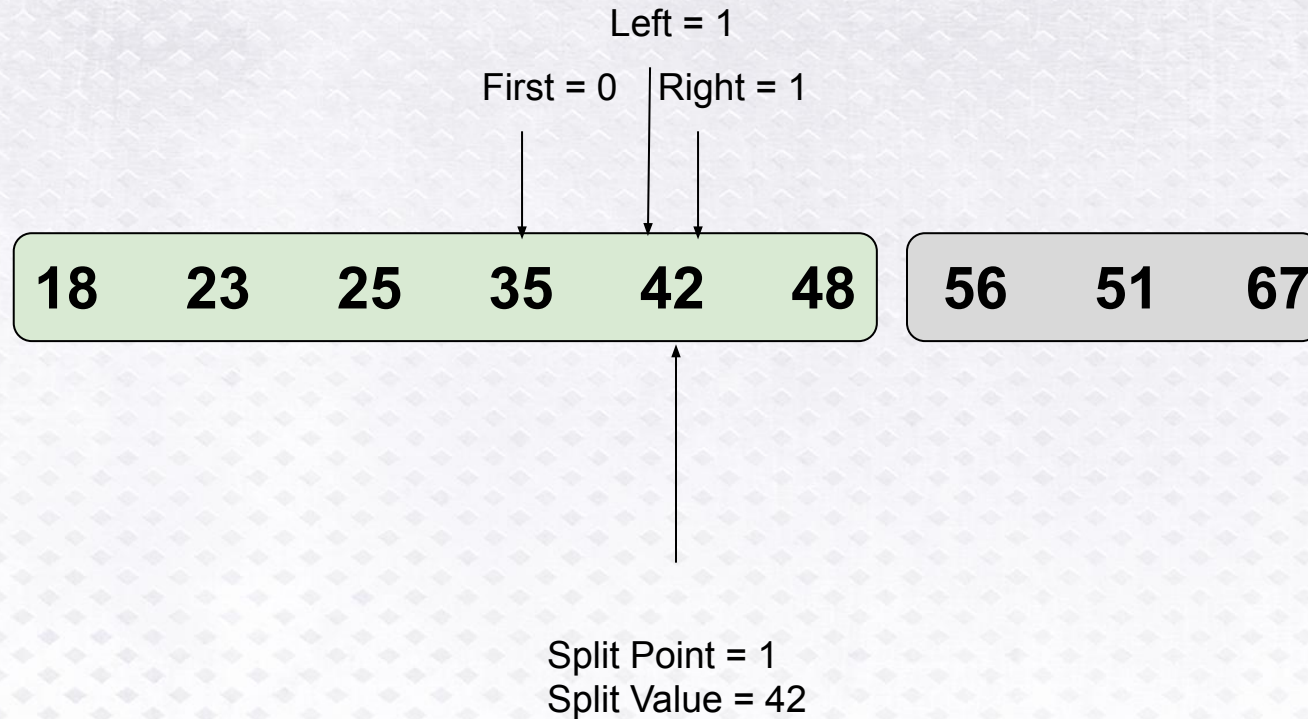
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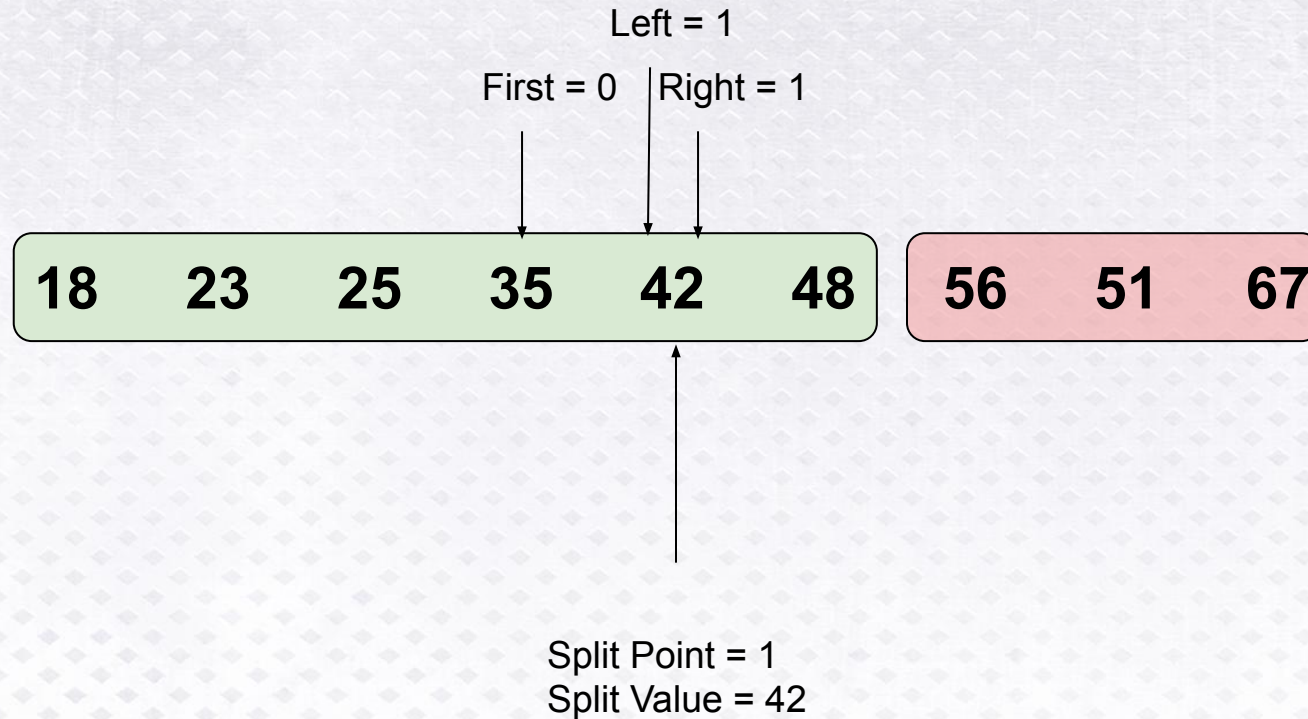
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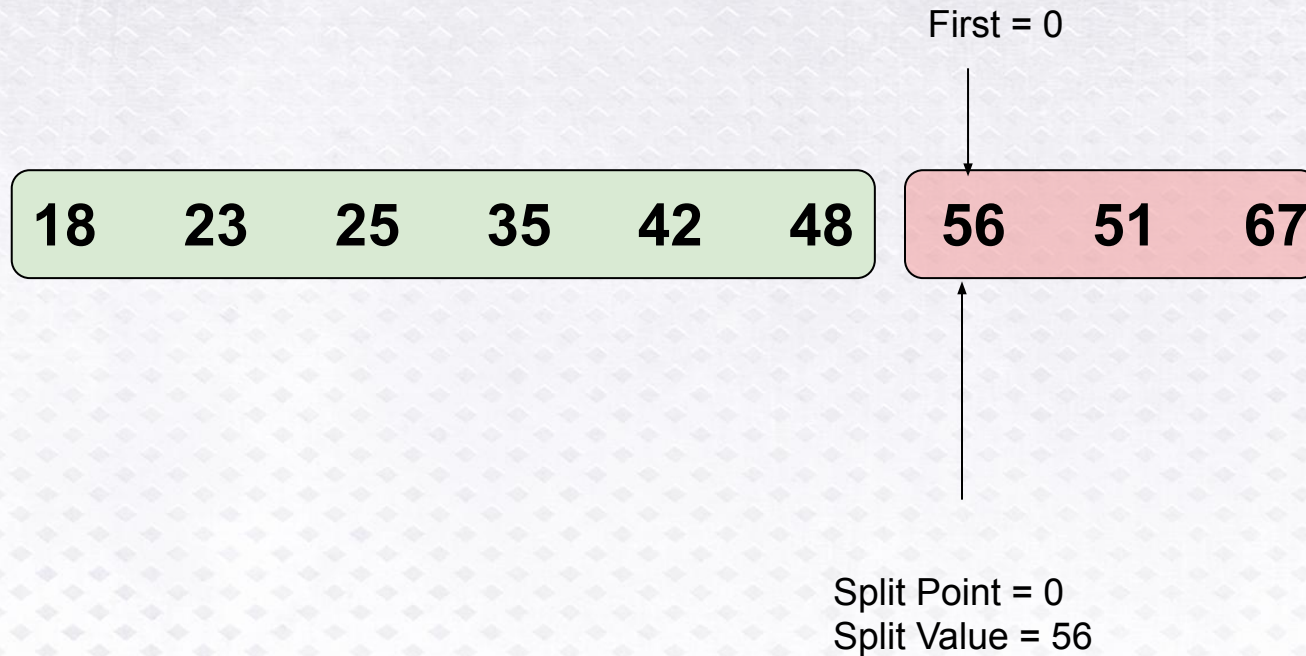
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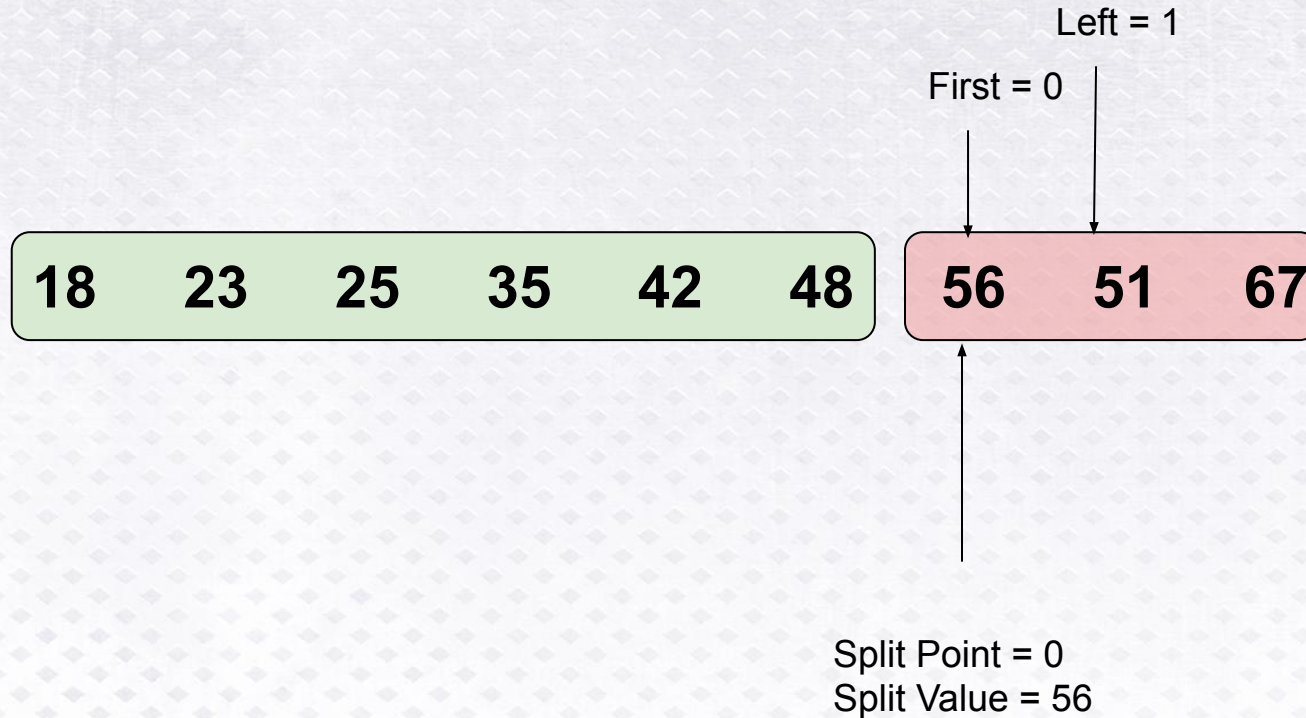
Quick Sort Worked Example



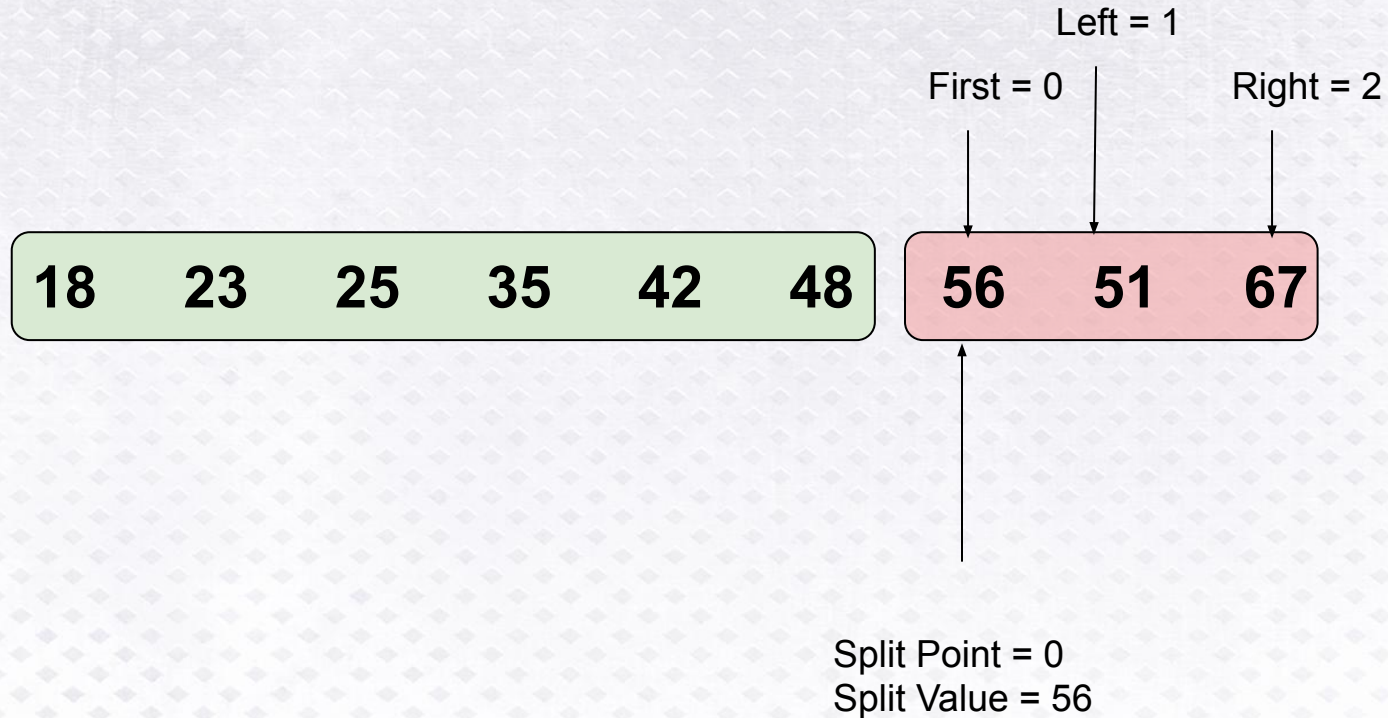
Quick Sort Worked Example



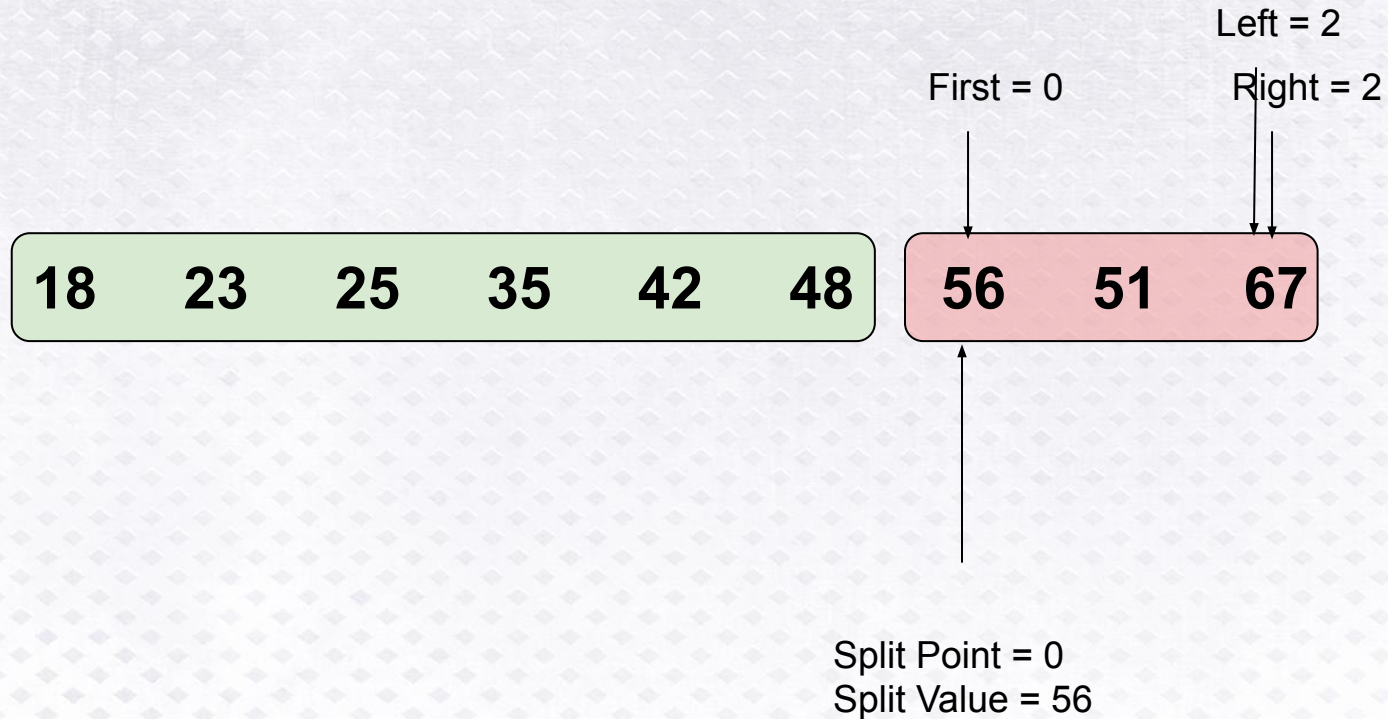
Quick Sort Worked Example



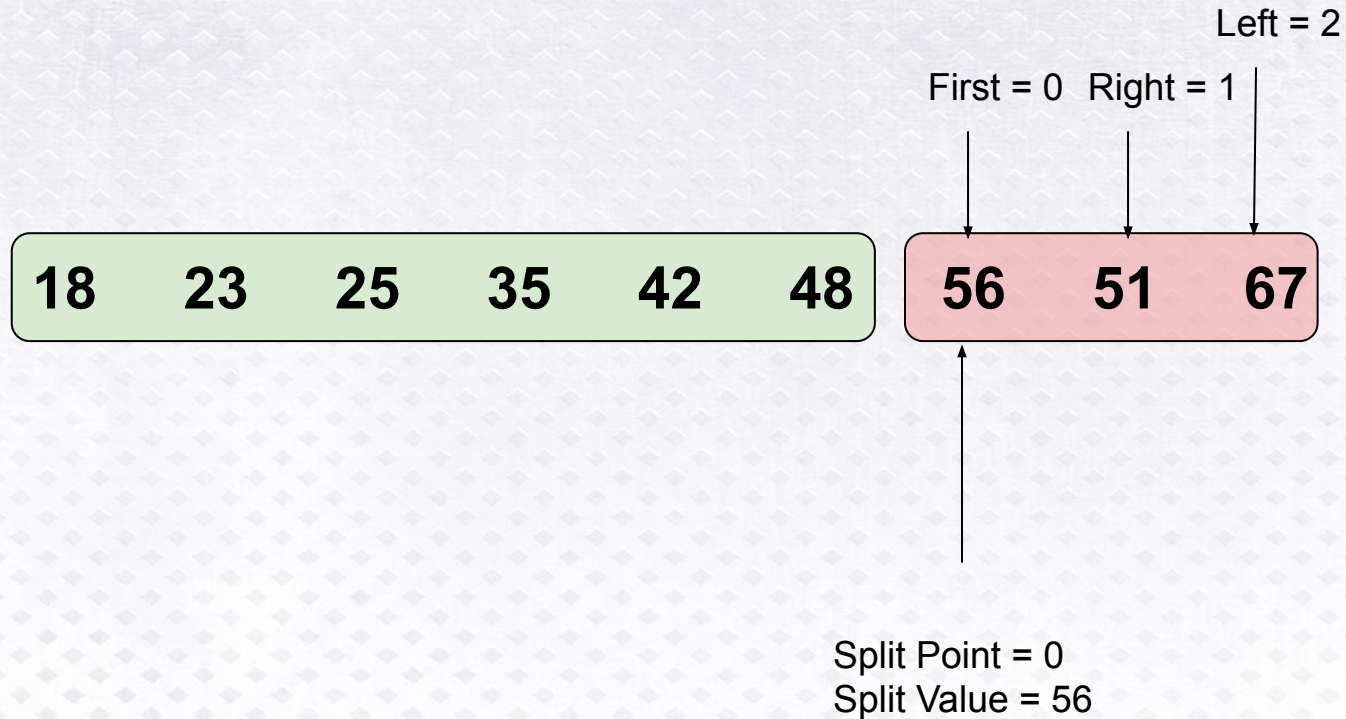
Quick Sort Worked Example



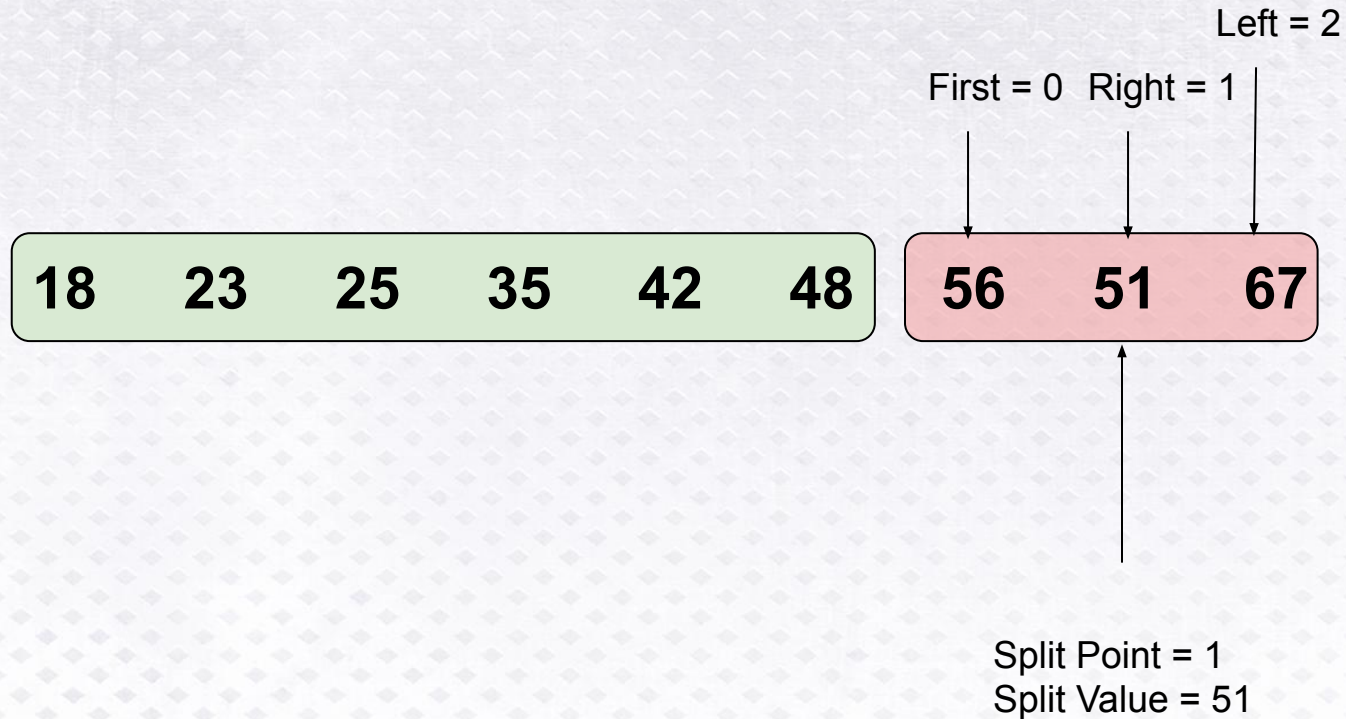
Quick Sort Worked Example



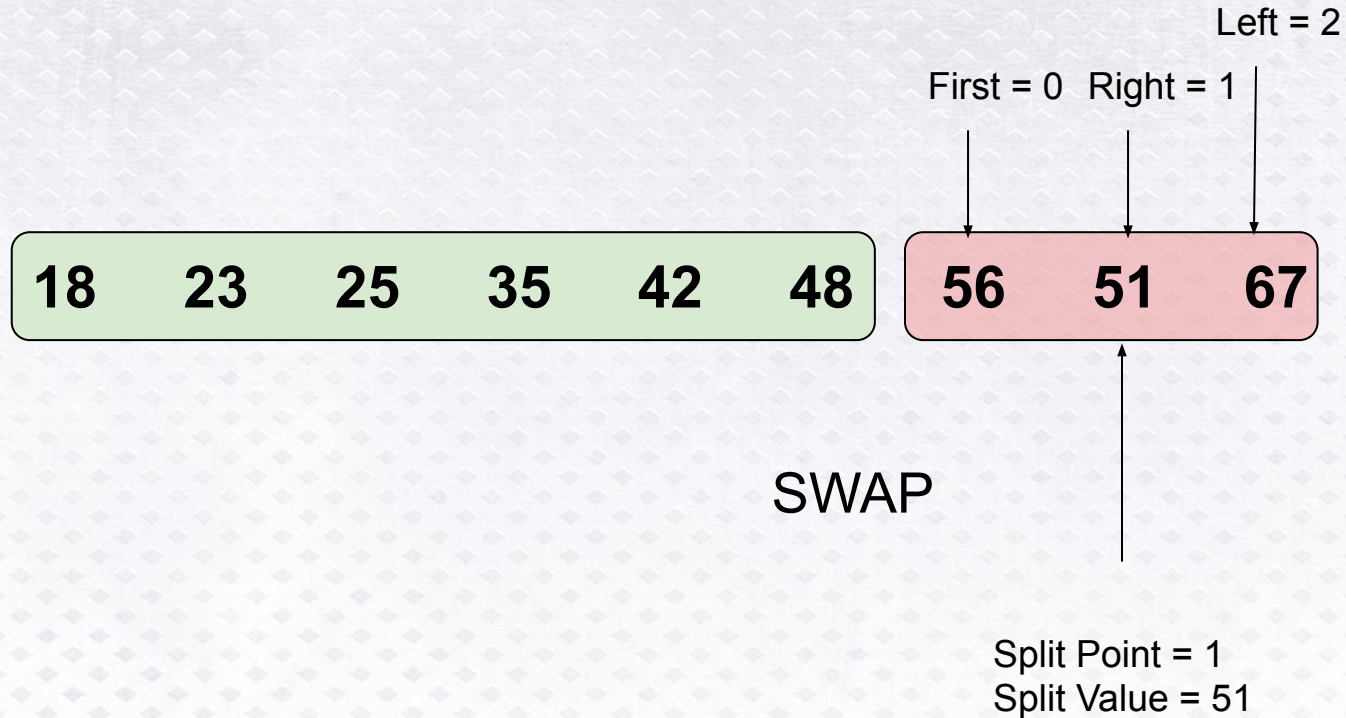
Quick Sort Worked Example



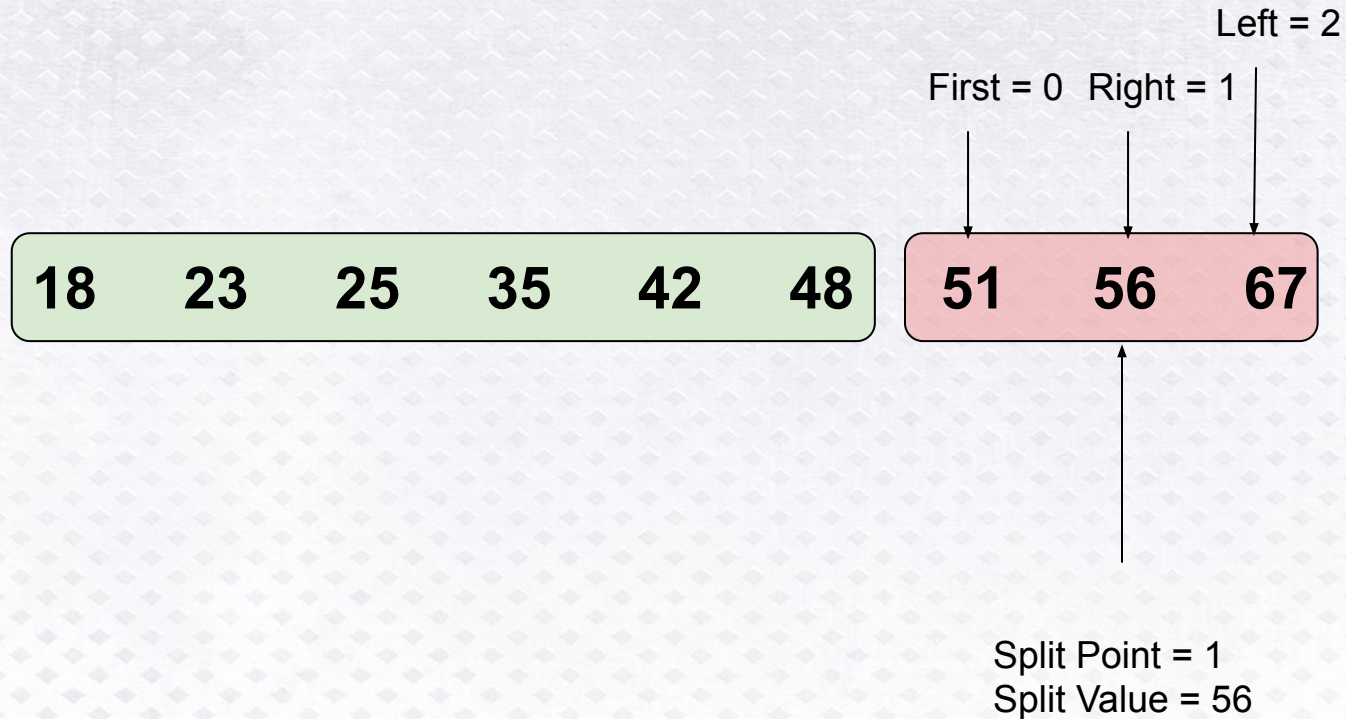
Quick Sort Worked Example



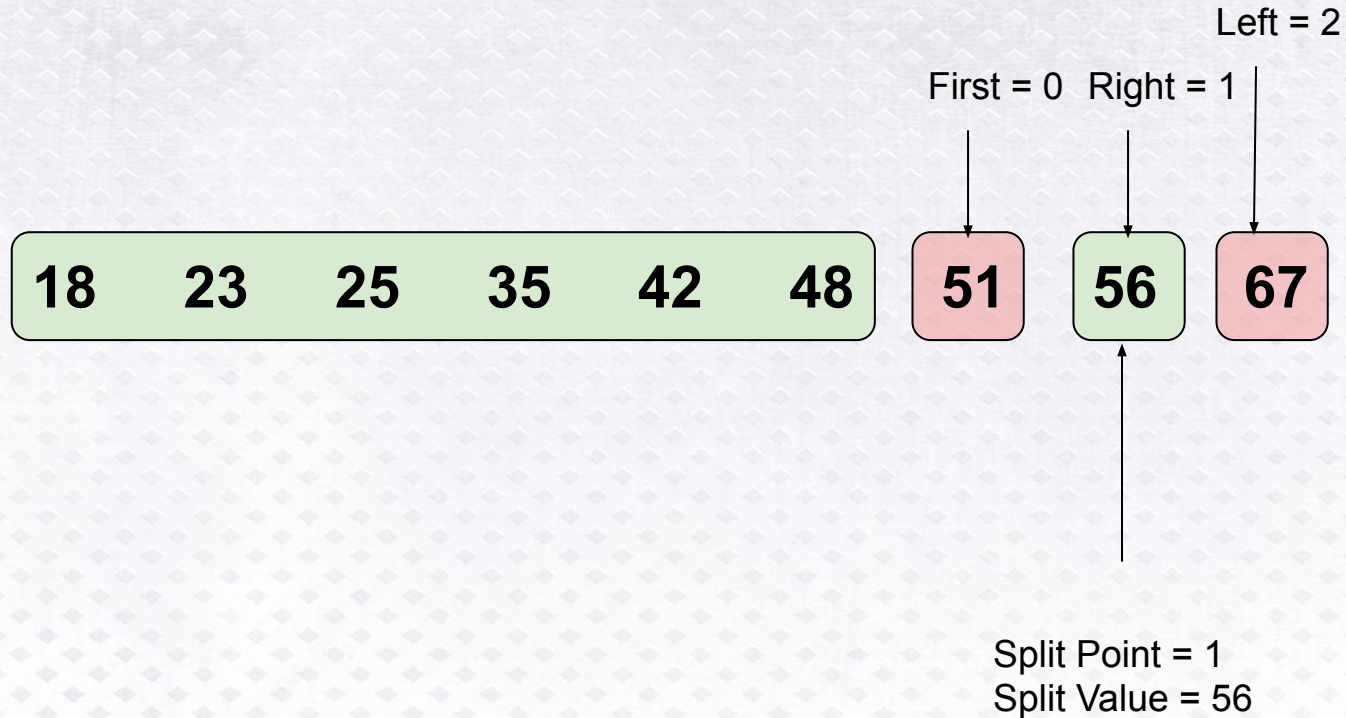
Quick Sort Worked Example



Quick Sort Worked Example



Quick Sort Worked Example



Quick Sort Worked Example

18 23 25 35 42 48 51 56 67

Complexity of Quicksort

In an ideal situation quicksort will split the list in half every time so its complexity will be the same as merge sort $O(n \log(n))$

But it is better than merge sort as it sorts in place (no extra array)

In the worst case it may only sort one value at a time and degenerate into an $O(n^2)$ algorithm

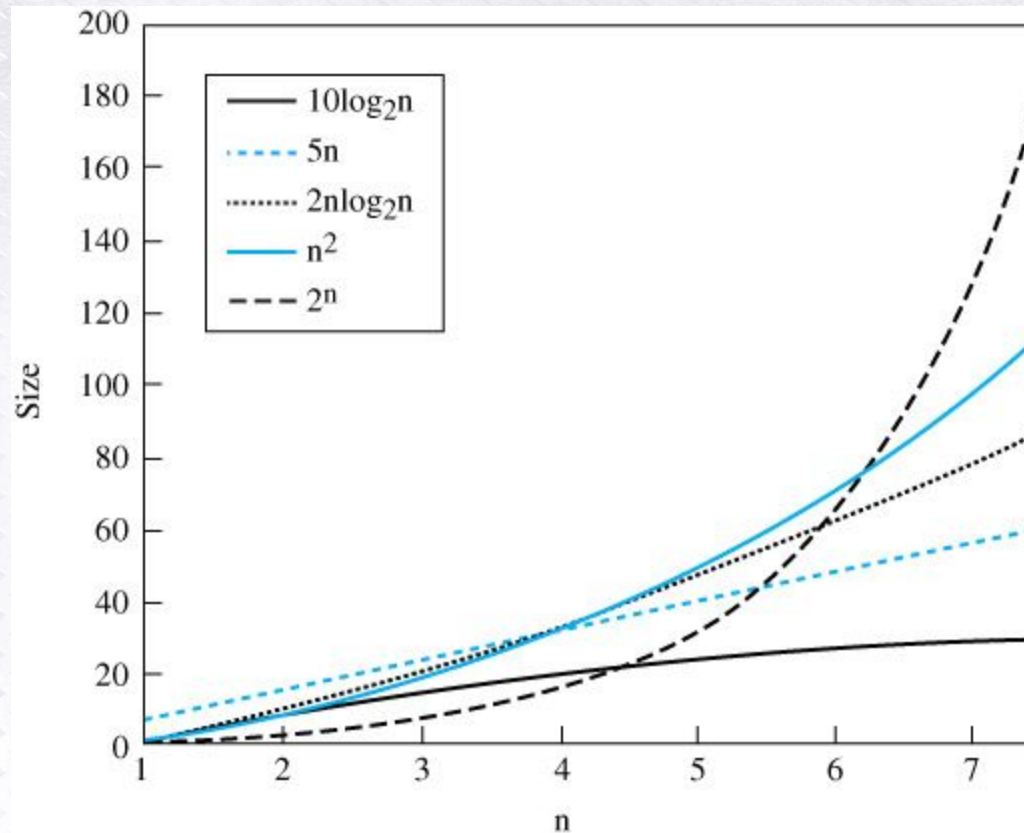
Comparison of Sorting Methods

- **Selection Sort**
 - *Time $O(n^2)$; exchanges $O(n)$*
- **Bubble Sort**
 - *Time $O(n^2)$; exchanges max $O(n^2)$*
 - *But can be modified to be much better with almost sorted data*
- **Insertion Sort**
 - *Time $O(n^2)$; exchanges max $O(n^2)$*
 - *Can be good choice to sort data as it is generated*

Comparison of Sorting Methods

- *Merge Sort*
 - *Time $O(n \log(n))$; exchanges $O(n)$, but requires $2n$ space*
- *Quicksort*
 - *Time (best) $O(n \log(n))$; exchanges ??*
 - *Time can be $O(n^2)$ in worst case*

Comparison of Growth Curves



Important Threads

Information Hiding

The practice of hiding the details of a module with the goal of controlling access to it

Abstraction

A model of a complex system that includes only the details essential to the viewer

Information Hiding and Abstraction are two sides of the same coin

Important Threads

Data abstraction

Separation of the logical view of data from their implementation

Procedural abstraction

Separation of the logical view of actions from their implementation

Control abstraction

Separation of the logical view of a control structure from its implementation

Important Threads

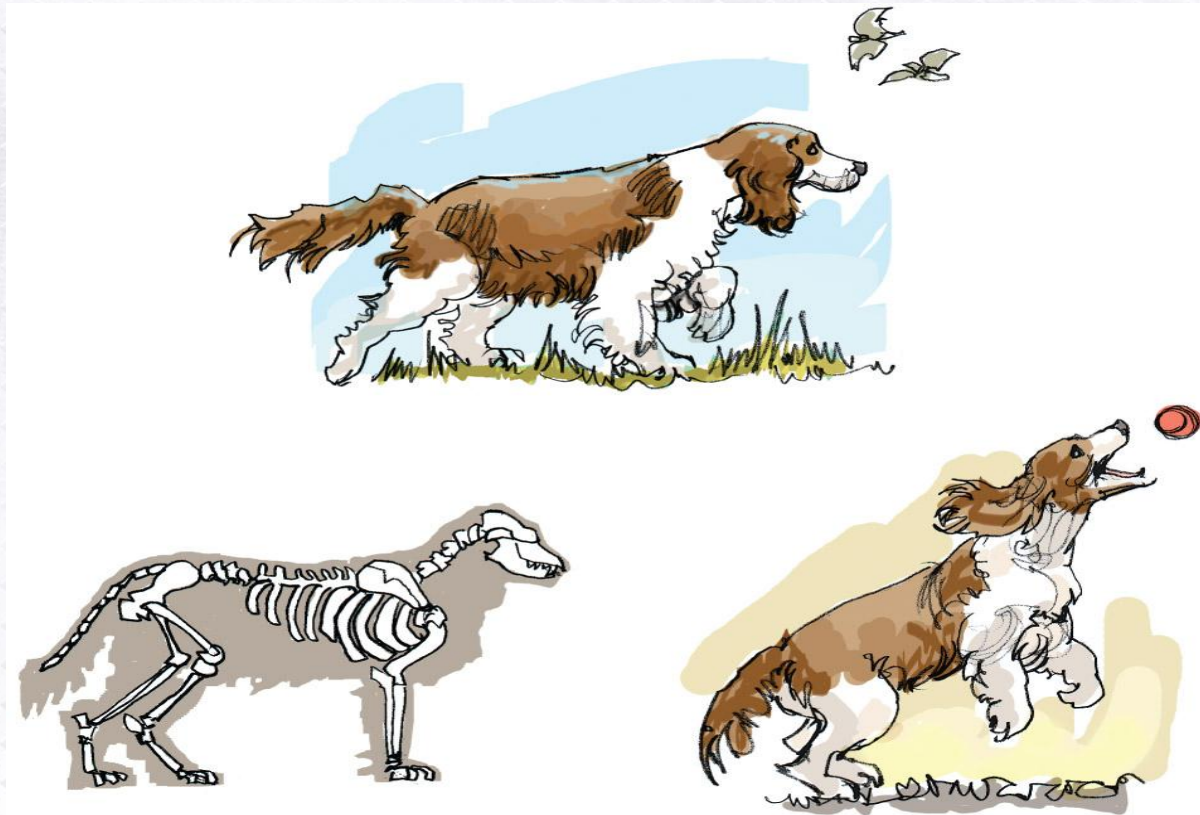
Identifiers

Names given to data and actions, by which

- we access the data and*
Read firstName, Set count to count + 1
- execute the actions*
Split(splitVal)

Giving names to data and actions is a form of abstraction

Important Threads



Abstraction is the most powerful tool people have for managing complexity!

Ethical Issues

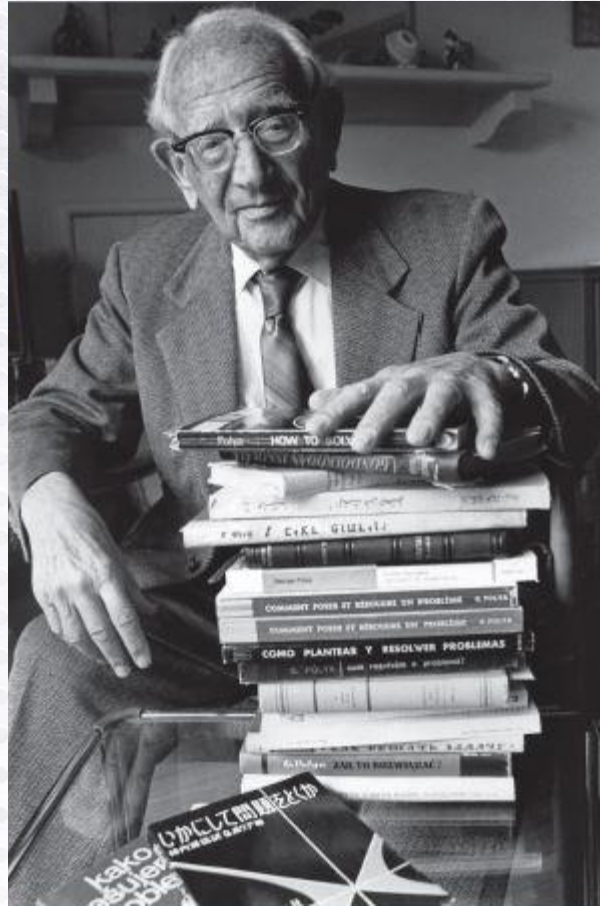
Open-Source Software Development

What are the advantages and disadvantages of open-source software?

What does the success of Linux suggest about the future of open-source software?

Should open-source software be licensed and subject to standard copyright laws?

Who am I?



© AP Photos

I am a
mathematician

Why is my picture in a book about computer science?

Do you know?



What writing system did the Rosetta stone serve as a key to translating?

What did the National Intellectual Property Rights Coordination Center warn the American people about in 2013?

What is piggybacking? Is it ethical?

What parallels are there between philosophy and object oriented software engineering?