

Cardinalities related to formal languages

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Cardinality recap

Definition

We write $|X| \leq |Y|$ if there is a surjection from Y to X , and $|X| = |Y|$ if there is a bijection from X to Y .

$|X|$ is called the *cardinality* of the set X .

- ▶ For any two sets, $|X| \leq |Y|$ or $|Y| \leq |X|$.
- ▶ If $|X| \leq |Y|$ and $|Y| \leq |X|$, then $|X| = |Y|$.
- ▶ If $|X| \leq |Y|$ and $|Y| \leq |Z|$, then $|X| \leq |Z|$,

We usually write $|X| = n$ rather than $|X| = |\mathbf{n}|$.

More terminology

- ▶ We call X *countable* if there is a surjection $s : \mathbb{N} \rightarrow X$,
(Otherwise it is called *uncountable*.)
- ▶ A set X is *infinite* if there is an injection $\iota : \mathbb{N} \rightarrow X$.
- ▶ The cardinality of \mathbb{N} is called \aleph_0 (read \aleph_0).

The continuums hypothesis (detour)

With \aleph_1 we denote the second-smallest infinite cardinality.

Theorem (Gödel and Cohen)

The usual axioms for mathematics neither prove that $|\mathbb{R}| = \aleph_1$ nor do they prove that $|\mathbb{R}| \neq \aleph_1$.

So it is impossible to tell whether there is a set having more elements than the natural numbers, but fewer than the reals (in terms of cardinality).

How big are formal languages? How many are there?

Proposition

For a non-empty finite alphabet Σ it holds that $|\Sigma^| = |\mathbb{N}|$.*

Corollary

Every formal language is countable.

Corollary

For a non-empty finite alphabet Σ it holds that

$$|\mathcal{P}(\Sigma^*)| = |\mathcal{P}(\mathbb{N})| > |\mathbb{N}|.$$

Read: There are uncountably many formal languages.

How many grammars are there?

Proposition

For a finite alphabet Σ , there are countably many grammars (up to renaming of non-terminal symbols).

Corollary

There are (way) more formal languages than there are grammars.

Corollary

There are formal languages not describable by any grammar.