

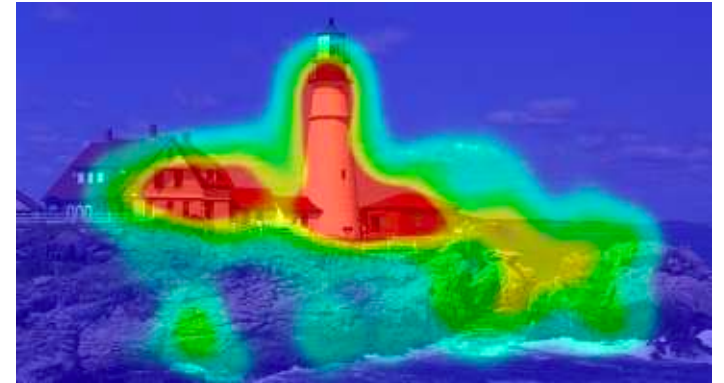
# CS480/680

## Lecture 19: July 10, 2019

Attention and Transformer Networks  
[Vaswani et al., Attention is All You  
Need, *NeurIPS*, 2017]

# Attention

- Attention in Computer Vision
  - 2014: Attention used to highlight important parts of an image that contribute to a desired output
- Attention in NLP
  - 2015: Aligned machine translation
  - 2017: Language modeling with **Transformer networks**



# Sequence Modeling

## Challenges with RNNs

- Long range dependencies
- Gradient vanishing and explosion
- Large # of training steps
- Recurrence prevents parallel computation

## Transformer Networks

- Facilitate long range dependencies
- No gradient vanishing and explosion
- Fewer training steps
- No recurrence that facilitate parallel computation

# Attention Mechanism

- Mimics the retrieval of a **value**  $v_i$  for a **query**  $q$  based on a **key**  $k_i$  in database
- Picture

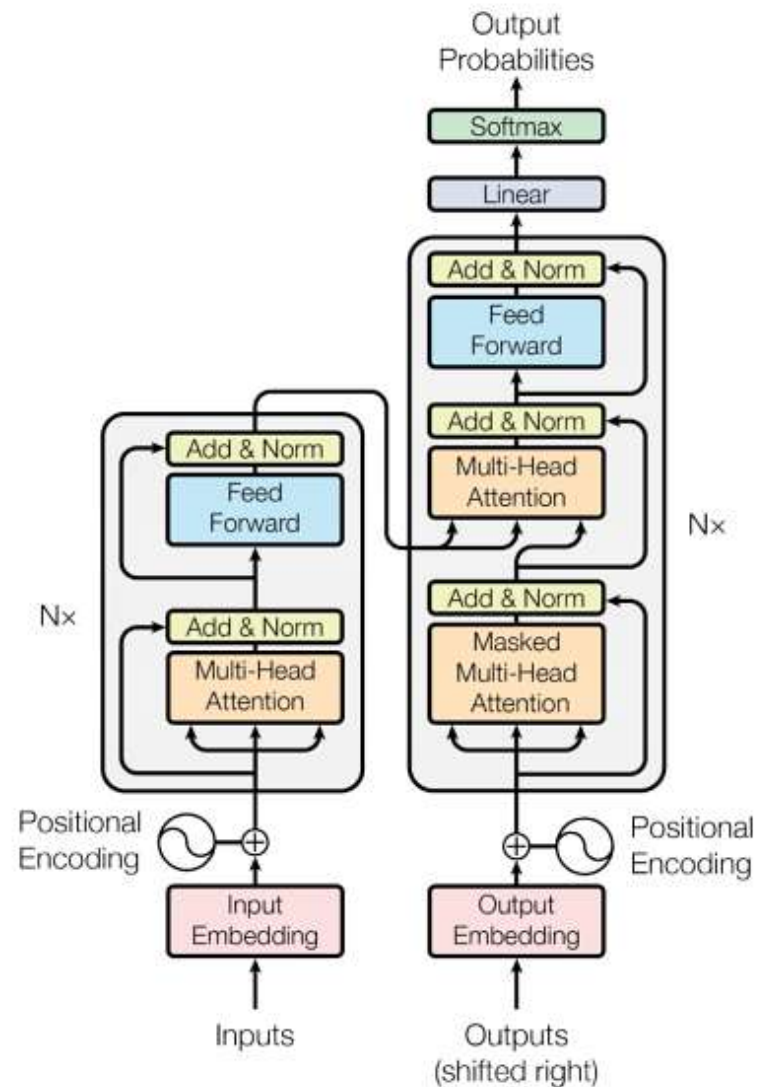
$$attention(q, \mathbf{k}, \mathbf{v}) = \sum_i similarity(q, k_i) \times v_i$$

# Attention Mechanism

- Neural architecture
- Example: machine translation
  - Query:  $s_{i-1}$  (hidden vector for  $i - 1^{th}$  output word)
  - Key:  $h_j$  (hidden vector for  $j^{th}$  input word)
  - Value:  $h_j$  (hidden vector for  $j^{th}$  input word)

# Transformer Network

- Vaswani et al., (2017)  
Attention is all you need.
- Encoder-decoder based on attention (no recurrence)



# Multihead attention

- Multihead attention: compute multiple attentions per query with different weights

$$\text{multihead}(Q, K, V) = W^O \text{concat}(\text{head}_1, \text{head}_2, \dots, \text{head}_h)$$

$$\text{head}_i = \text{attention}(W_i^Q Q, W_i^K K, W_i^V V)$$

$$\text{attention}(Q, K, V) = \text{softmax}\left(\frac{Q^T K}{\sqrt{d_k}}\right) V$$

# Masked Multi-head attention

- Masked multi-head attention: multi-head where some values are masked (i.e., probabilities of masked values are nullified to prevent them from being selected).
- When decoding, an output value should only depend on previous outputs (not future outputs). Hence we mask future outputs.

$$\text{attention}(Q, K, V) = \text{softmax}\left(\frac{Q^T K}{\sqrt{d_k}}\right) V$$

$$\text{maskedAttention}(Q, K, V) = \text{softmax}\left(\frac{Q^T K + M}{\sqrt{d_k}}\right) V$$

where  $M$  is a mask matrix of 0's and  $-\infty$ 's



# Other layers

- Layer normalization:
  - Normalize values in each layer to have 0 mean and 1 variance
  - For each hidden unit  $h_i$  compute  $h_i \leftarrow \frac{g}{\sigma}(h_i - \mu)$   
where  $g$  is a variable,  $\mu = \frac{1}{H} \sum_{i=1}^H h_i$  and  $\sigma = \sqrt{\frac{1}{H} \sum_{i=1}^H (h_i - \mu)^2}$
  - This reduces “covariate shift” (i.e., gradient dependencies between each layer) and therefore fewer training iterations are needed

- Positional embedding
  - Embedding to distinguish each position

$$PE_{position,2i} = \sin(position/10000^{2i/d})$$
$$PE_{position,2i+1} = \cos(position/10000^{2i/d})$$

# Comparison

- Attention reduces sequential operations and maximum path length, which facilitates long range dependencies

Table 1: Maximum path lengths, per-layer complexity and minimum number of sequential operations for different layer types.  $n$  is the sequence length,  $d$  is the representation dimension,  $k$  is the kernel size of convolutions and  $r$  the size of the neighborhood in restricted self-attention.

Layer Type	Complexity per Layer	Sequential Operations	Maximum Path Length
Self-Attention	$O(n^2 \cdot d)$	$O(1)$	$O(1)$
Recurrent	$O(n \cdot d^2)$	$O(n)$	$O(n)$
Convolutional	$O(k \cdot n \cdot d^2)$	$O(1)$	$O(\log_k(n))$
Self-Attention (restricted)	$O(r \cdot n \cdot d)$	$O(1)$	$O(n/r)$

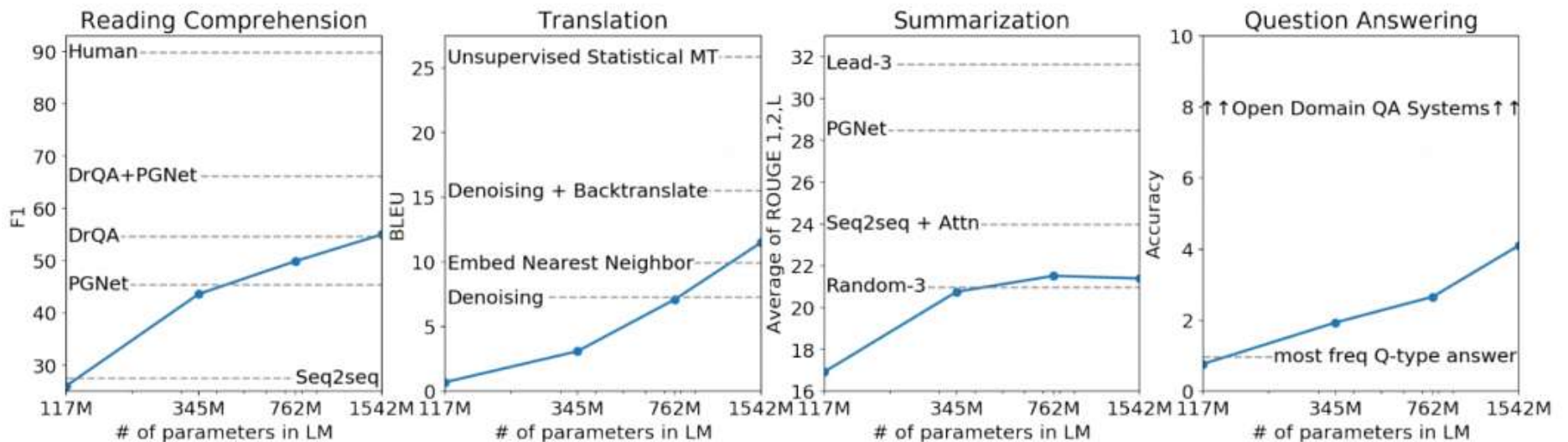
# Results

Table 2: The Transformer achieves better BLEU scores than previous state-of-the-art models on the English-to-German and English-to-French newstest2014 tests at a fraction of the training cost.

Model	BLEU		Training Cost (FLOPs)	
	EN-DE	EN-FR	EN-DE	EN-FR
ByteNet [15]	23.75			
Deep-Att + PosUnk [32]		39.2		$1.0 \cdot 10^{20}$
GNMT + RL [31]	24.6	39.92	$2.3 \cdot 10^{19}$	$1.4 \cdot 10^{20}$
ConvS2S [8]	25.16	40.46	$9.6 \cdot 10^{18}$	$1.5 \cdot 10^{20}$
MoE [26]	26.03	40.56	$2.0 \cdot 10^{19}$	$1.2 \cdot 10^{20}$
Deep-Att + PosUnk Ensemble [32]		40.4		$8.0 \cdot 10^{20}$
GNMT + RL Ensemble [31]	26.30	41.16	$1.8 \cdot 10^{20}$	$1.1 \cdot 10^{21}$
ConvS2S Ensemble [8]	26.36	<b>41.29</b>	$7.7 \cdot 10^{19}$	$1.2 \cdot 10^{21}$
Transformer (base model)	27.3	38.1	<b><math>3.3 \cdot 10^{18}</math></b>	
Transformer (big)	<b>28.4</b>	<b>41.0</b>	$2.3 \cdot 10^{19}$	

# GPT and GPT-2

- Radford et al., (2018) Language models are unsupervised multitask learners
  - Decoder transformer that predicts next word based on previous words by computing  $P(x_t|x_{1..t-1})$
  - SOTA in “zero-shot” setting for 7/8 language tasks (where zero-shot means no task training, only unsupervised language modeling)



# BERT (Bidirectional Encoder Representations from Transformers)

- Devlin et al., (2019) BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding
  - Decoder transformer that predicts a missing word based on surrounding words by computing  $P(x_t | x_{1..t-1, t+1..T})$
  - Mask missing word with masked multi-head attention
  - Improved state of the art on 11 tasks

System	MNLI-(m/mm) 392k	QQP 363k	QNLI 108k	SST-2 67k	CoLA 8.5k	STS-B 5.7k	MRPC 3.5k	RTE 2.5k	Average -
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.8	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	87.4	91.3	45.4	80.0	82.3	56.0	75.1
BERT <sub>BASE</sub>	84.6/83.4	71.2	90.5	93.5	52.1	85.8	88.9	66.4	79.6
BERT <sub>LARGE</sub>	<b>86.7/85.9</b>	<b>72.1</b>	<b>92.7</b>	<b>94.9</b>	<b>60.5</b>	<b>86.5</b>	<b>89.3</b>	<b>70.1</b>	<b>82.1</b>