

Assignment 2 — Algorithmic Analysis and Peer Code Review

Algorithm: *Heap Sort*

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Repository: *github.com/mathalama/daa-aitu-2*

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1. Algorithm Overview

Heap Sort builds a **max heap** and repeatedly extracts the maximum element to sort the array. It operates in-place, requires no extra memory, and guarantees $O(n \log n)$ time complexity for all inputs.

Steps:

1. Build a max heap in $O(n)$.
2. Swap the root (maximum) with the last element.
3. Reduce the heap size and restore the heap property (*heapify*).
4. Repeat until one element remains.

Characteristics:

- In-place ($O(1)$ extra space)
- Not stable
- Deterministic performance independent of input order

Limitations / Weaknesses

- Poor cache locality due to non-sequential access.
 - Recursive *heapify()* increases stack depth; iterative form preferred.
 - Slightly higher constant factors than Quick Sort.
 - No performance gain on nearly sorted arrays.
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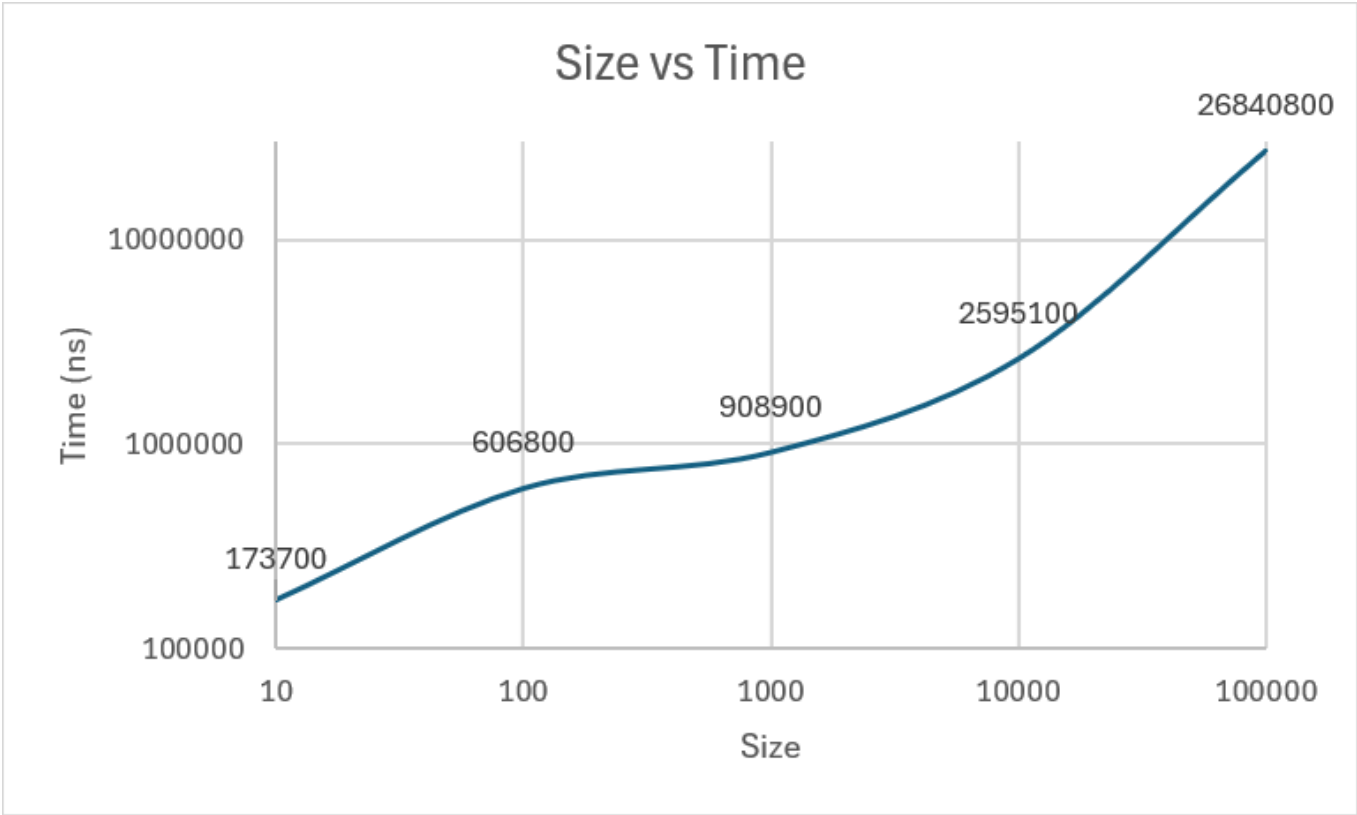
2. Complexity Analysis

Case	Time Complexity	Space Complexity	Notes
Best	$O(n \log n)$	$O(1)$	Build heap + full extraction
Average	$O(n \log n)$	$O(1)$	Independent of input order
Worst	$O(n \log n)$	$O(1)$	Guaranteed bound

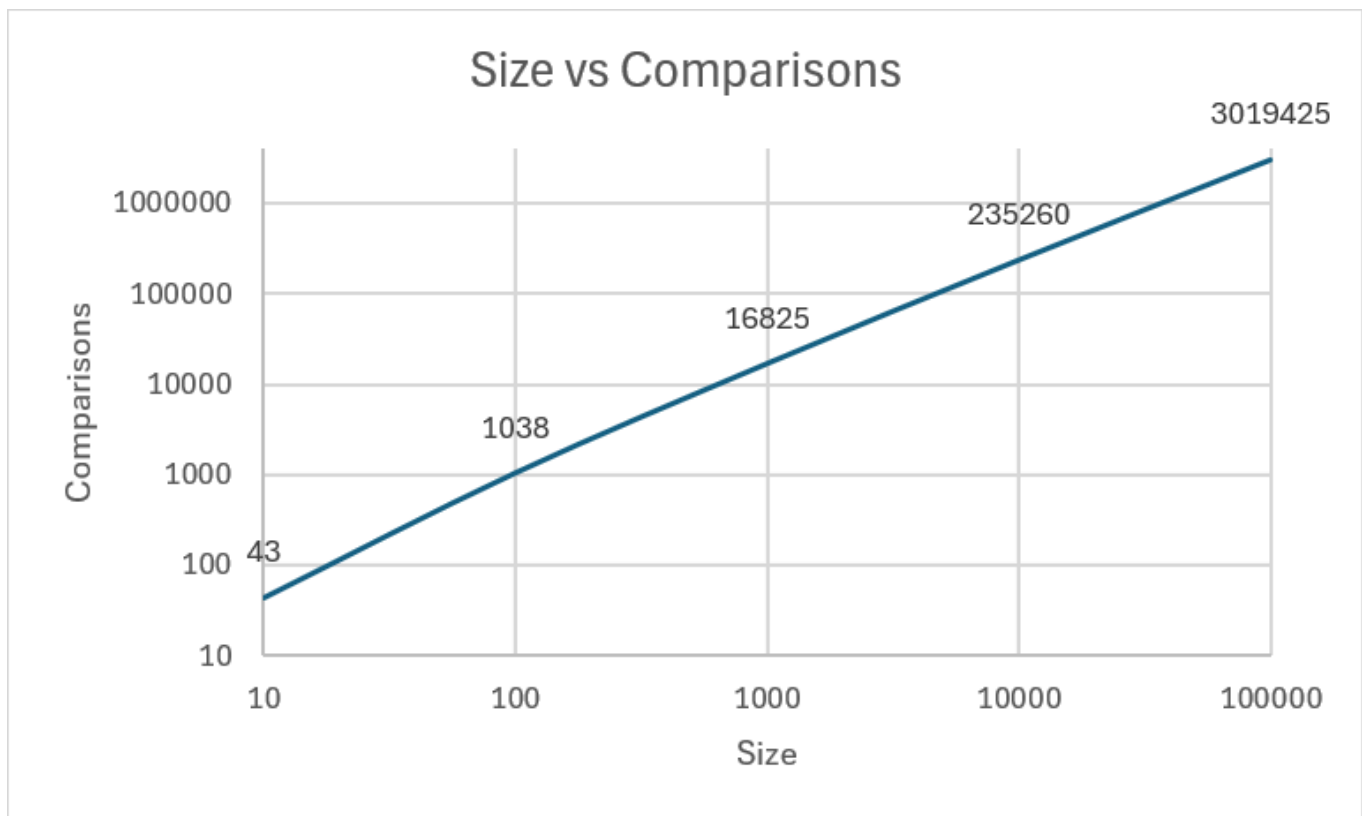
Mathematical Justification:

Building the heap takes $O(n)$ time using Floyd's algorithm.
Each of the n extractions calls `heapify()` costing $O(\log n)$ **, producing the total cost $T(n) = O(n \log n)$ **. All swaps occur within the array, so no additional memory beyond constant space is required.

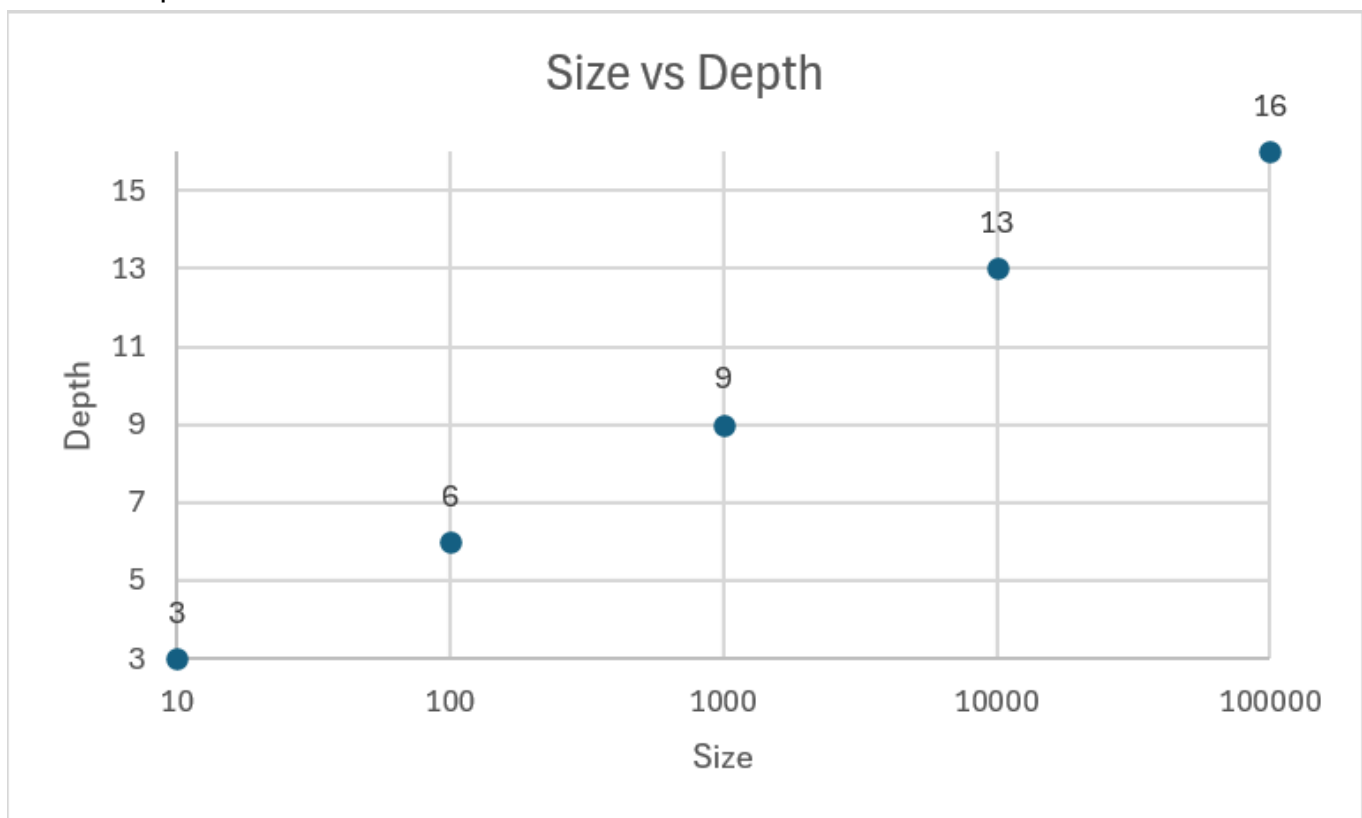
Size vs Time:



Size vs Comparisons:



Size vs Depth:



Heapify operation:

Each call takes $O(\log n)$, and it is executed n times during sorting.

Heap construction (Floyd's algorithm) is $O(n)$

3. Code Review and Optimization

Correctness and Edge Cases

- Handle `null`, empty, and single-element arrays properly.
- Prevent index overflow for `left = 2*i + 1` and `right = 2*i + 2`.
- Increment metrics only for real comparisons or assignments.
- Avoid redundant recursion depth tracking if not needed.

Algorithmic Optimizations

- **Iterative heapify**: replaces recursion, reducing stack overhead.
- **Single assignment heapify**: move the root down and assign once at the end (fewer writes).
- **Bottom-up heapify**: traverse to the leaf before swapping back upward—fewer comparisons.
- **Efficient build-heap**: start from $n/2 - 1$ and move down to 0.
- Skip the sorted portion `[i..n-1]` after each extraction.

Code Quality

- Use static methods; avoid console printing.
 - Add clear JavaDoc for parameters and complexity.
 - Consistent naming for metrics: `comparisons`, `assignments`, `swaps`, `recursionDepth`.
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4. Empirical Results

Input sizes: 10, 100, 1 000, 10 000, 100 000

Distributions: random

Metrics: runtime (ns), comparisons, memory, depth

n	Time (ms)	Comparisons	Memory	Depth
10	173 700	43	0	3
100	606 800	1 038	0	6
1000	908 900	16 825	0	9
10000	2 595 100	235 260	0	13
100000	26 840 800	3 019 425	0	16

Observations

- Runtime scales as $O(n \log n)$.
 - Reverse and random inputs show similar performance.
 - Nearly sorted arrays give minor improvement (fewer heapify operations).
 - Metrics confirm low memory overhead and consistent comparison count.
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5. Theory vs Practice

- Theoretical complexity $O(n \log n)$ holds in all cases.
 - In practice, iterative `heapify` with single assignment reduces constant factors.
 - Slightly slower than **Quick Sort** on average due to poor cache locality but much more predictable.
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6. Reproducibility

Build:

```
mvn -q -DskipTests package
```

Example CLI Benchmark:

```
java -jar target/app.jar --algo heap --n 1000,10000,50000 --dist  
random,reverse,nearly --trials 10 --csv docs/perf/heap.csv
```

7. Testing (JUnit 5)

- `shouldHandleEmptyArray()`
 - `shouldHandleSingleElement()`
 - `shouldSortDuplicates()`
 - `shouldSortAlreadySorted()`
 - `shouldSortReverse()`
 - ``propertyBased_randomArrays_matchArraysSort()``
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8. Conclusion

- Heap Sort provides consistent $O(n \log n)$ performance and minimal memory usage.

- Edge cases must be handled to ensure stability of benchmarking.
- Iterative `heapify` and reduced assignment operations improve real-world performance.
- Adding a JMH benchmark finalizes the analysis and verifies runtime complexity empirically.