

On the use of calcium deconvolution algorithms in practical contexts

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Abstract

Calcium imaging is a powerful tool for capturing the simultaneous activity of large populations of neurons. Studies using it to address scientific questions of population dynamics and coding often use the raw time-series of changes in calcium fluorescence at the soma. But somatic calcium traces are both contaminated with multiple noise sources and are non-linearly related to spiking. A suite of methods are available to recover spike-evoked events from the raw calcium, from simple deconvolution to inferring the spikes themselves. Here we explore the extent to which our choice of raw or deconvolved calcium time-series affects the scientific inferences we can draw. Our results show the choice qualitatively changes the potential scientific inferences we draw about neural activity, coding, and correlation structure. We show that a substantial fraction of the processing methods fail to recover simple features of population activity in barrel cortex already established by electrophysiological recordings. Raw calcium time-series contain an order of magnitude more cells tuned to task features; yet there is also qualitative disagreement between deconvolution methods on which neurons are tuned. Finally, we show that raw and processed calcium time-series qualitatively disagree on the structure of correlations within the population and the dimensionality of its joint activity. We suggest that quantitative results obtained from population calcium-imaging be verified across multiple forms of the calcium time-series.

1 Introduction

Calcium imaging is a wonderful tool for high yield recordings of large neural populations (Harris et al., 2016; Stringer et al., 2019; Ahrens et al., 2013; Portugues et al., 2014). Many pipelines are available for moving from pixel intensity across frames of video to a time-series of calcium fluorescence in the soma of identified neurons (Mukamel et al., 2009; Vogelstein et al., 2010; Kaifosh et al., 2014; Pachitariu et al., 2016; Deneux et al., 2016; Pnevmatikakis et al., 2016; Friedrich et al., 2017; Keemink et al., 2018; Giovannucci et al., 2019).

But raw calcium fluorescence is nonlinearly related to spiking, and contains noise from a range of sources. These issues have inspired a wide range of deconvolution algorithms (Theis et al., 2016; Berens et al., 2018; Stringer and Pachitariu, 2018), which attempt to turn raw somatic calcium into something more closely approximating spikes. We address here the question facing any systems neuroscientist using calcium imaging: do we use the raw calcium, or attempt to clean it up? Thus our aim is to understand if our choice matters: how do our scientific inferences depend on our choice of raw or deconvolved calcium time-series.

36 Deconvolution algorithms themselves range in complexity from simple deconvolution
37 with a fixed kernel of the calcium response (Yaksi and Friedrich, 2006), through detecting
38 spike-evoked calcium events (Jewell and Witten, 2018; Pachitariu et al., 2016), to directly
39 inferring spike times (Vogelstein et al., 2010; Lütcke et al., 2013; Deneux et al., 2016).
40 This continuum of options raise the further question of the extent to which we should
41 process the raw calcium signals.

42 We proceed here in two stages. In order to use deconvolution algorithms, we need to
43 choose their parameters. We'd like to know whether it is worth taking this extra step:
44 how good can these algorithms be in principle, and how sensitive their results are to the
45 choice of parameter values. We thus first evaluate qualitatively different deconvolution
46 algorithms by optimising their parameters against ground truth data with known spikes.
47 With our understanding of their parameters in hand, we then turn to our main question, by
48 analysing a large-scale population recording from the barrel cortex of a mouse performing
49 a whisker-based decision task. We compare the scientific inferences about population
50 coding and correlations we obtain using either raw calcium signals, or a range of time-
51 series derived from those calcium signals, covering simple deconvolution, event detection,
52 and spikes.

53 We find contrasting answers. A substantial fraction of the methods used here fail
54 to recover basic features of population activity in barrel cortex established from electro-
55 physiology. The inferences we draw about coding qualitatively differ between raw and decon-
56 volved calcium signals. In particular, coding analyses based on raw calcium signals
57 detect an order of magnitude more cells tuned to task features. Yet there is also qualitative
58 disagreement between deconvolution methods on which neurons are tuned. The inferences
59 we draw about correlations between neurons do not distinguish between raw and decon-
60 volved calcium signals, but can qualitatively differ between deconvolution methods. Our
61 results thus suggest care is needed in drawing inferences from population recordings of so-
62 matic calcium, and that one solution is to replicate all results in both raw and deconvolved
63 calcium signals.

64 **2 Results**

65 **2.1 Performance of deconvolution algorithms on ground-truth data-sets**

66 We select here three deconvolution algorithms that infer discrete spike-like events, each
67 an example of the state of the art in qualitatively different approaches to the problem:
68 Suite2p (Pachitariu et al., 2016), a peeling algorithm that matches a scalable kernel to the
69 calcium signal to detect spike-triggered calcium events; LZero (Jewell and Witten, 2018), a
70 change-point detection algorithm, which finds as events the step-like changes in the calcium
71 signal that imply spikes; and MLspike (Deneux et al., 2016), a forward model, which fits
72 an explicit model of the spike-to-calcium dynamics in order to find spike-evoked changes
73 in the calcium signal, and returns spike times. We emphasize that these methods were
74 chosen as exemplars of their approaches, and are each innovative takes on the problem;
75 we are not here critiquing individual methods, but using an array of methods to illustrate
76 the problems and decisions facing the experimentalist when using calcium imaging data.

77 We first ask if these deconvolution methods work well in principle. We fit the parame-
78 ters of each method to a data-set of 21 ground-truth recordings (Chen et al., 2013), where
79 the spiking activity of a cell is recorded simultaneously with 60 Hz calcium imaging using
80 high-signal-to-noise juxtacellular recording techniques (Figure 1a). To fit the parameters
81 for each recording, we sweep each method's parameter space to find the parameter value(s)

82 with the best match between the true and inferred spike train.

83 The best-fit parameters depend strongly on how we evaluate the match between true
84 and inferred spikes. The Pearson correlation coefficient between the true and inferred
85 spike train is a common choice (Brown et al., 2004; Paiva et al., 2010; Theis et al., 2016;
86 Reynolds et al., 2018; Berens et al., 2018), typically with both trains convolved with a
87 Gaussian kernel to allow for timing errors. However, we find that choosing parameters to
88 maximise the correlation coefficient can create notable errors. The inferred spike trains
89 from MLSpike have too many spikes on average (mean error: 31.72%), and the accuracy of
90 recovered firing rates widely varies across recordings (Fig 1b, blue symbols). We attribute
91 these errors to the noisy relationship between the correlation coefficient and the number
92 of inferred spikes (Figure 1d): for many recordings, there is no well-defined maximum
93 coefficient, especially for the amplitude parameter A , so that near-maximum correlation
94 between true and inferred trains is consistent with a wide range of spike counts in the
95 inferred trains. We see the same sensitivity for the event rates from recordings optimised
96 using Suite2p (Figure 1f). If we compare their inferred event rates to true firing rates (Fig
97 1b), we see Suite2p estimates far more events than spikes (mean error 79.47%) and LZero
98 fewer events than spikes (mean error: -21.14%). These further errors are problematic:
99 there cannot be more spike-driven calcium events than spikes, and LZero's underestimate
100 is considerably larger than the fraction of frames with two or more spikes ($<2e^{-4}$ % frames).

101 To address the weaknesses of the Pearson correlation coefficient, we instead optimise
102 parameters using the Error Rate metric of Deneux et al. (2016). Error Rate returns a
103 normalised score between 0 for a perfect match between two spike trains, and 1 when all
104 the spikes are missed. This comparison between inferred and true spike trains is most
105 straightforward for algorithms like MLSpike that directly return spike times; for the other
106 algorithms, we use here their event times as inferred spikes, a reasonable choice given the
107 low firing rate and well separated spikes in the ground truth data. Choosing parameters
108 to minimise the Error Rate between the true and inferred spike-trains results in excellent
109 recovery of the true number of spikes for all three deconvolution methods (Fig 1b, green
110 symbols), with mean errors of 12% for Suite2P, 7.3% for MLSpike, and 5% for LZero.
111 As we show in Figure 1e for MLSpike and Figure 1f for Suite2p, the Error Rate has a
112 well-defined minima for almost every recording. Consequently, all deconvolution methods
113 can, in principle, accurately recover the true spike-trains given an appropriate choice of
114 parameters.

115 A potential caveat here is that the ground-truth data are single neurons imaged at a
116 frame-rate of 60Hz, an order of magnitude greater than is typically achievable in popula-
117 tion recordings (Peron et al., 2015a). Such a high frame-rate could allow for more accurate
118 recovery of spikes than is possible in population recordings. To test this, we downsample
119 the ground-truth data to a 7Hz frame-rate, and repeat the parameter sweeps for each
120 deconvolution method applied to each recording. As we show in Figure 1c, optimising pa-
121 rameters using the minimum Error Rate still results in excellent recovery of the true spike
122 rate (and interestingly for some recordings reduces the error when using the correlation
123 coefficient). Lower frame-rates need not then be an impediment to using deconvolution
124 methods.

125 **2.2 Parameters optimised on ground-truth are widely distributed and 126 sensitive**

127 What might be an impediment to using deconvolution methods on population recordings
128 is that the best parameter values vary widely between cells. Figure 2a-b plots the best-fit
129 parameter values for each recording across deconvolution methods and sampling rates.

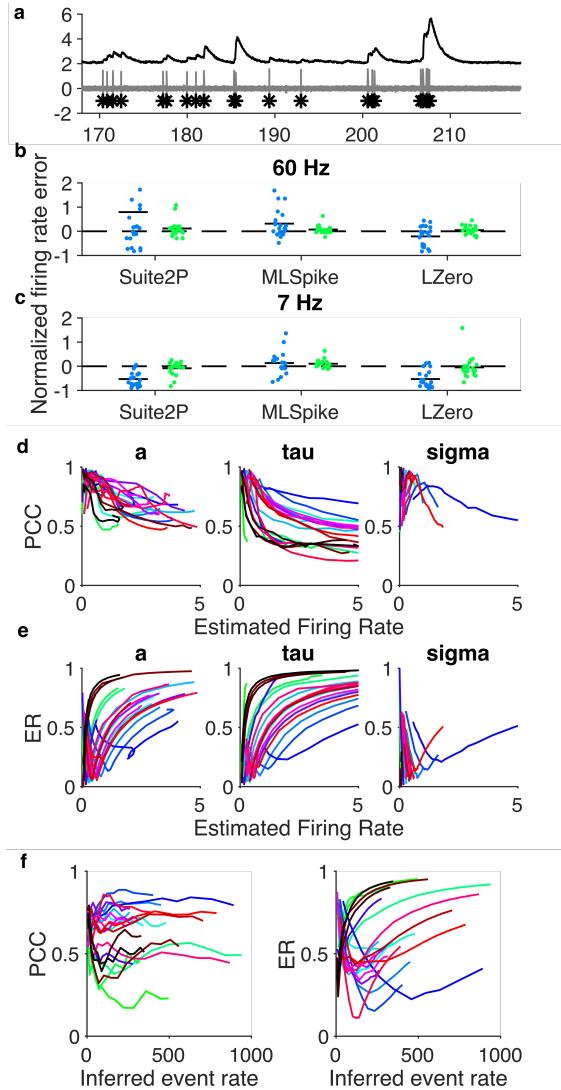


Figure 1: Ground truth data analysis.

- (a) Example simultaneous recording of somatic voltage (grey) and calcium activity (black) imaged at 60Hz. Spikes are marked with asterisks.
- (b) Error in estimating the true firing rate when using optimised parameters, across all three methods. One symbol per recording. We separately plot errors for parameters optimised to maximise the correlation coefficient (PCC) and the errors for parameters optimised to minimise the error rate (ER). Horizontal black bars are means. Error is computed relative to the true firing rate: $(Rate_{true} - Rate_{estimated})/Rate_{true}$. For LZero and Suite2p, $Rate_{estimated}$ is computed from event times.
- (c) As for (b), but with the somatic calcium down-sampled to 7Hz before optimising parameters for the deconvolution methods.
- (d) Dependence of MLspike's deconvolution performance on the firing rate of the inferred spike train. For each of ML Spike's free parameters, we plot the correlation coefficient between true and inferred spikes as a function of the firing rate estimated from the inferred spikes. One line per recording. Parameters: A : calcium transient amplitude per spike ($\Delta F/F$); τ calcium decay time constant (s); σ : background (photonic) noise level ($\Delta F/F$)
- (e) as in (d), but using Error Rate between the true and inferred spikes.
- (f) Dependence of Suite2p's deconvolution performance on the firing rate of the inferred event train as a detection threshold parameter is varied. Left: correlation coefficient; right: Error Rate.

130 Each method has at least one parameter with substantial variability across recordings,
 131 varying by an order of magnitude or more. This suggests that the best parameters for one
 132 cell may perform poorly for another cell.

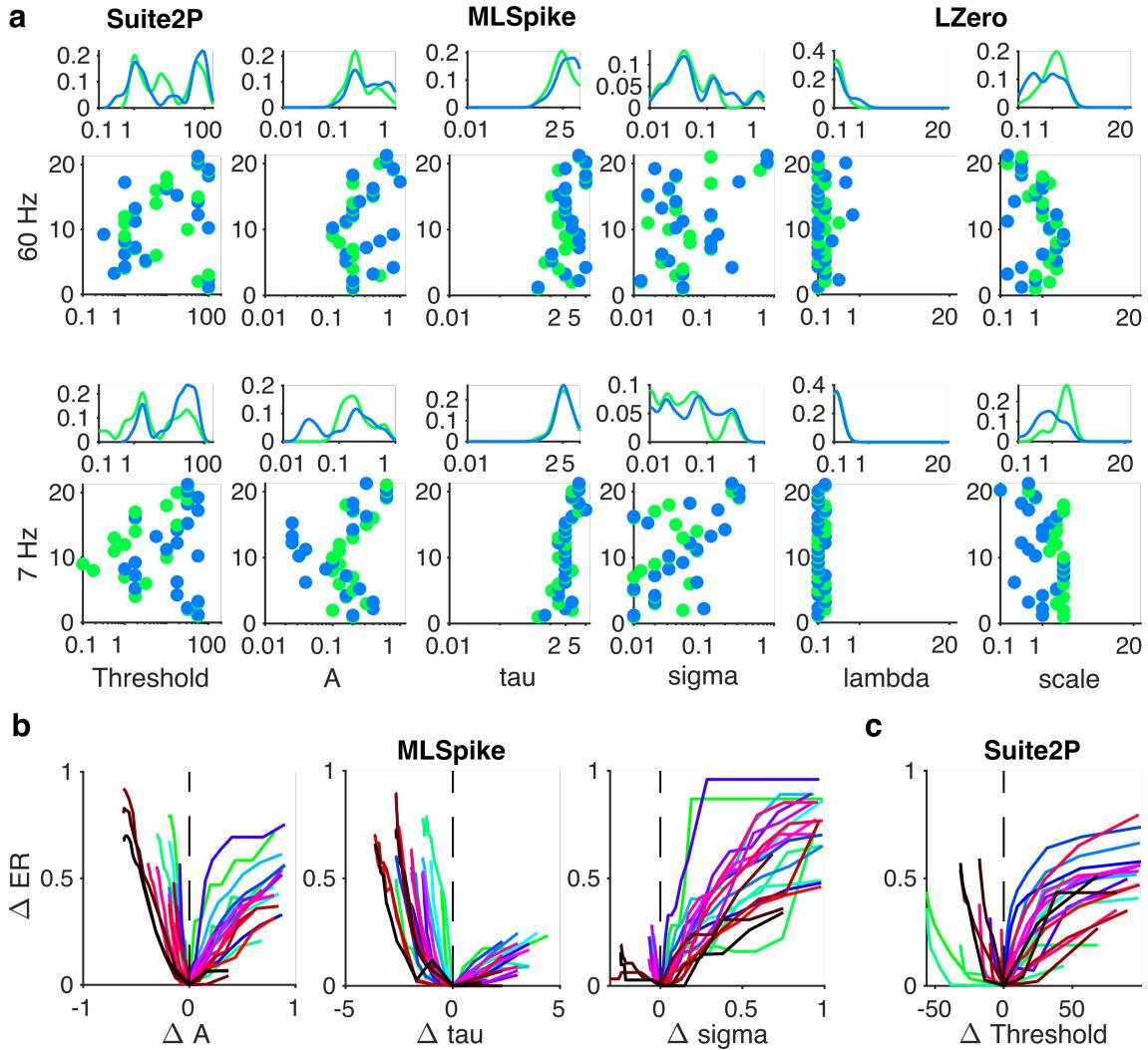


Figure 2: Variation in best-fit spike deconvolution parameters across ground-truth recordings.

(a) Distributions of optimised parameter values across recordings. In each panel, we plot parameter values on the x-axis against the recording ID on the y-axis (in an arbitrary but consistent order). Parameter values are plotted for those optimised using the correlation coefficient (blue) and Error Rate (green). Top row: fits to the original 60 Hz frame-rate data; bottom row: fits to data down-sampled to 7 Hz.

(b) Change in error rate as a function of the change away from a parameter’s optimum value, for each of ML Spike’s free parameters. One line per recording.

(c) Change in the error rate with change in Suite2p’s threshold value away from its optimum for each recording. One line per recording.

133 The problem of between-cell variation in parameter values would be compensated
 134 somewhat if the quality of the inferred spike or event trains is robust to changes in those
 135 values. However, we find performance is highly sensitive to changes in some parameters.
 136 Figure 2b-c shows that for most recordings the quality of the inferred spike train abruptly
 137 worsens with small increases or decreases in the best parameter. Thus using deconvolution

138 algorithms on population recordings comes with the potential issues that parameters can
 139 be both sensitive and vary considerably across cells.

140 2.3 Deconvolution of population imaging in barrel cortex during a de- 141 cision task

142 We turn now to seeing if and how these issues play out when analysing a large-scale
 143 population recording with no ground-truth. The data we use are two-photon calcium
 144 imaging time-series from a head-fixed mouse performing a whisker-based two-alternative
 145 decision task (Fig. 3a-b), from the study of Peron et al. (2015b). We analyse here a single
 146 session with 1552 simultaneously recorded pyramidal neurons in L2/3 of a single barrel in
 147 somatosensory cortex, imaged using GCAMP6s at 7 Hz for just over 56 minutes, giving
 148 23559 frames in total across 335 trials of the task.

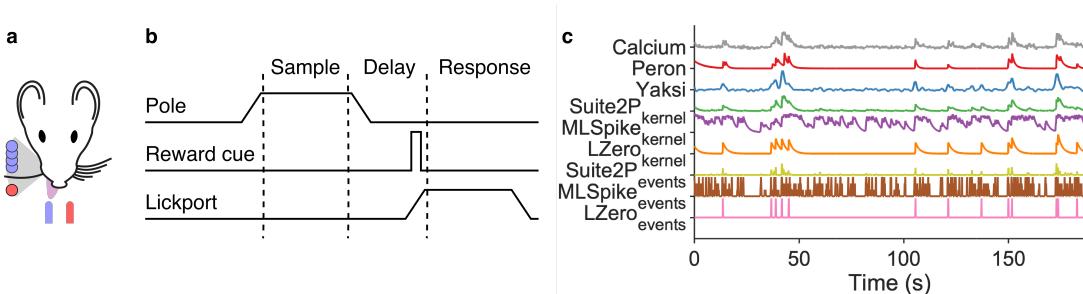


Figure 3: Experimental data from Peron et al. (2015b).

- (a) Schematic of task set-up. A pole was raised within range of the single left-hand whisker; its position, forward (red) or backward (blue) indicated whether reward would be available from the left or right lick-port.
- (b) Schematic of trial events. The pole was raised and lowered during the sample period; an auditory cue indicated the start of the response period.
- (c) All deconvolution methods applied to one raw calcium signal from the same neuron.

149 Our primary goal is to understand how the choices of deconvolving these calcium-
 150 imaging data alter the scientific inferences we can draw. As our baseline, we use the
 151 “raw” $\Delta F/F$ time-series of changes in calcium indicator fluorescence. We use the above
 152 three discrete deconvolution methods to extract spike counts (MLSpikes), event occurrence
 153 (LZero), or event magnitude (Suite2p) per frame. For comparison, we use Peron et al.
 154 (2015b)’s own version of denoised calcium time-series, created using a custom version of the
 155 peeling algorithm (Lütcke et al., 2013), a greedy template-fitting algorithm with variable
 156 decay time constants across events and cells, with parameters chosen to result in the same
 157 proportion of silent cells as has been shown previously with unbiased electrophysiology. As
 158 an example of simpler methods, we use Yaksi and Friedrich (2006)’s simple deconvolution
 159 of the raw calcium with a fixed kernel of the calcium response to a single spike. And finally
 160 we create smoothed versions of the discrete-deconvolution methods, by convolving their
 161 recovered spikes/events with a fixed spike-response kernel. Figure 3c show an example raw
 162 calcium time-series for one neuron, and the result of applying each of these 8 processing
 163 methods. We thus repeat all analyses on 9 different sets of time-series extracted from the
 164 same population recording.

165 We choose the algorithm parameters as follows. Simple deconvolution (Yaksi and
 166 Friedrich, 2006) uses a parameterised kernel of the GCaMP6s response to a single spike.
 167 For the three discrete deconvolution methods, we choose the modal values of the best-fit
 168 parameters that optimised the Error Rate over the ground-truth recordings. This seems

169 a reasonably consistent choice, of using the most consistently performing values obtained
170 from comparable data: neurons in the same layer (L2/3) in the same species (mouse),
171 in another primary sensory area (V1). Most importantly for our purposes, choosing the
172 modal values means we avoid pathological regions of the parameter space.

173 **2.4 Deconvolution methods disagree on estimates of simple neural statis-
174 tics**

175 We first check how well each approach recovers the basic statistics of neural activity event
176 rates in L2/3 of barrel cortex. Electrophysiology has shown that the distribution of firing
177 rates across neurons in a population is consistently long-tailed, and often log-normal, all
178 across rodent cortex (Wohrer et al., 2013); and L2/3 neurons in barrel cortex are no
179 different (O’Connor et al., 2010), with median firing rates less than 1 Hz, and a long right-
180 hand tail of rarer high-firing neurons. We thus expect the calcium event rates or spike
181 rates from our time-series would follow such a distribution. (Event rates for raw calcium,
182 Peron, Yaksi and the continuous (kernel) versions of the data are obtained by thresholding
183 the calcium time-series)

184 Figure 4a shows that the raw calcium and two of the discrete deconvolution methods
185 (Suite2p, LZero) have qualitatively correct distributions of event rates (median near zero,
186 long right-hand tails). The Peron time-series also have the correct distribution of event
187 rates, which is unsurprising as it was tuned to do so. All other methods give qualitatively
188 wrong distributions of spike rates (MLSpike) or event rates (all other methods). There is
189 also little overlap in the distributions of spike rates between the three discrete deconvolu-
190 tion methods. Applying a kernel to their inferred spikes/events shifts rather than smooths
191 the firing rate distributions ($\text{Suite2P}_{\text{kernel}}$, $\text{MLSpike}_{\text{kernel}}$, $\text{LZero}_{\text{kernel}}$), suggesting noise
192 in the deconvolution process is amplified through the additional steps of convolving with
193 a kernel and thresholding.

194 Cell-attached recordings in barrel cortex have shown that $\sim 26\%$ of L2/3 pyramidal
195 cells are silent during a similar pole localisation task, with silence defined as emitting
196 fewer than one spike every two minutes (O’Connor et al., 2010). For the nine approaches
197 we test here, six estimated the proportion of silent cells to be less than 1%, including two of
198 the discrete deconvolution methods (Figure 4c). For raw calcium and methods returning
199 continuous time-series, raising the threshold for defining events will lead to more silent
200 cells, but at the cost of further shifting the event rate distributions towards zero. Even for
201 simple firing statistics of neural activity, the choice of time-series gives widely differing,
202 and sometimes wrong, results.

203 **2.5 Inferences of single cell tuning differ widely between raw calcium
204 and deconvolved methods**

205 We turn now to what we can infer about simple properties of neural coding, and how our
206 choice of deconvolution method can alter those inferences. The decision task facing the
207 mouse (Fig. 3a) requires that it moves its whisker back-and-forth to detect the position
208 of the pole, delay for a second after the pole is withdrawn, and then make a choice of
209 the left or right lick-port based on the pole’s position (Fig. 3b). As the imaged barrel
210 corresponds to the single spared whisker (on the contralateral side of the face), so the
211 captured population activity during each trial likely contains neurons tuned to different
212 aspects of the task. We show here that the number and identity of such task-tuned neurons
213 in the population differ widely between deconvolution methods.

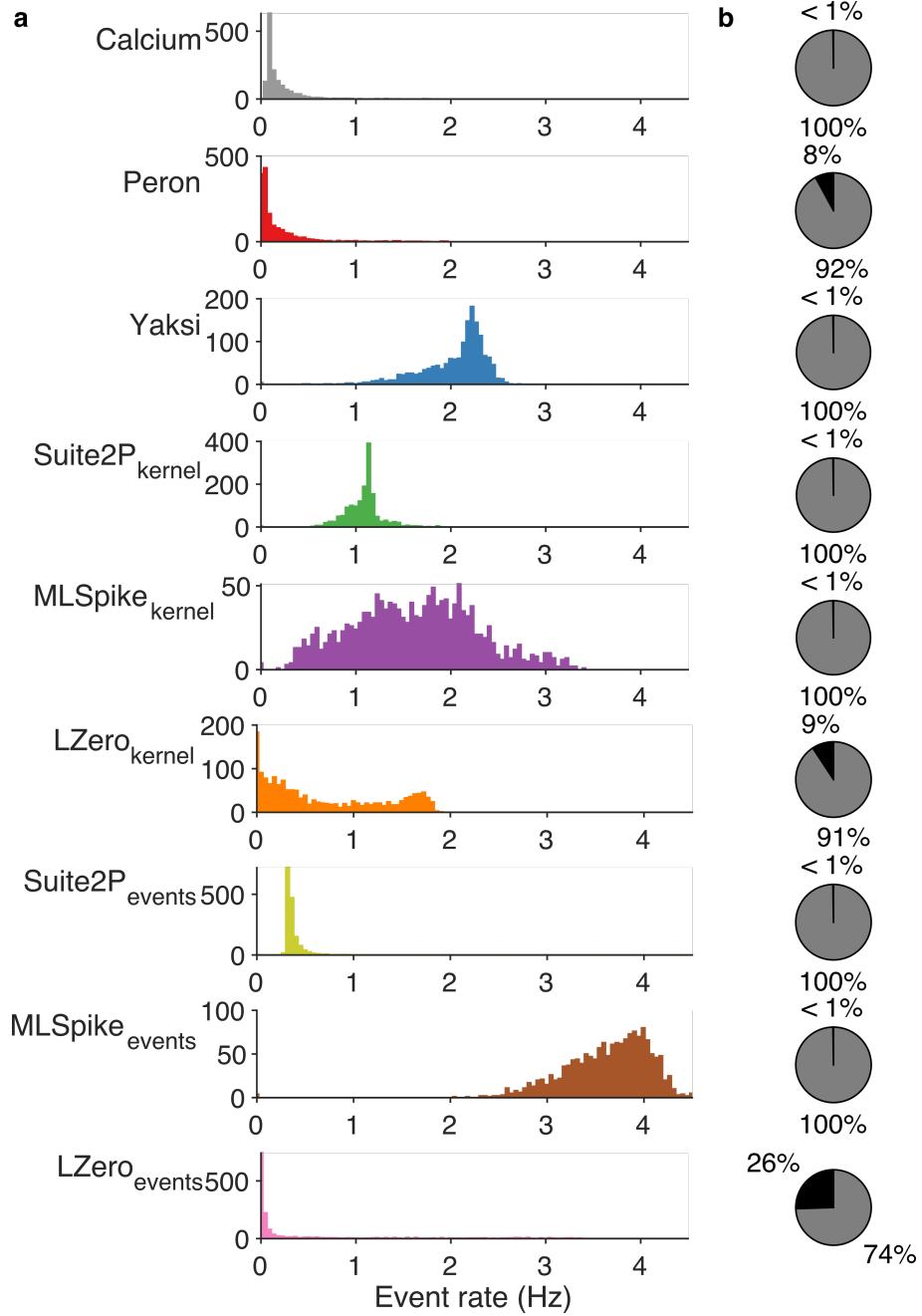


Figure 4: Estimates of population-wide event rates vary qualitatively across deconvolution methods.

(a) The distribution of event rate per neuron across the recorded population, according to each deconvolution method. For raw calcium and the five denoising methods (upper 6 panels), events are detected as fluorescence transients greater in magnitude than three standard deviations of background noise. The discrete deconvolution methods (lower 3 panels) return per frame: a spike count (MLSpike), a binary event detection (LZero), or an event magnitude (Suite2p); these time-series were thus sparse, with most frames empty.

(b) Proportion of active (gray) and silent (black) cells for each method. Silent cells are defined following (Peron et al., 2015b) as those with an event rate less than 0.0083Hz.

Following Peron et al. 2015a, we define a task-tuned cell as one for which the peak in its trial-averaged histogram of activity exceeds the predicted upper limit from shuffled data (Fig.5a; see Methods). When applied to the raw calcium time-series, close to half the neurons are tuned (Fig.5a). This is more than double the proportion found for the next nearest method (Yaksi's simple deconvolution), and at least a factor of 5 greater than the proportion of tuned neurons resulting from any discrete deconvolution method, which each report less than 10% of the neurons are tuned.

Worse, few neurons are detected as tuned in time-series resulting from multiple methods (Fig.5b). Only 104 neurons (6.7%) are labelled as tuned in at least two sets of time-series, and just 21 (1.35%) are labelled as tuned in all nine. Even separately considering the continuous and discrete time-series, we find only 38 cells are tuned across all six continuous methods, and 25 neurons for all three discrete deconvolution methods (Fig.5c). Figure 5d illustrates the diversity of detected tuning even amongst the neurons with the greatest agreement between methods.

These results suggest that raw calcium alone over-estimates tuning in the population, but also that there can be substantial disagreement between deconvolution methods. One solution for robust detection of tuned neurons is to find those agreed between the raw calcium time-series and more than one deconvolution method. In Figure 5e-h, we show how increasing the number of methods required to agree on a neuron's tuned status creates clear agreement between time-series processed with all methods, even if a particular method did not reach significance for that cell. Even requiring agreement between the raw calcium and just two other methods is enough to see tuning of many cells. The identification of unambiguously task-tuned cells could thus be achieved by triangulating the raw calcium with the output of multiple deconvolution methods.

In the pole detection task considered here, neurons tuned to pole contact are potentially crucial to understanding the sensory information used to make a decision. Touch onset is known to drive a subset of neurons to spike with short latency and low jitter (O'Connor et al., 2010; Hires et al., 2015). Detecting such rapid, precise responses in the slow kinetics of calcium imaging is challenging, suggesting discrete-deconvolution methods might be necessary to detect touch-tuned neurons. To test this, in each of the 9 sets of time-series we identify touch-tuned neurons by a significant peak in their touch-triggered activity (Fig 6a). Figure 6b shows that, while all data-sets have touch-tuned neurons, the number of such neurons differs substantially between them. And rather than being essential, discrete deconvolution methods disagree strongly on touch-tuning, with MLSpike (events) finding 45 touch-tuned neurons and LZero (events) finding one. Thus our inferences of the coding of task-wide or specific sensory events crucially depends on our choice of calcium imaging time-series.

2.6 Inconsistent recovery of population correlation structure across deconvolution approaches

The high yield of neurons from calcium imaging is ideal for studying the dynamics and coding of neural populations (Harvey et al., 2012; Huber et al., 2012; Kato et al., 2015). Many analyses of populations start from pairwise correlations between cells, whether as measures of a population's synchrony or joint activity, or as a basis for further analyses like clustering and dimension reduction (Cunningham and Yu, 2014). We now show how our inferences of population correlation structure also depend strongly on the choice of deconvolution method.

Figure 7a shows that the distributions of pairwise correlations qualitatively differ between the sets of time-series we derived from the same calcium imaging data. The con-

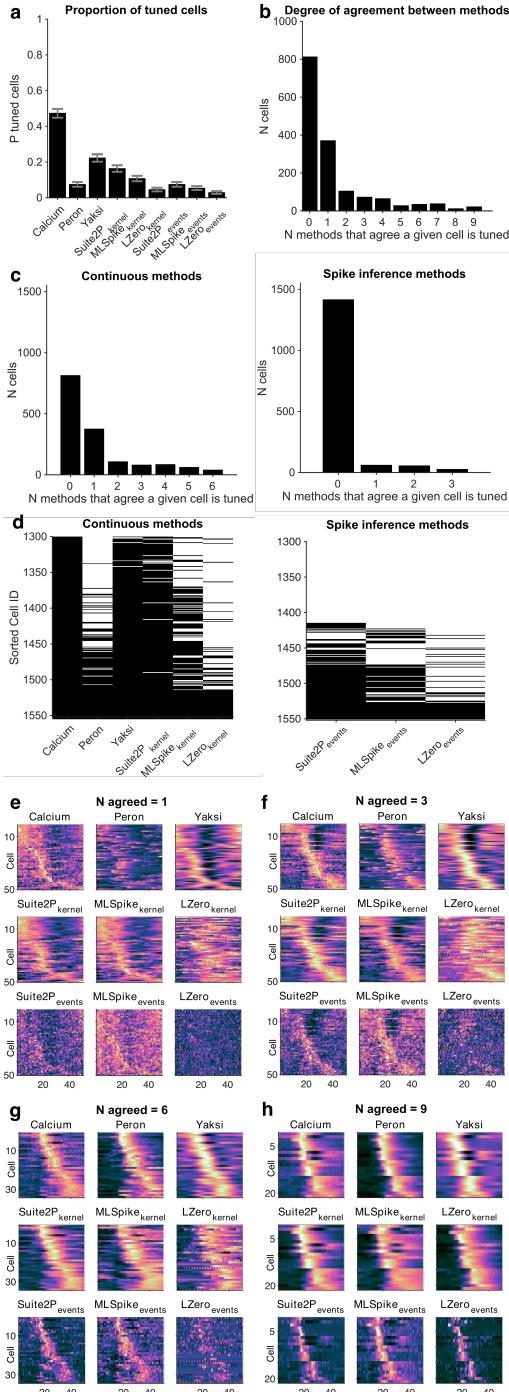


Figure 5: Inferences of single cell tuning show poor agreement between raw calcium and deconvolution methods, and between methods.

- (a) Number of tuned cells per deconvolution method. Error bars are 95% binomial confidence intervals.
- (b) Agreement between methods. For each neuron, we count the number of methods (including raw calcium) for which it is labelled as tuned. Bars show the number of cells classified as tuned by exactly N methods.
- (c) Similar to (b), but breaking down the cells into: agreement between methods (raw or denoising) resulting in continuous signals (left panel); and agreement between discrete deconvolution methods (right panel).
- (d) Comparison of cell tuning across methods. Each row shows whether that cell is tuned (black) or not (white) under that deconvolution method. Cells are ordered from bottom to top by the number of methods that classify that cell as tuned.
- (e-h) Identifying robust cell tuning. Panel groups (e) to (h) show cells classed as tuned by increasing numbers of deconvolution methods. Each panel within a group plots one cell's normalised (z-scored) trial-average histogram per row, ordered by the time of peak activity. The first panel in a group of 9 shows histograms from raw calcium signals; each of the 8 subsequent panel shows trial-average histograms resulting from each of the eight deconvolution methods.

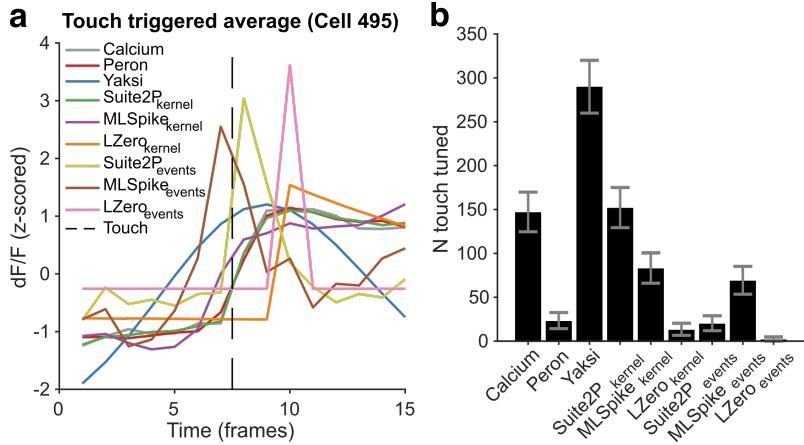


Figure 6: Touch-triggered neuron responses.

- (a) Touch-triggered average activity from one neuron, across all deconvolution methods. The dotted line is the imaging frame in which the whisker touched the pole.
- (b) Number of touch-tuned cells across deconvolution methods. A cell is classed as touch-tuned if its peak touch-triggered activity is significantly greater than shuffled data. Error bars are Jeffreys confidence intervals for binomial data.

siderably narrower distributions from the discrete deconvolution time-series compared to the others is expected, as these time-series are sparse. Nonetheless, there are qualitative differences within the sets of discrete and continuous time-series. Some distributions are approximately symmetric, with broad tails; some asymmetric with narrow tails; the correlation distribution from the Peron method time-series is the only one with a median below zero. These qualitative differences are not due to noisy estimates of the pairwise correlations: for all our sets of time-series the correlations computed on a sub-set of time-points in the session agree well with the correlations computed on the whole session (Figure 7b). Thus pairwise correlation estimates for each method are stable, but their distributions differ between methods.

Looking in detail at the full correlation matrix shows that even for methods with similar distributions their agreement on correlation structure is poor. Some neuron pairs that appear correlated from time-series processed by one deconvolution method are uncorrelated when processed with another method (Figure 7c). Over the whole population, the correlation structure obtained from the raw calcium, Yaksi and Suite2p (kernel) time-series all closely agree, but nothing else does (Figure 7d): the correlation structure obtained from LZero agrees with nothing else; and the discrete deconvolution methods all generate dissimilar correlation structures (Figure 7e). Our inferences about the extent and identity of correlations within the population will differ qualitatively depending on our choice of imaging time-series.

2.7 Deconvolution methods show the same population activity is both low and high dimensional

Dimensionality reduction techniques, like principal components analysis (PCA), allow researchers to make sense of large scale neuroscience data (Chapin and Nicolelis, 1999; Briggman et al., 2005; Churchland et al., 2012; Harvey et al., 2012; Cunningham and Yu, 2014; Kobak et al., 2016), by reducing the data from N neurons to $d < N$ dimensions. Key to such analyses is the choice of d , a choice guided by how much of the original data we can capture. To assess such inferences of population dimensionality, we apply PCA to

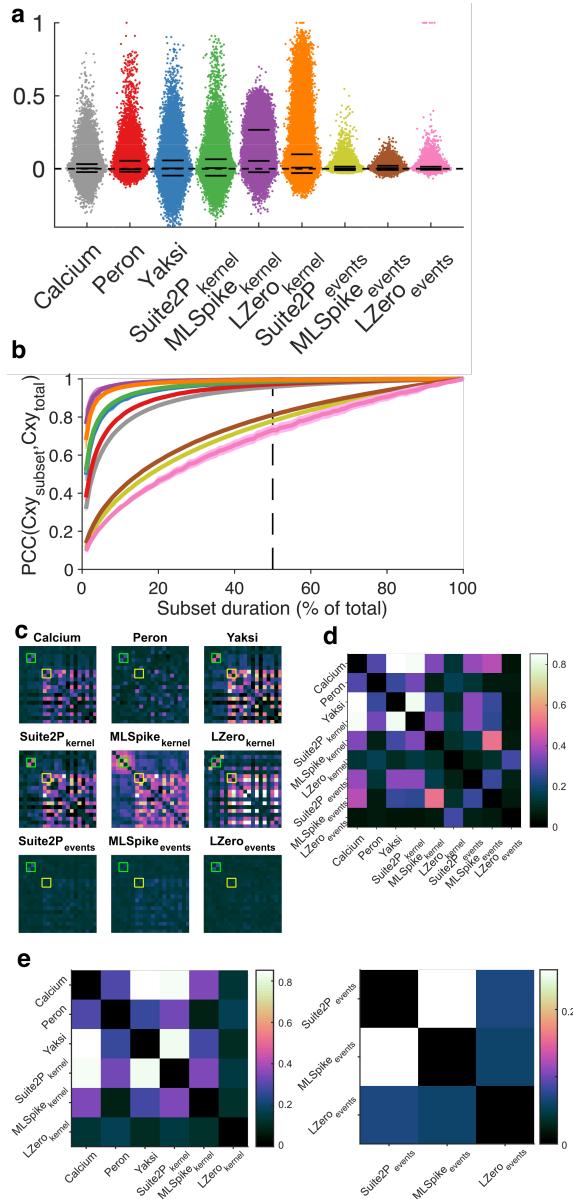


Figure 7: Effects of deconvolution on pairwise correlations between neurons.

- (a) Distributions of pairwise correlations between all cells, for each deconvolution method (one dot per cell pair, x-axis jitter added for clarity). Solid black lines are 5th, 50th and 95th percentiles.
- (b) Stability of correlation structure in the population. We quantify here the stability of the pairwise correlation estimates, by comparing the correlation matrix constructed on the full data ($C_{xy\text{total}}$) to the same matrix constructed on a subset of the data ($C_{xy\text{subset}}$). Each data-point is the mean correlation between $C_{xy\text{total}}$ and $C_{xy\text{subset}}$; one line per deconvolution method. Shaded error bars are one standard deviation of the mean across 100 random subsets.
- (c) Examples of qualitatively differing correlation structure across methods. Each panel plots the pairwise correlations for the same 50 neurons on the same colour scale. As examples, we highlight two pairs of cells: one consistently correlated across different methods (green boxes); the other not (yellow boxes).
- (d) Comparison of pairwise correlation matrices between deconvolution methods. Each square is the Spearman's rank correlation between the full-data correlation matrix for that pair of methods.
- (e) as in (d), but split to show continuous methods (left) or discrete deconvolution methods (right).

290 our 9 sets of imaging time-series to estimate the dimensionality of the imaging data (which
 291 for PCA is the variance explained by each eigenvector of the data's covariance matrix).

292 Figure 8a plots for each deconvolution method the cumulative variance explained when
 293 increasing the number of retained dimensions. Most deconvolution methods qualitatively
 294 disagree with the raw calcium data-set on the relationship between dimensions and vari-
 295 ance. This relationship is also inconsistent across deconvolution methods; indeed the
 296 discrete deconvolution methods result in the shallowest ($\text{MLSpike}_{\text{events}}$) and amongst the
 297 steepest ($\text{LZero}_{\text{events}}$) relationships between increasing dimensions and variance explained.
 298 The number of dimensions required to explain 80% of the variance in the data ranges
 299 from $d = 125$ (Peron) to $d = 1081$ ($\text{MLSpike}_{\text{events}}$), a jump from 8% to 70% of all pos-
 300 sible dimensions (Fig 8b). Thus we could equally infer that the same L2/3 population
 301 activity is low dimensional (<10% dimensions required to explain 80% of the variance)
 302 or high-dimensional (>50% of dimensions required) depending on our choice of imaging
 303 time-series.

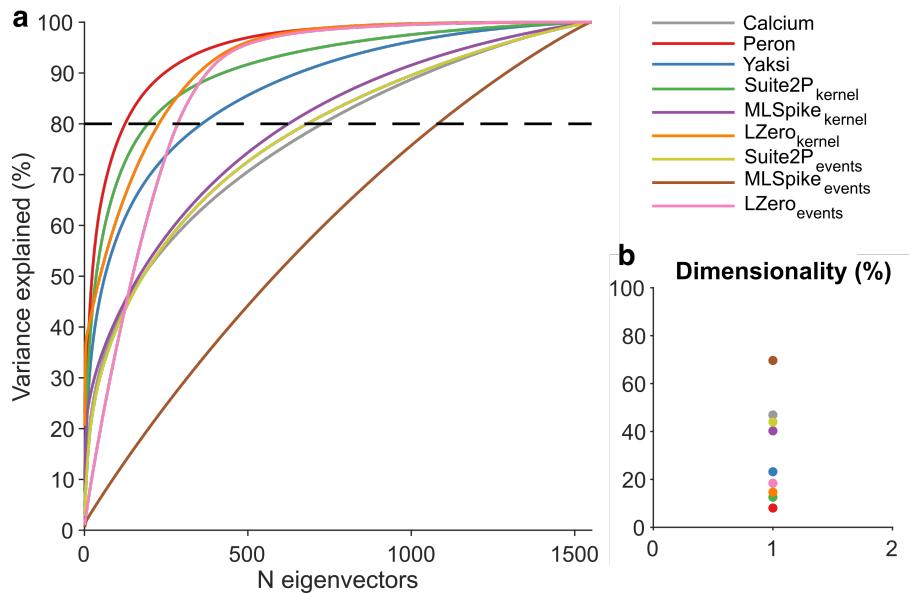


Figure 8: Dimensionality of population activity.

(a) Cumulative variance explained by each dimension of the data's covariance matrix, one line per deconvolution method. Dimensions are obtained from principal components analysis, and are ordered by decreasing contribution to the total variance explained. Dashed line is the 80% threshold used in panel (b).

(b) Proportion of dimensions required to explain 80% of the variance in the data.

304 **3 Discussion**

305 Imaging of somatic calcium is a remarkable tool for capturing the simultaneous activity of
306 hundreds to thousands of neurons. But the time-series of each neuron's calcium fluores-
307 cence is inherently noisy and non-linearly related to its spiking. We sought here to address
308 how our choice of corrections to these time-series – to use them raw, deconvolve them into
309 continuous time-series, or deconvolve them into discrete events – affect the quality and
310 reliability of the scientific inferences drawn.

311 Our results show the choice qualitatively changes the potential scientific inferences we
312 draw about neural activity, coding, and correlation structure. We consistently observe
313 that the analysis results differ sharply between the raw calcium and most, if not all, of the
314 processed time-series. However, the deconvolved time-series also consistently disagreed
315 with each other, even between methods of the same broad class (continuous or discrete
316 time-series).

317 **3.1 Accurate discrete deconvolution is possible, but sensitive**

318 We find much that is encouraging. In fitting discrete deconvolution methods to ground-
319 truth data, we found they can in principle accurately recover neural activity. A caveat
320 here is that the choice of metric for evaluation and fitting of parameters is of critical
321 importance. The widely-used Pearson correlation coefficient is a poor choice of metric
322 as it returns inconsistent results with small changes in algorithm parameters, and leads
323 to poor estimates of simple measures such as firing rate when used across methods and
324 sampling rates. By contrast, the Error Rate metric (Deneux et al., 2016; Victor and
325 Purpura, 1996) resulted in excellent recovery of ground-truth spike trains. Other recently
326 developed methods for comparing spike-trains based on information theory (Theis et al.,
327 2016) or fuzzy set theory (Reynolds et al., 2018) may also be appropriate.

328 However, while good estimates of neural activity can be achieved with modern discrete
329 deconvolution methods (Berens et al., 2018; Pachitariu et al., 2018), the best parameters
330 vary substantially between cells, and small changes in analysis parameters result in poor
331 performance. This variation and sensitivity of parameters played out as widely-differing
332 results between the three discrete deconvolution methods in analyses of neural activity,
333 coding, and correlation structure.

334 **3.2 Choosing parameters for deconvolution methods**

335 A potential limitation of our study is that we use a single set of parameter values for
336 each discrete deconvolution method applied to the population imaging data from barrel
337 cortex. But then our situation is the same as that facing any experimentalist: in the
338 absence of ground-truth, how do we set the parameters? Our solution here was to use
339 the modal parameter values from ground-truth fitting, as these values are candidates for
340 the most general solutions. We also felt these were a reasonable choice for the population
341 imaging data from barrel cortex, given that the ground-truth recordings came from the
342 same species (mouse) in the same layer (2/3) of a different bit of primary sensory cortex
343 (V1).

344 Rather than use the most general parameters values, another solution would be to
345 tune the parameters to obtain known gross statistics of the neural activity. This was
346 the approach used by Peron and colleagues (Peron et al., 2015b) to obtain the denoised
347 Peron time-series we included here. But as we've seen, this approach can lead to its own
348 problems: for example, in the Peron time-series, it created a distributions of correlations

349 that differed from any other set of time-series. Indeed, finding good parameter values may
350 be an intractable problem, as it is possible each neuron requires individual fitting, to reflect
351 the combination of its expression of fluorescent protein, and its particular non-linearity
352 between voltage and calcium.

353 3.3 Ways forward

354 The simplest solution to the inconsistencies between different forms of time-series is to
355 triangulate them, and take the consensus across their results. For example, our finding of
356 a set of tuned neurons across multiple methods is strong evidence that neurons in L2/3
357 of barrel cortex are responsive across the stages of the decision task. Further examples
358 of such triangulation in the literature are rare; Klaus and colleagues ([Klaus et al., 2017](#))
359 used two different pipelines to derive raw $\Delta F/F$ of individual neurons from one-photon
360 fibre-optic recordings in the striatum, and replicated all analyses using the output of both
361 pipelines. Our results encourage the further use of triangulation to create robust inference:
362 obtaining the same result in the face of wide variation increases our belief in its reliability
363 ([Munafò and Davey Smith, 2018](#)).

364 There are caveats to using triangulation. For single neuron analyses, triangulation
365 inevitably comes at the price of reducing the yield of neurons to which we can confidently
366 assign roles. A further problem for triangulation is how to combine more complex analyses,
367 such as pairwise correlations; the alternative is to rely on qualitative comparisons.

368 Many studies use the raw calcium signal as the basis for all their analyses ([Harvey et al.,](#)
369 [2012](#); [Huber et al., 2012](#)) [cite others], perhaps assuming this is the least biased approach.
370 Our result show this is not so: the discrepancy between raw and deconvolved calcium on
371 single neuron coding suggests an extraordinary range of possible results, from about half
372 of all neurons tuned to the task down to less 5 percent. The qualitative conclusion – there
373 is coding – is not satisfactory. Thus our results should not be interpreted as a call to
374 abandon deconvolution methods; rather they serve to delimit how we can interpret their
375 outputs.

376 Instead, we need deconvolution solved: as sensors with faster kinetics (though fun-
377 damentally limited by kinetics of calcium release itself) and higher signal-to-noise ratios
378 are developed ([Badura et al., 2014](#); [Dana et al., 2016, 2019](#)), so the accuracy and robust-
379 ness of de-noising and deconvolution should improve; and as the neuron yield continues
380 to increase ([Stringer et al., 2019](#); [Ahrens et al., 2013](#)), so the potential for insights from
381 inferred spikes or spike-driven events grows. Developing further advanced deconvolution
382 algorithms will harness these advances, but are potentially always limited by the lack of
383 ground-truth to fit their parameters. We suggest our results may instead provide impetus
384 for a different direction of research, focussing on how we can get consensus among the
385 output of different algorithms, and thus provide robust scientific inferences about neural
386 populations.

387 **4 Methods**

388 **Ground truth data**

389 Ground truth data was accessed from crcns.org (Svoboda, 2015), and the experiments have
390 been described previously (Chen et al., 2013). Briefly, mouse visual cortical neurons ex-
391 pressing the fluorescent calcium reporter protein GCaMP6s were imaged with two-photon
392 microscopy at 60Hz. Loose-seal cell-attached recordings were performed simultaneously
393 at 10kHz. The data-set contains twenty one recordings from nine cells.

394 **Population imaging data description**

395 Population imaging data was accessed from crcns.org and have been described previ-
396 ously (Peron et al., 2015b). Briefly, volumetric two photon calcium imaging of primary
397 somatosensory cortex (S1) was performed in awake head-fixed mice performing a whisker-
398 based object localisation task. In the task a metal pole was presented at one of two loca-
399 tions and mice were motivated with fluid reward to lick at one of two lick ports depending
400 on the location of the pole following a brief delay. Two photon imaging of GCaMP6s
401 expressing neurons in superficial S1 was performed at 7Hz. Images were motion corrected
402 and aligned, before regions of interest were manually set and neuropil-subtracted. A single
403 recording from this dataset was used for population analysis. The example session had
404 1552 neurons recorded for a total of 23559 frames (56 minutes).

405 **List of deconvolution methods**

406 **MLSpike**

407 MLSpike (Deneux et al., 2016) was accessed from <https://github.com/mlspike>. MLSpike
408 uses a model-based probabilistic approach to recover spike trains in calcium imaging data
409 by taking baseline fluctuations and cellular properties into account. Briefly, MLSpike
410 implements a model of measured calcium fluorescence as a combination of spike-induced
411 transients, background (photonic) noise, and drifting baseline fluctuations. A maximum
412 likelihood approach determines the probability of the observed calcium at each time step
413 given an inferred spike train generated through a particular set of model parameters.
414 MLSpike returns a maximum a posteriori spike train (as used here), or a spike probability
415 per time step.

416 MLSpike has a number of free parameters, of which we optimise three: A , the mag-
417 nitude of fluorescence transients caused by a single spike; τ_{au} , calcium fluorescence decay
418 time; σ , background (photonic) noise level. MLSpike also has parameters for different
419 calcium sensor kinetics (for OBG, GCaMP3, GCaMP6 and so on) which we fix to default
420 values for GCaMP6.

421 For our analysis of event rate MLSpike's spike train was counted (mean event count
422 per second), and for subsequent analyses was converted to a dense array of spike counts
423 per imaging frame.

424 **Suite2P**

425 Suite2P (Pachitariu et al., 2016, 2018) was accessed from [https://github.com/cortex-lab/Suite2P](https://github.com/cortex-
426 lab/Suite2P). Suite2P was developed as a complete end-to-end processing pipeline for
427 large scale 2-photon imaging analysis - from image registration to spike extraction and
428 visualization - of which we only use the spike extraction step. The spike deconvolution

429 of Suite2P uses a sparse non-negative deconvolution algorithm, greedily identifying and
430 removing calcium transients to minimise the cost function

$$C = \|F - s * k\|^2,$$

431 where the cost C is the squared norm of fluorescence F minus a reconstruction of that
432 signal comprising a sparse array of spiking events s multiplied by a parameterised calcium
433 kernel k . The kernel was parameterised following defaults for GCaMP6s (exponential
434 decay of 2 seconds, though it has been shown the precise value of this parameter does not
435 affect performance for this method (Pachitariu et al., 2018)).

436 Suite2P has a further free parameter which sets the minimum spike event size, the
437 *Threshold*, which determines the stopping criteria for the algorithm.

438 Elements of s are of varying amplitude corresponding to the amplitude of the calcium
439 transients at that time. For ground truth firing rate analysis we are interested in each
440 algorithm's ability to recover spike trains, therefore we treat each event as a 'spike' and
441 optimise the algorithm appropriately. For our analysis of event rate Suite2P's event train
442 was counted (mean event count per second), and for subsequent analyses was converted
443 to a dense array of varying amplitude events (i.e. s) per imaging frame.

444 **LZero**

445 The method we refer to as LZero was written in Matlab based on an implementation
446 in *R* accessed at <https://github.com/jewellsean/LZeroSpikeInference>. A full description
447 is available in the paper of Jewell and Witten (2018). Briefly, in LZero the problem of
448 detecting spike-evoked calcium events is cast as a change-point detection problem, which
449 could be solved with an l_0 optimization algorithm. Working backwards from the last time
450 point the algorithm finds time points where the calcium dynamics abruptly change from
451 a smooth exponential rise. These change points correspond to spike event times. Event
452 inference accuracy is assessed similarly to Suite2P by measuring the fit between observed
453 fluorescence and a reconstruction based on inferred spike-event times and a fixed calcium
454 kernel.

455 LZero has two free parameters - *lambda*, a tuning parameter that controls the trade-off
456 between the sparsity of the estimated spike event train and the fit of the estimated calcium
457 to the observed fluorescence; and *scale*, the magnitude of a single spike induced change in
458 fluorescence.

459 For our analysis of event rate LZero's spike train was counted (mean event count per
460 second), and for subsequent analyses was converted to a dense array of spikes per imaging
461 frame (maximum one spike per imaging frame due to limitations of the algorithm).

462 **Yaksi**

463 Yaksi is an implementation of the deconvolution approach of Yaksi and Friedrich (2006).
464 The fluorescence time series is low-pass filtered (4th order butterworth filter, 0.7Hz cutoff)
465 to remove noise before having a calcium kernel (exponential decay of 2 seconds, as used
466 in Suite2P and LZero above) linearly deconvolved out of the signal using Matlab's `deconv`
467 function. The output of Yaksi is a continuous signal approximating spike density per unit
468 time.

469 **Peron events**

470 Peron events refer to the de-noised calcium event traces detailed in the original Peron
471 et al. (2015b) paper. Here a version of the ‘peeling’ algorithm (Lütcke et al., 2013) was
472 developed, a template-fitting algorithm with variable decay time constants across events
473 and cells. This algorithm was tuned by the authors to generate a low number of false
474 positive detections (a rate of 0.01Hz) on ground truth data, and matching firing rate
475 statistics from cell-attached electrophysiology, leading to a hit rate of 54%. The output
476 for analysis is a continuous signal approximating de-noised calcium concentration per unit
477 time.

478 **Events and kernel versions of spike inference methods**

479 Where a spike inference method returns spike counts per time point, these are plotted
480 as Method_{events}. To compare to other methods that return a de-noised dF/F or firing
481 rate estimates, these event traces are convolved with a calcium kernel and plotted as
482 Method_{kernel}. The kernel used is consistent with that used as a default for GCaMP6s
483 in MLSpike, Suite2P and LZero, namely an exponential decay of two seconds duration
484 normalised to have an integral of 1.

485 **Ground truth spike train metrics**

486 Pearson correlation coefficient was computed between the ground truth and inferred spikes
487 (MLSpike) or events (Suite2P, LZero) following convolution of both with a gaussian kernel
488 (61 samples wide, 1.02 seconds).

489 Error Rate was computed between the ground truth and inferred spikes/events using
490 the Deneux et al. (2016) implementation of normalised error rate, derived from Victor
491 and Purpura (1996) Error Rate (code available <https://github.com/MLspike>). Briefly,
492 the error rate is 1 - F1-score, where the F1-score is the harmonic mean of sensitivity and
493 precision (Davis and Goadrich, 2006),

$$\text{sensitivity} = 1 - \frac{\text{misses}}{\text{total spikes}},$$

$$\text{precision} = 1 - \frac{\text{false detections}}{\text{total detections}},$$

$$\text{ErrorRate} = 1 - 2 \frac{\text{sensitivity} \times \text{precision}}{\text{sensitivity} + \text{precision}}.$$

494 Hits, misses and false detections were counted with a temporal precision of 0.5 seconds.
495 For normalised estimation of errors in firing/event rate we compute,

$$\frac{\text{estimated rate} - \text{true rate}}{\text{true rate}},$$

496 where spike/event rates are measured in Hz.

497 **Parameter fitting**

498 For each method the best parameters for each cell were determined by brute force search
499 over an appropriate range (i.e. at least two orders of magnitude encompassing full param-
500 eter ranges used in the original publications for each method). The parameter ranges were
501 explored on a log scale as follows: MLSpike A (0.01:1, 21 values), tau (0.01:5, 21 values),

502 sigma (0.01:1, 21 values); Suite2P Threshold (0.1:100, 13 values); LZero lambda (0.1:20,
503 23 values), scale (0.1:20, 23 values).

504 The modal best parameters, as determined using Error Rate on downsampled data,
505 were then fixed for the population imaging data analysis. These were: MLSpike A: 0.1995,
506 tau: 1.9686, sigma: 0.0398; Suite2P Threshold: 1.7783; LZero sigma: 0.1; lambda: 3.1623.

507 **Downsampling**

508 Ground truth calcium data was downsampled from 60Hz to 7Hz in Matlab by up-sampling
509 by `7 - interp(ca,7)` and downsampling the resultant 420Hz signal by 60 as Matlab's
510 downsampling must be done in integer steps.

511 **4.1 Event rate estimation**

512 Spike inference methods (Suite2P_{events}, MLSpike_{events}, LZero_{events}) return estimated spike
513 times (MLSpike), or event times (Suite2P/LZero) which were converted into mean event
514 rates (Hz) per cell.

515 The event rate for continuous methods (Calcium, Peron, Yaksi, Suite2P_{kernel}, MLSpike_{kernel},
516 LZero_{kernel}) for each cell was determined by counting activity/fluorescence transients
517 greater than three standard deviations of the background noise. Background noise was
518 calculated by subtracting a smoothed four-point moving average of the fluorescence from
519 the raw data to result in a 'noise only' trace. This operation was done separately for each
520 cell and each method. Event rate was then computed in Hz.

521 Silent cells were defined as cells with event rates below 0.0083Hz (or fewer than one
522 spike per two minutes of recording) as in [O'Connor et al. \(2010\)](#).

523 **4.2 Task-tuned cells**

524 Task-tuning was determined for each neuron using the model-free approach of [Peron et al.](#)
525 ([2015b](#)). Neurons were classed as task-tuned if their peak trial-average activity exceeded
526 the 95th percentile of a distribution of trial-average peaks from shuffled data (10000 shuf-
527 fles). The shuffle test was done separately for correct lick-left and lick-right trials and cells
528 satisfying the tuning criteria in either case were counted as task-tuned.

529 Tuned cell agreement was calculated as the number of methods that agreed to the
530 tuning status of a given cell, for all methods and separately for continuous and spike
531 inference methods.

532 **4.3 Touch-related responses**

533 Touch-tuned cells were determined by computing touch-triggered average activity for each
534 cell, before calculating whether the data distribution of peak touch-induced activity ex-
535 ceeds the expected activity of shuffled data. In more detail, the time of first touch -
536 between the mouse's whisker and the metal pole - on each trial was recorded. For each
537 touch time, one second of activity (seven data samples) was extracted before and after
538 the frame closest to touch (15 samples total); taking the mean of these gave the average
539 touch response for the cell. To determine whether a cell was touch tuned or not the time
540 of peak touch-triggered average activity was calculated, and a Wilcoxon rank sum test
541 (Benjamini Hochberg corrected, alpha 0.05) between the true data distribution at peak
542 time and a matched random sample of data from the same cell was performed.

543 **4.4 Pairwise correlations**

544 Pairwise correlations (Pearson correlation coefficients, Fig. 7a) were calculated for all pairs
545 of neurons in Matlab (corrcoef) at the data sampling rate (7Hz).

546 Stability of correlation estimates (Fig. 7b) at the recording durations used was assessed
547 by computed the similarity between correlation distributions for the the intact dataset to
548 those from subsets of the dataset. For each deconvolution method, we computed the
549 pairwise correlation matrix using the entire sessions data, as above. We also sampled a
550 subset of time-points (1%-100%) of the full dataset at random without replacement and
551 computed a matrix of pairwise correlations for this subset. We then compute the similarity
552 between the total and subset matrices using Pearsons correlation coefficient. This process
553 was repeated 100 times and the mean (line) and standard deviation (shading) of the 100
554 repeats were plotted.

555 **4.5 Correlations between correlation matrices**

556 Correlations between correlation matrices (Fig. 7c-e) were computed using Spearman's
557 rank correlation between the unique pairwise correlations from each method (i.e. the
558 upper triangular entries of the correlation matrix).

559 **4.6 Dimensionality**

560 To determine the dimensionality of each dataset we performed eigendecomposition of the
561 covariance matrix of each dataset. The resultant eigenvalues were sorted into descending
562 order, and the cumulative variance explained plotted, and the number of eigenvectors
563 required to explain 80% of the variance recorded.

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