

**DEF:** A matrix Lie gp  $G \subset GL(n, \mathbb{C})$

The Lie alg of  $G$  is  $\mathfrak{g} = \{X \in M_n(\mathbb{C}) \mid e^{tx} \in G, \forall t \in \mathbb{R}\}$ ,  $\mathfrak{g}$  is a real lie algebra.

**DEF)** A matrix Lie gp  $G$  is complex, if its lie alg  $\mathfrak{g}$  is a complex subspace of  $M_n(\mathbb{C})$ .

**prop:** If  $G$  commutative, then  $\mathfrak{g}$  is commutative.

Notations:  $gl(n, \mathbb{C})$  = the Lie algebra of  $GL(n, \mathbb{C})$ .

$sl(n, \mathbb{C})$ ,  $so(n)$ ,  $u(n)$ ,  $sp(n)$ , ...

**prop:**  $gl(n, \mathbb{C}) = M_n(\mathbb{C})$

$sl(n, \mathbb{C}) = \{X \in M_n(\mathbb{C}) \mid \text{trace}(X) = 0\}$

**proof:**  $X \in M_n(\mathbb{C})$  has tr 0, want to show  $e^{tx} \in SL(n, \mathbb{C})$

$$\Leftrightarrow \det(e^{tx}) = e^{\text{trace}(tx)} = 1 \Rightarrow X \in sl(n, \mathbb{C})$$

$$\begin{aligned} \text{Conversely, } & e^{tx} \text{ has det 1.} & \text{trace}(X) &= \frac{d}{dt} e^{t \cdot \text{trace}(x)} \Big|_{t=0} \\ & & &= \frac{d}{dt} \det(e^{tx}) \Big|_{t=0} = 0 \end{aligned}$$

Examples:  $u(n) = \{X \in M_n(\mathbb{C}) \mid X^* = -X\}$

Lie groups and Lie algebra homomorphisms

Former: Lie group  $\rightsquigarrow$  Lie algebra

Now: Lie group hom  $\rightsquigarrow$  Lie algebra hom?

**Thm:**  $G, H$  are mat Lie gps.  $\mathfrak{g}, \mathfrak{h}$  are Lie algebras.  $\Phi: G \rightarrow H$  Lie gp homo.

$\exists!$  R-linear map  $\phi: \mathfrak{g} \rightarrow \mathfrak{h}$  st  $\Phi(e^x) = e^{\phi(x)}$ ,  $\forall x \in \mathfrak{g}$ .

This map satisfies

$$\textcircled{1} \quad \phi(A X A^{-1}) = \Phi(A) \Phi(X) \Phi(A)^{-1} \quad \forall X \in \mathfrak{g}, A \in G$$

$$\textcircled{2} \quad \phi([x, y]) = [\phi(x), \phi(y)] \quad (\phi \text{ is Lie alg homo})$$

$$\textcircled{3} \quad \phi'(x) = \left. \frac{d}{dt} \Phi(e^{tx}) \right|_{t=0} \quad \forall x \in \mathfrak{g}.$$

**DEF)**  $G$  mat Lie gp.  $\mathfrak{g}$  is Lie alg of  $G$ .  $A \in G$ . The adjoint map  $Ad_A: \mathfrak{g} \rightarrow \mathfrak{g}$  is given by  $Ad_A(X) = AXA^{-1}$

**prop:**  $G, \mathfrak{g}$  as before.

$Ad: G \rightarrow GL(\mathfrak{g})$  is a Lie group homomorphism. (continuous).  $Ad(AB) = Ad A Ad B$

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Furthermore,  $\forall A \in G$ .  $Ad_A: g \rightarrow g$  is a Lie alg homomorphism. ( $Ad_A[x,y] = [Ad_A(x), Ad_A(y)]$ )

$g$ : Lie alg.  $GL(g) = \{ \text{invertible lie maps } f: g \rightarrow g \}$

$gl(g) = \{ \text{all linear maps } f: g \rightarrow g \}, [f, g] = fg - gf$

$\Rightarrow gl(g)$  is the Lie algebra of  $GL(g)$ .

Let  $\Phi = Ad$ , Then  $\Phi: G \rightarrow GL(g)$  is a Lie gp homomorphism.  $\Rightarrow \phi: g \rightarrow gl(g)$  is the lie algebra homomorphism:  $\Phi = Ad \Rightarrow \phi = ad$ .

pf: from theorem 3.2.8. Points,  $\phi(x) = \frac{d}{dt} \Phi(e^{tx})|_{t=0} = \frac{d}{dt} Ad e^{tx}|_{t=0}$

$$\begin{aligned}\phi(x)(Y) &= \frac{d}{dt} e^{tx} Y e^{-tx}|_{t=0} \\ &= e^{tx} X Y e^{-tx} + e^{tx} Y e^{-tx} (-X)|_{t=0} = X Y - Y X\end{aligned}$$

prop:  $Ad_{e^x} = e^{adx}$

Ex: Let  $G = GL_n(\mathbb{C})$ ,  $g = gl(n, \mathbb{C}) = M_n(\mathbb{C})$

prop:  $\forall X \in M_n(\mathbb{C})$ , letting  $adx: M_n(\mathbb{C}) \rightarrow M_n(\mathbb{C})$  by  $adx[Y] = [X, Y] \Rightarrow e^x Y e^{-x} = e^{adx}(Y)$

### §3.6. The complexification of a real lie algebra.

DEF)  $V$  is finite-dim real v.s. The complexification of  $V$  is  $V_{\mathbb{C}} = \{ V_1 + iV_2 \mid V_1, V_2 \in V \}$

Def:  $i(V_1 + iV_2) = -V_2 + iV_1$

prop):  $g$ : finite-dim real lie alg.  $g_{\mathbb{C}}$  is the complexification of  $g$ . (as vectorspace)

$\exists ! [ , ]_{g_{\mathbb{C}}}$  on  $g_{\mathbb{C}}$  st.

①  $g_{\mathbb{C}}$  with  $[ , ]$  is a complex Lie algebra

②  $[x, y]_{g_{\mathbb{C}}} = [x, y]_g \quad \forall x, y \in g$

$g_{\mathbb{C}}$  is called the complexification of the real Lie alg  $g$ .

prop):  $g \subseteq M_n(\mathbb{C})$  is a real lie alg.  $\forall x \in g$ ,  $ix \notin g$ .  $g_{\mathbb{C}} \cong \{ x + iy \in M_n(\mathbb{C}) \mid x, y \in g \}$

$U(n)_{\mathbb{C}} \cong gl(n, \mathbb{C})$

pf:  $U(n)_{\mathbb{C}} = \{ X \in M_n(\mathbb{C}) \mid X^* = -X \}$

## The exponential map

DEF)  $G$  is mat lie gp with lie algebra  $g$ . The exponential map for  $G$  is the map  $\exp: g \rightarrow G$

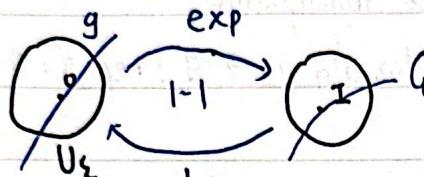
In general:  $\exp: g \rightarrow G$  is neither 1-1 nor onto. However, "locally": 1-1 and onto.

Thm: For  $0 < \varepsilon < \log 2$ , Let  $U_\varepsilon = \{X \in M_n(\mathbb{C}) \mid \|X\| < \varepsilon\}$ ,  $V_\varepsilon = \exp(U_\varepsilon)$

$G \subseteq GL(n, \mathbb{C})$ ,  $g$  is Lie algebra of  $G$ . Then  $\exists 0 < \varepsilon < \log 2$  s.t.  $\forall A \in V_\varepsilon$ , then

$$A \in G \Leftrightarrow \log A \in g.$$

Explanation:



$\exp: g \rightarrow G$  locally 1-1 & onto.

$$\Leftrightarrow \exp(g \cap U_\varepsilon) = G \cap V_\varepsilon$$

Cor:  $\dim_{\mathbb{R}} g = k$ , Then  $G$  is a smooth embedded submanifold  $M_n(\mathbb{C})$  of  $\dim k$ . Therefore

$G$  is a Lie gp.

Cor:  $G \subseteq GL(n, \mathbb{C})$  mat lie gp with Lie alg  $g$ . Then  $X \in g \Leftrightarrow \exists$  smooth curve  $r(t)$  in  $G$  st

$$r(0) = I, \frac{dr}{dt}|_{t=0} = X. (\Rightarrow g \text{ is the tangent space of } G \text{ at the identity}).$$

Recall:  $\exists: G \rightarrow H \rightsquigarrow \phi: g \rightarrow h$

Toro: If  $G$  connected,  $\Phi$  is determined by  $\phi$ .  $\Phi \xleftarrow{1-1} \phi$ .

## Basic repn theory

Recall:  $G$  commutative-connected. Then  $G$  commutative  $\Leftrightarrow g$  commute

$G$ : mat Lie gp.  $\Rightarrow G_0$  is also a matrix lie group. Lie alg of  $G \cong$  Lie alg of  $G_0$

Lie algebra is easier to learn!

Now:  $V$  is finite-dim vec sp over  $\mathbb{C}$ .

$GL(V) =$  group of invertible linear transformations on  $V$  ( $\cong GL(n, \mathbb{C})$ )

$gl(V) = End(V) =$  space of all linear trans on  $V$ . (Lie alg with  $[X, Y] = XY - YX$ )

DEF):  $G$  matric lie gp. A repn of  $G$ , is a lie group homomorphism  $\pi: G \rightarrow GL(V)$

DEF)  $g$ : Lie alg. A finite-dim rep of  $g$  is a lie alg homo:  $\pi: g \rightarrow \text{GL}(V)$

We will always consider a rep as a linear action on a vector space.

Note:  $\Pi: G \rightarrow \text{GL}(V)$  is group homo.  $(gh).v = g.h.v$ .

$$\pi: g \rightarrow \text{gl}(V) \text{ is lie alg homo } \quad \begin{aligned} \Pi([x,y]) &= [\pi(x), \pi(y)] \Leftrightarrow [x,y].v = x.(y.v) - y.(x.v) \\ &= \pi(x)\pi(y) - \pi(y)\pi(x) \end{aligned}$$

DEF:

$\Pi$ : rep of  $G$  acting on  $V$ .  $W \subseteq V$  is invariant subspace if  $g.W \subseteq W \forall g \in G$

Typical problem: is to classify all irr repns up to isomorphisms.

prop:  $\Pi: G \rightarrow \text{GL}(V)$ .  $G$  is Lie gp with lie alg  $g$ .  $\Rightarrow \exists !$  rep  $\pi: g \rightarrow \text{gl}(V)$  st  $\Pi(e^x) = e^{x\pi}$

$$\text{Moreover, } \pi(x) = \frac{d}{dt} \Pi(e^{tx}) \Big|_{t=0}.$$

$$\pi(A X A^{-1}) = \Pi(A) \pi(X) \Pi(A)^{-1}$$

prop:  $G$ : connected matrix Lie gp with lie alg  $g$

$$\textcircled{1} \quad \Pi \text{ is irr} \Leftrightarrow \pi \text{ is irr}$$

$$\textcircled{2} \quad \Pi_1 \cong \Pi_2 \Leftrightarrow \pi_1 \cong \pi_2$$

DEF:  $G$  matrix lie gp with  $g$ . The adjoint repn of  $G$  is  $\text{Ad}: G \rightarrow \text{GL}(g)$

The adjoint repn of  $g$  is  $\text{ad}: g \rightarrow \text{gl}(g)$

$$X \xrightarrow{\text{ad}} \text{adx}, \text{adx}(Y) = [x, Y]$$

$$\text{Ad is rep} \Leftrightarrow \text{Ad}(AB) = \text{Ad}(A)\text{Ad}(B)$$

$$\text{ad is rep} \Leftrightarrow \text{ad}(xy) = \text{adx}\text{ady} - \text{ady}\text{adx}$$

DEF: Tensor product  $f: U \rightarrow U$ ,  $g: V \rightarrow V$  are linear map. their tensor product is:  $f \otimes g: U \otimes V \rightarrow U \otimes V$

DEF  $G, H$  are matrix Lie gp.  $\Pi_1$ : rep of  $G$  on  $U$ .  $\Pi_2$ : rep of  $H$  on  $V$

The tensor product  $\Pi_1 \otimes \Pi_2$  of  $\Pi_1$  and  $\Pi_2$  is: the rep of  $G \times H$  acting on  $U \otimes V$

$$\text{Via } (\Pi_1 \otimes \Pi_2)(A, B) = \Pi_1(A) \otimes \Pi_2(B)$$

$$\text{Note: } \Pi_1 \otimes \Pi_2: G \times H \rightarrow \text{GL}(U \otimes V)$$

prop):  $G, H$  mat lie gps with lie algebras  $g, h$ .

$\Pi_1, \Pi_2$ : repns of  $G, H$

$\pi_1, \pi_2$ : repns of corresponding  $g, h$

$\Rightarrow \Pi_1 \otimes \Pi_2$ : the tensor product of  $\Pi_1$  and  $\Pi_2$  (repn of  $G \otimes H$ ).

let  $\pi_1 \otimes \pi_2$  be the corresponding Lie alg rep of  $g \otimes h$ . Then  $(\Pi_1 \otimes \Pi_2)(X, Y) = \pi_1(X) \otimes I + I \otimes \pi_2(Y)$ ,  
 $\forall X \in g, Y \in h$ .

DEF:  $g, h$  lie alg,  $\pi_1, \pi_2$  are repns of  $g$  and  $h$  acting on  $U, V$ . The tensor product

$\pi_1 \otimes \pi_2$  is the rep of  $g \otimes h$  acting on  $U \otimes V$  by:

$$(\Pi_1 \otimes \Pi_2)(X, Y) = \pi_1(X) \otimes I + I \otimes \pi_2(Y), \text{ i.e. } (X, Y)(U \otimes V) = (X, U) \otimes V + U \otimes (Y, V)$$

### Schur's lemma

1.  $V, W$  irr repns of a gp / lie algebra.  $\phi: V \rightarrow W$  is an intertwining map

$\Rightarrow \phi = 0$  or  $\phi$  is an isomorphism

2.  $V$ : irr rep.  $\phi: V \rightarrow V$  is an intertwining map.  $\Rightarrow \phi = \lambda I$  for some  $\lambda \in \mathbb{C}$

3.  $V, W$  irr repns.  $\phi_1, \phi_2: V \rightarrow W$  are 2 nonzero intertwining maps.  $\Rightarrow \phi_1 = \lambda \phi_2$  for some  $\lambda \in \mathbb{C}$ .

Note. in 2, 3, the base field is  $\mathbb{C}$

### Repns of $sl(2, \mathbb{C})$

Goal: Find all irr repns of  $sl(2, \mathbb{C})$

$$\text{Standard basis: } X = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, Y = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}, H = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

lemma:  $\pi$  is repn of  $sl(2, \mathbb{C})$ .  $U$ : eigenvector of  $\pi(H): V \rightarrow V$ . With eigenvalue  $\alpha$  ( $\pi(H)v = \alpha v$ ). Then  $\pi(H)\pi(X)U = (\alpha + 2)\pi(X)U$ . (which means  $\pi(X)U$  will either be an eigenvector for  $\pi(H)$  with  $\alpha + 2$ , or  $\pi(X)U = 0$ ).

Similarly,  $\pi(H)\pi(Y)U = (\alpha - 2)\pi(Y)U$ .

$$\text{pf: } [\pi(H), \pi(X)] = \pi([H, X]) = 2\pi(X)$$

$$\pi(H)\pi(X) - \pi(X)\pi(H) = 2\pi(X)$$

$$\pi(H)\pi(X)u = \pi(X)\pi(H)(u) + 2\pi(X)u$$

$$= \pi(X)u + 2\pi(X)u = (\alpha+2)\pi(X)u$$

Now. Let  $\pi$  be an irr rep of  $sl(2, \mathbb{C})$  acting on  $V$ .

Strategy: Diagonalize  $\pi(H)$ , i.e. find eigenvectors spanning  $V$ .

$\mathfrak{h}$  is alg closed  $\Rightarrow \pi(H)$  has at least one eig vector  $u$  with e.v.  $\lambda$ .

- By lemma  $\pi(H)\pi(X)^k u = (\alpha+2k)\pi(X)^k u$  ( $k \geq 0$ )

$\pi(H)$  can have at most  $\dim V$  distinguish eig values.  $\exists N \geq 0$  st

$$\pi(X)^N u \neq 0, \pi(X)^{N+1} u = 0$$

$$\text{Let } u_0 = \pi(X)^N u. \quad \lambda = \alpha+2N. \Rightarrow \pi(H)u_0 = \lambda u_0, \pi(X)u_0 = 0$$

- Let  $u_k = \pi(Y)^k u_0$  for  $k \geq 0$ . By lemma.  $\pi(H)u_k = (\lambda-2k)u_k$  ( $k \geq 0$ )

$$\pi(X)u_k = k(\lambda-k+1)u_{k-1}, (k \geq 1) \quad (*)$$

As before  $u_k = 0$  for some  $k$ . Let  $u_k = \pi(Y)^k u_0 \neq 0$  for  $0 \leq k \leq m$

$$\pi(X)^{m+1} u_0 = 0.$$

$$\text{By } (*). \quad 0 = \pi(X)\pi(X)^{m+1} u_0 = (m+1)(\lambda-m)u_m \rightsquigarrow \lambda = m \text{ (integer)} \geq 0$$

Consider action  $\pi(X), \pi(Y), \pi(H)$  on  $u_0, \dots, u_m$

$$\left. \begin{array}{l} \pi(H)u_k = (m-2k)u_k \\ \pi(Y)u_k = \begin{cases} u_{k+1} & k < m \\ 0 & k = m \end{cases} \\ \pi(X)u_k = \begin{cases} k(m-k+1)u_{k-1} & \text{if } k > 0 \\ 0 & k = 0 \end{cases} \end{array} \right\} \Downarrow$$

Since  $u_0, u_1, \dots, u_m$  are e.vec for  $\pi(H)$  with distinct e.value. They're linear indep.

$W = \text{span}\{u_0, \dots, u_m\} \subseteq V$ , and  $W$  is invariant  $\neq 0$ .  $\Rightarrow V = W$  (irreducible)

$$V = \text{span}\{u_0, \dots, u_m\}, \dim V = m+1$$

$\Rightarrow V, V'$  irr rep of  $sl(2, \mathbb{C})$  with same dim. then  $V \cong V'$  (uniqueness)

If we define a rep by  $\pi$ , then it's indeed a rep of  $sl(2, \mathbb{C})$ .

Campus Thm:  $\forall m \in \mathbb{Z}^+$ ,  $\exists!$  irr representation of  $sl(2, \mathbb{C})$  of dim  $m+1$ . (up to iso). which is given by  $\pi$ .

Thm:  $(\pi, V)$  is finite dim representation of  $sl(2, \mathbb{C})$ , (not necessarily irreducible)

① Every eigenvalue of  $\pi(H)$  is integer. If  $v$  is an eigenvector of  $\pi(H)$  with ev  $\lambda$ .

$\pi(X)v=0$ , then  $\lambda$  is a nonnegative int

② Operators  $\pi(X)$  and  $\pi(Y)$  are nilpotent

③ If we define  $S: V \rightarrow V$  by  $S = e^{\pi(X)} e^{-\pi(Y)} e^{\pi(X)}$ , then  $S\pi(H)S^{-1} = -\pi(H)$

④  $k$  is an eigenvalue of  $\pi(H)$ , then  $-|k|, -|k|+2, \dots, |k|-2, |k|$  are also eigenvalues of  $\pi(H)$ .

Pf: Only NTS ③.

$$\begin{aligned} S\pi(H)S^{-1} &= e^{\pi(X)} e^{-\pi(Y)} e^{\pi(X)} \pi(H) e^{-\pi(X)} e^{\pi(Y)} e^{-\pi(X)} \\ &= \text{Ad } e^{\pi(X)} \text{ Ad } e^{-\pi(Y)} \text{ Ad } e^{\pi(X)}(\pi(H)) \quad \text{Ad } e^z = e^{\text{ad } z} \\ &= e^{\text{ad } \pi(X)} e^{\text{ad } -\pi(Y)} e^{\text{ad } \pi(X)}(\pi(H)) \\ &= e^{\text{ad } \pi(X)} e^{\text{ad } -\pi(Y)} \underbrace{(\pi(H) + [\pi(X), \pi(H)] + \frac{1}{2}[\pi(X), [\pi(X), \pi(H)]])}_{\pi(H) - 2\pi(X)} \end{aligned}$$

Do the same thing with  $e^{\text{ad } -\pi(Y)}$ ,  $e^{\text{ad } \pi(X)}$ , we obtain 3.

Summary of pf of irr rep of  $sl(2, \mathbb{C})$ .

- U eigenvector for  $sl(2, \mathbb{C})$  with eigenvalue  $a$ .

$$U \xrightarrow{\pi(X)} U' \xrightarrow{\pi(X)} U'' \xrightarrow{\pi(X)} \dots \xrightarrow{\pi(X)} U^{(n)} \xrightarrow{\pi(X)} U_0$$

*Highest weight operator*

$$0 \leftarrow U_m \leftarrow \dots \leftarrow U_2 \leftarrow U_1 \leftarrow U_0$$

Next: The Baker Campbell Hausdorff formula