

# RSA CRYPTO-SYSTEM

## Preliminaries.

**Theorem** (Fermat's Little Theorem). *If  $p$  is prime, and  $a$  an integer, then*

$$a^p \equiv a \pmod{p}$$

*Proof.* (Use induction on  $a$ .)

If  $a = 1$ , then

$$a^p = 1^p = 1 \equiv a \pmod{p}.$$

Suppose  $k^p \equiv k \pmod{p}$ . (Inductive hypothesis)

Then

$$(k+1)^p = k^p + \binom{p}{1}k^{p-1} + \dots + \binom{p}{p-1}k + 1.$$

Now if  $p$  is prime and  $0 < t < p$ , then  $\binom{p}{t}$  has a factor  $p$ . So

$$(k+1)^p \equiv k^p + 1 \equiv k + 1 \pmod{p}.$$

□

*Illustration.* For  $a = 3$  and  $p = 7$ ,

$$\begin{aligned} 3^7 &= 3^{2 \cdot 3 + 1} \\ &= (3^2)^3 \cdot 3^1 \\ &= 9^3 \cdot 3 \equiv 2^3 \cdot 3 \\ &= 8 \cdot 3 \equiv 1 \cdot 3 \equiv 3 \pmod{7}. \end{aligned}$$

*Consequences.* In the case that  $p$  is prime and  $p \nmid a$ ,

- $a^{p-1} \equiv 1 \pmod{p}$  (by Theorem 2, Section 2.6)
- $a^{-1} \equiv a^{p-2} \pmod{p}$
- If  $\gcd(a, p) = 1$  and  $a^{p-1} \not\equiv 1 \pmod{p}$ , then  $p$  **is not** prime.

*Illustration.* If  $a = 2$  and  $n = 91$ , first observe that  $2^{12} \equiv 1 \pmod{91}$ .

$$\begin{aligned} 2^{12} &= 4096 = 4000 + 96 = 40(100) + 96 \\ &\equiv 40(9) + 5 = 4 \cdot (90) + 5 \equiv 4(-1) + 5 \\ &\equiv 1 \pmod{91}. \end{aligned}$$

So now,

$$\begin{aligned} 2^{91} &= 2^{7(12)+7} \\ &= (2^{12})^7 \cdot 2^7 \\ &\equiv 1^7 \cdot 128 \equiv 37 \pmod{91}. \end{aligned}$$

### The Euler $\varphi$ -function.

**Definition** (Euler  $\varphi$ -function). For any  $n \in \mathbb{N}$ , the Euler  $\varphi$ -function, also known as Euler's totient function, is defined to be the number of  $m \in \mathbb{N}$  satisfying  $m \leq n$  and  $\gcd(m, n) = 1$ .

*Properties of  $\varphi(n)$ .*

- If  $p$  is prime, then  $\varphi(p) = p - 1$ .
- If  $p$  is prime, then  $\varphi(p^\alpha) = p^\alpha - p^{\alpha-1} = p^\alpha(1 - 1/p)$ .

*Count Them.* First, the multiples of  $p$  up to and including  $p^\alpha$  are

$$p, 2p, 3p, \dots, (p-1)p, p^2, p^2 + p, p^2 + 2p, \dots, p^\alpha$$

and there are  $p^{\alpha-1}$  of them.

So,

$$\begin{aligned} \varphi(p^\alpha) &= (\text{number of } n \leq p^\alpha) \\ &\quad - (\text{number of multiples of } p \leq p^\alpha) \\ &= p^\alpha - p^{\alpha-1} \end{aligned}$$

□

- If  $\gcd(a, b) = 1$ , then  $\varphi(ab) = \varphi(a)\varphi(b)$ .
- In general, for any integer  $n > 1$ , if the distinct prime numbers dividing  $n$  are  $p_1, p_1, \dots, p_k$ —that is,  $n = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_k^{\alpha_k}$ —then  $\varphi(n) = n(1 - 1/p_1)(1 - 1/p_2) \cdots (1 - 1/p_k)$ .

**Theorem** (Euler). If  $\gcd(a, n) = 1$ , then  $a^{\varphi(n)} \equiv 1 \pmod{n}$ .

*Observe.*

- If  $n$  is prime, then  $\varphi(n) = n - 1$  and we have

$$a^{\varphi(n)} = a^{n-1} \equiv 1 \pmod{n}$$

which is Fermat's Little Theorem.

- In our earlier computation involving  $2^{91} \pmod{91}$ , we can see  $a^{\varphi(n)} \equiv 1 \pmod{n}$  occurring as a subproblem by observing

◦  $91 = 7 \cdot 13$  so

$$\varphi(91) = \varphi(7)\varphi(13) = 6 \cdot 12 = 72, \quad \text{and}$$

◦  $2^{12} \equiv 1 \pmod{91}$ .

So  $2^{\varphi(91)} = 2^{12 \cdot 6} = (2^{12})^6 \equiv 1^6 \equiv 1 \pmod{91}$ .

### Description of RSA.

RSA encryption starts with a numerical plaintext  $P$  and converts it into a numerical ciphertext  $C$  by

$$C = P^e \bmod n.$$

Upon receipt,  $C$  is decrypted in a similar manner using the same modulus  $n$  and a different exponent  $d$ . That is

$$P = C^d \bmod n.$$

The values of  $n$ ,  $e$ , and  $d$  are constructed as follows.

### Key Generation.

- Randomly select two primes  $p$  &  $q$ .  
To keep the factoring of  $n$  from defaulting to something that might be “easy”,  $p$  &  $q$  should be roughly the same size. In real world implementations, they are about 150 digits long. This corresponds to “1024-bit encryption”, the 1024 bits referring to the size of  $n$ .
- Compute  $n = pq$  and  $\varphi(n) = (p-1)(q-1)$ .
- Select a random integer  $e$  with  $1 < e < \varphi(n)$  and  $\gcd(e, \varphi(n)) = 1$ .
- Compute the unique integer  $d$ ,  $1 < d < \varphi(n)$  such that

$$ed \equiv 1 \pmod{\varphi(n)}.$$

### The Public Key and Encryption.

- Make public  $n$  and  $e$ .
- Encipher plaintext  $P$  by

$$C = P^e \bmod n.$$

### The Private Key and Decryption.

- Keep private  $p$ ,  $q$ ,  $\varphi(n)$ , and  $d$
- Decipher ciphertext  $C$  by

$$P = C^d \bmod n.$$

**A Small Example.****Select two primes:**

$$p = 11 \text{ and } q = 13.$$

$$\text{So } n = pq = 143.$$

$$\text{Now } \varphi(n) = (p-1)(q-1) = 10 \cdot 12 = 120.$$

**Choose  $e$  coprime with  $\varphi(n)$ :**

$$\text{Choose } e = 37.$$

**Find  $d$ :**

$$\text{We need } e \cdot d \equiv 1 \pmod{120}.$$

$$\text{Compute } 37^{-1} \pmod{120}.$$

$$\text{Now solve } 37d \equiv 1 \pmod{120}; \text{ that is, solve } 37d + 120q = 1 \text{ for } d.$$

$$120 = 3 \cdot 37 + 9$$

$$37 = 4 \cdot 9 + 1,$$

so

$$1 = 37 - 4 \cdot 9$$

$$= 37 - 4(120 - 3 \cdot 37)$$

$$= 13 \cdot 37 - 4 \cdot 120.$$

Therefore  $d = 13$ .Alternatively, we could compute  $37^{\varphi(120)-1} \pmod{120}$ :

$$\begin{aligned} \varphi(120) &= \varphi(12 \cdot 10) = \varphi(2^2 \cdot 3 \cdot 2 \cdot 5) = \varphi(2^3 \cdot 3 \cdot 5) \\ &= \varphi(2^3)\varphi(3)\varphi(5) = (2^3 - 2^2)(3 - 1)(5 - 1) = (8 - 4)(2)(4) = 4 \cdot 2 \cdot 4 = 32. \end{aligned}$$

$$\text{So } \varphi(120) = 32, \text{ and } \varphi(120) - 1 = 31.$$

Now, reducing by mod 120,

$$\begin{aligned} 37^{\varphi(120)-1} &= 37^{31} = 37^{1+30} = 37^{1+2 \cdot 15} = 37 \cdot (37^2)^{15} \\ &\equiv 37 \cdot 49^{15} = 37 \cdot 49^{1+2 \cdot 7} = 37 \cdot 49 \cdot (49^2)^7 \\ &\equiv 37 \cdot 49 \cdot 1^7 = 31 \cdot 49 \\ &\equiv 13 \pmod{120}. \end{aligned}$$

Note: With this base (120),  $e = 19, 29$ , and  $31$  are all their own inverses! So these would be bad choices for  $e$ .

**The Public Key:**

$$n = 143, e = 37$$

**The Private Key:**

$$n = 143, d = 13$$

**Encipher a Message:** Let's encipher "Hi."

- Begin by converting our plaintext into a number or series of numbers. Using the ASCII values, we find that

$$H \longleftrightarrow 72$$

$$i \longleftrightarrow 105$$

$$. \longleftrightarrow 46$$

- Raise each to the power  $e = 37$  and reduce mod 143.

$$\begin{aligned} 72^{37} &= 72^{1+2 \cdot 18} = 72 \cdot 72^{2 \cdot 18} = 72 \cdot (72^2)^{18} \\ &\equiv 72 \cdot 36^{18} = 72 \cdot 36^{2 \cdot 9} = 72 \cdot (36^2)^9 \\ &\equiv 72 \cdot 9^9 = 72 \cdot 9^{3 \cdot 3} = 72 \cdot (9^3)^3 \\ &\equiv 72 \cdot 14^3 \equiv 72 \cdot 27 \\ &\equiv 85. \end{aligned}$$

85 is the enciphered letter "H".

$$\begin{aligned} 105^{37} &= 105^{1+2 \cdot 18} = 105 \cdot 105^{2 \cdot 18} = 105 \cdot (105^2)^{18} \\ &\equiv 105 \cdot 14^{18} = 105 \cdot 14^{2 \cdot 9} = 105 \cdot (14^2)^9 \\ &\equiv 105 \cdot 53^9 = 105 \cdot 53^{3 \cdot 3} = 105 \cdot (53^3)^3 \\ &\equiv 105 \cdot 14^3 \equiv 105 \cdot 27 \\ &\equiv 118. \end{aligned}$$

118 is the enciphered letter "i".

$$\begin{aligned} 46^{37} &= 46^{1+2 \cdot 18} = 46 \cdot 46^{2 \cdot 18} = 46 \cdot (46^2)^{18} \\ &\equiv 46 \cdot 114^{18} = 46 \cdot 114^{2 \cdot 9} = 46 \cdot (114^2)^9 \\ &\equiv 46 \cdot 126^9 = 46 \cdot 126^{3 \cdot 3} = 46 \cdot (126^3)^3 \\ &\equiv 46 \cdot 92^3 \equiv 46 \cdot 53 \\ &\equiv 7. \end{aligned}$$

7 is the enciphered letter ".".

The ciphertext  $C_t$  is 85 105 7.

**Decipher a Message:** Let's decipher the ciphertext we just received,  
 $C_t = 851057$ .

- Raise each number in the ciphertext to the power  $d = 13$  and reduce mod 143. Then look up the letter in the ASCII table.

$$\begin{aligned} 85^{13} &= 85^{1+2 \cdot 2 \cdot 3} = 85 \cdot 85^{2 \cdot 2 \cdot 3} = 85 \cdot ((85^2)^2)^3 \\ &\equiv 85 \cdot (75^2)^3 \equiv 85 \cdot 48^3 \equiv 85 \cdot 53 \\ &\equiv 72. \end{aligned}$$

72 is the ASCII value of "H".

$$\begin{aligned} 118^{13} &= 118^{1+2 \cdot 2 \cdot 3} = 118 \cdot 118^{2 \cdot 2 \cdot 3} = 118 \cdot ((118^2)^2)^3 \\ &\equiv 118 \cdot (53^2)^3 \equiv 118 \cdot 92^3 \equiv 118 \cdot 53 \\ &\equiv 105. \end{aligned}$$

105 is the ASCII value of "i".

$$\begin{aligned} 7^{13} &= 7^{1+2 \cdot 2 \cdot 3} = 7 \cdot 7^{2 \cdot 2 \cdot 3} = 7 \cdot ((7^2)^2)^3 \\ &\equiv 7 \cdot (49^2)^3 \equiv 7 \cdot 113^3 \equiv 7 \cdot 27 \\ &\equiv 46. \end{aligned}$$

46 is the ASCII value of ".".

The plaintext  $P_t$  is "Hi.".

*Why It Works.* In order to decode ciphertext  $C$  into the original plaintext  $P$ , we need

$$P = C^d = (P^e \bmod n)^d = P^{e \cdot d} \bmod n.$$

The requirement that  $ed \equiv 1 \pmod{\varphi(n)}$ , means that  $ed$  can be written as

$$ed = 1 + k \cdot \varphi(n)$$

for some integer  $k$ . Therefore

$$\begin{aligned} P^{d \cdot e} &= P^{1+k\varphi(n)} \\ &= P^1 \cdot P^{\varphi(n) \cdot k} \\ &= P \cdot \left(P^{\varphi(n)}\right)^k \\ &\equiv P \cdot 1 \equiv P \pmod{n}. \end{aligned}$$

## Protocols.

*The Context.*

- Bob creates an RSA crypto-system with public key  $(n_B, e_B)$  and private key  $(n_B, d_B)$ .
- Alice creates an RSA crypto-system with public key  $(n_A, e_A)$  and private key  $(n_A, d_A)$

*Implementations.*

### Alice sends a message $P$ to Bob:

- Alice wants her message to Bob to be read only by him.
  - (1) Alice encrypts  $P$  into  $C$  using Bob's public key  $(n_B, e_B)$  and sends  $C$  to Bob.
  - (2) Bob uses his private key  $(n_B, d_B)$  to decipher  $C$  back into  $P$ .

### Alice sends a signed message $P$ to Bob:

- Alice wants her message to Bob to be read only by him.
- Bob wants assurance that it was Alice who sent him the message, and that Alice cannot deny that she sent it.
  - (1) Alice signs  $P$  by encrypting it into  $S$  using her private key  $(n_A, d_A)$ , then she enciphers  $S$  into  $C$  using Bob's public key  $(n_B, e_B)$  and sends  $C$  to Bob.
  - (2) Bob deciphers  $C$  into  $S$  using his private key  $(n_B, d_B)$ , then he "unsigns"  $S$  into  $P$  using Alice's public key  $(n_A, e_A)$ . Since only Alice had the inverse of her decryption, the message had to come from Alice.

## Practical Matters.

The implementation has several practical matters.

### Handling Long Messages:

If the message is long, break it up into numbers  $P_t$  where

$$0 < P_t < n$$

and perform RSA on each  $P_t$ .

### Randomly Selecting Primes $p$ and $q$ :

Security requires that  $p$  and  $q$  not be guessed easily, so they should have no special characteristics other than being prime. This is achieved using probabilistic methods.

- (1) “Randomly” generate a string of digits of the appropriate length (ending in an odd digit other than 5).  
(In a binary implementation, just require that the units bit be 1.)  
This becomes a candidate for  $p$  (or  $q$ ).
- (2) Run a probabilistic test for primality  $k$  times. If it passes  $k$  times then the probability that it is prime is

$$1 - \frac{1}{b^k}$$

where  $b$  depends on the particular test. (E.g.  $b = 2$  for the Solovay-Strassen test,  $b = 4$  for the Miller-Rabin test.)

#### Preliminary Checks:

Before fixing values for  $p$ ,  $q$ , and  $e$ , a good implementation will involve a computation of  $d$  to see that the choices yield no unfortunate surprises.

- If  $p - q$  is small, then  $p \approx \sqrt{n}$ , in which case  $n$  could be factored efficiently merely by trial division of all odd numbers close to  $\sqrt{n}$ .
- A good implementation will involve a check that  $d \neq e$ . This is rare that  $d = e$ , but it is not impossible.  
(If  $p = 11$  and  $q = 13$ , then if  $e = 19, 29$ , or  $31$ , then  $d = e$ .)

#### Raising Powers:

Because the size of  $P^e$  and  $C^d$  increase exponentially in their computation, *it is vital that the “square and multiply” algorithm be used and that modulo- $n$  reduction be performed at each step.*

#### SQUARE AND MULTIPLY

Compute  $x^b \bmod n$  where  $b = (b_t \dots b_1 b_0)_2$ .

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Input:  $x$  and  $b$ 
 $z := 1$ 
for  $i := t$  down to 0 do
   $z := z^2 \bmod n$ 
  if  $b_i = 1$  then  $z = (z \cdot x) \bmod n$ .
```

*Example.* Compute  $x^{11}$ : Note that

$$(11)_{10} = (1011)_2 = (b_3 b_2 b_1 b_0)_2.$$

```

 $z := 1$ 
   $z := z \cdot x$  (I.e.  $z = x$ . This handles  $b_3 = 1$ )
 $z := z^2$  (I.e.  $z = x^2$ . This handles  $b_2 = 0$ )
 $z := z^2$  (I.e.  $z = x^4$ )
   $z := z \cdot x$  (I.e.  $z = x^5$ . This handles  $b_1 = 1$ )
 $z := z^2$  (I.e.  $z = x^{10}$ )
   $z := z \cdot x$  (I.e.  $z = x^{11}$ . This handles  $b_0 = 1$ )
```

#### Fair Warning:

Regardless of your choice of  $p$ ,  $q$ , and  $e$ , there will always be plain-texts  $P$  for which  $P^e \equiv P \pmod{n}$ . (For example,  $P = 0, 1$ , and



$n-1$ .) In fact, the number of such “unconcealed messages” is exactly

$$(1 + \gcd(e-1, p-1)) \cdot (1 + \gcd(e-1, q-1))$$

and since  $e-1$ ,  $p-1$ , and  $q-1$  are all even, there will always be at least 9 unconcealed messages.

Fortunately, if  $p$  and  $q$  are prime, and if  $e$  is randomly selected, then the proportion of messages left unconcealed by RSA is generally negligibly small.

*Why  $\varphi(n)$  Must Be Kept Secret.*

If both  $n$  (i.e.  $p \cdot q$ ) and  $\varphi(n)$  (i.e.  $(p-1)(q-1)$ ) are known, then the values of  $p$  and  $q$  can be computed using the following technique.

$$(p-1)(q-1) = pq - p - q + 1$$

so

$$\begin{aligned} \varphi(n) - n + 1 &= (pq - p - q + 1) - pq + 1 \\ &= -(p + q) \end{aligned}$$

Also,

$$x^2 - (a+b)x + ab = 0$$

has solutions  $a$  and  $b$ .

Now use the quadratic formula to find the zeros of

$$x^2 + \underbrace{(\varphi(n) - n + 1)}_{-(p+q)}x + \underbrace{n}_{pq} = 0$$

*Example.* Suppose  $n = 253$  and  $\varphi(n) = 220$ .

Solve

$$\begin{aligned} x^2 + (220 - 253 + 1)x + 253 &= \\ x^2 - 34x + 253 &= 0 \end{aligned}$$

$$\begin{aligned} x &= \frac{-(-34) \pm \sqrt{(-34)^2 - 4 \cdot 253}}{2} \\ &= \frac{34 \pm \sqrt{1156 - 1012}}{2} \\ &= \frac{34 \pm \sqrt{144}}{2} \\ &= \frac{34 \pm 12}{2} \\ &= \frac{46}{2} \text{ or } \frac{22}{2} = 23 \text{ or } 11 \end{aligned}$$

Notice: 11 and 23 are primes, with

$$11 \cdot 23 = 253 = n$$

and

$$(11-1)(23-1) = 10 \cdot 22 = 220 = \varphi(n)$$