# Performance Variability in Zero-Shot Classfication

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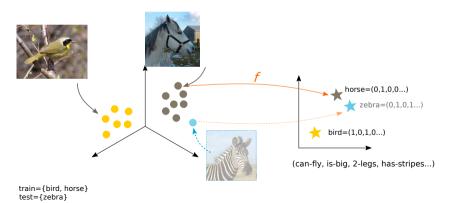


## **ZSC** Problem

Zero-shot classification is the task of learning predictors for samples not seen during training:

Given  $\mathcal{D}^{tr} = \{(x_i, y_i) \mid x_i \in \mathcal{X}^{tr}, y_i \in \mathcal{Y}^{tr}\}.$ 

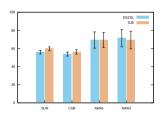
Learn  $f: \mathcal{X} \to \mathcal{Y}$  from  $\mathcal{D}^{tr}$  to be used on  $\mathcal{Y}^{ts} \subset \mathcal{Y}$ , where  $\mathcal{Y}^{ts} \cap \mathcal{Y}^{tr} = \emptyset$ 



# Variability

- ➤ Xian et.al.¹ propose a train-test partition to compare different ZSC methods. But, How much does the ZSC performance vary over different class partitions?
- ► Two popular methods, SJE and ESZSL, over different class partitions randomly created:

|           |         | SUN[?]       | CUB[?]       | AWA1[?]      | AWA2[?]       |
|-----------|---------|--------------|--------------|--------------|---------------|
| Avg. acc. | ESZSL   | 55.90 (1.95) | 53.49 (2.10) | 69.66 (9.94) | 71.10 (10.94) |
|           | SJE     | 59.16 (2.37) | 56.08 (3.03) | 68.85 (7.96) | 68.84 (11.16) |
|           | p-value | 0.000001     | 0.0012       | 0.7024       | 0.5028        |
| Avg.      | ESZSL   | 55.92 (1.94) | 53.81 (2.20) | 69.34 (9.02) | 71.48 (9.54)  |
| per-class | SJE     | 59.73 (2.17) | 56.19 (2.44) | 69.48 (8.27) | 69.34 (9.63)  |
| acc.      | p-value | 0.0000005    | 0.0000024    | 0.8736       | 0.1762        |



- Strong variability (higher in coarse-grained datasets: AWA1,AWA2).
- The variability is not dependent to the class imbalance.

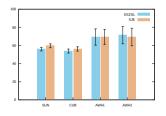


<sup>&</sup>lt;sup>1</sup>Zero-shot learning - A comprehensive evaluation of the good, the bad and the ugly, 2018

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- The accuracy might bias the selection of one method even when their difference is not statistically significant:
- For the fine-grained cases, the p-value (*Wilcoxon signed-rank test*) is fairly low, we can reject the null hypothesis.  $\rightarrow$  SJE > ESZSL.

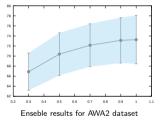
# Ensemble learning

- ▶ We adapt the Bootstrap Aggregation(Bagging) technique by generating different subset of categories to train each predictor.
- ▶ We ensemble *n* ESZSL models. Each model is trained using a training set generated with a proportion *s* of the original set of categories.
- Predictors are combined by some voting scheme, e.g.,  $\hat{f}(x) = \arg\max_{y} \{ \sum_{i} p_{i}(y|x) \}$

# Ensemble learning

▶ Ensemble of n = 90 ESZSL models, of size s:

| s    | 0.3          | 0.5          | 0.7          | 0.9          | baseline     |
|------|--------------|--------------|--------------|--------------|--------------|
| SUN  | 55.61 (2.16) | 56.81 (2.02) | 56.77 (1.98) | 57.03 (1.73) | 56.91 (1.63) |
| CUB  | 50.89 (2.92) | 53.45 (2.84) | 54.39 (2.84) | 54.83 (2.72) | 54.80 (2.82) |
| AWA1 | 65.35 (6.52) | 68.38 (7.49) | 69.70 (7.63) | 70.52 (7.31) | 70.62 (7.32) |
| AWA2 | 66.90 (3.70) | 70.39 (4.23) | 72.16 (4.26) | 73.13 (4.52) | 73.26 (4.81) |



- As the proportion s increases, the result approaches the baseline.
- The standard deviation may marginally decrease but with a considerable loss in performance (more noticeable in coarse-grained cases)

### Conclusions

- ZSC strongly suffers from the variability problem w.r.t. the class partitioning.
- ▶ The variability is higher for coarse-grained dataset and lower for the fine-grained.
- ▶ It is important to consider the variability to obtain a more comprehensive evaluation process.
- ► The ensemble learning is not enough to reduce the variability without losing precision.
- ▶ In summary, we suggest that is important to complement the evaluation of ZSC by considering the performance variability.