The neuronal dynamics underlying cognitive flexibility in set shifting tasks

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Abstract The ability to switch attention from one aspect of an object to another or in other words to switch the "attentional set" as investigated in tasks like the "Wisconsin Card Sorting Test" is commonly referred to as cognitive flexibility. In this work we present a biophysically detailed neurodynamical model which illustrates the neuronal base of the processes related to this cognitive flexibility. For this purpose we conducted behavioral experiments which allow the combined evaluation of different aspects of set shifting tasks: uninstructed set shifts as investigated in Wisconsin-like tasks, effects of stimulus congruency as investigated in Stroop-like tasks and the contribution of working memory as investigated in "Delayed-Matchto-Sample" tasks. The work describes how general experimental findings are usable to design the architecture of a biophysical detailed though minimalistic model with a high orientation on neurobiological findings and how, in turn, the simulations support exper-

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G. Deco ICREA Research Professor, Computational Neuroscience, Universitat Pompeu Fabra, Passeig de Circumvallació 8, 08003 Barcelona, Spain e-mail: Gustavo.Deco@upf.edu imental investigations. The resulting model is able to account for experimental and individual response times and error rates and enables the switch of attention as a system inherent model feature: The switching process suggested by the model is based on the memorization of the visual stimuli and does not require any synaptic learning. The operation of the model thus demonstrates with at least a high probability the neuronal dynamics underlying a key component of human behavior: the ability to adapt behavior according to context requirements—cognitive flexibility.

Keywords Cognitive flexibility • Wisconsin Card Sorting Test • Set shifting task • Working memory • Visual attention • PFC • Neurodynamical model

1 Introduction

A range of neuronal models have been developed for set shifting tasks comparable to the "Wisconsin Card Sorting Test" (WCST, Dehaene and Changeux 1991; Berdia and Metz 1998; Amos 2000; Rougier and O'Reilly 2002; Rougier et al. 2005) in order to gain an understanding of the neurodynamics underlying the (uninstructed) shift of attention. The WCST (see e.g. Milner 1963) requires subjects to sort cards containing colored shapes according to one of three possible rules (usually color, shape, number). If the chosen sorting criteria was correct participants received the feedback "correct" for a placed card. After a certain number of correct trials the valid sorting rule changes without explicit notice. Thus, subjects are provided with the feedback information "wrong" and are required to adapt



their response behavior for the next trial by choosing a different sorting criteria or new relevant rule, respectively. Of central interest within this experimental design are so-called "perseverative" errors which means that subjects do not switch to a new relevant rule following the feedback "wrong" but continue to give their answers according to the previously correct rule. Thus, perseverative errors obviously seem to indicate a kind of missing "cognitive flexibility."

The WCST is well known to be sensitive for various kinds of patients' disorders and especially dysfunctions of the prefrontal cortex (PFC) seem to be reflected in corresponding experimental results i.e. a comparatively high amount of perseverative errors (e.g. Miller and Cohen 2001). These findings appear to apply for patients with frontal lobe damages (first examined by Milner 1963) as well as for patients suffering from Schizophrenia or Parkinson's disease (see for example Everett et al. 2001; Owen et al. 1993). Accordingly, neuronal modeling work focussed on the investigation of perseverative errors and their relationship to PFC dysfunction. However, this focus bears some problematic aspects:

- First of all, errors are committed as well by healthy subjects. These errors might be perseverative but as well "non-perseverative" and hence more related to the degree of participants' attention. To ensure plausibility it is desirable that neuronal models account for these different types of errors which are committed (as well) by healthy subjects i.e. by an unlesioned or unimpaired model.
- Further more, recent research revealed that errors more related to attentional questions might to a significant part be responsible for the impaired performance of patients with frontal lobe damages (e.g. Barceló and Knight 2002). Moreover, experimental results especially for schizophrenic patients are not unique; hence schizophrenics might show perseverative behavior (e.g. Kolb and Wishaw 1983; Everett et al. 2001) or not (e.g. Goldstein et al. 1996; Landro et al. 2001). This divergence stresses the importance to examine experimental results in detail and to consider the specific error context in order to design appropriate models.

The neuronal model for the WCST presented by Dehaene and Changeux (1991) considered perseverative and what they called "random" errors only for lesioned models. Thus the unimpaired model did not produce any errors at all. However, the authors were one of the first to suggest a neuronal model for the WCST and delivered valuable hints to understand the neuronal operations underlying this test. As such, they

successfully predicted the existence of neuronal rule coding clusters kind of which were later detected by White and Wise (1999). These "rule" neurons are responsible for the abstract properties of the visual objects and form an essential part of every model suggested for set shifting tasks including the model presented in this work.

Berdia and Metz (1998) and Amos (2000) simulated different error types by modifications of explicit gain and noise parameters. However, such an approach somehow hides the subject of interest: How are these parameters to be translated into neuronal operations?

The models presented by Rougier and O'Reilly (2002) and Rougier et al. (2005) produced as well system inherent attentional errors referred to as "background" or "occasional" errors. These system inherent attentional errors were considered as a desired model feature but the authors immediately faced the next difficulties which were related to the handling of the occasional errors. The reason is hidden in the implementation of the switching process. For this process all models, named so far, rely on the mechanism of "direct rule inhibition" partly combined with an additional "gating mechanism" (Rougier and O'Reilly 2002; Rougier et al. 2005). This means that following a negative feedback (representing the feedback information "wrong") the active model rule is directly inhibited (and in case the gating mechanism activated) which enables the activation of a different rule for the simulation of the next trial. The consequence of this implementation is that following every single (attentional) error the model enters into the process of activating a different rule for the next trial. This handling seems to be inappropriate for attentional errors and leads to subsequent errors in the following trials. Thus, the simulation results do not show single attentional errors but only error sequences of at least two errors. This circumstance might even lead to an overall inadequate amount of attentional errors (Rougier and O'Reilly 2002; Rougier et al. 2005, page 507 and supplemental material). To solve this problem the authors chose to delay the feedback information. Hence, the feedback message "wrong" following an incorrect model answer was delivered to the model only after two errors in a row otherwise the feedback information "correct" was provided. Thus, these models do not enter into the process of rule switching following single attentional errors. As a necessary consequence the amount of perseverative errors increased: Now at least two errors in a row are necessary for every switch of the valid rule.

The key questions behind these considerations turn out to be: Do subjects commit *single* attentional errors and are subjects able to switch the sorting criteria,



i.e. the relevant rule, after a single feedback message "wrong?" It seems unlikely to assume that single attentional errors are impossible or that the attentional set is switched *only* after two errors in a row. Hence, these simple error context considerations represent a hint that neuronal models presented so far for set shifting tasks face some important limitations with respect to their ability to simulate human behavior. Moreover, the considerations indicate that the chosen neuronal concepts thought to explain the shifting process might be inappropriate.

To examine these questions experimentally and develop an according neuronal model we designed a new type of experiment which combines different aspects of set shifting tasks though using a rather simple setup to enable realistic neurodynamical simulations. The experimental setup combines a visual "Delayed-Match-to-Sample" task with a Wisconsin-like paradigm (WDMS task): Two visual stimuli, consisting of simple colored shapes, are presented to the subjects, separated by a delay. Following the presentation of the second stimulus the participants are required to state whether the stimuli matched with respect to a given criteria or did not match. We chose two different possible matching criteria and changed the relevant one at arbitrary intervals without explicit notice (uninstructed Wisconsin-like set shift). This setup allows to investigate effects of stimulus congruency (comparable to Stroop tasks) as well as the working memory contribution (DMS task) with respect to the shift of attention. Hence, the key performance measures were chosen to be subject response times in dependence of stimulus congruency and relative to the set shift. Further more different error types were classified and analyzed. In considering not only experimental average results but as well individual variations we obtain a rather detailed description of human behavior compared to previous studies. The rather simple task design has, more over, the advantage that the neuronal dynamics are exploreable by a comparatively simple model design. Furthermore, the WDMS comprises all elements present in set shifting tasks (attend to a selected feature of a multifeatured stimulus and change this attention on an implicit request) and might therefore even be suitable to clarify diverging WCST results by providing the possibility to differentiate in a greater detail between performance components more related to working memory or attention, for example, especially in conjunction with according neurodynamical simulations.

Against the background of the described problems existing set shifting models face we decided to start the modeling approach rather from the scratch. Therefore we used a biophysical detailed neurodynamical model for the task simulation. Although this model, based on "Integrate-and-Fire" neurons, uses as well several simplifications the biophysical description of neuronal activity approximates natural neuronal activity comparatively accurate (see e.g. Tuckwell 1988; Brunel and Wang 2001). We thus aim to investigate *how neurons might cooperate to form cognitive flexibility* given the rather detailed description of challenging neuronal properties.

While using a rather detailed and complex description of neuronal behavior we looked at the same time for a rather simple neuronal organization leaned on neurophysiological findings. Thus the model comprises a minimal number of neuronal pools responsible for rule specific activity (as detected for example by White and Wise 1999) and object specific activity (e.g. Rainer and Miller 2002). The neurons are coupled with different connection strengths via the three most common cortical connection types found so far. The connection strengths are supposed to remain fixed during the simulation as there is no evidence that every rule switch has to be learned again and again in a single experiment. Without any further algorithmic additions the model itself, the neuronal organization of rule and stimulus specific neurons, is responsible for the completion of set shifts and hence an adequate set of weights has to be chosen.

Here, an asymmetric set of weights is suggested to account for a memory based switching process where stronger feedforward connections between rule and stimulus specific neurons are responsible for the maintenance of a rule and stronger feedback connections are responsible for the selection of an alternate rule and thus for the shift of attention. Opposed to previous models, the focus of the switching procedure thereby moved from the rule neurons to the stimulus neurons. This is a rather important aspect: The center of the switching procedures is build by the stimulus neurons which obsoletes the usage of a "direct rule inhibition" algorithm and thus avoids above described problems.

An important model feature is represented by the consideration of external input from other cortical areas to the model neurons. This input is important for the operation of the model and accounts as well for the observed high degree of cortical connectivity. The input varies randomly within a certain range and may thought to reflect a kind of attention payed to the actual task. Fluctuations in this external input are shown to be responsible for different types of errors. These errors thus represent a system inherent model feature and are comparable to those errors committed by healthy subjects during the experiments. The response times generated by the model are dependent on stimulus



congruency comparable to the effects observed in Stroop-like tasks (see e.g. Monsell 2003; Egner and Hirsch 2005) and to the response times generated by the subjects in the investigated WDMS task. The entire model represents an extension of the framework presented by Brunel and Wang (2001) which has been applied successfully as well to the description of selective working memory and attention (Corchs and Deco 2002; Deco and Rolls 2003; Deco et al. 2004; Almeida et al. 2004; Deco and Rolls 2005). The high degree of detail used by biophysical models enables as well the calculation of fMRI signals, for example, as already demonstrated for set shifting tasks in Stemme et al. (2005).

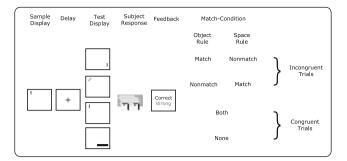
With respect to the establishment of the neuronal connections within the model we left aside the question *how* these connections might be *learned* (analyzed for example by Rougier and O'Reilly 2002; Rougier et al. 2005). Rather we focussed on the development of a neuronal model capable to explain the set shifting process and its relations to attention and working memory with a high degree of neurobiological orientation. The entire complex of set shifting tasks and biophysical models is discussed in detail as well in Stemme (2007).

2 Materials and methods

2.1 Wisconsin-DMS experiments

2.1.1 General setup

In Fig. 1 the experimental setup is depicted. We used a "Delayed-Matching-to-Sample (DMS)" design in combination with a set-shifting task: A sample display was shown for 500 ms, followed by a fixation delay of 1000 ms, followed by a test display which was presented until the subjects responded by key press ("y"-yes or "n"no; yes-sample and test display matched with respect to the valid rule, no-sample and test display did not match according to the currently valid rule). Afterwards a feedback message informed the subjects whether their response was "correct" or "wrong." The feedback message was presented for 1,500, 1,000 or 500 ms (WDMS I, II, III). The stimuli in the sample and test display consisted of colored, oriented rectangles of different sizes which were presented at one of 64 different locations on the screen. Only one of the rectangles was presented in sample and test display at a time. The subjects had to discriminate between two different possible rules: Same position on the screen (called further on "space rule") or same object presented in sample and test display (with respect to all feature dimensions; called



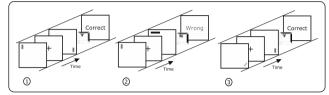


Fig. 1 Setup of the "Wisconsin Delayed-Matching-to-Sample" experiments (*top*) and example trial sequence (*bottom*). The trial sequence shows a rule change in the second trial; the object rule was valid in the first trial. Starting with the second trial the new valid rule is the space rule

"object rule" in the reminder of the document). After an arbitrary number of correct trials (3, 5, 7, 9 or 11 not necessarily consecutive correct trials) the valid rule was changed without notice (Wisconsin-like paradigm). In this case the subjects received the feedback message "wrong" although they responded correctly according to the valid rule in the previous trial (see Fig. 1, bottom). The stimulus material (colored, oriented rectangles of various sizes) was chosen in order to prevent verbalizations of the subjects to a great extend. The rule was changed at arbitrary intervals to prevent subjects from counting and estimating the rule switch trial. Further on we refer to the trials between two rule changes as "maintenance phase." I.e. after subjects successfully acquired a new rule their major task is to maintain this rule until the next requirement for a set shift occurs which was indicated by the feedback "wrong."

2.1.2 Stimulus congruency

An important point for the analyzation of response times is the *stimulus congruency* and hence the discrimination of the possible match conditions of the stimuli presented in the sample and test display:

both: The stimulus presented in the sample display and the one presented in the test display are identical with respect to both rules.

match: The stimulus presented in the sample display matches the test display stimulus *only* with respect to the currently valid rule (i.e. the irrelevant stimulus dimension does not match).



nonmatch: The stimulus presented in the sample display does not match the test display stimulus with respect to the currently valid rule (i.e. the irrelevant stimulus dimension matches).

none: The stimulus presented in the sample display and the one presented in the test display are different with respect to both dimensions.

Trials with "both" and "none" conditions are also referred to as trials with congruent stimulus conditions (short "congruent trials") whereas trials with "match" and "nonmatch" conditions are summarized as trials with incongruent stimulus conditions (short "incongruent trials"). This differentiation is very similar to the stimulus differentiation tested in Stroop-like tasks (see e.g. Monsell 2003) though the present design provides us with further differentiation possibilities (two different congruent conditions and two different incongruent conditions) whereas Stroop tasks only permit the comparison of "both" conditions with "match" conditions however with a stronger interference of the possible rules. However, with the current form of the WDMS task we do not consider effects with respect to the "overtraining" of one stimulus dimension against the other as in the original Stroop task (Stroop 1935). Rather both relevant stimulus dimensions proved to be of equal non-interfering complexity comparable to the sorting criteria in the original WCST.

2.1.3 Error types

For the analysis of error rates the following error types were differentiated:

Rule acquisition errors (AQ): These are the first errors of an experiment or a trial block within an experiment where subjects try to find out the first valid rule.

Rule change errors (RCE): These are necessary errors subjects make (in the rule change trial) when the valid rule is changed without notice (see Fig. 1, bottom, trial 2).

Errors in the context of a rule change (RCF): These are errors occurring after the valid rule was changed and before the new valid rule is considered to be definitely established by the subjects. The establishment of a new rule is assumed to have happened after *three* consecutive correct answers¹ (start of "maintenance phase"). RCFs² might be considered as well as "perseverative errors."

Unmotivated errors (UE): These are errors made after a new rule is considered to be established. We consider these errors to be related to the attention of the participants and call them "unmotivated" because the exact reason for these errors is so far unclear. As outlined above they are called also "random" "occasional" or "non-perseverative" errors in the literature.

Errors in the context of an unmotivated error (UEF):
These are errors following an unmotivated error.
Similar to RCFs, errors were considered as UEFs before the subject again responded correctly in three consecutive trials after an UE.

UEs and UEFs were distinguished to examine the context of a single unmotivated error. We hypothesized that the reason for an unmotivated error might well be a spontaneous rule change of the subject. Thus we considered again three consecutive correct trials to be necessary for the correct rule to reestablish after an unmotivated error occurred. RCEs do not represent errors in its original sense. Thus they were excluded from the analysis.

2.1.4 Experimental time course

For every experiment the participants had to complete ten experimental blocks. After every block participants had the possibility to pause for a self-determined period of time. One single block required to complete nine rule changes leading to a total number of about 850 trials per participant per experiment. In 75% of the trials incongruent stimulus conditions were used.

2.1.5 Participants

Forty healthy subjects participated in three variants of the experiment with feedback times of 1,500, 1,000 and 500 ms (WDMS I–13 participants , WDMS II–14 participants, WDMS III–13 participants) without additional inter trial time. Thus after completion of the feedback time the next trial started immediately.

2.2 The neurodynamical model

2.2.1 A biophysically detailed approach

The neurodynamical model used for the simulations (described as well in Stemme et al. 2005; Stemme 2007) is based on the framework first introduced by Brunel and Wang (2001). The model represents a selected cortical area and consists of two different types of neurons, 80% excitatory pyramidal cells



¹Similar to Konishi et al. (1999) and Nakahara et al. (2002) who considered a new rule to be established after three correct trials.

 $^{{}^{2}}RCF = Rule Change Follow up.$

and 20% inhibitory interneurons, consistent with neurophysiological findings (Abeles 1991). The neurons are grouped into two appropriate types of pools: excitatory and inhibitory pools. Each neuron is modelled as an "Integrate-and-Fire" neuron taking into account three different synaptic connection types: two excitatory—AMPA and NMDA connections—and one inhibitory—GABA. The three different synaptic connection types are represented and computed using equivalent electrical circuits, consisting basically of a resistance parallel to a conductance with type specific parameter values for conductivity and resistance.

Every neuron receives a certain background input from neurons outside the network modelled. The cerebral cortex is highly connected and thus the simulation of a "closed" cortical area would be unrealistic. For the approximation of the background input, it is taken into account that neurons always show a certain level of activity, i.e. a spiking rate of approximately 3 Hz for pyramidal cells and 9 Hz for interneurons, which is called the "spontaneous rate," (see e.g. Wilson et al. 1994). Accordingly, the external background input is modeled as an AMPA-mediated Poisson train of spikes arriving from $N_{ext} = 800$ neurons with a rate of 3 Hz. Thus, the total background noise each modeled neuron receives comes out to $v_{ext} = 800 * 3$ Hz = 2.4 kHz.

The overall model (see Fig. 2) is divided into two, loosely coupled modules each of which has its own non-selective (Fig. 2, N) and inhibitory (Fig. 2, I) pool of neurons:

- A main module, covering rule and memory pools which is responsible for the maintenance of the active rule and the memorization of the presented stimuli during the task and
- an answer module, responsible for the explicit initiation of the model answer.

The major focus of this work is constituted by the main module which covers 1600 excitatory neurons (NE) and 400 inhibitory neurons (NI) whereas the answer module consists of 800 excitatory and 200 inhibitory neurons. Each pool selective for a specific function within a module consists of 100 excitatory neurons for the main module and 50 neurons for the answer module. The remaining excitatory neurons of a module are organized into the pool of non-selective neurons (not all neurons within a given cortical area respond to a specific task, see e.g White and Wise 1999). This pool also introduces some noise and supports the generation of the almost Poisson-like firing patterns of the neurons in the simulation which is a property of many neurons observed in the cortex (Brunel and Wang 2001). The

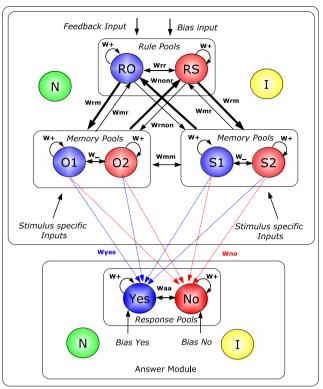


Fig. 2 The neurodynamical model for the simulations consisting of a main module (2,000 neurons) and an answer module (1,000 neurons). I = Pool of inhibitory neurons. N = pool of non-selective neurons. RO = neuronal pool for the object rule, RS = pool for the space rule. O1/O2 = object pools, representing two different objects; S1/S2 = space pools, representing two different positions on the screen. Each selective pool of the main module comprises 100 neurons. For the answer module the yes/no pools consist of 50 neurons each. All neurons receive an AMPA-mediated external input of 2.4 kHz. Additional external AMPA-mediated input is provided to the pools in order to simulate the desired task (refer to the text)

inhibitory neurons are grouped to form one inhibitory pool which implements a global competition between all neurons within a module or a given cortical area, respectively, again consistently with experimental findings. The network of neurons within a module is fully connected with different connection strengths.

The identification of the various pools within the main module is supported by single cell recordings with behaving monkeys. These recordings showed that single neurons show rule specific (e.g. Wallis et al. 2001; White and Wise 1999) as well as object specific (e.g. Rainer and Miller 2002) activity in a range of behavioural tests. These results led us to the assumption that groups of neurons (i.e. the pools) code for specific stimulus features as well as for the more abstract rules in the tasks we aim to simulate. Hence, the main module comprises two pools serving as "rule pools," representing two different, possible active rules



and four stimulus specific pools, representing two times two different stimulus properties: Two different objects ("Object Pools") which may be presented at two different locations on the screen ("Space Pools"). We also refer to the four stimulus specific pools together as "memory pools" because these pools serve as working memory for the modeled stimulus features. An object at a certain location is presented to the model (e.g. object number one at position number two) by adding an extra Poisson input to the specific pools (object pool No. 1 and space pool No. 2). For this purpose the external AMPA-mediated input to the neurons within the specific pool is increased to $\nu_{ext} + \lambda_{stimulus}$. Compared to the background noise, $\nu_{ext} = 2.4 \text{ kHz}$, the stimulus specific input is rather low: $\lambda_{stimulus} = 0.15 \text{ kHz}$.

The consideration of only two different objects at two different locations enables us already to simulate the principal stimulus sequences as experienced by the human subjects. Contrary to the subjects the neuronal model is not able to cheat or use other cognitive processes besides the ones modeled and thus this reduction in the number of stimuli does not reduce the simulation capability of the experiment. It is therefore not necessary to consider 64 objects and 64 different locations for the simulations (see as well Stemme 2007).

To raise and hold competition the rule pools receive continuously a low attentional biasing input, $\lambda_{bias} = 0.07$ kHz. One of the rule pools wins the competition and enters into a state of persistant activity with a spiking frequency of about 20 to 30 Hz. This active, spiking rule pool represents the rule "selected" by the model to be currently valid. At the end of a simulated trial

we introduce an unspecific extra external input to the network representing the feedback the model would receive to the previously given answer. The feedback input is provided simultaneously to both of the rule pools, thus v_{ext} is increased by λ_{bias} and $\lambda_{feedback}$. In case of a correct answer we refer to the feedback input as "positive feedback" and "negative feedback" in case of an incorrect answer. However, the feedback input itself is in both cases an external, unspecific AMPA mediated input to both of the rule pools, differing just in the amount of the value. Thereby the positive feedback actually acts as a "strengthener" of a currently active rule pool whereas the higher negative feedback destabilizes the rule pools and hence erases any previously persistant activity of any of the two pools.

2.2.2 The rule switching process: memory based set shifting

As depicted in Fig. 2 every rule pool supports "its" memory pools via a comparatively strong weight $w_{rm} >> w_{rnon}$ ("feedforward" direction). Hence, the object rule pool (RO) provides a higher amount of input to the object memory pools (O1 and O2) than to the space memory pools (S1 and S2). Contrary, in the opposite, "feedback" direction the *object* memory pools (O1 and O2) provide a higher amount of input to the *space* rule pool (RS) than to the object rule pool (RO); thus $w_{nonr} >> w_{mr}$. This asymmetric connection configuration enables the model to switch the rules or shift the set, respectively.

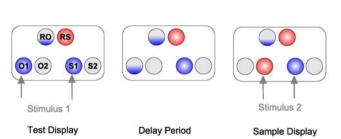
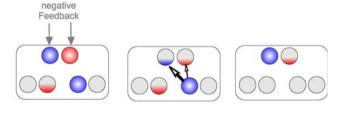


Fig. 3 Illustration of the set shifting process. During the presentation of stimulus 1 (test display) the space rule is active (RS) and the stimulus pools O1 and S1 receive the extra external input $\lambda_{stimulus}$. For the delay period the extra input is removed and the stimulus pool S1 shows delay activity representing the memorization of this stimulus according to the valid rule (active pool RS amplifies the activity of pool S1). For the sample display another stimulus is presented to the model represented by an increase in the extra external input to the pools O2 and S1. Following the sample display the negative feedback is provided represented by an increase in the extra external input



Set Shift

to the rule pools (4. picture). During the feedback provision (and as well afterwards) the stimulus pool representing the last relevant stimulus feature (pool S1) keeps its spiking activity. Following the removal of the external feedback input the rule pools return to a spontaneous level of activity where now the input provided by stimulus pool S1 enables the switch of the active rule (5. picture). Due to the connections strengths asymmetry the pool S1 provides an higher amount of input to the object rule pool (RO) than to the space rule pool (RS). Thus we see the object rule pool active (pool RO, 6. picture) for the next trial



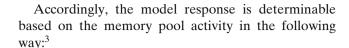
The operation of this concept is illustrated in Fig. 3: During a trial, the rule pools are constantly active, amplifying the activity of their according memory pools. Following a model response the stimulus inputs are removed and, depending on the model response, the feedback information provided. After the provision of the negative feedback (for a wrong model answer) the rule pools are destabilized, thus they return to a spontaneous level of activity. However, the memory pools keep their activity according to the last presented stimulus. Due to the continuous supply of the attentional bias (λ_{bias}) the rule pools enter again into a competing stage following the destabilization. In this stage, the previously irrelevant rule pool has an advantage: Because of the connection strength asymmetry this pool receives a higher amount of input from the memory pools compared to the previously relevant rule pool. Thus, the memory pools control the switch to a new valid rule and therefore we call this process a "memory based set shifting process" where the memorization of the last relevant stimulus feature guides the switch to the new relevant rule.

In this approach, the memorization of the abstract rule itself is *not* responsible for the set shift and hence the focus of the switching concept moved from the rules (as in previous models) to the stimulus features themselves. Further more, the active spiking rule pool, i.e. the relevant rule, does not inhibit the irrelevant stimulus dimension but *amplifies* the relevant dimension, which represents a suggested mechanism for cognitive control recently supported by neuroimaging studies conducted by Egner and Hirsch (2005). The implementation is consistent as well with the results obtained by Chen et al. (2001) who detected neuronal activity in the prefrontal cortex reflecting irrelevant stimulus dimensions.

2.2.3 Response determination

The model response is linked to the *summed* spiking rate of all memory pools as all of them are involved in the response generation (relevant *and* irrelevant memory pools). Figure 2 (supplemental material) shows an excerpt of the spiking dynamics of the main module pools across several trials and illustrates how the memory pools cooperate to form the model response.

The summed spiking rate, *ssr*, turns out to be higher for "both" and "match" conditions ("yes"-response necessary) compared to "none" and "nonmatch" cases (require a "no"-response). This circumstance is reasoned in a kind of spiking rate "constance" across the dimensional memory pools when the same stimulus is presented in sample and test display.



- The model response is considered to be "yes" if the *ssr* crossed a threshold T_{yes} x number of times: $R_{yes} = ssr >_x T_{yes}$
- The model response is considered to be "no" if the ssr stays y number of times below a threshold T_{no} : $R_{no} = ssr <_v T_{no}$

Further on, an optional minimal spiking rate of the memory pools might be considered in order to generate a neuronal response. This threshold, T_{min} , accounts especially for the "No"-responses of the model as in this case the spiking rate is required to stay *below* a certain value. Hence, the threshold T_{min} represents the assumption that a certain spiking level might be necessary for the neuronal spiking development to become "conscious." In summary:

$$R_{yes} = (ssr >_x T_{yes}) \Lambda (ssr >_1 T_{min})$$
 (1)

$$R_{no} = (ssr <_{v} T_{no}) \Lambda (ssr >_{1} T_{min})$$
 (2)

Response errors committed by the model during the simulations are reasoned in the (system inherent) fluctuations in the external AMPA input (ν_{ext}). These fluctuations influence the spiking activity of memory and rule pools and thus prevent on the one hand the proper memorization of stimulus features (leading to wrong model responses in the maintenance phase of an active rule⁴) and prevent on the other hand the successful completion of rule changes (leading to perseverative errors.⁵ Further more, these fluctuations may lead as well to arbitrary rule changes during the simulations.⁶

Thus the model "generates" attentional errors as well as perseverative errors as a system inherent model feature where none of the error types require an additional handling (as feedback delay, for example) by the model architecture.

2.2.4 Simulation setup

We conducted several simulations comprising 300 trials each. For every simulation we modified the fluctuation



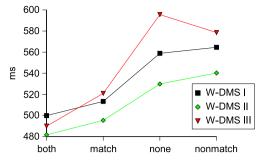
³Please refer to supplemental material for the response pool configuration.

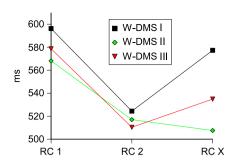
⁴An example of the according spiking dynamics is provided in Fig. 3 of the supplemental material, trial 98.

 $^{^5\}mathrm{Compare}$ Fig. 2 (trials 86 and 87) and Fig. 3 (trial 92), supplemental material.

⁶Spiking dynamics reflecting such arbitrary rule changes are depicted in Fig. 2, supplemental material, trial no. 100.

Fig. 4 Average response times obtained in WDMS I, II and III. Response times for the different match conditions (*left*) and relative to the rule change (*right*) for WDMS experiments with feedback times of 1,500 ms (WDMS I), 1,000 ms (WDMS II) and 500 ms (WDMS III)





scheme and varied minor model parameters (different possible model options, compare as well supplemental material). The fluctuations in the external AMPA input rely on the performance of a random number generator. Thus, different fluctuation schemes during the simulations are achievable by the usage of different random seeds. Further more, the fluctuations might be kind of "controlled" by a reset of the random generator for every trial within a simulation run. Further information regarding the concrete model configuration for the simulations is provided as supplemental material (Table 4).

3 Results

3.1 Average performance measures

3.1.1 WDMS experiments

Figure 4, left diagram depicts the average mean response times (RT) for the three experiments⁷ in dependence of stimulus congruency. For WDMS I, response times for trials with "both"-conditions were significantly lower than response times for trials with "match"-conditions; further on, response times for "both" and "match"-conditions were significantly lower than response times for "nonmatch" conditions but no significant effect arose for the relationship between the response times for "nonmatch" compared to "none" cases. In summary:

- "Yes" responses were faster than "no" responses (mean difference 60 ms, p=0.0008).
- Responses for "both" trials were faster than for "match" trials (mean difference 41 ms, p=0.0008).
- For the relationship of "none" to "nonmatch" trials no significant effect arose.

The results for WDMS II and III showed the same pattern of relative response times although the "non-match" response time turned out to be numerically lower than the "none" RT for WDMS III opposed to the other experiments.

Figure 4, right diagram shows the response times relative to a rule change averaged across all trials following a rule change (RC 1 = first trial after a rule change, RC 2 = second trial, RC X = all further trials). Compared to the increased response times in common set shifting tasks following a set shift (about \sim 40% or 200 ms to a baseline of 500 ms, compare e.g. Monsell 2003) the increase was moderately low for all three experiments. Response times were increased by only 60 to 70 ms (about 12 to 14%) for switch trials compared to non-switch trials. Further on, in the remaining repetition trials (RC X) response times increased again for WDMS I and III.

Figure 5 depicts the error rates for the three experiments. The overall amount of errors was moderately low, about 6–9% in all three experiments. About 40–50% of these errors occurred in conjunction with a rule change (RCF) and ~ 30–40% of the errors were classified as "unmotivated errors." The portion of errors categorized as "UEF" was comparatively low (about 10%) as was the portion of acquisition errors (AQ). These results show that perseverative errors constitute the greatest part of all of errors (RCF). However, the error data do not allow to draw definite conclusions with respect to the reason of unmotivated errors and a potential relationship of UE and UEFs. We further examined this item with the support of the neurodynamical simulations.

3.1.2 Simulations

The simulation results for four exemplary simulations using different fluctuation schemes are depicted in

⁸corresponds to about 80 errors in 850 trials.



 $[\]overline{^{7}}$ All experimental results were determined based on the raw experimental data (unless otherwise noted) with outlier values (more than \pm 2.5 standard deviation per subject) removed for the determination of response times.

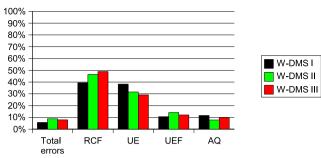


Fig. 5 Average error rates and types as determined for WDMS I, II and III. Total errors: summary of all error types relative to the total amount of trials. The total errors are constituted by: RCF-errors in the context of a rule change, (Rule Change Follow up). UE-unmotivated errors, UEF-errors following a previously unmotivated error and AQ-acquisition errors

Fig. 6. For simulations number 1,2 and 4 the minimal response threshold was set to "0", for simulation number 3 we used the threshold $T_{min} = T_{yes} = T_{no}$. Overall, the absolute response times (in ms) obtained in the simulations turned out to be lower compared to the experimental results, described above. However, the exact match of the quantitative results is considered to be of only minor importance as a constant factor is assumed to account for the explicit generation of subject motor responses and for further technical circumstances (as the keyboard delay e.g.).

The stimulus congruency effects (Fig. 6, left column) are qualitatively comparable to those obtained during the experiments for all four simulations: response times for "both" conditions are lower compared to response times for "match" conditions and "yes" responses are faster than "no" responses. The response time for "nonmatch" conditions is slightly increased compared to the response time for "none" conditions. Besides, the simulation using a minimal threshold (simulation 3) produced the inverse effect: The response time for the "none" condition was increased compared to the "nonmatch" condition similar to the numerical relationship of response times for WDMS III.

Response times relative to the set shift (Fig. 6, middle column) are moderately increased for the simulations 3 and 4, comparable to the experimental findings whereas for simulations 1 and 2 no set shift effect showed up.

The total error rates (Fig. 6, right column, about 13% for simulations 1 and 3, 0.33% for simulation 2, and about 17% for simulation 4) are partly higher compared to experimental values but constituted to the largest extend by perseverative errors comparable to the experimental results. The amount of unmotivated errors, however, appear to be lower for all four simulations compared to the experimental results.

3.1.3 Summary

Experiments as well as simulations revealed increased response times for incongruent compared to congruent trials comparable to the effects commonly observed with Stroop tasks (e.g. Gilbert and Shallice 2002; Monsell 2003). However, this item appears to be true only for congruent trials with "both" conditions but not for the trials with "none" conditions. For the simulations, a striking effect turned out to be that he usage of the additional minimal threshold inverted the relationship between "none" and "nonmatch" trials.

These results raise two principal issues with respect to the experimental results:

- (1) The error rates produced by the simulations are higher or lower than the average experimental values. Also, the simulations suggested so far a higher portion of perseverative errors than the average experimental results. Do the experimental mean values constitute a "representative" example? Are individual significant variations possible and relevant?
- (2) It is assumed that subjects memorize both stimulus dimensions during the trials and that, further on, the irrelevant stimulus dimension "supports" the response according to the relevant dimension in congruent trials. But why is this the case only for "both"-conditions and not as well for "none"-conditions? In other words if the response times for trials with "both" conditions are significantly faster than the response times for "match" conditions why is this not the case for "none" compared to "nonmatch" conditions?

The first question touches a very central point: Do the simulations cover individuals or an "averaged" individual? What are legal limits for the simulations? The second question might touch a similar area: Are individual response time variations possible and potentially as well significant? The simulations hint at least at potential differences with respect to the threshold T_{min} .

Both items are investigated by analyzing subject based results to judge the expressiveness of the experimental mean values.

3.2 Individual performance measures

We analyze individual subject behavior during the experiments by considering response time *distributions* for the different match conditions as well as individual error rate and type variations.

Overall 15 simulations using different fluctuations schemes and model options served as a data base for the



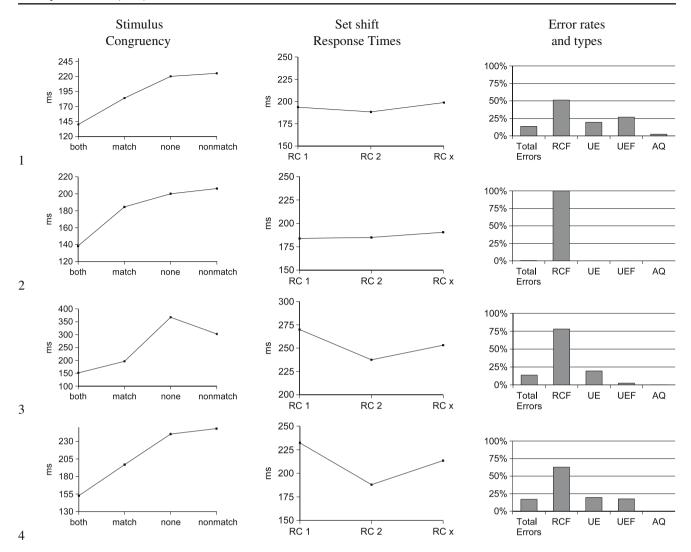


Fig. 6 Results obtained in four simulations of 300 single trials each with slightly varied parameter values and threshold sets. Simulation No. 3 used a minimal threshold $T_{min} = T_{yes} = T_{no}$, for the remaining simulations $T_{min} = 0$ was used. *Left*: response times in dependence of the stimulus congruency. *Middle*: response times relative to the set shift; *RC 1*-first trial after the rule change, *RC 2*-second

trial afterwards, RC X-all other trials. Right: error rates and types elicited during the simulations; total errors-sum of all error types relative to the total amount of trials. The total errors are constituted by: RCF-errors in the context of a rule change, (Rule Change Follow up). UE-unmotivated errors, UEF-errors following a previously unmotivated error and AQ-acquisition errors

comparison with individual results. Simulations 1, 5 and 10 were conducted with controlled fluctuations for the remaining ones the external fluctuations were varied by different random seeds (further details regarding the model configuration are provided as supplemental material, Table 5).

3.2.1 Stimulus congruency effects

For the analysis and comparison of individual response times the obtained mean values for the four different match conditions were normalized i.e. the smallest value was set to "1," the remaining values to the respective relative amount. The same procedure was used to compare the standard deviations for the different match conditions. As the relationship of the four match conditions is of central interest the normalization is a useful procedure to ease the comparison. Further on, the comparison of mean values *and* standard deviations, as described in the following, enhances the overall expressiveness of the task model.

Subject response times An analysis of the normalized mean response times and the standard deviations revealed that a majority of subjects form distinguishable "response groups" with striking characteristics (see Fig. 7, left column).



Eighteen participants are subsumed to a *response* group A. For this group the (normalized) mean response times for "nonmatch"-trials were slower or equal to the response times for "none"-trials and the standard deviation turns out to be much smaller for "none"-trials compared to "nonmatch"- as well as "match"-trials. The mean values for this group (Fig. 7, "Characteristics") now reveals a significant though small difference for the mean RT (-0.02) and a greater difference when comparing the standard deviations (-0.1) for "none" trials compared to "nonmatch" trials:

- mean RT: t(17) = -2.39, p = 0.029; difference (none-nonmatch): -0.02
- mean RT stdv: t(17) = -3.58, p = 0.0023; difference (none-nonmatch): -0.1

For *response group B*, ten participants showed the slowest mean response times for "none"-trials as well as the largest standard deviations. Again significant effects for the relationship of "none" trials compared to "nonmatch" trials showed up:

- mean RT: t(9) = 5.96, p = 0.0002; difference (none-nonmatch): 0.08
- mean RT stdv: t(9) = 3.13, p = 0.012; difference (none-nonmatch): 0.17

It seems note worthy that the major differences between response groups A and B are constituted by response time *variations*, i.e. the standard deviations.

The remaining 12 participants (response group C) were neither assignable to response groups A or B nor showed any other groupable response behavior. However as more than 70% of the participants were subsumable to response groups A and B these groups are considered as the main focus for the simulations.

Simulation response times The simulations (Fig. 7, right column) allowed the reproduction of the response behavior of groups A and B via a very straight parameter set. For $T_{yes} = T_{no}$ with $T_{min} = 0$ usually x << y is required (compare supplemental material). This threshold configuration leads to model response times comparable to response group A. The only difference to the simulations covering response group B is the assumption of a minimal necessary response threshold for the model with $T_{yes} = T_{no} = T_{min}$.

The explanation of this model behavior is comparatively easy: For "no" responses the memory pool activity is required to stay *below* a threshold *y* number of

⁹using paired students t-test



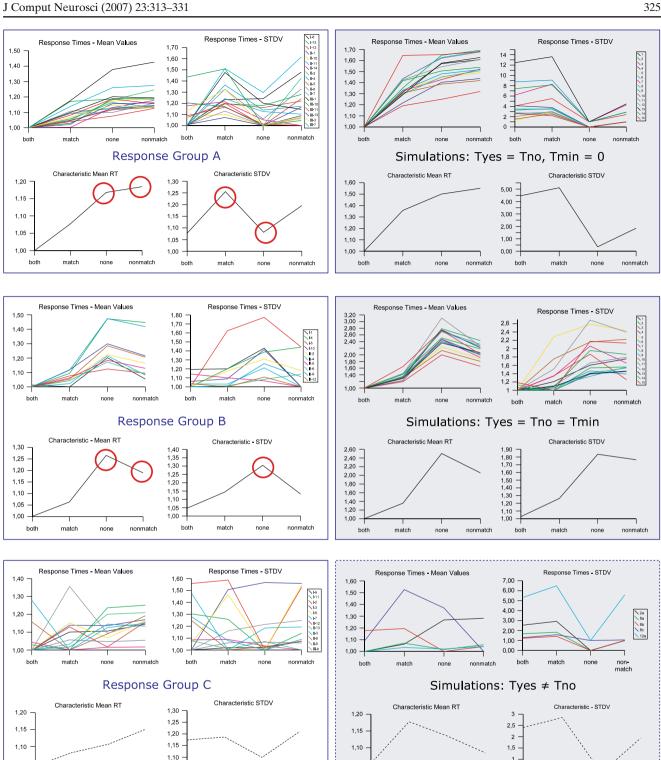
Fig. 7 Normalized response times for the different match conditions for the subjects (left column) and the simulations (right column). Simulation response times for response group C (bottom right diagram) are only shown for completeness and were beyond the scope of this work. For response groups A and Bwe see differentiable characteristics for the mean response times as well as for the standard deviations. For response group A the response time for "nonmatch" trials is higher than the response time for "none" trials whereas the "none" trials turn out to show comparatively low standard deviations. For response group B we see a high variation in the response time for "none" trials (higher stdv) and the mean response time for these trials is higher compared to "nonmatch" trials. These characteristics were reproduceable by the simulations (right column). Simulations using a minimal threshold $(T_{min} \neq 0)$ elicited qualitatively the response time behavior of response group B whereas without this threshold $(T_{min} = 0)$ mean response times and standard deviations were qualitatively similar to the profile of response

times (with y comparatively large). Thus, the response times for the "none"-trials will not vary too much because the spiking rates of the neuronal pools first decrease after the presentation of the test stimulus and increase again afterwards. Thus the model reaches the "no"-response in the "none"-trials at a comparatively fixed point in time (determined by y). Indeed, the simulations show that the standard deviation tends to zero for "none"-trials which is as well an explanation for the comparatively large differences in the simulated standard deviations compared to smaller differences for the subjects.

The situation changes if a minimal threshold is required for task model responses. This minimal threshold provides the opportunity for response time *variations* in "none"-trials and leads as well to larger response times in these cases.

Taken together, a possible explanation for the missing significant effects when considering experimental mean response times for the "none" compared to the "nonmatch" trials might be: Varying individual (neuronal) response algorithms superimposed each other. Thus, *without* the assumption of a minimal threshold the irrelevant stimulus dimension supports the response according to the relevant stimulus dimension in a similar way for "both" as well as for "none" conditions.

Besides the so far described simulation response times, a variety of other response time characteristics are possible when different thresholds for T_{yes} and T_{no} are used, with $T_{yes} \neq T_{no}$ or x >> y for example. Figure 7 (right column, bottom diagram) shows example response times for $T_{yes} \neq T_{no}$. This item is depicted to illustrate that the task model provides the possibility to simulate further varying response behavior.



1.05

1,00

match

none

However, the current simulations do not cover subject response times in response group C and are considered to be subject for further investigations. We hypothesized that the response time distributions generated by

1,05

1,00

both

match

none

nonmatch

nonmatch

1.05

1,00

both

match

none

response group C might be the result of verbalization (or related) techniques used by the participants to enhance the task performance which are beyond the scope of the present model.

0.5

nonmatch



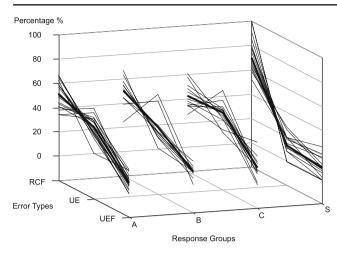
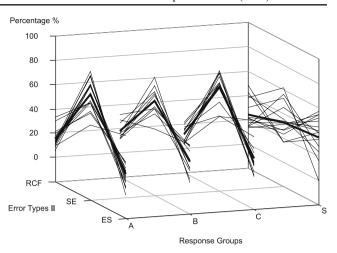


Fig. 8 Comparison of error types *I* and *II. Left*: comparison of standard error types (RCF, UE and UEF) for response groups *A*, *B* and *C* and the simulations (*S*) and average values (*thick lines*). *Right*: comparison of error types *II* for response groups *A*,



B and C and the simulations. RCF: errors occurring immediately after the valid rule was changed. SE: single errors. ES: sequences of two or more errors. Simulation no. 5 was excluded from this calculations as only one error occurred

3.2.2 Error rates and types

Further on, the relationship between perseverative errors and unmotivated errors (RCF, UE and UEF) is investigated while leaving aside the consideration of acquisition errors (AQ) as they are first of all of only minor relevance and the simulations did not consist of multiple blocks. Figure 8, left diagram, shows the relationship of the remaining three error types for the response groups and the simulations. Most of the subjects across all response groups produced error relationships similar to those elicited by the simulations: The amount of perseverative errors (RCF) is greater than the amount of unmotivated errors (UE), followed by the amount of UEFs.

However, none of the simulations showed error type distributions where the portion of unmotivated errors (UE) is greater than the portion of perseverative errors (RCF) contrary to numerous individuals across all response groups. But one-tailed *t*-tests (see as well supplemental material, Table 8) revealed that the overall portion of RCFs is significantly higher than the portion of UEs at least for response groups A and B.¹⁰ This circumstance first of all further supports the hypothesis generated by the simulations that subjects of response groups A and B should not show significant differences in error rates or types. Further on, it is hypothesized that the comparatively high amount of unmotivated

When considering now the context of errors elicited during the simulations we are able to detect the following dependencies:

If a rule change after the provision of the negative feedback succeeded the new valid rule is quite reliably established already in the following trial.¹² Thus, a true perseverative error (RCF) might more likely be defined as an error occurring *immediately* after the provision of the negative feedback.¹³ This implies that a new rule is considered to be established already with the *first* correct answer after the set shift.

Additionally, the simulations suggest a distinction between single attentional errors and sequences of errors occurring during the maintenance phase of an



errors committed by several individuals might be reasoned in the usage of higher cognitive processes beyond the scope of a neuronal model (subjects might have tried unsuccessfully to use a new rule during the maintenance phases or were otherwise distracted during the experiment).¹¹ Thus in summary, we might state that the simulations elicit reasonable error rate and type distributions providing even the potential to exactly match individual behavioral variations.

 $^{^{10}}$ Difference between rate of RCF and UE = 15% for response group A and 12% for response group B, (p=0.0005, respectively, p=0.01).

¹¹Single errors due to expected "chance hits" were as well assumed by Nakahara et al. (2002)

¹²Compare for example Fig. 2, supplemental material, trials 89 and 96.

¹³Figure 3, trial 92, supplemental material.

established rule. For a single (attentional) error the simulations suggest two possible reasons:

- (1) An arbitrary rule change. 14
- (2) A fuzzy development of the *ssr*. This means that the memory pool activity (*ssr*) is not clearly associated to either a "yes" or a "no" response which leads to a wrong model answer but not to a change of the active rule after the provision of the negative feedback due to a lack of sufficient activity difference or, again, external fluctuations. ¹⁵ A behavioral translation for this behavior would be reduced attention leading to a reduced differentiation of relevant and irrelevant stimulus dimension.

In both cases these single errors are reasoned in fluctuation in the external AMPA input. The degree of these fluctuations might well be translated into a degree of "attention" a participant pays to the current experiment. If participants do not attend the stimulus display properly they enter into a response conflict because the relevant stimulus dimension is not "strong" enough to provide the base for a definite answer.

Additionally and on contrary, the simulations show sequences of errors following an initial attentional error similar to RCFs and indicating again perseveration. From the model point of view, "perseveration" is marked by the inability to switch to a new rule after the provision of a negative feedback.

Thus, for analyzation purposes the error types were redefined as follows:

Error Types II

Rule change errors (RCE): These are necessary errors subjects make (in the rule change trial) when the valid rule is changed without notice (same definitions as before, see Fig. 1, bottom, trial 2).

Errors in the context of a rule change (RCF): These are errors occurring immediately after the valid rule was changed. They follow immediately after the necessary RCE of a previous rule change.

Single errors (SE): These are single errors made in the maintenance phase of a specific rule. The previous and following trial has a correct answer.

Error Sequences (ES): Errors occurring in a series of two or more errors during the maintenance phase of a specific rule.

The major questions when analyzing these error types II is whether they occur to the same extend in the simulations and the experimental data and thus further support the plausibility of the task model.

Figure 8, right diagram shows the relationship of error types II for the response groups and the simulations. Across all three response groups single errors comprise the greatest part with only individual exceptions: In every response group one participant produced a greater amount of error sequences than single errors. The simulations show very similar error profiles: Five simulations (no. 3, 11, 12, 14 and 15) led to an error profile where the amount of single errors is greater than the amount of error sequences. Further seven simulations (no. 1, 6 to 10 and 13) produced error profiles similar to those of the "exceptionists" within the response groups; and only two simulations (no. 2 and 4) produced error profiles where the amount of single errors constituted the smallest part. These last two profiles are not represented amongst the participants of the WDMS experiments.

3.2.3 Summary

The response times achieved during the simulations indicated that all model options are able to account for the corresponding individual results with the minimal threshold (T_{min}) explaining a major differentiation criteria (response groups A and B). Further more it is hypothesized that verbalization techniques used by the participants are reflected in response time distributions deviant from these profiles (response group C).

Similar, several error profiles were detectable amongst the experimental participants as well as the simulations and only two out of 15 simulations produced an error profile which was not represented amongst the experimental participants.

The aim to match experimental mean values *exactly* would require to select a set of simulations that match the individual characteristics of the participants. However, so far we considered it highly satisfying to have demonstrated successfully that individual response variations are possible and relevant within an experimental design and that it is possible to suggest neuronal correlates which are able to account for these differences.

4 Discussion

In this work we presented a biophysical detailed neurodynamical model for a delayed-match-to-sample task



¹⁴Figure 2, trial 100, supplemental material.

¹⁵Figure 3, trial 98, supplemental material.

with a Wisconsin-like paradigm (WDMS experiment). The experimental design combined several elements:

- DMS elements: The primary task required to compare a sample stimulus with a test stimulus, presented after a delay period.
- Stroop elements: Different match conditions of sample and test stimulus resulted in trials with congruent and incongruent conditions.
- Wisconsin elements: The valid rule was not explicitly informed. Participants were required to detect
 the valid rule based on provided feedback. The
 valid rule was switched without notification at arbitrary intervals.

The WDMS experiments were conducted with 40 healthy participants. Key experimental results turned out to be:

- Increased response times for incongruent compared to congruent match conditions with "yes" responses being faster than "no" responses.
- Individually varying response profiles especially with respect to "none" conditions.
- Moderate error rates with different types of errors related to the perseveration of the previous rule and further attentional errors.

The experimental results were reproduced by a variety of simulations using a biophysically detailed neurodynamical model. The design of the model was oriented on neurophysiological findings and used an asymmetric set of weights to accomplish the set shift. The errors committed by the model during the simulations were related to system inherent fluctuations in the input of other cortical areas to the explicit model neurons. The degree of these fluctuations is translateable into the degree of a participants attention: The higher the attention the smaller the error rates. Hence the fluctuation effects represent an explanation for errors committed by healthy subjects in this experiment and did not require any additional handling (as feedback delay, see Rougier and O'Reilly 2002; Rougier et al. 2005). Further more, the simulations suggested an explanation (in terms of different response thresholds) why response times for incongruent trials are slower than those for congruent trials and why this relationship differs for "none" trials.

The overall operation of the model illustrated how abstract rules (i.e. "selective attention") might operate to influence and *amplify* task-relevant information rather than suppress task-irrelevant information, a circumstance which was recently confirmed by Egner and Hirsch (2005). The active neuronal rule does not inhibit

the irrelevant feature dimension but supports the relevant feature dimension to a slightly higher extend.

The experimental results revealed that subjects *do* produce single attentional errors and are at the same time able to switch the valid rule with a single error trial in this WDMS set shifting task, a question opened in Section 1. The neurodynamical model was able to suggest explanations for these attentional errors. Although the WDMS task uses only tow different rules opposed to the classical "Wisconsin Card Sorting Test" modeled by Rougier and O'Reilly (2002) and Rougier et al. (2005) it is very likely that the findings presented in this work apply to the WCST to the same extend.

In this respect a central question concerns the applicability of the suggested asymmetric weight set for tasks using three different rules, and whether such an approach would be capable to solve the problems of existing models as depicted in Section 1.

Figure 9 illustrates this principal possibility and extends Fig. 3 for a three-rule-setup. We explore the time course of input provided by the memory pools to the rule pools within three successive trials (n, n + 1, n + 2). For simplification, we consider only one memory pool per rule pool. The first diagram shows the principal task model including the strongest weights (black and gray arrows for feedforward, respectively, feedback connections) compared to the remaining ones (not shown).

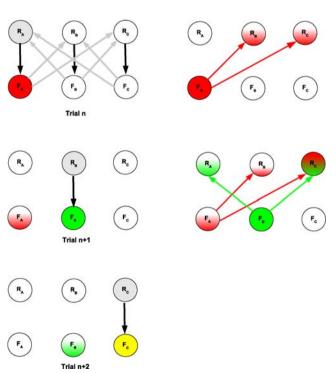


Fig. 9 Schematic extension of the memory based switching algorithm for tasks incorporating three rules and exploration of the input dynamics



Rule pool R_A and memory pool F_A are assumed to be active. Further, we assume a set shift after trial n and the according destabilization of the rule pool activity. Hence memory pool F_A will provide the strongest input to the rule pools R_B and R_C (second diagram). Thus, rule pools R_B and R_C will enter into a competition. Under the assumption that R_B won this competition we will see a spiking activity scheme as depicted for trial n+1. We also assume that memory pool F_A still shows some degree of activity in line with the principal feature amplification strategy for set shifting tasks (e.g. Egner and Hirsch 2005). Also, it might be assumed that the pool F_A shows still some amount of activity due to its relevance in the previous trial. Details about the degree of memory pool activity remain to be investigated in corresponding experiments. As R_B was not the right choice a further negative feedback will be provided following trial n + 1. The fourth diagram now illustrates that pool R_C receives the greatest amount of input from the memory pools and thus will be the active rule pool in trial n+2 with a high probability. Again, the stimulus memorization will depend on the degree of external fluctuations (reflecting participant attention) as for the two-rule WDMS task model. Hence, there is only a high *probability* for the model to choose an optimal search procedure for a new rule leaving room for the production of realistic error profiles opposed to the models described in Section 1.

For the further investigation of patient behavior with the WDMS the suggested neurodynamical model offers promising analyzation perspectives. First of all, if negative feedback signals are not provided to a sufficient extend a switch of valid rules would be impossible. Thus a "restart" of the task would be necessary to enable the *possibility* to select a different rule. An interrupt leading to a task restart might be generated by (controlled) external distractors in an experimental paradigm. Such external distraction would account as well for a comparatively low amount of "unmotivated errors." *Perseverations* due to disturbed feedback signals are probably related to the task performance of patients suffering from Parkinsons disease who did not show a pattern of increased distractibility.

Secondly, if the entire or part of the rule pools are missing a similar behavior is possible. I.e. an once selected stimulus dimension is not changed except due to external distractions leading to a "task restart." Missing rule pools would constitute as well an explanation for the *two* phenomena observed with prefrontal patients: Increased perseveration *and* distractibility. The perseveration arises from the circumstance that there is actually no rule to select and hence no feature *amplification* possible. But visual information processes still

ensure the ability to *recognize* just one of the features. The distractibility arises from the circumstance that, accordingly, there is no rule to *maintain*. Further on, without feature amplification the irrelevant stimulus dimension is most probably ignored. Thus, the differences between the response times for congruent and incongruent trials would disappear. The patient behavior related to these phenomena might be classified by "perseveration *and* distractibility" and both aspects together are supposed to constitute the reason for performance deficits of prefrontal patients.

Thirdly, the simulations revealed a major effect of the fluctuations in the external AMPA input on the response accuracy. Thus, "fuzzy" memory pool activity might be increased by an increase in these fluctuations, whereas its limitation should lead to increased response accuracy. The major effect of increased fluctuations is proposed to be an high amount of single errors (due to a "fuzzy" ssr) and potentially as well a slight increase in perseverative errors *immediately* after an rule change as the switching procedure is rather sensitive to these fluctuations. The patient behavior related to these phenomena might be classified by "attentional deficits."

As a last issue, a reduced level of glutamate is suggested¹⁶ to limit the working memory capacity which leads to a strong increase of all error types.¹⁷ Further on, response time differences for congruent and incongruent trials disappear as at least the irrelevant stimulus feature is not maintained across the delay periods. Also, the overall performance of the task will strongly depend on the duration of the delay period. The patient behavior related to these phenomena might be classified by "a working memory deficit."

Attentional deficits and/or working memory deficits might thereby account for the (divergent) task performances observed with Schizophrenic patients.

A final remark might be appropriate with respect to the modeling level chosen in this work. The usage of a biophysical detailed model comprising "Integrate-and-Fire" neurons for the simulation of set shifting tasks proved so far to be highly useful. However, it is well possible to (a) incorporate even more neuronal details (e.g. Hodgkin and Huxley 1952; Meunier and Segev 2002), or (b) to vary the homogeneity of the neurons used in this approach (for example by a heterogeneous neuronal configuration and connectivity) or (c)

¹⁷Though in this case, the errors are not of a perseverative "nature" but only appear to be perseverative. If stimulus features are not memorized according errors cannot be perseverative.



¹⁶Based on rough example simulations using a 10% reduced level of glutamate. Similar to the study of Durstewitz and Seamans (2002).

to use simpler, rate based models (e.g. Wong and Wang 2006) which approximate the behavior of "Integrate-and-Fire" networks. Whereas the first two options bear the possibility to provide even more insights in the neuronal base of cognitive flexibility the latter only approximates biophysical detailed neuronal descriptions and requires the explicit addition of fluctuations. Hence, the usage of rate based neuronal models might be adequate to save computing power and get a more general idea about neuronal operation possibilities in set shifting tasks. Overall, we consider the investigation of other modeling levels for set shifting tasks as described in this work as an item for further study.

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