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**DERIVATIONS OF LIE ALGEBRA EXTENSIONS AND
NON-SINGULAR DERIVATIONS OF LIE ALGEBRAS IN
PRIME CHARACTERISTIC**

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1. INTRODUCTION

Let L be a Lie algebra and d be a derivation of L . The derivation d is non-singular if it is injective as linear transformation. What can we say about the structure of L if d is non-singular? Jacobson's famous theorem [5] states that a finite-dimensional Lie algebra over a field of characteristic zero that admits a non-singular derivation must be nilpotent. It is well-known that this theorem is not valid when the characteristic is non-zero. Non-nilpotent and solvable examples were constructed by Shalev [10] and Mattarei [8], whereas the simple Lie algebras with non-singular derivations were classified by Benkart and her collaborators in [3]. A significant application of non-singular derivation was presented by Shalev [9]. In his proof of coclass conjectures of Leddham-Green and Newman for pro- p groups, Shalev uses that finite-dimensional Lie algebras over a field of characteristic $p > 0$ with non-singular derivation d such that $d^{p-1} = 1$, must be nilpotent.

Despite the existing examples, little is known about non-nilpotent Lie algebras with non-singular derivations. In these notes we explore the structure of solvable, non-nilpotent Lie algebras with non-singular derivations. In order to study this algebras we develop a theory of the derivations of Lie algebra extensions. We adopt the concept of a compatible pair of automorphisms introduced in [2] for derivations of Lie algebras.

Let K and I be Lie algebras such that K acts on I , then we can define a subalgebra $\text{Comp}(K, I)$ of derivations of semi-direct sum $K \oplus I$

$$\text{Comp}(K, I) = \{\alpha + \beta \in \mathfrak{gl}(K) \oplus \mathfrak{gl}(I) \mid \alpha + \beta \in \text{Der}(K \oplus I)\}.$$

The algebra $\text{Der}(K)$ carries information about the multiplicative structure of K . Analogously, the algebra $\text{Comp}(K, I)$ carries information about the action of K on I . In section 3.4 we present an example of this by exploring the proof of Jacobson's Theorem and we prove a version for Lie algebras representations over a field of characteristic $p > 0$.

Theorem 3.14 *Let K and I be finite dimensional Lie algebras over a field of characteristic p such that K is nilpotent. Suppose that K act on I by representation $\psi : K \rightarrow \text{Der}(I)$. Let $(\alpha, \beta) \in \text{Comp}(K, I)$ such that α has no eigenvalue 0. If either $p = 0$ or $p > 0$ and dimension of I is less than p then $\text{Tr}(\psi^n(k)) = 0$, for all $k \in K$. In these two cases, $\psi(k)$ is nilpotent.*

We also adapt an algorithm presented by Bettina Eick [2] for calculating the automorphism group of solvable Lie algebras. A key step in the algorithm is the following. Let L be a Lie algebra and I an abelian ideal of L such that I is invariant by $\text{Aut}(L)$. Then there exists a homomorphism $\phi : \text{Aut}(L) \rightarrow \text{Aut}(L/I) \times \text{Aut}(I)$ induced by the actions of $\text{Aut}(L)$ on L/I and I . The image of ϕ can be calculated using $\text{Aut}(L/I)$ and $\text{Ker}(\phi)$ is equal to $Z^1(K, I)$. Then the group $\text{Aut}(L)$ can be calculated applying the first isomorphism theorem to ϕ . Next we describe this process for derivations.

Let K be a Lie algebra and I be a K -module. Let $Z^2(K, I)$ be the vector space of cocycles and $\text{Comp}(K, I)$ be the subalgebra of compatible pairs. Let $(\alpha, \beta) \in \text{Comp}(K, I)$ and $\vartheta \in Z^2(K, I)$, we define an action of $\text{Comp}(K, I)$ over $Z^2(K, I)$ by

$$(\alpha, \beta) \cdot \vartheta(h, k) = \beta(\vartheta(h, k)) - \vartheta(\alpha(k), h) - \vartheta(k, \alpha(h)), \quad \text{for all } h, k \in K,$$

and we denote the elements of annihilator of this action by induced pairs. Let $\text{Indu}(K, I, \vartheta)$ be the set of induced pairs. Let K_ϑ be the Lie algebra extension obtained from K by cocycle ϑ then we can lift the derivation of $\text{Indu}(K, I, \vartheta)$ to $\text{Der}(K_\vartheta)$. Thus we obtained the following theorem.

Theorem 3.8 *Let K be a Lie algebra and I a K -module. Let $\vartheta \in H^2(K, I)$ and suppose that I , as ideal of K_ϑ , invariant under derivations of K_ϑ . Let $\phi : \text{Der}(K_\vartheta) \rightarrow \text{Der}(K) \oplus \text{Der}(I)$ given by $\phi(d) = (\alpha, \beta)$. Then:*

- (1) $\text{Im}(\phi) = \text{Indu}(K, I, \vartheta)$
- (2) $\text{Ker}(\phi) \cong Z^1(K, I)$

The details of this construction can be seen in Sections 3.1, 3.2 and 3.3. There is a significant difference between the application of approach to automorphisms and to derivations: calculating the automorphism groups of a Lie algebra is usually a difficult task that may involve a large orbit-stabilizer calculation, while calculating the algebra $\text{Der}(K_\vartheta)$ can be done by solving a system of linear equations. Thus, to understand the importance of Theorem 3.8 we must discover whose additional information of $\text{Der}(K_\vartheta)$ we are able to obtain through information of algebras $\text{Der}(K)$ and $\text{Der}(I)$.

We also studied a consequence of Jacobson's Theorem presented by Aner Shalev [10]. This article presents a condition on the order of derivation which guarantees nilpotency of algebra. Mattarei [8] continues this study focusing on all the possible orders of nonsingular derivations of modular solvable and non-nilpotent Lie algebras.

From these works we define the next goal of the project: present classes of modular solvable non-nilpotent Lie algebras with non-singular derivations and, if possible, classify the algebras in some of these classes.

This text is organized as follows: Section 2 is dedicated to literature review, where we present the articles studied and some classical results in Lie algebra theory. In Section 3, we present compatible pairs and the lifting process of derivations of a Lie algebra K to the Lie algebras K_ϑ such that ϑ is a cocycle. We end this section by applying the compatible

pairs to Jacobson's Theorem. The Section 4 is composed of some examples and conjectures about modular solvable non-nilpotent Lie algebras with non-singular derivations.

2. NON-SINGULAR DERIVATIONS: KNOWN RESULTS

2.1. Basic concepts. Let V be a vector space over field \mathbb{F} and $a \in \text{End}(V)$. V admits the following vector space decomposition invariant under the endomorphism a . Let $p \in \mathbb{F}[X]$ be a univariate polynomial and define

$$V_0(p(a)) = \{v \in V \mid \text{there is an } m > 0 \text{ such that } p(a)^m v = 0\}.$$

$V_0(p(a))$ is a subspace of V invariant under a . Now let A be the associative algebra with 1 generated by a . Let p_a be the minimum polynomial of a and suppose that

$$p_a = p_1^{k_1} \cdots p_r^{k_r}$$

is the factorization of p_a into irreducible factors, such that p_i has leading coefficient 1 and $p_i \neq p_j$ for $1 \leq i, j \leq r$. Then V decomposes as a direct sum of subspaces

$$V = V_0(p_1(a)) \oplus \cdots \oplus V_0(p_r(a)),$$

each space $V_0(p_i(a))$ being invariant under a . Furthermore, the minimum polynomial of the restriction of a to $V_0(p_i(a))$ is $p_i^{k_i}$. A proof of this result can be found in [1] Lemma A.2.2.

We can generalize this decomposition, instead of us consider an element $a \in \text{End}(V)$ we can consider a subalgebra $K \leq \mathfrak{gl}(V)$. A decomposition $V = V_1 \oplus \cdots \oplus V_s$ of V into K -modules V_i is said to be primary if the minimum polynomial of the restriction of a to V_i is a power of an irreducible polynomial for all $a \in K$ and $1 \leq i \leq s$. The subspaces V_i are called primary components. If for any two components V_i and V_j ($i \neq j$), there is an $x \in K$ such that the minimum polynomials of the restrictions of x to V_i and V_j are powers of different irreducible polynomials then the decomposition is called collected. In general V will not have a primary (or primary collected) decomposition into K -modules but it is guaranteed if the base field of V is algebraically closed and $K \leq \mathfrak{gl}(V)$ is nilpotent. A proof of this result can be found in Theorem 3.1.10 of [1].

If the vector space V has a primary collected decomposition $V = V_1 \oplus \cdots \oplus V_s$ then we can characterize the components V_i . For $x \in K$ and $1 \leq i \leq s$ define $p_{x,i}$ to be the irreducible polynomial such that the minimum polynomial of x restricted to V_i is a power of $p_{x,i}$. Then

$$V_i = \{v \in V \mid \text{for all } x \in K \text{ there is an } m > 0 \text{ such that } p_{x,i}(x)^m v = 0\}.$$

It is worth noting the case that the base field of V is algebraically closed, then all irreducible polynomials are of the form $p_{x,i} = (X - \lambda_i(x))$, $\lambda_i(x) \in \mathbb{F}$ and the primary components are of the form

$$V_i = \{v \in V \mid \text{for all } x \in K \text{ there is an } m > 0 \text{ such that } (x - \lambda_i(x).Id)^m v = 0\},$$

with $\lambda_i \in K^*$. Its natural define a name for this case. Let V be a finite-dimensional vector space over field \mathbb{F} and $K \in \mathfrak{gl}(V)$ a subalgebra. Let $\lambda \in K^*$. If

$$V_\lambda = \{v \in V \mid \text{for all } x \in K \text{ there is an } m > 0 \text{ such that } (x - \lambda(x).Id)^m v = 0\} \neq 0,$$

then V_λ is called generalized eigenspace of V associated to eigenvalue $\lambda \in K^*$.

Now we consider a Lie algebra L and a nilpotent subalgebra $K \leq \text{Der}(L)$. Then the decomposition to generalized eigenspaces of D can provide us some information of the multiplicative structure of L .

Proposition 2.1. *Let L be a Lie algebra over an algebraically closed field. Let K be a subalgebra of $\text{Der}(L)$. If $\lambda, \mu : K \rightarrow \mathbb{F}$ are eigenvalues of K then $[L_\lambda, L_\mu] \subseteq L_{\lambda+\mu}$ if $\lambda + \mu$ is a eigenvalue of K . Otherwise $[L_\mu, L_\lambda] = 0$.*

A proof of this result can be found Section 2 of Chapter 3 of [6].

2.2. Jacobson Theorem. In the article *A note on automorphism and derivations of Lie algebras* [5], Jacobson used a variation of Engel's theorem for weakly closed sets to get sufficient conditions for a Lie algebra to be nilpotent. We recommend the reading of the Section 1 and 2 of Chapter 2 of Jacobson's book [6] as reference for examples and proofs.

Let A be an associative algebra with 1 over a field \mathbb{F} . A subset S of A is called weakly closed if for every ordered pair $(a, b) \in S \times S$, there is an element $\gamma(a, b) \in \mathbb{F}$ such that $ab + \gamma(a, b)ba \in M$. If S is a subset of A we denote by $\langle S \rangle$ the subalgebra of A (subalgebra containing 1) generated by S .

Proposition 2.2. *Let V be a finite-dimensional vector space over a field \mathbb{F} . Let $S \subseteq \mathfrak{gl}(V)$ be a weakly closed subset such that every $s \in S$ is associative nilpotent, that is, $s^k = 0$, for some positive integer k . Then the subalgebra $\langle S \rangle$ is nilpotent.*

A proof of this theorem can be found in Section 1 of Chapter 2 of [6]. With this result we can prove the following theorem.

Theorem 2.3. *(Jacobson) Let L be a finite-dimensional Lie algebra of characteristic 0 and suppose that there exists a subalgebra D of the algebra of derivations of L such that*

- (1) D is nilpotent;
- (2) if there is $c \in L$ such that $d(c) = 0$ for all $d \in D$ then $c = 0$.

Then L is nilpotent.

Proof. Let $\bar{\mathbb{F}}$ be the algebraic closure of the base field. We can extend all derivations of L to $\bar{L} = L \otimes \bar{\mathbb{F}}$. If we prove that \bar{L} is nilpotent then L is nilpotent. So we will assume that \mathbb{F} is algebraically closed. In this case the extension of D is nilpotent and without 0 as eigenvalue, i. e. if there is $c \in L$ such that $d(c) = 0$ for all $d \in D$ then $c = 0$. Let $L = L_{\gamma_1} \oplus \cdots \oplus L_{\gamma_r}$ be the decomposition of L into generalized eigenspaces of D . By Proposition 2.1 we have $[L_{\gamma_i}, L_{\gamma_j}] \subseteq L_{\gamma_i + \gamma_j}$ if $\gamma_i + \gamma_j$ is a eigenvalue and $[L_{\gamma_i}, L_{\gamma_j}] = 0$

otherwise. Let $\text{ad}_{L_{\gamma_j}}$ denote the set of adjoint mappings induced by elements of L_{γ_j} . Then the relation just noted shows that the set $S = \bigcup \text{ad}_{L_{\gamma_j}}$ is a weakly closed set of linear transformations. Let $a \in L_{\gamma_j}$ and $b \in L_{\gamma_i}$. Then for all $s \geq 0$ $(\text{ad}_a)^s(b) \in L_{\gamma_i + s\gamma_j}$. By assumption, 0 is not an eigenvalue and so the elements $\{\gamma_i + s\gamma_j\}_{s \geq 0}$ are all distinct. But D has a finite number of eigenvalues and so ad_a is nilpotent. Thus every element of S is nilpotent. We can conclude that the algebra $\langle S \rangle$ is nilpotent and hence ad_L is nilpotent. Therefore L is a nilpotent Lie algebra. \square

One question that arises from the theorem is its validity in characteristic $p \neq 0$. However this does not happen, we have simple modular Lie algebras with non-singular derivations. For example, let \mathbb{F} be the field of 2^m elements and L be the vector space over \mathbb{F} such that

$$L = \langle x_\alpha \mid \alpha \in \mathbb{F}, \alpha \neq 0 \rangle$$

with a basis labelled by nonzero elements of the field \mathbb{F} and with multiplication $[x_\alpha, x_\beta] = (\beta - \alpha)x_{\alpha+\beta}$. Then L is a simple Lie algebra and the map $d \in \text{End}(L)$ given by $d(e_\alpha) = \alpha e_\alpha$ is a non-singular derivation. A systematic investigation of simple Lie algebras with nonsingular derivations can be found in [3]. Another question is whether the converse is true. By Dixmier and Lister [4], there are nilpotent Lie algebras admitting only nilpotent derivations. We present one example of such an algebra: suppose that \mathbb{F} is a field of characteristic 0 and L is the Lie algebra over \mathbb{F}

$$L = \langle x_1, x_2, \dots, x_8 \rangle$$

with dimension 8 and multiplication table

$$\begin{aligned} [e_1, e_2] &= e_5 & [e_1, e_3] &= e_6 & [e_1, e_4] &= e_7 & [e_1, e_5] &= -e_8 & [e_2, e_3] &= e_8 & [e_2, e_4] &= e_6 \\ [e_2, e_6] &= -e_7 & [e_3, e_4] &= -e_5 & [e_3, e_5] &= -e_7 & [e_4, e_6] &= -e_8 & [e_i, e_j] &= -[e_j, e_i] \end{aligned}$$

and $[e_i, e_j] = 0$ if it is not in table above. L is nilpotent with $L^3 \neq 0$, $L^4 = 0$ and every derivation of L is nilpotent.

A review of the proof of theorem 2.3 shows that the hypothesis of zero characteristic is essential prove that every element in a homogeneous component is nilpotent. However, we can guarantee this in characteristic p by requiring that D has at most $p - 1$ eigenvalues or that dimension of L is less than p . This leads to the following corollary.

Corollary 2.4. *Let L be a Lie algebra over a field of characteristic p and suppose that there exists a subalgebra D of the algebra of derivations of L such that*

- (1) D is nilpotent;
- (2) if there is $c \in L$ such that $d(c) = 0$ for all $d \in D$ then $c = 0$.

If D has at most $p - 1$ eigenvalues then L is nilpotent.

2.3. The orders of non-singular derivations. An interesting approach by Shalev in article [10] is to study the order of nonsingular derivations, establishing conditions for a Lie algebra over a field of characteristic p with non-singular derivations to be nilpotent.

More precisely, Shalev studied the set of orders of nonsingular derivations of non-nilpotent Lie algebras of characteristic p . Later, Mattarei in [8] showed that this set of numbers corresponds to the solution of some equation modulo p . Below we present some results of these articles.

Let L be a Lie algebra over an algebraically closed field of characteristic p . We can characterize the matrix of a non-singular derivation of L . We need a result for derivations in Lie algebras over a field of characteristic p .

Proposition 2.5. *Let L be a Lie algebra over a field \mathbb{F} of characteristic p , $p > 0$. If $d \in \text{Der}(L)$ then $d^p \in \text{Der}(L)$.*

Proof. Let $d \in \text{Der}(L)$. Then by Leibniz formula

$$d^n([x, y]) = \sum_{k=0}^n \binom{n}{k} d^k(x) d^{n-k}(y), \text{ for all } n > 0.$$

Set $n = p$. Then $d^p([x, y]) = [d^p(x), y] + [x, d^p(y)]$ and $d^p \in \text{Der}(L)$. \square

Proposition 2.6. *Let V be a finite dimension vector space over an algebraically closed field of characteristic p and $f \in \text{End}(V)$ non-singular with order r coprime to p . Then f is diagonalizable.*

Proof. Let A be the matrix of the endomorphism f in Jordan normal form and write $A = S + N$ such that S is diagonalizable, N is nilpotent and S, N commute. Observe that for these matrices we have

- If $(s)_{ii} = \lambda_i$ then $(s^k)_{ii} = \lambda_i^k$, for all $k > 0$;
- $(n^k)_{i(i+j)} = 0$, for all $0 \leq j < k$ and all $k > 0$.

Since $Id = a^r = (s + n)^r = s^r + \binom{r}{1}s^{r-1}n + \binom{r}{2}s^{r-2}n^2 + \cdots + \binom{r}{r-1}sn^{r-1} + n^r$ we have $\binom{r}{1}s^{r-1}n = r(s^{r-1}n) = 0$. But r and the eigenvalues of s^{r-1} are nonzero, this implies $n = 0$. Then f is diagonalizable. \square

Let L be a Lie algebra over the field \mathbb{F} such that L has a non-singular derivation d . Let $r = sp^t$, with $\gcd(s, p) = 1$, the order of d , then d^{p^t} is a derivation whose order is prime to p and, therefore, by Proposition 2.6 it is diagonalizable. So if L is a Lie algebra over an algebraically closed field \mathbb{F} of characteristic p with non-singular derivation then L has a diagonalizable derivation d without eigenvalue 0.

Proposition 2.7. *Let L be a finite-dimensional Lie algebra in characteristic p which admits a non-singular derivation d whose order n is coprime to p . Suppose L is not nilpotent. Then there exist $\lambda \in \bar{\mathbb{F}}_p$ such that $(\lambda + \delta)^n = 1$ for all $\delta \in \mathbb{F}_p$.*

Proof. Let $R = \{\alpha \in \bar{\mathbb{F}}_p \mid \alpha^n = 1\}$. If R is not contained in base field of L then we consider d for the extension $L \otimes \bar{\mathbb{F}}$. By Proposition 2.6, d is diagonalizable. Then L has a decomposition to eigenspaces of d . Let $L = L_{\lambda_1} \oplus \cdots \oplus L_{\lambda_r}$ the decomposition of L

to eigenspaces of d . The set $S = \bigcup \text{ad}_{L_{\lambda_j}}$ is weakly closed with $\gamma(\text{ad}_a, \text{ad}_b) = -1$ for all $a \in L_{\lambda_i}, b \in L_{\lambda_j}$. If each ad_a is nilpotent then by Proposition 2.2 L is nilpotent. By hypotheses, L is non-nilpotent Lie algebra and so there is $a \in L_{\lambda_j}$ and $b \in L_{\lambda_i}$ such that $(\text{ad}_a)^n(b) \neq 0$, $1 \leq n \leq p$. However this implies, $(\lambda_i + \delta\lambda_j)$ are eigenvalues of d for $1 \leq r \leq p$. Set $\lambda = \lambda_i\lambda_j^{-1}$. Then $(\lambda + \delta)^n = 1$ for all $\delta \in \mathbb{F}_p$. \square

We will use the same notation of proof of Proposition 2.7. Write $x^n - 1 = \prod_{\alpha \in R} (x - \alpha)$. Then $\prod_{\delta \in \mathbb{F}_p} (x - \lambda - \delta)$ divides $x^n - 1$. But

$$\prod_{\delta \in \mathbb{F}_p} (x - \lambda - \delta) = (x - \lambda)^p - (x - \lambda) = x^p - x - c,$$

where $c = \lambda^p - \lambda$. Then x^n is congruent to 1 modulo $g(x) = x^p - x - c$. In this case, Lemma 2.4 of [10] shows that $n \geq p^2 - 1$. Now we can prove the theorem.

Theorem 2.8. *Let L be a finite dimensional Lie algebra in characteristic $p > 0$ which admits non-singular derivation of order n . Write $n = p^s m$ where m is coprime to p . Suppose $m < p^2 - 1$. Then L is nilpotent.*

Proof. The derivation d^{p^s} has order m . Suppose that L is not nilpotent. Then by the comment above we have $m < p^2 - 1$. \square

Mattarei in [8] presents an example of this Lie algebra.

Example 2.9. Let $\alpha, \beta \in \bar{\mathbb{F}}_p$ with $\alpha\beta^{-1} \notin \mathbb{F}_p$. Let M be a p -dimensional vector space over $\bar{\mathbb{F}}_p$ with basis e_1, \dots, e_p , and let E, F be the linear transformations of M defined by $E(e_i) = e_{i+1}$ (indices modulo p), and $F(e_i) = (\alpha + i\beta)e_i$. The transformations E and F span a two-dimensional solvable Lie algebra, which has M as a left module. Let L be the semidirect sum of $\{E\}$ and M with respect to this action. Then F acts on L as a non-singular derivation, with eigenvalues β on $\{E\}$, and $\alpha + \lambda\beta$ for $\lambda \in \mathbb{F}_p$ on M .

The next result links the orders nonsingular derivations orders of Lie algebras of characteristic p and two polynomials.

Proposition 2.10. *Let p a prime number and let n be a positive integer, prime to p . The following statements are equivalent:*

- (1) *there exists a non-nilpotent Lie algebra of characteristic p with a non-singular derivations of order n ;*
- (2) *there exists an element $\alpha \in \bar{\mathbb{F}}_p$ such that $(\alpha + \lambda)^n = 1$ for all $\lambda \in \mathbb{F}_p$*
- (3) *there exist an element $c \in \bar{\mathbb{F}}_p^*$ such that $x^p - x - c$ divides $x^n - 1$ as elements of the polynomial ring $\bar{\mathbb{F}}_p[x]$.*

Mattarei in [8] defines the set N_p of the possible orders of non-singular derivations of non-nilpotent Lie algebras of characteristic p and determine all elements of N_p which are smaller than p^3 , for $p > 3$.

3. DERIVATIONS AND LIE ALGEBRA EXTENSIONS

3.1. Lie algebra extensions. The symbol ‘ \oplus ’ will be used to denote the direct sum of algebras, while the direct sum of vector spaces will be denoted by ‘ $+$ ’.

An *extension* of a Lie algebra K by a Lie algebra I is an exact sequence

$$(1) \quad 0 \rightarrow I \xrightarrow{i} L \xrightarrow{s} K \rightarrow 0$$

of Lie algebras. The Lie algebra L in the middle of the exact sequence contains an ideal $\text{Ker}(s) = \text{Im } i \cong I$ such that $L/I \cong K$. We will write informally that ‘ L is an extension of K by I ’. The extension (1) *splits* if L has a subalgebra S such that $L = S + \text{Ker}(s)$. The extension (1) is *trivial* if there exists an ideal S of L such that $L = S \oplus \text{Ker}(s)$. The extension (1) is central if $\text{Ker}(s)$ lies in the center $Z(L)$ of L .

Suppose that K and I are Lie algebras and $\psi : K \rightarrow \text{Der}(I)$ is a given Lie algebra homomorphism. Then we say that K *acts* on I or that I is a K -*module*. In this case, the image $\psi(k)(a)$ of $a \in I$ under $k \in K$ will be written simply as $[k, a]$. If I is an ideal of a Lie algebra K , then K acts on I . If $k \in K$, then the image of k under this action will be denoted by ad_k^I or simply by ad_k when the domain of the representation is clear from the context. Thus, for $a \in I$ and for $k \in K$, $\text{ad}_k^I(a) = \text{ad}_k(a) = [k, a]$. The homomorphism $K \rightarrow \text{Der}(I)$ that takes $k \mapsto \text{ad}_k^I$, will be denoted by ad^I .

Example 3.1. Let L be a Lie algebra with an abelian ideal I and set $K = L/I$. Define the Lie algebra representation $\text{ad}^I : K \rightarrow \text{Der}(I)$ by $\text{ad}_{x+I}^I(a) = [x, a]$ for all $x \in L$ and $a \in I$. This is well defined, since I is abelian. Then I is a K -module. In this case, we say that the action is *induced by the adjoint representation*.

Let K be a Lie algebra over a field \mathbb{F} and let I be a vector space over \mathbb{F} . Denote by $\mathcal{C}^2(K, I)$ the vector space of alternating bilinear maps $\vartheta : K \times K \rightarrow I$. If I is a K -module and $\vartheta \in \mathcal{C}^2(K, I)$ has the property that

$$\vartheta(x, [y, z]) + \vartheta(y, [z, x]) + \vartheta(z, [x, y]) + [x, \vartheta(y, z)] + [y, \vartheta(z, x)] + [z, \vartheta(x, y)] = 0,$$

for all $x, y, z \in K$, then ϑ is said to be a *cocycle* and the vector space of cocycles is denoted by $Z^2(K, I)$. Let $T : K \rightarrow I$ be a linear transformation and define, $\vartheta_T : K \times K \rightarrow I$ by

$$\vartheta_T(k, h) = T([k, h]) + [h, T(k)] - [k, T(h)] \quad \text{for all } k, h \in K.$$

Then $\vartheta_T \in Z^2(K, I)$ and such a cocycle ϑ_T is said to be a *coboundary*. The set of coboundaries is denoted by $B^2(K, I)$. The set $B^2(K, I)$ is a subspace of $Z^2(K, I)$, and we set $H^2(K, I) = Z^2(K, I)/B^2(K, I)$ to be the quotient space. The first cohomology group of K and I is defined as

$$Z^1(K, I) = \{\nu \in \text{Hom}(K, I) \mid \nu([k, h]) = [k, \nu(h)] - [h, \nu(k)] \text{ for all } k, h \in K\}.$$

The next result, whose proofs can be found, for instance, in [7, Section 4.2], links Lie algebra extensions to cohomology. Let K be a Lie algebra and let I be a K -module. Let

$\vartheta \in Z^2(K, I)$ and define the Lie algebra $K_\vartheta = K \dot{+} I$ with the product

$$(2) \quad [x + a, y + b] = [x, y] + \vartheta(x, y) + [a, y] - [b, x] \text{ for all } x, y \in K \text{ and } a, b \in I.$$

Proposition 3.2. *The following hold for the Lie algebra K_ϑ :*

- (1) K_ϑ is a Lie algebra extension of K by I ;
- (2) if $\nu \in B^2(K, I)$, then K_ϑ is isomorphic to $K_{\vartheta+\nu}$;
- (3) if $\vartheta \in B^2(K, I)$, then K_ϑ is a split extension of K by I .

Conversely, let L be a Lie algebra and J be an abelian ideal of L . Then there exists $\vartheta \in Z^2(L/J, J)$ such that $L \cong (L/J)_\vartheta$.

The cocycle ϑ in last statement of Proposition 3.2 can be constructed as follows. Let $\pi : L \rightarrow L/I$ denote the natural projection, and let $\sigma : L/I \rightarrow L$ be a right inverse of π ; that is, $\pi\sigma = \text{id}_{L/I}$. Then, for $k + I, h + I \in L/I$, set

$$\vartheta(k + I, h + I) = \sigma([k + I, h + I]) - [\sigma(k + I), \sigma(h + I)].$$

Routine calculation shows that $\vartheta \in Z^2(L/I, I)$ and that $L \cong L_\vartheta$.

3.2. Compatible pairs and derivations of semidirect sums. Compatible pairs were introduced in [2] to compute automorphisms of solvable groups and solvable Lie algebras. We adopt the concept for derivations of Lie algebras. Let K and I be Lie algebras such that K acts on I via the homomorphism $\psi : K \rightarrow \text{Der}(I)$. We define the *semidirect sum* $K \oplus_\psi I$ as the vector space $K \dot{+} I$ with the product operation given as

$$[(k_1, a_1), (k_2, a_2)] = ([k_1, k_2], [k_1, a_2] - [k_2, a_1] + [a_1, a_2]).$$

When the K -action on I is clear from the context, then we usually suppress the homomorphism ‘ ψ ’ from the notation and write simply $K \oplus I$. If L is a Lie algebra such that L has an ideal I and a subalgebra K in such a way that $L = K \dot{+} I$, then $L \cong K \oplus_\psi I$ where ψ is the restriction of ad_I to K . In a semidirect sum $K \oplus I$, an element $(k, a) \in K \dot{+} I$ will usually be written as $k + a$.

Suppose that K and I are as in the previous paragraph. The direct sum $\text{Der}(K) \oplus \text{Der}(I)$ of the derivation Lie algebras is a Lie algebra. An element $(\alpha, \beta) \in \text{Der}(K) \oplus \text{Der}(I)$ is said to be a *compatible pair* if

$$(3) \quad \beta([k, a]) = [\alpha(k), a] + [k, \beta(a)] \quad \text{for all } k \in K, a \in I.$$

We let $\text{Comp}(K, I)$ denote the set of compatible pairs in $\text{Der}(K) \oplus \text{Der}(I)$. Using the homomorphism $\psi : K \rightarrow \text{Der}(I)$ associated to the K -action on I , we can write equation (3) in another form as follows. Writing $[k, a]$ as $\psi(k)(a)$, we have that $(\alpha, \beta) \in \text{Comp}(K, I)$ if and only if the equation

$$\beta\psi(k) = \psi(\alpha(k)) + \psi(k)\beta.$$

holds in $\text{Der}(I)$ for all $k \in K$. Using commutator, this is equivalent to

$$(4) \quad [\beta, \psi(k)] = \psi(\alpha(k)) \quad \text{for all } k \in K.$$

Letting $\text{ad} : \text{Der}(I) \rightarrow \text{Der}(I)$ denote the adjoint representation, equation (4) can be rewritten as

$$\text{ad}_\beta \psi(k) = \psi(\alpha(k)) \quad \text{for all } k \in K.$$

Therefore, $(\alpha, \beta) \in \text{Comp}(K, I)$ if and only if the following diagram commutes:

$$\begin{array}{ccc} K & \xrightarrow{\psi} & \text{Der}(I) \\ \downarrow \alpha & \circlearrowleft & \downarrow \text{ad}_\beta \\ K & \xrightarrow{\psi} & \text{Der}(I). \end{array}$$

A compatible pair $(\alpha, \beta) \in \text{Der}(K) \oplus \text{Der}(I)$ will usually be written as $\alpha + \beta$. If $\alpha + \beta \in \text{Der}(K) \oplus \text{Der}(I)$ as above, then $\alpha + \beta$ can be considered a element of $\mathfrak{gl}(I \oplus K)$ by letting $(\alpha + \beta)(a + k) = \alpha(a) + \beta(k)$ for all $a \in I$ and $k \in K$.

Proposition 3.3. *Using the notation above, we have that*

$$\text{Comp}(K, I) = \{\alpha + \beta \in \mathfrak{gl}(K) \oplus \mathfrak{gl}(I) \mid \alpha + \beta \in \text{Der}(K \oplus I)\}.$$

In particular $\text{Comp}(K, I)$ is a Lie subalgebra of $\text{Der}(K \oplus I)$.

Proof. Suppose that $\alpha + \beta \in \text{Comp}(K, I)$ is a compatible pair and let $k + a, k' + a' \in K \oplus I$. Then

$$\begin{aligned} (\alpha + \beta)[k + a, k' + a'] &= (\alpha + \beta)([k, k'] + ([k, a'] - [k', a] + [a, a'])) \\ &= \alpha([k, k']) + \beta([k, a'] - [k', a] + [a, a']) \\ &= [\alpha(k), k'] + [k, \alpha(k')] + [\alpha(k), a'] - [\alpha(k'), a] + [\beta(a), a'] + [k, \beta(a')] - [k', \beta(a)] + [a, \beta(a')]. \end{aligned}$$

On the other hand

$$\begin{aligned} [(\alpha + \beta)(k + a), k' + a'] + [k + a, (\alpha + \beta)(k' + a')] &= \\ [\alpha(k), k'] + [\alpha(k), a'] + [\beta(a), k'] + [\beta(a), a'] + [k, \alpha(k')] + [k, \beta(a')] + [a, \alpha(k')] + [a, \beta(a')]. \end{aligned}$$

Thus $\alpha + \beta \in \text{Der}(K \oplus I)$.

Conversely, let $\alpha + \beta \in \mathfrak{gl}(K) \oplus \mathfrak{gl}(I)$ such that $\alpha + \beta$ is a derivation of $K \oplus I$. Then $(\alpha + \beta)|_K = \alpha$ and $(\alpha + \beta)|_I = \beta$, and so $\alpha \in \text{Der}(K)$ and $\beta \in \text{Der}(I)$. Further, if $k \in K$ and $a \in I$, then $[k, a] \in I$, and so

$$\beta([k, a]) = (\alpha + \beta)[k, a] = [(\alpha + \beta)(k), a] + [k, (\alpha + \beta)(a)] = [\alpha(k), a] + [k, \beta(a)].$$

Thus $\alpha + \beta \in \text{Comp}(K, I)$, as required.

The fact that $\text{Comp}(K, I)$ is a Lie subalgebra of $\text{Der}(K \oplus I)$ follows from the fact that $\text{Comp}(K, I)$ is the intersection of two Lie algebras; namely, $\text{Comp}(K, I) = (\mathfrak{gl}(K) \oplus \mathfrak{gl}(I)) \cap \text{Der}(K \oplus I)$. \square

Let K and I be vector spaces. Consider the Lie algebra $\mathfrak{gl}(K) \oplus \mathfrak{gl}(I)$ and define an action of $\mathfrak{gl}(K) \oplus \mathfrak{gl}(I)$ on the vector space $\text{Hom}(K, \mathfrak{gl}(I))$ as follows. Let ad denote the adjoint representation of $\mathfrak{gl}(I)$. Thus, for $\beta, \beta' \in \mathfrak{gl}(I)$ and $\text{ad}_\beta(\beta') = [\beta, \beta']$. For $(\alpha, \beta) \in \mathfrak{gl}(K) \oplus \mathfrak{gl}(I)$ and for $T \in \text{Hom}(K, \mathfrak{gl}(I))$, set

$$(5) \quad (\alpha, \beta) \cdot T = \text{ad}_\beta T - T\alpha.$$

Let us show that this in fact defines a Lie algebra action. First notice that $(\alpha, \beta) \cdot T$ is a linear map because is linear combination of composition and sums of linear maps. Let us check that it preserves Lie brackets. Let $(\alpha, \beta), (\alpha', \beta') \in \mathfrak{gl}(K) \oplus \mathfrak{gl}(I)$ and $k \in K$. By definition

$$(\alpha', \beta') \cdot T = \text{ad}_{\beta'} T - T\alpha'.$$

So

$$(\alpha, \beta) \cdot ((\alpha', \beta') \cdot T) = \text{ad}_\beta \text{ad}_{\beta'} T - \text{ad}_{\beta'} T\alpha - \text{ad}_\beta T\alpha' + T\alpha'\alpha.$$

In the same way,

$$(\alpha', \beta') \cdot ((\alpha, \beta) \cdot T) = \text{ad}_{\beta'} \text{ad}_\beta T - \text{ad}_\beta T\alpha' - \text{ad}_{\beta'} T\alpha + T\alpha\alpha'.$$

Hence,

$$\begin{aligned} (\alpha, \beta) \cdot ((\alpha', \beta') \cdot T) - (\alpha', \beta') \cdot ((\alpha, \beta) \cdot T) &= \text{ad}_\beta \text{ad}_{\beta'} T - \text{ad}_{\beta'} \text{ad}_\beta T + T\alpha\alpha' - T\alpha'\alpha \\ &= [\text{ad}_\beta, \text{ad}_{\beta'}] T + T[\alpha, \alpha']. \end{aligned}$$

Therefore,

$$[(\alpha, \beta), (\alpha', \beta')] \cdot T = ([\alpha, \alpha'], [\beta, \beta']) \cdot T.$$

Now, if K is a Lie algebra and I is a K -module, then there is a corresponding homomorphism $\psi \in \text{Hom}(K, \text{Der}(I))$. Now suppose that $\alpha + \beta \in \mathfrak{gl}(K) \oplus \mathfrak{gl}(I)$ such that $\alpha + \beta \in \text{Der}(K) \oplus \text{Der}(I)$. Then, for $k \in K$, we have $\text{ad}_\beta T(k) + T\alpha(k)$ is a derivation of I since $\text{ad}_\beta T(k), T\alpha(k) \in \text{Der}(I)$.

If X is a subalgebra of $\text{Der}(K) \oplus \text{Der}(I)$, then the annihilator $\text{Ann}_X(\psi)$ of ψ in X is defined as

$$\text{Ann}_X(\psi) = \{(\alpha, \beta) \in X \mid (\alpha, \beta) \cdot \psi = 0\}.$$

Computing the annihilator of ψ in $\text{Der}(K) \oplus \text{Der}(I)$ explicitly, we obtain

$$\begin{aligned} \text{Ann}_{\text{Der}(K) \oplus \text{Der}(I)}(\psi) &= \{(\alpha, \beta) \in \text{Der}(K) \oplus \text{Der}(I) \mid (\alpha, \beta) \cdot \psi = 0\} \\ &= \{(\alpha, \beta) \in \text{Der}(K) \oplus \text{Der}(I) \mid \text{ad}_\beta \psi - \psi\alpha = 0\} = \text{Comp}(K, I). \end{aligned}$$

The last equality follows from (4). Hence we have proved the following proposition.

Proposition 3.4. *Let K and I be Lie algebras such that I is also a K -module via the representation $\psi \in \text{Hom}(K, \text{Der}(I))$. Then $\text{Comp}(K, I) = \text{Ann}_{\text{Der}(K) \oplus \text{Der}(I)}(\psi)$, where the action of $\text{Der}(K) \oplus \text{Der}(I)$ on $\text{Hom}(K, \text{Der}(I))$ is given by (5).*

3.3. Derivations of K_ϑ . In this section we present a method to describe the derivations of extension K_ϑ presented in Proposition 3.2 from derivations of Lie algebra K . By an adaptation of the process used by Eick in [2], we set conditions for a derivation in K can be lifted to a derivation of K_ϑ . It is first necessary define an action of $\mathfrak{gl}(K) \oplus \mathfrak{gl}(I)$ on vector space of alternating bilinear maps.

Let K and I be vector spaces. Let (α, β) be an element of Lie algebra $\mathfrak{gl}(K) \oplus \mathfrak{gl}(I)$ and $\vartheta \in \mathbf{C}^2(K, I)$, define an action $\mathfrak{gl}(K) \oplus \mathfrak{gl}(I)$ on $\vartheta \in \mathbf{C}^2(K, I)$ by

$$(6) \quad (\alpha, \beta) \cdot \vartheta(h, k) = \beta(\vartheta(h, k)) - \vartheta(\alpha(k), h) - \vartheta(k, \alpha(h)), \quad \text{for all } h, k \in K.$$

If $(\alpha', \beta') \in \mathfrak{gl}(K) \oplus \mathfrak{gl}(I)$ then by our definition

$$\begin{aligned} (\alpha, \beta)(\alpha', \beta') \cdot \vartheta(h, k) &= \beta\beta'\vartheta(h, k) - \beta'\vartheta(\alpha(k), h) - \beta'\vartheta(k, \alpha(h)) \\ &\quad - \beta\vartheta(\alpha'(h), k) + \vartheta(\alpha'\alpha(k), h) - \vartheta(\alpha'(k), \alpha(h)) \\ &\quad \beta\vartheta(h, \alpha'(k)) - \vartheta(\alpha(k), \alpha'(h)) - \vartheta(k, \alpha'\alpha(h)). \end{aligned}$$

Follow that

$$[(\alpha, \beta), (\alpha', \beta')] \cdot \vartheta(h, k) = [\beta, \beta']\vartheta(h, k) - \vartheta([\alpha', \alpha](k), h) - \vartheta(k, [\alpha', \alpha](h)).$$

Therefore, the action presented in (6) is well defined.

Our goal now is to study the action of compatible pairs $\mathbf{Comp}(K, I)$ on subspaces $Z^2(K, I)$ and $\mathbf{B}^2(K, I)$ of $\mathbf{C}^2(K, I)$. For this, consider that K is a Lie algebra and I a K -module. Then for all $k, h, l \in K$, $(\alpha, \beta) \in \mathbf{Comp}(K, I)$ and $\vartheta \in Z^2(K, I)$ we have

$$\begin{aligned} (\alpha, \beta) \cdot \vartheta(k, [h, l]) &= \beta(\vartheta(k, [h, l])) - \vartheta(\alpha(k), [h, l]) - \vartheta(k, \alpha([h, l])) \\ &= \beta(\vartheta(k, [h, l])) - \vartheta(\alpha(k), [h, l]) - \vartheta(k, [\alpha(h), l]) - \vartheta(k, [h, \alpha(l)]). \end{aligned}$$

If

$$X = (\alpha, \beta) \cdot \vartheta(k, [h, l]) + (\alpha, \beta) \cdot \vartheta(h, [l, k]) + (\alpha, \beta) \cdot \vartheta(l, [k, h]),$$

then

$$\begin{aligned} X &= \beta(\vartheta(k, [h, l])) + \beta(\vartheta(h, [l, k])) + \beta(\vartheta(l, [k, h])) \\ &\quad - \vartheta(\alpha(k), [h, l]) - \vartheta(\alpha(h), [l, k]) - \vartheta(\alpha(l), [k, h]) \\ &\quad - \vartheta(k, [\alpha(h), l]) - \vartheta(h, [\alpha(l), k]) - \vartheta(l, [\alpha(k), h]) \\ &\quad - \vartheta(k, [h, \alpha(l)]) - \vartheta(h, [l, \alpha(k)]) - \vartheta(l, [k, \alpha(h)]). \end{aligned}$$

Using cocycle definition

$$\begin{aligned} X = & -\beta([k, \vartheta(h, l)]) - \beta([h, \vartheta(l, k)]) - \beta([l, \vartheta(k, h)]) \\ & + [\alpha(k), \vartheta(h, l)] + [\alpha(h), \vartheta(l, k)] + [\alpha(l), \vartheta(k, h)] \\ & + [k, \vartheta(\alpha(h), l)] + [h, \vartheta(\alpha(l), k)] + [l, \vartheta(\alpha(k), h)] \\ & + [k, \vartheta(h, \alpha(l))] + [h, \vartheta(l, \alpha(k))] + [l, \vartheta(k, \alpha(h))]. \end{aligned}$$

(α, β) is a compatible pair then we can replace in X the equalities

$$\begin{aligned} \beta([k, \vartheta(h, l)]) &= [\alpha(k), \vartheta(h, l)] + [k, \beta(\vartheta(h, l))]; \\ \beta([h, \vartheta(l, k)]) &= [\alpha(h), \vartheta(l, k)] + [h, \beta(\vartheta(l, k))]; \\ \beta([l, \vartheta(k, h)]) &= [\alpha(l), \vartheta(k, h)] + [l, \beta(\vartheta(k, h))]; \end{aligned}$$

Hence

$$\begin{aligned} X = & -[k, \beta(\vartheta(h, l))] - [h, \beta(\vartheta(l, k))] - [l, \beta(\vartheta(k, h))] \\ & + [k, \vartheta(\alpha(h), l)] + [h, \vartheta(\alpha(l), k)] + [l, \vartheta(\alpha(k), h)] \\ & + [k, \vartheta(h, \alpha(l))] + [h, \vartheta(l, \alpha(k))] + [l, \vartheta(k, \alpha(h))]. \end{aligned}$$

Again, by action definition we obtain

$$X = -[k, (\alpha, \beta) \cdot \vartheta(h, l)] - [h, (\alpha, \beta) \cdot \vartheta(l, k)] - [l, (\alpha, \beta) \cdot \vartheta(k, h)].$$

So $(\alpha, \beta) \cdot \vartheta \in Z^2(K, I)$.

Now suppose that $\vartheta \in \mathbf{B}^2(K, I)$. Then there is a linear map $T : K \rightarrow I$ such that

$$(7) \quad \vartheta(k, h) = T([k, h]) + [h, T(k)] - [k, T(h)].$$

Let $Y = (\alpha, \beta) \cdot \vartheta(k, h)$. By (7) we have

$$Y = (\alpha, \beta) \cdot (T([k, h]) + [h, T(k)] - [k, T(h)]).$$

Using action definition we have

$$\begin{aligned} Y = & \beta T([k, h]) + \beta([h, T(k)]) - \beta([k, T(h)]) \\ & - T([\alpha(h), k]) - [\alpha(h), T(k)] + [\alpha(k), T(h)] \\ & - T([k, \alpha(h)]) - [h, T\alpha(k)] + [k, T\alpha(h)]. \end{aligned}$$

We can use that (α, β) is a compatible pair in last equation

$$\begin{aligned}
Y &= \beta T([k, h]) + [\alpha(h), T(k)] + [h, \beta T(k)] - [\alpha(k), T(h)] - [k, \beta T(h)] \\
&\quad - T([\alpha(k), h]) - [\alpha(h), T(k)] + [\alpha(k), T(h)] \\
&\quad - T([k, \alpha(h)]) - [h, T\alpha(k)] + [k, T\alpha(h)] \\
&= \beta T([k, h]) + [h, \beta T(k)] - [k, \beta T(h)] \\
&\quad - T([\alpha(k), h]) - T([k, \alpha(h)]) - [h, T\alpha(k)] + [k, T\alpha(h)]
\end{aligned}$$

Hence,

$$Y = (\beta T - T\alpha)([k, h]) - [h, (\beta T - T\alpha)(k)] + [k, (\beta T - T\alpha)(h)].$$

If $U = \beta T - T\alpha : K \rightarrow I$ then

$$(\alpha, \beta) \cdot \vartheta(k, h) = U([k, h]) - [h, U(k)] - [k, U(h)].$$

Therefore, $(\alpha, \beta) \cdot \vartheta \in \mathbf{B}^2(K, I)$. We just proof

Proposition 3.5. *Let K be a Lie algebra and I a K -module. Consider the action of $\mathbf{Comp}(K, I)$ on $C^2(K, I)$ defined in (6). Then the vector spaces $Z^2(K, I)$ and $\mathbf{B}^2(K, I)$ are invariants by this action.*

This result allow us to define an action of $\mathbf{Comp}(K, I)$ on $H^2(K, I)$: let $\vartheta \in Z^2(K, I)$ and $(\alpha, \beta) \in \mathbf{Comp}(K, I)$. Define the action

$$(8) \quad (\alpha, \beta) \cdot (\vartheta + \mathbf{B}^2(K, I)) = ((\alpha, \beta) \cdot \vartheta) + \mathbf{B}^2(K, I).$$

This is well defined by Proposition 3.5.

Definition 3.6. Let K be a Lie algebra and I a K -module. Let $\vartheta \in Z^2(K, I)$ and consider the action of $\mathbf{Comp}(K, I)$ on $H^2(K, I)$ defined in (8). Define the set of induced pairs of $\mathbf{Comp}(K, I)$ by

$$\text{Indu}(K, I, \vartheta) = \text{Ann}_{\mathbf{Comp}(K, I)}(\vartheta + \mathbf{B}^2(K, I)).$$

Now we have the tools needed to describe the Lie algebra $\mathbf{Der}(K_\vartheta)$ from the Lie algebra $\mathbf{Der}(K)$. We will define a homomorphism $\phi : \mathbf{Der}(K_\vartheta) \rightarrow \mathbf{Der}(K)$, which kernel is known and the image coincides with the induced pairs defined above. So, using the first theorem of isomorphisms for Lie algebras we have $\mathbf{Der}(K_\vartheta)$ is isomorphic to $\mathbf{Ker}(\phi) \oplus \mathbf{Im}(\phi)$ but these subspaces correspond to structures: $\mathbf{Ker}(\phi) \cong Z^1(K, I)$ and $\mathbf{Im}(\phi) \cong \text{Indu}(K, I, \vartheta)$. One application of this type of construction is use known information of algebra $\mathbf{Der}(K)$ to obtain information about algebra $\mathbf{Der}(K)_\vartheta$ as the existence of non-singular derivations. Therefore, this method will allow us to study some properties of Lie algebras extensions by cocycles. First we define ϕ .

Let K be a Lie algebra and I a K -module. Let $\vartheta \in H^2(K, I)$ and $d \in \mathbf{Der}(K)_\vartheta$. Suppose that I , as ideal of K_ϑ , it is invariant by derivation d . Set $P_K : K_\vartheta \rightarrow K$ and $P_I : K_\vartheta \rightarrow I$ to be the natural projections of K_ϑ on K and K_ϑ on I then define the maps

- $\alpha : K \rightarrow K$ by $\alpha(k) = P_K d(k)$, for all $k \in K$;
- $\beta : I \rightarrow I$ by $\beta(a) = d(a)$, for all $a \in I$;
- $\varphi : K \rightarrow I$ by $\varphi(k) = P_I d(k)$, for all $k \in K$.

For each $x + a \in K_\vartheta$ we have

$$(9) \quad d(x + a) = \alpha(x) + \varphi(x) + \beta(a) \text{ for all } a \in I \text{ and } x \in K.$$

We can see that β is a derivation of I because it is restriction of d to I . To see that $\alpha \in \text{Der}(K)$ let $x, y \in K$. Then by product definition on K_ϑ

$$d([x, y]_\vartheta) = d([x, y]_K + \vartheta(x, y)).$$

By decomposition showed in (9)

$$d([x, y]_\vartheta) = \alpha([x, y]_K) + \varphi([x, y]_K) + \beta(\vartheta(x, y)).$$

We can calculate

$$(10) \quad [d(x), y]_\vartheta + [x, d(y)]_\vartheta = [\alpha(x) + \varphi(x), y] + [x, \alpha(y) + \varphi(y)],$$

and use product definition of K_ϑ to get

$$(11) \quad [d(x), y]_\vartheta + [x, d(y)]_\vartheta = [\alpha(x), y]_K + [x, \alpha(y)]_K + \vartheta(\alpha(x), y) \\ + \vartheta(y, \alpha(x)) + [\varphi(x), \alpha(y)] - [\varphi(y), \alpha(x)].$$

Comparing the components of K in (10) and (11) we have

$$\alpha([x, y]_K) = [\alpha(x), y]_K + [x, \alpha(y)]_K,$$

and $\alpha \in \text{Der}(K)$.

Now it's possible define our homomorphism ϕ . Let K be a Lie algebra and I a K -module. Let $\vartheta \in H^2(K, I)$ and suppose that I , as ideal of K_ϑ , it is invariant by derivations. For all $x + a \in K_\vartheta$ and $d \in \text{Der}(K)_\vartheta$ write $d(x + a) = \alpha(x) + \beta(a) + \varphi(x)$ with $\alpha \in \text{Der} K$ and $\beta \in \text{Der}(I)$. Then define $\phi : \text{Der}(K_\vartheta) \rightarrow \text{Der}(K) \oplus \text{Der}(I)$ by

$$(12) \quad \phi(d) = (\alpha, \beta).$$

The following will check that ϕ is a Lie algebra morphism. Let $d, d' \in \text{Der}(K_\vartheta)$ and $x \in K, a \in I$ such that

$$\begin{aligned} d(x + a) &= \alpha(x) + \varphi(x) + \beta(a) \\ d'(x + a) &= \alpha'(x) + \varphi'(x) + \beta'(a), \end{aligned}$$

Then

$$\begin{aligned} dd'(x) &= d(\alpha'(x) + \varphi'(x)) \\ &= \alpha\alpha'(x) + \varphi(\alpha'(x)) + \beta'(\varphi'(x)). \end{aligned}$$

Hence, $P_K dd'(x) = \alpha\alpha'(x)$. Analogously, $P_K d'd(x) = \alpha'\alpha(x)$. So $P_K([d, d']) = [\alpha, \alpha']$. As β and β' are defined by restriction of d and d' to I , respectively, then $P_I([d, d']) = [\beta, \beta']$. Therefore,

$$\phi([d, d']) = ([\alpha, \alpha'], [\beta, \beta']) = [(\alpha, \beta), (\alpha', \beta')] = [\phi(d), \phi(d')].$$

■

The next result presents the first connection between compatible pairs and the homomorphism ϕ .

Theorem 3.7. *Let K be a Lie algebra and I a K -module. Let $\vartheta \in H^2(K, I)$ and suppose that I , as ideal of K_ϑ , it is invariant by derivations. Let $\phi : \text{Der}(K_\vartheta) \rightarrow \text{Der}(K) \oplus \text{Der}(I)$ given by $\phi(d) = (\alpha, \beta)$, defined in 12. Then $\text{Im}(\phi) \leq \text{Comp}(K, I)$.*

Proof. Let $(\alpha, \beta) \in \text{Im}(\phi)$. Then there is $d \in \text{Der}(K_\vartheta)$ such that $\phi(d) = (\alpha, \beta)$. If $k \in K$ and $a \in I$ then

$$\begin{aligned} \beta([k, a]_\vartheta) &= d([k, a]_\vartheta) & [k, a] \in I \\ &= [d(k), a]_\vartheta + [k, d(a)]_\vartheta & d \in \text{Der}(K_\vartheta) \\ &= [\alpha(k) + \varphi(k), a]_\vartheta + [k, \beta(a)]_\vartheta \\ &= [\alpha(k), a]_\vartheta + [k, \beta(a)]_\vartheta & \text{because } I \text{ is abelian} \end{aligned}$$

□

Theorem 3.8. *Let K be a Lie algebra and I a K -module. Let $\vartheta \in H^2(K, I)$ and suppose that I , as ideal of K_ϑ , it is invariant by derivations. Let $\phi : \text{Der}(K_\vartheta) \rightarrow \text{Der}(K) \oplus \text{Der}(I)$ given by $\phi(d) = (\alpha, \beta)$. Then:*

- (1) $\text{Im}(\phi) = \text{Indu}(K, I, \vartheta)$
- (2) $\text{Ker}(\phi) \cong Z^1(K, I)$

Proof. 1) Let $(\alpha, \beta) \in \text{Indu}(K, I, \vartheta)$. By definition

$$(\alpha, \beta) \cdot \vartheta = 0 \text{ mod } B^2(K, I).$$

Then there is a linear map $T : K \rightarrow I$ such that for all $k, h \in K$ we have

$$(13) \quad \vartheta(\alpha(k), h) + \vartheta(k, \alpha(h)) + [k, T(h)] - [h, T(k)] = \beta(\vartheta(k, h)) + T([k, h]).$$

Let $k \in K$, $a \in I$ and define the linear map $(\alpha, \beta)^* : K_\vartheta \rightarrow K_\vartheta$ by

$$(\alpha, \beta)^*(k + a) = \alpha(k) + \beta(a) + T(k).$$

Let's check that $(\alpha, \beta)^*$ is a derivation of K_ϑ . Let $k + a, h + b \in K_\vartheta$. If

$$X = (\alpha, \beta)^*([k + a, h + b]_\vartheta)$$

then

$$\begin{aligned} X &= (\alpha, \beta)^*([k, h]_K + \vartheta(k, h) + [k, b] - [h, a]) \\ &= \alpha([k, h]_K) + \beta(\vartheta(k, h)) + \beta([k, b]) - \beta([h, a]) + T([k, h]_K). \end{aligned}$$

Now, let

$$Y = [(\alpha + \beta)^*(k + a), h + b]_\vartheta + [k + a, (\alpha + \beta)^*(h + b)]_\vartheta.$$

We have

$$\begin{aligned} [(\alpha + \beta)^*(k + a), h + b]_{\vartheta} &= [\alpha(k) + \beta(a) + T(k), h + b]_{\vartheta} \\ &= [\alpha(k), h]_K + \vartheta(\alpha(k), h) + [\alpha(k), b] - [h, \beta(a) + T(k)] \end{aligned}$$

and

$$\begin{aligned} [k + a, (\alpha + \beta)^*(h + b)]_{\vartheta} &= [k + a, \alpha(h) + \beta(b) + T(h)]_{\vartheta} \\ &= [k, \alpha(h)]_K + \vartheta(k, \alpha(h)) + [k, \beta(b) + T(h)] - [\alpha(h), a] \end{aligned}$$

then

$$\begin{aligned} Y &= \alpha([k, h]_K) + \vartheta(\alpha(k), h) + \vartheta(k, \alpha(h)) \\ &\quad + [\alpha(k), b] - [h, \beta(a)] - [h, T(k)] + [k, \beta(b)] + [k, T(h)] - [\alpha(h), a]. \end{aligned}$$

By compatible pair definition we get

$$Y = \alpha([k, h]_K) + \vartheta(\alpha(k), h) + \vartheta(k, \alpha(h)) + \beta([k, b]) - \beta([h, a]) - [h, T(k)] + [k, T(h)].$$

By equation (13)

$$Y = \alpha([k, h]_K) + \beta(\vartheta(h, k)) + T([k, h]) + \beta([k, b]) - \beta([h, a]).$$

As $X = Y$ then $(\alpha, \beta)^*$ is a derivation.

Besides, observe that $P_K(\alpha, \beta)^* = \alpha$ and $P_I(\alpha, \beta)^* = \beta$. Hence $\phi((\alpha + \beta)^*) = \alpha + \beta$, that is, $\text{Indu}(\mathbf{K}, \mathbf{I}, \vartheta) \subseteq \text{Im}(\phi)$.

Now, suppose that $(\alpha + \beta) \in \text{Im}(\phi)$. Then there is $d \in \text{Der}(K_{\vartheta})$ such that

$$\phi(d) = (\alpha + \beta).$$

By Theorem 3.7 we have $\text{Im}(\phi) \subseteq \text{Comp}(K, I)$. Then it is enough show that there is a linear map $T : K \rightarrow I$ such that the equation (13) is satisfied.

For each $k + a \in K_{\vartheta}$ we can use the decomposition defined in (9) to write

$$d(k + a) = \alpha(k) + \varphi(k) + \beta(a).$$

By product definition in K_{ϑ} we get

$$\begin{aligned} [d(k + a), h + b]_{\vartheta} &= [\alpha(k) + \varphi(k) + \beta(a), h + b]_{\vartheta} \\ &= [\alpha(k), h]_K + \vartheta(\alpha(k), h) + \beta(a) + [\alpha(k), b] - [h, \varphi(k)] \end{aligned}$$

$$\begin{aligned} [k + a, d(h + b)]_{\vartheta} &= [k + a, \alpha(h) + \varphi(h) + \beta(b)]_{\vartheta} \\ &= [k, \alpha(h)]_K + \vartheta(k, \alpha(h)) + [k, \varphi(h) + \beta(b)] - [\alpha(h), a] \end{aligned}$$

$$\begin{aligned} d([k + a, h + b]_{\vartheta}) &= d([k, h]_K + \vartheta(k, h) + [k, b] - [h, a]) \\ &= \alpha([k, h]_K) + \beta(\vartheta(k, h)) + \beta([k, b]) - \beta([h, a]) + \varphi_d([k, h]) \end{aligned}$$

As d is a derivation then we have equality

$$d[k + a, h + b] = [d(k) + a, h + b] + [k + a, d(h) + b].$$

So,

$$\beta(\vartheta(k, h)) + \varphi([k, h]) = \vartheta(\alpha(k), h) + [k, \varphi(h)] - [h, \varphi(k)] + \vartheta(k, \alpha(h)).$$

Therefore $T = \varphi$ satisfies the equation (13) e $\text{Im}(\phi) \subseteq \text{Indu}(\mathbf{K}, \mathbf{l}, \vartheta)$.

2) Let $d \in \text{Ker}(\phi)$. The decomposition showed in (9) provide us

$$d(k) = \varphi(k), k \in K.$$

Let $k, h \in K$. By derivation definition

$$(14) \quad d([k, h]_{\vartheta}) = [d(k), h]_{\vartheta} + [k, d(h)]_{\vartheta}.$$

We can use product definition in K_{ϑ} to write

$$d([k, h]_{\vartheta}) = d([k, h]_K + \vartheta(k, h) = \varphi([k, h]_K).$$

By other hand,

$$[d(k), h]_{\vartheta} + [k, d(h)]_{\vartheta} = [k, \varphi(h)]_{\vartheta} - [h, \varphi(k)]_{\vartheta} = [k, \varphi(h)] - [h, \varphi(k)].$$

Then (14) it is equal to

$$\varphi([k, h]_K) = [k, \varphi(k)] - [h, \varphi(k)],$$

and $\varphi \in Z^1(\mathbf{K}, \mathbf{l})$. Now define $\sigma : \text{Ker}(\phi) \rightarrow Z^1(\mathbf{K}, \mathbf{l}, +)$ by $\sigma(d) = \varphi_d$ such that $\varphi_d(k) = d(k)$. Then $\sigma(\text{Ker}(\phi)) \subseteq Z^1(\mathbf{K}, \mathbf{l})$.

Let $d, d' \in \text{Ker}(\phi)$. Then

$$\sigma(d + d')(k) = \varphi_{d+d'}(k) = (d + d')(k) = d(k) + d'(k) = \varphi(k) + \varphi'(k) = (\sigma(d) + \sigma(d'))(k).$$

So σ it is group homomorphism.

If $d, d' \in \text{Ker}(\phi)$ such that $\sigma(d) = \sigma(d')$ then $\varphi_d(k) = \varphi_{d'}(k)$, for all $k \in K$ and $d = d'$. Let $T \in Z^1(\mathbf{K}, \mathbf{l})$ and define $d : K_{\vartheta} \rightarrow K_{\vartheta}$ by

$$d(x + a) = T(x), x \in K, a \in I.$$

d is a derivation because

$$d([k + a, h + b]_{\vartheta}) = d([k, b]_K + \vartheta(k, h) + [k, b] - [h, a]) = T([k, h]_K)$$

and

$$\begin{aligned} [d(k + a), h + b]_{\vartheta} + [k + a, d(h + b)]_{\vartheta} &= [T(k), h + b]_{\vartheta} + [k + a, T(h)]_{\vartheta} \\ &= [k, T(h)] - [h, T(k)]. \end{aligned}$$

It follows that $\sigma(d) = T$. Therefore, σ is isomorphism \square

3.4. Compatible pairs and Jacobson Theorem. In this section we show some examples of the use of compatible pairs.

Example 3.9. Let K and I be finite dimensional Lie algebras over an algebraically closed field \mathbb{F} . Suppose that K act on I by representation $\psi : K \rightarrow \text{Der}(I)$. Let $D \subseteq \text{Comp}(K, I)$ be a subalgebra. By Proposition 3.3, $D \subseteq \text{Der}(L)$. If D is nilpotent then L has a decomposition in generalized eigenspaces of D . This decomposition induces decompositions in K and I , because as subspaces of L they are invariants by D . Hence,

$$L = K_{\lambda_1} \oplus \cdots \oplus K_{\lambda_r} \oplus I_{\mu_1} \cdots \oplus I_{\mu_s}.$$

In particular, we have $[K_{\lambda_i}, I_{\mu_j}] \subseteq I_{\lambda_i + \mu_j}$ if $\lambda_i + \mu_j$ is eigenvalue of D in I . Otherwise $[K_{\lambda_i}, I_{\mu_j}] = 0$.

From this example we can state a result:

Proposition 3.10. *Let K and I be finite dimensional Lie algebras over an algebraically closed field \mathbb{F} . Suppose that K act on I by representation $\psi : K \rightarrow \text{Der}(I)$. Let $D \subseteq \text{Comp}(K, I)$ be a subalgebra. Suppose that 0 is not generalized eigenvalue of D . Then if either characteristic of \mathbb{F} is zero or either characteristic of \mathbb{F} is p and D has at most $p - 1$ generalized eigenvalues the $\psi(K)$ is nilpotent.*

Proof. Let $L = K_{\lambda_1} \oplus \cdots \oplus K_{\lambda_r} \oplus I_{\mu_1} \cdots \oplus I_{\mu_s}$ the eigenspace decomposition present in Example 3.9. Suppose that 0 is not generalized eigenvalue of D . Let $E_K = \{\lambda_1, \dots, \lambda_r\}$ and $E_I = \{\mu_1, \dots, \mu_s\}$ be generalized eigenvalue of D in K and I , respectively. Let $k \in K_{\alpha_j}, a \in I_{\mu_i}$ then

$$\begin{cases} \psi^n(k)(a) \in I_{\mu_i + n\lambda_j} & \text{if } \mu_i + n\lambda_j \in E_I \\ \psi^n(k)(a) = 0 & \text{if } \mu_i + n\lambda_j \notin E_I \end{cases}$$

- If characteristic of \mathbb{F} is zero then the linear functions $\mu_i + \lambda_j, \mu_i + 2\lambda_j, \dots, \mu_i + n\lambda_j \dots$ are all distinct because $\lambda_j \neq 0$, so $\mu_i + n\lambda_j \notin E_I$ for some n and $\psi(k)^n = 0$.
- If $\text{char}(\mathbb{F}) = p$ and $s < p$ the set $\{\mu_i + \lambda_j, \mu_i + 2\lambda_j, \dots, \mu_i + (p-1)\lambda_j, \mu_i\}$ has p distinct elements and E_I has at most $p-1$, then $\psi^n(k) = 0$ for some n with $1 \leq n \leq p$.

In both cases $\psi(k)$ is nilpotent for all $k \in K_{\lambda_j}, 1 \leq j \leq r$. Let $S = \bigcup \psi(K_{\lambda_j})$. S is a weakly closed set such that each element is associative nilpotent then $\psi(K)$ is nilpotent. \square

For our next example we need some result about traces os matrices.

Proposition 3.11. *Let \mathbb{F} be a field of characteristic p . Suppose that $A \in M(n, \mathbb{F})$ with $n < p$ or $p=0$. Then A is nilpotent if, and only if, the trace of matrices A^r is zero, for $1 \leq r \leq n$.*

Proof. Let $\bar{\mathbb{F}}$ the algebraic closure of \mathbb{F} e consider A in its Jordan normal form. This can be done because Jordan normal form is obtained from A by conjugation of matrices over

\mathbb{F} . But since trace and nilpotency of matrices are invariants by conjugation our results still valid for A . We will use that a matrix is nilpotent if, and only if, zero is its only eigenvalue.

A can be seen as a diagonal block matrix where each block is formed by grouping the blocks associated to same eigenvalue. Denote by A_j the block associated to eigenvalue $\lambda_t \in \overline{\mathbb{F}}$ and by n_j its order. Let $\lambda_1, \dots, \lambda_k$ be the non-zero eigenvalues of A . Then

$$(15) \quad \text{tr}(A^r) = n_1 \lambda_1^r + \dots + n_k \lambda_k^r$$

Suppose that A is nilpotent. Then zero is the only eigenvalue of A and by equation (15) we have $\text{tr}(A^r) = 0$ for $1 \leq r \leq n$.

Conversely, suppose that $\text{tr}(A^r) = 0$ for $1 \leq r \leq n$. From equation (15) we can extract the system

$$(16) \quad n_1 \lambda_1^r + \dots + n_k \lambda_k^r = 0, \quad 1 \leq r \leq k,$$

in the variables n_1, \dots, n_k , whose matrix of coefficients is

$$C = \begin{bmatrix} \lambda_1 & \lambda_2 & \dots & \lambda_k \\ \lambda_1^2 & \lambda_2^2 & \dots & \lambda_k^2 \\ \vdots & \vdots & \ddots & \vdots \\ \lambda_1^k & \lambda_2^k & \dots & \lambda_k^k \end{bmatrix}.$$

Denote by $m_i(\lambda)$ the operation that multiplies the line i of a matrix by λ and A^t the transposed matrix of A . So we can write

$$C = m_1(\lambda_1).m_2(\lambda_2) \dots m_k(\lambda_k).V,$$

where

$$V = \begin{bmatrix} 1 & \lambda_1 & \lambda_1^2 \dots & \lambda_1^{k-1} \\ 1 & \lambda_2 & \lambda_2^2 \dots & \lambda_2^{k-1} \\ \vdots & \vdots & \vdots & \vdots \\ 1 & \lambda_k & \lambda_k^2 \dots & \lambda_k^{k-1} \end{bmatrix}$$

is the Vandermonde matrix in the variables $\lambda_1, \lambda_2, \dots, \lambda_k$ whose determinant is $\det V = \prod_{1 \leq i < j \leq k} (\lambda_j - \lambda_i)$. As λ_i are distinct we have that $\det V$ is non-zero. Then the determinant of C is $\lambda_1 \cdot \lambda_2 \dots \lambda_k \cdot \det V$ and C is non-singular. Follow that the system (16) has only trivial solution. Therefore each n_j is zero. If $p = 0$ then zero is the only eigenvector of A , but if $p \neq 0$ then $n_j = 0$ modulo p doesn't imply $n_j = 0$ and its necessary to use that each $n_j < p$ to conclude that zero is the only eigenvalue of A . \square

Proposition 3.12. *Let \mathbb{F} be a field of characteristic p . Let $A, B, C \in M(n, \mathbb{F})$ with $p = 0$ or $n < p$. If $[A, B] = C + \lambda B$, $\lambda \in \mathbb{F}$ and $[B, C] = 0$ then $[A, B^r] = rB^{r-1}C + \lambda rB^r$ for all $r \geq 1$. In particular, if $\lambda \neq 0$ and C is nilpotent then B is nilpotent.*

Proof. We proof this result by induction on r . The case $r = 1$ follow from hypotheses. Suppose that result is valid for $(r - 1)$. Then, $[A, B^{r-1}] = (r - 1)B^{r-2}C + \lambda(r - 1)B^{r-1}$. We can rewrite this equation as

$$\lambda(r - 1)B^{r-1} = AB^{r-1} - B^{r-1}A - (r - 1)B^{r-2}C.$$

Multiplying last equation to right by B we have

$$\lambda(r - 1)B^r = AB^r - B^{r-1}(AB) - (r - 1)B^{r-2}(CB),$$

From hypotheses we can write $AB = BA + C + \lambda B$ and $CB = BC$. Replacing them above we obtain

$$\lambda(r - 1)B^r = AB^r - B^rA - B^{r-1}C - \lambda B^r - (r - 1)B^{r-1}C.$$

Therefore,

$$AB^r - B^rA = \lambda r B^r + r B^{r-1}C.$$

For the second result suppose $\lambda \neq 0$ and C nilpotent with nilpotency index m . Using first part we have

$$B^r = (1/\lambda r)[A, B^r] - (1/\lambda)B^{r-1}C, \text{ for all } r \geq 1.$$

Observe that $(B^{r-1}C)^m = (B^{r-1})^m(C)^m = 0$, Hence, for all $r \geq 1$ $B^{r-1}C$ is nilpotent and has trace zero by Proposition 3.11. As trace of commutators are always zero then $\text{tr}([A, B^r]) = 0$ for all $r \geq 1$. Follows that $\text{tr}(B^r) = 0$ for all $r \geq 1$ and again by Proposition 3.11 we conclude that B is nilpotent. \square

Proposition 3.13. *Let L be a Lie algebra, I an ideal of L such that L/I is nilpotent and such that $\text{ad}_x^I : I \rightarrow I$ is nilpotent for all $x \in L$. Then L is nilpotent.*

Proof. As L/I is nilpotent then for each $x \in L$, $(\text{ad}_{x+I}^I)^n$ is a nilpotent endomorphism in $\text{End}(L/I)$, i.e., there is $n > 0$ such that $(\text{ad}_x)^n(a) \in I$, for all $x \in L, a \in I$. In the other hand, ad_x^I is nilpotent, so we have a m such that $(\text{ad}_x^I)^m(\text{ad}_x)^n = 0$, i.e., $(\text{ad}_x^I)^{m+n} = 0$. So ad_x is a nilpotent endomorphism in $\mathfrak{gl}(L)$. By Engel's theorem, L is nilpotent. \square

Now we can present a similar result the proposition 3.10 but with a new proof using compatible pairs.

Theorem 3.14. *Let K and I be finite dimensional Lie algebras over a field of characteristic p such that K is nilpotent. Suppose that K act on I by representation $\psi : K \rightarrow \text{Der}(I)$. Let $(\alpha, \beta) \in \text{Comp}(K, I)$ such that α has no eigenvalue 0. If either $p = 0$ or $p > 0$ and dimension of I is less than p then $\text{Tr}(\psi^n(k)) = 0$, for all $k \in K$. In these two cases, $\psi(k)$ is nilpotent.*

Proof. As α has no eigenvalue 0 then it is non-singular and by Proposition 2.6 α is diagonalizable. Let x_1, \dots, x_s be a basis of K such that $\alpha(x_i) = \lambda_i x_i$. For all $a \in \mathfrak{gl}(I)$ denote by $[a]$ the matrix of a in this base. Then

$$[[\beta], [\psi(x_i)]] = \lambda_i [\psi(x_i)].$$

We can apply Proposition 3.12 in this last equation for $A = \beta$, $B = \psi(x_i)$, $C = 0$ and $\lambda = \lambda_i \neq 0$ to conclude that $\psi(x_i)$ is nilpotent for $1 \leq i \leq s$. Now we observe that if K is a nilpotent Lie algebra in either characteristic is 0 or characteristic p with dimension of L less than p then Lie theorem is valid. Lie theorem grants that there is a basis of I such that all matrices of representation ψ is upper triangular. Therefore, the matrices $[\psi(x_i)]$ are strictly upper triangular. Then all $\psi(k)$, for all $k \in K$, has only 0 in diagonal, because they are linear combination of $\psi(x_i)$. Hence every $\psi(k)$ is nilpotent. \square

Corollary 3.15. *Let L be a solvable Lie algebra over a field \mathbb{F} of characteristic p . Suppose that L has a nonsingular derivation. If either $p = 0$ or $p > 0$ and dimension of $L^{(i)}/L^{(i+1)} < p$ then L is nilpotent.*

Proof. Suppose that $L \geq L^{(1)} \geq \dots \geq L^{(k)} \geq L^{(k+1)} = 0$ is the derived series of L . Define $L_0 = L$ and $L_i = L_{i-1}/L_{i-1}^{(k+1-i)}$, $1 \leq i \leq k-1$. As each term of derived series are invariant by derivations then each L_i has a non-singular derivation. In particular, L_{k-1} is an solvable Lie algebra of derived length 2 with non-singular derivation. Then by theorem 3.14 ad_k is nilpotent for all $k \in L_{k-1}$ and by Proposition 3.13 L_{k-1} is nilpotent. By induction we have that L_i is nilpotent for every $0 \leq i \leq k-1$. Hence L is nilpotent \square

4. SOLVABLE NON-NILPOTENT MODULAR LIE ALGEBRAS WITH NON-SINGULAR DERIVATIONS

In this section we will describe the structure of some examples of solvable non-nilpotent modular Lie algebras L with a non-singular derivation d . Based in this examples we will state some questions about this algebras. These issues will serve as a reference for further work.

Let V be a vector space and $x \in \mathfrak{gl}(V)$. Denote the action of x on V by $\text{ad}_x(a) = [x, a]$, for all $a \in V$. A vector $a \in V$ is said to be x -cyclic if

$$V = \langle a, \text{ad}_x(a), (\text{ad}_x)^2(a), \dots, (\text{ad}_x)^{n-1}(a) \rangle.$$

The vector space V is called x -cyclic if it has a x -cyclic vector. We can present some examples of Solvable non-nilpotent modular Lie algebras with non-singular derivations using cyclic spaces.

Example 4.1. Let V be a vector space over a field \mathbb{F} of characteristic $p > 0$ and $x \in \mathfrak{gl}(V)$.

- (1) Let K be the vector space generated by x over \mathbb{F} and I a subspace of V of dimension p . Let $\{a_1, a_2, \dots, a_p\}$ a base of I such that $[x, a_i] = a_{i+1 \bmod p}$. As seen in Example 2.9, $L = K \oplus I$ has a non-singular derivation;
- (2) Let K be the vector space generated by x over \mathbb{F} and I_1, I_2, \dots, I_m be x -cyclic subspaces of V of dimension p such that $I_i \cap I_j = 0$ for all $i \neq j$. Let L be the Lie algebra $L = K \oplus (I_1 \oplus \dots \oplus I_m)$. Let $\lambda, \delta_1, \dots, \delta_m$ be non-zero elements of \mathbb{F} such that $\lambda \neq s\delta_i$, for all $s \in \mathbb{F}_p$; and $\{a_{i1}, \dots, a_{ip}\}$ a base of I_i such that

$[x, a_{ij}] = a_{i(j+1 \bmod p)}$. Define $d \in \mathfrak{gl}(L)$ by $d(x) = \lambda x$ and $d(a_{ij}) = (\delta_i + (j-1)\lambda)a_{ij}$. Then d is a non-singular derivation of L .

- (3) Let K be the vector space generated by $\{x, x^2, \dots, x^{p-1}\}$ over \mathbb{F} and I a x -cyclic subspace of dimension p . Let $L = K \oplus I$. Let $\lambda, \delta \in \mathbb{F}$ both non-zero and $\lambda \neq s\delta$, for all $s \in \mathbb{F}_p$. Define the endomorphism $d \in \text{End}(L)$ by $d(x^j) = j\lambda x^j$, $1 \leq j \leq p-1$ and $d(a_i) = (\delta + (i-1)\lambda)a_i$, $1 \leq i \leq rp$, d is a non-singular derivation of L_1 .

All Lie algebras in Example 4.1 are solvable, non-nilpotent, with non-singular derivation and they have derived length 2. For Lie algebras over fields of characteristic $p > 3$ we could not find an example of derived length greater than 3 but in characteristic 2 we have the following example.

Example 4.2. Let L be a vector space of dimension 6 over \mathbb{F}_4 . Let $\lambda \in \mathbb{F}_4$ such that $\lambda^2 = \lambda + 1$ and $\{a_1, a_2, a_3, a_4, a_5, a_6\}$ a basis of L over \mathbb{F}_4 . Define the products

$$\begin{aligned} [a_1, a_3] &= \lambda a_5 + a_6, & [a_1, a_4] &= \lambda a_6, & [a_1, a_5] &= \lambda^2 a_3 + a_4, & [a_3, a_5] &= \lambda a_2, \\ [a_1, a_6] &= \lambda^2 a_4, & [a_2, a_3] &= \lambda a_6, & \text{and } [a_2, a_5] &= \lambda^2 a_4. \end{aligned}$$

L is a solvable non-nilpotent Lie algebra of derived length 3. The linear map $d : L \rightarrow L$ defined by $d(a_1) = a_1$, $d(a_2) = a_2$, $d(a_3) = \lambda a_3$, $d(a_4) = \lambda a_4$, $d(a_5) = \lambda^2 a_5$ and $d(a_6) = \lambda^2 a_6$ is a non-singular derivation of L .

With this examples we can state our first question:

Problem 1. Is there a solvable, non-nilpotent Lie algebra over a field of characteristic $p > 3$ with non-singular derivation and derived length greater than 2?

Suppose that the answer to Problem 1 is yes and let L be such Lie algebra. Let $I = L^{(2)}$ and $K = L/I$. As $L^{(3)} = 0$ then I is abelian and so K acts on I by adjoint representation. In this case, K is a solvable Lie algebra of derived length 2 with non-singular derivation. By Proposition 3.2, there is a cocycle $\vartheta \in Z^2(K, I)$ such that $L \cong K_\vartheta$. This calculation show us that every Lie algebra that answer Problem 1 can be obtained by an extension of a solvable Lie algebra of derived length 2 with non-singular derivation. So we need to understand this Lie algebras of derived length 2 to search for an answer of Problem 1. We will study a variation of this question.

Problem 2. Let K be solvable, non-nilpotent Lie algebra over a field of characteristic $p > 3$ with non-singular derivation and derived length 2. Is there a K -module I and a cocycle $\vartheta \in Z^2(K, I)$ such that K_ϑ has a non-singular derivation?

As first step to study Problem 2 we will try to describe some cases of abelian Lie algebras K acting over vector spaces. Next we present some questions that we are working.

Let $x \in \mathfrak{gl}(V)$ and I a subspace of V such that $L = \langle x \rangle \oplus I$ has a non-singular derivation.

- In Example 4.1(2) I can be decomposed in subspaces I_j such that $\langle x \rangle \oplus I_j$ has non-singular derivation. In general, can we define a smaller subspace of J of I such that $\langle x \rangle \oplus J$ has non-singular derivation?

- How the existence of non-singular derivations affect the structure of $\mathbf{Der}(L)$? Can we define some algebra structure over non-singular derivations of L ?

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