

# CLRS Exercise

Tongda Xu

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## 1 7

### 1.1 7.3

#### 1.1.1 a

This is certain concerning the *Randomized* procedure, the probability of any index  $i$  is chosen from  $[0, n - 1]$  is:

$$\begin{aligned} Pr(\text{pivot} = i) &= \frac{1}{n} \\ E(X_i) &= 1 * Pr(\text{pivot} = i) + 0 * Pr(\text{pivot} \neq i) = \frac{1}{n} \end{aligned}$$

#### 1.1.2 b

It is certain that if  $i$ th element is chosen as pivot, *Random-Partition* cost  $\Theta(n)$  time, and it will call *QuickSort* $[1, q - 1]$ , *QuickSort* $[q + 1, n]$  recursively.

Concerning only the first *Partition*, this would be the result:

$$\begin{aligned} E(T(n)) &= \sum_{i=1}^n Pr(\text{pivot} = i)(T(i - 1) + T(n - i) + \Theta(n)) \\ &= \sum_{i=1}^n X_i(T(i - 1) + T(n - i) + \Theta(n)) \end{aligned}$$

#### 1.1.3 c

$$\begin{aligned} \text{Concerning } X_i &= \frac{1}{n} \\ E(T(n)) &= \sum_{i=1}^n \frac{1}{n}(T(i - 1) + T(n - i) + \Theta(n)) \\ &= \sum_{i=1}^n \frac{1}{n}T(i - 1) + \sum_{i=1}^n \frac{1}{n}T(n - i) + \sum_{i=1}^n \frac{1}{n}\Theta(n) \\ &= \frac{2}{n}\sum_{i=1}^{n-1}T(i) + \Theta(n) \end{aligned}$$

#### 1.1.4 d

$$\begin{aligned} &\sum_{k=2}^{n-1} k \lg k \\ &\leq \lg \frac{n}{2} \sum_{k=2}^{\frac{n}{2}} k + \lg n \sum_{k=\frac{n}{2}}^{n-1} k \\ &= \lg n \sum_{k=2}^{n-1} k - \lg 2 \sum_{k=2}^{\frac{n}{2}} k \\ &= \lg n \frac{(n+1)(n-2)}{2} - \frac{(\frac{n}{2}+2)(\frac{n}{2}-1)}{2} \\ &\leq \lg n \frac{n^2}{2} - \frac{n^2}{8} \\ &\text{by Calculus, we have:} \\ &(\frac{1}{2}x^2 \lg x - \frac{1}{4}x^2)'|_1^{n-1} \leq E(T(n)) \leq (\frac{1}{2}x^2 \lg x - \frac{1}{4}x^2)'|_2^n \end{aligned}$$

### 1.1.5 e

Proof of  $E(T(n)) = O(nlgn)$ :

Assume that  $\forall k \in [1, n-1], \exists c, E(T(k)) \leq cklgk - \Theta(k)$

For  $k = n, E(T(n)) \leq \frac{n}{2}c(lgn\frac{n^2}{2} - \frac{n^2}{4} - \Theta(n^2)) + \Theta(n) \leq cnlgn - \Theta(n)$

Proof of  $E(T(n)) = \Omega(nlgn)$ :

Assume that  $\forall k \in [1, n-1], \exists c, E(T(k)) \geq cklgk + \Theta(k)$

For  $k = n, E(T(n)) \geq \frac{n}{2}c(lgn\frac{(n-1)^2}{2} - \frac{(n-1)^2}{4} + \Theta(n^2)) + \Theta(n) \geq cnlgn + \Theta(n)$   
 $\rightarrow E(T(n)) = \Theta(nlgn)$

## 1.2 7.5

### 1.2.1 a

From counting Theorem, it could be noticed that:

$$p_i = \frac{(i-1)(n-i)}{C_n^3} = \frac{6(i-1)(n-i)}{n(n-1)(n-2)}$$

### 1.2.2 b

$$\begin{aligned} Pr(i = \text{medium})(\text{normal}) &= \frac{1}{n} \\ Pr(i = \text{medium})(\text{3part}) &= \frac{6(\frac{1}{2}n-1)(n-\frac{1}{2}n)}{n(n-1)(n-2)} = \frac{3}{2} \frac{1}{n} \\ Pr(\text{3part}) - Pr(\text{normal}) &= \frac{1}{2} \frac{1}{n} \end{aligned}$$

### 1.2.3 c

$$\begin{aligned} \text{Consider } f_{diff} &= \int_{\frac{2}{3}n}^{\frac{2}{3}n} \left( \frac{6(i-1)(n-i)}{n(n-1)(n-2)} - \frac{1}{n} \right) di \\ &= \frac{(-2i^3 + 3(n+1)i^2 - 6ni - (n-1)(n-2)i) \Big|_{i=\frac{1}{3}n}^{i=\frac{2}{3}n}}{n(n-1)(n-2)} \\ \lim_{n \rightarrow \infty} f_{diff} &= \frac{4}{27} \end{aligned}$$

### 1.2.4 d

Consider we are so lucky that each partition we choose the median:

In the Iteration tree, we have:

$$T(n) = \begin{cases} c & n = 1 \\ 2T(\frac{1}{2}n) + n & n > 1 \end{cases}$$

The  $\Omega(nlgn)$  is kept even in best case.

## 2 8

### 2.1 8.1-1

n-1 times, since we need n elements to formulate

## 2.2 8.1-2

$$\Sigma_1^n l g k < \int_1^{n+1} l g k d k = (k l g k - k)_1^n = (n l g n - n) - (0 - 1) = n l g n - n + 1$$

## 2.3 8.1-3

$\leftrightarrow$  proof at least half of branch is longer than h

Consider a decision tree with  $n!/2$  elements

$\leftrightarrow$  proof at least half of branch is longer than h

Consider a decision tree with  $n!/n$  elements

$\leftrightarrow$  proof at least half of branch is longer than h

Consider a decision tree with  $n!/2^n$  elements, this is not significant enough and could leave only  $\Omega(lg \frac{n!}{2^n}) = \Omega(n l g n - n) = \Omega(n l g n)$  elements

## 2.4 8.2-4

Consider a trim version of counting sort, build the  $C$  map up and query directly:

COUNTING-SORT-TRIM( $A, k$ )

```

1   $C[]$ 
2  for  $i = 0$  to  $k$ 
3       $C[i] = 0$ 
4  for  $j = 1$  to  $A.length$ 
5       $C[A[j]] ++$ 
6  for  $m = 1$  to  $k$ 
7       $C[m] += C[m - 1]$ 
8  return  $C[m]$ 
```

DIRECT-QUERT( $A, k, a, b$ )

```

1   $C = \text{COUNTING-SORT-TRIM}(A, k)$ 
2  if  $a < 1$ 
3      return  $C[b]$ 
4  else return  $C[b] - C[a - 1]$ 
```

## 2.5 8.3-4

First, with  $O(n)$  time: convert  $n$  numbers  $k_{10}$  into  $k_n$  which has 3 digits.

Second, with  $O(d(n+n))$  time (*Lemma 8.3*): Radix sort  $n$  3-digit numbers with each digits take up to  $n$  possible values.

DIGITS-CONVERT( $X$ )

```

1   $result[]$ 
2  for  $i = 2$  downto  $0$ 
3       $result[i] = X/n^i$ 
4       $X = X \bmod n^i$ 
5  return  $result$ 
```

```

SORT( $A, x$ )
1  result[]
2  for each  $S$  in  $A$ 
3       $S = \text{DIGITS\_CONVERT}(S)$ 
4  RADIX-SORT( $A, x$ )

```

## 3 9

### 3.1 9.1

#### 3.1.1 a

Sorting: MERGE-SORT( $A$ ) in worst case  $O(n \lg n)$

Query: CALL-BY-RANK( $A, k$ )  $i$  times in worst case  $O(i)$ , here we assume manipulating  $O(n)$  space cost  $O(n)$  time.

#### 3.1.2 b

Building: BUILD-MAP-HEAP( $A$ ) in worst case  $O(n)$

Query: calling EXTRA-MAX( $A, k$ )  $i$  times in worst case  $O(i \lg n)$

#### 3.1.3 c

Selecting: SELECT( $A, i$ ) in worst case  $O(n)$

Sorting: MERGE-SORT( $A'$ ) in worst case  $O(i \lg i)$

## 4 11

### 4.1 11.2

#### 4.1.1 a

Consider for a ball  $i$  fall into a specific bucket  $Pr(i) = \frac{1}{n}$   
Then consider Binomial Distribution,  $Pr(k) = C_n^k Pr(i)^k (1 - Pr(i))^{n-k}$

#### 4.1.2 b

Consider random picking a slot, the probability of that slot is maximum is  $Pr_{max} = \frac{1}{n}$ , and it contains  $k$  elements  $Q_k$ . for conditional probability, we have:

$$P_k = Pr_{i=k|max} = \frac{Pr(i=k \cap max)}{Pr_{max}} \leq \frac{Pr(i=k)}{Pr_{max}} = nQ_k$$

#### 4.1.3 c

Proof:

$$\begin{aligned}
 Q_k &= \left(\frac{1}{n}\right)^k \left(\frac{n-1}{n}\right)^{n-k} C_n^k \\
 &= \frac{(n-1)^{n-k}}{n^n} \frac{\Pi_0^{k-1} n-k}{k!}
 \end{aligned}$$

$$\begin{aligned}
&\leq \frac{n^n}{n^n} \frac{1}{k!} \\
&= \frac{e^k}{k^k} \frac{1}{k^{\frac{1}{2}(1+\Theta(\frac{1}{n}))}} \\
&\leq \frac{e^k}{k^k}
\end{aligned}$$

#### 4.1.4 d

Proof for  $Q_{k_0}$ :

$$\begin{aligned}
Q_{k_0} &= \frac{e^{(\frac{clgn}{lgln})}}{(\frac{clgn}{lgln})^{\frac{clgn}{lgln}}} \\
&= \frac{n^{\frac{clg \frac{c}{e}}{lgln}}}{n^{\frac{clg \frac{c}{e} + clglglgn}{lgln} - c}}
\end{aligned}$$

It would not take effort to notice that since  $\lim_{n \rightarrow \infty} \frac{clg \frac{c}{e} + clglglgn}{lgln} = 0$

$\forall c > 3 + \epsilon, Q_{k_0} = O(\frac{1}{n^3})$

And  $P_k \leq nQ_k \rightarrow P_k = O(\frac{1}{n^2})$

#### 4.1.5 e

$$E(M) = \sum_{M=1}^n MPr(M) < nPr(M > \frac{clgn}{lgln}) + \frac{clgn}{lgln} Pr(M \leq \frac{clgn}{lgln})$$

A stronger conclusion to note:

$$\begin{aligned}
E(M) &= \sum_{M=1}^n MPr(M) < MPr(M > \frac{clgn}{lgln}) + \frac{clgn}{lgln} Pr(M \leq \frac{clgn}{lgln}) \\
&\leq \int_{\frac{clgn}{lgln}}^{\infty} \frac{1}{n} dn + 1 * \frac{clgn}{lgln} \\
&= lg(\frac{clgn}{lgln}) + \frac{clgn}{lgln} \\
&= O(\frac{clgn}{lgln})
\end{aligned}$$

## 5 15

### 5.1 15.1-1

$$2^n - 1 = \sum_{j=0}^{n-1} 2^j$$

### 5.2 15.1-2

Do not know how!

### 5.3 15.1-3

See Code

### 5.4 15.1-4

See Code

Ex 15.2.4

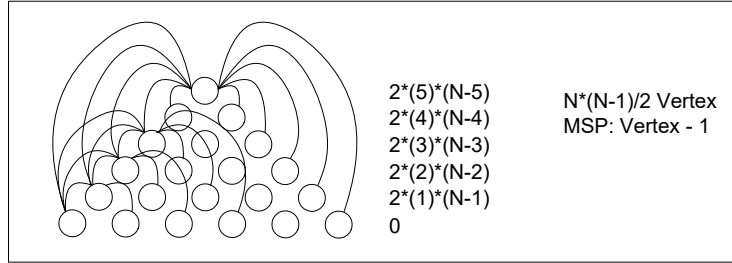


Figure 1: 15.2-4

## 5.5 15.1-5

See Code

## 5.6 15.2-1

See Code

## 5.7 15.2-2

See Code

## 5.8 15.2-3

Assume that  $\forall k \leq n-1, T(k) \geq c2^k$

Then  $T(n) = \sum_{k=1}^{n-1} T(k)T(n-k) = (n-1)c^22^n > c2^n$

So  $T(n) = \Omega(n), \omega(n)$

## 5.9 15.2-4

See Figure 1

## 5.10 15.2-5

For each level  $h(i) = i(n-i)$

For tree  $T(n) = 2 \sum_{i=1}^{n-1} i(n-i)$

$$= \frac{3n^3 + 3n^2}{3} - \frac{2n^3 + 3n^2 + n}{3}$$

$$= \frac{n^3 - n}{3}$$

### 5.11 15.2-6

Assume that  $\forall k \leq n-1, N(k) = k-1$

Then  $N(n) = N(n-1) + 1$

So  $N(n) = n-1$

### 5.12 15.3-1

running through:  $T(n) = n * P_n^n = n * n! > 4^n$

running recursion:  $T(n) = 2\sum_{i=1}^{n-1} 4^i + n = \frac{8}{3}4^{n-1} + n \leq 4^n$

running through takes longer

### 5.13 15.3-2

no overlapping subproblem call

### 5.14 15.3-3

Yes

### 5.15 15.3-4

Do not know how!

### 5.16 15.4-1

See code

### 5.17 15.4-2

See code

### 5.18 15.4-3

See code

### 5.19 15.1

LSP( $s, t, G$ )

1  $r = G.size()$

2  $DPs[r] = 0$

3  $DPr[r] = path(s, t)$

4  $max = -\infty$

5 **for**  $i = 1$  **to**  $r$

6      $max(DPs[j] + DPr[r-j] + what)$

7 **return**  $max$

5.20 15.1