FACULDADE DE ENGENHARIA DA UNIVERSIDADE DO PORTO

Improving the Developer Experience of Dockerfiles

João Pereira da Silva Matos

WORKING VERSION



Mestrado em Engenharia Informática e Computação

Supervisor: Prof. Filipe Correia

January 2, 2023

Improving the Developer Experience of Dockerfiles

João Pereira da Silva Matos

Mestrado em Engenharia Informática e Computação

Contents

1	Repa	niring and Generating Dockerfiles (title is WIP)	1
	1.1	Background	1
	1.2	Speeding up Docker builds	
	1.3	Dockerfile Generation	2
	1.4	Dockerfile Smells	4
		Dockerfile Good Practices	
	1.6	Dockerfile Security	_
	1.7	Dockerfile Repair	8
	1.8	Dockerfile Bloat	10
	1.9	Dockerfile Testing	10
	1.10	General Discussion	10
Re	feren	res	11

List of Tables

1.1	Works about speeding up Docker builds	2
1.2	Works about generating Dockerfiles	3
1.3	Works about Dockerfile smells	5
1.4	Works about Dockerfile good practices	6
1.5	Works about Dockerfile security	7
1.6	Works about Dockerfile repair	9

Chapter 1

Repairing and Generating Dockerfiles (title is WIP)

This section covers existing literature and tools that are related to several components of the Dockerfile development experience and Docker in general. Section 1.1 goes over the background that is required to understand this paper. Section 1.2 goes over ways to accelerate Docker builds. Section 1.3 goes over works that cover the generation of Dockerfiles. Section 1.4 covers smells in Dockerfiles. Section 1.5 talks about good practices that should be taken into account when writing Dockerfiles. Section 1.6 tackles the topic of security in Dockerfiles. Section 1.7 covers the detection and repair of faults in Dockerfiles. Section 1.8 covers the detection and removal of bloat in Dockerfiles. Section 1.9 is about testing Dockerfiles. Section 1.10 concludes with a general discussion.

1.1 Background

...

1.2 Speeding up Docker builds

Building Docker images can take a considerable amount of time, especially when a large amount of files have to be fetched from the internet [11]. Therefore, we looked for ways to reduce the amount of time consumed by this activity. Our findings are summarized in Table 1.1.

Name	Speedup	Limitations	Implementation
			Complexity
A Code Injection	Up to 100000x faster	Can only be used	High
Method for Rapid		with interpreted	
Docker Image Building		languages, limited	
[28]		to modifications in	
		the source code	
FastBuild: Accelerating	Up to 10x faster	Limited to network	High
Docker Image Building		activity	
for Efficient Develop-			
ment and Deployment			
of Container [16]			
Slacker: Fast Distribu-	Up to 20x faster	Limited to network	High
tion with Lazy Docker		activity	
Containers [11]			
Docker Buildx [4]	Unknown	Unknown	Low-Medium

Table 1.1: Works about speeding up Docker builds

Wang et al. [28] propose a technique that bypasses typical building procedures by injecting the code modifications directly in an image. The results are very promising. However, due to the nature of approach, it can only be used with interpreted languages and will not accelerate builds related to modifications in development artifacts that are not source code.

Huang et al. [16] and Harter et al. [11] address the network bottleneck in different ways. The former caches files locally and intercepts Docker's network requests in order to serve files that have been stored locally. The latter proposes a new storage driver that lazily fetches files from the network. Both show promising results and do not address other inefficiencies in the Docker building process.

The works described so far interfere with the normal Docker build procedures, making them harder to implement. Another solution is offered by the Docker development team, Buildx [4]. Buildx makes use of a newer backend, BuildKit [3], which brings many features that can potentially accelerate docker builds. However, to our knowledge, an apples to apples time comparison has not been made. Implementing this in Dockerlive wouldn't be very hard but because the output of the buildx command is different, some modifications would still be required.

1.3 Dockerfile Generation

Writing Dockerfiles is not an easy process [26]. Therefore, having a way to generate a Dockerfile for a given project can be very useful. In this section, we looked for works that showcased ways to accomplish this. Our findings are summarized in Table 1.2.

Name	Successful gener-	Limitations	Implementation
	ation rate		Complexity
Applying Model-Driven	Unknown	An Open API Spec	Medium
Engineering to Stim-		is required	
ulate the Adoption of			
DevOps Processes in			
Small and Medium-			
Sized Development			
Organizations [27]			
Burner: Recipe Au-	Up to 80%	A vast knowledge	High
tomatic Generation for		graph is required,	
HPC Container Based		focused on Singu-	
on Domain Knowledge		larity	
Graph [33]			
Container-Based Mod-	Unknown	Requires the use of	Medium-High
ule Isolation for Cloud		templates to gener-	
Services [17]		ate the files	
DockerGen: A Knowl-	Up to 73%	A vast knowledge	High
edge Graph based	•	graph is required	
Approach for Software			
Containerization [31]			
DockerizeMe: Au-	Up to 30%	Limited to Python,	High
tomatic Inference of	•	requires a knowl-	
Environment Depen-		edge base	
dencies for Python Code			
Snippets [15]			
ExploitWP2Docker: a	Up to 39%	Limited to security	Low-Medium
Platform for Automat-	•	testing scenarios	
ing the Generation of			
Vulnerable WordPress			
Environments for Cyber			
Ranges [9]			
MAKING CONTAIN-	Unknown	Requires Python	Medium-High
ERS EASIER WITH		code to generate	
HPC CONTAINER		the files	
MAKER [22]			

Table 1.2: Works about generating Dockerfiles

knowledge bases in order to generate the files, making them hard to implement in a project like ours, which is not completely focused on file generation. DockerizeMe is also limited to Python environments, while Burner is more focused on Singularity [2], a containerization tool similar to Docker but focused on HPC (High Performance Computing).

Caturano et al. [9] propose a tool that uses Docker to generate security testing environments from exploit descriptions. Sorgalla et al. [27]'s work can generate Dockerfiles from models, which are generated from Open API Specifications (like Swagger [5]). Kehrer et al. [17] use Apache FreeMarker [1] to generate Dockerfiles from templates. McMillan et al. [22] offer a tool that allows developer to use Python code to define the information required to generate Dockerfiles.

All these works show varying degrees of success and some of them are not even focused on the Dockerfile generation aspect.

1.4 Dockerfile Smells

Smells are commonly found in Dockerfiles [29], making it important to create ways of detecting and, if possible, remove them. This section covers works related to this. Our findings are summarized in Table 1.3.

1.4 Dockerfile Smells 5

Name	Smells	Findings	Possible repair
			implementation
			complexity
An Empirical Case	Temporary File	The smell is quite	Medium
Study on the Temporary		common and can	
File Smell in Docker-		be divided into 4	
files [21]		different types, 3 of	
		which can be de-	
		tected through the	
		proposed methods	
An empirical study on	SATD	Shows that a type	High
self-admitted technical		of smell appears	
debt in Dockerfiles [7]		in Dockerfiles and	
		can be divided into	
		several classes and	
		subclasses	
Characterizing the Oc-	All	Smells appear	High
currence of Dockerfile		commonly in	
Smells in Open-Source		projects and the	
Software: An Empirical		frequency with	
Study [29]		which they appear	
		varies according to	
		several metrics	
Dockerfile TF Smell	Temporary File	It's possible to de-	Medium
Detection Based on		tect the smell with	
Dynamic and Static		high accuracy us-	
Analysis Methods [30]		ing static and dy-	
		namic analysis	

Table 1.3: Works about Dockerfile smells

Lu et al. [21] and Xu et al. [30] have focused on the temporary file smell and propose ways to detect this smell. A repair to deal with this smell could be implemented using the information provided by these works.

Azuma et al. [7] focus on a variation of smells they call SATD (self-admitted technical debt) which can be detected in comments written in the Dockerfiles. Due to the nature of these SATDs, implementing repairs to eliminate them could be very complicated.

Wu et al. [29] analyzed a large amount of open-source projects and found that Dockerfile smells are very common and their frequency changes according to several factors like the programming language used by the project or the project's age. Due to the variety of smells covered

by this study implementing repairs to deal with all of them would be difficult.

1.5 Dockerfile Good Practices

To prevent the creation of smells like the ones mentioned in Section 1.4, a developer should follow good practices. This section goes over works that cover these practices. Our findings are summarized in Table 1.4.

Name	Practices	Limitations	Practices that
			could be imple-
			mented
Learning from, Under-	Related to usage of	No inherent repair	All of them
standing, and Support-	package managers	functionality	
ing DevOps Artifacts	and command line		
for Docker [13]	utilities (gold rules)		
Security Misconfigura-	Practices that make	Limited to im-	Most of them
tions Detection and Re-	an image more se-	proving security	
pair in Dockerfile [24]	cure	(although these	
		practices have	
		other benefits)	
Ten simple rules for	Rules 3,4,5 and 9	Focused on data	Rules 5 and 9
writing Dockerfiles for	are applicable to	science	
reproducible data sci-	every scenario		
ence [23]			

Table 1.4: Works about Dockerfile good practices

Henkel et al. [13] mined rules from Dockerfiles created by experts, allowing them to create a set of "gold rules", a set of patterns that often appear in Dockerfiles written by these experts. All of these "gold rules" could be implemented as repairs.

Prinetto et al. [24] looked for flaws in Dockerfiles that could lead to vulnerabilities in a system. As part of that work they list a set of practices developers should follow to improve a Docker image's security. Most of the practices listed could be implemented as repair, although some of them would be too complex to implement.

Nust et al. [23] propose a list of 10 rules developers should follow when writing Dockerfiles for data science environments. Some of these rules are applicable to other scenarios and 2 of those could be implemented as repairs.

1.6 Dockerfile Security

Nowadays, security is a topic that is heavily discussed and deserves a great amount of attention from developers. However, security problems are still commonly found in Dockerfiles [10] and many developers do not have the knowledge required to evaluate how vulnerable their containers are [32]. For these reasons, it's important to study Docker containers from a security perspective, which is what this section focuses on. Our findings are summarized in Table 1.5.

Name	Findings	Implementation notes	
DAVS: Dockerfile Anal-	DAVS can detect more vul-	It should be possible to re-	
ysis for Container Im-	nerabilities than competing	pair some of the mentioned	
age Vulnerability Scan-	scanners	vulnerabilities, although it	
ning [10]		would be easier to use exist-	
		ing scanners	
Investigating the inner	Many scanners use the same	Using one of these scanners	
workings of container im-	methods to detect vulnerabil-	could be useful	
age vulnerability scanners	ities, which have limitations		
[32]			
Outdated software in con-	Having outdated software	It should be possible to im-	
tainer images [20]	in containers brings security	plement some repair to try to	
	problems and there are	address this situation	
	limitations to what current		
	scanners can detect, new		
	detection method is proposed		
Security Analysis of Code	Removing bloat from con-	It should be possible to im-	
Bloat in Machine Learn-	tainers used in machine	plement some repairs that re-	
ing Systems [6]	learning environments can	duce bloat	
	considerably improve secu-		
	rity		
Security Misconfigu-	Security problems are com-	It might be possible to imple-	
rations Detection and	mon in containers, a way to	ment the proposed technique	
Repair in Dockerfile [24]	repair them is proposed	to repair the problems	

Table 1.5: Works about Dockerfile security

Doan et al. [10] propose DAVS (Dockerfile analysis-based vulnerability scanning), a tool that can detect potentially vulnerable files in containers. This approach allows them to detect more vulnerabilities than current scanners, which, according to Zarei et al. [32], rely on information provided by distribution package managers. This information can be manipulated and, in some cases, may not even be available, which prevents scanners from detecting vulnerabilities.

Ahmed et al. [6] used Cimplifier [25] to debloat containers used in machine learning environments and found the amount of vulnerabilities present in those containers was significantly reduced.

Linnalampi et al. [20] found that having outdated software introduces vulnerabilities in containers and propose a new method to detect vulnerabilities by analyzing the binaries present in containers to detect the software versions that are in use. This approach would address some of the limitations of current scanning techniques.

Prinetto et al. [24] found that security problems are common and propose a way to repair them by processing the Dockerfile to obtain the abstract syntax tree, find the vulnerabilities and modify the tree before reconverting into a file that is no longer vulnerable.

Implementing repairs that address most of the problems and vulnerabilities found by these works should be possible. It may even be possible to use some of the proposed approaches.

1.7 Dockerfile Repair

Like the previous sections have shown, the average Dockerfile has several problems and it can be difficult for a developer to figure out how to deal with those issues in an optimal way. This makes it important to create tools that can assist developers in the repair process. This section goes over works that do that (although other sections also discuss works that perform repairs that are related to more specific scenarios). Our findings are summarized in Table 1.6.

Name	Performed repair	Limitations	Implementation
			Complexity
Latest Image	Base image update	Does not cover	Medium
Recommenda-		other parts of the	
tion Method for		Dockerfile	
Automatic Base			
Image Update in			
Dockerfile [18]			
Learning from,	Enforcing the gold	Does not perform	Medium
Understanding,	rules by detecting	the repair, only	
and Supporting	violations	helps detect places	
DevOps Artifacts		where they should	
for Docker [13]		be performed	
RUDSEA: recom-	Updates portions	Does not cover	Medium-High
mending updates	of the source code	parts other parts of	
of Dockerfiles via	which are tied to	the Dockerfile	
software environ-	values in the source		
ment analysis [12]	code		
Shipwright: A	Repairs that deal	Some of the repairs	Medium
Human-in-the-	with some func-	listed can only be	
Loop System for	tional problems	applied to some	
Dockerfile Repair		projects	
[14]			
Supporting micro-	Reducing the num-	Small number of	Low-Medium
services deploy-	ber of layers in	repairs	
ment in a safer	the image to take		
way: a static anal-	advantage of layer		
ysis and automated	caching		
rewriting approach			
[8]			

Table 1.6: Works about Dockerfile repair

Kitajima et al. [18] focused on updating a container's base image by analyzing the available tags, while Hassan et al. [12] focused on portions of the Dockerfile which are tied to values in the source code.

Henkel et al. [13] offers a way to detect violations of the gold rules they obtained but don't automate the repair of said violations. Henkel et al. [14] also proposes a different approach for automating repairs, although most of the repairs listed here are specific to certain programming languages or package managers.

Benni et al. [8] describe a way to reduce the number of layers in Dockerfiles in order to take advantage of layer caching.

Implementing the repairs mentioned in this section should be possible, although these implementations would have varying degrees of complexity.

1.8 Dockerfile Bloat

1.9 Dockerfile Testing

1.10 General Discussion

Ksontini et al. [19] focused on refactorings (a concept which is closely tied to smells) and found that developers' main motivations for performing refactorings were tied to maintainability and image size among others. Implementing some of these refactorings as repairs would be useful, although implementing all of them would be challenging.

References

- [1] Apache FreeMarker.
- [2] Apptainer.
- [3] BuildKit.
- [4] Docker buildx.
- [5] Swagger.
- [6] Fahmi Abdulqadir Ahmed and Dyako Fatih. Security Analysis of Code Bloat in Machine Learning Systems.
- [7] Hideaki Azuma, Shinsuke Matsumoto, Yasutaka Kamei, and Shinji Kusumoto. An empirical study on self-admitted technical debt in Dockerfiles. 27(2):49.
- [8] Benjamin Benni, Sébastien Mosser, Philippe Collet, and Michel Riveill. Supporting microservices deployment in a safer way: A static analysis and automated rewriting approach. In *Proceedings of the 33rd Annual ACM Symposium on Applied Computing*, SAC '18, pages 1706–1715. Association for Computing Machinery.
- [9] Francesco Caturano, Nicola d' Ambrosio, Gaetano Perrone, Luigi Previdente, and Simon Pietro Romano. ExploitWP2Docker: A Platform for Automating the Generation of Vulnerable WordPress Environments for Cyber Ranges. In 2022 International Conference on Electrical, Computer and Energy Technologies (ICECET), pages 1–7.
- [10] Thien-Phuc Doan and Souhwan Jung. DAVS: Dockerfile Analysis for Container Image Vulnerability Scanning. 72(1):1699–1711.
- [11] Tyler Harter, Brandon Salmon, Rose Liu, Andrea C Arpaci-Dusseau, and Remzi H Arpaci-Dusseau. Slacker: Fast Distribution with Lazy Docker Containers.
- [12] Foyzul Hassan, Rodney Rodriguez, and Xiaoyin Wang. RUDSEA: Recommending updates of Dockerfiles via software environment analysis. In *Proceedings of the 33rd ACM/IEEE International Conference on Automated Software Engineering*, ASE 2018, pages 796–801. Association for Computing Machinery.
- [13] Jordan Henkel, Christian Bird, Shuvendu K. Lahiri, and Thomas Reps. Learning from, Understanding, and Supporting DevOps Artifacts for Docker. In 2020 IEEE/ACM 42nd International Conference on Software Engineering (ICSE), pages 38–49.
- [14] Jordan Henkel, Denini Silva, Leopoldo Teixeira, Marcelo d' Amorim, and Thomas Reps. Shipwright: A Human-in-the-Loop System for Dockerfile Repair. In 2021 IEEE/ACM 43rd International Conference on Software Engineering (ICSE), pages 1148–1160.

REFERENCES 12

[15] Eric Horton and Chris Parnin. DockerizeMe: Automatic Inference of Environment Dependencies for Python Code Snippets. In 2019 IEEE/ACM 41st International Conference on Software Engineering (ICSE), pages 328–338.

- [16] Zhuo Huang, Song Wu, Song Jiang, and Hai Jin. FastBuild: Accelerating Docker Image Building for Efficient Development and Deployment of Container. In 2019 35th Symposium on Mass Storage Systems and Technologies (MSST), pages 28–37.
- [17] Stefan Kehrer, Florian Riebandt, and Wolfgang Blochinger. Container-Based Module Isolation for Cloud Services. In 2019 IEEE International Conference on Service-Oriented System Engineering (SOSE), pages 177–17709.
- [18] Shinya Kitajima and Atsuji Sekiguchi. Latest Image Recommendation Method for Automatic Base Image Update in Dockerfile. In Eleanna Kafeza, Boualem Benatallah, Fabio Martinelli, Hakim Hacid, Athman Bouguettaya, and Hamid Motahari, editors, *Service-Oriented Computing*, Lecture Notes in Computer Science, pages 547–562. Springer International Publishing.
- [19] Emna Ksontini and Marouane Kessentini. Refactorings and Technical Debt for Docker Projects.
- [20] Markus Linnalampi. Outdated software in container images.
- [21] Zhigang Lu, Jiwei Xu, Yuewen Wu, Tao Wang, and Tao Huang. An Empirical Case Study on the Temporary File Smell in Dockerfiles. 7:63650–63659.
- [22] Scott McMillan. MAKING CONTAINERS EASIER WITH HPC CONTAINER MAKER. page 47.
- [23] Daniel Nüst, Vanessa Sochat, Ben Marwick, Stephen J. Eglen, Tim Head, Tony Hirst, and Benjamin D. Evans. Ten simple rules for writing Dockerfiles for reproducible data science. 16(11):e1008316.
- [24] Paolo Ernesto Prinetto, Dott Riccardo Bortolameotti, and Giuseppe Massaro. Security Misconfigurations Detection and Repair in Dockerfile. page 78.
- [25] Vaibhav Rastogi, Drew Davidson, Lorenzo De Carli, Somesh Jha, and Patrick McDaniel. Cimplifier: Automatically debloating containers. In *Proceedings of the 2017 11th Joint Meeting on Foundations of Software Engineering*, ESEC/FSE 2017, pages 476–486. Association for Computing Machinery.
- [26] David Reis, Bruno Piedade, Filipe F. Correia, João Pedro Dias, and Ademar Aguiar. Developing Docker and Docker-Compose Specifications: A Developers' Survey. 10:2318–2329.
- [27] Jonas Sorgalla, Philip Wizenty, Florian Rademacher, Sabine Sachweh, and Albert Zündorf. Applying Model-Driven Engineering to Stimulate the Adoption of DevOps Processes in Small and Medium-Sized Development Organizations: The Case for Microservice Architecture. 2(6):459.
- [28] Yujing Wang and Qinyang Bao. A Code Injection Method for Rapid Docker Image Building.
- [29] Yiwen Wu, Yang Zhang, Tao Wang, and Huaimin Wang. Characterizing the Occurrence of Dockerfile Smells in Open-Source Software: An Empirical Study. 8:34127–34139.

REFERENCES 13

[30] Jiwei Xu, Yuewen Wu, Zhigang Lu, and Tao Wang. Dockerfile TF Smell Detection Based on Dynamic and Static Analysis Methods. In 2019 IEEE 43rd Annual Computer Software and Applications Conference (COMPSAC), volume 1, pages 185–190.

- [31] Hongjie Ye, Jiahong Zhou, Wei Chen, Jiaxin Zhu, Guoquan Wu, and Jun Wei. DockerGen: A Knowledge Graph based Approach for Software Containerization. In 2021 IEEE 45th Annual Computers, Software, and Applications Conference (COMPSAC), pages 986–991.
- [32] Mehdi Zarei. Investigating the inner workings of container image vulnerability scanners. page 96.
- [33] Shuaihao Zhong, Duoqiang Wang, Wei Li, Feng Lu, and Hai Jin. Burner: Recipe Automatic Generation for HPC Container Based on Domain Knowledge Graph. 2022:e4592428.