

# Chapter 6

Constraint Satisfaction Problems

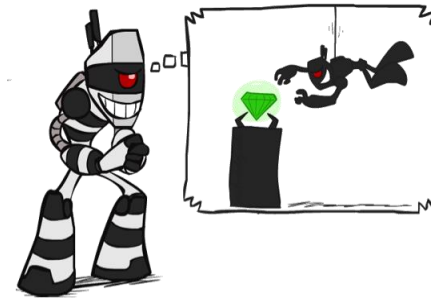
(slides adapted from [ai.berkeley.edu](http://ai.berkeley.edu))

# Topics Covered

- ❖ Defining constraints satisfaction problem
- ❖ Constraints Propagation
- ❖ Backtracking Search for CSP
- ❖ Local Search for CSP
- ❖ The Structure of Problems

# What is Search For?

- ❖ Assumptions about the world: a single agent, deterministic actions, fully observed state, discrete state space
- ❖ Planning: sequences of actions
  - The path to the goal is the important thing
  - Paths have various costs, depths
  - Heuristics give problem-specific guidance
- ❖ Identification: assignments to variables
  - The goal itself is important, not the path
  - All paths at the same depth (for some formulations)
  - CSPs are specialized for identification problems



# Constraint Satisfaction Problems

## ❖ Standard search problems:

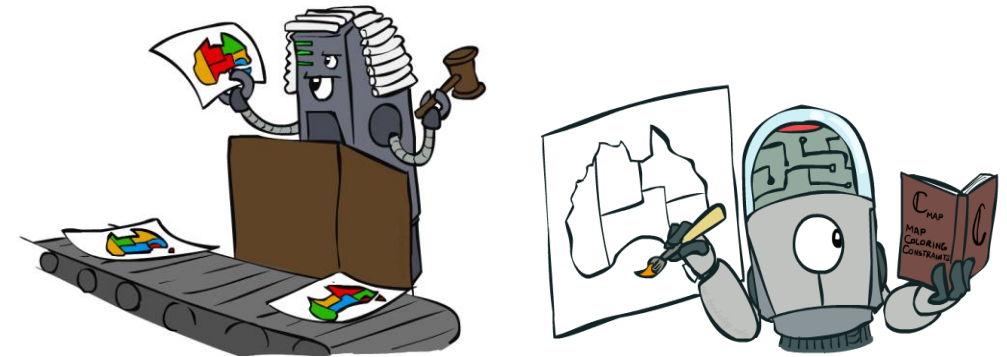
- State is a “black box”: arbitrary data structure
- Goal test can be any function over states
- Successor function can also be anything

## ❖ Constraint satisfaction problems (CSPs):

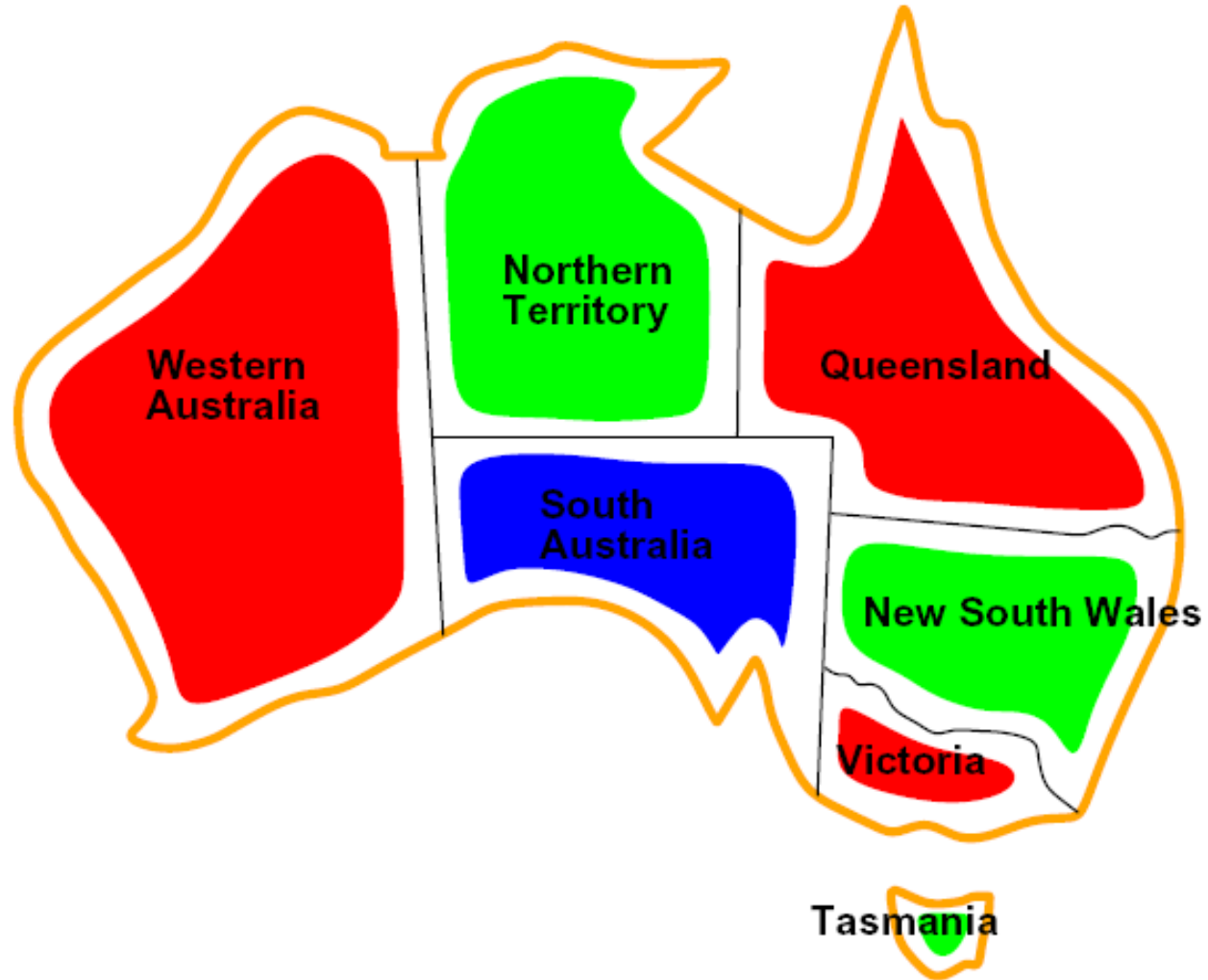
- A special subset of search problems
- State is defined by variables  $X_i$  with values from a domain  $D$  (sometimes  $D$  depends on  $i$ )
- Goal test is a set of constraints specifying allowable combinations of values for subsets of variables

## ❖ Simple example of a *formal representation language*

## ❖ Allows useful general-purpose algorithms with more power than standard search algorithms



# CSP Examples



# Example: Map Coloring

❖ Variables: WA, NT, Q, NSW, V, SA, T

❖ Domains:  $D = \{\text{red, green, blue}\}$

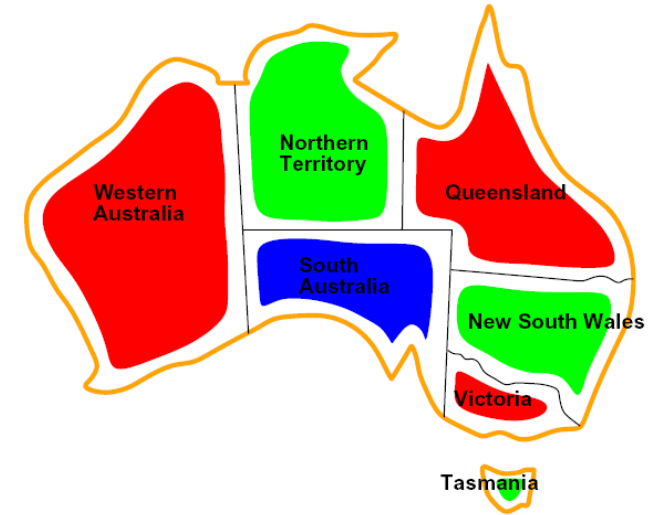
❖ Constraints: adjacent regions must have different colors

Implicit:  $WA \neq NT$

Explicit:  $(WA, NT) \in \{(\text{red, green}), (\text{red, blue}), \dots\}$

❖ Solutions are assignments satisfying all constraints, e.g.:

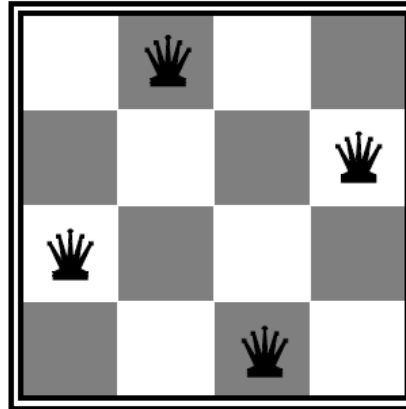
$\{WA=\text{red}, NT=\text{green}, Q=\text{red}, NSW=\text{green},$   
 $V=\text{red}, SA=\text{blue}, T=\text{green}\}$



# Example: N-Queens

## ❖ Formulation 1:

- Variables:  $X_{ij}$
- Domains:  $\{0, 1\}$
- Constraints



$$\forall i, j, k \quad (X_{ij}, X_{ik}) \in \{(0, 0), (0, 1), (1, 0)\}$$

$$\forall i, j, k \quad (X_{ij}, X_{kj}) \in \{(0, 0), (0, 1), (1, 0)\}$$

$$\forall i, j, k \quad (X_{ij}, X_{i+k, j+k}) \in \{(0, 0), (0, 1), (1, 0)\}$$

$$\forall i, j, k \quad (X_{ij}, X_{i+k, j-k}) \in \{(0, 0), (0, 1), (1, 0)\}$$

$$\sum_{i,j} X_{ij} = N$$

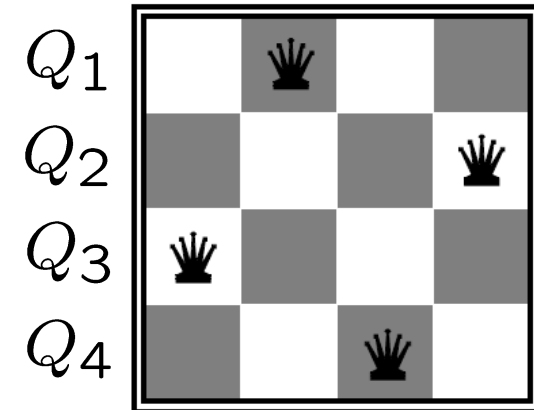
# Example: N-Queens

## ❖ Formulation 2:

➤ Variables:  $Q_k$

➤ Domains:  $\{1, 2, 3, \dots, N\}$

➤ Constraints:



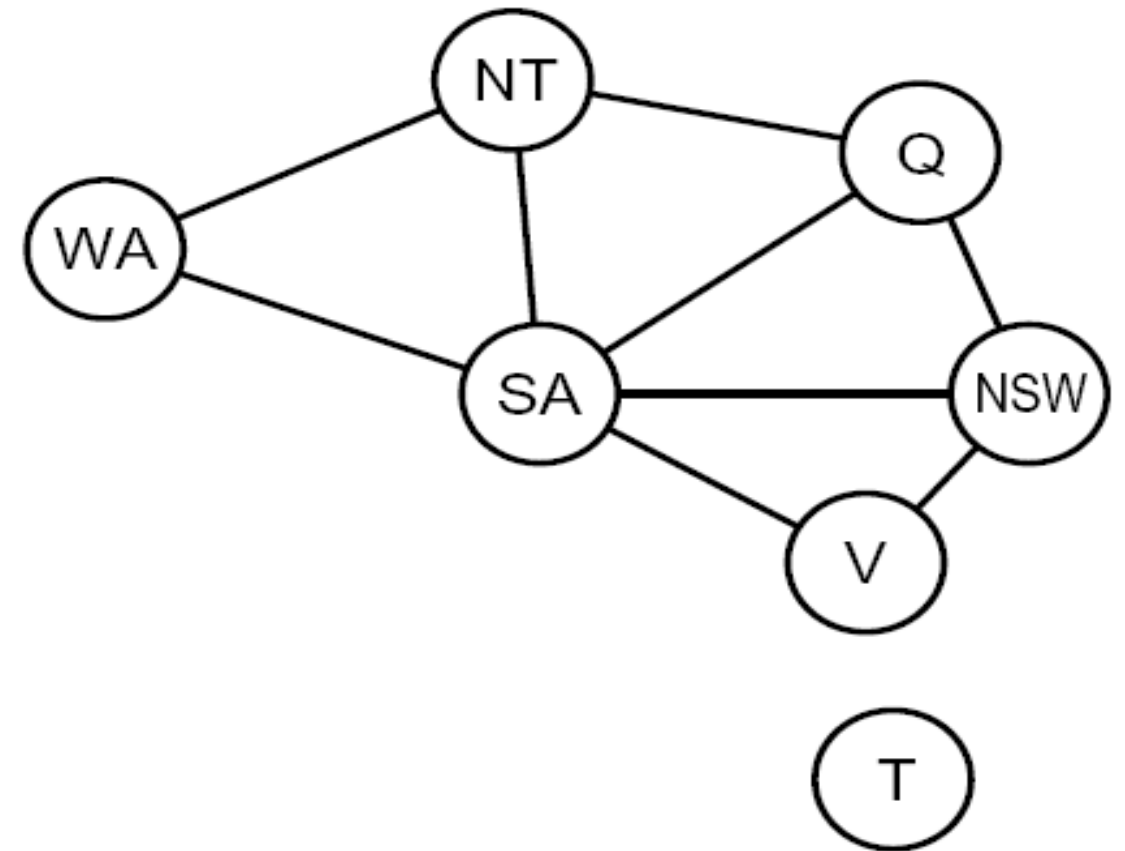
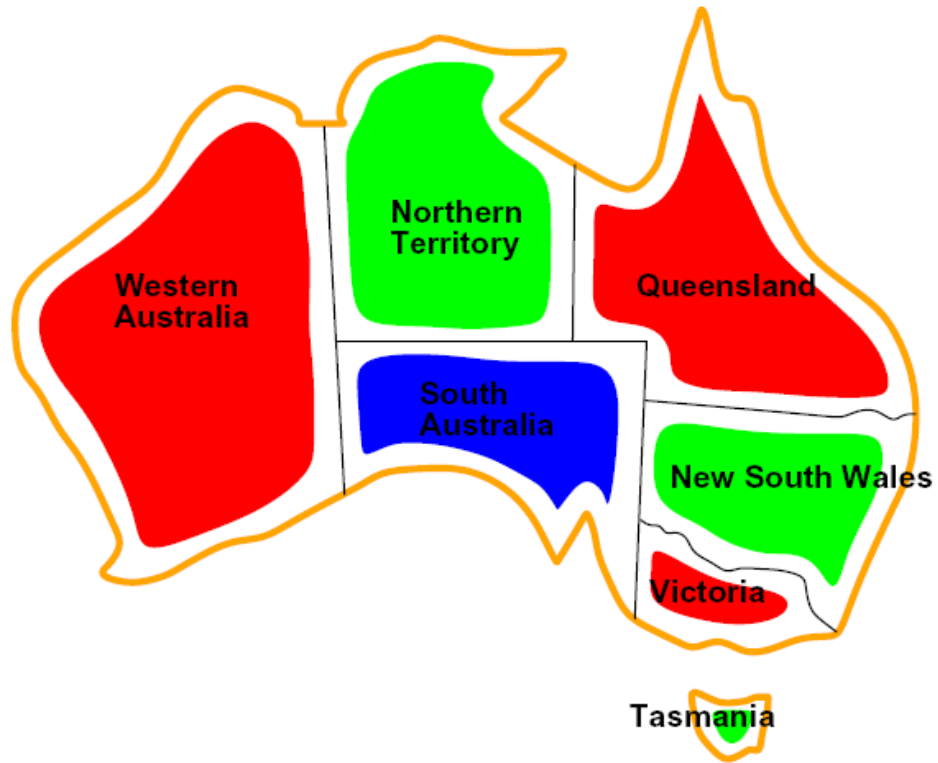
Implicit:  $\forall i, j \text{ non-threatening}(Q_i, Q_j)$

Explicit:  $(Q_1, Q_2) \in \{(1, 3), (1, 4), \dots\}$

...

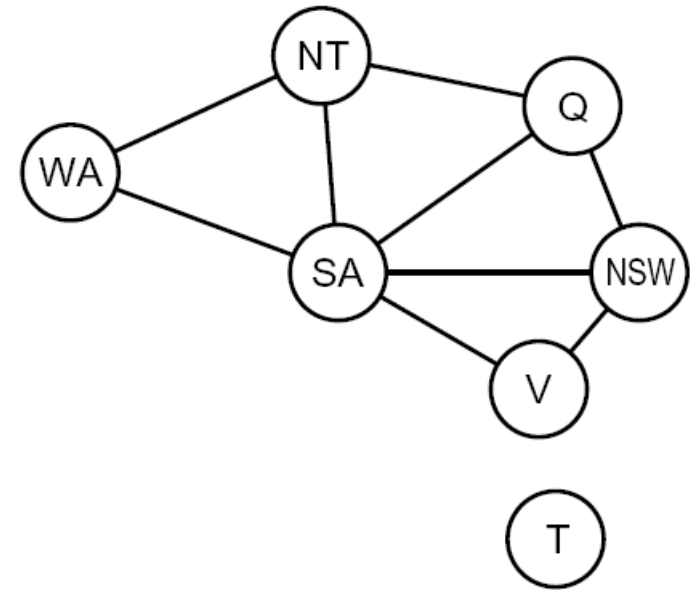


# Constraint Graphs



# Constraint Graphs

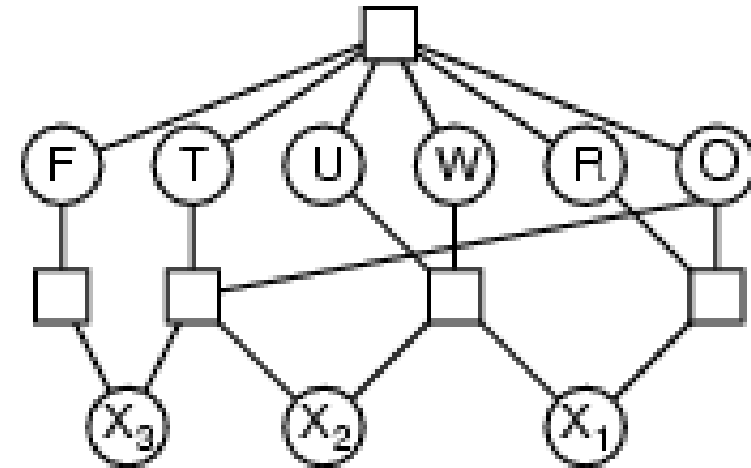
- ❖ **Binary CSP:** each constraint relates (at most) two variables
- ❖ **Binary constraint graph:** nodes are variables, arcs show constraints
- ❖ General-purpose CSP algorithms use the graph structure to speed up search. E.g., Tasmania is an independent subproblem!



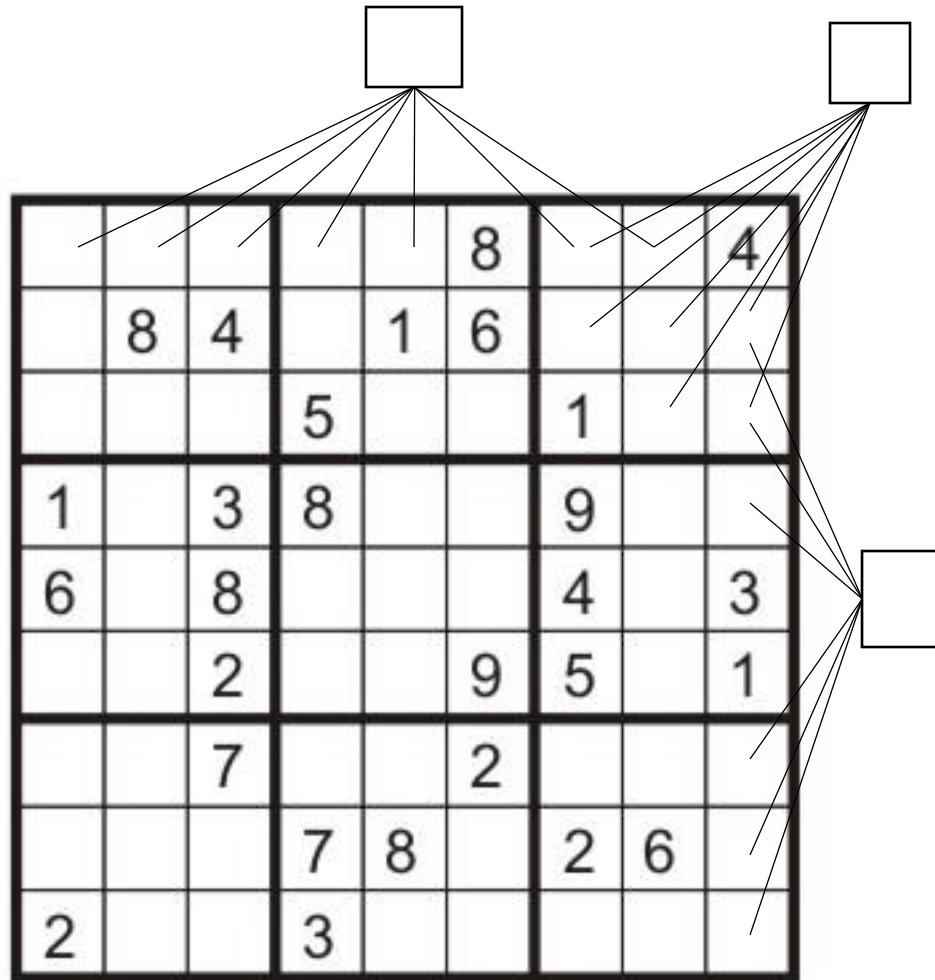
# Example: Cryptarithmic

- ❖ Variables:  $F T U W R O X_1 X_2 X_3$
- ❖ Domains:  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- ❖ Constraints:  $Alldiff(F, T, U, W, R, O)$ 
  - $O + O = R + 10 \cdot X_1$
  - $X_1 + W + W = U + 10 \cdot X_2$
  - $X_2 + T + T = O + 10 \cdot X_3$
  - $X_3 = F, T \neq 0, F \neq 0$

$$\begin{array}{r} T \ W \ O \\ + \ T \ W \ O \\ \hline F \ O \ U \ R \end{array}$$



# Example: Sudoku



- Variables:
  - Each (open) square
- Domains:
  - $\{1, 2, \dots, 9\}$
- Constraints:

9-way alldiff for each column

9-way alldiff for each row

9-way alldiff for each region

(or can have a bunch of pairwise inequality constraints)

# **Varieties of CSPs and Constraints**

# Varieties of CSPs

## ❖ Discrete Variables

### ➤ Finite domains

- Size  $d$  means  $O(d^n)$  complete assignments
- E.g., Boolean CSPs

### ➤ Infinite domains (integers, strings, etc.)

- E.g., job scheduling, variables are start/end times for each job
- need a constraint language, e.g.,  $StartJob_1 + 5 \leq StartJob_3$

## ❖ Continuous variables

- E.g., start/end times for Hubble Telescope observations
- Linear constraints solvable in polynomial time

# Types of Constraints

- ❖ **Unary Constraints:** restricts the value of single variable
  - (SA, SA  $\neq$  green)
- ❖ **Binary Constraints:** relates two variable
  - (SA  $\neq$  NSW)
- ❖ **Global Constraints:** involves arbitrary number of variables
  - Between(X, Y, Z)
  - Alldiff(X, Y, Z)
- ❖ **Preferences (soft constraints):**
  - E.g., red is better than green
  - Often representable by a cost for each variable assignment

# Real-World CSPs

- ❖ Assignment problems

  - e.g., who teaches what class

- ❖ Timetabling problems

  - e.g., which class is offered when and where?

- ❖ Transportation scheduling

- ❖ Meeting Schedule

- ❖ Notice that many real-world problems involve real-valued variables



# Solving CSPs

# Standard Search Formulation

- ❖ Standard search formulation of CSPs
- ❖ States defined by the values assigned so far (partial assignments)
  - Initial state: the empty assignment,  $\{\}$
  - Successor function: assign a value to an unassigned variable
  - Goal test: the current assignment is complete and satisfies all constraints
- ❖ We'll start with the straightforward, naïve approach, then improve it

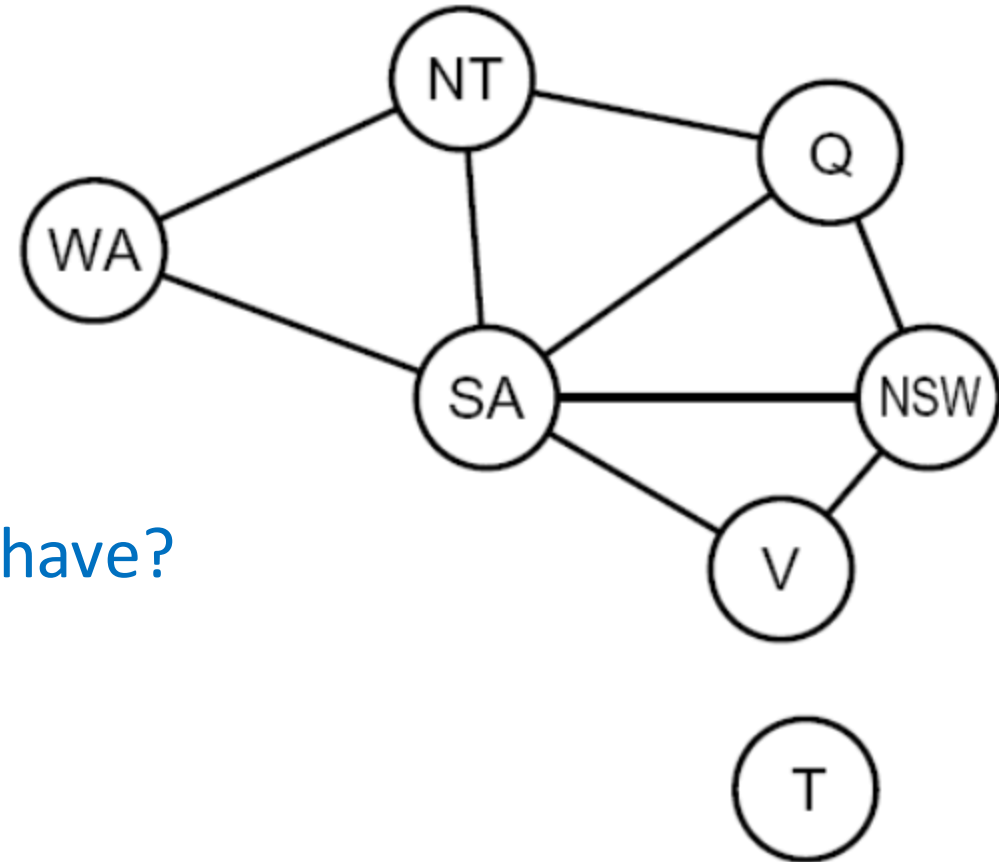
# Search Methods

❖ What would BFS do?

❖ What would DFS do?

❖ What problems does naïve search have?

❖ Demo

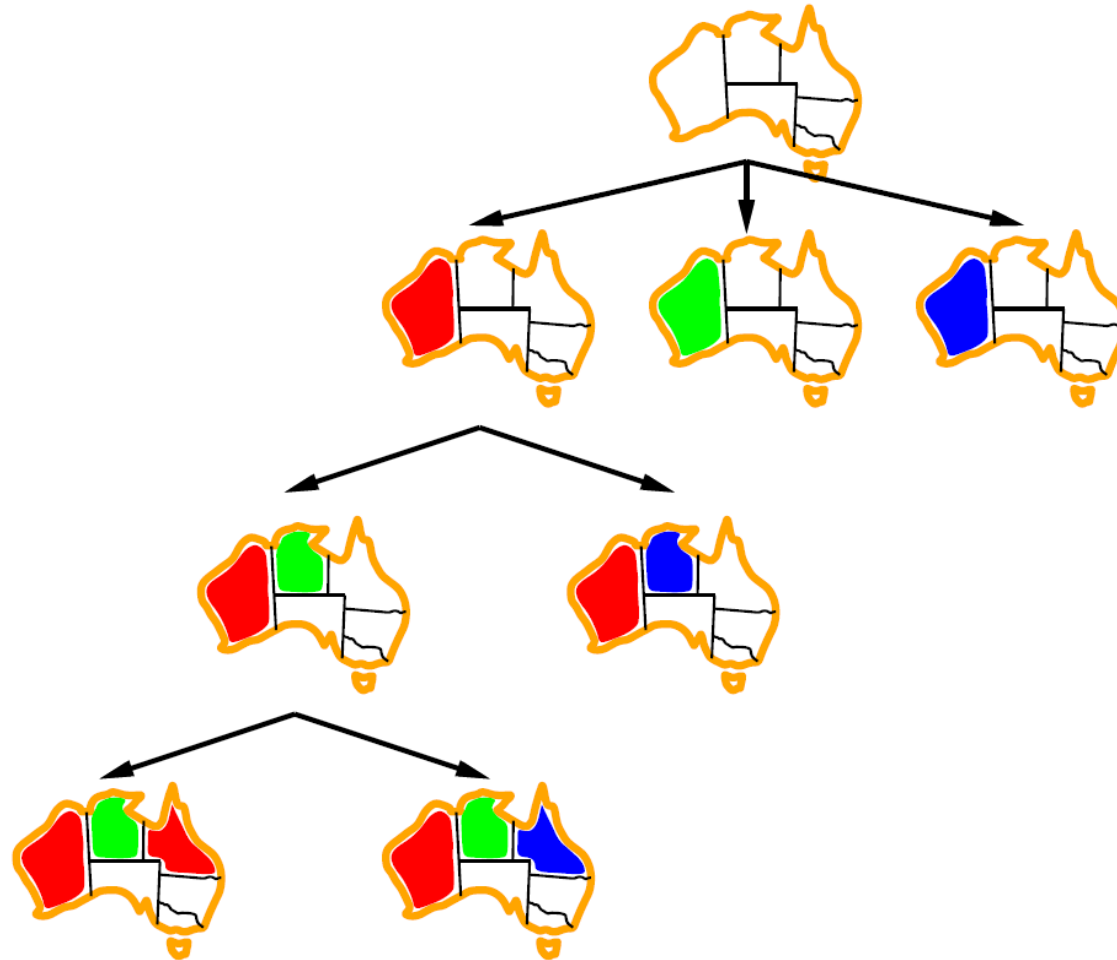


# Backtracking Search

# Backtracking Search

- ❖ Backtracking search is the basic uninformed algorithm for solving CSPs
- ❖ Idea 1: One variable at a time
  - Variable assignments are commutative, so fix ordering
  - I.e., [WA = red then NT = green] same as [NT = green then WA = red]
  - Only need to consider assignments to a single variable at each step
- ❖ Idea 2: Check constraints as you go
  - i.e. consider only values which do not conflict previous assignments
  - Might have to do some computation to check the constraints
  - “Incremental goal test”
- ❖ Depth-first search with these two improvements is called *backtracking search* (not the best name)
- ❖ Can solve n-queens for  $n \approx 25$

# Backtracking Example



# Backtracking Search (Demo)

```
function BACKTRACKING-SEARCH(csp) returns solution/failure
  return RECURSIVE-BACKTRACKING({ }, csp)

function RECURSIVE-BACKTRACKING(assignment, csp) returns soln/failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assignment, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment given CONSTRAINTS[csp] then
      add {var = value} to assignment
      result ← RECURSIVE-BACKTRACKING(assignment, csp)
      if result ≠ failure then return result
      remove {var = value} from assignment
  return failure
```

- ❖ Backtracking = DFS + variable-ordering + fail-on-violation
- ❖ What are the choice points?

# Improving Backtracking

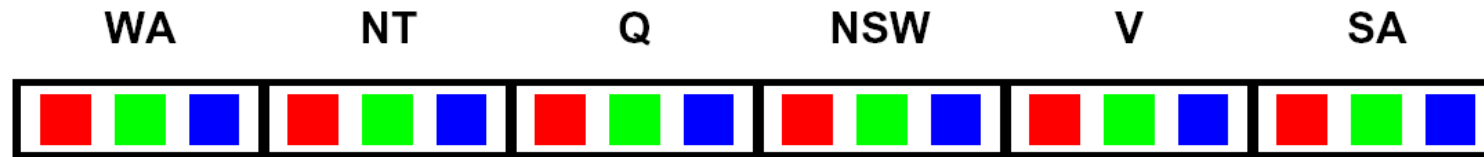
- ❖ General-purpose ideas give huge gains in speed
- ❖ Ordering:
  - Which variable should be assigned next?
  - In what order should its values be tried?
- ❖ Filtering: Can we detect failure early?
- ❖ Structure: Can we exploit the problem structure?



# Filtering

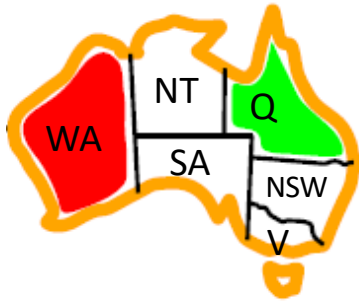
# Filtering: Forward Checking (Demo)

- ❖ Filtering: Keep track of domains for unassigned variables and cross off bad options
- ❖ Forward checking: Cross off values that violate a constraint when added to the existing assignment



# Filtering: Constraint Propagation

- ❖ Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:

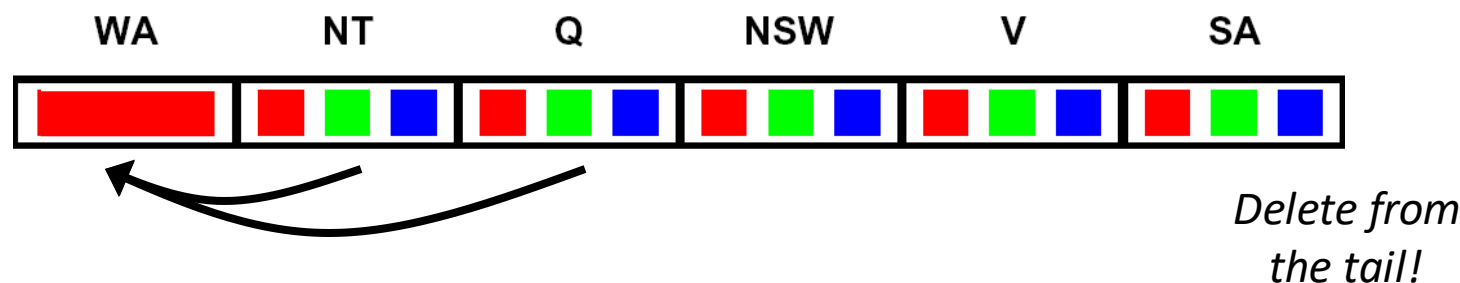
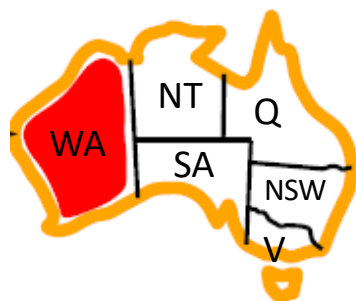


WA	NT	Q	NSW	V	SA
<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>
<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>
<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>

- ❖ NT and SA cannot both be blue!
- ❖ Why didn't we detect this yet?
- ❖ *Constraint propagation*: reason from constraint to constraint

# Consistency of A Single Arc

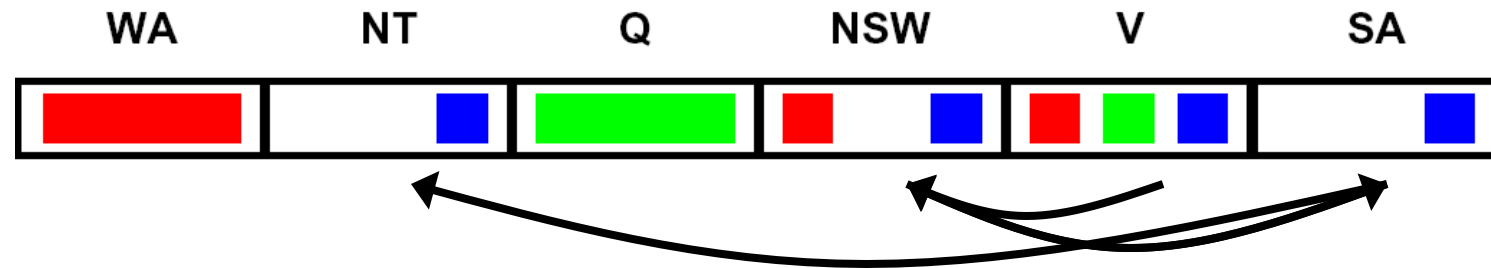
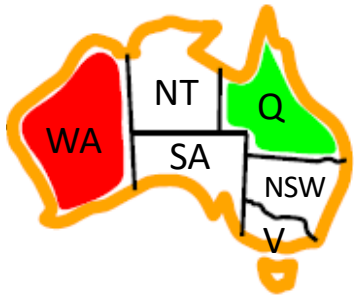
- ❖ An arc  $X \rightarrow Y$  is **consistent** iff for *every*  $x$  in the tail there is *some*  $y$  in the head which could be assigned without violating a constraint



- ❖ Forward checking: Enforcing consistency of arcs pointing to each new assignment

# Arc Consistency of an Entire CSP

❖ A simple form of propagation makes sure **all** arcs are consistent:



- ❖ Important: If X loses a value, neighbors of X need to be rechecked!
- ❖ Arc consistency detects failure earlier than forward checking
- ❖ Can be run as a preprocessor or after each assignment
- ❖ What's the downside of enforcing arc consistency?

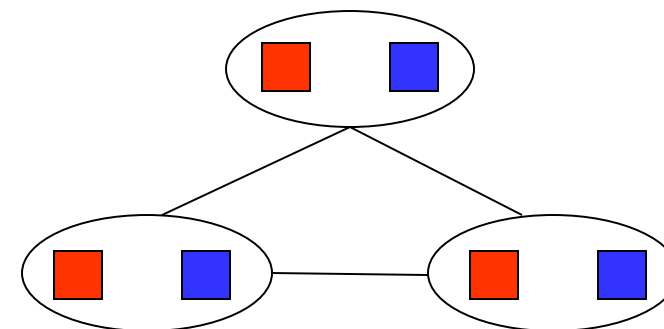
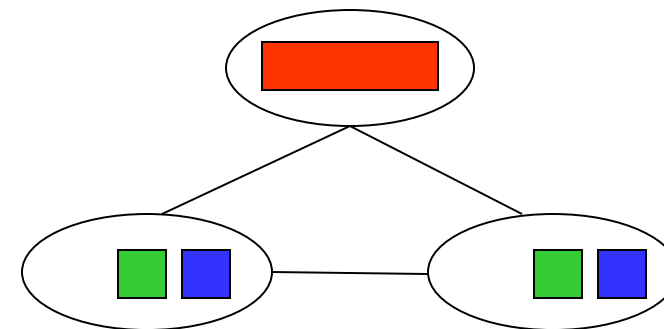
*Remember: Delete from the tail!*

# Limitations of Arc Consistency

❖ After enforcing arc consistency:

- Can have one solution left
- Can have multiple solutions left
- Can have no solutions left (and not know it)

❖ Arc consistency still runs inside a backtracking search!



*What went wrong here?*

# Enforcing Arc Consistency in a CSP

```
function AC-3(csp) returns the CSP, possibly with reduced domains
  inputs: csp, a binary CSP with variables  $\{X_1, X_2, \dots, X_n\}$ 
  local variables: queue, a queue of arcs, initially all the arcs in csp

  while queue is not empty do
     $(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$ 
    if REMOVE-INCONSISTENT-VALUES( $X_i, X_j$ ) then
      for each  $X_k$  in NEIGHBORS[ $X_i$ ] do
        add  $(X_k, X_i)$  to queue



---


function REMOVE-INCONSISTENT-VALUES( $X_i, X_j$ ) returns true iff succeeds
  removed  $\leftarrow$  false
  for each  $x$  in DOMAIN[ $X_i$ ] do
    if no value  $y$  in DOMAIN[ $X_j$ ] allows  $(x, y)$  to satisfy the constraint  $X_i \leftrightarrow X_j$ 
      then delete  $x$  from DOMAIN[ $X_i$ ]; removed  $\leftarrow$  true
  return removed
```

❖ Runtime:  $O(n^2d^3)$ , can be reduced to  $O(n^2d^2)$

# Ordering

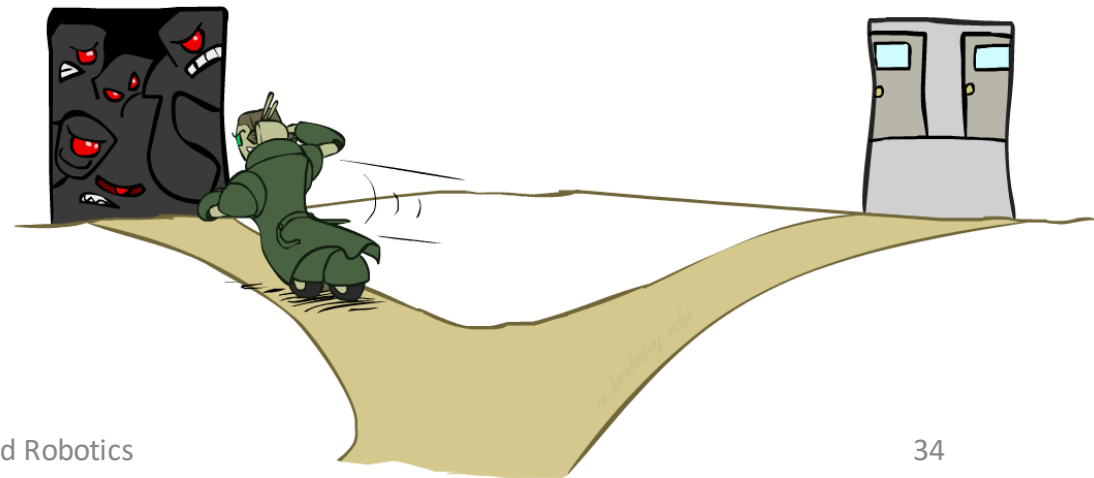


# Ordering: Minimum Remaining Values

- ❖ Variable Ordering: Minimum remaining values (MRV):
  - Choose the variable with the fewest legal left values in its domain



- ❖ Why min rather than max?
- ❖ Also called “most constrained variable”
- ❖ “Fail-fast” ordering

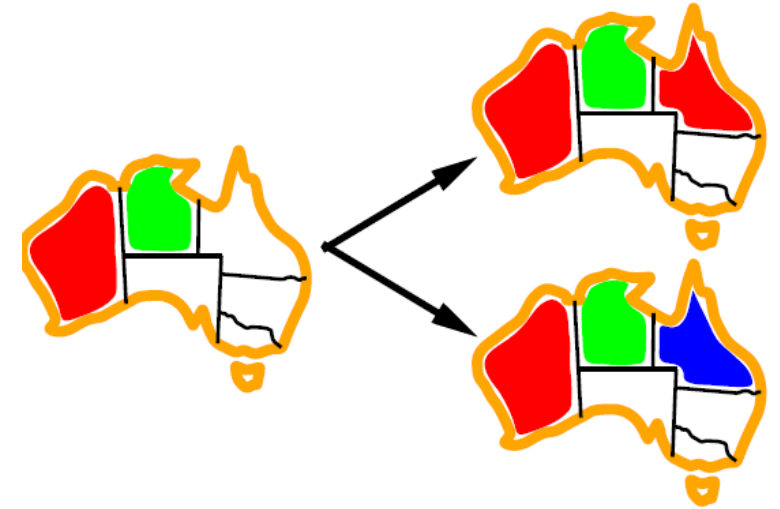


# Ordering: Least Constraining Value

## ❖ Value Ordering: Least Constraining Value

- Given a choice of variable, choose the *least constraining value*
- I.e., the one that rules out the fewest values in the remaining variables
- Note that it may take some computation to determine this! (E.g., rerunning filtering)

## ❖ Combining these ordering ideas makes 1000 queens feasible



# Improving Backtracking

- ❖ General-purpose ideas give huge gains in speed
  - ... but it's all still NP-hard
- ❖ Filtering: Can we detect inevitable failure early?
- ❖ Ordering:
  - Which variable should be assigned next? (MRV)
  - In what order should its values be tried? (LCV)
- ❖ Structure: Can we exploit the problem structure?

**K-Consistency**

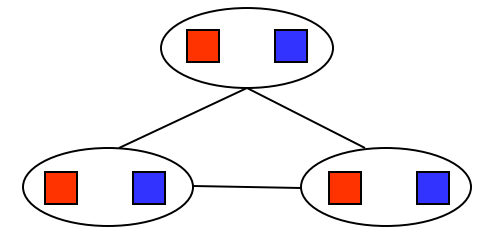
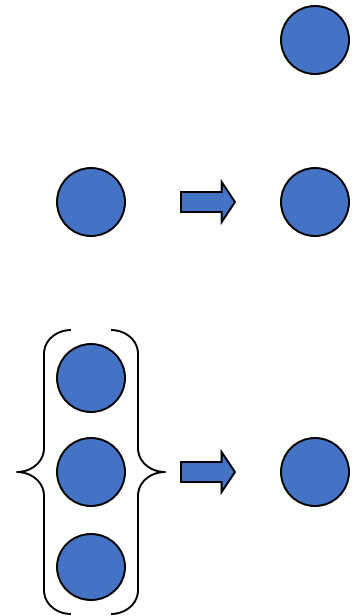
# K-Consistency

## ❖ Increasing degrees of consistency

- 1-Consistency (Node Consistency): Each single node's domain has a value which meets that node's unary constraints
- 2-Consistency (Arc Consistency): For each pair of nodes, any consistent assignment to one can be extended to the other
- K-Consistency: For each k nodes, any consistent assignment to k-1 can be extended to the k<sup>th</sup> node.

## ❖ Higher k more expensive to compute

## ❖ (You need to know the k=2 case: arc consistency)



# Strong K-Consistency

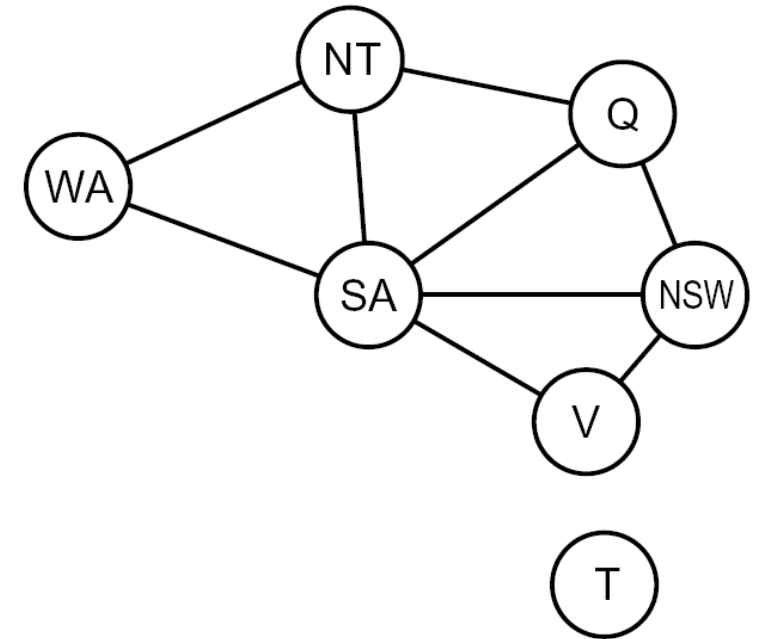
- ❖ Strong k-consistency: also k-1, k-2, ... 1 consistent
- ❖ Claim: strong n-consistency means we can solve without backtracking!
- ❖ Why?
  - Choose any assignment to any variable
  - Choose a new variable
  - By 2-consistency, there is a choice consistent with the first
  - Choose a new variable
  - By 3-consistency, there is a choice consistent with the first 2
  - ...
- ❖ Lots of middle ground between arc consistency and n-consistency! (e.g. k=3, called path consistency)

# Structure

Attack the one which is the most connected node with constraints

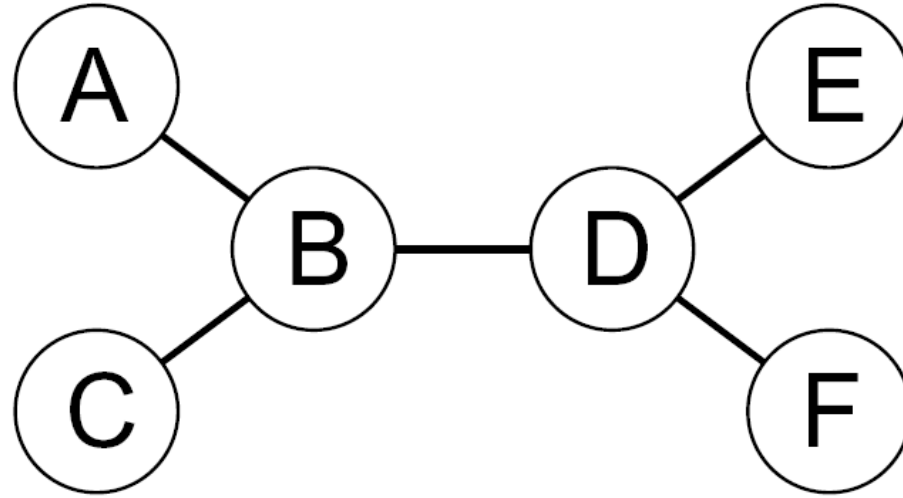
# Problem Structure

- ❖ Extreme case: independent subproblems
  - Example: Tasmania and mainland do not interact
- ❖ Independent subproblems are identifiable as connected components of constraint graph
- ❖ Suppose a graph of  $n$  variables can be broken into subproblems of only  $c$  variables:
  - Worst-case solution cost is  $O((n/c)(d^c))$ , linear in  $n$
  - E.g.,  $n = 80$ ,  $d = 2$ ,  $c = 20$
  - $2^{80} = 4$  billion years at 10 million nodes/sec
  - $(4)(2^{20}) = 0.4$  seconds at 10 million nodes/sec





# Tree-Structured CSPs

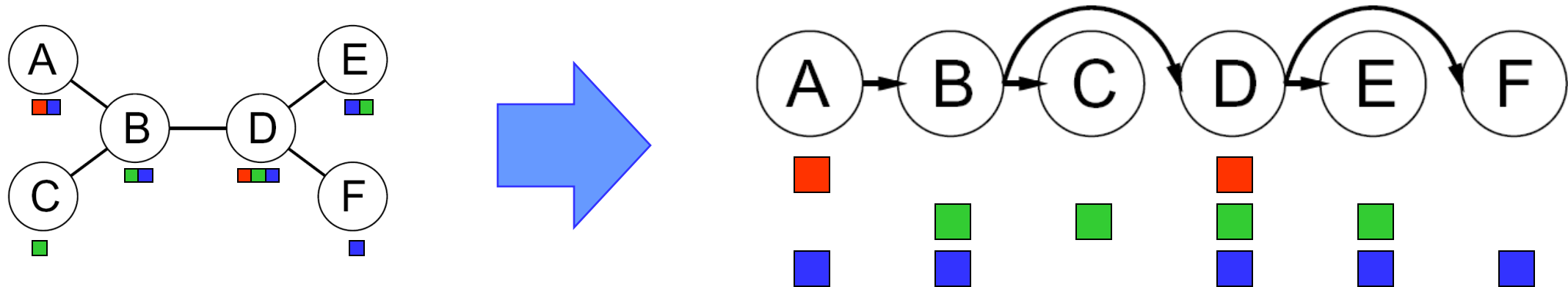


- ❖ Theorem: if the constraint graph has no loops, the CSP can be solved in  $O(n d^2)$  time
  - Compare to general CSPs, where worst-case time is  $O(d^n)$
- ❖ This property also applies to probabilistic reasoning (later): an example of the relation between syntactic restrictions and the complexity of reasoning

# Tree-Structured CSPs

## ❖ Algorithm for tree-structured CSPs:

- Order: Choose a root variable, order variables so that parents precede children

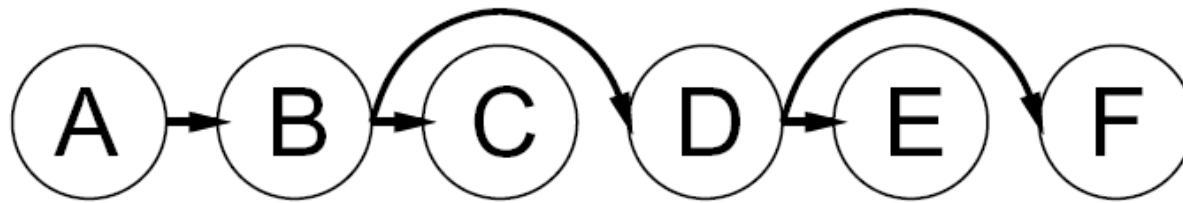


- Remove backward: For  $i = n : 2$ , apply  $\text{RemoveInconsistent}(\text{Parent}(X_i), X_i)$
- Assign forward: For  $i = 1 : n$ , assign  $X_i$  consistently with  $\text{Parent}(X_i)$

## ❖ Runtime: $O(n d^2)$ (why?)

# Tree-Structured CSPs

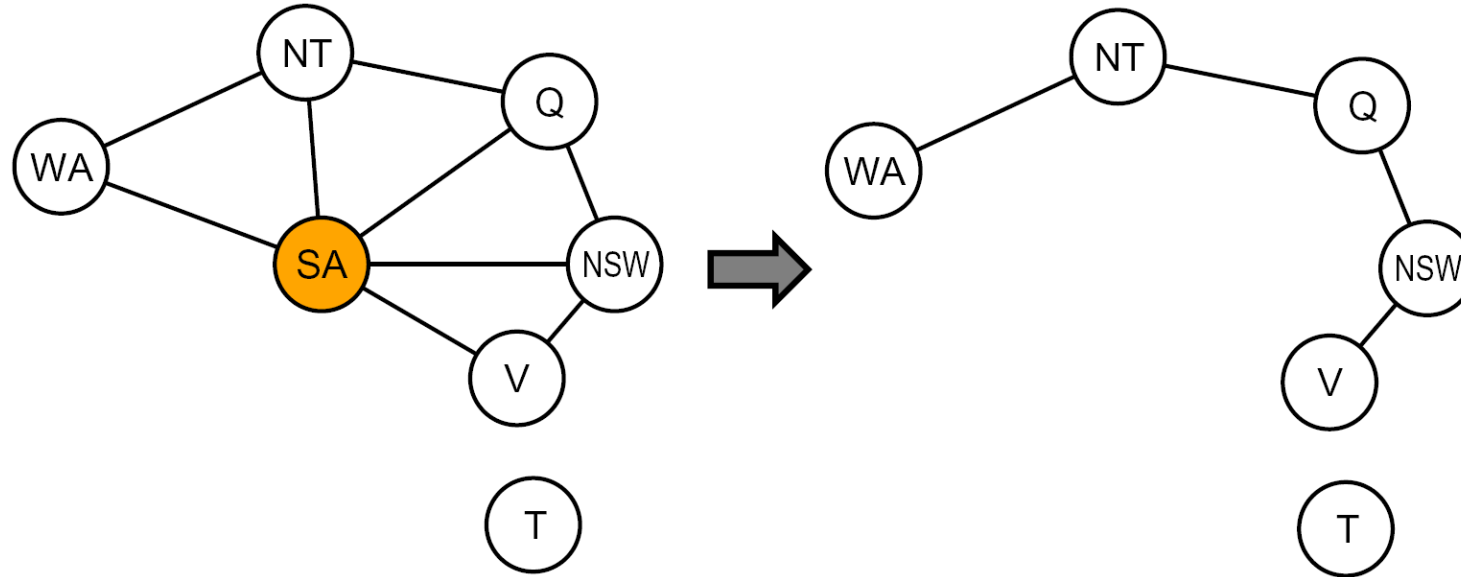
- ❖ Claim 1: After backward pass, all root-to-leaf arcs are consistent
- ❖ Proof: Each  $X \rightarrow Y$  was made consistent at one point and  $Y$ 's domain could not have been reduced thereafter (because  $Y$ 's children were processed before  $Y$ )



- ❖ Claim 2: If root-to-leaf arcs are consistent, forward assignment will not backtrack
- ❖ Proof: Induction on position
- ❖ Why doesn't this algorithm work with cycles in the constraint graph?
- ❖ Note: we'll see this basic idea again with Bayes' nets

# Improving Structure

# Nearly Tree-Structured CSPs



- ❖ Conditioning: instantiate a variable, prune its neighbors' domains
- ❖ Cutset conditioning: instantiate (in all ways) a set of variables such that the remaining constraint graph is a tree
- ❖ Cutset size  $c$  gives runtime  $O( (d^c) (n-c) d^2 )$ , very fast for small  $c$

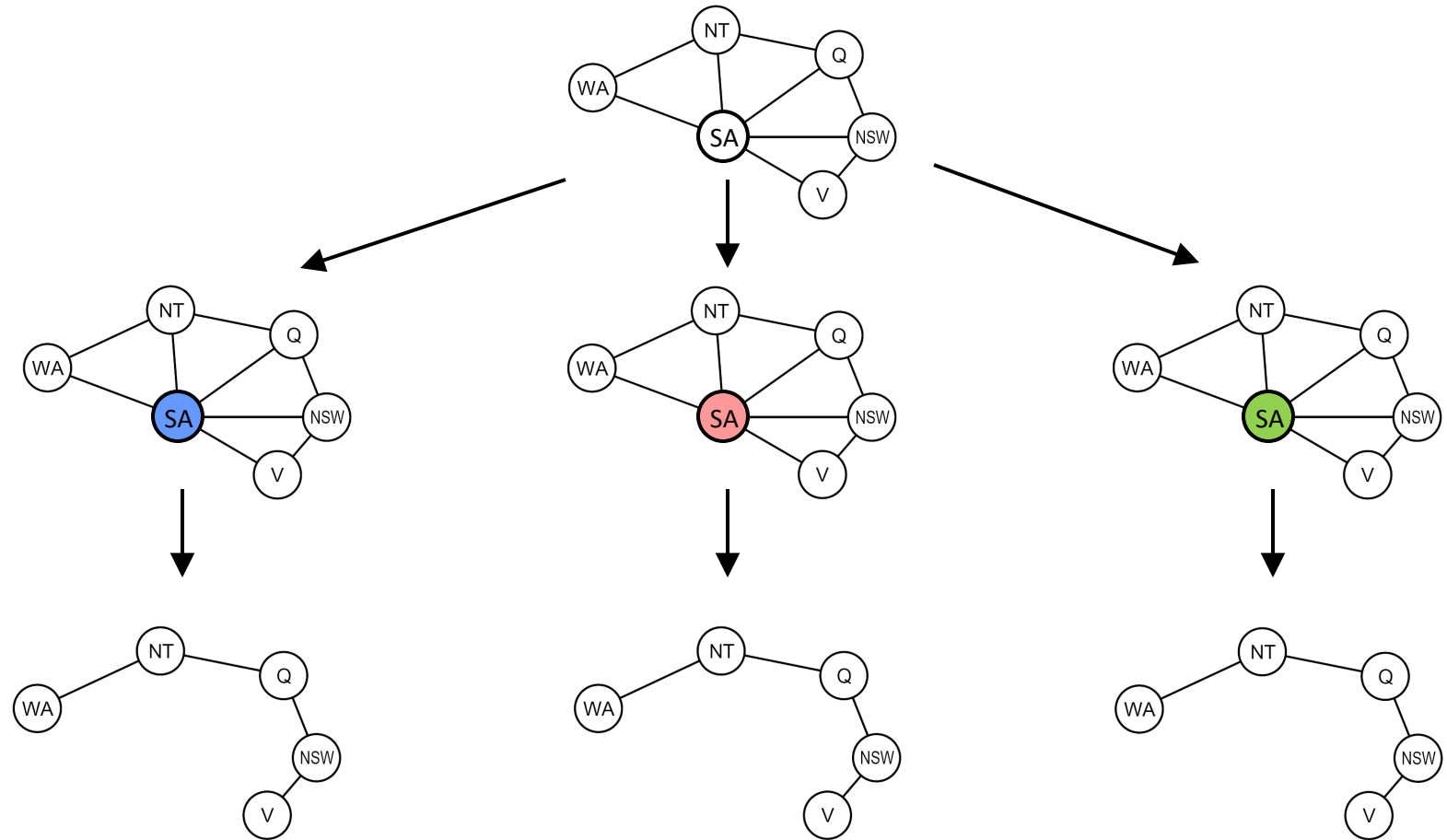
# Cutset Conditioning

Choose a cutset

Instantiate the cutset  
(all possible ways)

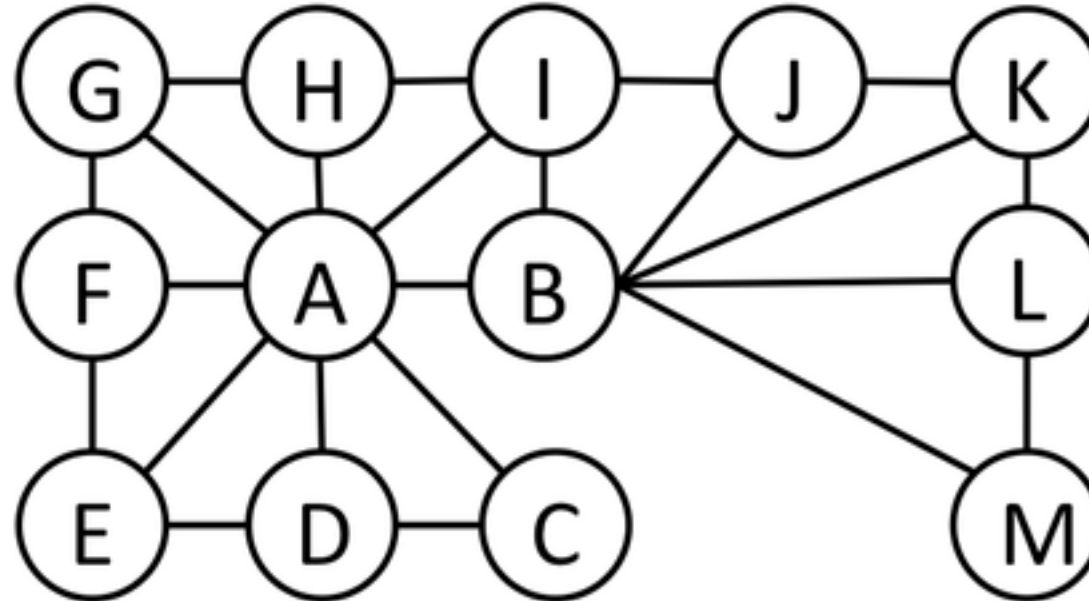
Compute residual CSP  
for each assignment

Solve the residual CSPs  
(tree structured)



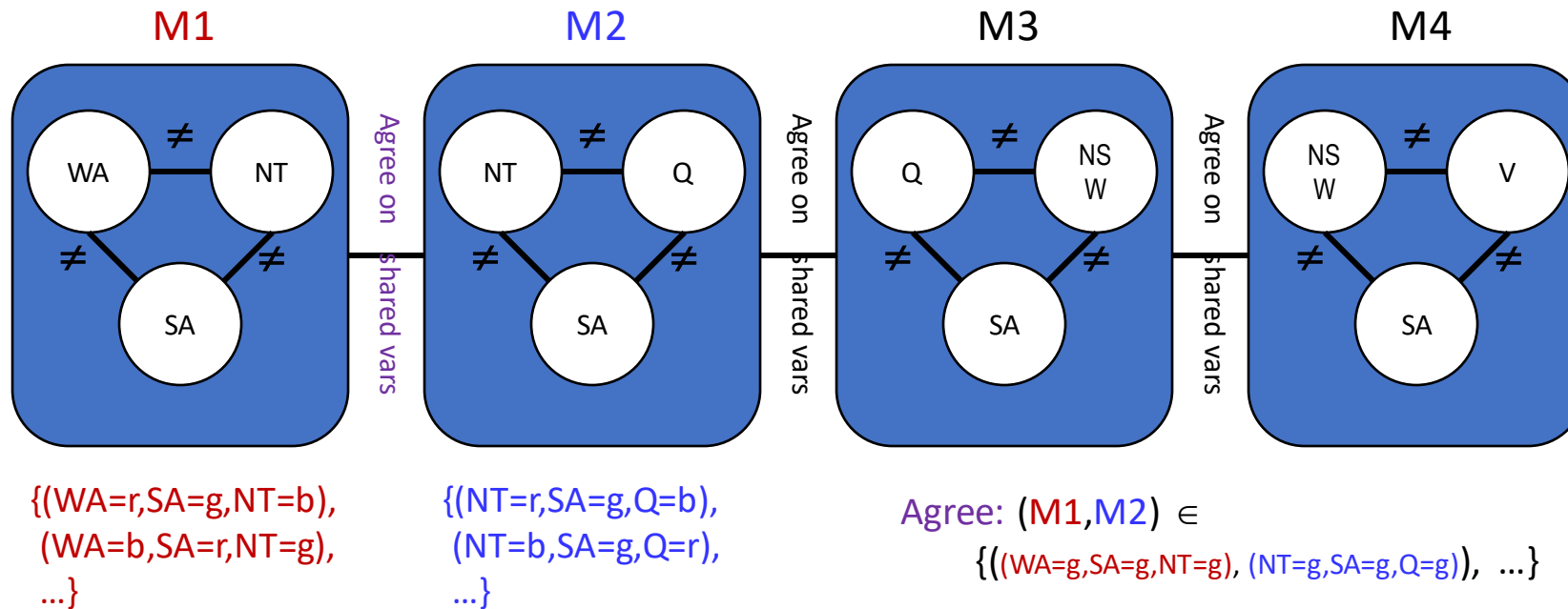
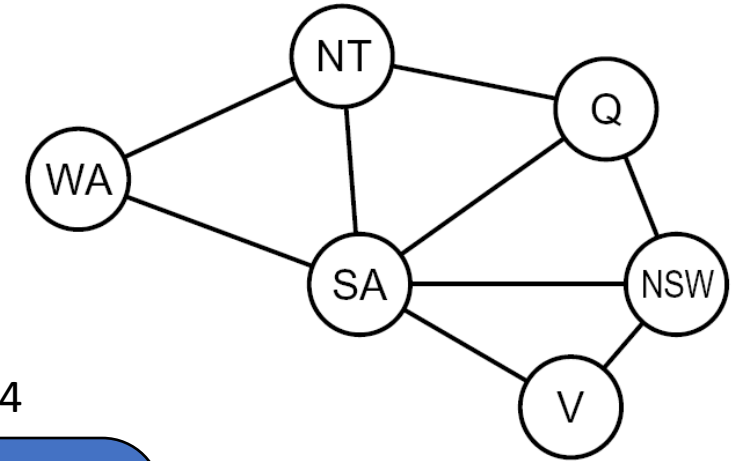
# Cutset Quiz

❖ Find the smallest cutset for the graph below.



# Tree Decomposition\*

- Idea: create a tree-structured graph of mega-variables
- Each mega-variable encodes part of the original CSP
- Subproblems overlap to ensure consistent solutions





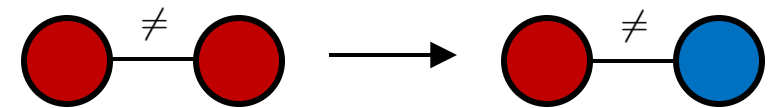
# Iterative Improvement

# Iterative Algorithms for CSPs

❖ Local search methods typically work with “complete” states, i.e., all variables assigned

❖ To apply to CSPs:

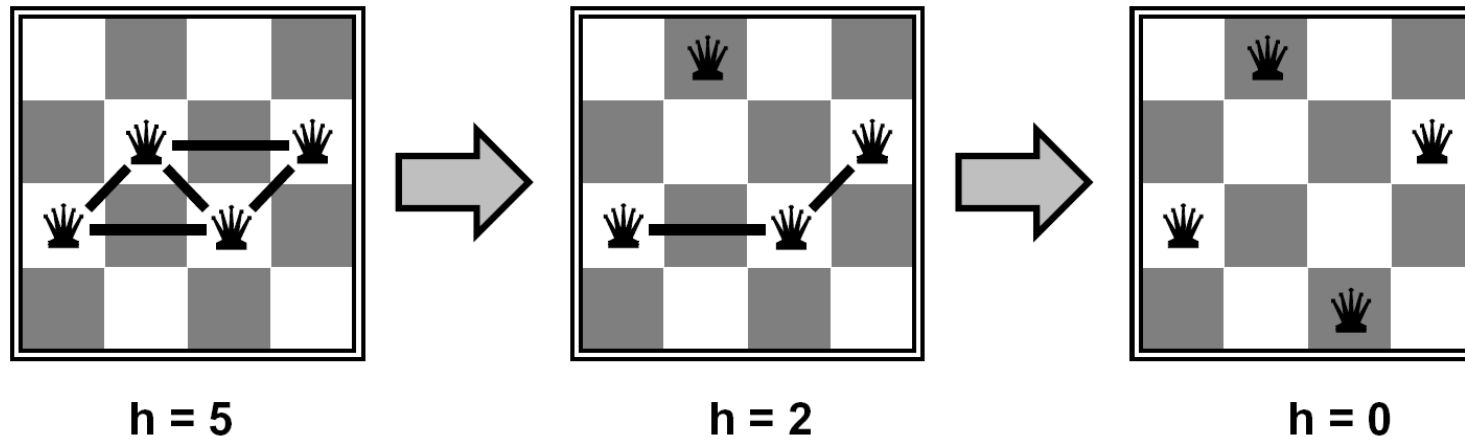
- Take an assignment with unsatisfied constraints
- Operators *reassign* variable values
- No fringe! Live on the edge.



❖ Algorithm: While not solved,

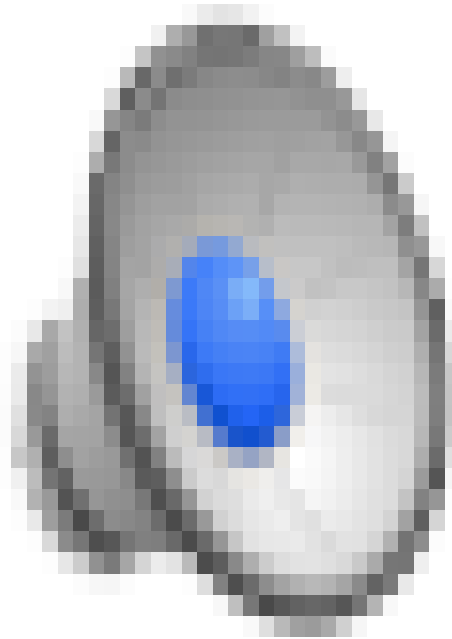
- Variable selection: randomly select any conflicted variable
- Value selection: min-conflicts heuristic:
  - Choose a value that violates the fewest constraints
  - I.e., hill climb with  $h(n)$  = total number of violated constraints

# Example: 4-Queens



- ❖ States: 4 queens in 4 columns ( $4^4 = 256$  states)
- ❖ Operators: move queen in column
- ❖ Goal test: no attacks
- ❖ Evaluation:  $c(n)$  = number of attacks

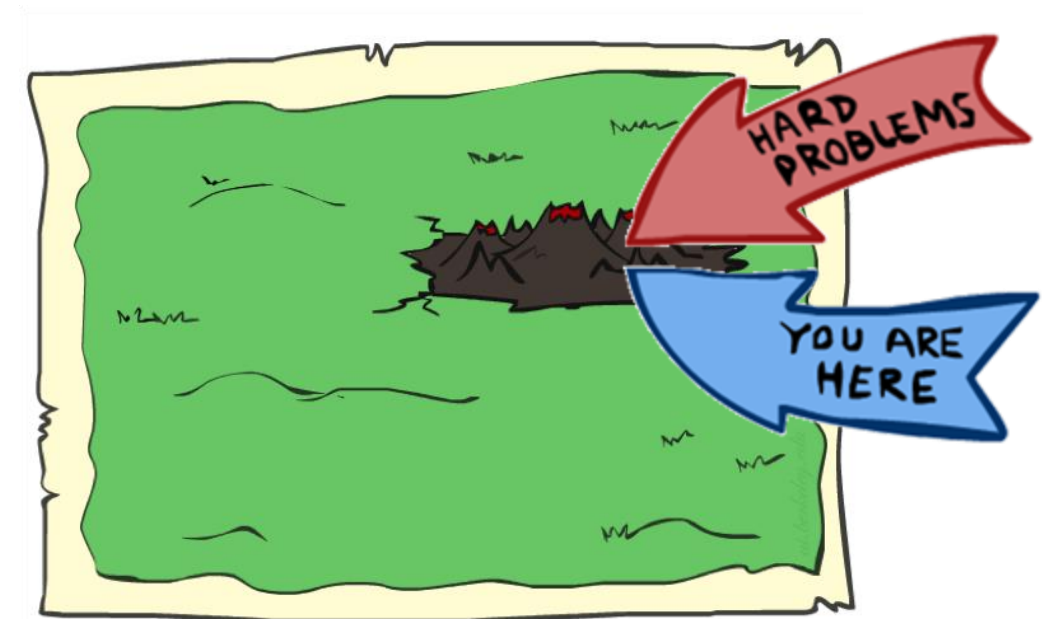
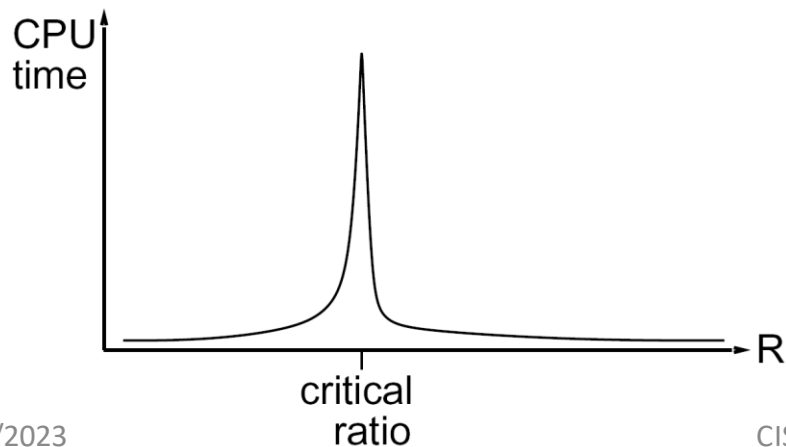
# Video of Demo Iterative Improvement – n Queens



# Performance of Min-Conflicts

- ❖ Given random initial state, can solve n-queens in almost constant time for arbitrary  $n$  with high probability (e.g.,  $n = 10,000,000$ )!
- ❖ The same appears to be true for any randomly-generated CSP *except* in a narrow range of the ratio

$$R = \frac{\text{number of constraints}}{\text{number of variables}}$$



# Consent

Data for this course is taken from several books specifically AIMA by Russel Norvig, slides and already similar course taught in other universities specifically MIT, UC-Berkley, and Stanford and is the sole property of the respective owner. The copyright infringement is not intended, and this material is solely used for the academic purpose or as a teaching material. This work is protected by United States copyright laws and is provided solely for the use of instructors in teaching their courses and assessing student learning. Dissemination or sale of any part of this work (including on the World Wide Web) will destroy the integrity of the work and is not permitted. The work and materials from it should never be made available to students except by instructors using the accompanying text in their classes. All recipients of this work are expected to abide by these restrictions and to honor the intended pedagogical purposes and the needs of other instructors who rely on these materials.