## Eigenvalues and Eigenvectors

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**Problem.** Let  $\tau: \mathbb{F}_5^3 \to \mathbb{F}_5^3$  be the endomorphism defined by

$$\tau = \begin{pmatrix} 1 & 0 & 2 \\ 2 & 0 & 1 \\ 1 & 2 & 1 \end{pmatrix}.$$

Find all eigenvalues and eigenvectors of  $\tau$ . (Note:  $\mathbb{F}_5$  denotes  $\mathbb{Z}/5\mathbb{Z}$ , the integers modulo 5.)

## 1 Background

Lets begin with the definition of eigenvalues and eigenvectors.

**Definition 1.** Let V be a vector space over a field  $\mathbb{F}$  and let  $\tau$  be an endomorphism on V. A scalar  $\lambda \in \mathbb{F}$  is an *eigenvalue* of  $\tau$  if there exists a nonzero vector v such that

$$\tau v = \lambda v$$
.

If such a v exists, it is called an eigenvector of  $\tau$  associated with  $\lambda$ .

Furthermore, in finite-dimensional vector spaces, each endomorphism has a minimal polynomial.

**Definition 2.** Let V be a finite-dimensional vector space over a field  $\mathbb{F}$  and let  $\tau$  be an endomorphism on V. Then the *minimal polynomial* of  $\tau$  is the generator of the ideal

$$I_{\tau} = \{ p \in \mathbb{F}[x] | p(\tau) = 0 \}.$$

Moreover, the eigenvalues of an endomorphism are the zeros of this minimal polynomial.

**Theorem 1.** Let  $\tau$  be an endomorphism with minimal polynomial p. Then the set of zeros of p and the set of eigenvalues of  $\tau$  are equal.

## 2 Solution

**Problem.** Let  $\tau: \mathbb{F}^3_5 \to \mathbb{F}^3_5$  be the endomorphism defined by

$$\tau = \begin{pmatrix} 1 & 0 & 2 \\ 2 & 0 & 1 \\ 1 & 2 & 1 \end{pmatrix}.$$

Find all eigenvalues and eigenvectors of  $\tau$ . (Note:  $\mathbb{F}_5$  denotes  $\mathbb{Z}/5\mathbb{Z}$ , the integers modulo 5.) We begin by finding the minimal polynomial of  $\tau$ . To do so, we apply  $\tau$  multiple times to the vector  $e_1 = (1,0,0)$ .

$$\tau^0 e_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \qquad \tau^1 e_1 = \begin{pmatrix} 1 & 0 & 2 \\ 2 & 0 & 1 \\ 1 & 2 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix} \qquad \tau^2 e_1 = \begin{pmatrix} 1 & 0 & 2 \\ 2 & 0 & 1 \\ 1 & 2 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix} = \begin{pmatrix} 3 \\ 3 \\ 1 \end{pmatrix}$$

We now need to check that  $B = \{e_1, \tau e_1, \tau^2 e_1\}$  is linearly independent. Therefore, we must solve the equation

$$a(1,0,0) + b(1,2,1) + c(3,3,1) = 0.$$

Indeed, this equation implies that a = b = c = 0. Ergo B is linearly independent. Next, we compute one more power of  $\tau$ .

$$\tau^3 e_1 = \begin{pmatrix} 1 & 0 & 2 \\ 2 & 0 & 1 \\ 1 & 2 & 1 \end{pmatrix} \begin{pmatrix} 3 \\ 3 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 2 \\ 0 \end{pmatrix}$$

Consider the equation

$$a(1,0,0) + b(1,2,1) + c(3,3,1) = (0,2,0).$$

This implies that a = 1, b = 3, and c = 2. Thus,

$$\tau^3 e_1 = e_1 + 3\tau e_1 + 2\tau^2 e_1$$

which yields a minimal polynomial of

$$\mu_{\tau,e_1} = X^3 + 3X^2 + 2X + 4.$$

Recall that the set of eigenvalues of a linear transform is the set of zeros of its minimal polynomial. We notice that  $\mu_{\tau,e_1}(1) = 0$ , thus  $X - 1 | \mu_{\tau,e_1}$ . Therefore,

$$\mu_{\tau,e_1} = (X-1)(X^2+4X+1).$$

When we apply the quadratic formula, we get that

$$X = 3 + 3\sqrt{2} \cdot 3 + 2\sqrt{2}$$

are also zeros of  $\mu_{\tau,e_1}$ . Therefore the eigenvalues of  $\mu_{\tau,e_1}$  are  $1, 3 + 3\sqrt{2}, 3 + 2\sqrt{2}$ . To find the eigenvectors that correspond to 1, we solve the following equation.

$$\begin{pmatrix} 1 & 0 & 2 \\ 2 & 0 & 1 \\ 1 & 2 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \\ c \end{pmatrix} = 1 \cdot \begin{pmatrix} a \\ b \\ c \end{pmatrix}$$

This corresponds to the following system of equations.

$$a + 0b + 2c = a$$
$$2a + 0b + c = b$$

$$a + 2b + c = c$$

This system implies that c=0 and b=2a, thus any vector in  $\mathbb{F}_5^3$  of the form (a,2a,0) is an eigenvector of 1. Even though  $\sqrt{2} \notin \mathbb{F}_5$ , we can embed  $\mathbb{F}_5$  inside  $\mathbb{F}_5[\sqrt{2}]$ . By performing a similar process we get that any vector of the form  $(a,3\sqrt{2}a,(1+4\sqrt{2})a)$  is an eigenvector of  $3+3\sqrt{2}$  and  $(a,2\sqrt{2}a,(1+\sqrt{2})a)$  is an eigenvector of  $3+2\sqrt{2}$  where  $a \in \mathbb{F}_5[\sqrt{2}]$ .