

First

$\text{First}(\omega) = \{ c \mid c\omega \in L \text{ where } c \text{ is a terminal and } \omega \text{ is a string of 0 or more terminals} \}$

In words, $\text{First}(\omega)$ is the set of all first terminal symbols of all the strings derivable from ω . So, if we have the grammar

$S \rightarrow aSa \mid bSb \mid x$

then $\text{First}(aSa) = \{a\}$, $\text{First}(bSb) = \{b\}$, $\text{First}(x) = \{x\}$, and $\text{First}(S) = \{a,b,x\}$ because all strings derivable from aSa begin with 'a', all strings derivable from bSb begin with 'b', all strings derivable from x begin with 'x', and all strings derivable from S begin with 'a', 'b', or 'x'.

Deducing First:

$\text{First}(\lambda)$ is $\{ \}$ because no string other than the empty string can be derived from the empty string, and the empty string has no first character.

$\text{First}(a)$ is $\{a\}$ because $\{a\}$ is the set of strings derivable from a , and every string in that set begins with a .

$\text{First}(aX)$ is $\{a\}$ because every string derivable from aX begins with a , no matter what X is.

$\text{First}(XY)$ when X is *not* nullable is the same as $\text{First}(X)$ because every string in $L(XY)$ begins with a string from $L(X)$. (Note: X is "nullable" if the empty string can be derived from it. A nullable non-terminal can essentially be deleted during a derivation by letting it go to λ .)

$\text{First}(XY)$ when X is nullable is $\text{First}(X) \cup \text{First}(Y)$ because every string in $L(XY)$ begins with a string from $L(X)$ and is followed by a string from $L(Y)$. Since X is nullable there are derivations that begin $XY \rightarrow Y \rightarrow \dots$, which means that the resulting string begins with a terminal from $\text{First}(Y)$.

This last one is very important. The logic applies to any prefix of non-terminals that are nullable. If X and Y are both nullable, then $\text{First}(XYZ)$ is equal to $\text{First}(X) \cup \text{First}(Y) \cup \text{First}(Z)$ because X or XY could produce the empty string making a string from $L(Y)$ or $L(Z)$ lead the resulting derived string.

Patterns

Based on these observations, each production in a grammar can produce one or more pieces of information. Look for the following patterns and write down the information you can deduce. (In these patterns ω should be thought of as an arbitrary, possibly empty, string.)

$A \rightarrow \lambda$ produces no information about First sets because λ is length 0.

$A \rightarrow x\omega$ tells you $x \in \text{First}(A)$ because a possible derivation beginning with A is $A \rightarrow x\omega \rightarrow \dots$ and any derivation beginning that way begins with x .

$A \rightarrow B\omega$ tells you $\text{First}(B) \subseteq \text{First}(A)$ because a possible derivation beginning with A is $A \rightarrow B\omega \rightarrow \dots$ and any derivation beginning that way begins with a symbol from $\text{First}(B)$.

$A \rightarrow B\omega$ also tells you $\text{First}(\omega) \subseteq \text{First}(A)$ if B is nullable because a possible derivation beginning with A is $A \rightarrow B\omega \rightarrow \dots \rightarrow \omega \rightarrow \dots$ and any derivation beginning that way begins with a symbol from $\text{First}(\omega)$.

You can often tell by just looking at a grammar what are all the First sets, but a methodical way to deduce them all is by fulfilling a system of set constraints. To do this, examine each production in a grammar and write down what it tells you as set statements as described above. For example...

| Production | Indicates that |
|-------------------------|---|
| $T \rightarrow R$ | $\text{First}(R) \subseteq \text{First}(T)$ |
| $T \rightarrow aTc$ | $a \in \text{First}(T)$ |
| $R \rightarrow bR$ | $b \in \text{First}(R)$ |
| $R \rightarrow \lambda$ | nothing about any First set since λ is length 0 |

Once you have these constraints, you next want to find the smallest sets that satisfy them. Here's an algorithm that does so.

1. Make a list of all non-terminals and initialize their First sets with the terminals required by the \in constraints.
2. For each \subseteq constraint, make it true by copying any missing elements from the set on the left-hand-side to the set on the right-hand-side.
3. If Step 2 changed anything, do Step 2 again.

Once Step 2 does not change anything, you have reached a "fixed point" in the algorithm and you should stop (because further iterations won't change anything either). Your final list of sets tells you the First set of each non-terminal. These can then be used to help determine the First set of each production's right-hand-side.

For example, after initialization $\text{First}(T) = \{a\}$ and $\text{First}(R) = \{b\}$. After Step 2 $\text{First}(T) = \{a,b\}$ and $\text{First}(R) = \{b\}$. After a second iteration of Step 2 $\text{First}(T) = \{a,b\}$ and $\text{First}(R) = \{b\}$ are still true, which means further iterations won't change anything and we're done.