



Chapter 01

Permutations and combinations

Let us start with some terms and notation

- A set is a collection of objects
- If 'A' is a set and 'x' is an element in 'A' we write

$$\begin{array}{c} x \in A \\ \uparrow \\ \text{"is an element of"} \end{array}$$

- If 'x' is not in 'A', write

$$x \notin A$$

- If 'A' and 'B' are sets,

Union of 'A' and 'B'

$$A \cup B = \{x : x \in A \text{ or } x \in B\}$$



Intersection of 'A' and 'B'

$$A \cap B = \{x : x \in A \text{ and } x \in B\}$$



- The empty set, denoted



\emptyset is a set with no elements

- If $A \cap B = \emptyset$, then A and B are disjoint
- If A_1, A_2, A_3, \dots is a collection of sets, then this collection is pairwise disjoint if every pair of distinct sets is disjoint

$$\begin{array}{c} A_1, A_2, A_i, \dots, A_j \\ \downarrow \quad \swarrow \\ A_i \cap A_j = \emptyset \end{array}$$

Cartesian Product of Sets

A_1, A_2, \dots, A_k
is the set

$$A_1 \times A_2 \times A_3 \times \dots \times A_k = \{(a_1, a_2, \dots, a_k) : a_1 \in A_1, a_2 \in A_2, \dots, a_k \in A_k\}$$

* the book's notation for cartesian product *

(choose
math major, choose
cs major)

$$A_1 \times A_2 \times \dots \times A_k = \prod_{i=1}^k A_i$$

We write $|A|$ for the number of elements in 'A' and this is called the "Cardinality" of 'A'.

Notation when taking unions/intersections of several sets, we often use sigmas type notation

For instance,

$$\rightarrow A_1 \cap A_2 \cap A_3 = \bigcap_{i=1}^3 A_i$$

$$\rightarrow B_0 \cup B_1 \cup \dots = \bigcup_{i=0}^{\infty} B_i$$

Common Sets

Natural numbers

Integers

$$\mathbb{N} = \{1, 2, 3, 4, \dots\}$$

$$\mathbb{Z} = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$$

Whole numbers

Rational

$$\mathbb{W} = \{0, 1, 2, 3, 4, \dots\}$$

$$\mathbb{Q} = \left\{ \frac{a}{b} : a, b \in \mathbb{Z}, b \neq 0 \right\}$$

Two Basic Counting Principles

1. If $A_1, A_2, A_3, \dots, A_k$ are pairwise disjoint sets, then

$$|A_1 \cup A_2 \cup \dots \cup A_k| = \sum_{i=1}^k |A_i|$$

2. If $A_1, A_2, A_3, \dots, A_k$ (building an agenda) are finite sets, then

$$|A_1 \times A_2 \times \dots \times A_k| = |A_1| |A_2| \dots |A_k|$$

equivalently

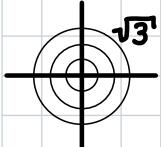
$$\left| \prod_{i=1}^k A_i \right| = \prod_{i=1}^k |A_i|$$

cartesian

multiply

Example 01: How many integers x, y satisfy the inequality $x^2 + y^2 \leq 3$?

Here we are counting (x, y) in a circle radius $\sqrt{3}$



Count according to the value of $x^2 + y^2$

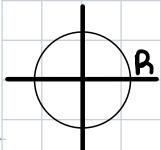
Case 01: $x^2 + y^2 = 0$
 \rightarrow only $(x, y) = (0, 0)$

Case 03: $x^2 + y^2 = 2$
 $\rightarrow (x, y) = (-1, 1)$
 $\rightarrow (x, y) = (1, -1)$ or $(\pm 1, \pm 1)$
 $\rightarrow (x, y) = (1, 1)$
 $\rightarrow (x, y) = (-1, -1)$

Case 02: $x^2 + y^2 = 1$
 $\rightarrow (x, y) = (1, 0)$
 $\rightarrow (x, y) = (0, 1)$
 $\rightarrow (x, y) = (-1, 0)$
 $\rightarrow (x, y) = (0, -1)$

Case 04: $x^2 + y^2 = 3$
 \rightarrow None!!!

Adding up all possibilities (case 1-4) gives a total of
 $\rightarrow 1 + 4 + 4 = 9$



$\pi R^2 + \text{error term}$

Warm-up

A composition of a positive integer 'n' is an ordered list of positive integers that sum to 'n'

n	Composition of 'n'	Number of composition of 'n'
1	1	1
2	2, 1+1	2
3	3, 1+2, 2+1, 1+1+1	4
4	4, 2+2, 1+3, 1+1+1, 3+1, 1+1+2, 1+2+1, 2+1+1	8

$$n = 2^3 3^2$$

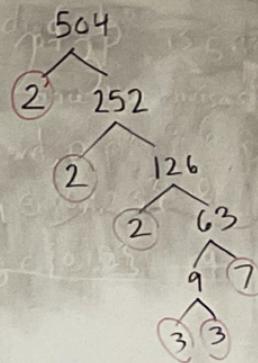
↑ divisors of 2^3 are $2^0, 2^1, 2^2, 2^3$

↑ divisors of 3^2 are $3^0, 3^1, 3^2$

is

$$(k_1+1)(k_2+1)\cdots(k_r+1)$$

Ex Find the # of positive divisors of 504



$$504 = 2^3 \cdot 3^2 \cdot 7$$

of pos. divisors
is

$$4 \cdot 3 \cdot 2 = 24$$

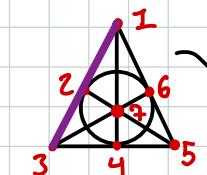
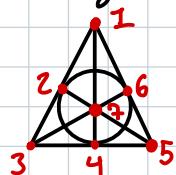
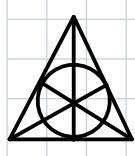
SUBJECT: 1.1 Continued

Missed Previous
Class

DATE: 2023 / 01 / 30

PAGE#:

Before starting section 1.3, let us explore



$$C_1 = \{1, 2, 3\}$$

$$C_5 = \{3, 6, 7\}$$

$$C_2 = \{1, 4, 7\}$$

$$C_6 = \{2, 5, 7\}$$

$$C_3 = \{1, 5, 6\}$$

$$C_7 = \{2, 4, 6\}$$

$$C_4 = \{3, 4, 5\}$$

$$\begin{aligned} C_1 &\rightarrow \underline{1} \ \underline{1} \ \underline{1} \ \underline{0} \ \underline{0} \ \underline{0} \ \underline{0} \\ C_2 &\rightarrow \underline{1} \ \underline{0} \ \underline{0} \ \underline{1} \ \underline{0} \ \underline{0} \ \underline{1} \\ C_3 &\rightarrow \underline{1} \ \underline{\quad} \ \underline{\quad} \ \underline{\quad} \ \underline{\ast} \ \underline{\ast} \ \underline{\quad} \\ C_4 &\rightarrow \underline{\quad} \ \underline{1} \ \underline{1} \ \underline{1} \ \underline{\quad} \ \underline{\quad} \\ C_5 &\rightarrow \underline{\quad} \ \underline{1} \ \underline{\quad} \ \underline{\quad} \ \underline{1} \ \underline{1} \\ C_6 &\rightarrow \underline{1} \ \underline{\quad} \ \underline{\quad} \ \underline{1} \ \underline{\quad} \ \underline{1} \\ C_7 &\rightarrow \underline{1} \ \underline{\quad} \ \underline{1} \ \underline{\quad} \ \underline{1} \end{aligned}$$

What if $\ast 0 \ast 0 1 1 \ast ? \rightarrow$ Has to be C_3 !

What if $0 0 1 0 0 0 0 ? \rightarrow$ Don't know what to do ???

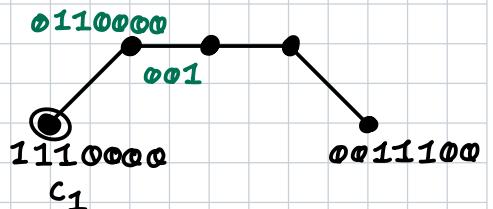
How to build projected planes - Thano plane

13

12	11	10
9	8	7
6	5	4
3	2	1

$$\begin{aligned} C_1 &= \{13, 12, 11, 10\} & C_5 &= \{12, 9, 6, 3\} & C_8 &= \{11, 9, 5, 1\} & C_{11} &= \{10, 7, 5, 3\} \\ \rightarrow C_2 &= \{13, 9, 8, 2\} & C_6 &= & C_9 &= & C_{12} &= \\ C_3 &= \{13, 6, 5, 4\} & C_7 &= & C_{10} &= & C_{13} &= \\ C_4 &= \{13, 3, 2, 1\} & & & & & & \text{Hamming distance} \\ & & & & & & & \text{to } 0110000 \end{aligned}$$

Hamming Distance



I sent you 0110000. What is the most likely code word that was sent?

C_1 since it is the closest in Hamming distance to 0110000

SUBJECT: Section 1.3: Circular Permutations

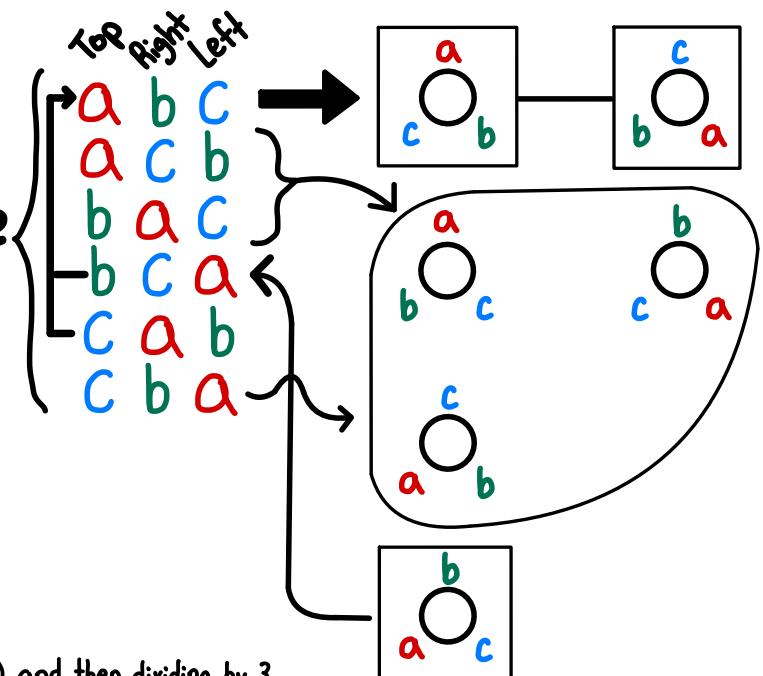
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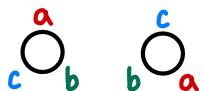
Problem: How many distinct ways can 'a', 'b', and 'c' be arranged around a circular table where two arrangements are the same if they are rotations of each other?

All 3 factorials ways of putting 'a', 'b', 'c' in a line

$$\frac{n!}{n} = (n-1)!$$



Solution: Two distinct ways



One can think of getting this count by first lining up (3! ways) and then dividing by 3.

In general, if Q_r^n is the number of arrangements of 'r' elements placed around a circle chosen from a set of 'n' elements, then

$$Q_r^n = \frac{P_r^n}{r} = \frac{\frac{n!}{(n-r)!}}{r} = \frac{n!}{r \cdot (n-r)!}$$

* Note that if $r=n$, then

$$Q_r^n = \frac{n!}{n(n-n)!} = \frac{n!}{n \cdot 0!} = \frac{n!}{n \cdot 1} = \frac{n!}{n} = (n-1)!$$

$$Q_n^n = \frac{P_n^n}{n} = (n-1)!$$

Example 01

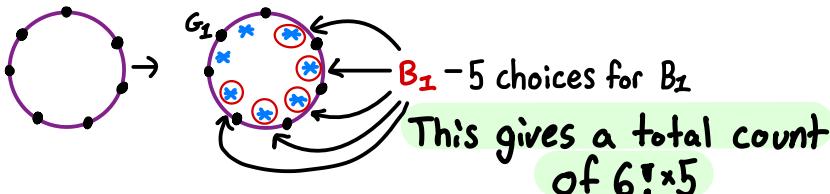
Problem: In how many ways can 5 boys and 3 girls be seated around a table if

(i) There are no restrictions

$$\frac{8!}{8} = 7!$$

(ii) Boy B_1 and girl G_1 cannot be next to each other

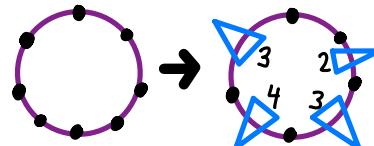
Let us place all except B_1 there $\frac{7!}{2} = 6!$ ways to place the seven people around the table (we are not placing B_1 yet)



(iii) No two girls sit next to each other

First put boys at the table

$$\frac{5!}{5} = 4! \text{ Possibilities}$$



$5 \cdot 4 \cdot 3$ ways to place girls

\Rightarrow Total is $4! \cdot 5 \cdot 4 \cdot 3$

SUBJECT: Section 1.4: Combinations DATE: 2023/02/08

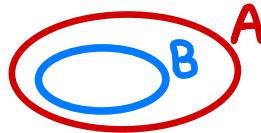
Announcements
 • Friday Quiz 2
 • HW 3 Due Monday (02/13)
 • HW 4 Due Wednesday (02/15)
 • Exam 1 Friday (02/17)

01/06

Let 'A' be a set.

We say that 'B' is a subset of 'A', denoted $B \subseteq A$, if every element of 'B' is also in 'A' and is denoted $P(A)$.

The set of all possible subsets of 'A' is called the power set of A



Recap Prep For Exam 1

Section 1.1, 1.2, 1.3, 1.4

$$P_r^n Q_r^n C_r^n$$

Side Note : $P(A)$
Higher math may use alternate notation 2^A

Example 01

Sample : $P(\{a, b\}) = \{\emptyset, \underline{\{a\}}, \underline{\{b\}}, \underline{\{a, b\}}\}$

If $A = \{a, b, c\}$, find $P(A)$

$$P(A) = \{\emptyset, \underline{\{a\}}, \underline{\{b\}}, \underline{\{c\}}, \underline{\{a, b\}}, \underline{\{a, c\}}, \underline{\{b, c\}}, \underline{\{a, b, c\}}\}$$

~~~~~ can be grouped in sizes ~~~~

zero element subset    one element subset    two element subset    three element subset

\* The key is to make it all be 'x' \*

So when we had

$$(a+1)(b+1)(c+1) = abc + ab + ac + bc + a + b + c + 1$$

$$\text{Let } a=b=c=x$$

$$5 \text{ in a 5-element set} \rightarrow x^3 + xx + xx + xx + x + x + x + 1$$

$$(x+1)^5$$

$$= x^3 + 3x^2 + 3x + 1$$

Making the connection to pascal

|   |   |   |   |   |
|---|---|---|---|---|
| 1 | 1 |   |   |   |
| 1 | 2 | 1 |   |   |
| 1 | 3 | 3 | 1 |   |
| 1 | 4 | 6 | 4 | 1 |

one way to view choosing subsets of  $A = \{1, 2, 3\}$  is by expanding the polynomial  $(a+1)(b+1)(c+1)$

$$(a+1)(b+1)(c+1) = abc + ab + ac + bc + a + b + c + 1$$

$$\downarrow \quad \downarrow \quad \downarrow \quad \downarrow \quad \downarrow \quad \downarrow \quad \downarrow \quad \downarrow$$

$$\{a, b, c\} \quad \{a, b\} \quad \{a, c\} \quad \{b, c\} \quad \{a\} \quad \{b\} \quad \{c\} \quad \emptyset$$

Pascal's Triangle Relation to Binomial Coefficients

Lets make some formulas...

A combination of a set 'A' is a subset of 'A'

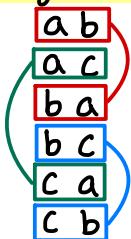
For  $0 \leq r \leq n$ , an  $r$ -combination of 'A' is a subset with 'r' elements

$$P_r^n = \frac{n!}{(n-r)!}$$

If  $A = \{a, b, c\}$ , then the 2-permutations are

$$P_2^3 = \frac{3!}{(3-2)!}$$

\*If we ignore order\*



$$C_2^3 = \frac{1}{2!} P_2^3$$

In general...

$$C_r^n = \frac{P_r^n}{r!}$$

we can rewrite as

These choose numbers  $C_r^n$  are called

"binomial coefficients" and  $C_r^n$ ,

"C-N-R", is typically written as  $\binom{n}{r}$

"n-choose-r"

|   |   |   |   |   |
|---|---|---|---|---|
| 1 | 1 |   |   |   |
| 1 | 2 | 1 |   |   |
| 1 | 3 | 3 | 1 |   |
| 1 | 4 | 6 | 4 | 1 |

Note

$$C_r^n = C_{n-r}^n$$

Since,

$$C_r^n = \frac{n!}{r!(n-r)!} = \frac{n!}{(n-r)!r!} = \frac{n!}{(n-r)!(n-(n-r))!} = C_{n-r}^n$$

this means

$$\binom{n}{r} = \binom{n}{n-r}$$

example

$$\binom{4}{0} + \binom{4}{1} + \binom{4}{2} + \binom{4}{3} + \binom{4}{4} = 2^4$$

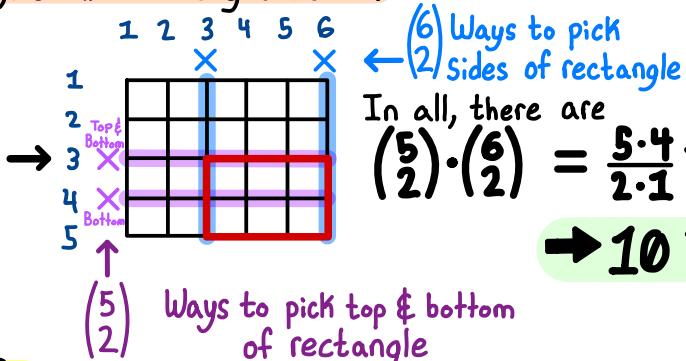
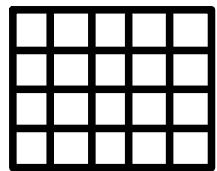
Identity

A set with 4 elements and count by size

$$\{a, b, c, d\}$$

**Example 02**

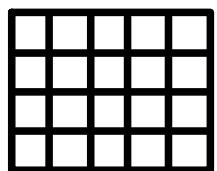
How many rectangles are in the grid below?



These choose numbers  $C_r^n$  are called "binomial coefficients" and  $C_r^n$ , " $C-N-R$ ", is typically written as  $\binom{n}{r}$  "n-choose-r"

**Example 03**

How many non-overlapping of same sized, squares are in the grid?



Let's do some case analysis...

Case 1: Square is  $1 \times 1$ 

There are  
 $4 \times 5 = 20$   
of those

Case 2: Square is  $2 \times 2$ 

$$3 \times 4 = 12$$

Case 3: Square is  $3 \times 3$ 

$$2 \times 3 = 6$$

Case 4: Square is  $4 \times 4$ 

$$1 \times 2 = 2$$

In all, there are  $20 + 12 + 6 + 2 = 40$  squares

**Example 04**Let 'A' be a set with  $2n$  elements

- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8

A <sup>\*is unordered</sup> pairing\* of 'A' is a partition of 'A' into subsets of size 2.

How many pairings does 'A' have?

$$\left(\begin{matrix} 8 \\ 2 \end{matrix}\right) \left(\begin{matrix} 6 \\ 2 \end{matrix}\right) \left(\begin{matrix} 4 \\ 2 \end{matrix}\right) \left(\begin{matrix} ? \\ 2 \end{matrix}\right)$$



$$\left(\begin{matrix} 2n \\ 2 \end{matrix}\right) \left(\begin{matrix} 2n-2 \\ 2 \end{matrix}\right) \left(\begin{matrix} 2n-4 \\ 2 \end{matrix}\right) \dots \left(\begin{matrix} 2 \\ 2 \end{matrix}\right)$$

↑ Choose second pair  
Choose first pair

Must divide by  $n!$  because our initial counting orders the pairings, but pairings are not ordered so...

Total # of pairings is

$$\left(\begin{matrix} 2n \\ 2 \end{matrix}\right) \left(\begin{matrix} 2n-2 \\ 2 \end{matrix}\right) \left(\begin{matrix} 2n-4 \\ 2 \end{matrix}\right) \dots \left(\begin{matrix} 2 \\ 2 \end{matrix}\right)$$

$$n!$$

$$\{1,4\}, \{2,7\}, \{3,5\}, \{6,8\}$$

Last time we counted the number of pairings on pairings on a set with  $2n$  elements

$$\{3,5\}, \{1,2\}, \{4,6\}, \{7,8\}$$

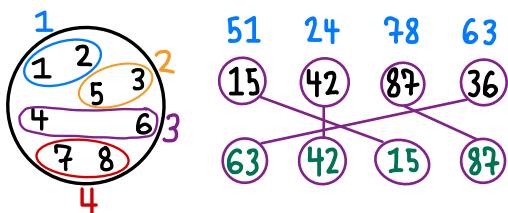
$$n=4$$



$$\star \{1,2\}, \{3,5\}, \{4,6\}, \{7,8\}$$

what if presented in a line?

$$\boxed{\{1,2\}, \{3,4\}, \{5,6\}, \{7,8\}}$$



$$\frac{(2n)!}{n!} \frac{(2n-2)!}{2!} \frac{(2n-4)!}{2!} \dots \frac{(2)!}{2!}$$

$$\binom{8}{2} \binom{6}{2} \binom{4}{2} \binom{2}{2} \frac{1}{4!}$$

$$\boxed{\{1,2\}, \{3,4\}, \{5,6\}, \{7,8\}} \quad (a_1, a_2, a_3)$$

Let us count pairings in a different way...

There is  $(2n)!$  ways to order all elements in the set

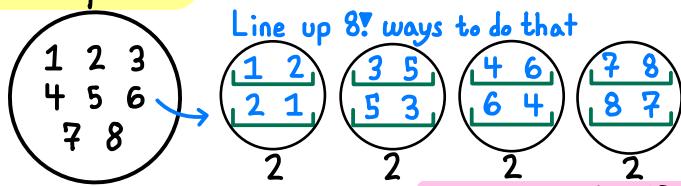
ordered list of

$a_1, a_2, a_3, \dots, a_{2n} \leftarrow$  all elements of 'A'

$$\{a_1, a_2\}, \{a_3, a_4\}, \dots, \{a_{2n-1}, a_{2n}\}$$

$a_2 \leftrightarrow a_1$ ,  $a_4 \leftrightarrow a_3$ ,  $\dots$ ,  $a_{2n} \leftrightarrow a_{2n-1}$

### Example 05



Line up  $8!$  ways to do that

$$\frac{(2n)!}{2^n \cdot n!}$$

Simplifies to

$$\frac{(2n)!}{2^{n-1} \cdot (2n-2)! \cdot (2n-4)! \dots (2)!}{n!}$$

takes care of ordering the pairs  
\*  $\begin{pmatrix} 1 & 2 \\ 2 & 1 \end{pmatrix} \quad \begin{pmatrix} 3 & 5 \\ 5 & 3 \end{pmatrix} \quad \begin{pmatrix} 4 & 6 \\ 6 & 4 \end{pmatrix} \quad \begin{pmatrix} 7 & 8 \\ 8 & 7 \end{pmatrix}$  VS  $\begin{pmatrix} 3 & 5 \\ 5 & 3 \end{pmatrix} \quad \begin{pmatrix} 1 & 2 \\ 2 & 1 \end{pmatrix} \quad \begin{pmatrix} 4 & 6 \\ 6 & 4 \end{pmatrix} \quad \begin{pmatrix} 7 & 8 \\ 8 & 7 \end{pmatrix}$

takes care of  $\{x, y\}$  vs  $\{y, x\}$

### Example 06

$$\{1,5\}, \{4,2\}, \{8,7\}, \{3,6\}$$

unfinished note section

### Example 07

There are 10 students: 6 female, 4 male

(i) How many groups can be found with 3 female & 2 male

$$\binom{6}{3} \binom{4}{2} = 120$$

Number of ways to choose girls

Number of ways to choose boys

(ii) How many groups of 5 students can be made with at least 1 male? \* Needs case analysis

| case 01                     | case 02                     | case 03                     | case 04                     |
|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| -1 male-                    | -2 males-                   | -3 males-                   | -4 males-                   |
| $\binom{6}{4} \binom{4}{1}$ | $\binom{6}{3} \binom{4}{2}$ | $\binom{6}{2} \binom{4}{3}$ | $\binom{6}{1} \binom{4}{4}$ |
| 60                          | 120                         | 60                          | 6                           |

Total count is

$$60 + 120 + 60 + 6 = 246$$

(iii) Repeat Example 01 - except now the group must be ranked from 1 to 5 ordered

$$\binom{6}{3} \binom{4}{2} 5! \quad \text{Ways to order the five people}$$

Number of ways to choose girls

Number of ways to choose boys

$$\text{In total } \binom{6}{3} \binom{4}{2} 5! = 14,400$$

### In Summary

- More than 1 way to count
- 

unfinished note section

SUBJECT: Continued - Section 1.4: Combinations DATE: 2023/02/15 PAGE#: /06

Exam 1 - Friday (02/17)

Homework 1-4 main resource of study 04/06

### Exercise

In how many ways can a group of 5 people be formed from 11 people where 4 are instructors and 7 are students?

(i) No restrictions/conditions

$$\binom{11}{5} = 462$$

(ii) What if we want exactly two instructors?

Pick 2 instructors and choose the remaining 3

choose 2 instructors

$$\binom{4}{2} \binom{7}{3} = 210$$

choose 3 students

(iii) What if we want at least three instructors?

Case

3 instructors

2 students

$$\binom{4}{3} \binom{7}{2} + \binom{4}{2} \binom{7}{1} = 91$$

84

Case

4 instructors

1 student

$$\binom{4}{4} \binom{7}{1} = 7$$

\*\* (iv) Conflict of interest where  $I_1 \& S_1$  can't be together

$I_1 \& S_1$  cannot both be chosen, we consider cases  
\*(3 in all)\*

Case 01 :  $I_1$  is chosen NOT  $S_1$

$$\begin{array}{l} I_1 \text{ chosen} \\ I_1 \text{ cannot} \end{array} \rightarrow \binom{9}{4} = 126$$

Case 02 :  $I_1$  is NOT chosen, but  $S_1$  is chosen

$$\begin{array}{l} S_1 \text{ chosen} \\ I_1 \text{ cannot} \end{array} \rightarrow \binom{9}{4} = 126$$

Case 03 : Neither  $I_1$  or  $S_1$  are chosen

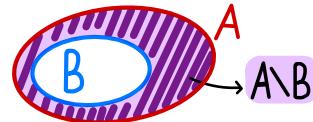
$$\binom{9}{5} = 126$$

$$\text{In total Case 01} + \text{Case 02} + \text{Case 03} = 378$$

### Principle of Complementation

If  $A$  is a finite set and  $B \subseteq A$ , then  $|A \setminus B| = |A| - |B|$

$$A \setminus B = \{x : x \in A, x \notin B\}$$



Attributes

- \* Counting the opposite
- \* The 1-minus rule

$$P(A) = 1 - P(A')$$

### Recall (iv)

In how many ways can a group of 5 people be formed from 11 people where 4 are instructors and 7 are students where  $I_1 \& S_1$  can't be together



We can use this tool, the Principle of Complementation, to count the number of 5 person groups from 4 instructors, 7 students where  $I_1$  and  $S_1$  are not both in the group together (case 03)

$$\begin{array}{l} 11 \leftarrow 7 \text{ students, 4 instructors} \\ 11 - 2 \leftarrow (\text{1 student} \& \text{1 instructor}) \end{array}$$

$$\text{Yields the same results} \rightarrow \binom{11}{5} - \binom{9}{3} = 378$$

Total number of possibilities

Number of groups that contain both  $I_1$  and  $S_1$

### Note

Counting the same object in two ways can lead to combination identities

In this case...

$$\binom{11}{5} - \binom{9}{3} = \binom{9}{4} + \binom{9}{4} + \binom{9}{4}$$

$$\frac{1}{r} \cdot \frac{2}{r-1} \cdot \frac{3}{r-2} \cdots \frac{n}{r-n+1} P_r^n = n P_{r-1}^{n-1}$$

Principle of Complementation

$$P_r^n = n P_{r-1}^{n-1}$$

**Example:** Placement type problems  
If there must be at least one person at each table,  
how many ways can 6 people be placed around  
two indistinguishable tables?  
\*Can't tell the two tables apart\*



Divide counting into cases depending on the number per table

### case 01



6 choices per person at own table

$$Q_5^5 = 4!$$

$$\underline{6 \cdot 4!}$$

### case 02



$$\binom{6}{2} \times Q_4^4 = \binom{6}{2} \cdot 3!$$

Recall: if  $r=n$  then  

$$Q_r^n = \frac{n!}{n(n-n)!} = (n-1)!$$

### case 03



$$(6)Q_3^3 \cdot Q_3^3$$

$$\underline{2!}$$

\* Indistinguishable tables  
 $a, b, c, d, e, f$

|     |
|-----|
| a   |
| c   |
| d   |
| a   |
| c d |



\*accounts for the over count



The total count is

$$\text{case 01: } Q_1^1 \cdot Q_5^5 + \text{case 02: } \binom{6}{2} Q_2^2 \cdot Q_4^4 + \text{case 03: } \frac{1}{2} \binom{6}{3} Q_3^3 \cdot Q_3^3$$

\*Lower case 's' as notation\*

### ~Sterling Numbers of the first Kind~

Def: Sterling Numbers of the first Kind

Given integers  $0 \leq n \leq r$  let,

$s(r, n)$  be the number of ways to place 'r' distinct objects around 'n' indistinguishable tables where no tables are empty

From our example..

#### case 01

$$S(6, 2) = \binom{6}{1} Q_1^1 \cdot Q_5^5 + \binom{6}{2} Q_2^2 \cdot Q_4^4 + \frac{1}{2} \binom{6}{3} Q_3^3 \cdot Q_3^3$$

#### case 02

#### case 03

Properties of  $s(r, n)$ :

r-people r-table

$$\bullet s(r, 1) = Q_r^r = (r-1)!$$

$$\bullet s(r, r) = 1 \quad \text{O O ... O}$$

$$\bullet s(r, r-1) = \binom{r}{2}$$

Pick a pair to sit together

| from the textbook          |                |
|----------------------------|----------------|
| $s(r, 0) = 0$              | if $r \geq 1$  |
| $s(r, r) = 1$              | if $r \geq 0$  |
| $s(r, 1) = (r-1)!$         | for $r \geq 2$ |
| $s(r, r-1) = \binom{r}{2}$ | for $r \geq 2$ |

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| 1 | 3 | 4 | 5 | 6 | 1 | 2 | 4 | 5 | 6 |
| 2 |   |   |   |   | 3 |   |   |   |   |
| 1 | ○ | ○ | ○ | ○ | 1 | ○ | ○ | ○ | ○ |
| 4 |   |   |   |   | 5 |   |   |   |   |
| 6 |   |   |   |   | 6 |   |   |   |   |

$$S(r, r-1) = \binom{r}{2}$$

$$1, 2, 3, 4, 5, 6$$

$$T = 6$$

$$\binom{6}{2} = 15$$

$$\bullet s(r, r-2) = \frac{(3n-1)}{4} \binom{n}{3}$$

Note: How so?

$$S(r, r-2) = 2 \cdot \binom{3}{3} + \frac{1}{2} (2, 2, n-4)$$

$$= \frac{(3n-1)}{4} \binom{n}{3}$$

Claim

If  $r \geq n$ , then

$$S(r, n) = S(r-1, n-1) + (r-1)S(r-1, n)$$

WHY? Let  $1, 2, 3, \dots, r$  be the people

\*Focus on person 1

\*case 01:  $S(r-1, n-1)$

Person 1 is at own table

|   |              |
|---|--------------|
| 1 | r-1 people   |
|   | n-1 table(s) |

$S(r-1, n-1)$  ways to complete

\*case 02:  $(r-1)S(r-1, n)$

Person 1 is at not own table

|            |                 |
|------------|-----------------|
| r-1 people | Choices for who |
| 1 table    | sits to left of |

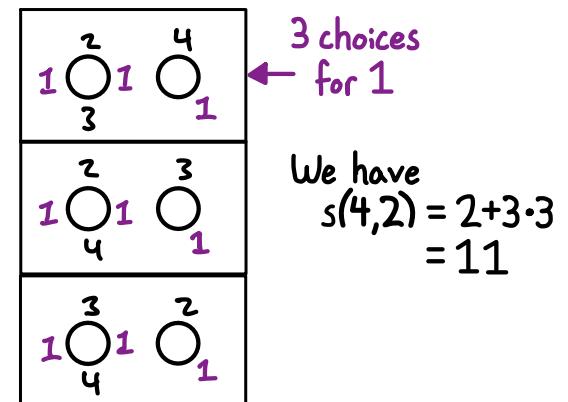
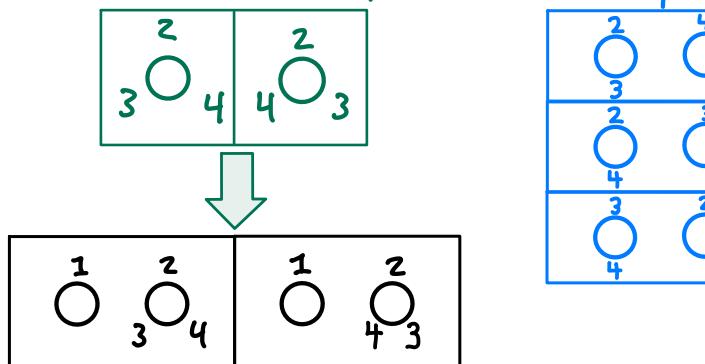
$S(r-1, n)$  ways to complete

From last time, we talked about

$$s(r,n) = s(r-1, n-1) + (r-1)s(r-1, n)$$

Why is this true? Let us draw a specific instance of this recursion.

Say  $s(4,2) = S(3,1) + 3 \cdot S(3,2)$



What about  $s(5,3)$  - 5 people and 3 tables

$$s(5,3) = s(4,2) + 4 \cdot s(4,3)$$

$\binom{4}{3} = 11$        $\binom{4}{2} = 6$

1      2  
4      3  
1,2,3,4  
 $\Rightarrow s(5,3) = 11 + 4 \cdot 6 = 35$

### Properties of $s(r,n)$

$r$ -people  $r$ -table  
 $\bullet s(r,1) = Q_r^r = (r-1)!$

$\bullet s(r,r) = 1$

$\bullet s(r,r-1) = \binom{r}{2}$

$\bullet s(r,r-2) = \frac{(3n-1)}{4} \binom{n}{3}$

$$\begin{aligned} s(r,n) &= s(r-1, n-1) + (r-1)s(r-1, n) \\ s(5,3) &= s(5-1, 3-1) + (5-1)s(5-1, 3) \\ &= s(4,2) + (4)s(4,3) \\ \rightarrow s(4,2) &= s(3,1) + (3)s(3,2) \quad \rightarrow s(4,3) = s(3,2) + (3)s(3,3) \\ &\downarrow \qquad \downarrow \qquad \downarrow \\ s(r,1) &= Q_r^r = (r-1)! \quad s(r,r-1) = \binom{r}{2} \\ &\downarrow \qquad \downarrow \qquad \downarrow \\ (2)! + (3) \cdot \binom{3}{2} & \qquad \qquad \qquad (\binom{3}{2}) + (3) \cdot 1 \end{aligned}$$

$$\begin{aligned} \rightarrow s(4,2) &= (2)! + (3) \cdot \binom{3}{2} \quad \rightarrow s(4,3) = \binom{3}{2} + (3) \cdot 1 \\ \rightarrow s(4,2) &= 11 \quad \rightarrow s(4,3) = 6 \end{aligned}$$

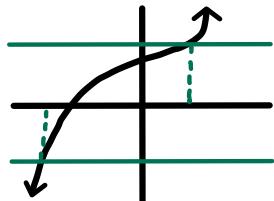
$$s(5,3) = 11 + (4)6$$

$$\therefore s(5,3) = 35$$

Let 'A' and 'B' be sets 'A' function  $f: A \rightarrow B$  is

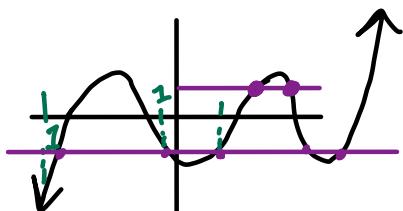
- Injective (1-to-1) if

$f(a_1) = f(a_2)$  implies  $a_1 = a_2$



- Surjective (onto) if

for  $b \in B$ , exists an  $a \in A$  with  $f(a) = b$

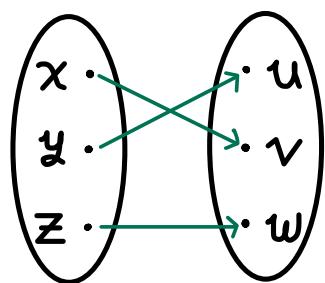


- Bijection if  $f$  is both Injective (1-to-1) and Surjective (onto)

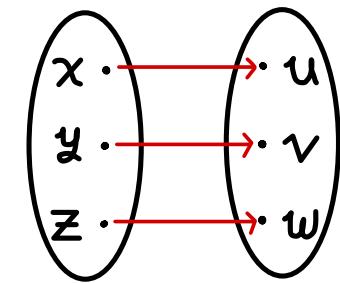
### Example: Surjective & injective

Bijection if  $f$  is both Injective (1-to-1) and Surjective (onto)

Let  $A = \{x, y, z\}$ ,  $B = \{u, v, w\}$

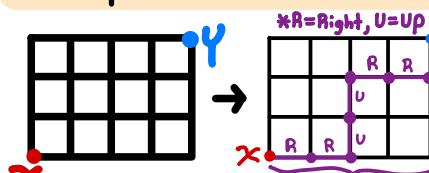


Surjective and injective



Surjective and injective

### Example: Find the number shortest routes from X to Y



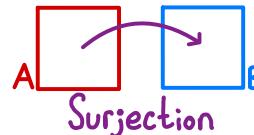
Selecting  $\binom{7}{4}$  OR Selecting  $\binom{7}{3}$  for up

\*R=Right, U=Up  
 $\binom{7}{3}$  Selecting for up  
R R U U R R U

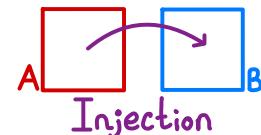
Choose three places for when to take an U (up)-step

Suppose you have 2 finite sets where it has surjection

$$|A| \geq |B|$$

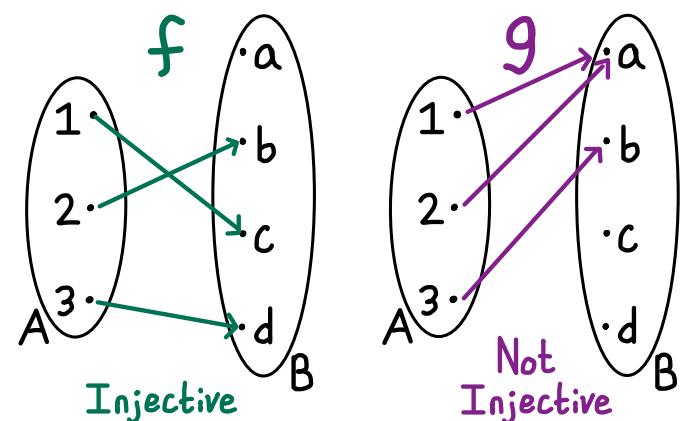
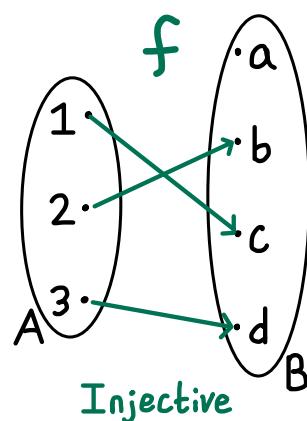


$$|A| \leq |B|$$



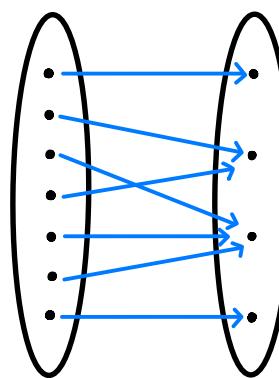
### Example: Injective

Let  $A = \{1, 2, 3\}$ ,  $B = \{a, b, c, d\}$



Not Injective

### Example: Surjective not injective



Surjective (onto) if for  $b \in B$ , exists an  $a \in A$  with  $f(a) = b$

Surjective not injective

### Example: Find the number of r-combinations (subset with r-elements) with no consecutive integers

Let  $x = \{1, 2, 3, \dots, n\}$ ,

if  $x = \{1, 2, 3, 4, 5, 6\}$  and  $r = 3$ , the subsets are:

$\{1, 3, 5\} \{2, 4, 6\} \{1, 3, 6\} \{1, 4, 6\}$

\*Use Binomial Coefficient \*

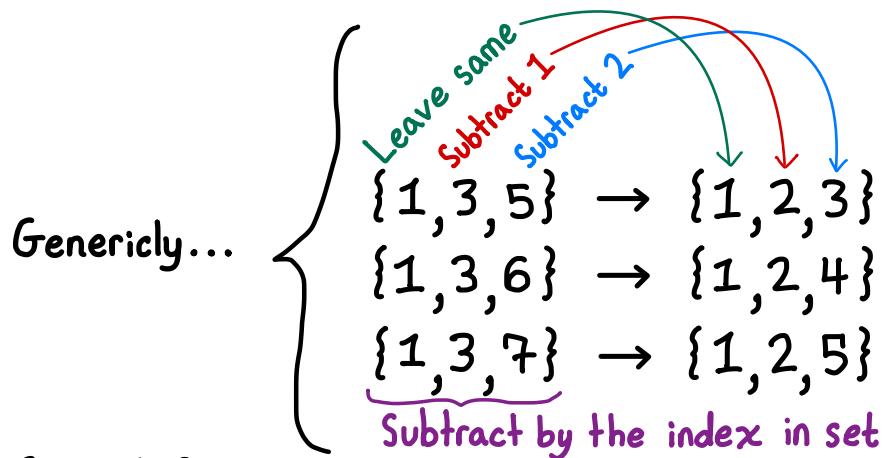
Recall from Friday, that we want to find the number of subsets of  $\{1, 2, 3, \dots, n\}$

with no consecutive integers

For instance, if  $X = \{1, 2, 3, 4, 5, 6, 7\}$ , then

$$\begin{aligned} \{1, 3, 5\} &\rightarrow \{\underline{1, 2, 3}\} \\ \{1, 3, 6\} &\rightarrow \{\underline{1, 2, 4}\} \\ \{1, 3, 7\} &\rightarrow \{\underline{1, 2, 5}\} \\ \{1, 4, 6\} &\rightarrow \{\underline{1, 3, 4}\} \\ \{1, 4, 7\} &\rightarrow \{\underline{1, 3, 5}\} \\ \{1, 5, 7\} &\rightarrow \{\underline{1, 4, 5}\} \\ \{2, 4, 6\} &\rightarrow \{\underline{2, 3, 4}\} \\ \{2, 4, 7\} &\rightarrow \{\underline{2, 3, 5}\} \\ \{2, 5, 7\} &\rightarrow \{\underline{2, 4, 5}\} \\ \{3, 5, 7\} &\rightarrow \{\underline{3, 4, 5}\} \end{aligned}$$

The subsets on the right are exactly all  $\binom{5}{3} = 10$  subsets  $\{1, 2, 3, 4, 5\}$  of size 3



### General Case

Let  $X = \{1, 2, 3, 4, \dots, n\}$  and let  $1 \leq r \leq n$

Given a subset  $\{S_0, S_1, \dots, S_r\}$

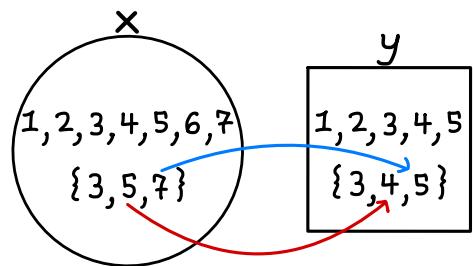
of  $X$  with no consecutive elements define,

$$f(\{S_0, S_1, \dots, S_r\}) = \{S_0, S_1 - 1, S_2 - 2, \dots, S_r - (r-1)\}$$

The biggest it can be is  $n-r+1$

This output is a subset of size ' $r$ ' since  $\{S_0, S_1, \dots, S_r\}$  has no consecutive elements

$$n=7 \quad \binom{n-r+1}{r} = \binom{5}{3}$$



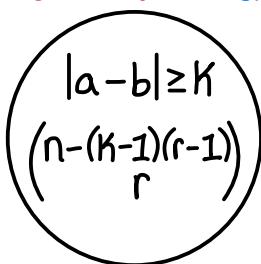
Note that since " $S_r$ " can be  $n$ , but not bigger,  $S_r - (r-1)$  can be  $n-r+1$ , but not bigger

Therefore,

$$|X| = |Y| = \binom{n-r+1}{r}$$

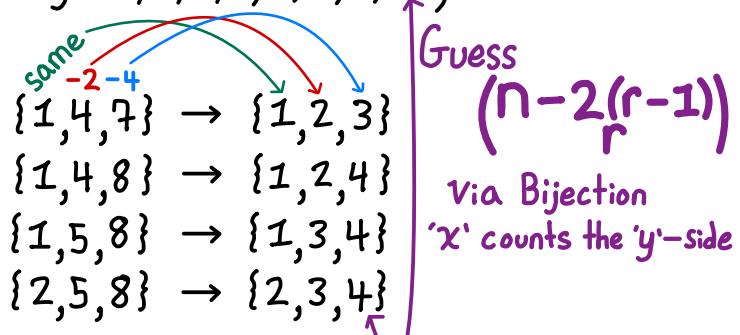
Tricky  
all subsets of  
 $\{1, 2, 3, \dots, n\}$  with  
no consecutive elements

Easy  
all subsets of  
 $\{1, 2, 3, \dots, n-r+1\}$   
of size  $r$



What if we require that  $|a-b| \geq 3$  for all  $a, b$  in our  $r$ -subset of  $X = \{1, 2, 3, \dots, n\}$ ?

Try  $\{1, 2, 3, 4, 5, 6, 7, 8\}$ ,  $r=3$



## Example

Arrangements & Selections  
with Repetitions are allowed

Let  $A = \{a, b, c\}$

The 2-permutations of 'A'  
are :  $ab, ac, ba, bc, ca, cb$

The 2-permutations allowed are  
 $aa, ab, ac, ba, bb, bc, ca, cb, cc$

There are  $3^2 = 9$  such 2-permutations

In general, if  $A = \{1, 2, 3, \dots, n\}$   
then the number r-permutations of  
'A' with repetition allowed is

$n^r$

\* no need for  $0 \leq r \leq n$   
due to being able to  
have repetition

Example Find the number of 5-permutations of  $a, a, a, b, c$

Approach 01: "Pretend" the  $a$ 's are distinguishable

Let us, for a moment, pretend the  $a$ 's are different

$$a_1, a_2, a_3, b, c \rightarrow \underbrace{a_1, a_2, a_3, b, c}_{3!}$$

There are  $5! = 120$  permutations of these 5 elements

Some are  
 $123 \leftarrow a_1, b, a_2, a_3, c$   
 $213 \leftarrow a_2, c, a_1, a_3, b$   
 $321 \leftarrow a_3, b, a_2, a_1, c$   
 $\vdots$

There are  $3!$  ways to permute the  $a_1, a_2, a_3$  among themselves  
 $\frac{5!}{3!}$  permutations of  $a, a, a, b, c$

Approach 02: Count the unique items

$a, a, a, b, c$

$\underline{a} \underline{a} \underline{\cancel{b}} \underline{\cancel{c}} \underline{a},$  so  $(\frac{5}{2})2$

In general...

Suppose we have  $t$ -types of objects

$r_1$  of type 1

$r_2$  of type 2

$\vdots$

$r_t$  of type 3

The number of permutations of all objects is

$$\frac{(r_1, r_2, r_3, \dots, r_t)!}{r_1! r_2! r_3! \dots r_t!}$$

Or  $(\frac{5}{2})2$  if we organize the  $b, c$  first and then the  $a$ 's

$\underline{\overset{\leftarrow}{b}} \underline{\overset{\rightarrow}{c}} \underline{a} \underline{a} \underline{a}$  the reason for the 2 in  $\rightarrow (\frac{5}{2})2$

A multiset is a collection of unordered objects where repetition is allowed.

$\{a, b, c\} \leftarrow$  set and multiset

$\{a, b, c, c\} \leftarrow$  multiset, but NOT a set.

Notation for multisets:

$$\{a, a, b, b, b, b, c, c, c, \dots\} = \{2 \cdot a, 4 \cdot b, \infty \cdot c\}$$

$$\{2 \cdot a, 4 \cdot b, \infty \cdot c\}$$

The number 2 is called the repetition number of 'a'  
4 is the repetition number of 'b'  
 $\infty$  is the repetition number of 'c'

Suppose we have a multiset

$$M = \{r_1 \cdot a_1, r_2 \cdot a_2, r_3 \cdot a_3, \dots, r_n \cdot a_n\}$$

permutating all elements of M  
would give a total of

$$\frac{(r_1 + r_2 + r_3 + \dots + r_n)!}{r_1! r_2! r_3! \dots r_n!} \text{ permutations}$$

If we permute not all of the elements M,  
the counting is more tricky

Example| Find the sequences of length 10 with two 0's, three 1's, five 2's

$$\left. \begin{array}{l} \text{Five elements total} \\ \text{Two 0's} \\ \text{Three 1's} \end{array} \right\} \frac{5!}{2! 3!} \quad \left. \begin{array}{l} \text{Two 0's} \\ \text{Three 1's} \end{array} \right\} \frac{5!}{2!(5-2)!}$$

The total count is...

$$\frac{10!}{2! 3! 5!}$$

Example| Find the number of ways to tile the rectangle



using blocks of size  $1 \times 1$ ,  $1 \times 2$ ,  $1 \times 3$

We need to find the number of ways to write 7 as an ordered sum of 1, 2, and 3 where the terms can repeat

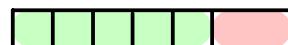
Case 01 all  $1 \times 1$  blocks

$$7 = 1 + 1 + 1 + 1 + 1 + 1 + 1$$



Case 02 Five 1's, One 2

$$\frac{6!}{5!} = 6$$



Case 03 Three 1's, Two 2's

$$\frac{5!}{3! 2!} = 10$$



Case 04 One 1, Three 2's

$$\frac{4!}{3!} = 4$$



Case 05 Four 1's, One 3

$$\frac{5!}{4!} = 5$$



Case 06 One 1, Two 3's

$$\frac{3!}{2!} = 3$$



Case 07 Two 2's, One 3

$$\frac{3!}{2!} = 3$$



Case 08 Two 1's, One 2, One 3

$$\frac{4!}{2!} = 12$$



Recall

The number of r-permutations of the multiset

$$M = \{\infty \cdot a_1, \infty \cdot a_2, \infty \cdot a_3, \dots, \infty \cdot a_n\}, \text{ is } n^r$$

$$\text{If } M = \{f_1 \cdot a_1 + f_2 \cdot a_2 + f_3 \cdot a_3 + \dots + f_n \cdot a_n\}$$

Then the number of permutations of M is

$$\frac{(f_1 + f_2 + f_3 + \dots + f_n)!}{f_1! f_2! f_3! \dots f_n!}$$

Example: "the sandwich" - taking subsets of multisets

There are three types of sandwiches  
turkey (T), ham (H), and butter lentil turmeric (BLT)  
How many ways can a person order 6 sandwiches?

Look at examples of orders:

$$\begin{matrix} n=3 \\ r=6 \end{matrix}$$

| T   | H   | BLT     |
|-----|-----|---------|
| **  | *   | ***     |
| *** | **  | *** *   |
| *** | *** | *** * * |

Concept: Stars & Bars  
If I can see it  
I can count it

$$\begin{aligned} 2T's, 1H, 3BLT's &\rightarrow 00101000 \\ 0T, 2H's, 4BLT's &\rightarrow 10010000 \\ 3T's, 0H, 3BLT's &\rightarrow 00011000 \end{aligned} \} \text{ So, } \binom{8}{2}$$

Each order corresponds to a 0-1 sequence with exactly  $6+3+1=8$  positions and has exactly 6 zeros

$$\text{So, } \binom{6+3-1}{6} = \binom{8}{6} \text{ or } \binom{6+3-1}{3-1} = \binom{8}{2}$$

In general, if

$$M = \{\infty \cdot a_1, \infty \cdot a_2, \infty \cdot a_3, \dots, \infty \cdot a_n\}$$

and  $H_r^n$  is the number of multisubsets of M with r-element is

$$H_r^n = \binom{r+n-1}{r} \text{ OR } H_r^n = \binom{r+n-1}{n-1}$$

Let  $M = \{\infty \cdot a_1, \infty \cdot a_2, \dots, \infty \cdot a_n\}$ . The number  $H_r^n$  of r-element multi-subsets of M is given by

$$H_r^n = \binom{r+n-1}{r}$$

Example: Solving integers with equations

$$\underline{x_1 + x_2 + x_3 = 7}$$

Find the number of solutions in non-negative integers

\* Same stars and bars of the previous example\*

We can think of a solution as a multiset

| T   | H   | BLT   |
|-----|-----|-------|
| *** | **  | **    |
| *** | *** | *** * |

$\leftarrow 3+2+2=7$

$\leftarrow 0+3+4=7$

$$\binom{7+3-1}{3-1} = \binom{9}{2}$$

$\binom{9}{2} = 36$  is the number of non-negative integer solutions to  $x_1 + x_2 + x_3 = 7$

The Binomial Coefficient

$$H_r^n = \binom{r+n-1}{r} = \binom{r+n-1}{n-1}$$

The Binomial Coefficient counts a few things

→ Number of non-negative integer solutions to

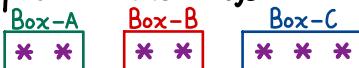
$$x_1 + x_2 + x_3 + \dots + x_n = r$$

→ Number of r-element multisubsets of

$$M = \{\infty \cdot a_1, \infty \cdot a_2, \infty \cdot a_3, \dots, \infty \cdot a_n\}$$

→ Number of ways to put r-identical objects

into n-distinct boxes



**The Binomial Coefficient**

$$H_r^n = \binom{r+n-1}{r} = \binom{r+n-1}{n-1}$$

**The Binomial Coefficient** counts a few things

→ Number of non-negative integer solutions to

$$x_1 + x_2 + x_3 + \dots + x_n = r$$

→ Number of  $r$ -element multisubsets of

$$M = \{\infty \cdot a_1, \infty \cdot a_2, \infty \cdot a_3, \dots, \infty \cdot a_n\}$$

→ Number of ways to put  $r$ -identical objects

into  $n$ -distinct boxes



Recall: Sterling Numbers of the first Kind

Given integers  $0 \leq n \leq r$  let,

$S(r, n)$  be the number of ways  
to place ' $r$ ' distinct objects around  
' $n$ ' indistinguishable tables where  
no tables are empty

**Properties of  $S(r, n)$ :** \* Lower Case 'S' as notation \*

$r$ -people  $r$ -table

$$\bullet S(r, 1) = \binom{r}{r} = (r-1)! \quad \text{from the textbook}$$

$$\bullet S(r, r) = 1 \quad \text{from the textbook}$$

$$\bullet S(r, r-1) = \binom{r}{2}$$

Pick a pair to → sit together

$$\bullet S(r, r-2) = \frac{(3n-1)}{4} \binom{n}{3}$$

|                            |                |
|----------------------------|----------------|
| $s(r, 0) = 0$              | if $r \geq 1$  |
| $s(r, r) = 1$              | if $r \geq 0$  |
| $s(r, 1) = (r-1)!$         | for $r \geq 2$ |
| $s(r, r-1) = \binom{r}{2}$ | for $r \geq 2$ |

Note: How So?

$$S(r, r-2) = 2 \cdot \binom{3}{3} + \frac{1}{2} \binom{2}{2} \binom{2}{2} \binom{2}{2} = \frac{(3n-1)}{4} \binom{n}{3}$$

**Claim**

If  $r \geq n$ , then

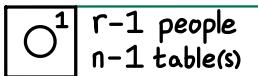
$$S(r, n) = S(r-1, n-1) + (r-1)S(r-1, n)$$

**WHY?** Let  $1, 2, 3, \dots, r$  be the people

\*Focus on person 1

**case 01:**  $S(r-1, n-1)$

Person 1 is at own table



$S(r-1, n-1)$  ways to complete

**case 02:**  $(r-1)S(r-1, n)$

Person 1 is at not own table

Place all but person #1

$r-1$  people choices for who

1 table sits to left of

$S(r-1, n)$  ways to complete

~Stirling Numbers of the Second Kind~

\* Upper Case 'S' as notation \*

$S(r, n)$  = Number of ways to put  $r$ -distinct objects into  $n$ -identical boxes where no boxes are empty



**Example:** Let  $r=4$  & try to find  $S(4, n)$  for  $n=1, 2, 3, 4$

Set will be  $x = \{1, 2, 3, 4\}$

$n=1$

$$S(4, 1) = 1$$

$n=2$

$$S(4, 2) = 7$$

$n=3$

$$S(4, 3) = 6$$

|   |   |
|---|---|
| 1 | 2 |
| 3 | 4 |

|   |   |   |   |
|---|---|---|---|
| 1 | 2 | 3 | 4 |
| 2 | 1 | 3 | 4 |
| 3 | 1 | 2 | 4 |
| 4 | 1 | 2 | 3 |

$n=4$

$$S(4, 4) = 1$$

$n \geq 5$

$$S(5, 3) = 0$$

|   |   |   |   |
|---|---|---|---|
| 1 | 2 | 3 | 4 |
|---|---|---|---|

$$1 + 7 + 6 + 1 = 15$$

the number of partitions of  $\{1, 2, 3, 4\}$  = 4<sup>th</sup> Bell Number

$$S(4, 1) + S(4, 2) + S(4, 3) + S(4, 4) = B_4$$

The  $N^{th}$  Bell Number is given by the formula

$$B_n = \frac{1}{e} \sum_{k=0}^{\infty} \frac{k^n}{k!}$$

Stirling Numbers of the Second Kind Properties

- (i)  $S(0, 0) = 1$ ,
- (ii)  $S(r, 0) = S(0, n) = 0$  for all  $r, n \in \mathbb{N}$ ,
- (iii)  $S(r, n) > 0$  if  $r \geq n \geq 1$ ,
- (iv)  $S(r, n) = 0$  if  $n > r \geq 1$ ,
- (v)  $S(r, 1) = 1$  for  $r \geq 1$ ,
- (vi)  $S(r, r) = 1$  for  $r \geq 1$ .
- (vii)  $S(r, 2) = 2^{r-1} - 1$ ,
- (viii)  $S(r, 3) = \frac{1}{2}(3^{r-1} + 1) - 2^{r-1}$ ,
- (ix)  $S(r, r-1) = \binom{r}{2}$ ,
- (x)  $S(r, r-2) = \binom{r}{3} + 3 \binom{r}{4}$ .

# Chapter 02

## Binomial and Multinomial Coefficients

For  $0 \leq r \leq n$ ,

$$C_r^n = \binom{n}{r} = \frac{n!}{r!(n-r)!}$$

is the number of  $r$ -combinations of an  $n$ -element set.

For  $r > 0$  or  $r \leq 0$ ,

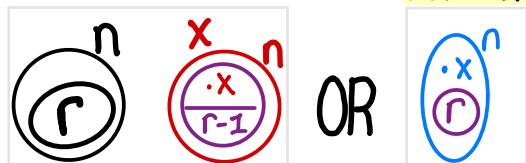
$$\text{define } \binom{n}{r} = 0$$

The numbers  $\binom{n}{r}$  are called Binomial Coefficients

Relations satisfied by  $\binom{n}{r}$ :

- $\binom{n}{r} = \binom{n}{n-r}$

- $\binom{n}{r} = \binom{n-1}{r-1} + \binom{n-1}{r}$

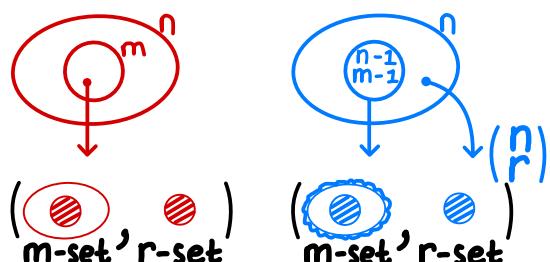


- $\binom{n}{r} = \frac{n}{r} \binom{n-1}{r-1}$

- $\binom{n}{r} = \frac{n-r+1}{r} \binom{n-1}{r-1}$

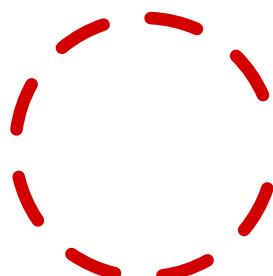
- $\binom{n}{m} \binom{m}{r} = \binom{n}{r} \binom{n-1}{m-1}$

$$\binom{n}{m} \binom{m}{r} = \binom{n}{r} \binom{n-1}{m-1}$$



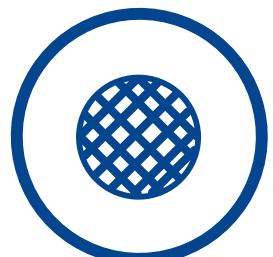
— , — , — , —  
Line-up items

$$P_r^n = n(n-1)\dots(n-r+1)$$



Circle

$$Q_r^n = \frac{1}{r} P_r^n$$



Line-up & divide up with symmetry

$$C_r^n = \frac{P_r^n}{r!}$$

# SUBJECT: 2.2: The Binomial Theorem DATE: 2023 / 03 / 08 PAGE#: 1 of 1

For any integer  $n \geq 0$ ,

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

Why is it that the Binomial Coefficients appear in this formula?

Look at some terms in the middle

$$\binom{n}{r} x^{n-r} y^r$$

for some  $0 \leq r \leq n$ .

Well,  $(x+y)^n = \underbrace{(x+y)(x+y)(x+y)\dots(x+y)}_{\text{Factor}}$

we obtain the term  $x^{n-r} y^r$  if and only if we choose  $r$ -factor to take the 'y' from and the remaining  $r-n$ -factors we took the 'x'-form

**Example 01**  $(x+y)^4 = \underbrace{(x+y)}_1 \underbrace{(x+y)}_2 \underbrace{(x+y)}_3 \underbrace{(x+y)}_4$

$\binom{4}{2}$  ways to obtain  $xy^3$

$$\begin{matrix} \{1, 2, 3\} & \{1, 3, 4\} & \{1, 2, 4\} & \{2, 3, 4\} \\ \textcolor{red}{1} & \textcolor{red}{2} & \textcolor{red}{3} & \textcolor{red}{4} \end{matrix}$$

One can prove identities using the Binomial Theorem by choosing specific values of 'x' and 'y'.

For instance, if  $x=y=1$ ,  $(1+1)^n = \sum_{k=0}^n \binom{n}{k} 1^{n-k} 1^k$

$$\Rightarrow 2^n = \sum_{k=0}^n \binom{n}{k} * \binom{n}{k} \text{ "k counts the layers"} \quad \left\{ \begin{matrix} 1, 2, 3 \end{matrix} \right\}$$

If  $x=1$  and  $y=-1$

$$(1+(-1))^n = \sum_{k=0}^n \binom{n}{k} 1^{n-k} (-1)^k \quad \left\{ \begin{matrix} \emptyset \\ \{1\}, \{2\}, \{3\} \end{matrix} \right\} \quad \text{NULL SET} \quad \binom{n}{1}$$

$$\rightarrow 0 = \sum_{k=0}^n \binom{n}{k} (-1)^k \quad \left\{ \begin{matrix} \{1, 2\}, \{1, 3\}, \{2, 3\} \end{matrix} \right\} \quad \binom{n}{2}$$

$$\rightarrow \binom{4}{0} - \binom{4}{1} + \binom{4}{2} - \binom{4}{3} + \binom{4}{4} \quad \left\{ \begin{matrix} \{1, 2, 3\} \end{matrix} \right\} \quad \binom{n}{3}$$

$$= 0 \text{ (zero)}$$

## Example 02

Show that for all positive integers 'n',

$$\sum_{r=1}^n r \binom{n}{r} = n 2^{n-1}$$

\* Start with the Binomial Theorem \*

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

Let  $x=1$  in the Binomial Theorem

$$\rightarrow (1+y)^n = \sum_{k=0}^n \binom{n}{k} 1^{n-k} y^k$$

Power Rule  
 $\frac{d}{dy} y^k = k y^{k-1}$

\* Power Rule and Chain Rule \*

$$\frac{d}{dy} \text{ both sides to get}$$

$$\rightarrow (1+y)^{n-1} = \sum_{k=1}^n \binom{n}{k} k y^{k-1}$$

Note: Change index

\* Set  $y=1$  to get...

$$\rightarrow n \cdot 2^{n-1} = \sum_{k=1}^n \binom{n}{k} k$$

## Recall

Power rule ( $x^n$ ):  $\{x^n\}' = nx^{n-1}$

## THE CHAIN RULE

Theorem.

Jay Cummings  
Calculus 1 Lecture Notes

Theorem 2.64 (The Chain Rule). Let  $f$  and  $g$  be differentiable functions. Then

$$(f(g(x)))' = f'(g(x)) \cdot g'(x).$$

In words: Take the derivative of the outside, keep everything inside the same, and then multiply by the derivative of the inside.

Example There are  $m\emptyset$ 's and  $n1$ 's where  $m \geq 2$ ,  $n \geq 2$ , and  $n \geq m$ .

Q1) How many ways can the  $\emptyset$ 's and 1's be put in a line so that the  $\emptyset$ 's are all consecutive?

scratch work

$$m=3, n=3$$

000 111

$m+1$  ways

|        |        |
|--------|--------|
| 000111 | 110001 |
| 100011 | 111000 |

Q2)... put in a line such that the first position and last position must be a zero

$$\frac{\emptyset}{\text{Zero}} \underbrace{\begin{array}{c} (m+n-2) \\ n \end{array}}_{m+n-2} \frac{\emptyset}{\text{Zero}}$$

Q3)... put in a line so that no two  $\emptyset$ 's, zeros, are adjacent?

$m\emptyset$ 's,  $n1$ 's, no adjacent  $\emptyset$ 's

$$\underline{\quad} \frac{1}{m} \underline{\quad} \frac{1}{m} \dots \underline{\quad} \frac{1}{m} \binom{n+1}{m}$$

### #3 from HW6

We want to know how many combination of students with the particular front row girls and back row boys, and the other students

|   |                |   |                |                |                |    |                |                |                |                |                |                |    |                |    |    |    |   |   |
|---|----------------|---|----------------|----------------|----------------|----|----------------|----------------|----------------|----------------|----------------|----------------|----|----------------|----|----|----|---|---|
| 1 | G <sub>2</sub> | 2 | 3              | G <sub>3</sub> | 4              | 5  | 6              | G <sub>1</sub> | 7              | G <sub>1</sub> | G <sub>2</sub> | G <sub>3</sub> | 1  | 2              | 3  | 4  | 5  | 6 | 7 |
| 8 | B <sub>1</sub> | 9 | B <sub>2</sub> | 10             | B <sub>3</sub> | 11 | B <sub>3</sub> | 12             | B <sub>4</sub> | B <sub>4</sub> | B <sub>3</sub> | B <sub>2</sub> | 10 | B <sub>1</sub> | 11 | 12 | 13 |   |   |

We don't care about ordered positions of the individual students, so we use  $C^n_r$  not  $P^n_r$

|                                         |                |                |                |    |    |    |                |                |                |                |
|-----------------------------------------|----------------|----------------|----------------|----|----|----|----------------|----------------|----------------|----------------|
| 1 <sup>st</sup> Front row $\rightarrow$ | G <sub>1</sub> | G <sub>2</sub> | G <sub>3</sub> | 1  | 2  | 3  | 4              | 5              | 6              | 7              |
| 2 <sup>nd</sup> Back row $\rightarrow$  | 8              | 9              | 10             | 11 | 12 | 13 | B <sub>1</sub> | B <sub>2</sub> | B <sub>3</sub> | B <sub>4</sub> |

### Approach 01

Because the front row must always be populated by the girls we can pull any 1 of the 13 other students to fill the front 7 spots

|                |                |                |    |    |    |                |                |                |                |      |          |         |          |     |                                             |
|----------------|----------------|----------------|----|----|----|----------------|----------------|----------------|----------------|------|----------|---------|----------|-----|---------------------------------------------|
| G <sub>1</sub> | G <sub>2</sub> | G <sub>3</sub> | 1  | 2  | 3  | 4              | 5              | 6              | 7              | (13) | $\times$ | 10!     | $\times$ | 10! | 10 seats, so<br>10! seating<br>arrangements |
| 8              | 9              | 10             | 11 | 12 | 13 | B <sub>1</sub> | B <sub>2</sub> | B <sub>3</sub> | B <sub>4</sub> | 7    | 1716     | 3628800 | 3628800  |     |                                             |

By default the back row gets chosen automatically

$$\text{In total } \binom{13}{7} \times 10! \times 10! \approx 22,596,613,080,000,000$$

$$\binom{10}{3} 3! \binom{10}{4} 4! 13!$$

$$\rightarrow \frac{10!}{3!7!} \cdot 3! \cdot \frac{10!}{4!6!} \cdot 4! \cdot 13! = \binom{13}{7} (10!)^2$$

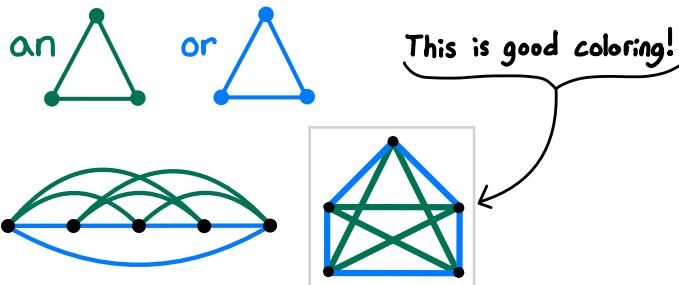
### Approach 02

|                              |                 |          |      |          |                 |          |      |          |       |                                     |
|------------------------------|-----------------|----------|------|----------|-----------------|----------|------|----------|-------|-------------------------------------|
| Back row choose the 4 boys   | $\binom{10}{3}$ | $\times$ | $3!$ | $\times$ | $\binom{10}{4}$ | $\times$ | $4!$ | $\times$ | $13!$ | Config. of boys amongst themselves  |
| Front row choose the 3 girls | $\binom{10}{3}$ | $\times$ | $3!$ | $\times$ | $\binom{10}{4}$ | $\times$ | $4!$ | $\times$ | $13!$ | Config. of girls amongst themselves |

$$\text{In total } \binom{10}{3} \times 3! \times \binom{10}{4} \times 4! \times 13! \approx 22,596,613,080,000$$

## What is a Ramsey Number R(K)?

Let us start with 5 points  
and try to color the connections with  
green and blue such that we do not see



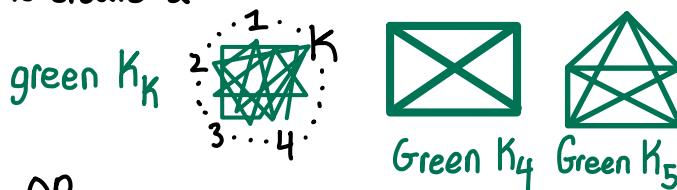
Can we do the same with six points?

$$\text{No. } R(6)=6$$

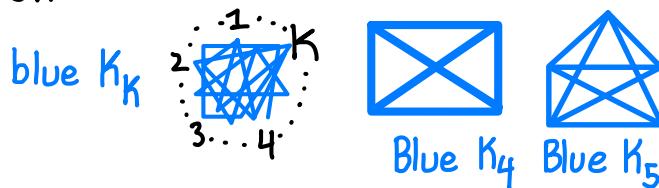
$\uparrow$   
Fewest number of points such  
that no matter how we color

We are forced to create a  
green triangle ( $K_3$ )  
or a blue triangle ( $K_3$ )

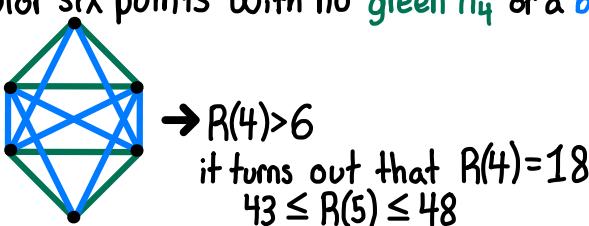
In general,  $R(K)$  is the fewest number of  
points such that no matter how we color the  
connections between these points we are forced  
to create a



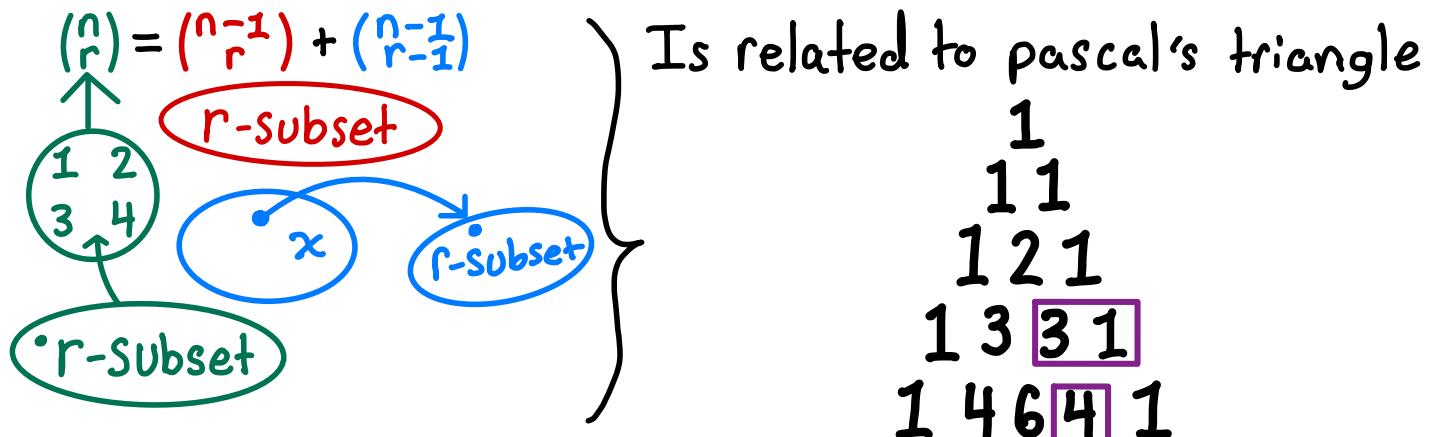
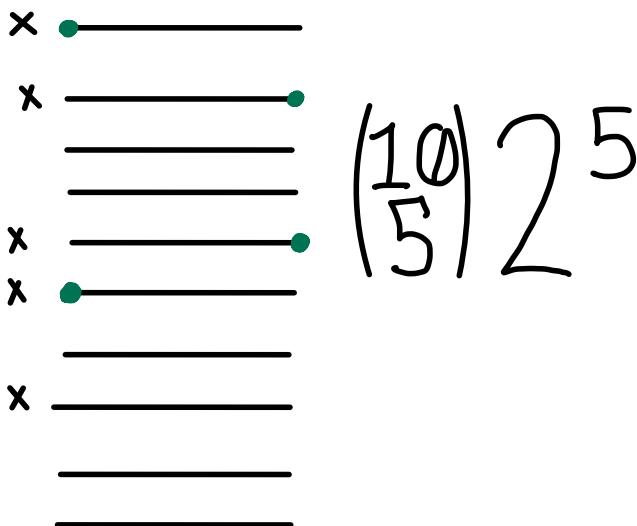
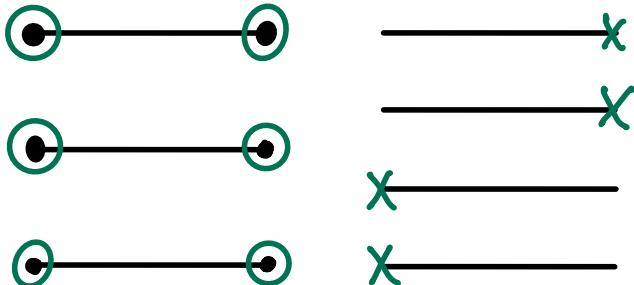
OR



Can we color six points with no green  $K_4$  or a blue  $K_4$



$$\text{Q4b} \quad \binom{n}{r} = \binom{n-1}{r-1} + \binom{n-1}{r}$$

**7b****7c**

$$\binom{10}{3} \binom{7}{4} 2^4$$

## Quick Warm-up

\* Try writing things out \*

LHS

Does

$$\sum_{r=0}^{\infty} \binom{n+1}{r+1} = \sum_{r=0}^{n+1} \binom{n+1}{r} - \sum_{r=0}^{\infty} \binom{n+1}{r}$$

RHS

$$\sum_{r=0}^n \binom{n+1}{r+1} = \binom{n+1}{1} + \binom{n+1}{2} + \dots + \binom{n+1}{n+1} = 2^{n+1} - 1$$

Vandermonde's Identity

 ↳ Based on:  $(1+x)^{m+n} = (1+x)^m(1+x)^n$ 

 Example 03 | For all integers  $m, n, r \geq 1$ 

$$\binom{m+n}{r} = \sum_{i=0}^r \binom{m}{i} \binom{n}{r-i}$$

Two ways to approach this

Approach 01: Binomial Theorem - Algebraic

Key consequence of the Binomial Theorem

 is that the coefficient of  $x^l$  in

 $(1+x)^m$  is  $\binom{m}{l}$  \*Pascal's Triangle\*

$$\begin{aligned} (1+x)^2 &= 1+2x+x^2 \\ (1+x)^3 &= 1+3x+3x^2+x^3 \\ (1+x)^4 &= 1+4x+6x^2+4x^3+x^4 \end{aligned}$$

$\begin{matrix} 1 \\ 1 & 1 \\ 1 & 2 & 1 \\ 1 & 3 & 3 & 1 \\ 1 & 4 & 6 & 4 & 1 \end{matrix}$

Consider the identity

$$(1+x)^{m+n} = (1+x)^m(1+x)^n$$

 Coefficient of  $x^r$  is  $\binom{m+n}{r}$ 

$$\binom{m}{0} 1 \quad \binom{r}{r} x^r$$

$$\binom{m}{1} x \quad \binom{r-1}{r-1} x^{r-1}$$

$$\binom{m}{2} x^2 \quad \vdots \quad \binom{r-2}{r-2} x^{r-2}$$

$$\binom{m}{r} x^r \quad \binom{0}{0} 1$$

Leverages This Rule

 Key consequence of the Binomial Theorem is that the coefficient of  $x^l$  in  $(1+x)^m$  is  $\binom{m}{l}$ 

 For the right hand side, the coefficient of  $x^r$  is going to be

$$\binom{m}{0} \binom{n}{r} + \binom{m}{1} \binom{n-1}{r-1} + \binom{m}{2} \binom{n-2}{r-2} + \dots + \binom{m}{r} \binom{n}{0} = \sum_{i=0}^r \binom{m}{i} \binom{n}{r-i}$$

 Coefficient of  $x^r$  of  $\binom{m}{r}$ 

$$\rightarrow \binom{m+n}{r} = \sum_{i=0}^r \binom{m}{i} \binom{n}{r-i}$$

Currently we are studying the

Binomial Theorem

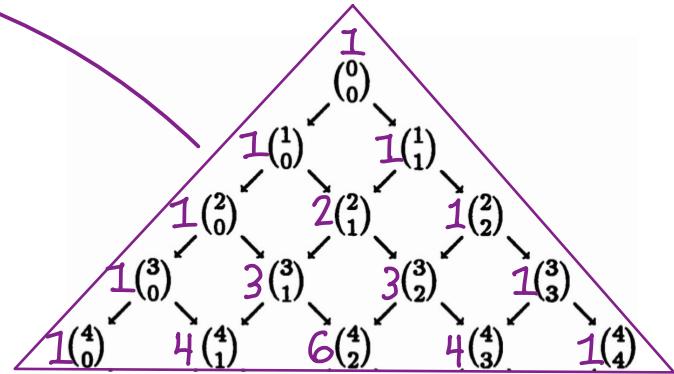
$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

\*Where 'n' is a positive integer

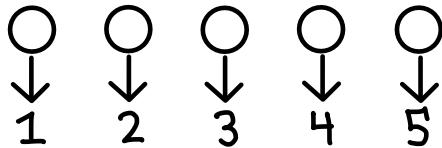
We have used this to prove combinatorial identities

 For example, if  $x=y=1$  then

$$2^n = \sum_{k=0}^n \binom{n}{k}$$



Recall that a bijection is a function  $f:A \rightarrow B$  that is one-to-one (1:1) and "onto". If  $A \neq B$  are finite and there is a bijection  $f:A \rightarrow B$ ; then  $|A|=|B|$



Recall: Section 1.5: Injection & Bijections Principles  
Let 'A' and 'B' be sets 'A' function  $f:A \rightarrow B$  is Bijective if  $f$  is both Injective (1-to-1) and Surjective (onto)

Notation A and A are different  
Notation B and B are different

Example 01 Let  $X = \{1, 2, 3, \dots, n\}$ ,  $A = \{A \subseteq X : |A| = r\}$   
 $B = \{B \subseteq X : |B| = n-r\}$

If  $n=5$  and  $r=3$ , write down a bijection from  $A$  and  $B$

Here  $X = \{1, 2, 3, 4, 5\}$   
 $A = \{A \subseteq X : |A| = 3\}$   
 $B = \{B \subseteq X : |B| = 2\}$

$\begin{matrix} A & B \end{matrix} \xrightarrow{n-r}$

$\{1, 2, 3\} \rightarrow \{4, 5\}$

$\{1, 2, 4\} \rightarrow \{3, 5\}$

$\{1, 2, 5\} \rightarrow \{3, 4\}$

$\{1, 3, 4\} \rightarrow \{2, 5\}$

$\{1, 3, 5\} \rightarrow \{2, 4\}$

$\{1, 4, 5\} \rightarrow \{2, 3\}$

$\{2, 3, 4\} \rightarrow \{1, 5\}$

$\{2, 3, 5\} \rightarrow \{1, 4\}$

$\{2, 4, 5\} \rightarrow \{1, 3\}$

$\{3, 4, 5\} \rightarrow \{1, 2\}$

A formula for this bijection

$f = A \rightarrow B$  is

$f(A) = X \setminus A$

(r)  $n-r$

Example 02 Let  $X = \{1, 2, 3, \dots, n\}$

$$A = \{A \subseteq X : n \notin A\}$$

$$B = \{B \subseteq X : n \in B\}$$

If  $n=4$ , find a bijection from  $A$  to  $B$  and a formula for your bijection

$$\begin{array}{l} A : \{\emptyset\}, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\} \\ \downarrow \quad \downarrow \\ B : \{4\}, \{1, 4\}, \{2, 4\}, \{3, 4\}, \{1, 2, 4\}, \{1, 3, 4\}, \{2, 3, 4\}, \{1, 2, 3, 4\} \end{array}$$

$$f(A) = A \cup \{4\}$$

$$f(a) = X \setminus A$$

also works complementry

## Returning to Binomial Theorem and Vandermonde's Identity

$$(1+x)^{m+n} = (1+x)^m (1+x)^n$$

Coefficient       $x^0$        $x^r$   
 $x^1$        $x^{r-1}$   
 $x^r$  is  $\binom{m+n}{r}$

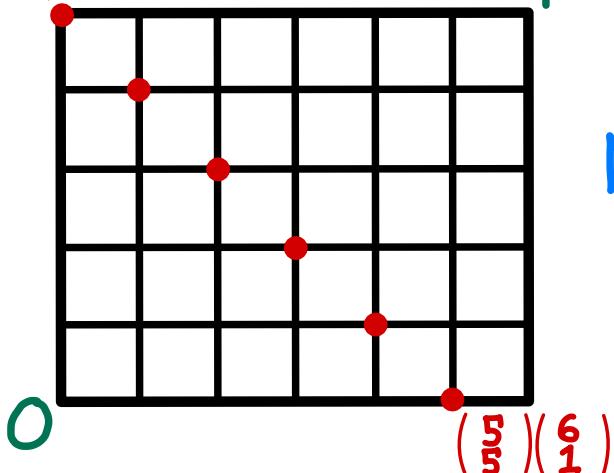
Vandermonde's Identity

$$\binom{m+n}{r} = \sum_{j=0}^r \binom{m}{j} \binom{n}{r-j}$$

For instance if  $m=5$ ,  $n=6$ ,  $r=6$ , then Vandermonde's Identity

$$\text{is } \binom{5+6}{6} = \binom{5}{0} \binom{6}{6} + \binom{5}{1} \binom{6}{5} + \binom{5}{2} \binom{6}{4} + \binom{5}{3} \binom{6}{3} + \binom{5}{4} \binom{6}{2} + \binom{5}{5} \binom{6}{1}$$

$$\binom{5}{0} \binom{6}{6} \leftarrow P$$



Number of shortest path is

$$\binom{5+6}{6} = 462$$

$$\sum_{r=0}^n \frac{(-1)^r}{r+1} \binom{n}{r} = \sum_{r=0}^n \binom{n}{r} \frac{(-1)^r}{r+1}$$

Be able to recognize identities/prove

- $\sum_{j=0}^n \binom{j}{0} = 2^n \quad \leftarrow \begin{array}{l} \text{Count the number of} \\ \text{subset } \{1, 2, 3, \dots, N\} \end{array}$
- Count subset by size
- $\binom{3}{0} - \binom{3}{1} + \binom{3}{2} - \binom{3}{3} = 2^3$
- $\sum_{j=0}^N (-1)^j \binom{N}{j} = 0$

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

$$\sum_{r=0}^n \frac{(-1)^r}{r+1} \binom{n}{r} = \sum_{r=0}^n \frac{(-1)^r}{r+1} \cdot \frac{n!}{r!(n-r)!} = \sum_{r=0}^n (-1)^r \frac{n!}{(r+1)!(n-r)!}$$

$$\binom{n+1}{r-1} = \frac{(n+1)!}{(r+1)!(n+1-(r+1))!}$$

$$\Rightarrow \sum_{r=0}^n (-1)^r \frac{n!}{(r+1)!(n-r)!} \cdot \binom{n+1}{r-1}$$

$$\Rightarrow \sum_{r=0}^n \frac{(-1)^r}{r+1} \binom{n+1}{r-1} \Rightarrow \frac{1}{r+1} \sum_{r=0}^n (-1)^r \binom{n+1}{r-1}$$

\* Write it out where  $r=0$  \*

$$\Rightarrow \frac{1}{r+1}((-1)^0 \binom{n+1}{0-1} + (-1)^1 \binom{n+1}{1-1} + (-1)^2 \binom{n+1}{2-1} + \dots + (-1)^n \binom{n+1}{n-1})$$

Missing:  $(-1)^1 \binom{n+1}{0-1}$

$$\Rightarrow \frac{1}{r+1}(-(n+1)(-1)^0 \binom{n+1}{0-1} + (-1)^1 \binom{n+1}{1-1} + (-1)^2 \binom{n+1}{2-1} + \dots + (-1)^n \binom{n+1}{n-1} + \underline{\binom{n+1}{0-1}})$$

$$\Rightarrow \frac{1}{r+1}(0+1) = \frac{1}{r+1} \quad * \text{The Identity} * \quad \binom{n+1}{r+1} \binom{n}{r} = \binom{n+1}{r+1}$$

The world needs balance if we have  $-(\binom{n+1}{0-1})$  at the front then we need  $+ (\binom{n+1}{0-1})$  in the back

Turn our attention to the Multinomial Theorem

Recall the Binomial Theorem

\* Instead of terms  $x^r y^s$  we \*  
rewrite  $x$  with subscripts

$$(x_1 + x_2)^n + \sum_{r=0}^n \binom{n}{r} x_1^{n-r} x_2^r$$

~ for  $n \geq 1$  an integer ~

Real quick let us recall  
how the  $\binom{n}{r}$  shows up in the Binomial Theorem

If  $n=5$ ,

$$\begin{array}{ccccc} (x_1 + x_2)(x_1 + x_2)(x_1 + x_2)(x_1 + x_2)(x_1 + x_2) \\ \text{Factor Number } 1 \quad 2 \quad 3 \quad 4 \quad 5 \end{array}$$

What is the coefficient of  $x_1^2 x_2^3$ ?

5 factors choose  $\binom{5}{3}$  Coefficient of  $x_1^2 x_2^3$   
3 factors where in  $(x_1 + x_2)$  is  $\binom{2}{3}$   
you take  $x_2$

Now, let's add an  $x_3$

$$\begin{array}{cccccc} (x_1 + x_2 + x_3)^5 \\ = (x_1 + x_2 + x_3)(x_1 + x_2 + x_3)(x_1 + x_2 + x_3)(x_1 + x_2 + x_3)(x_1 + x_2 + x_3) \\ \text{Factor #: } 1_{x_1} \quad 2_{x_3} \quad 3_{x_1} \quad 4_{x_1} \quad 5_{x_2} \end{array}$$

Can we find the coefficient of:

•  $x_1^3 x_2 x_3$ ?

$$\binom{5}{3} 1, 2, 3, 4, 5$$

•  $x_1^2 x_2^2 x_3$ ?

$$1, 2, 3 \quad 1, 2, 4 \quad 1, 2, 5$$

$$1, 3, 4 \quad 1, 3, 5$$

Solution:  $\frac{5!}{3! 1! 1!}$

# SUBJECT: Multinomial Theorem - Continued DATE: 2023 / 04 / 07 PAGE#:

Examples involving identities related to the Binomial Theorem

**Example 01** Prove that  $\sum_{i=0}^n \binom{n}{i}^2 = \binom{2n}{n}$

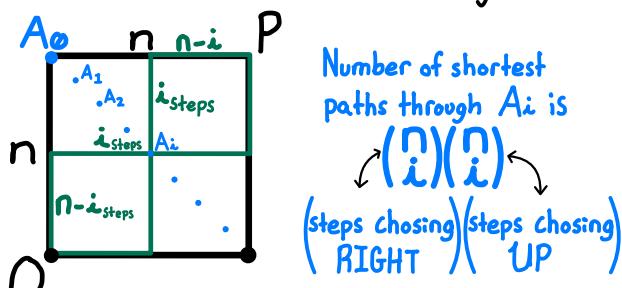
Approach 1

Use the Vandermonde's Identity

$$(1+x)^{m+n} = (1+x)^m (1+x)^n$$

OR Approach 2

Shortest Path Method (Lattice Path Argument)



**Example 02** Prove that

$$\sum_{r=0}^n \frac{(2n)!}{(r!)^2 ((n-r)!)^2} = \binom{2n}{n}^2$$

Do we recognize anything?

$$\sum_{r=0}^n \frac{(2n)!}{(r!)^2 ((n-r)!)^2} = \binom{2n}{n}^2$$

Looks like  $C_r = \binom{n}{r} = \frac{n!}{r!(n-r)!}$

\*Especially the Binomial Coefficient in the denominator:  $r!(n-r)!$ !

Try expanding things out!

$$\sum_{r=0}^n \frac{(2n)!}{(r!)^2 ((n-r)!)^2} = \binom{2n}{n}^2$$

LHS

$$\Rightarrow \sum_{r=0}^n = \frac{n!}{r!(n-r)!} \cdot \frac{n!}{r!(n-r)!} \cdot \frac{(2n)!}{n!n!}$$

$$\Rightarrow \sum_{r=0}^n (\binom{n}{r})^2 \cdot \frac{(2n)!}{n!n!} \Rightarrow \frac{(2n)!}{n!n!} \sum_{r=0}^n (\binom{n}{r})^2$$

$$\Rightarrow \frac{(2n)!}{n!n!} \cdot \binom{2n}{n} \Rightarrow \binom{2n}{n} \binom{2n}{n} \Rightarrow \binom{2n}{n}^2 \therefore \text{LHS} = \text{RHS}$$

Announcements : Quiz Monday - 4/10

- Binomial Theorem & Identities
- Bijection & general formulas

Example of identities to know

$$\sum_{r=0}^n \binom{n}{r} = 2^n$$

**Example 03** Prove that

$$\sum_{r=0}^n \binom{2n}{r} = 2^{2n-1} + \frac{1}{2} \binom{2n}{n}$$

Try expanding

Well,

$$\sum_{r=0}^n \binom{2n}{r} = \binom{2n}{0} + \binom{2n}{1} + \binom{2n}{2} + \dots + \binom{2n}{n} + \binom{2n}{n+1} + \dots + \binom{2n}{2n}$$

\*We could keep going\*

$$\frac{1}{2} \binom{2n}{0} + \frac{1}{2} \binom{2n}{2n} \quad \frac{1}{2} \binom{2n}{2} + \frac{1}{2} \binom{2n}{2n-2} \quad \frac{1}{2} \binom{2n}{2} + \frac{1}{2} \binom{2n}{n} \rightarrow$$

$$\Rightarrow \frac{1}{2} \binom{2n}{0} + \binom{2n}{2n} + \frac{1}{2} \binom{2n}{1} + \binom{2n}{2n-1} + \dots + \frac{1}{2} \binom{2n}{n} + \binom{2n}{n}$$

$$\Rightarrow \frac{1}{2} \sum_{r=0}^{2n} \binom{2n}{r} + \frac{1}{2} \binom{2n}{n}$$

$$\Rightarrow \frac{1}{2} \cdot 2^{2n} + \frac{1}{2} \binom{2n}{n}$$

$$\Rightarrow 2^{2n-1} + \frac{1}{2} \binom{2n}{n}$$

Let us finish today by talking a bit more about the Multinomial Theorem

Look at

$$(x_1 + x_2 + x_3)(x_1 + x_2 + x_3)(x_1 + x_2 + x_3)(x_1 + x_2 + x_3)(x_1 + x_2 + x_3)$$

\*If we picked all  $x_1$

Permutations of  $x_1 x_2 x_3$  of length 5

$$= x_1 x_1 x_1 x_1 x_1 + x_1 x_1 x_1 x_1 x_2 + x_1 x_1 x_1 x_1 x_3$$

The unique elements can be in a different position

$$\binom{5}{4,1,0} \cdot \begin{matrix} 4-x_1 \\ 1-x_2 \\ 0-x_3 \end{matrix}$$

$$\binom{5}{4,1,0} = \frac{5!}{4!1!0!}$$

SUBJECT: Chapter 03: The Pigeonhole Principle & Ramsey Number DATE: 2023 / 04 / 10

Finish Chapter 02 by stating the Multinomial Theorem

$$(x_1 + x_2 + x_3 + \dots + x_m) = \sum_{(n_1, n_2, \dots, n_m) \in S} (n_1, n_2, \dots, n_m) x_1^{n_1} x_2^{n_2} \dots x_m^{n_m}$$

Where  $S$  is all  $m$ -tuples of non-negative integers with  $n_1 + n_2 + \dots + n_m = n$

Let ' $k$ ' & ' $n$ ' be positive

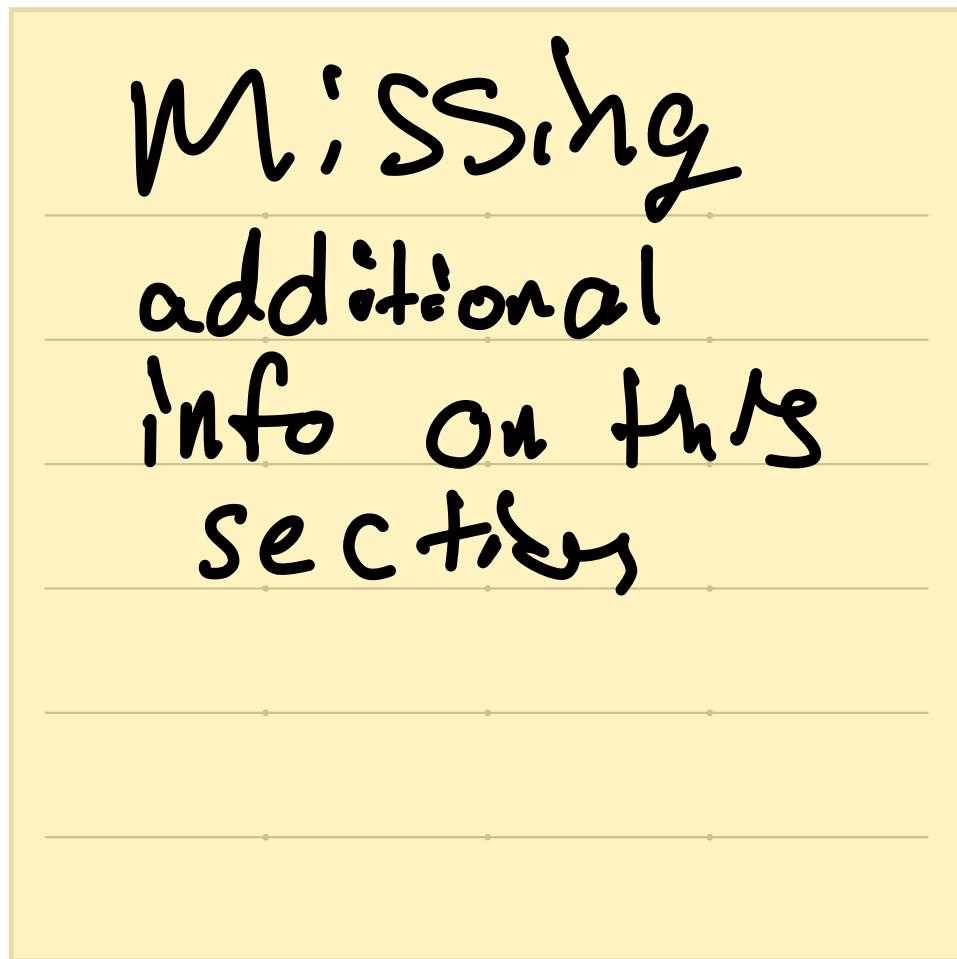
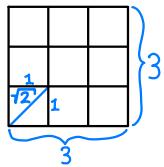
The Pigeonhole Principle states that if at least  $k+1$  objects are placed in  $n$ -boxes, then at least one box has at least  $k+1$  objects.

} There is a chance that in this class there will be 2 students with the same birth month. Given that we have more than 12 students in class.

Example

Show that for any ten points in the plane within a square of side length 3, there are two points within distance  $\sqrt{2}$  of each other.

\* Trick: form boxes/holes \*



Example Ten teams played in a tournament where each pair of teams play exactly once

- Win: +1 If at least 7% of the game end in a draw, show
- Draw: 0 that there are at least two teams with the same
- Loss: -1 numbers of points.

There are exactly  $\binom{10}{2} = \frac{10 \cdot 9}{2} = 45$  games played and at least  $0.70(45) = 31.5$  had to end in a draw  $\Rightarrow$  at least 32 games end in a draw

\* Pigeonhole: two teams with same scores \*

Now the possible scores for a team are:

-9, -8, -7, -6, -5, -4, -3, -2, -1, 0, 1, 2, 3, 4, 5, 6, 7, 8, 9

Aiming for a contradiction suppose all ten teams got a different score

\* 13 non-draws  $\Rightarrow \binom{10}{2} = 45$

- $\geq 32$  end in draw
- at most 13 did not end in draw

Negative scores Positive scores

$\underbrace{-9, -8, -7, -6, -5, -4, -3, -2, -1, 0}_{\text{Negative scores}}$  • 10 teams  
 $\underbrace{1, 2, 3, 4, 5, 6, 7, 8, 9}_{\text{Positive scores}}$  • 1 team could get 0 points

Note that if five teams have five different positive scores

then the number of games that did not end in a draw is at least

$1+2+3+4+5=15$  which contradicts the at most 13 non-draws

|                                                                 |                                                                 |                                                                                                                             |
|-----------------------------------------------------------------|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|
| $\begin{array}{r} +1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ 7 \end{array}$ | $\begin{array}{r} 10 \\ 9 \\ 8 \\ 7 \\ 6 \\ 5 \\ 4 \end{array}$ | Likewise, if five teams have negative scores,<br>then the number of games that do not end<br>in a draw is again at least 15 |
|-----------------------------------------------------------------|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|

Therefore, at least 4 teams got a positive score  
and at most 4 teams got a non-negative score

$\Rightarrow$  At least two teams got Zero points,  
a contradiction

Example Let  $X \subseteq \{1, 2, \dots, 99\}$  with  $|X| = 10$ .

Show that 'x' contains disjoint subsets  $Y \neq Z$  such that  
add the elements in Y is the same sum as adding the elements Z.

For instance :  $X = \{2, 7, 15, 19, 23, 50, 56, 60, 66, 99\}$

$\Rightarrow$  So,  $\binom{99}{10}$

$$Y = \{7, 99\} \quad Z = \{50, 56\} \quad 7 + 99 = 50 + 56$$

# Principle

**SUBJECT:** Chapter 4 - Inclusion & Exclusion    **DATE:** 2023 / 04 / 24    **PAGE#:**

## Exam 3 - Last Question Question # 8

$$\begin{array}{ccccccc}
 & & & 1 & & & \\
 & & & 1 & 1 & & \\
 & & 1 & 2 & 1 & & \\
 & 1 & 3 & 3 & 1 & & \\
 1 & 4 & 6 & 4 & 1 & & \\
 \boxed{1} & 5 & 10 & \boxed{10} & S1 & & 
 \end{array}$$

Absolutely Know

$$\sum_{k=0}^n \binom{n}{k} = 2^n$$

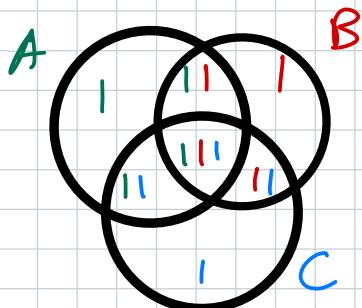
$$\begin{aligned}
 \sum_{k=0}^{n-1} \binom{2^{n-1}}{k} &= \binom{2^{n-1}}{0} + \binom{2^{n-1}}{1} + \binom{2^{n-1}}{2} + \dots + \binom{2^{n-1}}{n-1} = \frac{1}{2} \cdot 2^{2^{n-1}} \\
 &= \frac{1}{2} \left[ \left( \binom{2^{n-1}}{0} + \binom{2^{n-1}}{1} \right) + \left( \binom{2^{n-1}}{1} + \binom{2^{n-1}}{2} \right) + \dots + \left( \binom{2^{n-1}}{n-2} + \binom{2^{n-1}}{n-1} \right) \right] = \frac{1}{2} \cdot 2^{2^{n-1}}
 \end{aligned}$$

$$\begin{aligned}
 n=5 : \sum_{r=0}^4 \binom{9}{r} &= \binom{9}{0} + \binom{9}{1} + \binom{9}{2} + \binom{9}{3} + \binom{9}{4} + \binom{9}{5} + \binom{9}{6} + \binom{9}{7} + \binom{9}{8} + \binom{9}{9} \\
 &= \frac{1}{2} \cdot 2^9
 \end{aligned}$$

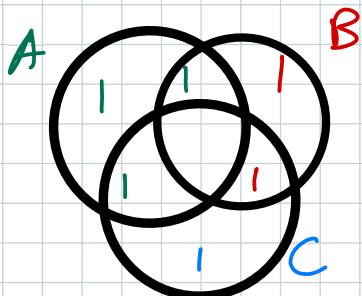
If A and B are finite sets  
then  $|A \cup B| = |A| + |B| - |A \cap B|$



What if we have three sets A, B, and C?



$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$



- This week - lecture
- 05/01 - Homework 10
  - 05/05 - Individual Quiz II
  - 05/08 - Homework 11
  - 05/10 - Group Quiz 12
  - 05/12 - Make-up day
    - Optional Quiz
    - 2 homeworks
    - for half credit
- Exam 03 - 05/15 12:45 - 2:45

**Principle of Inclusion-Exclusion:** For any finite sets  $A_1, A_2, \dots, A_q$  where  $q \geq 2$

stick to this

$$* |A_1 \cup A_2 \cup \dots \cup A_q| = \sum_{i=1}^q |A_i| - \sum_{1 \leq i < j \leq q} |A_i \cap A_j| + \sum_{1 \leq i < j < k \leq q} |A_i \cap A_j \cap A_k| + \dots + (-1)^{q+1} |A_1 \cap A_2 \cap \dots \cap A_q|$$

"Double Summation"

$$\sum_{i=1}^{q-1} \sum_{j=i+1}^q |A_i \cap A_j| = \sum_{j=2}^q |A_1 \cap A_j| + \sum_{j=3}^q |A_2 \cap A_j| + \dots + |A_{q-1} \cap A_q|$$

$$1,2; 1,3; \dots; 1,q \\ 2,3; 2,4; \dots; 2,q$$

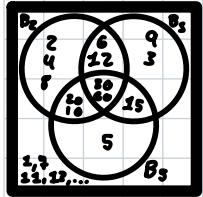
$$\sum_{S \subseteq \{1, 2, 3, \dots, q\}} |S|=2$$

Exam 03 Q#5

$$\text{Prove } \binom{n}{r} \binom{n-r}{m-r} = \binom{n}{m} \binom{m}{r}$$

$$\binom{n}{r} \binom{n-r}{m-r} \stackrel{?}{=} \binom{n}{m} \binom{m}{r}$$

$$\frac{n!}{r!(n-r)!} \cdot \frac{(n-r)!}{(m-r)(n-m)!} \stackrel{?}{=} \frac{n!}{m!(n-m)!} \cdot \frac{m!}{r!(m-r)!}$$

Ex | Let  $S = \{1, 2, 3, \dots, 500\}$ .Find the number of integers in  $S$  divisible by 2, 3, 5.

$$\begin{aligned} B_2 &= \{\# \text{ in } S \text{ divisible by 2}\} \\ B_3 &= \{\# \text{ in } S \text{ divisible by 3}\} \\ B_5 &= \{\# \text{ in } S \text{ divisible by 5}\} \end{aligned}$$

By I.E.,

$$\begin{aligned} |B_2 \cup B_3 \cup B_5| &= |B_2| + |B_3| + |B_5| \\ &\quad - |B_2 \cap B_3| - |B_2 \cap B_5| - |B_3 \cap B_5| \\ &\quad + |B_2 \cap B_3 \cap B_5| \end{aligned}$$

General Fact: For  $1 \leq k \leq n$ , there are $\left\lfloor \frac{n}{k} \right\rfloor$  integers in  $\{1, 2, \dots, n\}$ that are divisible by  $k$ .  $\text{floor}\left(\frac{n}{k}\right)$ 

$$|B_2| = \left\lfloor \frac{500}{2} \right\rfloor = 250$$

$$|B_3| = \left\lfloor \frac{500}{3} \right\rfloor = 166$$

$$|B_5| = \left\lfloor \frac{500}{5} \right\rfloor = 100$$

$$|B_2 \cap B_3| = \left\lfloor \frac{500}{6} \right\rfloor = 83$$

$$|B_2 \cap B_5| = \left\lfloor \frac{500}{10} \right\rfloor = 50$$

$$|B_3 \cap B_5| = \left\lfloor \frac{500}{15} \right\rfloor = 33$$

$$|B_2 \cap B_3 \cap B_5| = \left\lfloor \frac{500}{30} \right\rfloor = 16$$

$$|B_2 \cup B_3 \cup B_5| = 250 + 166 + 100$$

$$- 83 - 50 - 33 - 16$$

$$= 366$$

There are 366 integers

in  $\{1, 2, 3, \dots, 500\}$ 

divisible by 2, 3, or 5

## Derangements

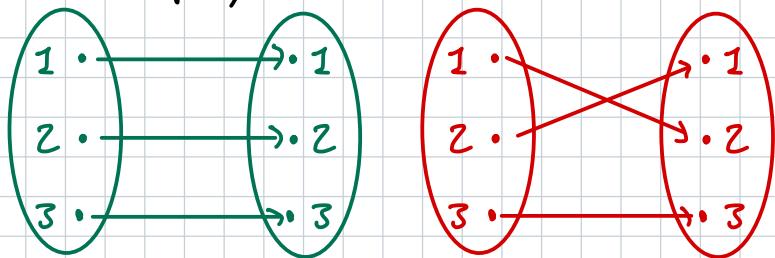
\* how calc sneaks into Combinatorics \*

let  $\mathcal{F}$  be the collection of functions that are one-to-one and onto from  $\{1, 2, 3\}$  to  $\{1, 2, 3\}$

How many functions in  $\mathcal{F}$  have no fixed points ( $f(i) \neq i$  for all  $i$ )?  
fixed pt an element that does not point back to itself.

$$\text{Let } A_i = \{f \in \mathcal{F} : f(i) \neq i\}$$

for example,



are the functions in  $A_1$

$$\begin{aligned} \Rightarrow |A_1 \cup A_2 \cup A_3| &= |A_1| + |A_2| + |A_3| \\ &\quad - |A_1 \cap A_2| - |A_2 \cap A_3| - |A_1 \cap A_3| \\ &\quad + |A_1 \cap A_2 \cap A_3| \end{aligned}$$

$$= (2+2+2)$$

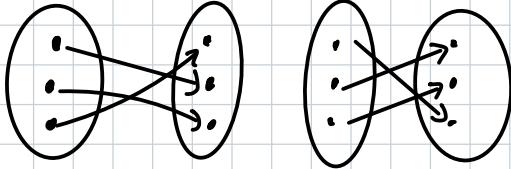
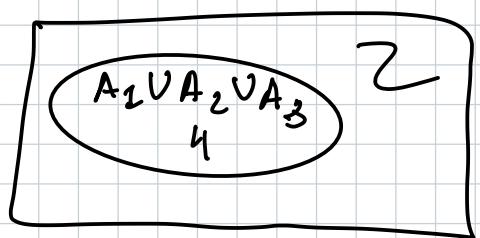
$$-1-1-1+1 = 4$$

$$\Rightarrow 4 = |A_1 \cup A_2 \cup A_3|$$

But there are  $3! = 6$  bijections from  $\{1, 2, 3\}$  to  $\{1, 2, 3\}$

$\Rightarrow$  there are 2 functions in  $\mathcal{F}$

with no fixed points



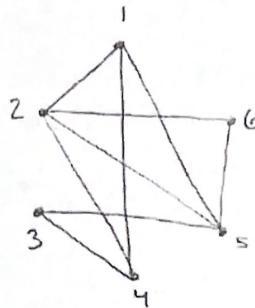
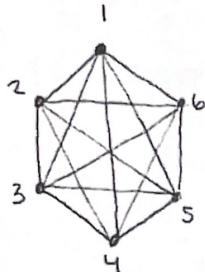
2023/04/12

Announcements:  
 • No class this Friday 23/4/14  
 • Old exam 03 posted

California State University Sacramento - Math 101  
 Quiz #9

Name: \_\_\_\_\_

- 1) On the left, every two numbers from  $\{1, 2, 3, 4, 5, 6\}$  are connected by an edge. This is a visual representation of the *complete graph on 6 vertices* which we denote by  $K_6$ .



| 2-comb     | Head/Tail | Connect 2-comb? |
|------------|-----------|-----------------|
| $\{1, 2\}$ | H         | YES             |
| $\{1, 3\}$ | T         | NO              |
| $\{1, 4\}$ | H         | YES             |
| $\{1, 5\}$ | H         | YES             |
| $\{1, 6\}$ | T         | NO              |
| $\{2, 3\}$ | T         | NO              |
| $\{2, 4\}$ | H         | YES             |
| $\{2, 5\}$ | H         | YES             |
| $\{2, 6\}$ | H         | YES             |
| $\{3, 4\}$ | H         | YES             |
| $\{3, 5\}$ | H         | YES             |
| $\{3, 6\}$ | H         | YES             |
| $\{4, 5\}$ | T         |                 |
| $\{4, 6\}$ | H         |                 |
| $\{5, 6\}$ | T         |                 |

On the right is a random subgraph of  $K_6$  that was determined by the following procedure.

Consider each 2-combination  $\{a, b\}$  in the ordered list

~~$\{1, 2\}, \{1, 3\}, \{1, 4\}, \{1, 5\}, \{1, 6\}, \{2, 3\}, \{2, 4\}, \{2, 5\}, \{2, 6\}, \{3, 4\}, \{3, 5\}, \{3, 6\}, \{4, 5\}, \{4, 6\}, \{5, 6\}$~~

For each  $\{a, b\}$ , flip of fair coin where H and T are equally likely. If the result is H, keep  $\{a, b\}$  connected. If the result is T, remove the connection. The table on the right in the figure above shows this process over the first ten pairs, however, it was completed for all fifteen pairs in order to produce the random graph on the right.

Instructions: Perform this process to draw a random subgraph of  $K_6$ . You may use [www.random.org/coins](http://www.random.org/coins) to perform 15 independent flips at once.

|   |     | 2-comb     | Head of Tails | connect 2-comb? |
|---|-----|------------|---------------|-----------------|
| 1 |     | $\{1, 2\}$ | T             |                 |
|   |     | $\{1, 3\}$ | T             |                 |
| 2 | • 6 | $\{1, 4\}$ | T             |                 |
|   |     | $\{1, 5\}$ | H             |                 |
| 3 | • 5 | $\{1, 6\}$ | T             |                 |
|   |     | $\{2, 3\}$ | H             |                 |
| 4 |     | $\{2, 4\}$ | T             |                 |
|   |     | $\{2, 5\}$ | T             |                 |
|   |     | $\{2, 6\}$ | H             |                 |
|   |     | $\{3, 4\}$ | H             |                 |
|   |     | $\{3, 5\}$ | T             |                 |
|   |     | $\{3, 6\}$ | H             |                 |
|   |     | $\{4, 5\}$ | T             |                 |
|   |     | $\{4, 6\}$ | H             |                 |
|   |     | $\{5, 6\}$ | T             |                 |

2023/04/12

- 2) Let  $p$  be a real number with  $0 \leq p \leq 1$  and let  $n$  be a positive integer. Define the function  $f_{n,k} : \mathbb{R} \rightarrow \mathbb{R}$  by the rule

$$f_{n,k}(k) = \begin{cases} \binom{n}{k} p^k (1-p)^{n-k} & \text{if } k \in \{0, 1, 2, \dots, n\}, \\ 0 & \text{otherwise.} \end{cases}$$

The function  $f_{n,k}$  is the probability density function of a Binomial random variable with parameters  $n$  and  $p$ . For  $k \in \{0, 1, \dots, n\}$ , the value  $f_{n,k}(k)$  is the probability of  $k$  successes in  $n$  independent trials with success probability  $p$ .

- (a) Prove, using the Binomial Theorem, that  $\sum_{k=0}^n f_{n,k}(k) = 1$ .

- (b) Suppose that a biased coin, which comes up heads with probability 0.7, is tossed 4 independent times. Complete the following table.

| Number of heads $k$ | Probability of $k$ heads                               |
|---------------------|--------------------------------------------------------|
| 0                   | $f_{4,0.7}(0) = \binom{4}{0} (0.7)^0 (0.3)^4 = 0.0081$ |
| 1                   |                                                        |
| 2                   |                                                        |
| 3                   |                                                        |
| 4                   |                                                        |

- (c) Use the table from part (b) to compute

$$0 \cdot f_{4,0.7}(0) + 1 \cdot f_{4,0.7}(1) + 2 \cdot f_{4,0.7}(2) + 3 \cdot f_{4,0.7}(3) + 4 \cdot f_{4,0.7}(4).$$

This value is the average number of heads we would expect to see in 4 independent tosses.

- (d) Prove that for any real number  $p$  with  $0 \leq p \leq 1$  and positive integer  $n$ ,

$$np = \sum_{k=0}^n k \binom{n}{k} p^k (1-p)^{n-k}.$$

This value is the expected number of successes if  $n$  independent trials are done where the probability of success is  $p$ .

- 3) Show that for integers  $1 \leq r \leq m \leq n$ ,

$$\binom{n}{m} \binom{m}{r} = \binom{n}{r} \binom{n-r}{m-r}.$$

- 4) Show that for any integers  $n \geq m \geq 1$ ,

$$\sum_{r=m}^n \binom{n-m}{n-r} = 2^{n-m}.$$

- 5) Show that for all integers  $n \geq 1$ ,

$$\sum_{r=1}^n r^2 \binom{n}{r} = n(n+1)2^{n-2}.$$

# Unpolished Notes



Given a Sequence  $a_1, a_2, a_3, \dots$

a recurrence relation is a formula

that expresses  $a_n$  in terms of  $a_1, a_2, \dots, a_{n-1}$

Example | 1, 2, 4, 6, 8, 16, ...

$$a_1 = 1, a_2 = 2, a_3 = 4, \dots$$

$$\text{and in general } a_n = 2a_{n-1}, \text{ for } n \geq 2.$$

One of the most famous recurrence relation is the Fibonacci Sequence (1, 1, 2, 3, 5, 8, 13, ...)

$$a_0 = 1$$

$$a_1 = 1$$

$$a_n = a_{n-1} + a_{n-2} \quad n \geq 2$$

Characteristic Equations

$$y'' = y' + y$$

Look at the equation

$$x^2 = x + 1$$

$$\Rightarrow x^2 - x - 1 = 0$$

$$\Rightarrow x = \frac{-(-1) \pm \sqrt{(-1)^2 - 4(1)(-1)}}{2(1)}$$

$$\Rightarrow x = \frac{1 \pm \sqrt{5}}{2}$$

$$\Rightarrow a_n = A_1 \left(\frac{1+\sqrt{5}}{2}\right)^n + A_2 \left(\frac{1-\sqrt{5}}{2}\right)^n$$

From  $a_0 = 1$  we get

$$1 = A_1 \left(\frac{1+\sqrt{5}}{2}\right)^0 + A_2 \left(\frac{1-\sqrt{5}}{2}\right)^0$$

$$* \Rightarrow 1 = A_1 + A_2$$

From  $a_1 = 1$ , we get

$$* 1 = A_1 \left(\frac{1+\sqrt{5}}{2}\right)^1 + A_2 \left(\frac{1-\sqrt{5}}{2}\right)^1$$

The solution for  $A_1$  and  $A_2$  is

$$A_1 = \frac{1+\sqrt{5}}{2\sqrt{5}} \quad & A_2 = \frac{-1+\sqrt{5}}{2\sqrt{5}}$$

Plug into our  $a_n$  formula to get

$$a_n = \underbrace{\left(\frac{1+\sqrt{5}}{2\sqrt{5}}\right)}_{A_1} \left(\frac{1+\sqrt{5}}{2}\right)^n + \underbrace{\left(\frac{-1+\sqrt{5}}{2\sqrt{5}}\right)}_{A_2} \left(\frac{1-\sqrt{5}}{2}\right)^n$$

roots of  $x^2 = x + 1$

A recurrence relation of the form

$$C_0 a_n + C_1 a_{n-1} + \dots + C_r a_{n-r} = 0$$

where the  $C_i$ 's are constants and  $C_0 \neq 0$  and  $C_r \neq 0$   
is an  $r^{th}$  order linear homogeneous recurrence relation

The characteristic equation of

$$C_0 a_n + C_1 a_{n-1} + \dots + C_r a_{n-r} = 0$$

is

$$C_0 x^r + C_1 x^{r-1} + \dots + C_r = 0$$

For instance, the characteristic equation of

$$\begin{matrix} \text{is} \\ a_n - 2a_{n-1} + 3a_{n-2} - 5a_{n-3} = 0 \end{matrix}$$

How did we get there?

$$a_n - 2a_{n-1} + 3a_{n-2} - 5a_{n-3} = 0$$

$\cancel{x^3}$      $\cancel{x^2}$      $\cancel{x}$ -linear term    constant term

$$x^3 - 2x^2 + 3x - 5 = 0$$

What about

$$\begin{matrix} a_n - 2a_{n-1} - 5a_{n-3} = 0 ? \\ \cancel{x^3} \quad \cancel{x^2} \quad \cancel{x} \\ x^3 - 2x^2 - 5 = 0 \end{matrix}$$

The roots of the characteristic equation are called the characteristic roots.

When the characteristic roots are all distinct, the general solution to

$$C_0 A_n + C_1 A_{n-1} + \dots + C_r A_{n-r} = 0$$

is

$$a_n = A_1 (\alpha_1)^n + A_2 (\alpha_2)^n + \dots + A_r (\alpha_r)^n$$

*characteristic roots*

The initial conditions are used to determine

$$A_1, A_2, \dots, A_r$$

Ex Solve

$$a_{n+2} - 10a_{n+1} + 25a_n = 0$$

$$\text{where } a_0 = 7, a_1 = 1$$

Recall

Repeated roots

$$\frac{Ax+B}{x^2+I}$$

Characteristic equation is

$$x^2 - 10x + 25 = 0$$

$$(x-5)^2 = 0$$

$$x=5 \leftarrow \text{root of multiplicity 2}$$

$$\Rightarrow a_n = (A+Bn) \cdot 5^n$$

$$a_0 = 7 \Rightarrow 7 = (A+B \cdot 0) \cdot 5^0$$

$$\Rightarrow 7 = A$$

$$\text{Now we have } a_n = (7+Bn) \cdot 5^n$$

$$\text{Now using } a_1 = 1,$$

$$1 = (7+B \cdot 1) \cdot 5^1$$

$$1 = 35 + 5B$$

$$-\frac{34}{5} = B \quad \Rightarrow a_n = \left(7 - \frac{34}{5}n\right) \cdot 5^n$$

Recall example  
of characteristic equations  
x why do char. eq. work?

$$a_n + 5a_{n-1} + 6a_{n-2} = 0$$

$$\Rightarrow x^2 + 5x + 6 = 0$$

Start with  $(x+1)^4 = \binom{4}{0} + \binom{4}{1}x^1 + \binom{4}{2}x^2 + \binom{4}{3}x^3 + \binom{4}{4}x^4$

$$(x+1)(x+1)(x+1)(x+1)$$

but what if  $(x+1)^{\text{fractional?}}$

Let  $f(x) = (x+1)^4$

draw inspiration from calculus  
Maclaurin series

$$\left. \begin{array}{l} f(x) = (x+4) \\ f'(x) = 4(x+1)^3 \\ f''(x) = 4 \cdot 3(x+1)^2 \\ f'''(x) = 4 \cdot 3 \cdot 2(x+1)^1 \\ f^{(4)}(x) = 4 \cdot 3 \cdot 2 \cdot 1 \end{array} \right\}$$

MacLaurin series for  $f(x)$  is

$$\sum_{n=0}^{\infty} \frac{f^{(n)}(0)x^n}{n!} = f(0) + \frac{f'(0)}{1!}x^1 + \frac{f''(0)}{2!}x^2 + \dots$$

$$= 1 + \frac{4}{1!}x^1 + \frac{4 \cdot 3}{2!}x^2 + \frac{4 \cdot 3 \cdot 2}{3!}x^3 + \frac{4 \cdot 3 \cdot 2 \cdot 1}{4!}x^4$$

$$= \binom{4}{0} + \binom{4}{1}x^1 + \binom{4}{2}x^2 + \binom{4}{3}x^3 + \binom{4}{4}x^4 \quad \text{← Matches binomial theorem}$$

This can be done for any function of the form

$$f(x) = (x-1)^\alpha, \text{ where } \alpha \text{ is any real number}$$

## Newton's Binomial Theorem

$$(x+1)^\alpha = \sum_{r=0}^{\infty} \binom{\alpha}{r} x^r$$

$$\frac{\alpha(\alpha-1)(\alpha-2)\dots(\alpha-r+1)}{r!}$$