# **Assignment 5**

### Fall 2016 CS834 Introduction to Information Retrieval Dr. Michael Nelson

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#### **1 Question 10.5**

#### 1.1 Question

Find a community-based question answering site on the Web and ask two questions, one that is low-quality and one that is high-quality. Describe the answer quality of each question.

#### 1.2 Results and Discussion

Yahoo! Answers is the question and answering website I chose to use to complete this exercise. First, the high quality question I asked was:

"Were there treaties in place at that time of Russia's annexation of Crimea which were, without doubt, violated by this act?"

I deem this question to be of high quality based on grammaticality, spelling and punctuation, as well as the use of concise terminology that may be slightly obscure to an uneducated reader.

It is also a focused question that has a definite set of possible answers. Either treaties exist that were broken or there weren't, albeit with some amount of flexibility due to legal interpretation.

An example of a good response I received within minutes of posting the question is this:

Absolutely, the Treaty of the Separation of States between Ukraine and Russia (c.1994) gave all Crimea to Ukraine without doubt. By said treaty Russia got to keep it's historic naval base in Crimea... But not an inch more. However, even in the mid 90's Crimea's population was 98 percent Russian, and Russia did have historic ties there from 1700 on.

This answer is well thought out and readable. It references an item that directly answers the question: a treaty that between the Russian Federation, the United States of America, and the United Kingdom concerning the territorial integrity and political independence of Ukraine (among other nations) in exchange for their nuclear disarmament. The answer also provides some reasoning as to how it could be a justified act in the eyes of the Russian Federation, which adds to the quality of the answer by providing insight from multiple perspectives.

Now, for the poor quality question, I asked:

"Trump is not russia, y u no belief he got hakerz to do it to us?"

Firstly, it begins with a premise that doesn't make semantic sense; I doubt there are many people who would suspect that Trump *is* Russia. It also lacks focus as to what is being asked for and has no real context and is full of misspellings and terrible grammar. For these reasons this is a bad question.

Correspondingly, the answers were lacking in quality based on content, poor grammar and spelling errors. Some could even be considered offensive. Refer to Table 1 for some sample answers.

Trump is Putin's *****
Ye
we got hakerzed in the ***
no
Penis

Table 1: Responses to "Trump is not russia, y u no belief he got hakerz to do it to us?"

So there does seem to be a correlation between the quality of the question and the quality of the answers one can expect to receive. Higher quality questions tend to receive higher quality answers.

#### 2 Question 10.6

#### 2.1 Question

Find two examples of document filtering systems on the Web. How do they build a profile for your information need? Is the system static or adaptive?

#### 2.2 Answer

My first example is Amazon (www.amazon.com). This is an Internet marketplace where nearly any type of product can be purchased online and delivered to one's home. The document filtering Amazon performs is done in an adaptive manner using a combination of the user data from previous purchases to recommend new items the user may be interested in. The system can also recommend items that are often purchased in conjunction with items the user is viewing while browsing the item repository. For example, if one purchases a mechanical pencil, it is likely that the user will also be interested in purchasing graphite refills or replacement erasers. This system changes over time as the database of related items is based on the past purchases of the different items and how some items are more often purchased together than others.

The second example is Netflix (www.netflix.com). This is an online video streaming service that famously posted an open challenge for anyone that could provide a better recommendation system than their own implementation and provided a large data set for anyone to use to develop and test their own recommender system. If any party could create a system that beat their existing system's computed root mean squared error (RMSE). The team with the highest reduction in error was declared the winner.

The data used for the challenge was over 100 million ratings from 400 thousand users regarding 17 thousand movies. They came as a quadruplet matching the form:

<user, movie, date of grade, grade>

The user and movie fields are simple unique identifiers. The grade is an integer from 1 to 5. The goal of the recommender system is to predict the rating a user *would* give to a movie they haven't yet watched. This is a dynamic system as it uses live user data to provide a recommendation of a predicted highly rated movie for each user to watch based on their ratings of previously watched movies.

#### 3 Question 11.2

#### 3.1 Question

Does your favorite web search engine use a bag of words representation? How can you tell whether it does or doesn't?

#### 3.2 Answer

My favorite search engine is Google. I am fairly certain they do not limit their retrieval model to the simple bag-of-words model described by the text book.

As an example, there is a well known online meme, or trend, called "y u no guy". It is an image macro used to bring attention to something and uses a popular practice for online forums of shortening words to their root sound corresponding to a letter, e.g. "you" becomes "u". The target message is accompanied by a cartoon man with a face of frustration and rage. See Figure 1 for the results of issuing the query "y u no" to Google's search engine.

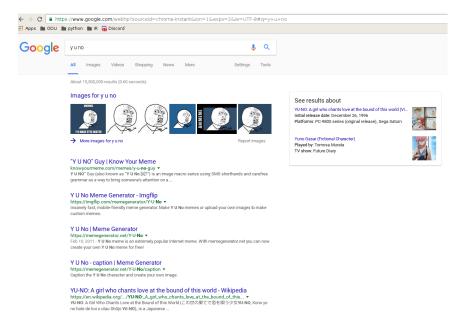


Figure 1: y u no guy

Google recognizes these three simple terms to be part of a phrase and retrieves results based on that phrase with a high degree of accuracy.

To show that Google's engine does not use the bag-of-words model, consider this similar search with the terms "no u y".

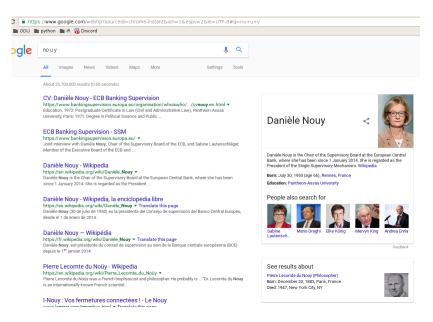


Figure 2: Daniele Nouy

With the same three terms in a different order, a completely different ranking is presented by the search engine. The results for this search are not regarding y u no guy at all, they are dominated by pages about the Chair of the Supervisory Board at the European Central Bank, Daniele Nouy. This shows that Google's engine accounts for query term ordering, which would not be present in a plain bag-of-words retrieval model.

#### 4 Question 11.4

#### 4.1 Question

Show how the linear feature-based ranking function is related to the abstract ranking model from Chapter 5.

#### 4.2 Answer

Starting with the first mention of the abstract ranking model, refer to Figure 3. This is an example of the ranking function for a single document.

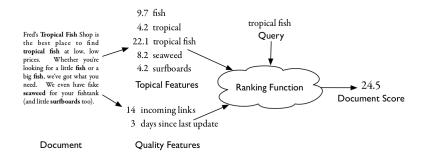


Figure 3: The components of the abstract model of ranking...

And a more formal definition of the abstract ranking model can be found in equation 1:

$$R(Q, D) = \sum_{i} g_i(Q) f_i(D) \tag{1}$$

This is a linear combination of two feature functions,  $f_i$  is a feature function that extracts a score from the document and  $g_i$  is a feature function that extracts a score from the query.

Now, for a definition of the linear feature-based retrieval model, refer to Equation 2:

$$S_{\Lambda}(D;Q) = \sum_{j} \lambda_{j} \cdot f_{j}(D,Q) + Z \tag{2}$$

here,  $f_j$  is a feature function that maps query/document pairs to real values, i.e. scores, so it is also a linear combination of functions that emit scores based on features of some related piece of the components of the formulation, either the document, the query, some parameter  $(\lambda \in \Lambda)$ , or a constant that is not related to the document but could be related to the query (Z). This is very similar to how the abstract ranking model functions in that it is a summation of a group of scoring functions over the elements to be ranked. The mechanism is the same as before with the abstract model, with the addition of the  $\lambda$  parameters.

## **5 SVM Light Example**

#### 5.1 Exercise

Work through the "Inductive SVM" example, discuss in detail the steps and resulting output.

### 5.2 Inductive Example

SVM Light [1] and the Inductive example were downloaded from http://www.cs.cornell.edu/People/tj/svm\_light/.

Following the instructions in the Inductive example, the svm\_learn program was invoked on the training data file train.dat, the output from which can be found in Listing 1. This produced the model file that is used in the classification step.

```
[mchaney@mchaney-l svmlight]$ ./svm learn train.dat model
   Scanning examples ... done
   Reading examples into memory...100..200..300..400..500..600..700..800..
   900..1000..1100..1200..1300..1400..1500..1600..1700..1800..1900..2000..
   OK. (2000 examples read)
   Setting default regularization parameter C=1.0000
   Optimizing .....
10
11
13 .......done. (425 iterations)
14 Optimization finished (5 misclassified, maxdiff=0.00085).
15 Runtime in cpu-seconds: 0.07
   Number of SV: 878 (including 117 at upper bound)
19 Norm of longest example vector: |x|=1.00000 20 Estimated VCdim of classifier: VCdim<=383.42791
21 Computing XiAlpha-estimates...done
22 Runtime for XiAlpha-estimates in cpu-seconds: 0.00
23 XiAlpha-estimate of the error: error <=5.85\% (rho=1.00,depth=0) 24 XiAlpha-estimate of the recall: recall=>95.40% (rho=1.00,depth=0)
25 XiAlpha-estimate of the precision: precision=>93.07% (rho=1.00,depth=0) 26 Number of kernel evaluations: 45954
27 Writing model file ... done
```

Listing 1: output of the sym learn program using the train.dat data file

After the model file was generated the example directs the user to run the svm\_classify program on the provided test data (filename: test.dat) using the training model (filename: model) from the previous step.

#### 5.3 Results

As the results show in Listing 2, the precision was 96.67% and the recall was 99.00%, which are very high scores for these measures.

```
28 [mchaney@mchaney-l svmlight]$ ./svm_classify test.dat model predictions
29 Reading model...OK. (878 support vectors read)
30 Classifying test examples..100..200..300..400..500..600..done
31 Runtime (without IO) in cpu-seconds: 0.00
32 Accuracy on test set: 97.67% (586 correct, 14 incorrect, 600 total)
33 Precision/recall on test set: 96.43%/99.00%
```

Listing 2: output of the sym\_classify program using the model training data file

## 6 References

[1] Thorsten Joachims. Svm light. Available at: http://www.cs.cornell.edu/People/tj/svm\_light/. Accessed: 2016/12/13.