

# ARTIFICIAL INTELLIGENCE

Scalab Group

Universidad Carlos III de Madrid

AI



uc3m

# Outline

- 1 Introduction
- 2 Uninformed search
- 3 Heuristic Search

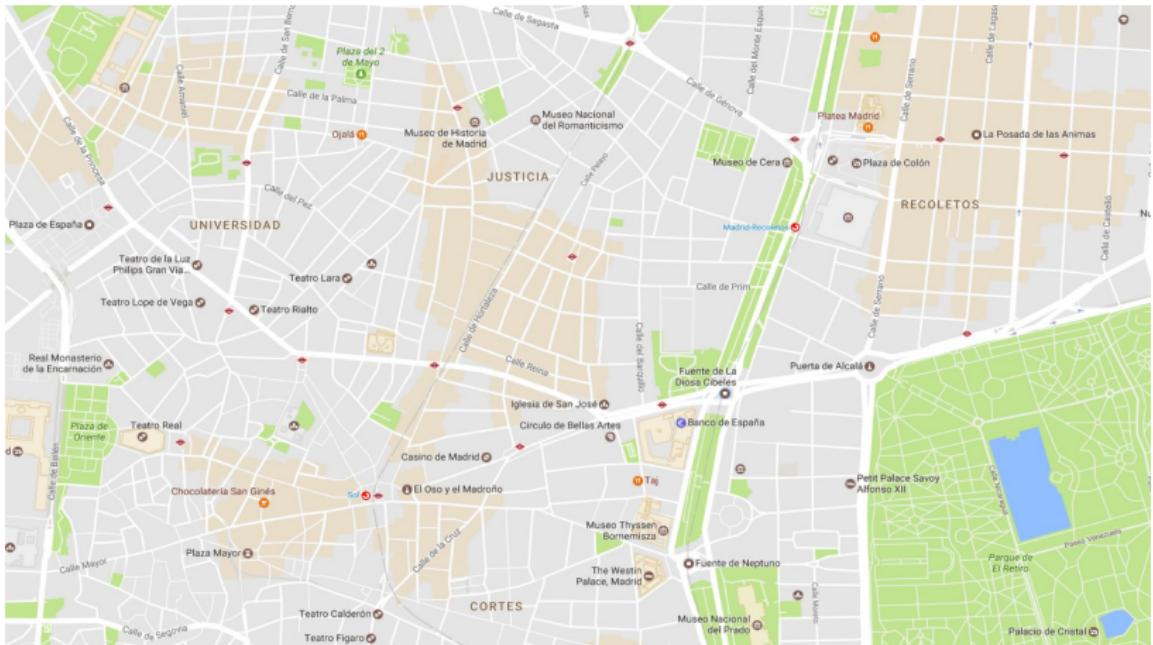
# Outline

1 Introduction

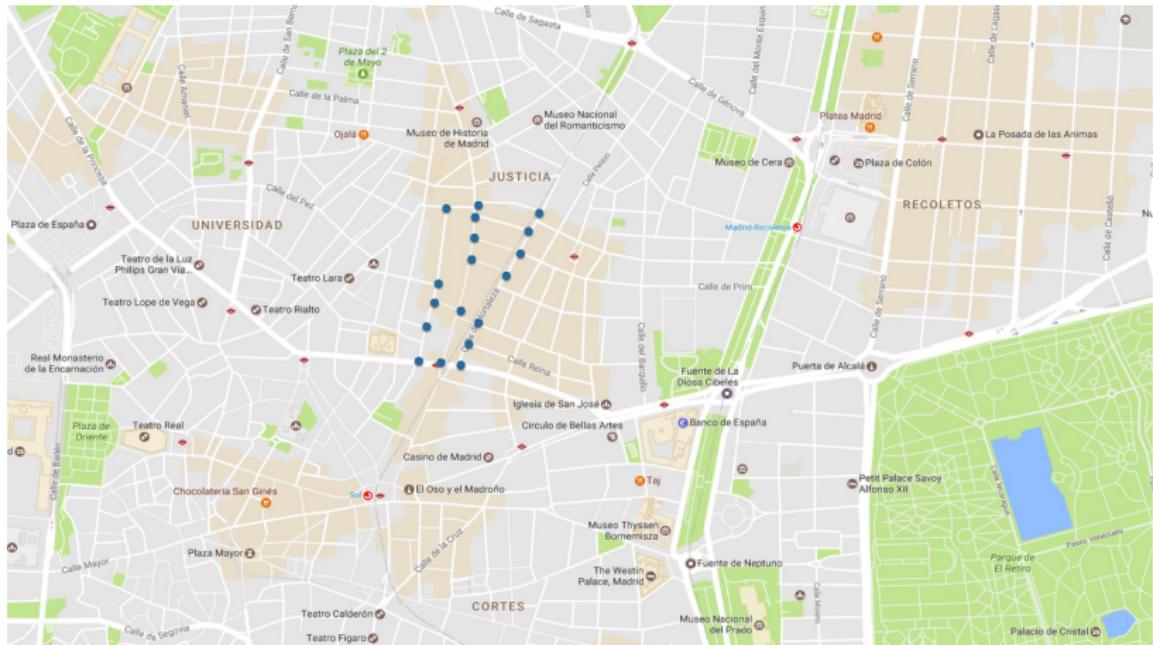
2 Uninformed search

3 Heuristic Search

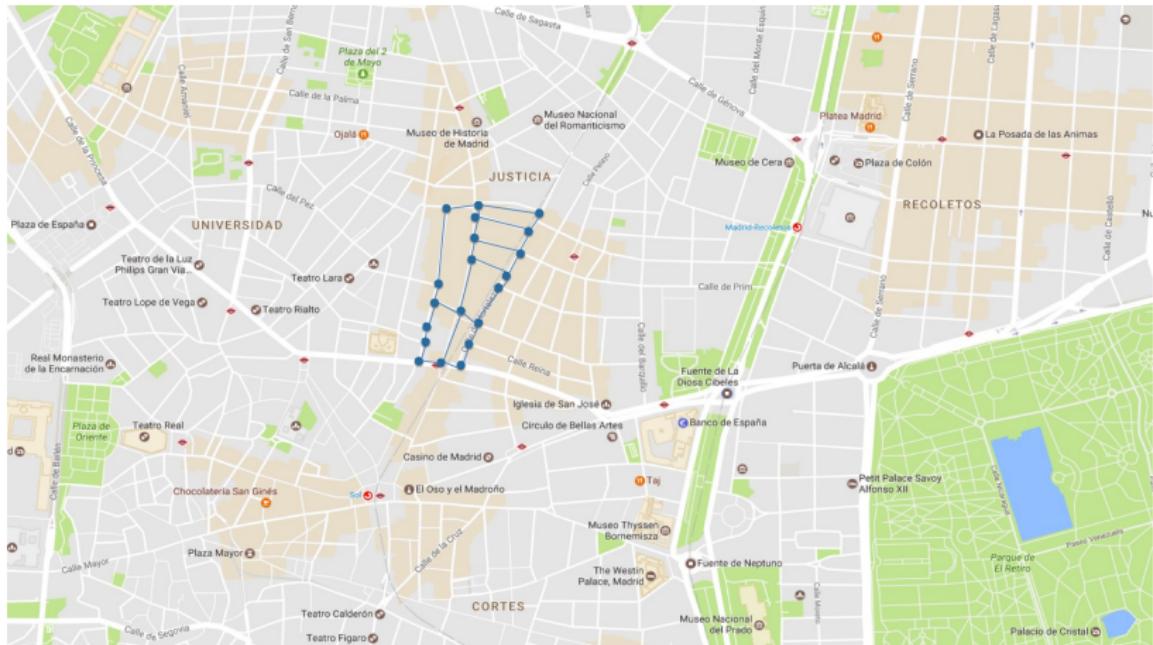
# Problem Spaces



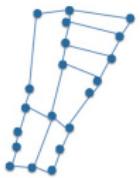
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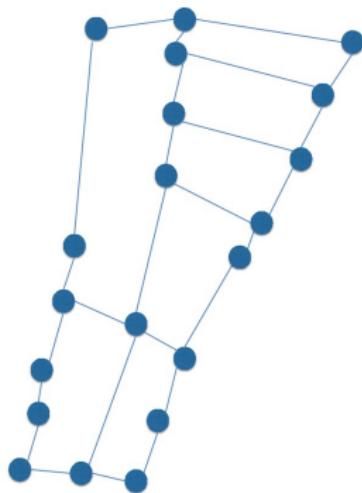
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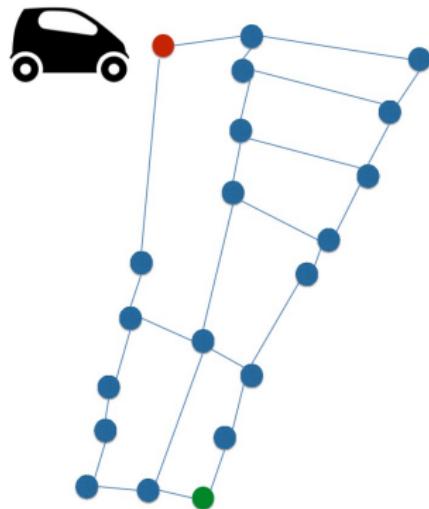
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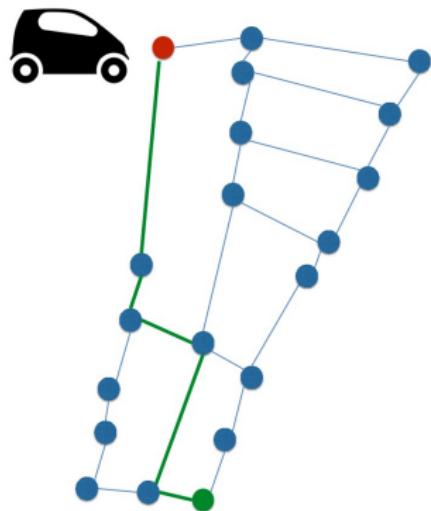
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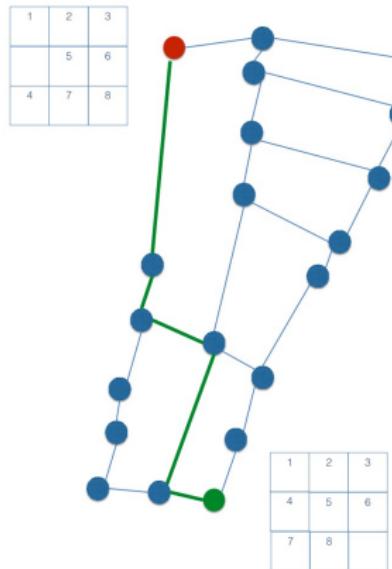
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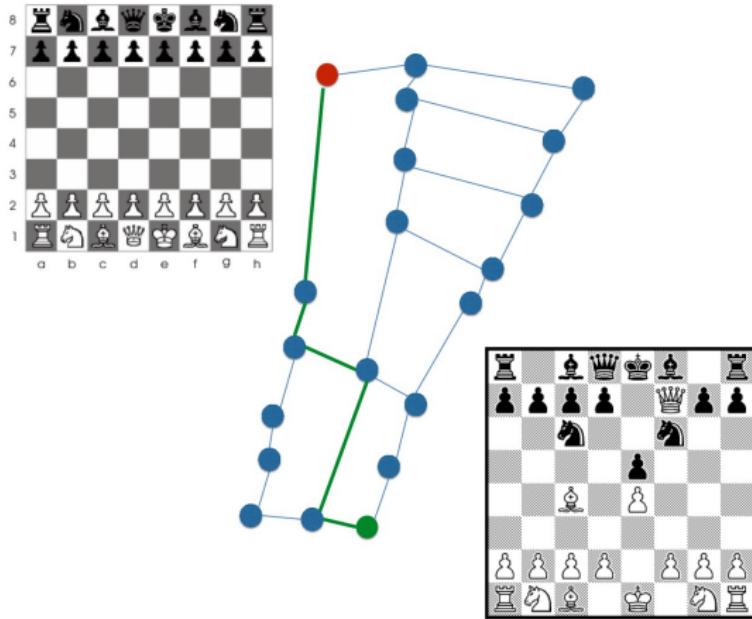
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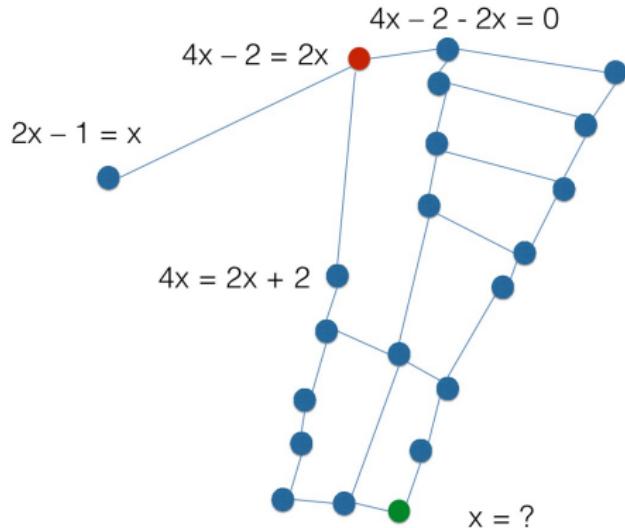
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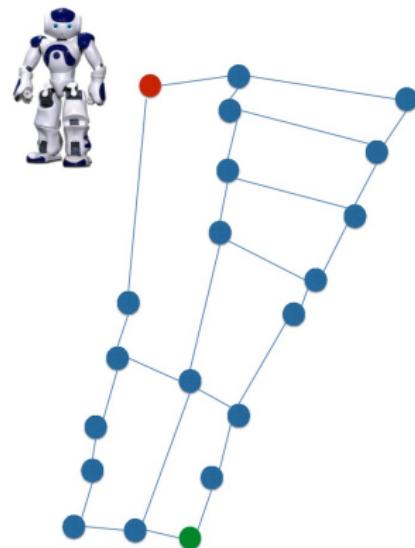
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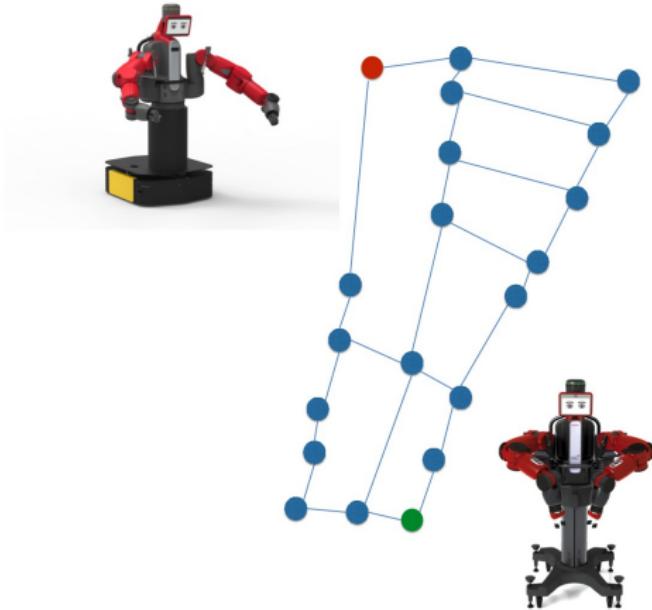
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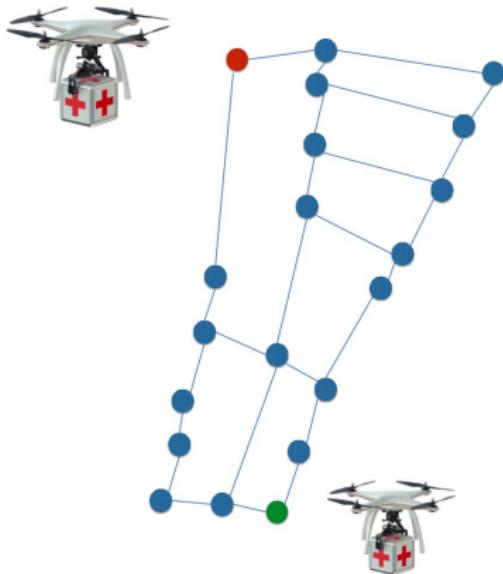
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# Problem Spaces

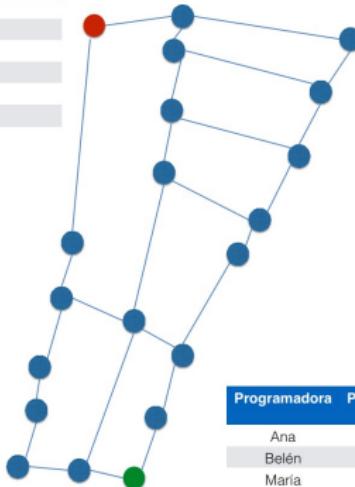


# Problem Spaces



# Problem Spaces

Programadora	Proyecto 1	Proyecto 2	...	Proyecto N
Ana				
Belén				
Maria				
Eva				
Magdalena				
Margarita				



Programadora	Proyecto 1	Proyecto 2	...	Proyecto N
Ana	?	?	?	?
Belén	?	?	?	?
Maria	?	?	?	?
Eva	?	?	?	?
Magdalena	?	?	?	?
Margarita	?	?	?	?



# Problem Spaces

8	2	5	9
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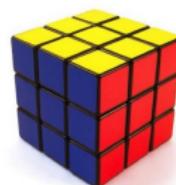
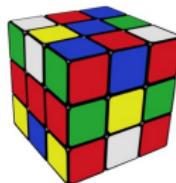
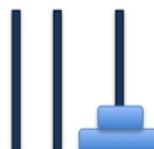
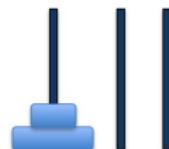
$$3x+4=5x$$

2	5	8	9
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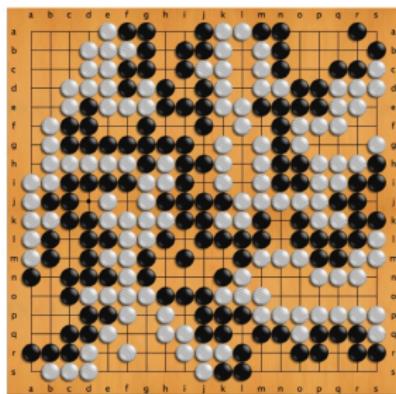
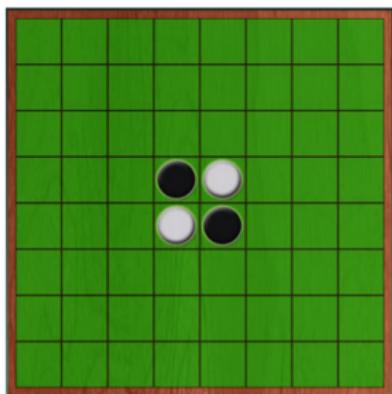
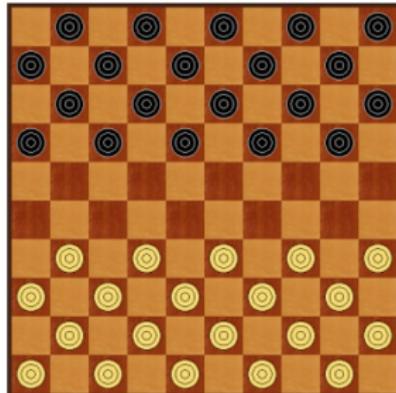
$$x=?$$

1	2	6
3	5	
4	7	8

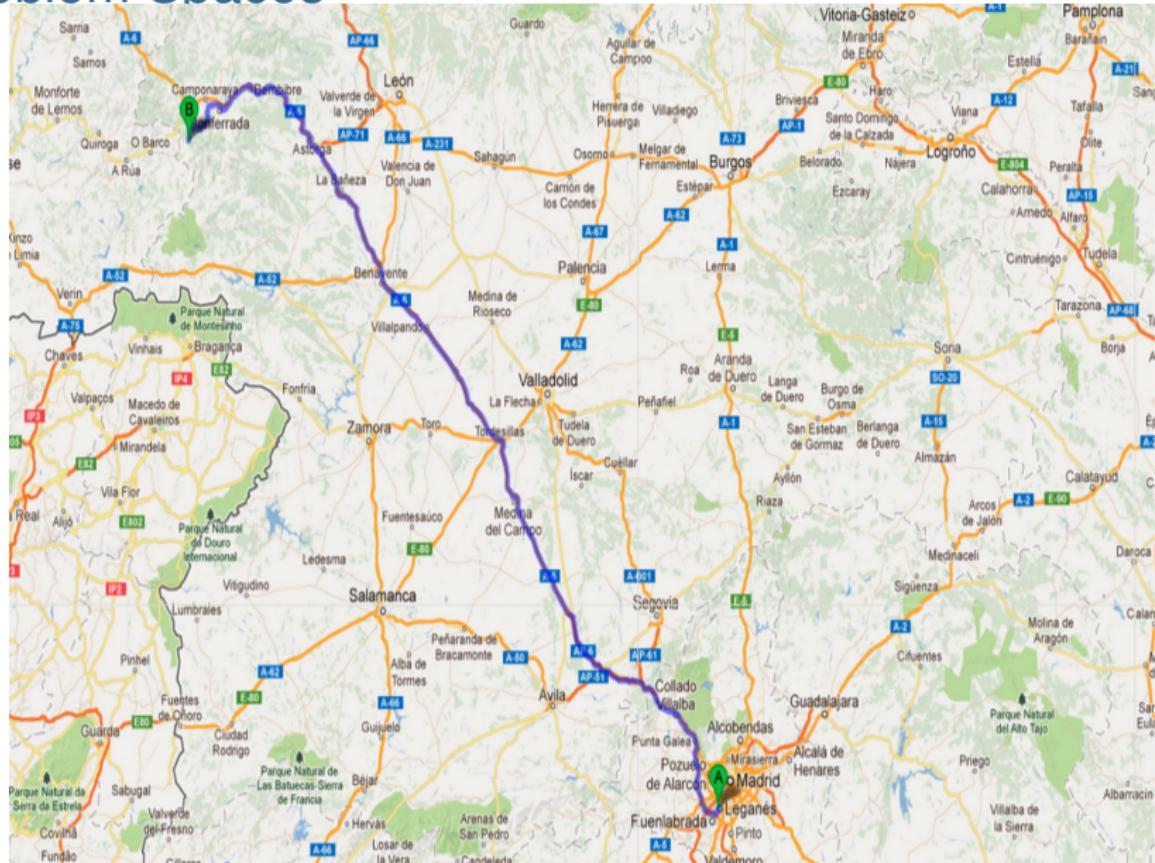
1	2	3
4	5	6
7	8	



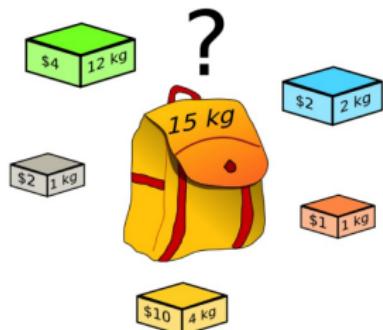
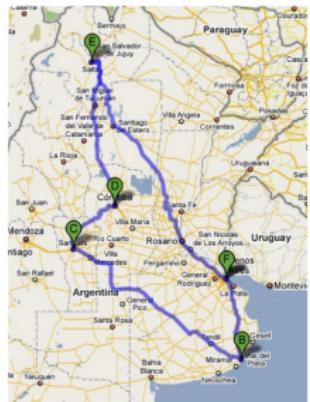
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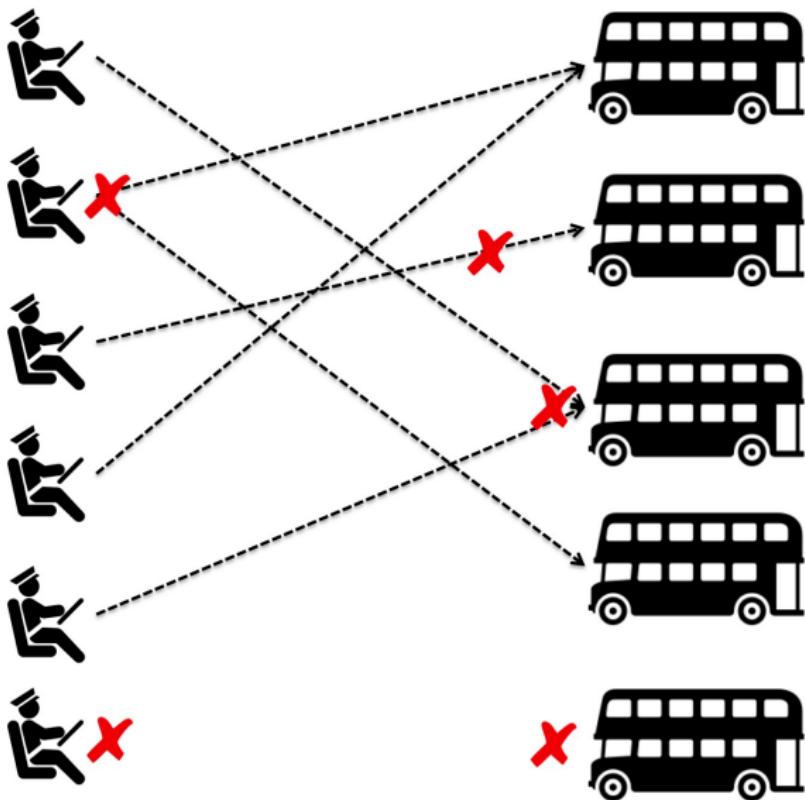
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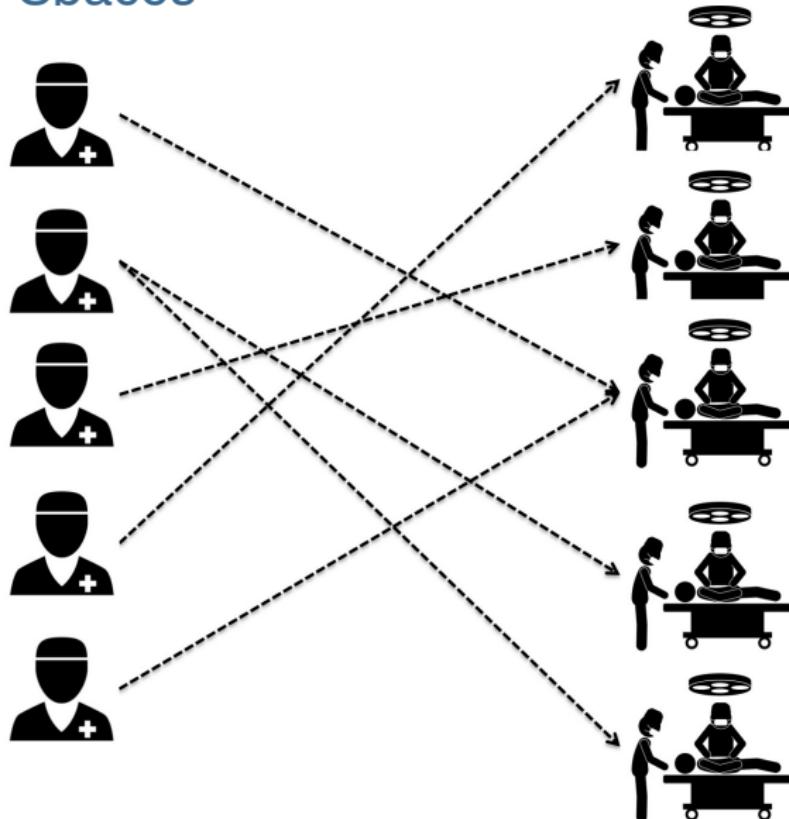
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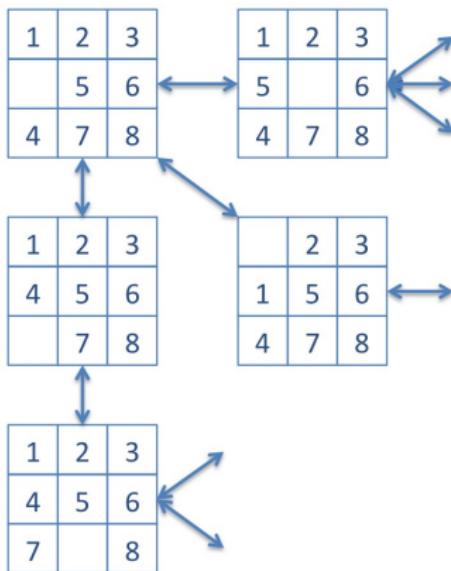


# Introduction

- Problem space
  - a set of states
  - a set of operators

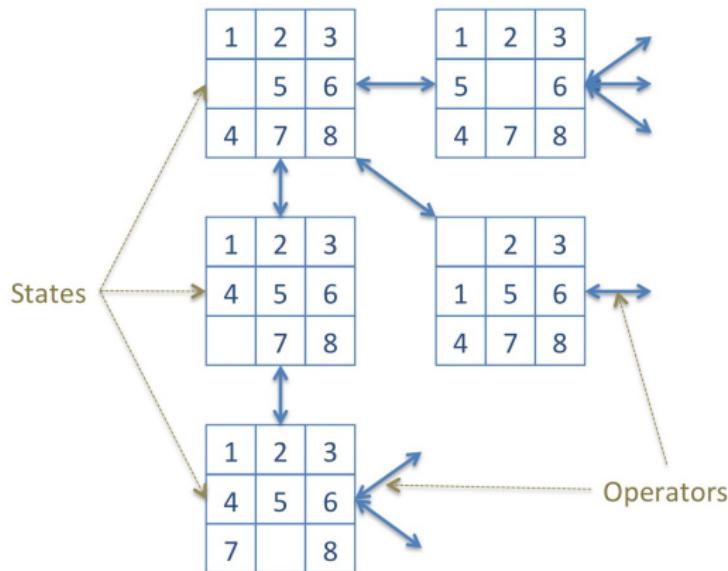
# Introduction

- Problem space: 8-puzzle
  - a set of states
  - a set of operators



# Introduction

- Problem space: 8-puzzle
  - a set of states
  - a set of operators



# Introduction

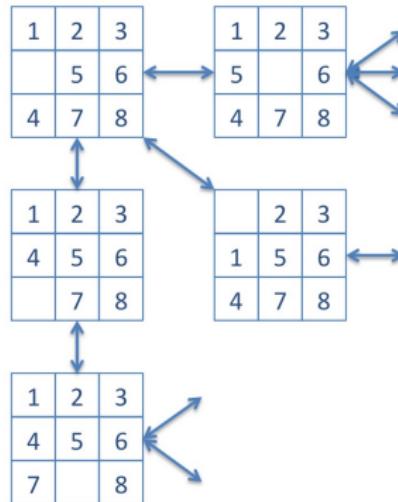
- Problem space
  - a set of states
  - a set of operators
- Problem
  - initial state (s)
  - goal (s) or final state(s)

1	2	3
	5	6
4	7	8

1	2	3
4	5	6
7	8	

# Introduction

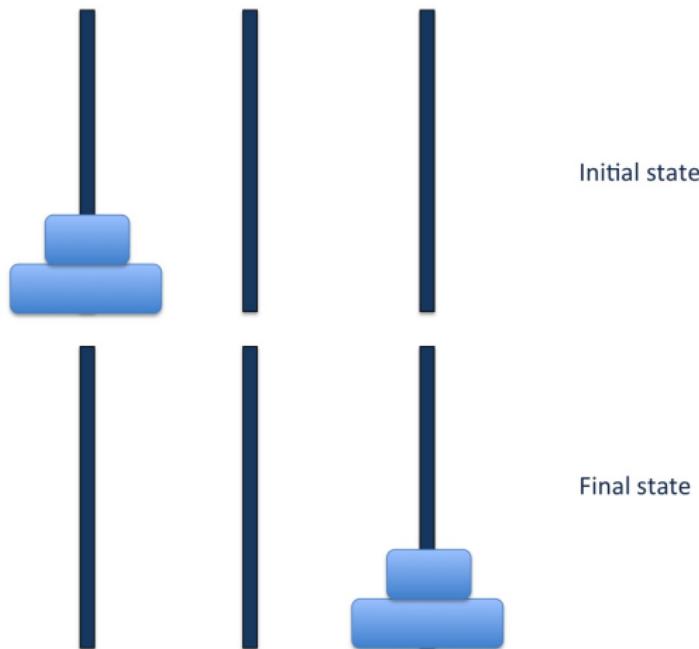
- Problem space
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  - a set of operators
- Problem
  - initial state (s)
  - goal (s) or final state(s)
- Graph representation



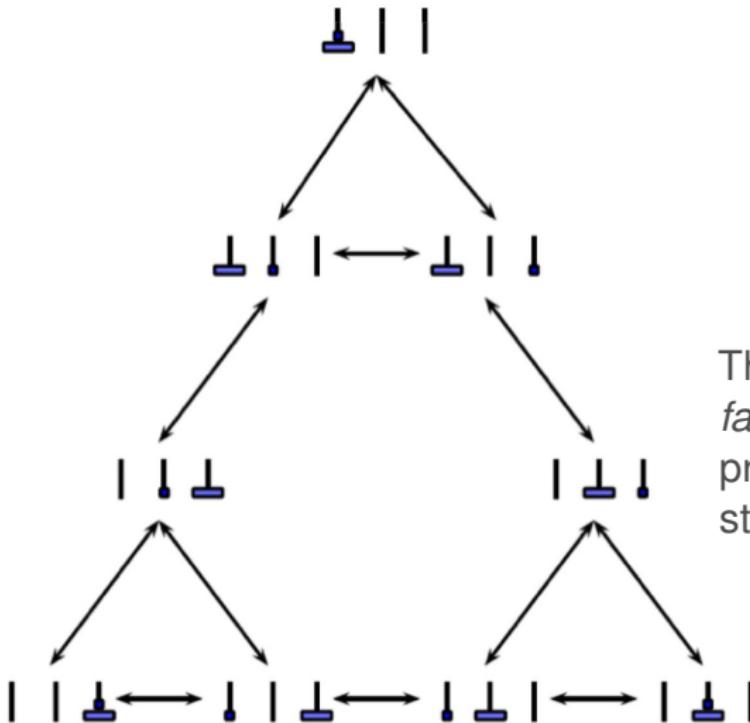
# Introduction

- Problem space
  - a set of states
  - a set of operators
- Problem
  - initial state (s)
  - goal (s) or final state(s)
- Graph representation
- Problem solving = graph search
- Search generates a tree
- Parameters
  - branching factor,  $b$
  - depth of the search tree,  $d$

## Example: Towers of Hanoi (3,2)

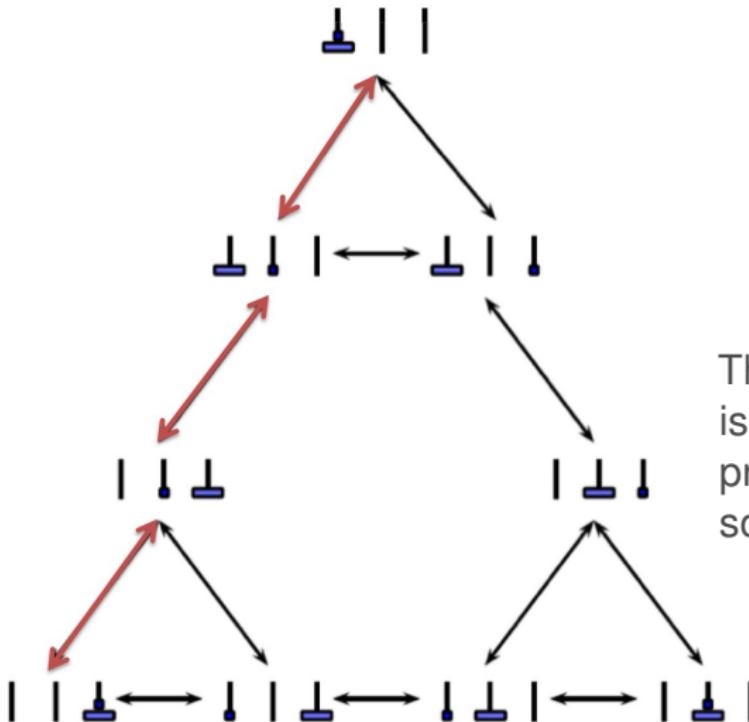


## Example: Towers of Hanoi (3,2)



The *branching factor* ( $b = \frac{8}{3}$ ) is a property of the state graph

## Example: Towers of Hanoi (3,2)

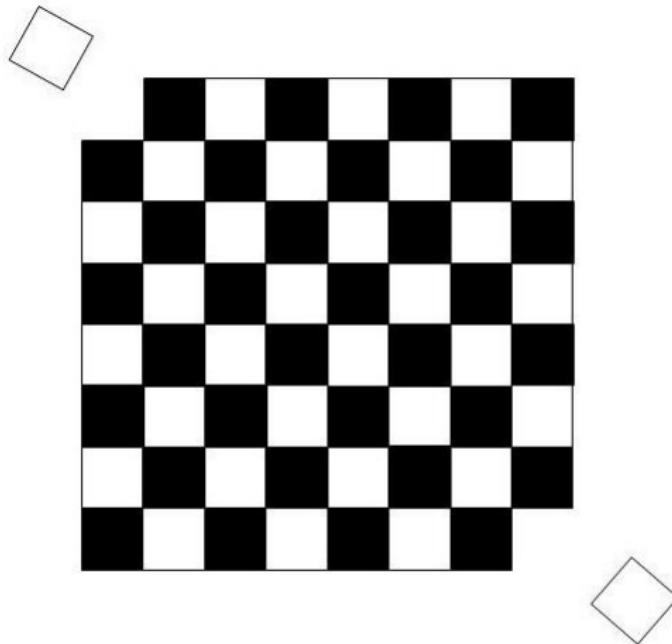


The *depth* ( $d = 3$ )  
is a property of the  
problem to be  
solved

# Combinatorial explosion

Domain	Number of states	Time ( $10^7$ nodes)
8-puzzle	$\left(\frac{N!}{2}\right) _{N=3} = 181.440$	0.01 seconds
15-puzzle	$\left(\frac{N!}{2}\right) _{N=4} = 10^{13}$	11,5 days
24-puzzle	$\left(\frac{N!}{2}\right) _{N=5} = 10^{25}$	$31,7 \times 10^9$ years
Hanoi (3,2)	$(3^n) _{n=2} = 9$	$9 \times 10^{-7}$ seconds
Hanoi (3,4)	$(3^n) _{n=4} = 81$	$8,1 \times 10^{-6}$ seconds
Hanoi (3,8)	$(3^n) _{n=8} = 6561$	$6,5 \times 10^{-4}$ seconds
Hanoi (3,16)	$(3^n) _{n=16} = 4,3 \times 10^7$	4,3 seconds
Hanoi (3,24)	$(3^n) _{n=24} = 2,824 \times 10^{11}$	0,32 days
hline Rubik cube $2 \times 2 \times 2$	$10^6$	0,1 seconds
Rubik cube $3 \times 3 \times 3$	$4,32 \times 10^{19}$	31.000 years

# The role of representation. Mutilated chessboard

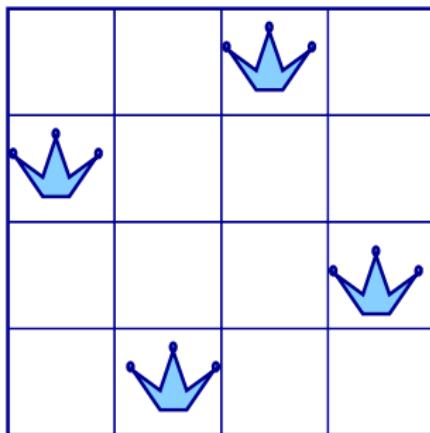


can you fill it with dominoes pieces?

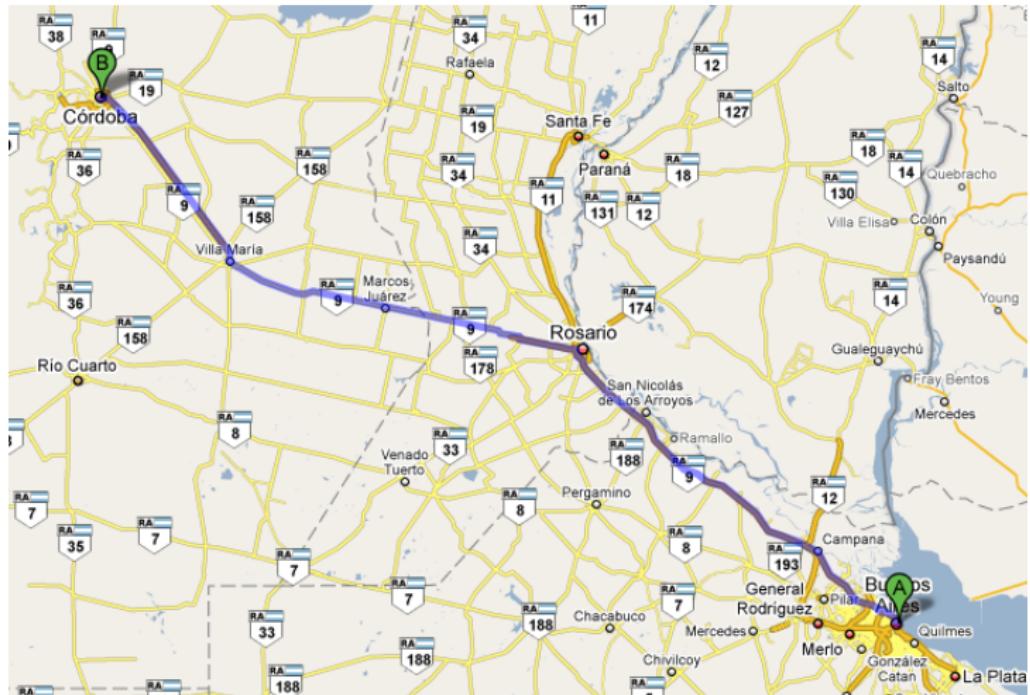
## Example: 8 queens

- Objective: Place 8 queens on a chess board in a way that they do not attack each other (a queen attacks another if they both are on the same row, column or diagonal)
- Two possible formulations of the problem:
  - Formulation of complete states: place the 8 queens on the table and move them
  - Incremental formulation: start with an empty table, and place the queens one at a time
- There is no explicit description of the goal state and the solution path is not relevant

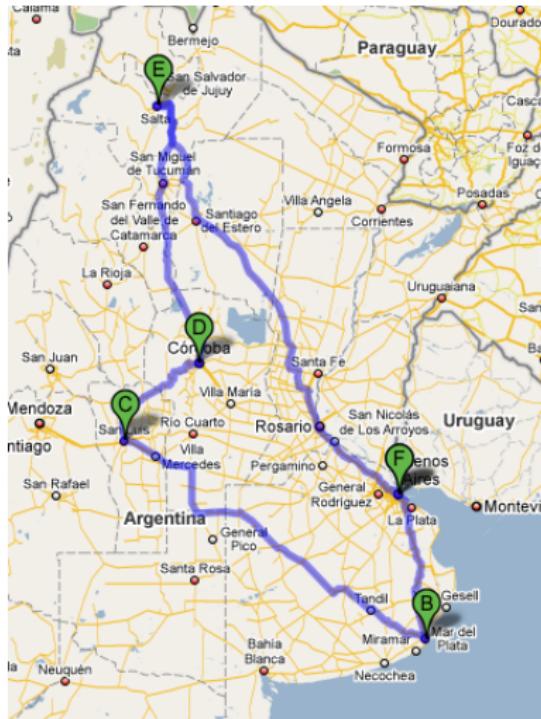
# Constraints satisfaction. 4-queens



# Shortest path

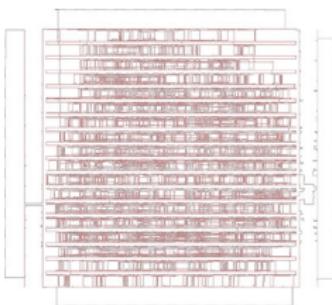


# Travelling Salesman Problem



# Travelling salesperson

- Complexity: 49-city map (1950s); 2,392-city map (1980s); and 85,900-city map (2006)



- Layout of cities (BELL Labs): design of a customized computer chip
- Solution: shortest path for a laser to follow as it sculpts the chip

# Genetics

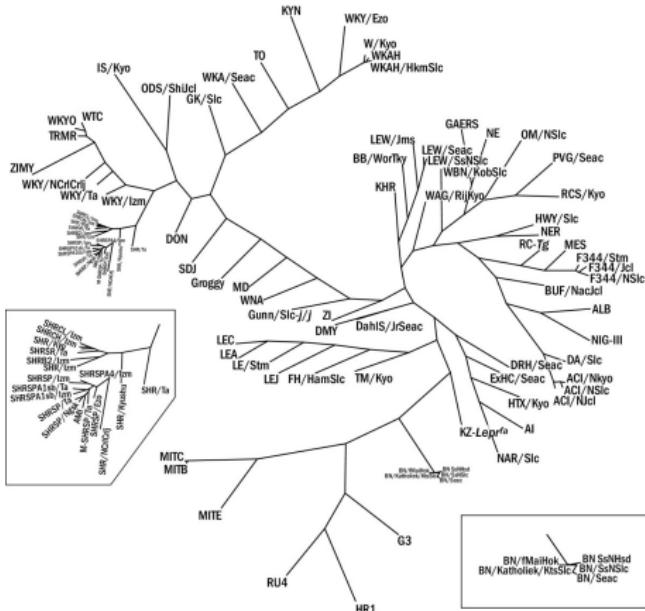


Figure 4

# Games



# Autonomous vehicles



<http://www.youtube.com/watch?v=7R7d-bYSyUE>

# Outline

## 1 Introduction

## 2 Uninformed search

Breadth-first Search

Depth-first Search

Non-uniform Costs Search

## 3 Heuristic Search

# Outline

1 Introduction

2 Uninformed search

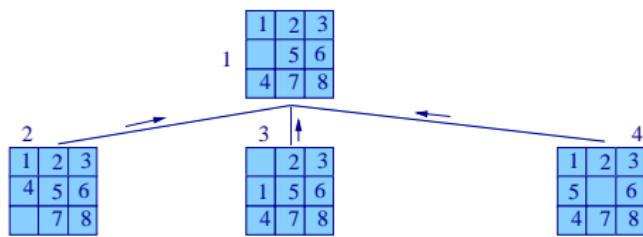
Breadth-first Search

Depth-first Search

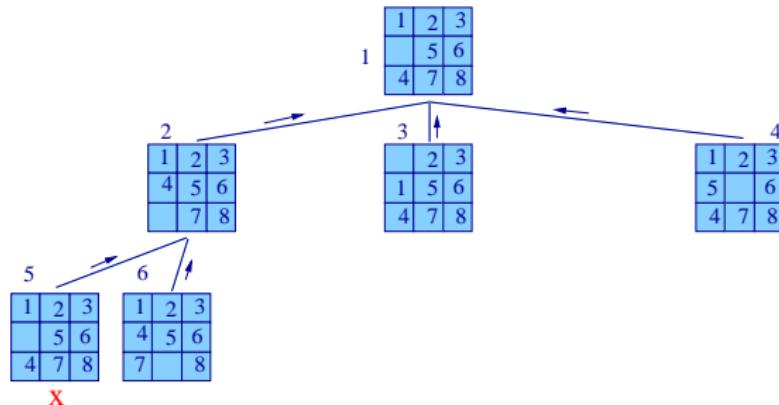
Non-uniform Costs Search

3 Heuristic Search

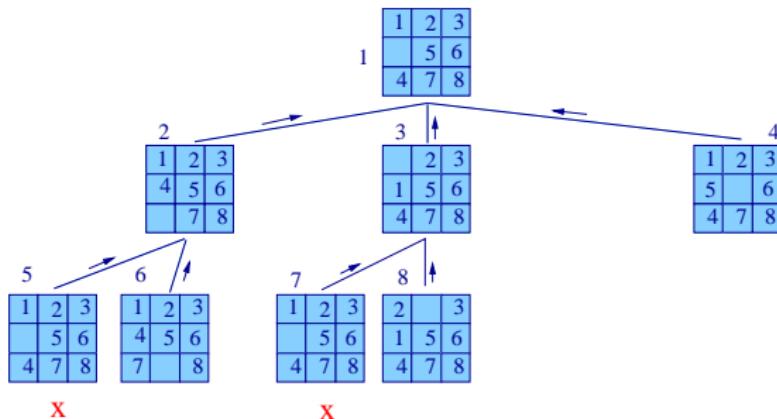
# 8-Puzzle – Breadth-first



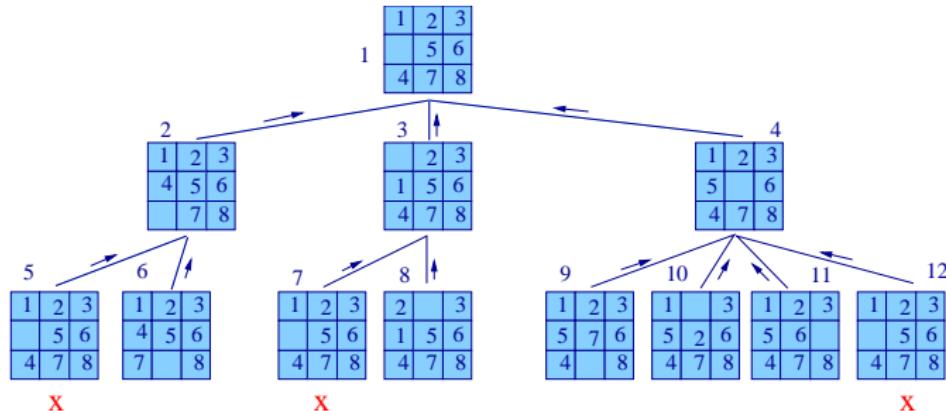
# 8-Puzzle – Breadth-first



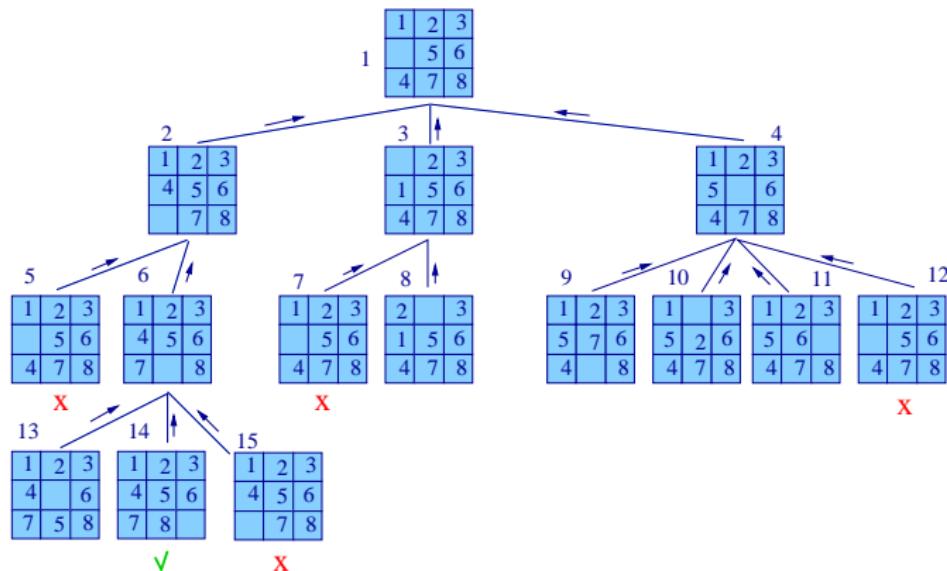
# 8-Puzzle – Breadth-first



# 8-Puzzle – Breadth-first



# 8-Puzzle – Breadth-first



# Breadth-first Search

## Breadth-first search (Initial-state, Final-state)

- 1 Create an OPEN list with the initial node, I, (initial-state)
- 2 OUTCOME=False
- 3 Repeat until OPEN list is empty or OUTCOME is true

    Remove the first node from OPEN list, N

    If N has successors

        Then Generate the successors of N

            Create pointers from the successors to N

            If a successor is a goal node

                Then OUTCOME=True

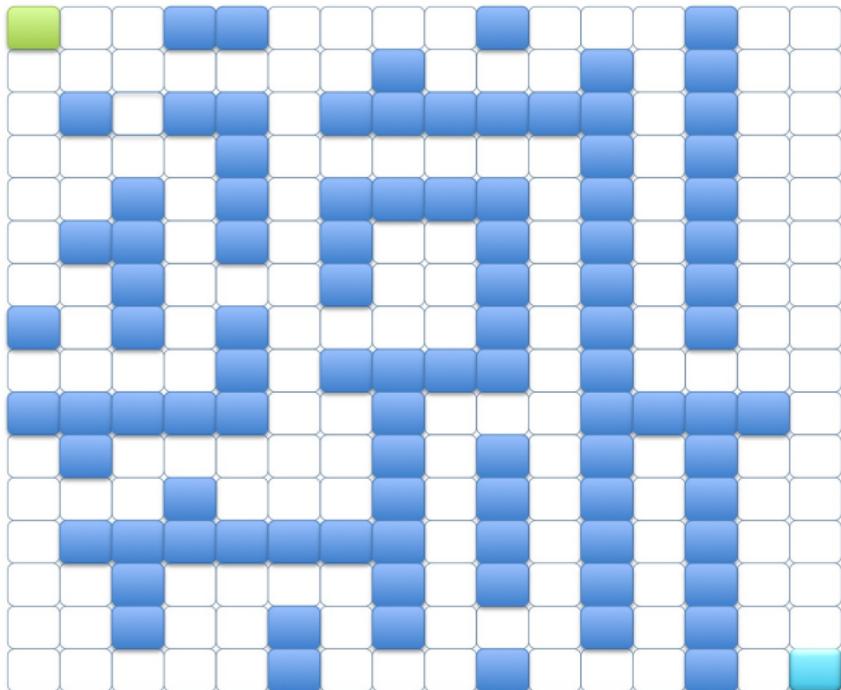
                Else add successors at end of OPEN list

- 4 If OUTCOME

        Then Solution = path from I to N through the pointers

        Else Solution = False

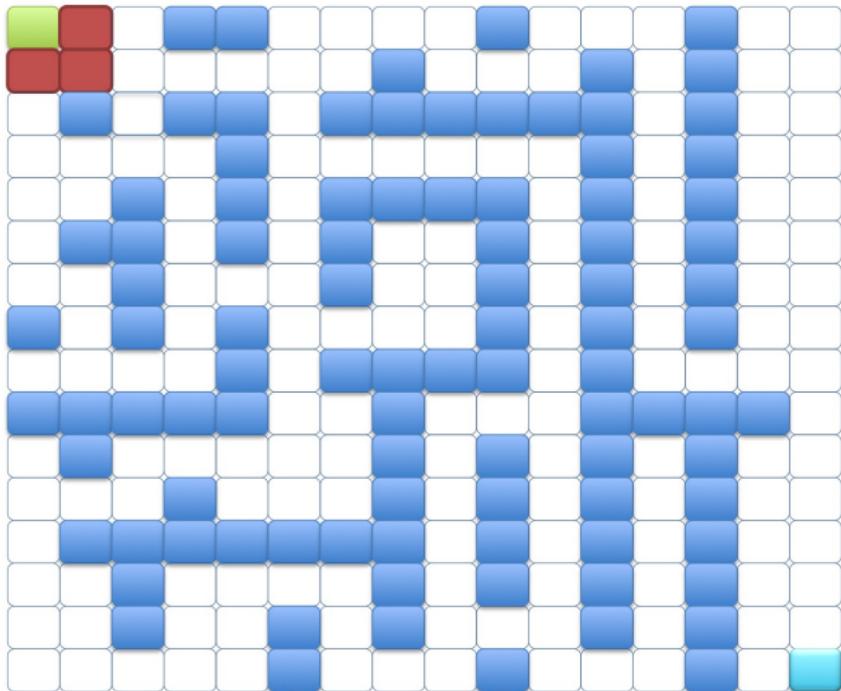
# Labvrinth



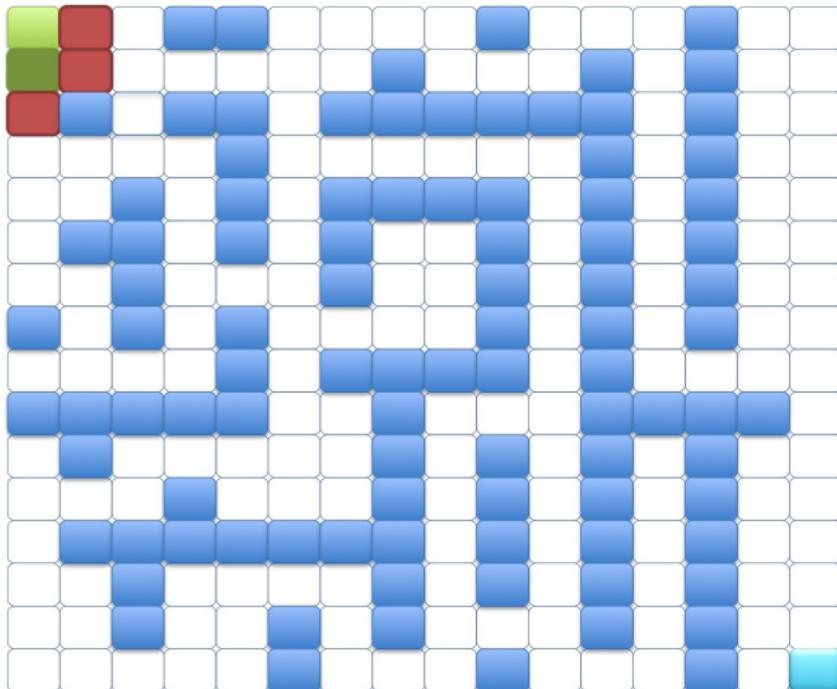
# Labvrinth



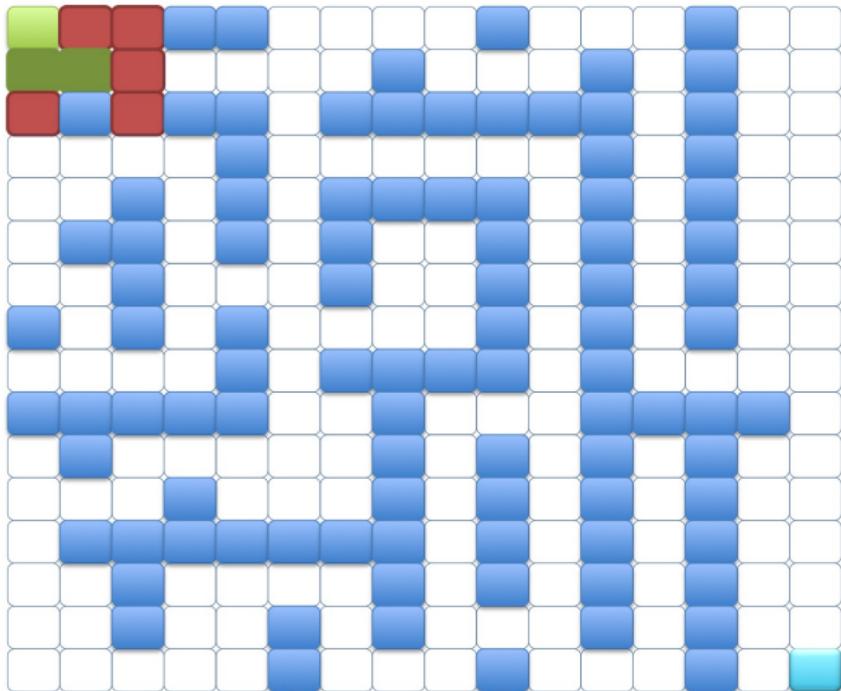
# Labvrinth



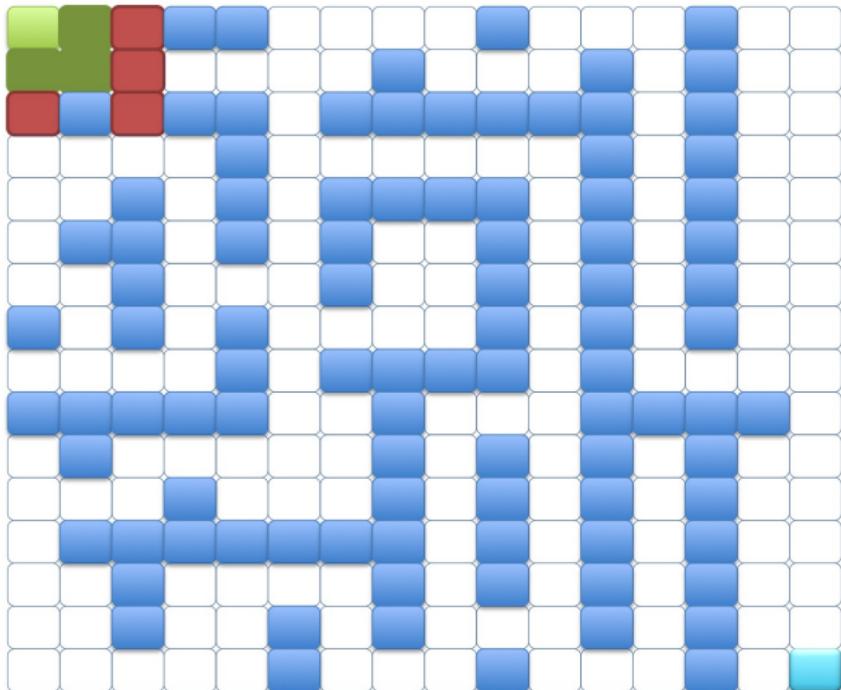
# Labvrinth. Repeated states



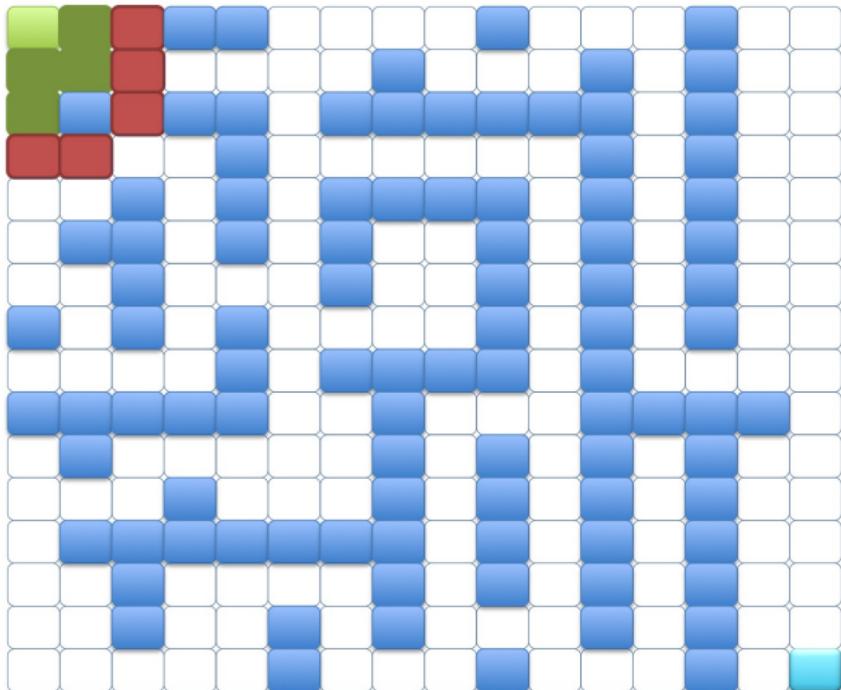
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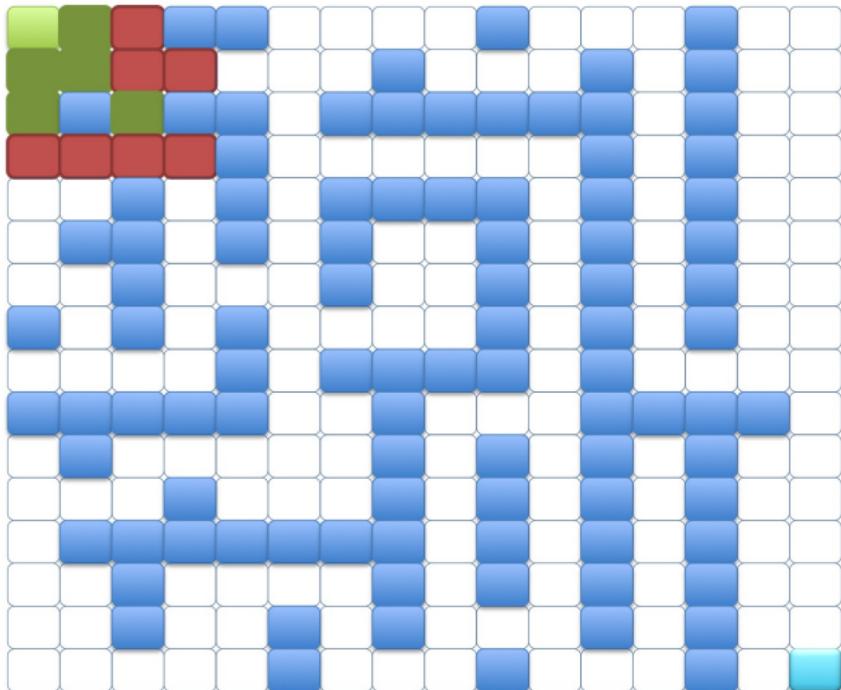
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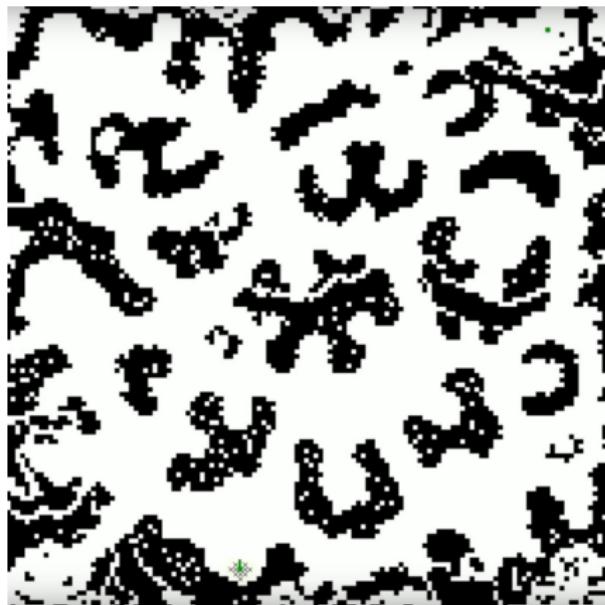
# Labvrinth



# Labvrinth



## Example in a game map



<https://www.youtube.com/watch?v=z6lUnb9ktkE>

# Characteristics

- **Completeness**: if a solution exists and the branching factor is finite at each node, then it will find it
- **Admissibility**: if all the nodes have the same cost, it will find an optimal solution
- **Efficiency**: good if the goals are near
- **Problem**: exponential memory consumption

# Outline

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2 Uninformed search

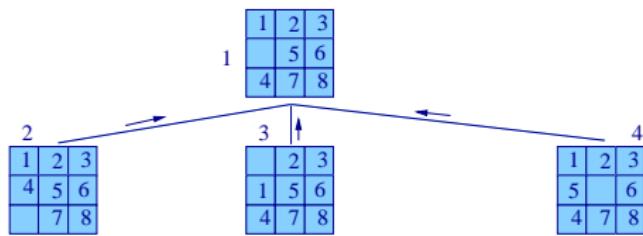
Breadth-first Search

Depth-first Search

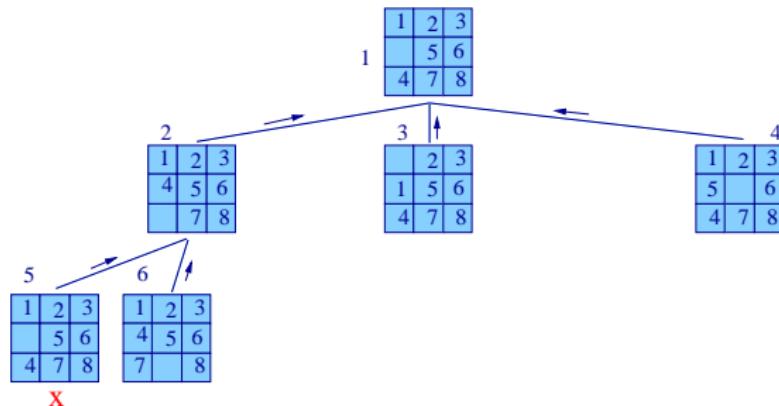
Non-uniform Costs Search

3 Heuristic Search

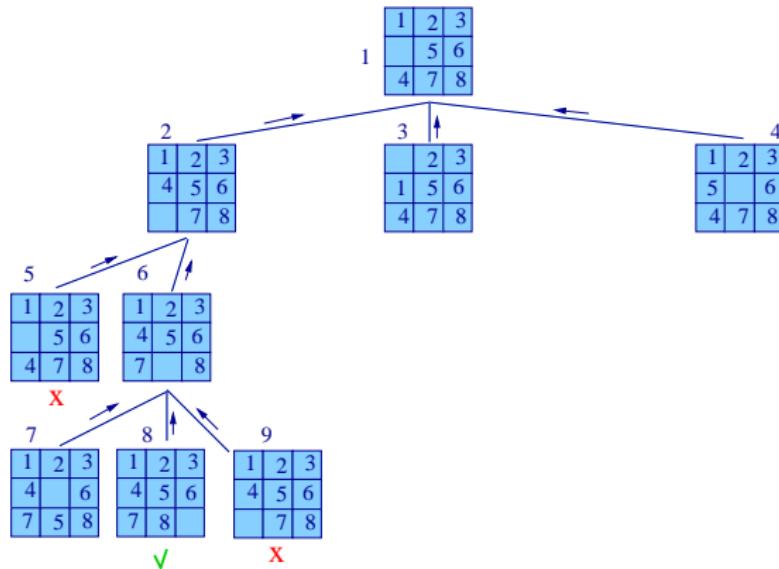
# 8-Puzzle – Depth-First



# 8-Puzzle – Depth-First



# 8-Puzzle – Depth-First



# Depth-first Search

Depth-first search (Initial-state, Goal-state, Maximum-depth)

- 1 Create an OPEN list with the initial node, I, and depth=0
- 2 OUTCOME=False
- 3 Repeat until the OPEN list is empty or OUTCOME is true

    Remove the first node N from the OPEN list

    Call P its depth

    If  $P < \text{Maximum-depth}$  and N has successors

        Then Generate the successors of N

            Create pointers from the successors to N

            If a successor is goal state

                Then OUTCOME=True

            Else Add successors at beginning of the OPEN list

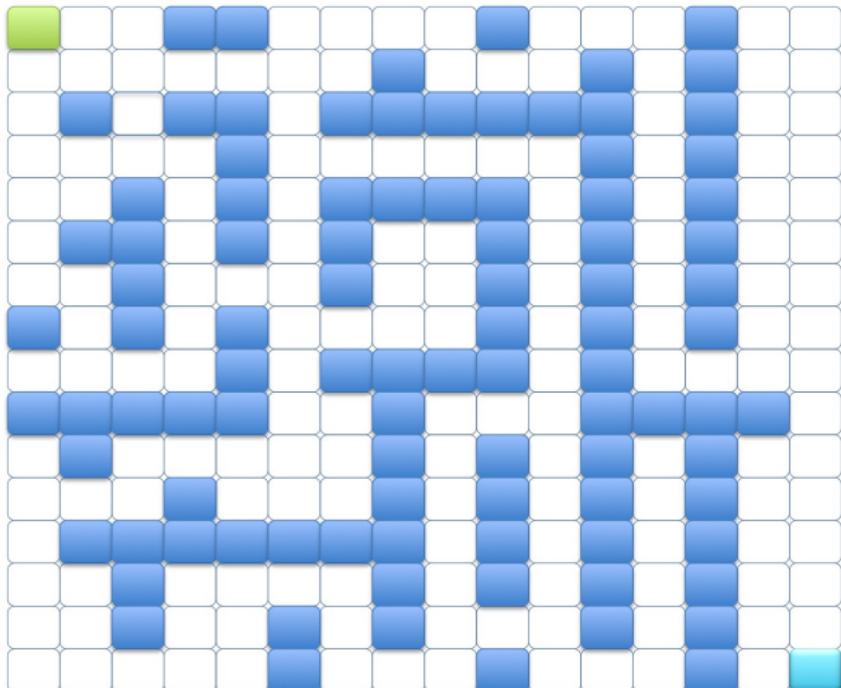
                Assign it depth  $P+1$

- 4 If OUTCOME

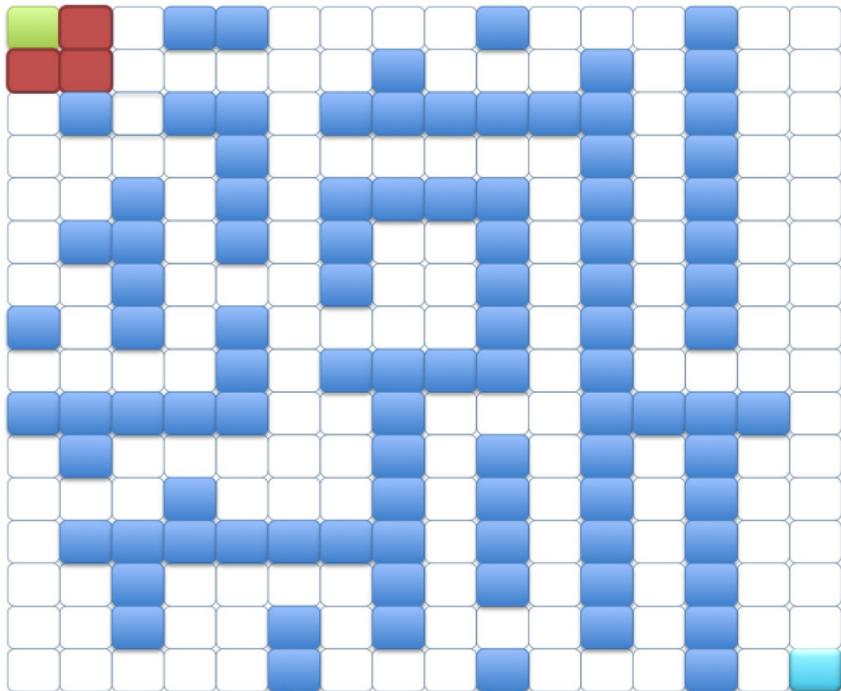
        Then Solution = path from I to N through the pointers

        Else Solution = False

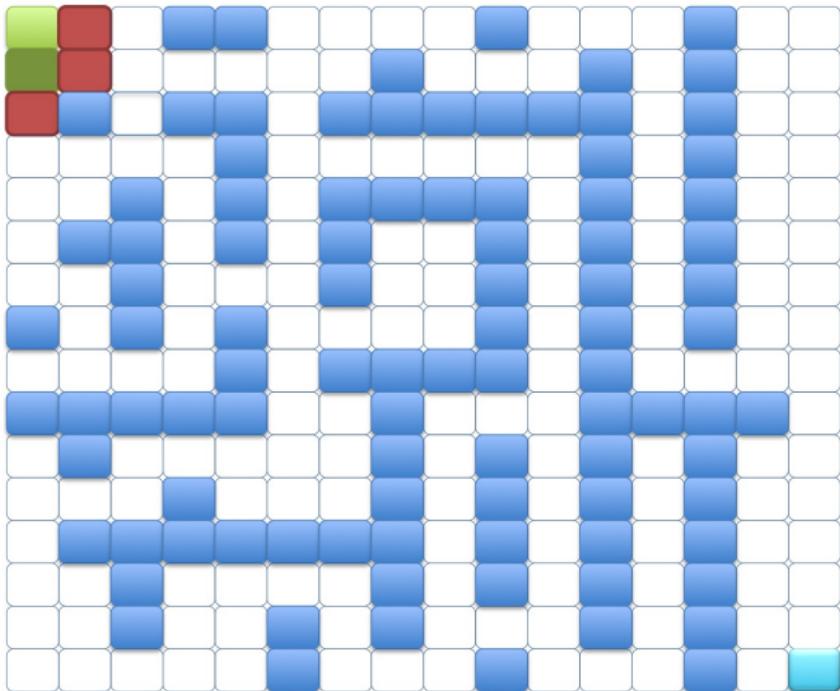
# Labvrinth



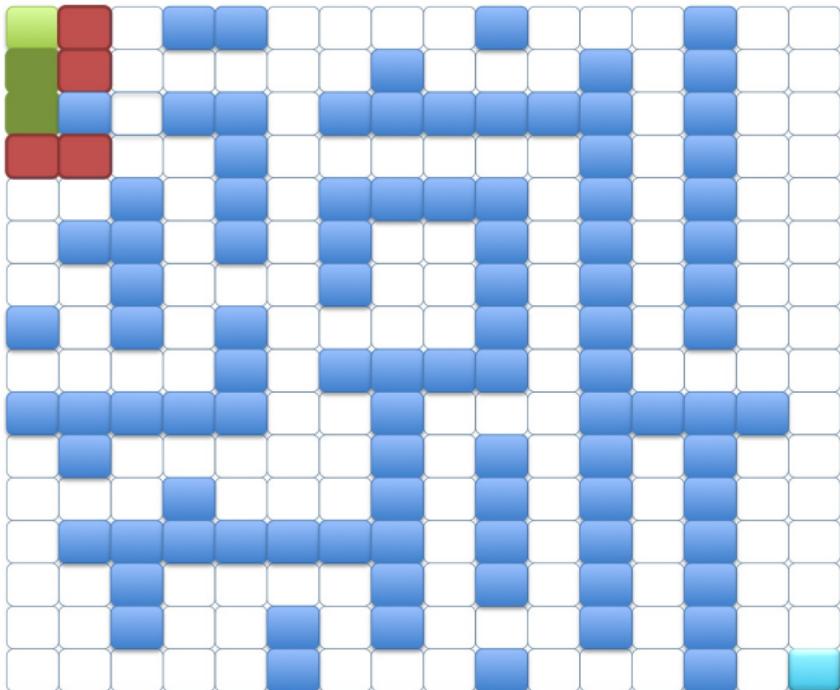
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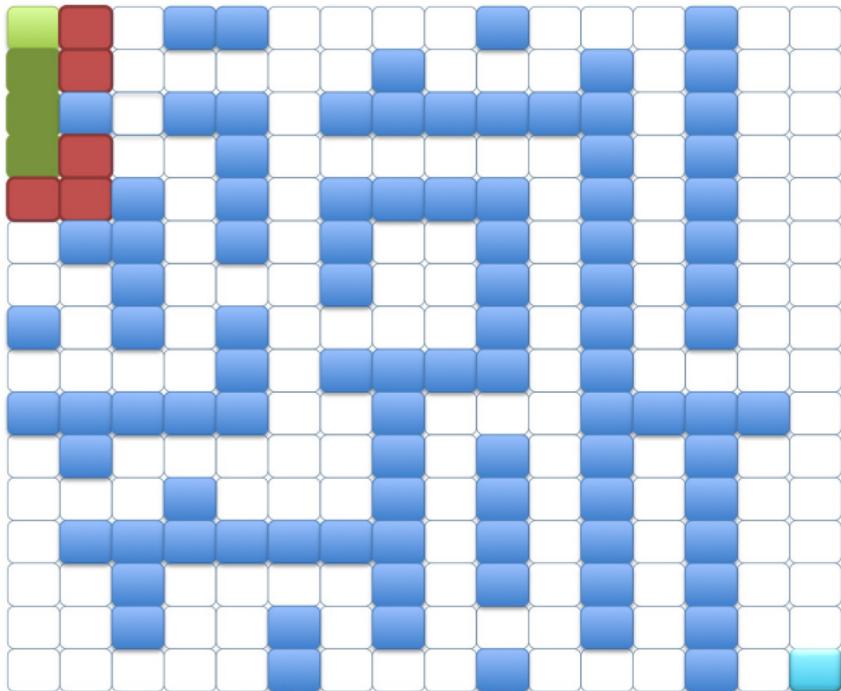
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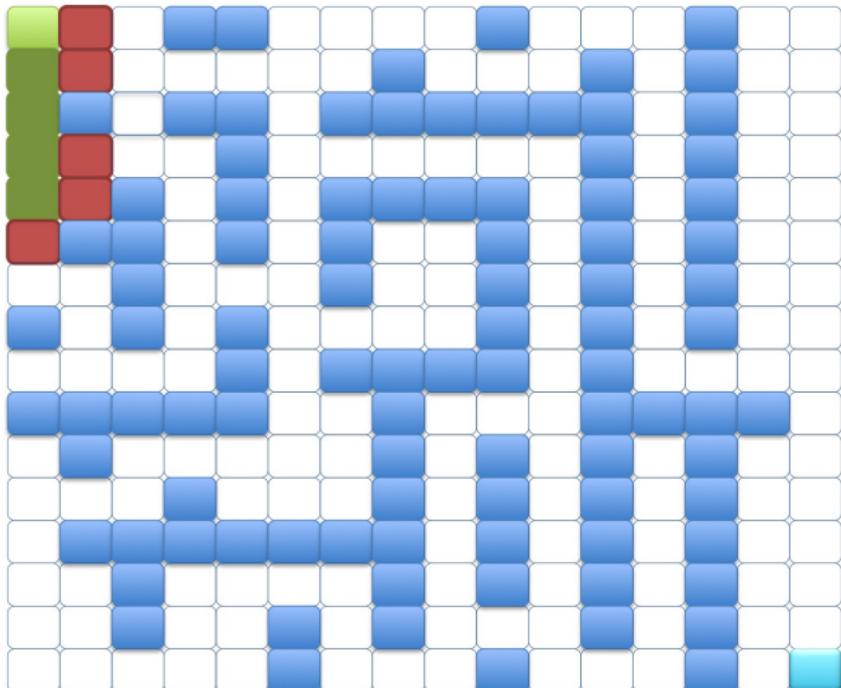
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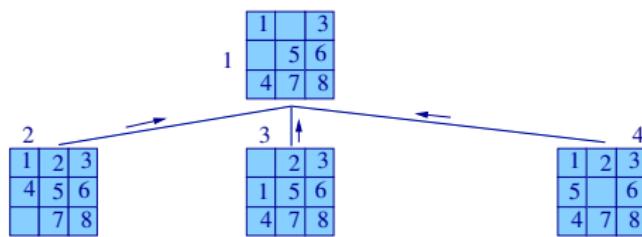
# Characteristics

- Requires **backtracking**
- **Reasons** for backtracking:
  - it has reached the **depth limit**
  - it has studied **all the successors** of the node but **no solution was found**
  - it generates a **duplicate state**

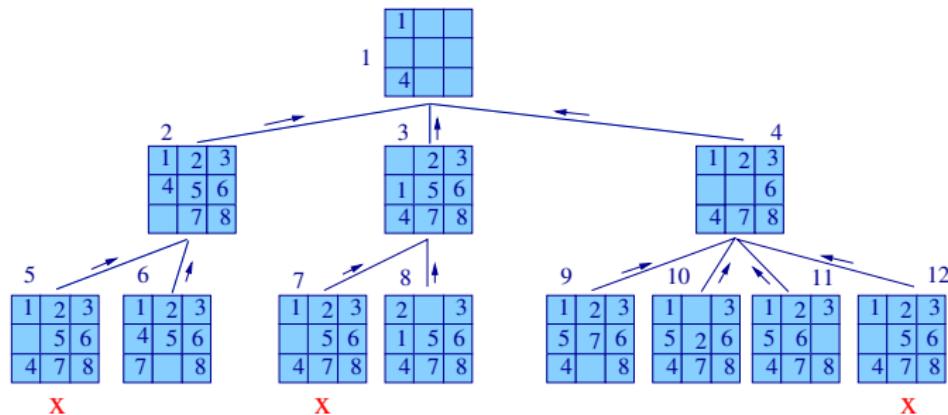
# Characteristics

- Requires backtracking
- Reasons for backtracking:
  - it has reached the depth limit
  - it has studied all the successors of the node but no solution was found
  - it generates a duplicate state
- Completeness: it does not ensure a solution (incomplete)
- Admissibility: it does not ensure an optimal solution (inadmissible)
- Efficiency: good when the goals are far from the initial state, or there are memory limitations. Bad when there are cycles

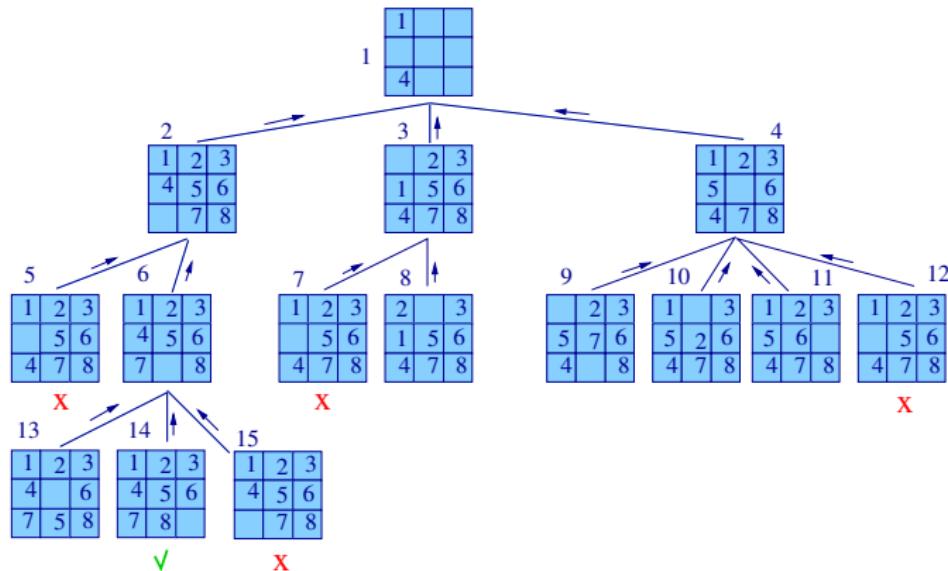
# Iterative deepening depth-first



# Iterative deepening depth-first



# Iterative deepening depth-first



# Iterative deepening depth-first

Iterative deepening depth-first search (Initial-state, Goal-state, Increment)

- ① Maximum-depth = Increment
- ② OUTCOME = False
- ③ While OUTCOME = False

OUTCOME = Depth-first search (Initial-state, Goal-state,  
Maximum-depth)

Maximum-depth = Maximum-depth + Increment

- ④ If OUTCOME

Then solution = path from I to N through the pointers

Else Solution = False

# Characteristics

- **Completeness:** finds a solution, if one exists
- **Admissibility:** finds an optimal solution,  
if Increment = 1
- **Efficiency:**

$$\frac{\text{Time(Iterative deepening depth - first)}}{\text{Time(Breadth - first)}} = \frac{b}{b-1}$$

- **Problem:** generates many duplicate nodes

# Outline

1 Introduction

2 Uninformed search

Breadth-first Search

Depth-first Search

Non-uniform Costs Search

3 Heuristic Search

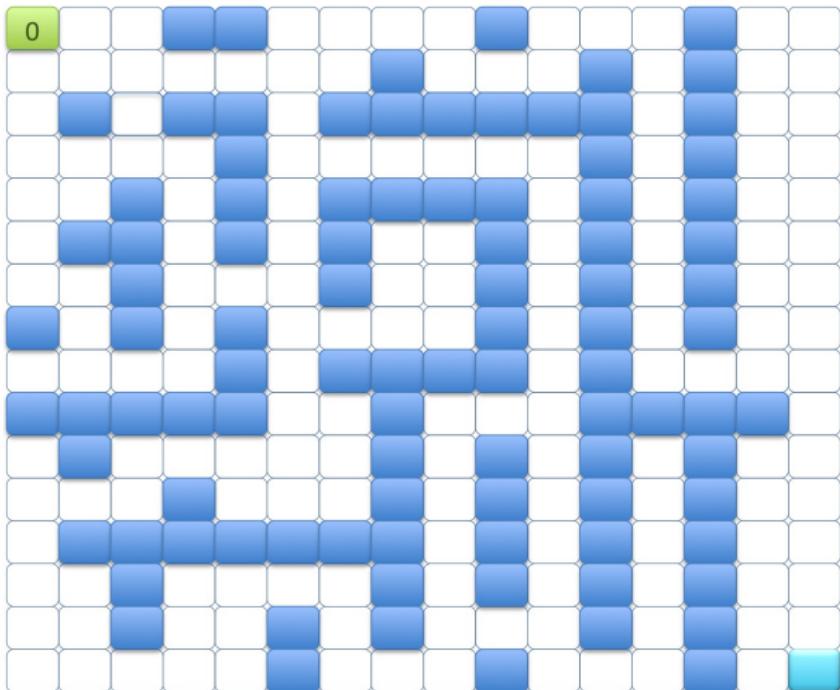
# Non-uniform Costs Search

- Dijkstra:
  - breadth-first
  - $g(n)$ : cost of reaching  $n$  from root
  - chooses node with least  $g(n)$

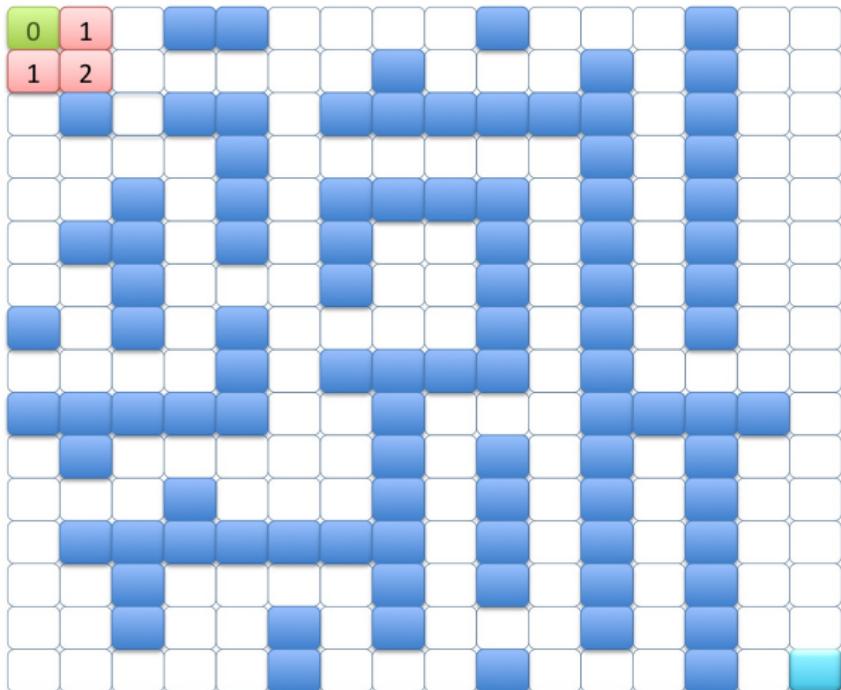
# Non-uniform Costs Search

- Dijkstra:
  - breadth-first
  - $g(n)$ : cost of reaching  $n$  from root
  - chooses node with least  $g(n)$
- Branch and bound, B&B
  - search (usually depth-first)
  - when a solution is found, its cost is used as a limit (top or bottom) for the subsequent nodes
  - the search is abandoned if a successive node has a cost from the root greater/less (or equal) to the limit

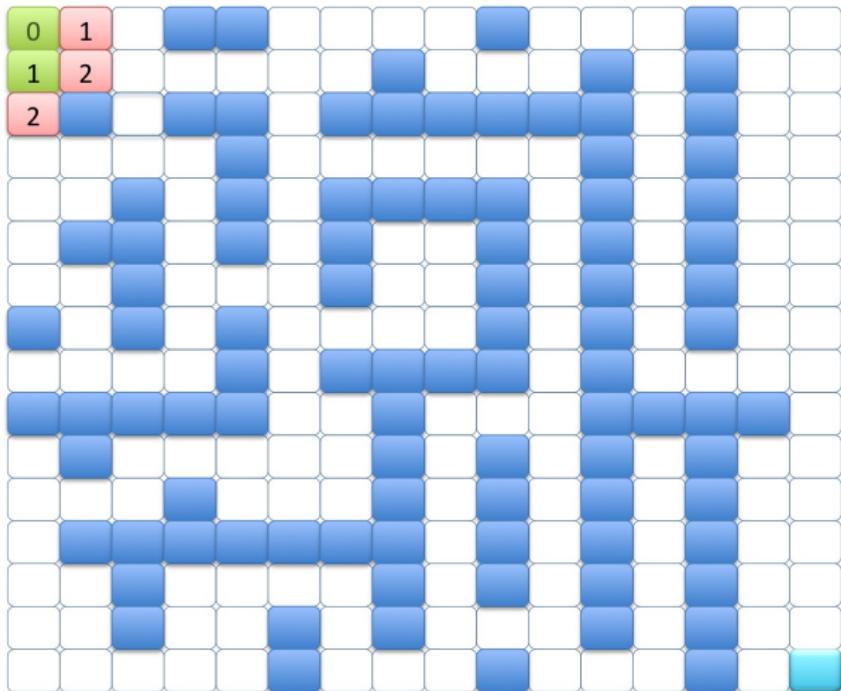
# Labyrinth



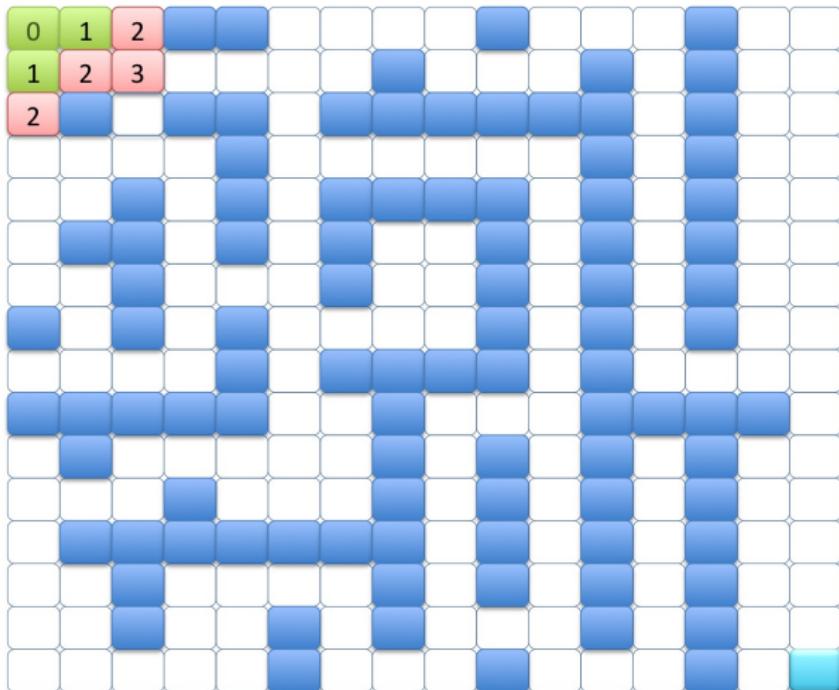
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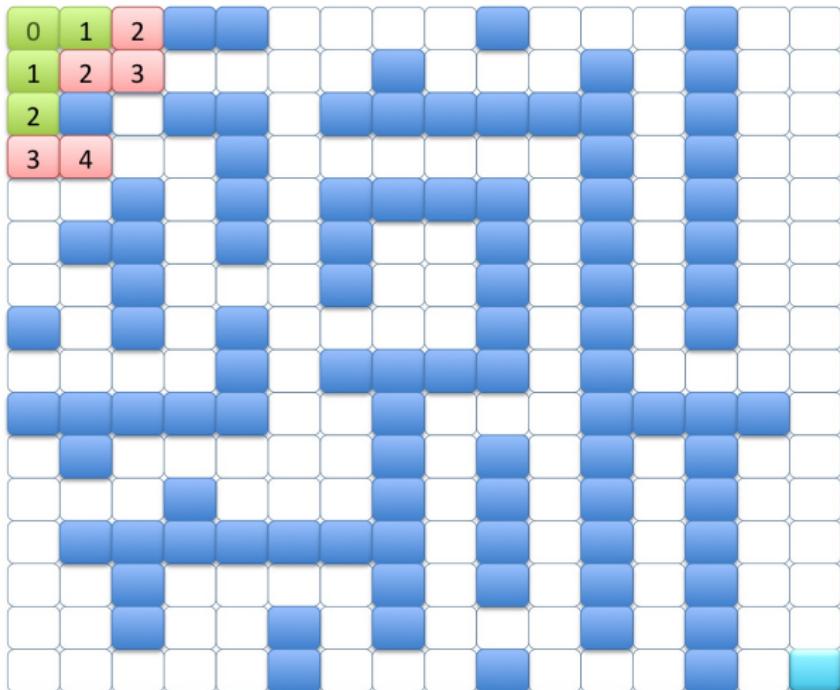
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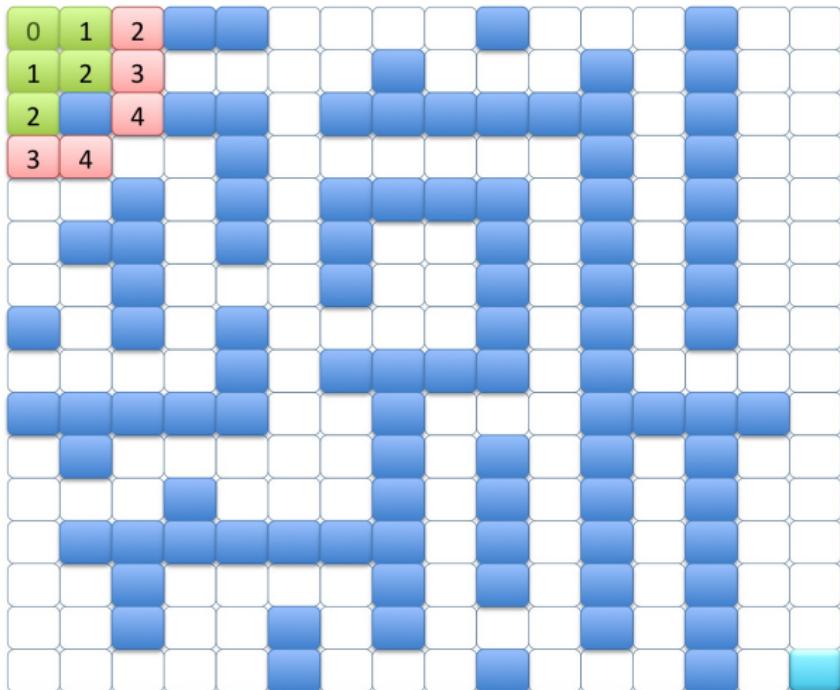
# Labyrinth



# Labyrinth



# Labyrinth



# Complexity Analysis

Search method	Time complexity	Space complexity
Breadth-first	$O(b^d)$	$O(b^d)$
Depth-first	$O(b^d)$	$O(d)$

- When the time and space complexities are equal, the memory is exhausted before time bound

# Outline

1 Introduction

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Heuristics

Hill-Climbing

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# Heuristics

- If you lack knowledge → uninformed search
- If you have perfect knowledge → correct algorithm
- Most problems solved by humans are in the middle

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- Heuristic: (from the Greek “*heurisko*” ( $\varepsilon\nu\rho\acute{\iota}\sigma\kappa\omega$ ): “**i find**”) partial problem/domain knowledge that allows for efficient resolution of problems related with the problem/domain
- Representation of heuristics
  - meta-rules
  - functions  $h(n)$

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- Representation of heuristics
  - meta-rules
  - functions  $h(n)$
- How can I generate them?
  - optimal solution of simplified (relaxed) problems

# Relaxed problems. 8-puzzle

- **Constraints**
  - only the blank cell can be moved
  - movement can only be done to either horizontally or vertically adjacent cells
  - at each step, only two cells are taken into account
- **Relaxations**
  - if first two constraints are removed

# Relaxed problems. 8-puzzle

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  - at each step, only two cells are taken into account
- Relaxations
  - if first two constraints are removed  
heuristic is number of misplaced tiles
  - if first constraint is removed  
heuristic is Manhattan distance

# Relaxation and 8-puzzle. Misplaced digits

- In the N-Puzzle, there is only one operator:

**Move(x,y,z): moves digit x, that is in position y to position z  
if On(x,y), Free(z), Adjacent(y,z)  
then On(x,z), Free(y), NOT On(x,y), NOT Free(z)**

- We can relax Move in many different ways: combinations of removing the three preconditions

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**Move1(x,y,z): moves digit x, that is in position y to position z  
if On(x,y)  
then On(x,z), NOT On(x,y)**

- Optimal solution: misplaced digits**

# Relaxation and 8-puzzle. Manhattan distance

- We relax only  $\text{Free}(z)$

**Move2(x,y,z): moves digit x, that is in position y to position z  
if  $\text{On}(x,y)$ ,  $\text{Adjacent}(y,z)$   
then  $\text{On}(x,z)$ , NOT  $\text{On}(x,y)$**

- Optimal solution:

# Relaxation and 8-puzzle. Manhattan distance

- We relax only  $\text{Free}(z)$

**Move2(x,y,z): moves digit x, that is in position y to position z  
if  $\text{On}(x,y)$ ,  $\text{Adjacent}(y,z)$   
then  $\text{On}(x,z)$ , NOT  $\text{On}(x,y)$**

- **Optimal solution:** Manhattan distance

# Outline

1 Introduction

2 Uninformed search

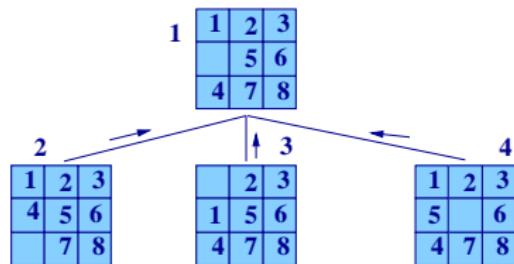
3 Heuristic Search

Heuristics

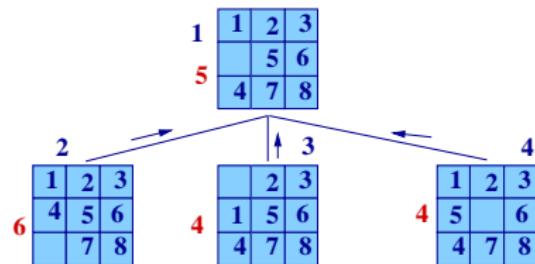
Hill-Climbing

Best-first Search

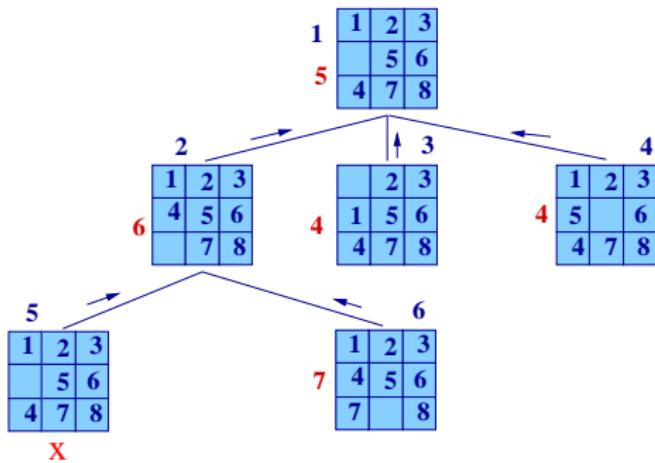
# 8-Puzzle – Hill-climbing



# 8-Puzzle – Hill-climbing



# 8-Puzzle – Hill-climbing



# Hill-climbing search

Procedure **Hill-climbing** (Initial-state  $I$ , Goal-function  $\text{Goal}$ ,  
Evaluation function  $f(\cdot)$ )

$n = I$ ; SOLUTION=False; STOP=False

Until STOP or SOLUTION

    Generate the successors  $S$  of  $n$

    If  $\exists s \in S$  such that  $\text{Goal}(s)=\text{True}$

        Then SOLUTION=True

        Else Evaluate each successor with  $f(\cdot)$

$BS=\text{best successor}$

            If  $f(BS)$  better than  $f(n)$

                Then  $n = BS$

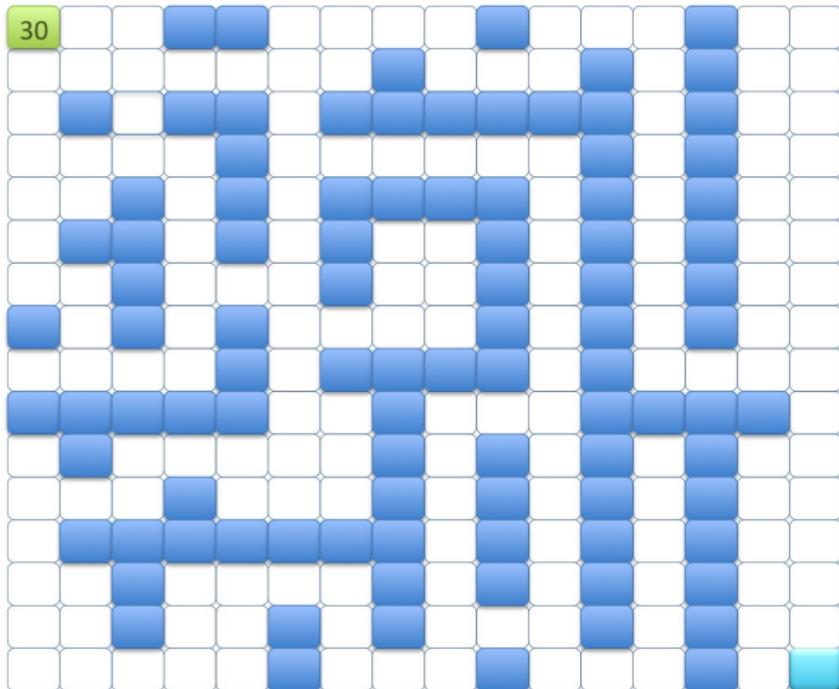
                Else STOP=True [or backtrack or continue]

    If SOLUTION=True

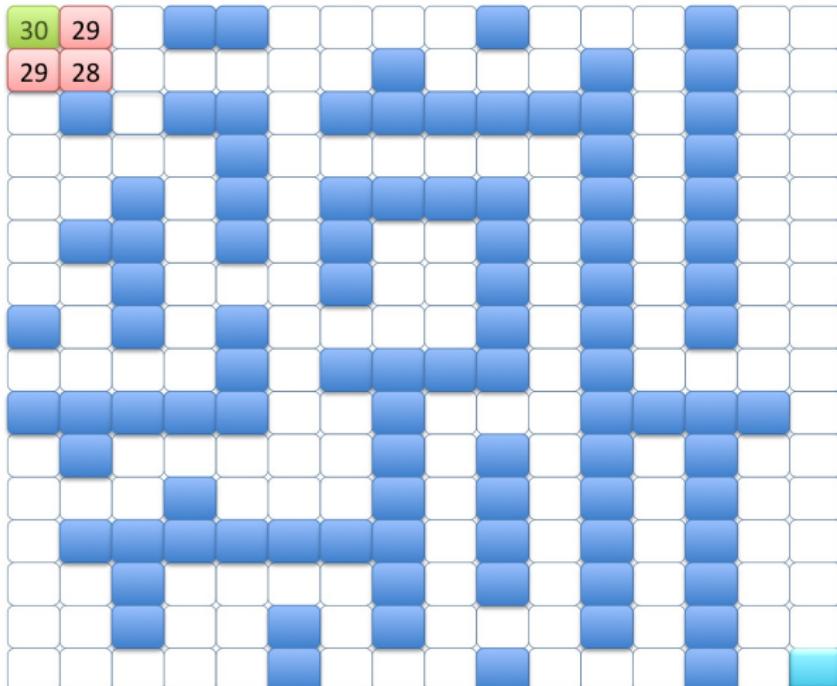
        Then RETURN path from  $I$  to solution node

    Else RETURN Failure

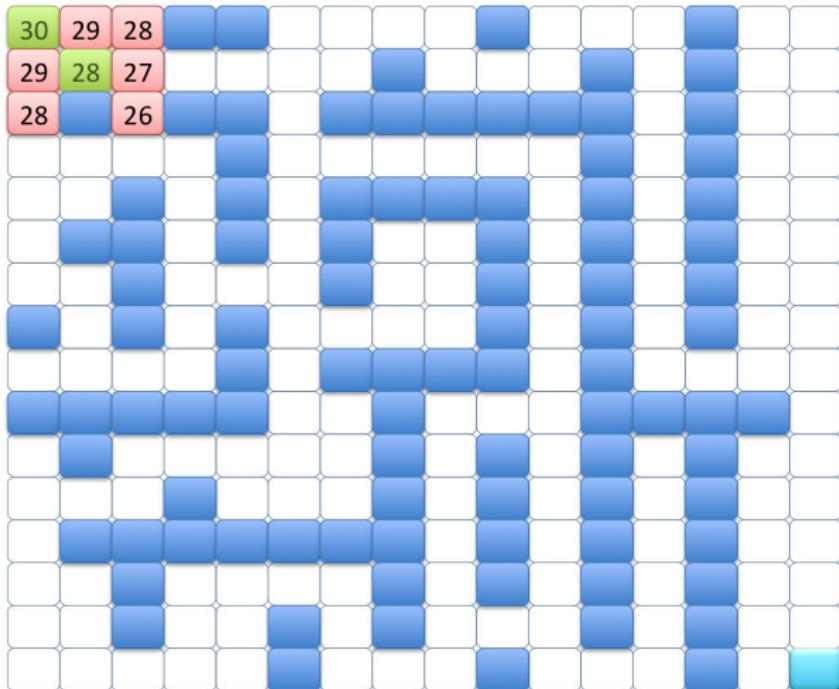
Labyrinth.  $h(n = (x_i, y_i), m = (x_m, y_m)) = |x_i - x_m| + |y_i - y_m|$



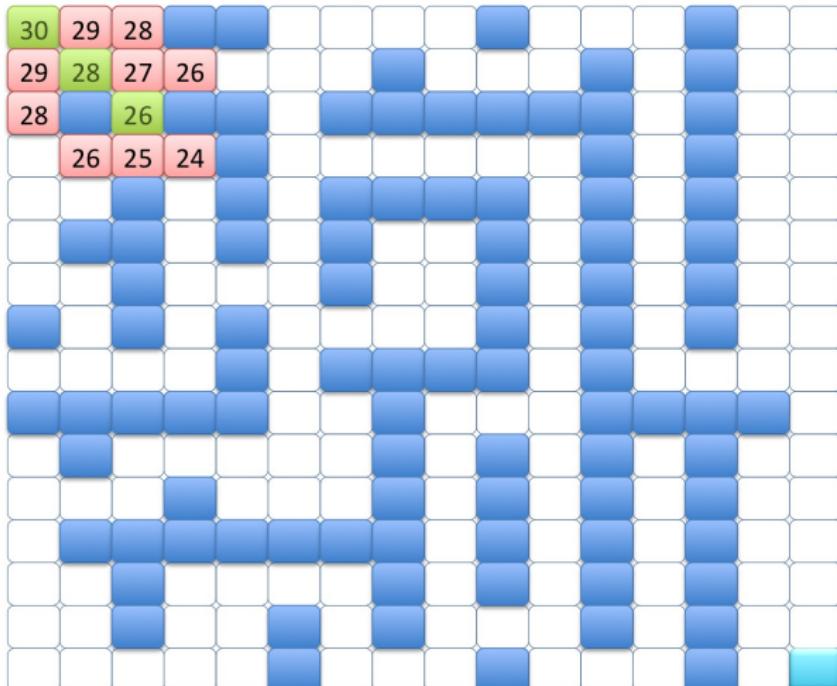
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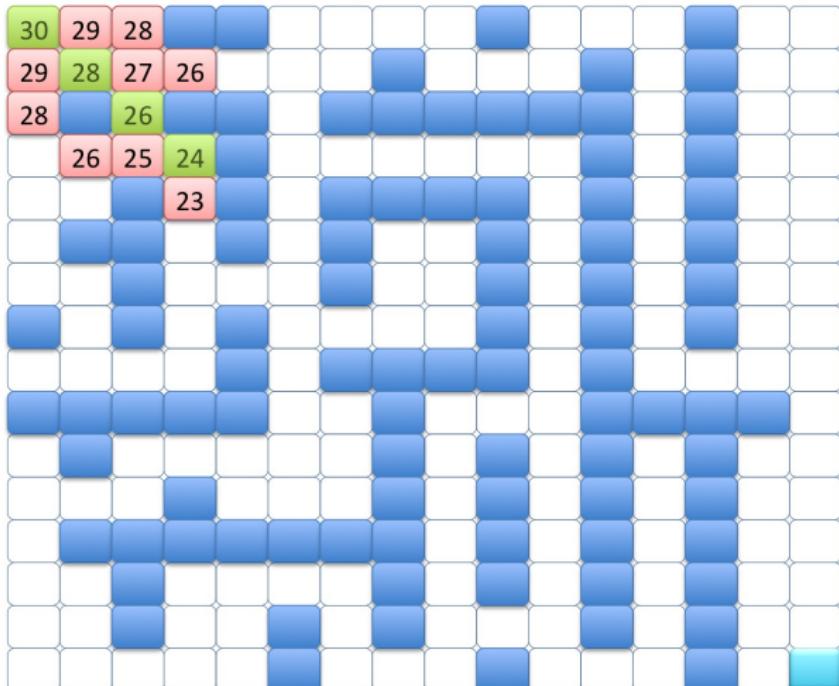
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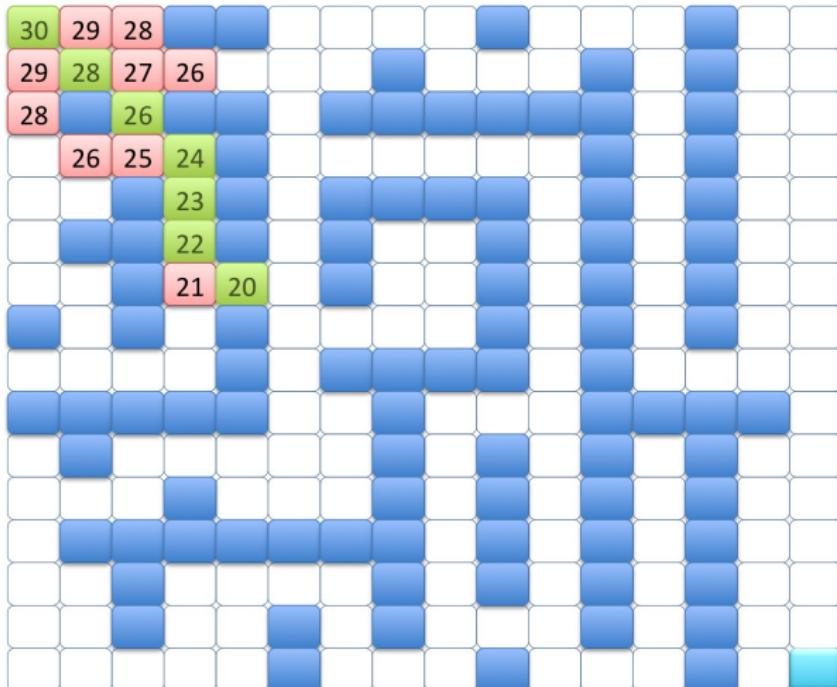
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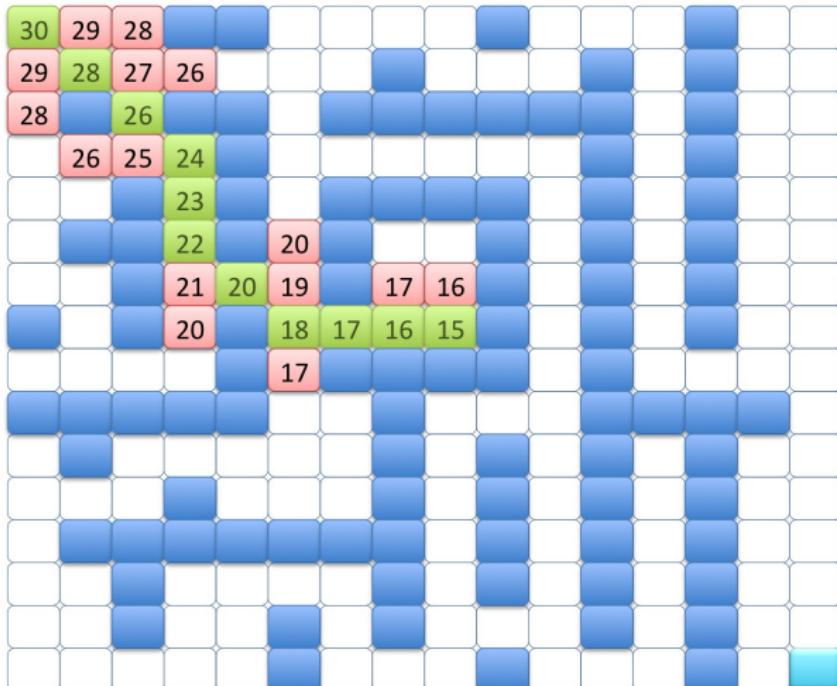
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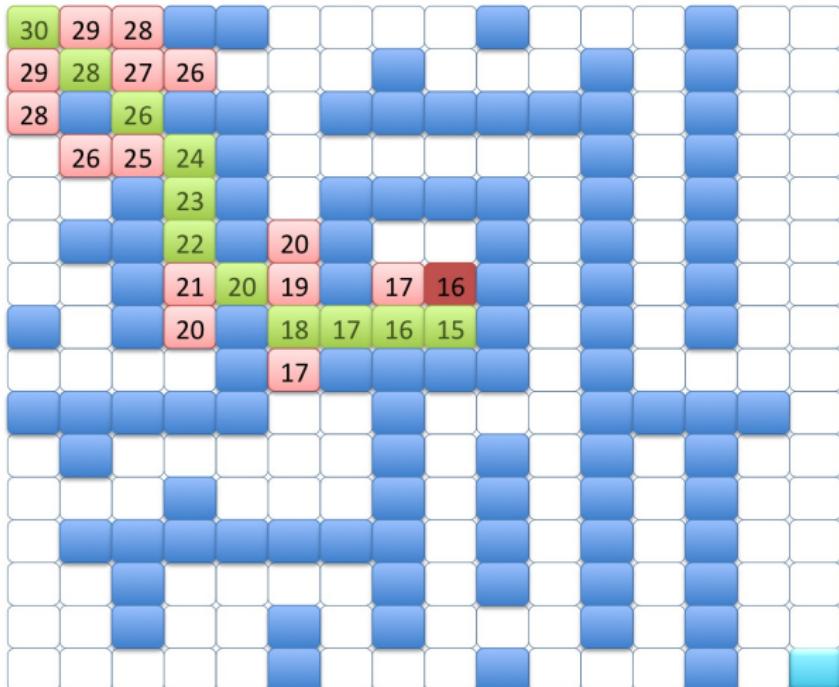
Labyrinth.  $h(n = (x_i, y_i), m = (x_m, y_m)) = |x_i - x_m| + |y_i - y_m|$



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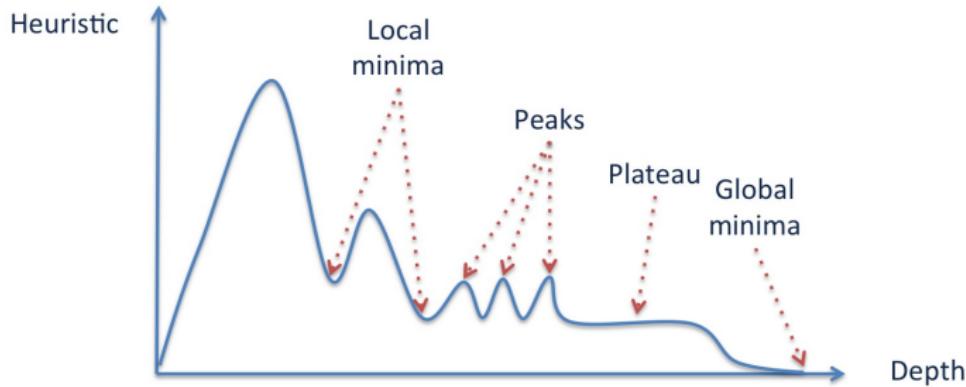
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# Characteristics

## Problems of *greedy* methods

- Local Maximum (or minimum)
- Plateaux
- Peaks



# Characteristics

- Solutions
  - backtrack
  - perform more than one step
  - restart with random node selection

# Characteristics

- Solutions
  - backtrack
  - perform **more than one step**
  - **restart** with random node selection
- Properties
  - **completeness**: no
  - **admissibility**: no
  - **efficiency**: fast and useful if the heuristic function is monotonically increasing/decreasing

# Outline

1 Introduction

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Heuristics

Hill-Climbing

Best-first Search

# Best-first search

Procedure Best-first (Initial-state  $I$ , Goal function  $\text{Goal}$ )

$\text{OPEN} = \{I\}$ ,  $\text{CLOSED} = \emptyset$ ,  $\text{SOLUTION} = \text{False}$

Until  $\text{OPEN}$  is empty or  $\text{SOLUTION} = \text{True}$

    Remove the first (best) node in  $\text{OPEN}$ ,  $n$ , and place it in  $\text{CLOSED}$

    If  $\text{Goal}(n) = \text{True}$  then  $\text{SOLUTION} = \text{True}$

    Else Expand  $n$ , generating the set  $S$  of successors of  $n$ ,  
        that are not predecessors of  $n$

        For every  $s \in S$

            If  $s \notin \text{OPEN} \cup \text{CLOSED}$

                Then Add  $s$  to  $\text{OPEN}$  and establish a pointer to  $n$

            Else If  $s \in \text{OPEN}$

                Then  $g(s) = \min\{\text{previous-}g(), \text{new-}g()\}$

            Else [ $s \in \text{CLOSED}$ ]

                If  $\text{new-}g() < \text{previous-}g()$

                    Then move  $s$  from  $\text{CLOSED}$  to  $\text{OPEN}$  [*reopen node*]

        Sort  $\text{OPEN}$  according to minimum  $f(n)$

    If  $\text{SOLUTION} = \text{True}$

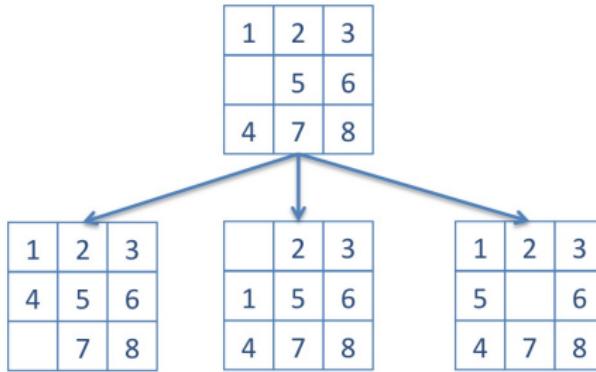
    Then RETURN path from  $I$  to  $n$  using pointers

    Else RETURN Failure

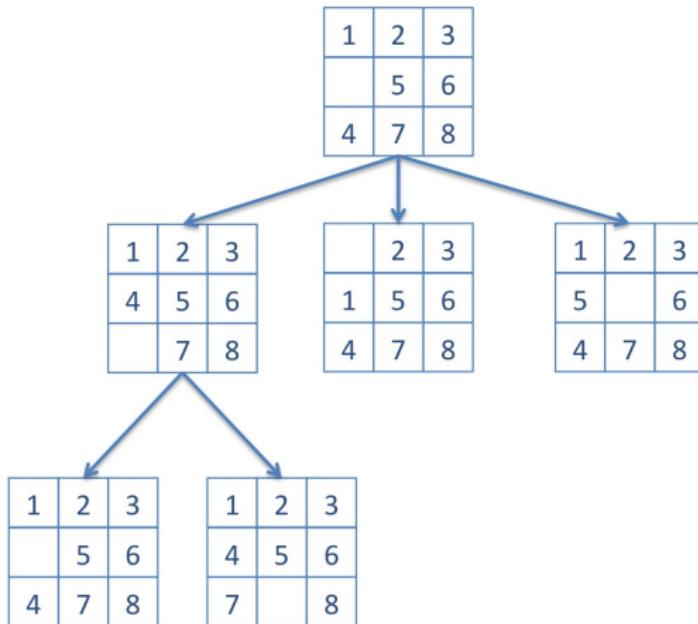
# Search

1	2	3
	5	6
4	7	8

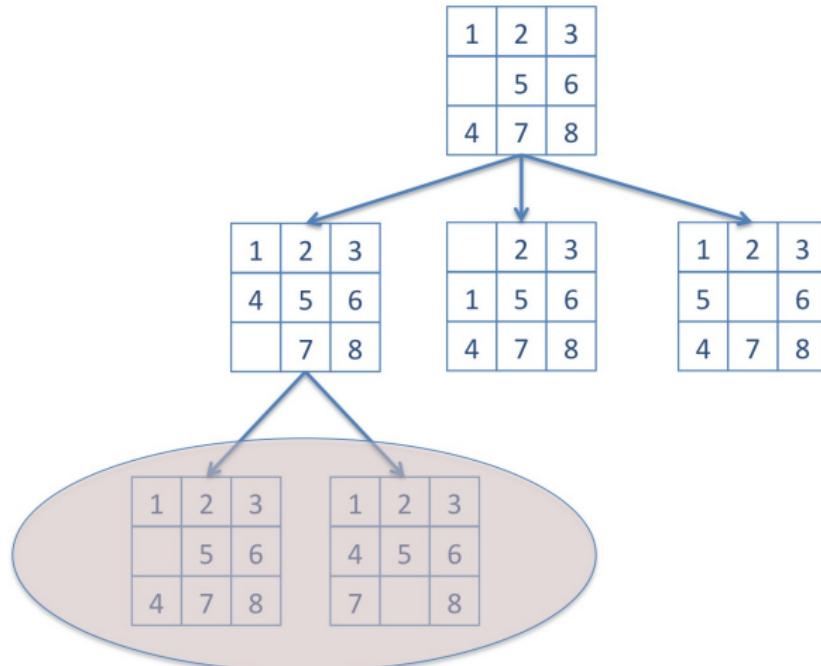
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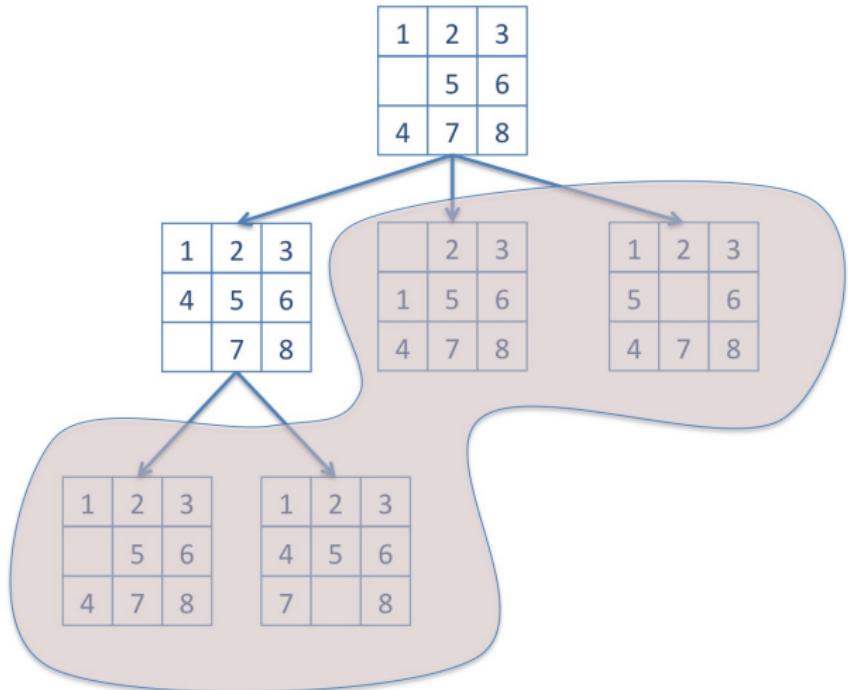
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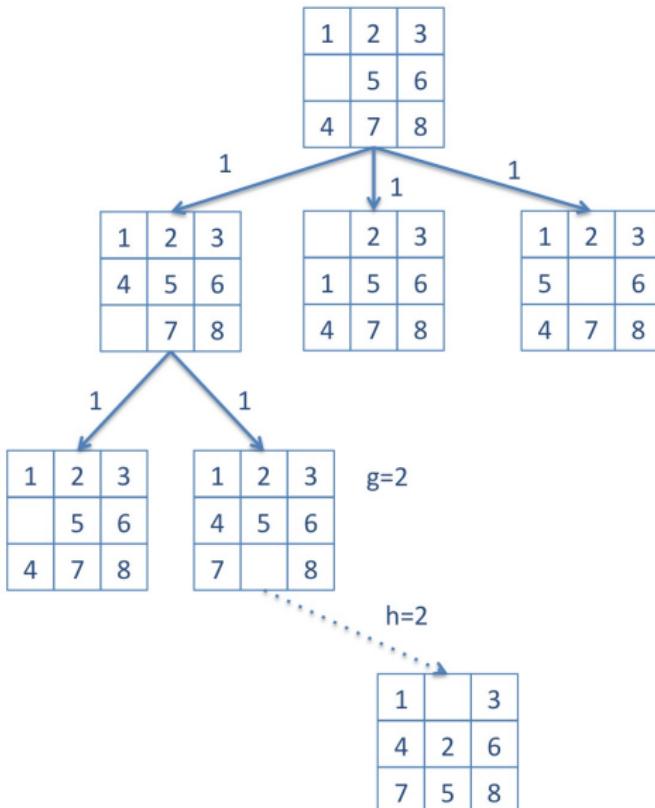


# Hill-climbing



# Best-first search

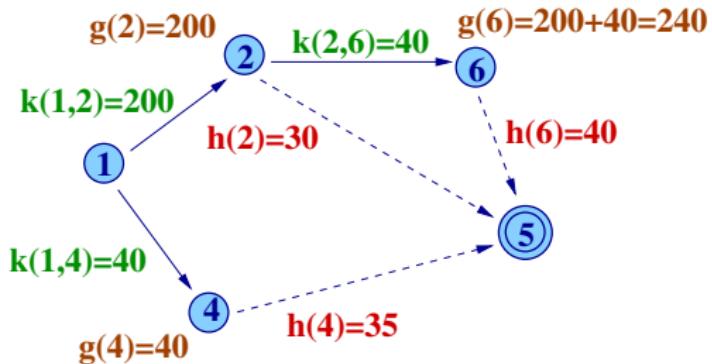




## A\* (Hart, Nilsson and Raphael, 1968)

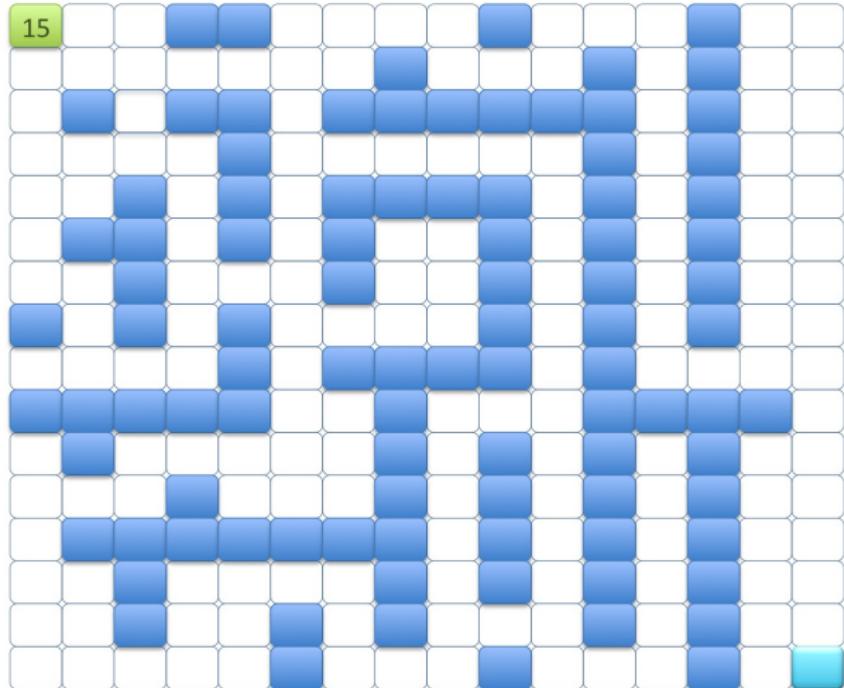
- Evaluation function:  $f(n) = g(n) + h(n)$ 
  - $f(n)$ : evaluation function
  - $g(n)$ : cost of the path to the  $n$  from the initial node
  - $h(n)$ : heuristic function, estimated cost from  $n$  to any goal node
- $g(n)$  is computed as the sum of the costs of the edges in path,  $k(n_i, n_j)$
- The actual optimal values can only be known at the end of the search
  - $f^*(n)$ : is the optimal cost of going from the initial node to a goal node through  $n$
  - $g^*(n)$ : actual cost going from the initial node to node  $n$
  - $h^*(n)$ : actual cost going from node  $n$  to a goal node

# Definitions



Labyrinth.  $h(n = (x_i, y_i), m = (x_m, y_m)) = \max(|x_i - x_m|, |y_i - y_m|)$

$$f(0)=g(0)+h(0)=0+15=15$$



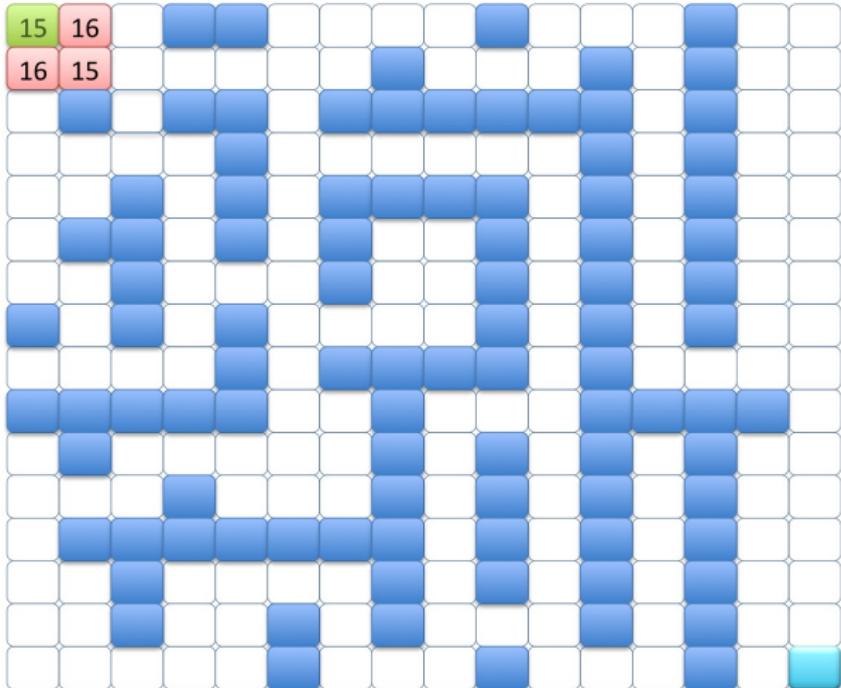
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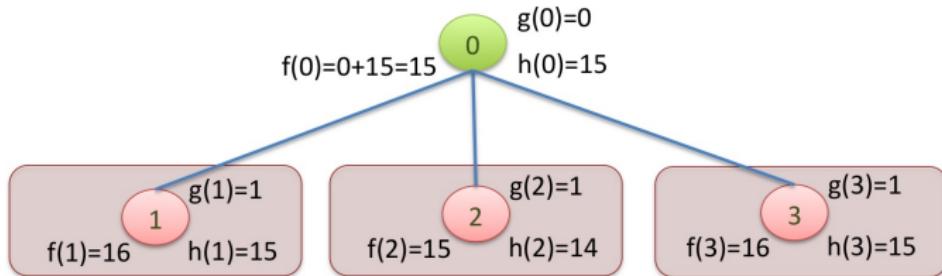
$$f(1)=g(1)+h(1)=1+15=16$$

$$f(2)=g(2)+h(2)=1+14=15$$

$$f(3)=g(3)+h(3)=1+15=16$$



**Labyrinth.**  $h(n = (x_i, y_i), m = (x_m, y_m)) = \max(|x_i - x_m|, |y_i - y_m|)$



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$$f(0)=g(0)+h(0)=0+15=15$$

$$f(1)=g(1)+h(1)=1+15=16$$

$$f(2)=g(2)+h(2)=1+14=15$$

$$f(3)=g(3)+h(3)=1+15=16$$

$$f(4)=g(4)+h(4)=2+15=17$$

$$f(5)=g(5)+h(5)=2+13=15$$

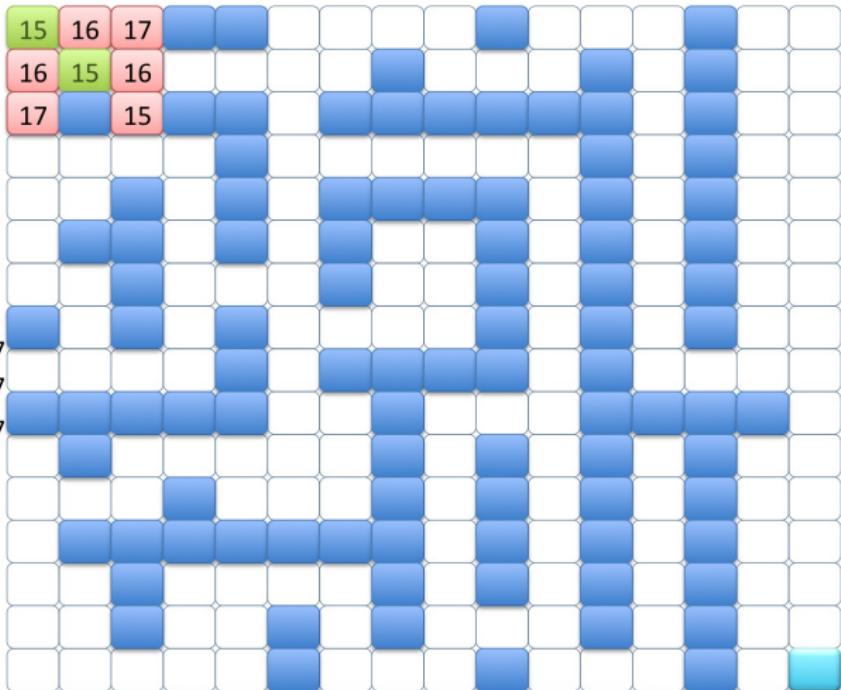
$$f(6)=g(6)+h(6)=2+14=16$$

$$f(7)=g(7)+h(7)=2+15=17$$

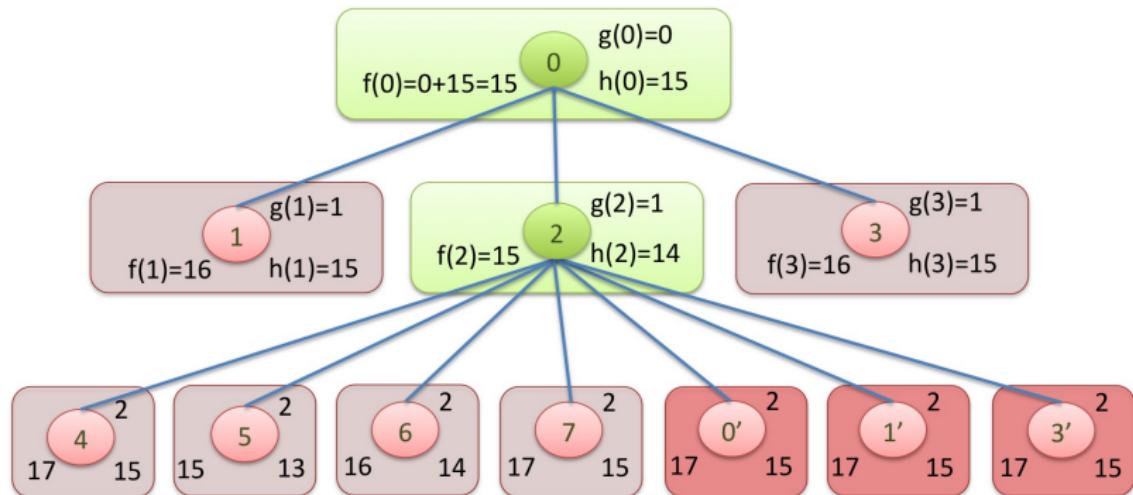
$$f(0')=g(0')+h(0')=2+15=17$$

$$f(1')=g(1')+h(1')=2+15=17$$

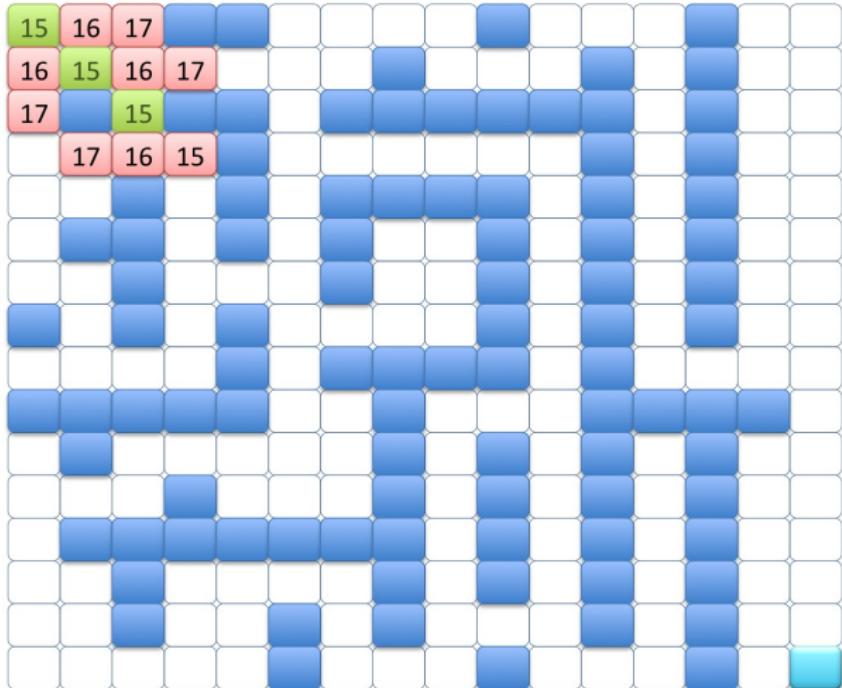
$$f(3')=g(3')+h(3')=2+15=17$$



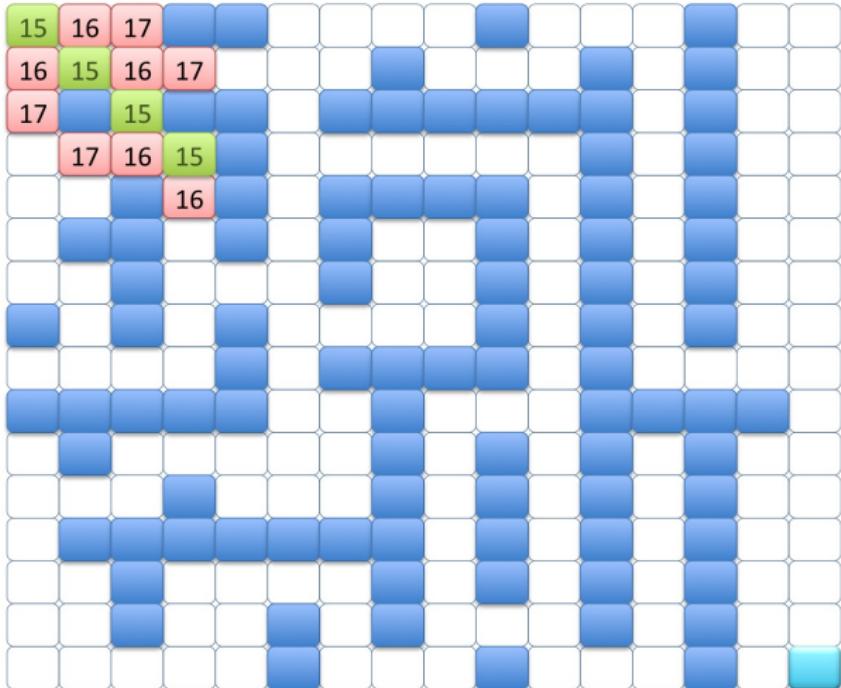
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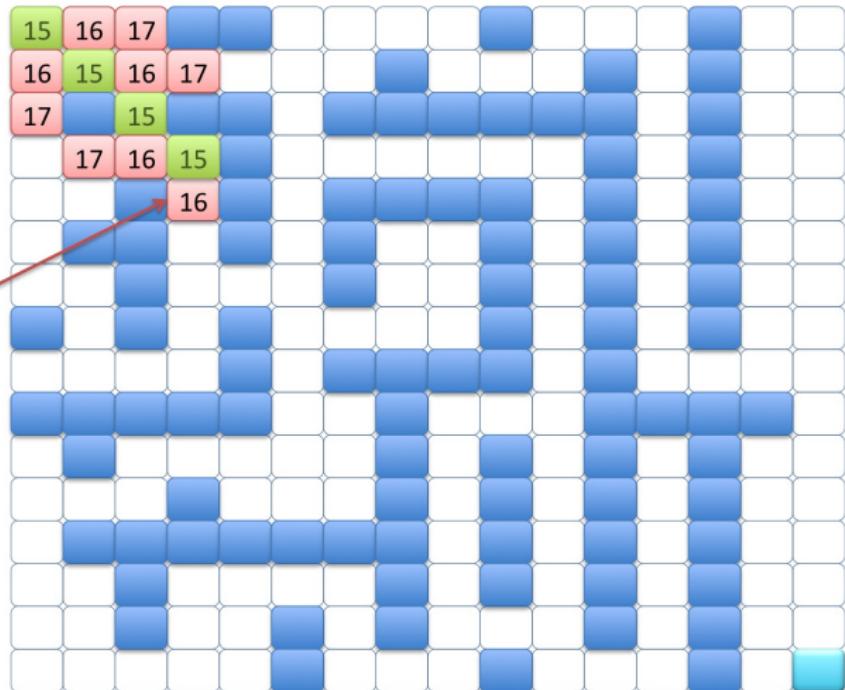


Labyrinth.  $h(n = (x_i, y_i), m = (x_m, y_m)) = \max(|x_i - x_m|, |y_i - y_m|)$

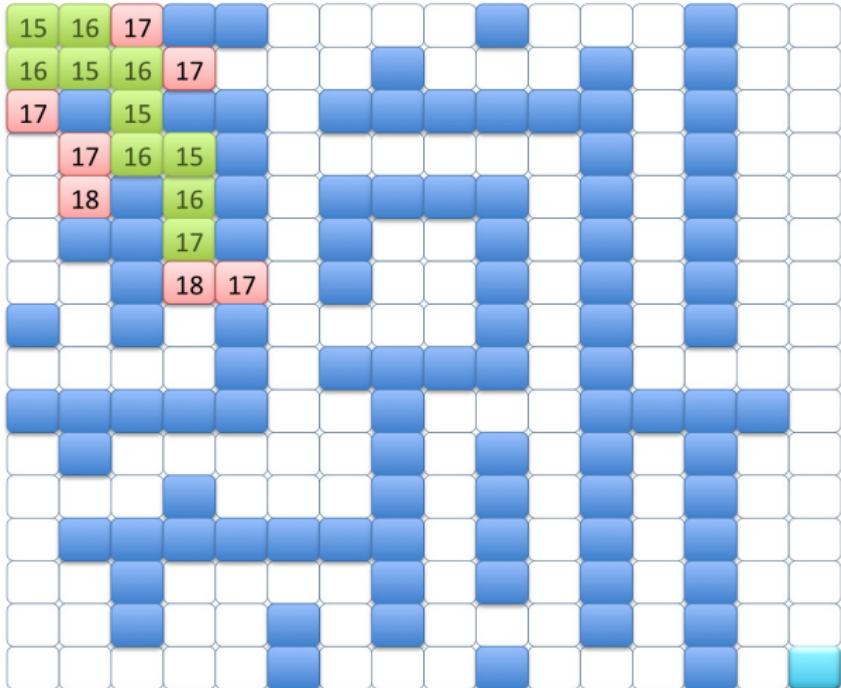


Labvrinth.  $h(n = (x_i, v_i), m = (x_m, v_m)) = \max(|x_i - x_m|, |v_i - v_m|)$

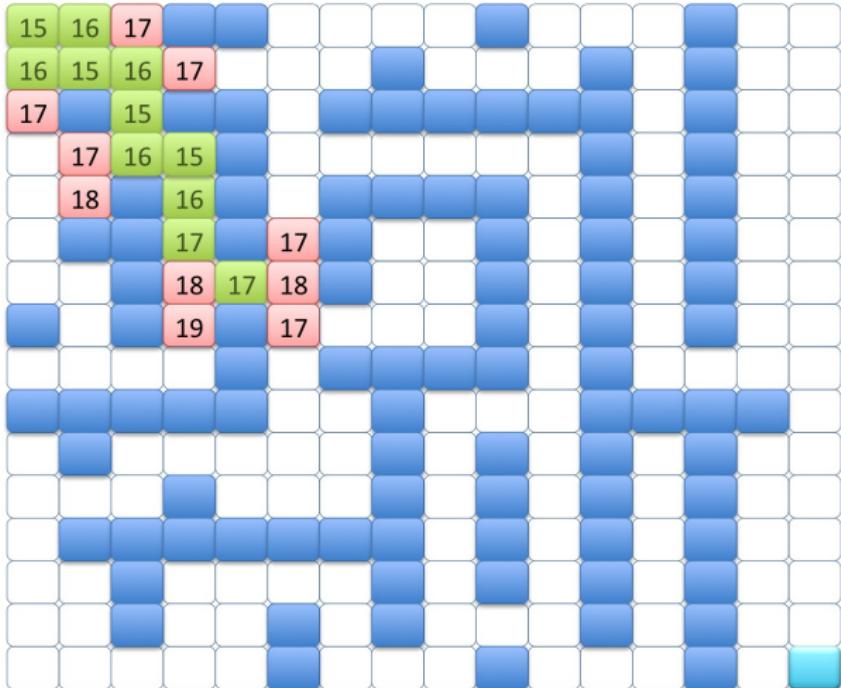
Ties are broken  
selecting node  
with smaller  $h(n)$



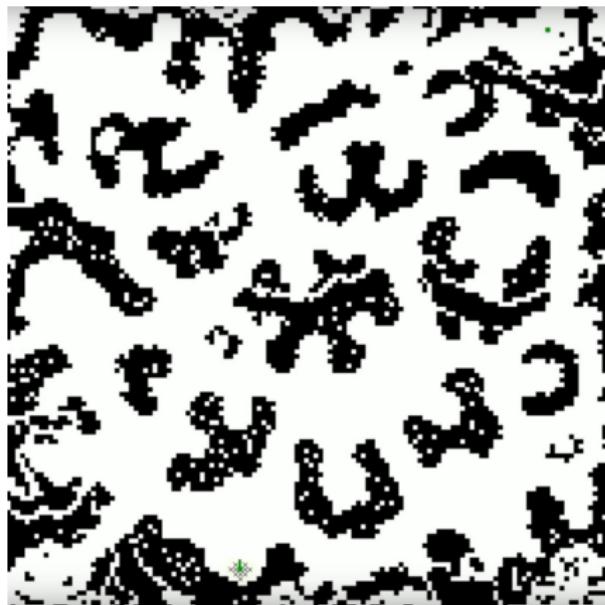
Labyrinth.  $h(n = (x_i, y_i), m = (x_m, y_m)) = \max(|x_i - x_m|, |y_i - y_m|)$



Labyrinth.  $h(n = (x_i, y_i), m = (x_m, y_m)) = \max(|x_i - x_m|, |y_i - y_m|)$



## Example in a game map



<https://www.youtube.com/watch?v=huJEgJ82360>

## Further information

- Admissible heuristic:  $h(n) \leq h^*(n) \forall n$
- Consistent heuristic:  $h(n) \leq k(n, n') + h(n') \forall n, n'$   
( $n'$  successor of  $n$ )
- Goal-aware heuristic:  $h(n) = 0 \forall n \in G$   
( $G$  is the set of goal nodes)
- If  $h(n)$  is consistent, it will never need to restudy a node
- Property: every consistent and goal-aware heuristic is admissible

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- If  $h(n)$  is consistent, it will never need to restudy a node
- Property: every consistent and goal-aware heuristic is admissible
- Completeness: yes
- Admissibility: yes, if
  - number of successors for every node is finite,
  - $k(n_i, n_j) \geq \epsilon > 0$  for each edge, and
  - heuristic function  $h(\cdot)$  is admissible

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( $G$  is the set of goal nodes)
- If  $h(n)$  is consistent, it will never need to restudy a node
- Property: every consistent and goal-aware heuristic is admissible
- Completeness: yes
- Admissibility: yes, if
  - number of successors for every node is finite,
  - $k(n_i, n_j) \geq \epsilon > 0$  for each edge, and
  - heuristic function  $h(\cdot)$  is admissible
- If  $\forall n \quad h_1(n) \leq h_2(n)$ ,
  - $h_2(n)$  is more informative than  $h_1(n)$
  - $h_2(n)$  expands fewer (or equal) nodes
  - Example: Manhattan distance is more informative (less relaxed) than the number of misplaced cells

# Characteristics

- Extremes
  - $h(n) = 0$  for each node: there is no information (Dijkstra)
  - $h(n) = h^*(n)$  for each node: there is perfect information
- Efficiency
  - it makes no sense to devote more computational resources to compute a good  $h(n)$  for an equivalent search
  - balance between
    - time to compute
    - saved number of nodes

# Summary of some best-first techniques

- Uninformed
  - Breadth-first search:  $f(n) = \text{depth}(n)$
  - Dijkstra:  $f(n) = g(n)$
- Informed (heuristics)
  - A\* [Hart *et al.*, 1968]:  $f(n) = g(n) + h(n)$
  - Greedy best-first search:  $f(n) = h(n)$
  - IDA\* [Korf, 1985]:  $f(n) = g(n) + h(n)$  (iterative, bounded)
  - Weighted A\* [Pohl, 1970]:  $f(n) = g(n) + \omega h(n), \omega > 1$ 
    - complete, but not admissible
    - optimal solution cost:  $f(g) \leq \omega h^*(i)$

$h^*(i)$ : optimal solution  
 $f(g)$ : cost of found solution

# Summary

- Relaxation as a source of heuristic functions
- Heuristic functions: computation time vs. informedness
- Strategy: greedy (HC) or systematic (A\*)
- Objective: satisficing or optimisation
- Optimisation metrics: (minimum/maximum) solution cost, length, etc.

## References



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