

# LOCAL SEARCH ALGORITHMS

Chapter 4

# Outline



- Local search algorithms
  - Hill-climbing search
  - Simulated annealing search
  - Local beam search
  - Genetic algorithms

# Local search algorithms

- In many **optimization problems**
  - the **path** from the **start node** to the **goal is irrelevant**
  - the **goal state** itself is the **solution**
- **State space** = set of "**complete**" configurations
- Find **configuration** satisfying constraints, e.g., n-queens
- In such cases, we can use **local search algorithms**
  - keep a **single "current" state**
  - try to **improve it**

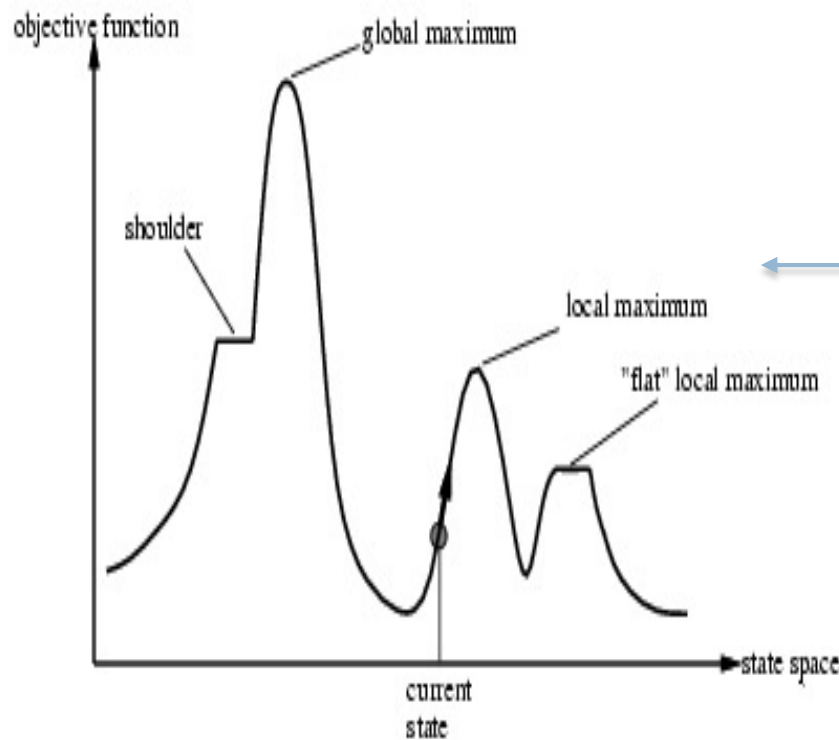
# Example: $n$ -queens

- Put  **$n$  queens** on an  $n \times n$  board with no two queens on the **same** row, column, or diagonal
  - **Each state** has  **$n$  queens** on the board, **one per column**
  - **Successors** of a state: **all possible states** generated by moving a single queen to another square in the same column



# State-space landscape

**Local search algorithms** explore the **state-space landscape**



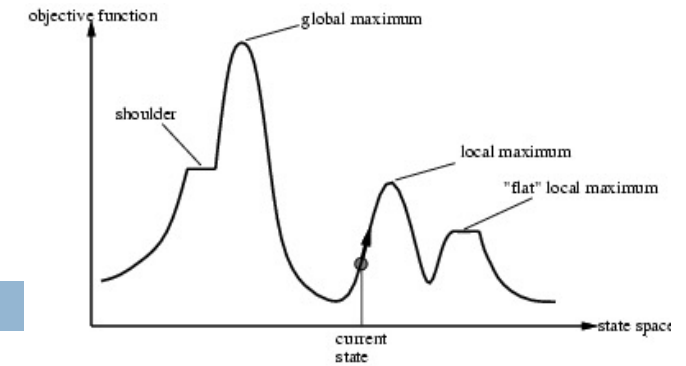
A **landscape** has

- **location** (defined by the **state**)
- **elevation** (defined by the **value** of heuristic cost function or objective function)

The **aim** is to find:

- **a global minimum** (lowest valley)  
if **elevation** corresponds to **cost**
- **a global maximum** (highest peak )  
if **elevation** corresponds to **objective function**

# Hill-climbing search



- Assume the **elevation** corresponds to the **objective function**
- **Hill-climbing search** modifies the **current state** to try to **improve it**

function **HILL-CLIMBING**(problem) returns a state that is a **local maximum**

**current**  $\leftarrow$  MAKE-NODE(problem.INITIAL-STATE)

loop do

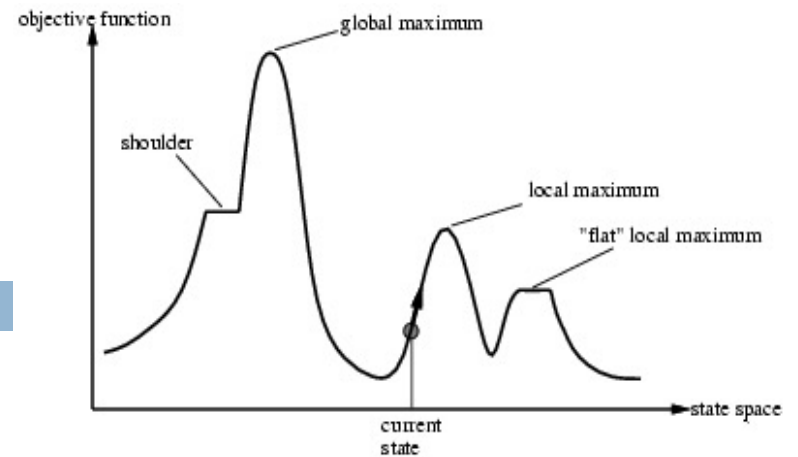
**neighbor**  $\leftarrow$  a **highest-valued** successor of **current**

if **neighbor**.VALUE  $\leq$  **current**.VALUE then return **current**.STATE

**current**  $\leftarrow$  **neighbor**

- Picks a **neighbor** with the highest value
- Usually chooses at random among neighbors with maximum value
- Terminates when it reaches a “peak” where no neighbor has a higher value

# Hill-climbing search



□ Hill climbing **often gets stuck** for the following reasons:

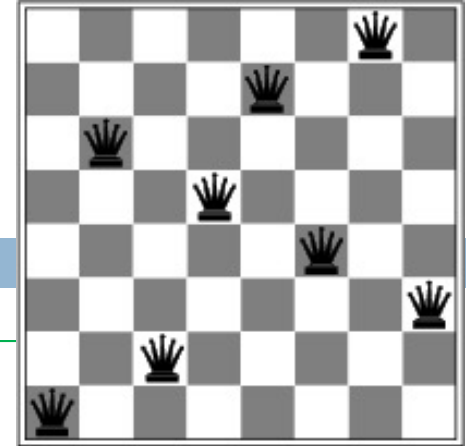
□ Local maxima: a **peak** that is

- **higher** than each of its neighboring states
- **lower** than the global maximum

□ Plateaux: a **flat area** of the state-space landscape

- **flat local maximum**, from which no progress is possible
- **shoulder**, from which progress is possible

# Hill-climbing search



## Eg.: 8-queens

Starting from a randomly generated 8-queens state

- **Hill climbing gets stuck 86%** of the time
- **Solving only 14%** of problem instances
- **It works quickly**, taking just **4 steps** on average when it succeeds and **3 steps** when it gets stuck, even if the **state space** with  $\approx$  **17 million states**

**Allowing up to 100 consecutive sideways moves:**

- **Solving 94%** of problem instances
- The algorithm averages **21 steps** for each successful instance and **64 steps** for each failure



# Hill climbing variants

## □ Stochastic hill climbing

- chooses at random from the set of all improving neighbors

## □ First-choice hill climbing

- jumps to the first improving neighbor found

## □ Random-restart hill climbing

- **series of hill climbing** runs until a goal is found
- It will find a good solution very quickly

Eg., For three million queens, it can find solutions in under a minute

# LOCAL SEARCH ALGORITHMS - PART II

Chapter 4

# Outline



- Local search algorithms
  - Hill-climbing search
  - Simulated annealing search
  - Local beam search
  - Genetic algorithms

# Hill climbing and Random Walk

- **Hill-climbing algorithm** that never makes “downhill” moves toward states with lower value is **incomplete**
  - because it can get stuck on a local maximum
- **Purely random walk**: moving to a **successor** chosen uniformly at random from the set of successors is **complete** but extremely **inefficient**
- **Idea**: to **combine** hill climbing with a **random walk** that yields both **efficiency** and **completeness**

# Simulated annealing search

- **Simulated annealing:** a version of stochastic hill climbing where some downhill moves are allowed
  - If the move improves the situation → **always accepted**
  - Otherwise → **accepted** with **some probability** less than 1
- Downhill moves
  - accepted early in the annealing schedule
  - then accepted less often as time goes on

# Simulated annealing search

- In metallurgy, **annealing** is the process used to temper or harden metals
- by heating them to a high temperature
  - then gradually cooling them

Idea: **escape local maxima** by allowing some "bad" moves but gradually decrease their frequency

**function** **SIMULATED-ANNEALING**(problem, schedule) **returns** a solution state

**inputs:**   problem, a problem  
              schedule, a mapping from time to "temperature"

The **schedule input** determines the value of the **temperature T** as a function of time

**current**  $\leftarrow$  MAKE-NODE(problem.INITIAL-STATE)

**for**  $t = 1$  **to**  $\infty$  **do**

**T**  $\leftarrow$  schedule( $t$ )

**if** **T** = 0 **then return** **current**

**next**  $\leftarrow$  **a randomly selected** successor of **current**

$\Delta E$   $\leftarrow$  **next**.VALUE – **current**.VALUE

**if**  $\Delta E > 0$  **then**   **current**  $\leftarrow$  **next**

**else**                   **current**  $\leftarrow$  **next** only with probability  $e^{\Delta E/T}$

The **higher the temperature** the **higher the probability** of making a **non-improving move**

# Properties of simulated annealing search

- One can prove: If  $T$  decreases slowly enough,  
then **simulated annealing search** will find a global optimum  
with probability approaching 1
  
- Simulated annealing widely used in
  - ▣ airline scheduling
  - ▣ large-scale optimization tasks etc

# Local beam search

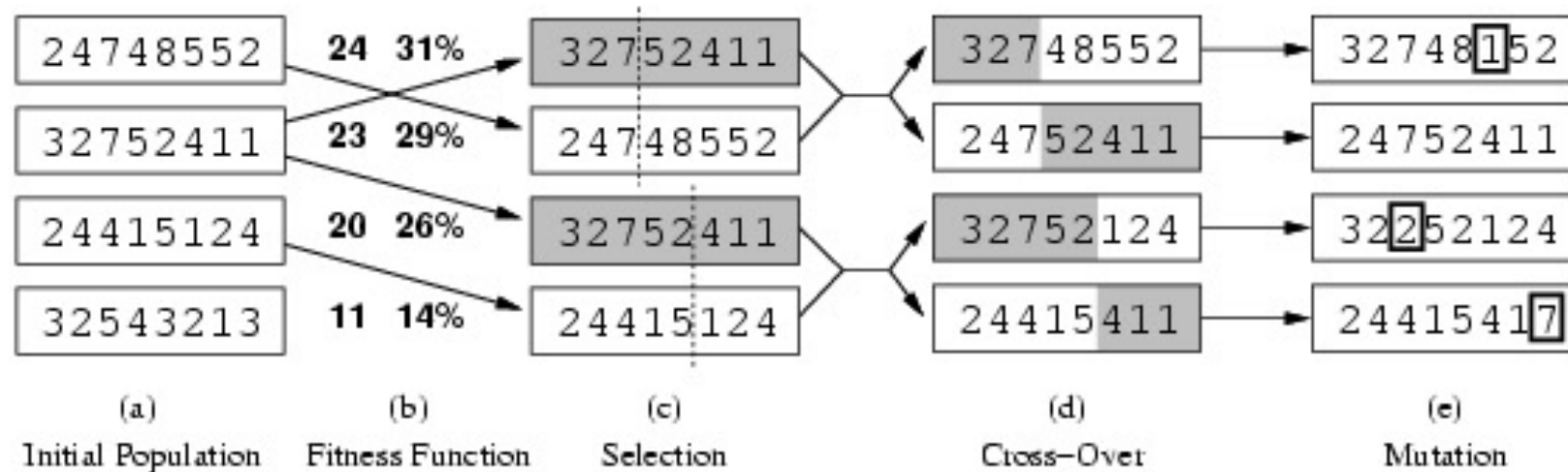
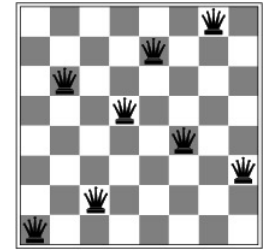
- **Keep track** of  **$k$  states** rather than just one
- **Start** with  **$k$  randomly** generated **states**
- **At each iteration** **all the successors of all  $k$  states** are generated
  - **If** any one is a **goal state**, algorithm **stops**
  - **Else select** the  **$k$  best successors** from the complete list and **repeat**  
(they could be all successors of the same node)



# Genetic algorithms

- A successor state is generated by combining two parent states rather than by modifying a single state
- **Start** with  **$k$  randomly** generated **states** (**population**)
  - A **state** is represented **as a string** over a finite alphabet (often a string of 0s and 1s or digits)
- **Each state** is associated to **a value** via an **evaluation function** (**fitness function**)
  - **Returns higher** values for **better** states
- **The next generation of states** is produced by selection, crossover, and mutation

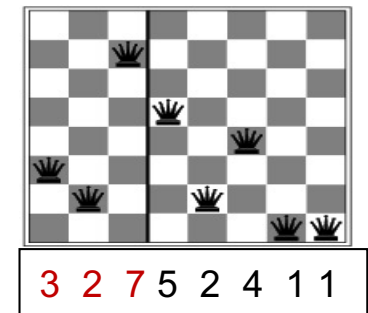
# Genetic algorithms



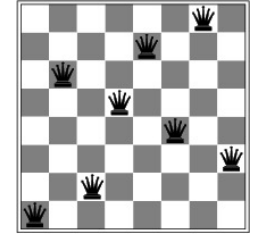
□ **States:** strings of 8 digits representing **8-queens states**

□ **Fitness function:**

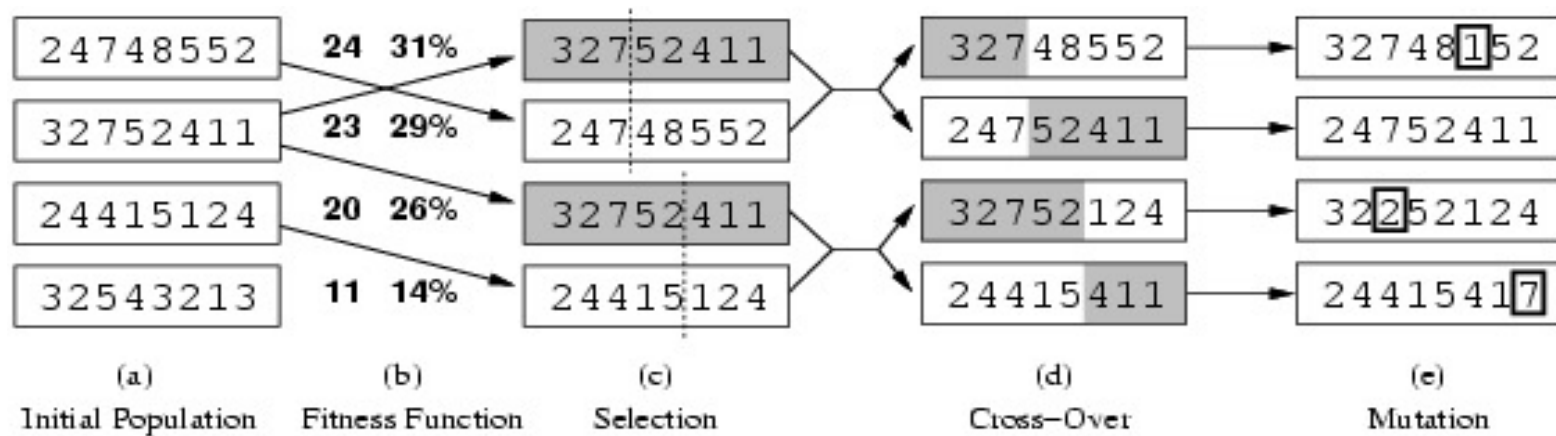
- High values for better states
- We use the **number of non-attacking pairs of queens**
- The higher the fitness the more likely the node is to be **selected** for reproductions



# Genetic algorithms

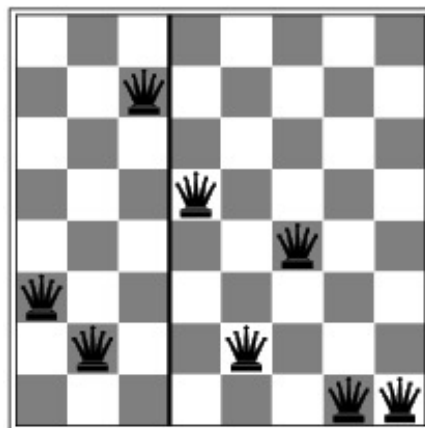


- For each pair to be mated, a **crossover** point is chosen randomly from the positions in the string
- Each location is subject to random **mutation** with a small independent probability

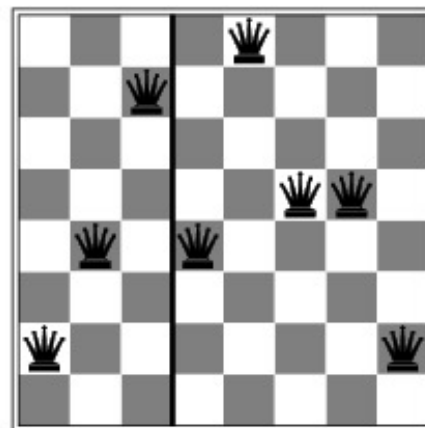


The 8-queens **states** corresponding to:  
the **first two parents** in (c)

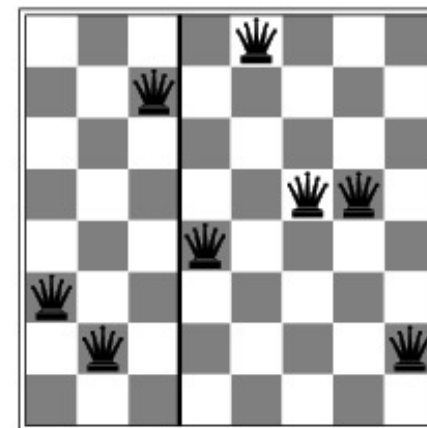
the **first child** in (d)



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3 2 7 | 5 2 4 1 1

2 4 7 | 4 8 5 5 2

3 2 7 | 4 8 5 5 2