



UNIVERSITÀ DEGLI STUDI DI PADOVA

Density estimation

Stefano Ghidoni





- Overcoming the limitations of k-means
- Creating density functions
 - Kernels
- Processing density functions



- Segmentation by thresholding (histogram-based)
- Region growing methods
- Watershed transformation
- Clustering-based methods
- Model-based segmentation
- Edge-based methods
- Graph partitioning methods
- Multi-scale segmentation
- Many others...



- Recall: image segmentation based on a clustering technique
- Recall: image description by means of feature vector
- In such context we analyzed how k-means leads to a segmentation



- K-means is a good choice, but:
 - A parametric method: k needs to be provided
 - Advantage/disadvantage
 - Non-optimal method
 - Forces spherical symmetry (in the N -dimensional space)



- How could we derive a deeper analysis on the dataset?
 - You probably know several techniques from previous courses:
 - Recall
 - Discuss with your classmates



- Anti-spoiler 😊



- Many possible options
- An idea:
 - Create a density function
 - Look for the **modes** of the density function

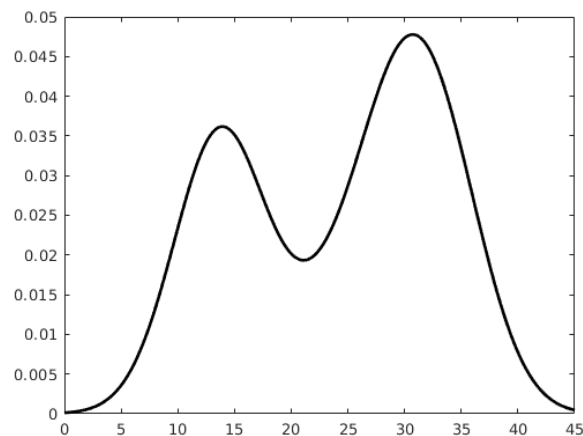
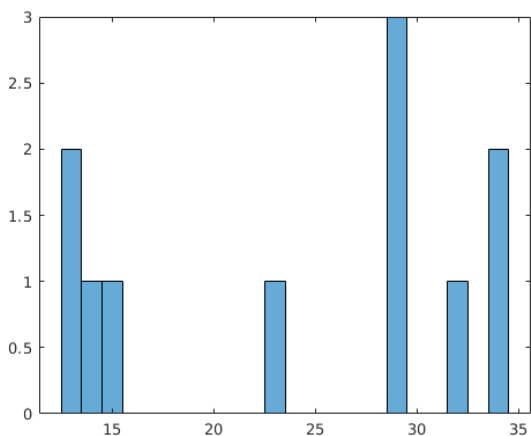


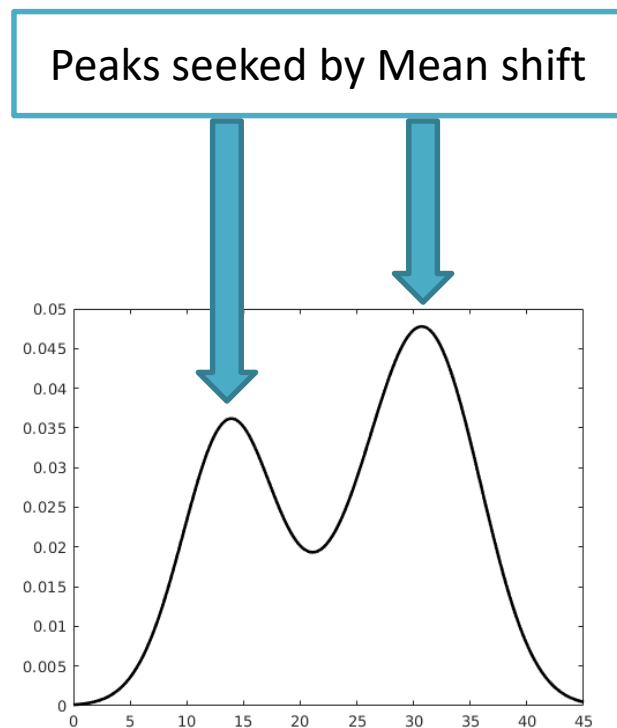
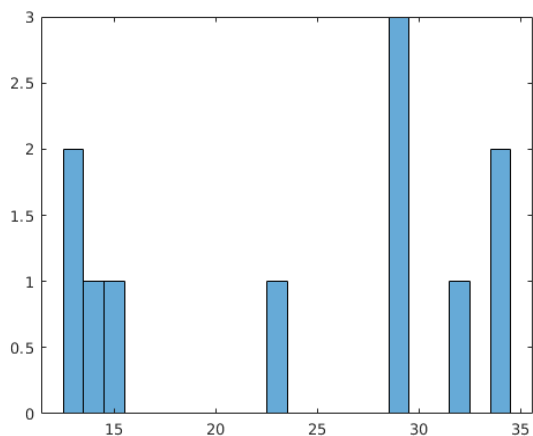
- In computer vision we have pixels, not density functions
- What density function related to an image can we define?



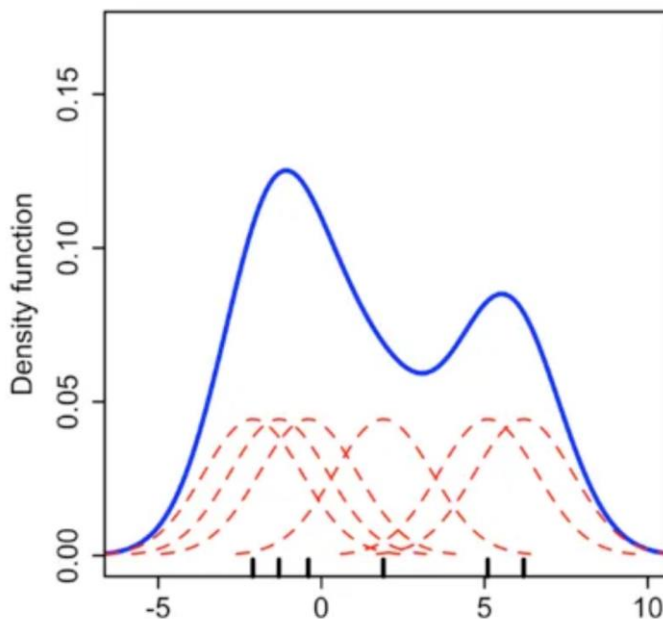
- Anti-spoiler 😊

- Starting point: set of samples
- Desired output: density function (PDF)
- Simple approach: kernel density estimation (AKA Parzen window technique)
 - Convolution with a given kernel of radius r





- We can create a density function by:
 - Choosing a kernel (e.g. a gaussian function)
 - Centering a kernel on each sample
 - Summing up all the contributions





- The kernel is defined as:

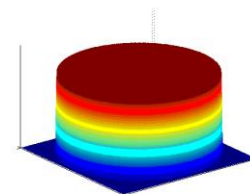
$$K(\mathbf{x}) = c_k k \left(\left\| \frac{\mathbf{x}}{r} \right\|^2 \right) = c_k k \left(\frac{\|\mathbf{x}^2\|}{r^2} \right)$$

- For point \mathbf{x} in the n-dimensional feature space
- The kernel $K(\cdot)$ integrates to 1
- The kernel has radius r
- $k(\cdot)$ is a 1-dimensional profile generating the kernel
 - Applied to all dimensions of the feature space

- Several kernels may be used:

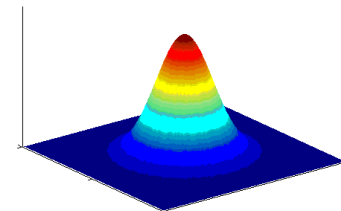
- Uniform kernel

$$k_U(x) = \begin{cases} c_u & \|x\| < 1 \\ 0 & \text{otherwise} \end{cases}$$



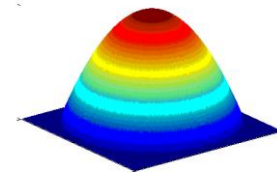
- Normal kernel

$$k_N = c_n \exp\left(-\frac{1}{2} \|x\|^2\right)$$



- Epanechnikov kernel

$$k_E(x) = \begin{cases} c_e(1 - \|x\|^2) & \|x\| < 1 \\ 0 & \text{otherwise} \end{cases}$$





- The convolution with a given kernel of width r is expressed as the sum of a translated kernel for each data point
- A function of the N data points

$$f(\mathbf{x}) = \frac{1}{Nr^n} \sum_{i=1}^N K(\mathbf{x} - \mathbf{x}_i) = \frac{c_k}{Nr^n} \sum_{i=1}^N k\left(\frac{\|\mathbf{x} - \mathbf{x}_i\|^2}{r^2}\right)$$

Where \mathbf{x}_i are the input samples and $k(\cdot)$ is the kernel function

- The factor r^n normalizes by the number of dimensions
 - Vector $\mathbf{x} \in \mathbb{R}^n$



- We described how to derive a density function
- We can then
 - Find the major peaks (modes)
 - Identify regions of the input space that climb to the same peak
 - Such regions belong to the same region/cluster
- Intuition about how the density function could be used for segmentation



- We described how to derive a density function, **but...**
- Consider the complexity in an n-dimensional space!
- Creating a density function is computationally complex
 - Possible but inefficient
 - Really needed or unnecessary?
 - Alternatives?



UNIVERSITÀ DEGLI STUDI DI PADOVA

Density estimation

Stefano Ghidoni

