

# Soft Constraints

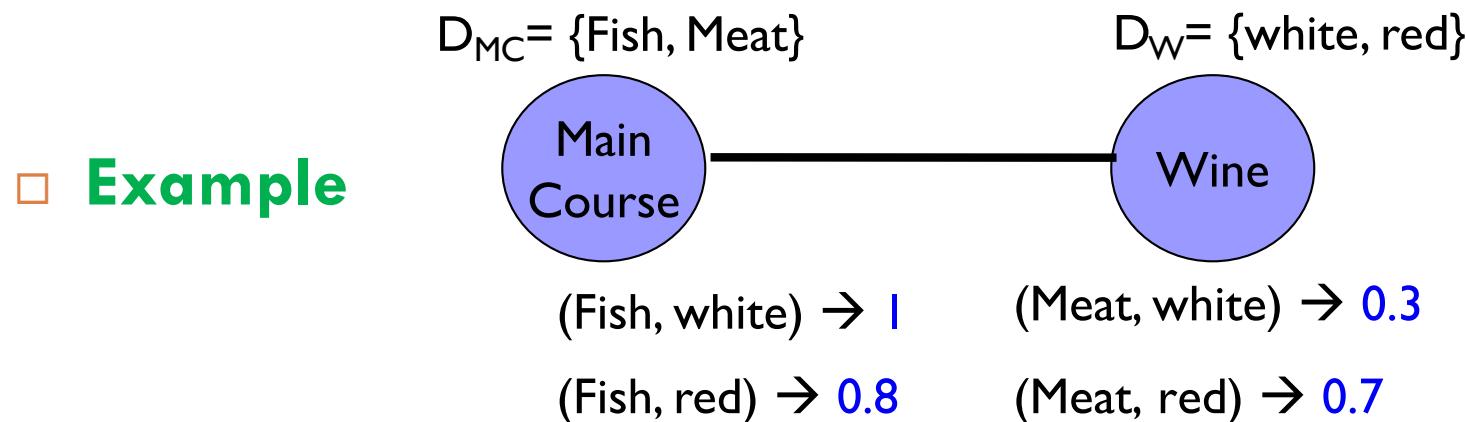


# Outline

- Soft CSPs
  - C-semiring framework
  - Projection, Combination
  - Search

# Soft constraints

- **Soft constraint:** a classical constraint, where each assignment of values to its variables has an associated preference value from a (totally or partially ordered) **set**
  - This set has two operations, which makes it **similar to a semiring**
  - It is called a **c-semiring**



# Soft constraint satisfaction problems (soft CSPs)

- Soft CSP
  - is a set of soft constraints over a set of variables based on a specific c-semiring  $\langle A, +, \times, 0, 1 \rangle$ :
- Solution of a Soft CSP: complete assignment
  - one value for each variable
- Preference value of a solution (global evaluation)
  - By combining (via  $\times$ ) the preference values of the partial assignments of the solution given by the constraints

# A general framework for soft CSPs

It is based on a **c-semiring structure** that is a tuple  $\langle A, +, \times, 0, 1 \rangle$

- **A:** set that specifies the **preference values** to be associated with each **tuple**, i.e., with each **assignment of values** of the variables
- **+, ×:** two semiring operations
  - **+** models **constraint projection**
  - **×** models **constraint combination**
- **0:** the **worst** preference value (**0**  $\in A$ )
- **1:** the **best** preference values (**1**  $\in A$ )

# The c-semiring framework

- C-semiring  $S = \langle A, +, \times, 0, 1 \rangle$ :
  - **A set of preferences**
  - **+ additive operator**
    - *induces the ordering  $\leq_S$  over A* defined as follows  
 $a \leq_S b$  iff  $a + b = b$   
(+ is idempotent, commutative, associative, unit element 0);
  - **$\times$  multiplicative operator**
    - *combines preferences*  
( $\times$  is commutative, associative, unit element 1, absorbing element 0)
  - **0**: the **worst** preference value ( $0 \in A$ )
  - **1**: the **best** preference values ( $1 \in A$ )

# Soft constraints

Variables  $\{X_1, \dots, X_n\} = X$   
Domains  $\{D(X_1), \dots, D(X_n)\} = D$   
C-semiring  $\langle A, +, x, 0, I \rangle$

- **Soft constraint:** a pair  $c = \langle f, \text{con} \rangle$  where
  - **Scope:**  $\text{con} = \{X_{c1}, \dots, X_{ck}\}$  subset of  $X$
  - **Preference function:**
$$f: D(X_{c1}) \times \dots \times D(X_{ck}) \rightarrow A$$
$$(v_1, \dots, v_k) \rightarrow p \quad p \text{ is a preference value}$$
- **Hard constraint:** a soft constraint where
  - for each tuple  $(v_1, \dots, v_k)$ 
    - $f(v_1, \dots, v_k) = I$  the tuple is allowed
    - $f(v_1, \dots, v_k) = 0$  the tuple is forbidden

# Review: Soft constraint satisfaction problems

- **Soft CSP**
  - is a **set of soft constraints over a set of variables based on a specific c-semiring  $\langle A, +, \times, \mathbf{0}, \mathbf{I} \rangle$**
- **Solution** of a Soft CSP: **complete assignment**
  - one value for each variable
- **Preference value of a solution** (global evaluation)
  - By combining (via  **$\times$** ) the preference values of the partial assignments of the solution given by the constraints

# Fuzzy constraint satisfaction problems (FCSPs)

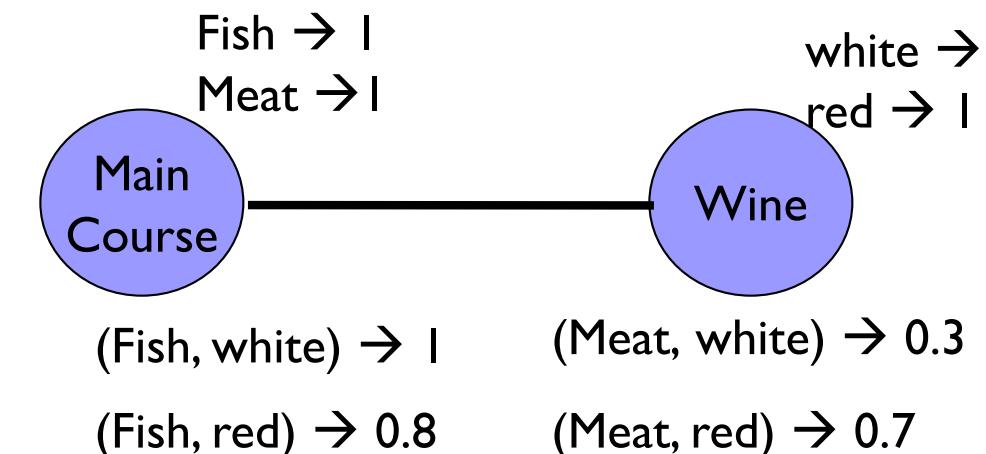
- Fuzzy  $c$ -semiring:  $\langle A = [0, 1], + = \max, \cdot = \min, \theta = 0, I = 1 \rangle$ :
  - Preference values between 0 and 1
  - Higher values denote better preferences
    - 0 is the worst preference
    - 1 is the best preference
  - Combination is taking the smallest value

→ optimization criterion = maximize the minimum preference

Pessimistic approach, useful in critical application (eg., space and medical settings)

[Fuzzy CSPs: Schiex UAI' 92, Ruttkay FUZZ-IEEE '94 ]

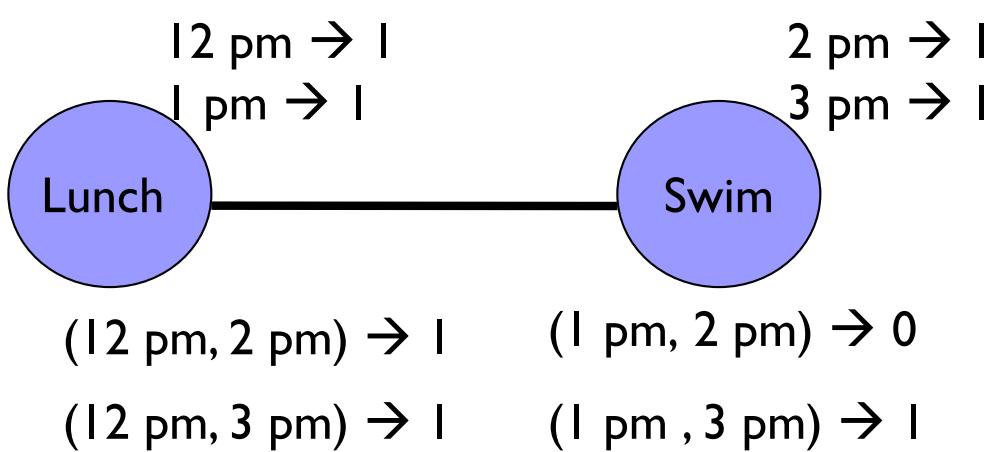
# Example of FCSP



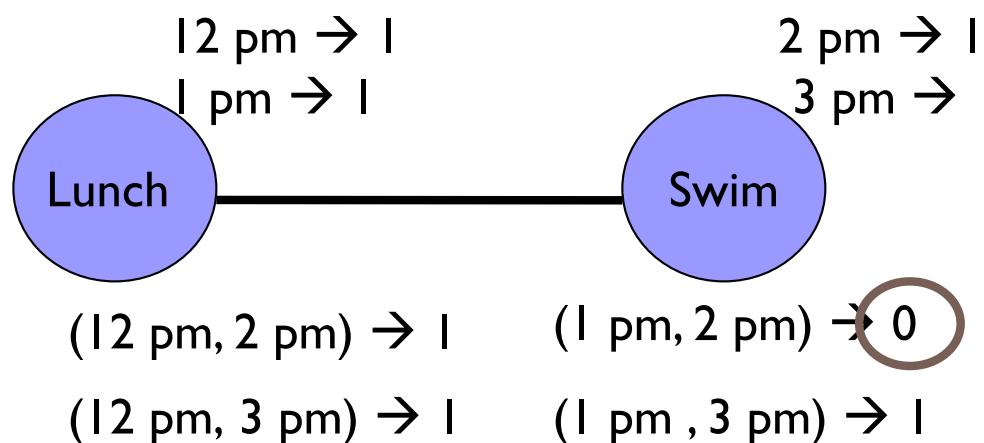
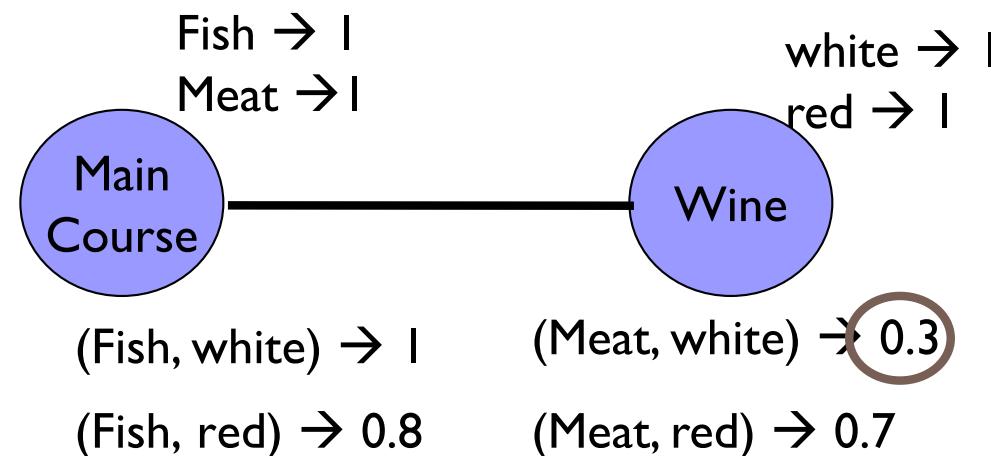
## Fuzzy c-semiring

$$S = \langle A, +, \times, 0, 1 \rangle$$

$$S_{FCSP} = \langle [0,1], \max, \min, 0, 1 \rangle$$



# Example of FCSP



## Fuzzy c-semiring

$$S = \langle A, +, \times, 0, 1 \rangle$$

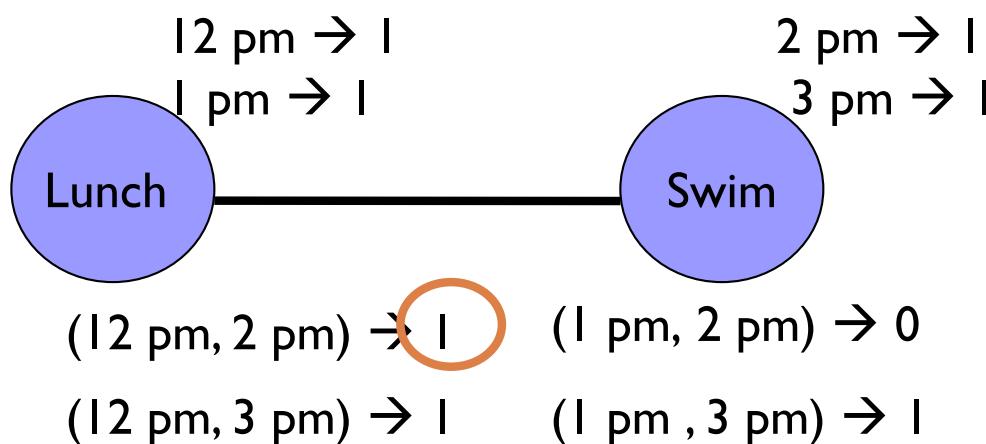
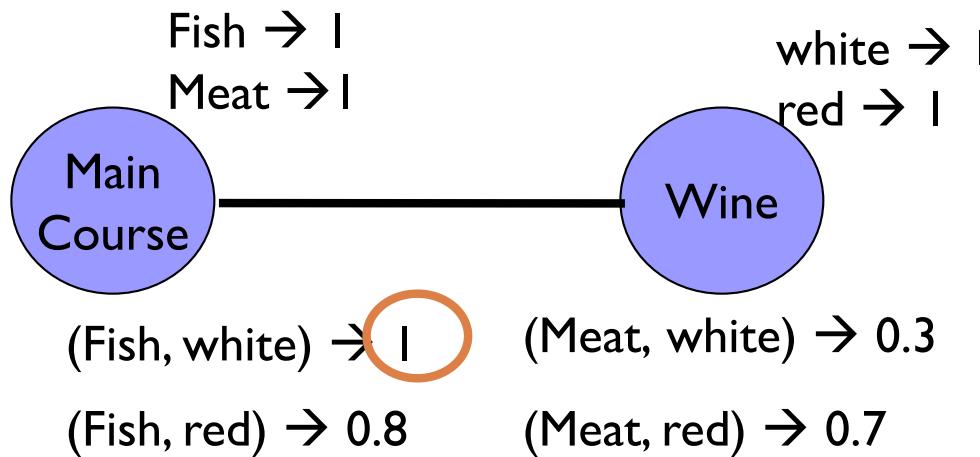
$$S_{FCSP} = \langle [0,1], \max, \min, 0, 1 \rangle$$

### Solution S

Lunch=	1 pm
Main course =	meat
Wine=	white
Swim =	2 pm

$$\text{pref}(S) = \min(0.3, 0) = 0$$

# Example of FCSP



## Fuzzy c-semiring

$$S = \langle A, +, \times, 0, 1 \rangle$$

$$S_{FCSP} = \langle [0,1], \max, \min, 0, 1 \rangle$$

### Solution S

Lunch =	1 pm
Main course =	meat
Wine =	white
Swim =	2 pm

$$\text{pref}(S) = \min(0.3, 0) = 0$$

### Solution S'

Lunch =	12 pm
Main course =	fish
Wine =	white
Swim =	2 pm

$$\text{pref}(S') = \min(1, 1) = 1$$

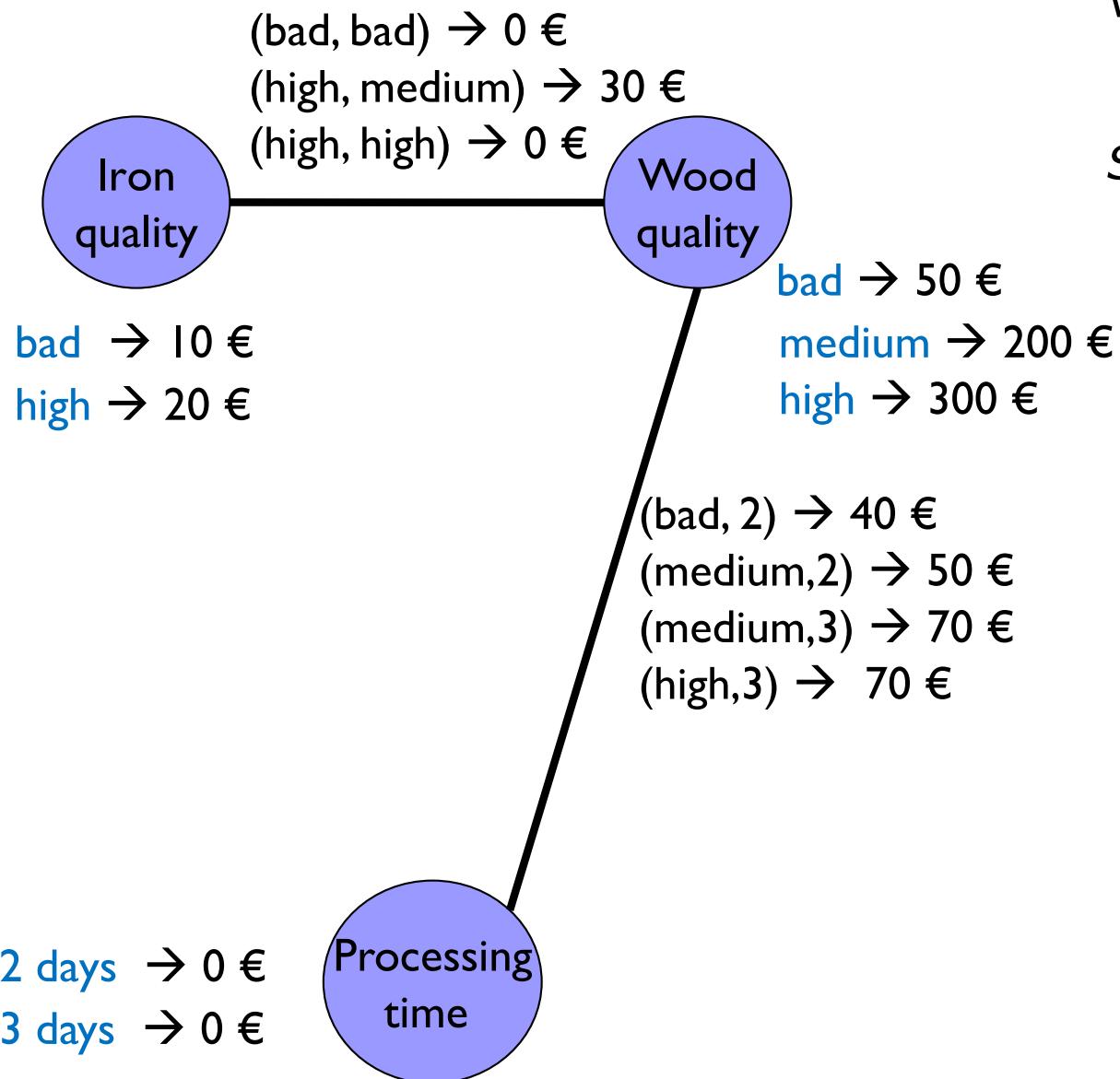
# Weighted constraint satisfaction problems (WCSPs)

- Weighted *c*-semiring :  $\langle N, + = \min, \times = +, \mathbf{0} = +\infty, \mathbf{1} = 0 \rangle$ :
  - Preference values are **costs** between 0 and  $+\infty$
  - **Lower costs are better**
    - $+\infty$  is the worst cost
    - 0 is the best cost
  - **Combination** is taking the **sum of the costs**

→ optimization criterion = minimize the sum of costs

Useful in all settings where costs apply

# Example of WCSP

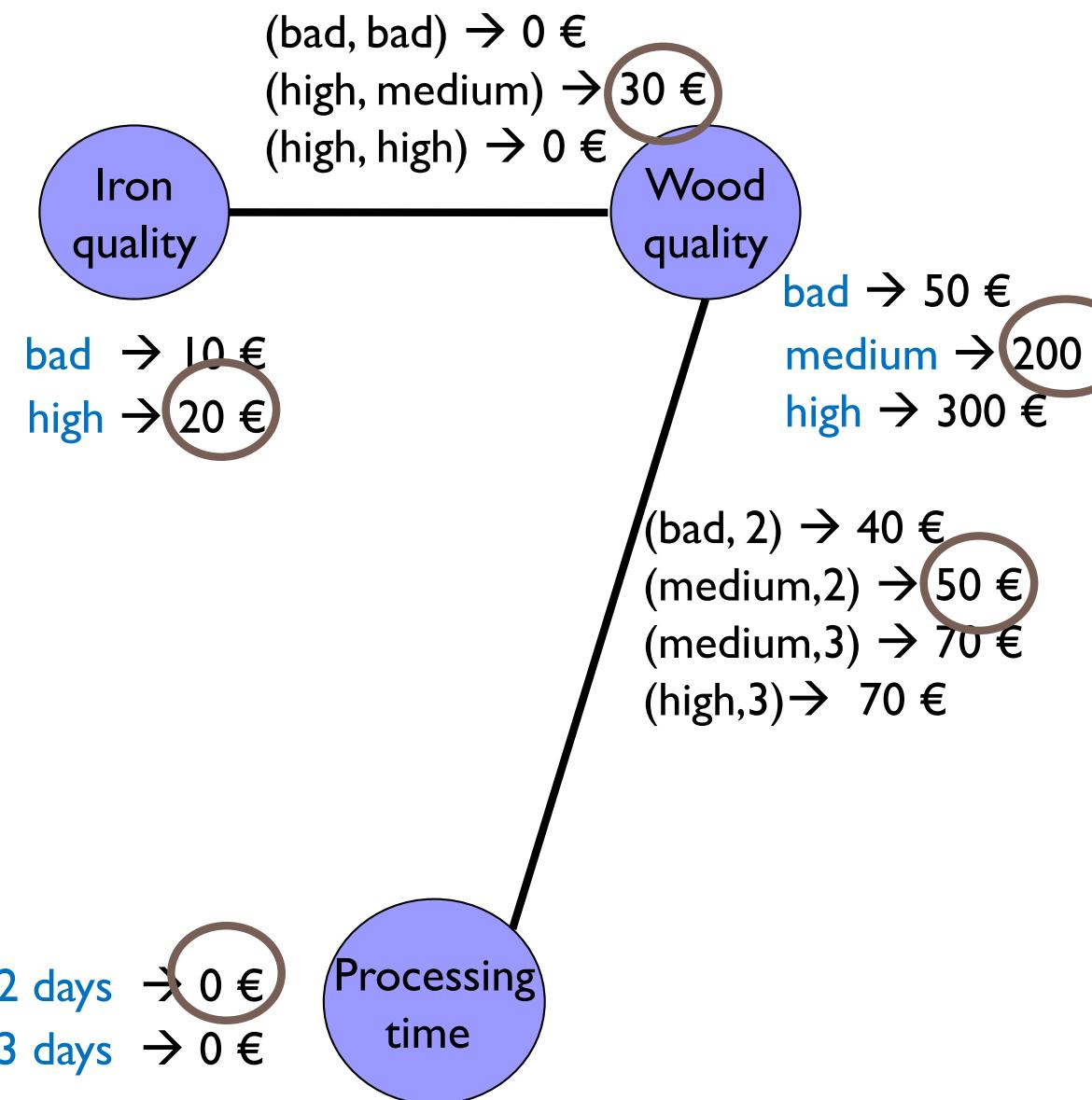


Weighted c-semiring

$$S = \langle A, +, \times, \mathbf{0}, \mathbf{1} \rangle$$

$$S_{WCSP} = \langle [0, +\infty], \min, +, +\infty, 0 \rangle$$

# Example of WCSP



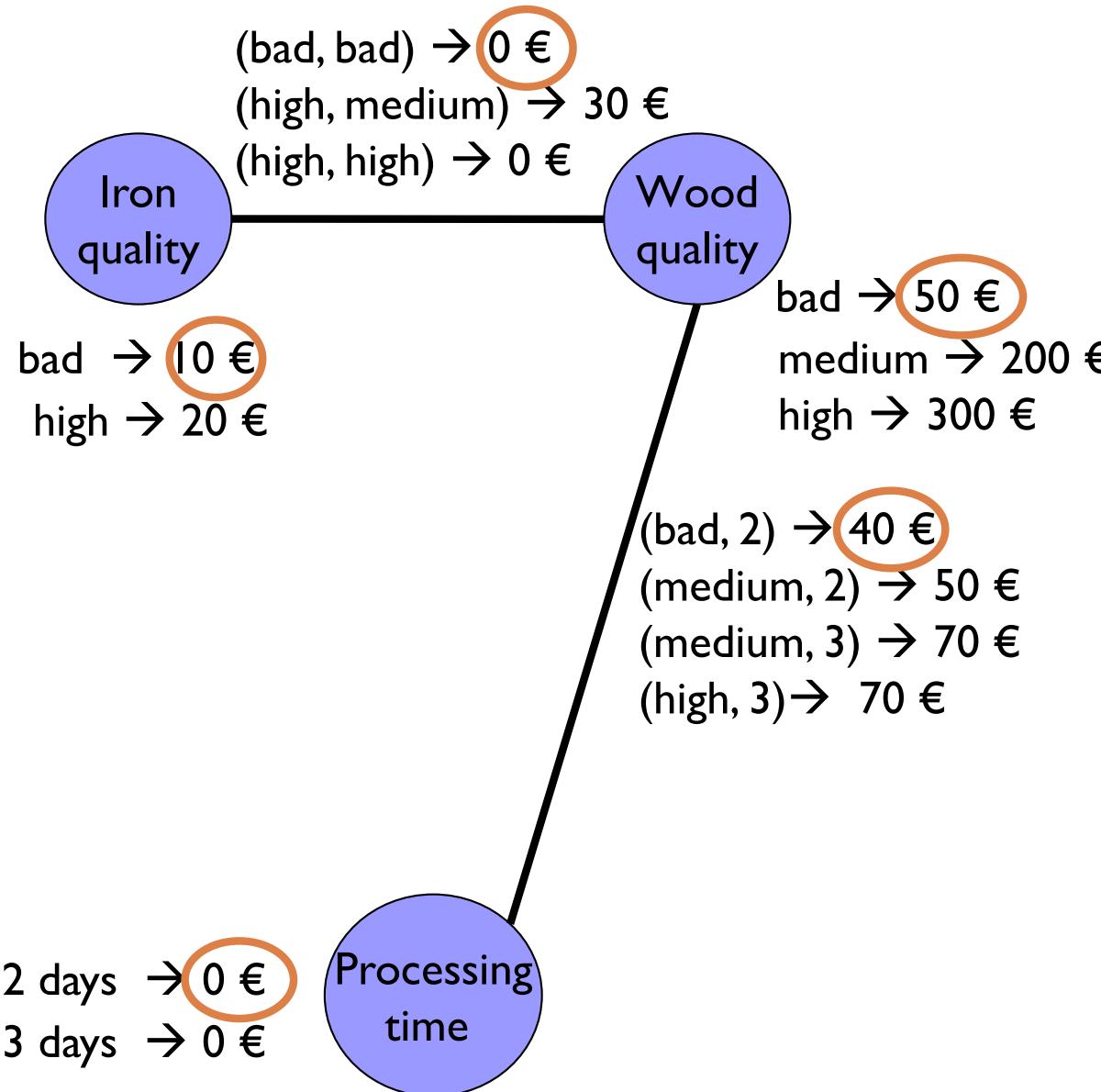
Weighted c-semiring

$$S = \langle A, +, \times, \mathbf{0}, \mathbf{1} \rangle$$

$$S_{WCSP} = \langle [0, +\infty], \min, +, +\infty, 0 \rangle$$

Solution $S$	
Iron=	high
Wood =	medium
Time=	2
$\text{pref}(S) = 20 + 30 + 200 + 50 = 300$	

# Example of WCSP



Weighted c-semiring

$$S = \langle A, +, \times, 0, 1 \rangle$$

$$S_{WCSP} = \langle [0, +\infty], \text{min}, +, +\infty, 0 \rangle$$

Solution $S$	
Iron=	high
Wood =	medium
Time=	2

$$\text{pref}(S) = 20 + 30 + 200 + 50 = \mathbf{300}$$

Solution $S'$	
Iron=	bad
Wood =	bad
Time=	2

$$\text{pref}(S') = 10 + 50 + 40 = \mathbf{100}$$

$S'$  is better than  $S$

# Solution ordering

- A soft CSP induces an ordering over the solutions, from the ordering  $\leq_s$  of the c-semiring
  - If  $\leq_s$  is a total order → total order over solutions (possibly with ties)
  - If  $\leq_s$  is a partial order → total or partial order over solutions (possibly with ties)
- Any ordering can be obtained

# Instances of semiring-based soft constraints

Each instance is characterized by a c-semiring  $\langle A, +, \times, 0, 1 \rangle$

- **Classical constraints:**  $\langle \{0,1\}, \text{ logical or, logical and, } 0, 1 \rangle$ 
  - Satisfy all constraints
- **Fuzzy constraints:**  $\langle [0,1], \text{ max, min, } 0, 1 \rangle$ 
  - Maximize the minimum preference
- **Weighted constraints:**  $\langle R \cup +\infty, \text{ min, } +, +\infty, 0 \rangle$ 
  - Minimize the sum of the costs
- **Probabilistic constraints:**  $\langle [0,1], \text{ max, } \times, 0, 1 \rangle$ 
  - Maximize the joint probability
- **Multi-criteria problems:** Cartesian product of c-semirings
- ...

# Multi-criteria problems

- Main idea: one c-semiring for each criteria
- Given  $n$  c-semirings  $S_i = \langle A_i, +_i, x_i, 0_i, 1_i \rangle$ , for  $i=1,\dots,n$  we can build the **c-semiring  $S$**   
 $\langle \langle A_1, \dots, A_n \rangle, +, x, \langle 0_1, \dots, 0_n \rangle, \langle 1_1, \dots, 1_n \rangle \rangle$   
+ and **x** obtained by *pointwise application* of  $+_i$  and  $x_i$  on each c-semiring
- Each assignment is associated with a tuple of preference values  $(p_1, \dots, p_n)$
- The ordering over the solutions may be a partial order

# Example of a multi-criteria problem (I)

**The problem:** choosing a route between two cities

- **Each piece of highway has**
  - a **preference** and
  - a **cost**
- **Two goals:** we want
  - **minimize the sum of the costs** and
  - **maximize the preference**

Each piece of highway has  
a **preference** and a **cost**

## Example of a multi-criteria problem (2)

- **C-semiring:** by putting together
  - one fuzzy c-semiring  $\langle [0, 1], \max, \min, 0 \rangle$
  - one weighted c-semiring  $\langle R^+, \min, +, +\infty, 0 \rangle$
- **Best solutions:**  
**routes** such that there is **no other route** with a **better semiring value**
  - $\langle 0.8, \$10 \rangle$  is better than  $\langle 0.7, \$15 \rangle$
- **Resulting order over solutions is partial:**
  - $\langle 0.6, \$10 \rangle$  and  $\langle 0.4, \$5 \rangle$  are **not comparable**

# Fundamental operations with soft constraints

c-semiring  $\langle A, +, \times, 0, 1 \rangle$

- **Projection:**

eliminate one or more variables from a constraint  
obtaining a new soft constraint preserving the  
information on the remaining variables

- **Combination:**

combine two or more soft constraints  
obtaining a new soft constraint “synthesizing”  
the information of the original ones

# Projection

Variables  $\{X_1, \dots, X_n\} = X$

Domains  $\{D(X_1), \dots, D(X_n)\} = D$

C-semiring  $\langle A, +, x, 0, I \rangle$

## □ Projection:

eliminate one or more variables from a constraint  
obtaining a new soft constraint preserving the information  
on the remaining variables

Formally:

Given a soft constraint  $c = \langle f, \text{con} \rangle$ ,

a set  $I$  of variables ( $I$  subset of  $X$ ),  
the **projection of  $c$  over  $I$**  is the constraint  $c|_I = \langle f', \text{con}' \rangle$   
where

■  $\text{con}' = I \cap \text{con}$

■  $f'(t') = + (f(t))$  over tuples of values  $t$  s.t.  $t|_{I \cap \text{con}} = t'$

# Projection: fuzzy example

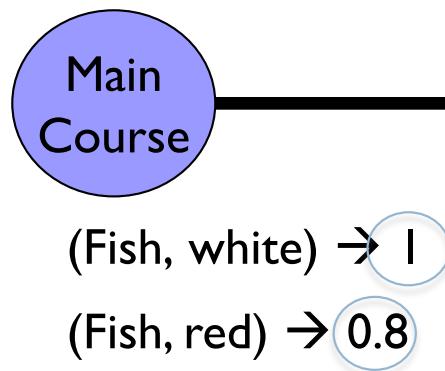
$$S_{FCSP} = <[0,1], \max, \min, 0, 1>$$

If  $c = <f, \text{con}>$ , then  $c|_I = <f, I \cap \text{con}>$

$f'(t') = + (f(t))$  over tuples of values  $t$  s.t.  $t|_{I \cap \text{con}} = t'$

$$c = <f, \{mc, w\}>$$

{Fish, Meat}



{white, red}

**Projection of  $c$  over  $I$**  =  $\{mc\}$   
 $c|_I$



$$\begin{aligned} \text{Fish} &\rightarrow \max(f(\text{Fish}, \text{white}), f(\text{Fish}, \text{red})) \\ &= \max(I, 0.8) = I \end{aligned}$$

$$\begin{aligned} \text{Meat} &\rightarrow \max(f(\text{Meat}, \text{white}), f(\text{Meat}, \text{red})) \\ &= \max(0.3, 0.7) = 0.7 \end{aligned}$$

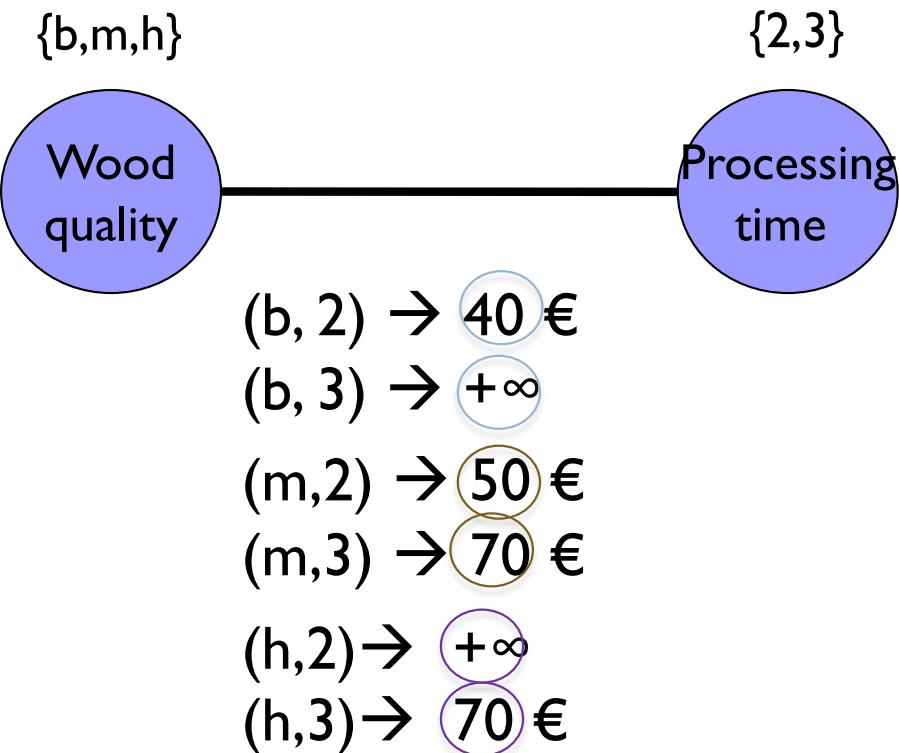
# Projection: weighted example

$$S_{WCSP} = \langle [0, +\infty], \min, +, +\infty, 0 \rangle$$

If  $c = \langle f, \text{con} \rangle$ , then  $c|_I = \langle f', I \cap \text{con} \rangle$

$f'(t') = + (f(t))$  over tuples of values  $t$  s.t.  $t|_{I \cap \text{con}} = t'$

$$c = \langle f, \{wq, pt\} \rangle$$



**Projection of  $c$  over  $I = \{wq\}$**   
 $c|_I$



$b \rightarrow \min(f(b, 2), f(b, 3)) = \min(40, +\infty) = 40$   
 $m \rightarrow \min(f(m, 2), f(m, 3)) = \min(50, 70) = 50$   
 $h \rightarrow \min(f(h, 2), f(h, 3)) = \min(+\infty, 70) = 70$

# Combination

c-semiring  $\langle A, +, \times, 0, 1 \rangle$

## □ Combination:

**combine two or more soft constraints obtaining a new soft constraint** “synthesizing” the information of the original ones

Formally:

Given two soft constraints

$c_1 = \langle f_1, \text{con}_1 \rangle$  and

$c_2 = \langle f_2, \text{con}_2 \rangle$

then **their combination** is the constraint  $c_1 \times c_2 = \langle f', \text{con}' \rangle$ , where

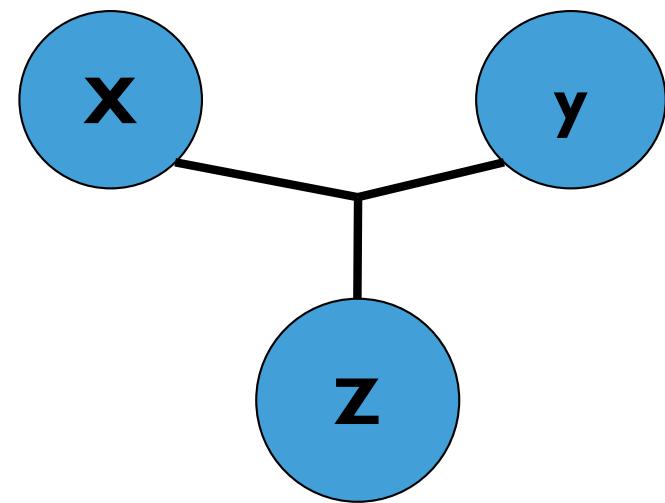
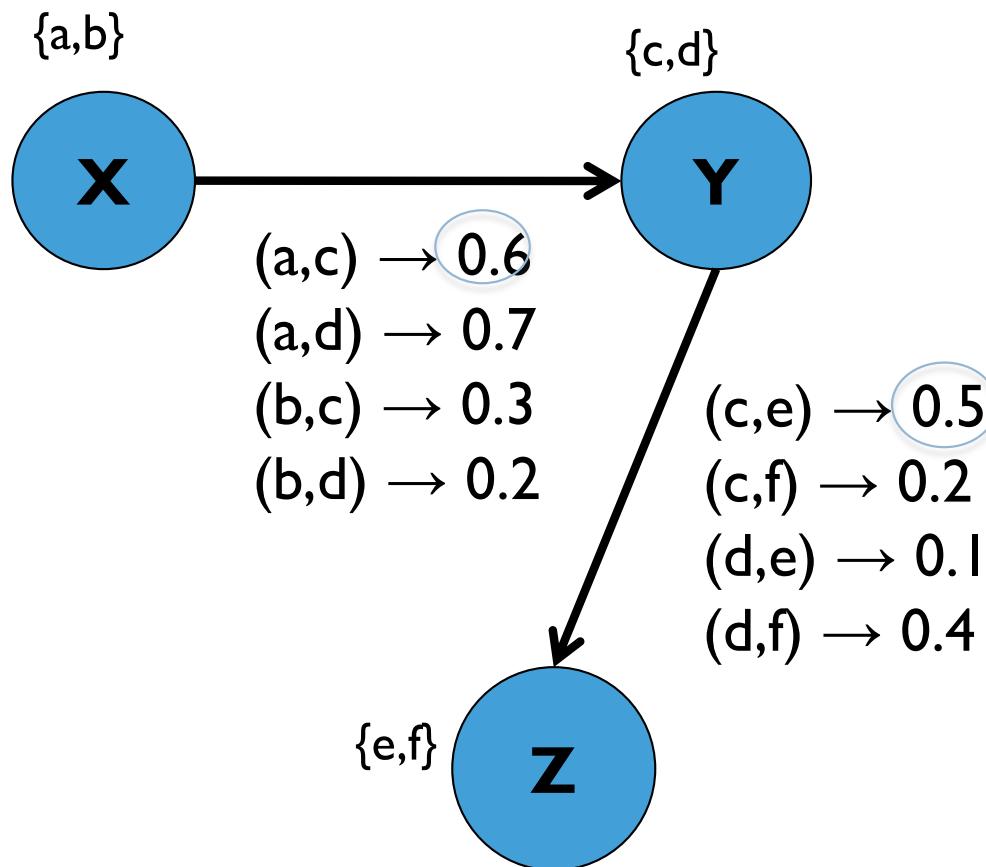
- $\text{con}' = \text{con}_1 \cup \text{con}_2$
- $f'(t) = f_1(t|_{\text{con}_1}) \times f_2(t|_{\text{con}_2})$

$$S_{FCSP} = \langle [0,1], \max, \min, 0, 1 \rangle$$

# Combination: fuzzy example

If  $c_1 = \langle f_1, \text{con}_1 \rangle$  and  $c_2 = \langle f_2, \text{con}_2 \rangle$  then:  $c_1 \times c_2 = \langle f, \text{con}_1 \cup \text{con}_2 \rangle$

□  $f(t) = f_1(t|_{\text{con}_1}) \times f_2(t|_{\text{con}_2})$



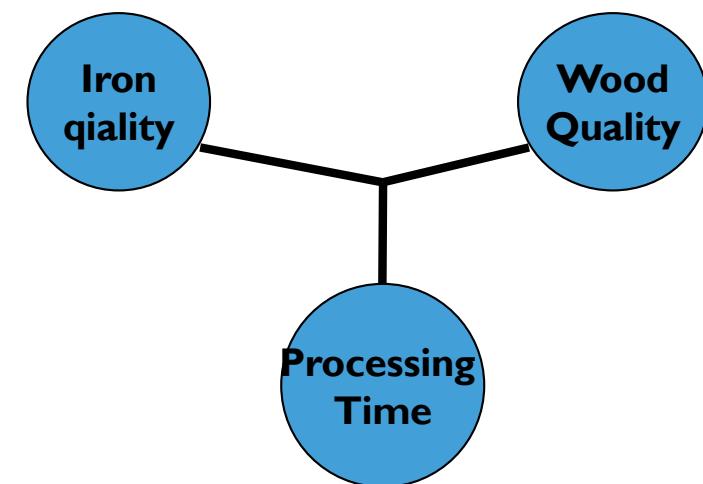
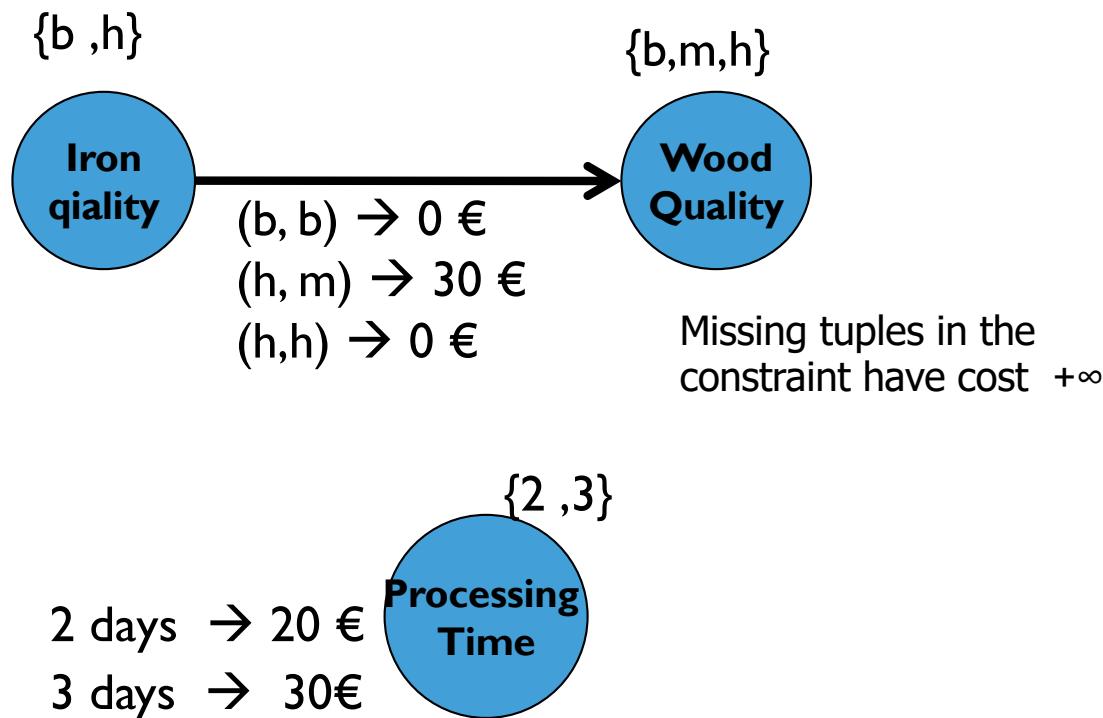
- $(a,c,e) \rightarrow \min(0.6, 0.5) = 0.5$
- $(a,c,f) \rightarrow \min(0.6, 0.2) = 0.2$
- $(a,d,e) \rightarrow \min(0.7, 0.1) = 0.1$
- $(a,d,f) \rightarrow \min(0.7, 0.4) = 0.4$
- $(b,c,e) \rightarrow \min(0.3, 0.5) = 0.3$
- $(b,c,f) \rightarrow \min(0.3, 0.2) = 0.2$
- $(b,d,e) \rightarrow \min(0.2, 0.1) = 0.1$
- $(b,d,f) \rightarrow \min(0.2, 0.4) = 0.2$

$$S_{WCSP} = <[0, +\infty], \min, +, +\infty, 0>$$

# Combination: weighted example

If  $c1 = <f1, \text{con}_1>$  and  $c2 = <f2, \text{con}_2>$  then:  $c1 \times c2 = <f, \text{con}_1 \cup \text{con}_2>$

$f(t) = f1(t|_{\text{con}_1}) \times f2(t|_{\text{con}_2})$



$$(b,b,2) \rightarrow 0+20 = 20$$

$$(h,m,3) \rightarrow 30+30= 60$$

....

Please, complete

# Typical questions

- **Find an optimal solution**
  - Difficult  
(Ex.: branch and bound + constraint propagation)
- **Is  $t$  an optimal solution?**
  - Difficult  
(we have to find the optimal preference level)
- **Is  $t$  better than  $t'$  ?**
  - Easy: Linear in the number of constraints  
(compute the two pref. levels and compare them)

# How to find optimal solutions

- Classical constraints:
  - Search
    - systematic (backtracking)
    - local
  - Constraint propagation
  - ...
- Is it possible to extend/adapt these techniques to soft constraints?

# Systematic search: Branch and Bound

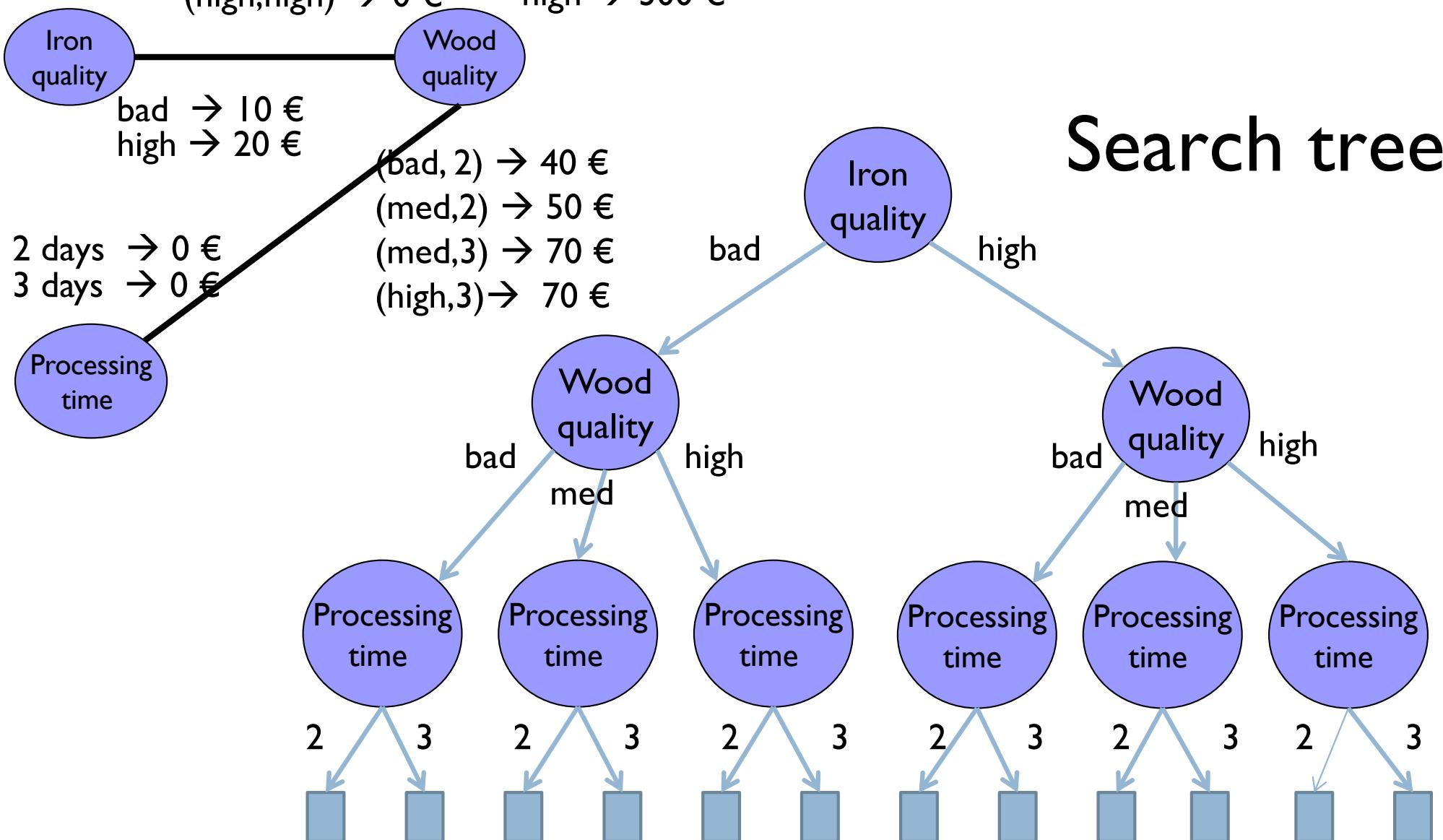
- Backtracking → **Branch and Bound**
- Main idea:
  - visit each assignment that may be a solution
  - skip only assignments that are shown to be dominated by others
- **Search tree** to represent the space of all assignments

WCSP

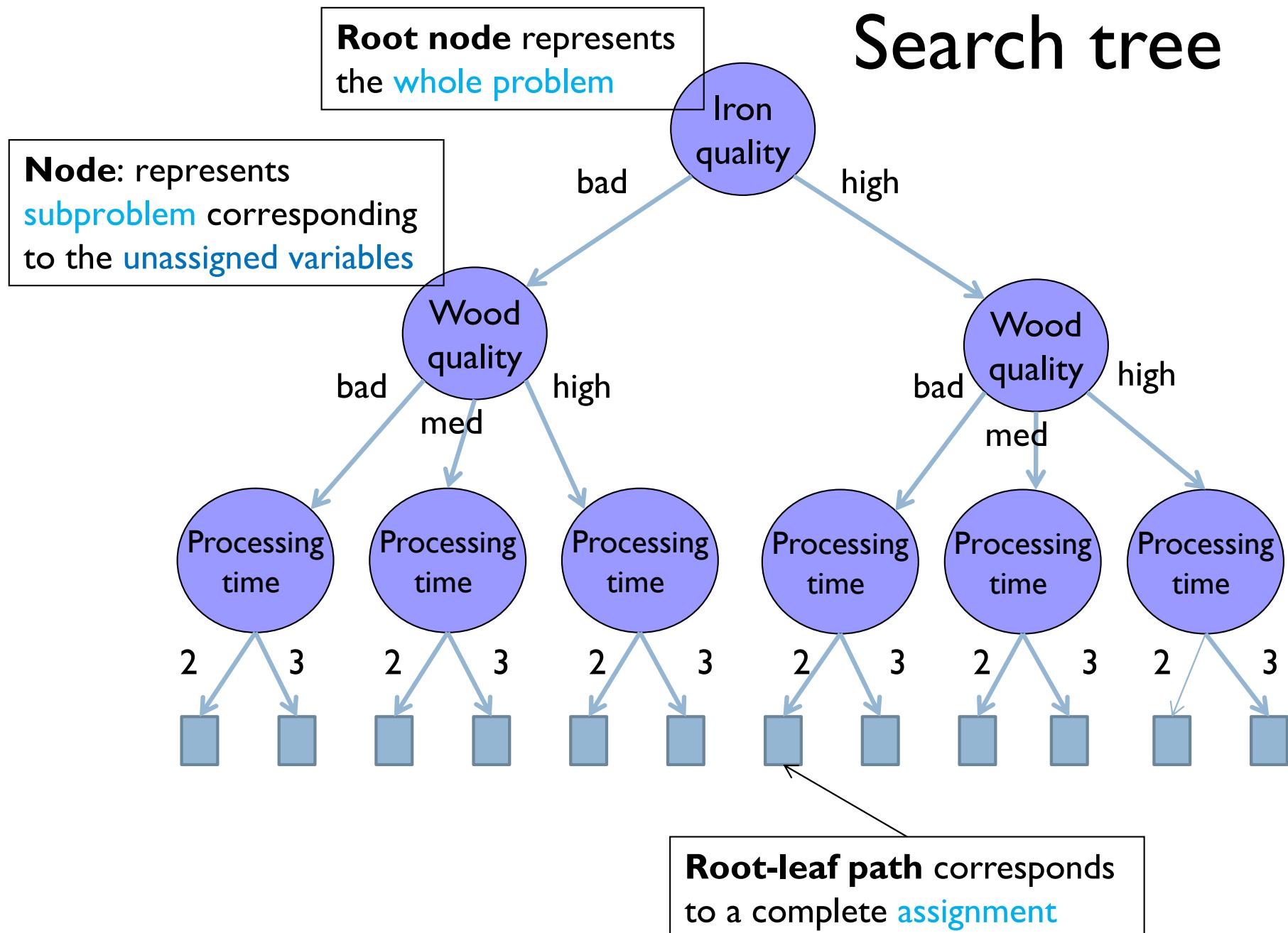
(bad, bad) → 0 €  
(high, med) → 30 €  
(high,high) → 0 €

bad → 50 €  
med → 200 €  
high → 300 €

$$S_{WCSP} = <[0, +\infty], min, +, +\infty, 0>$$



# Search tree



# Systematic search : Branch and Bound

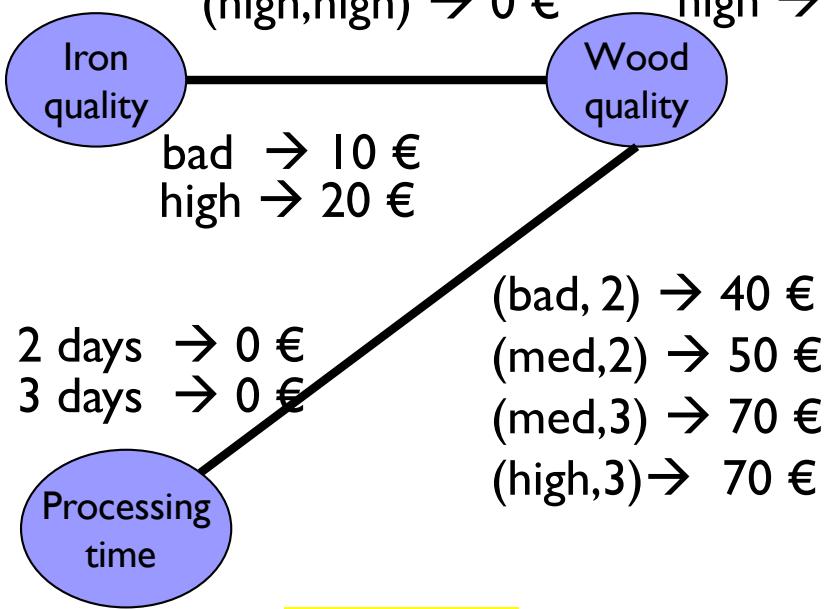
- **Lower bound (lb)** =  
preference of best solution so far ( $0$  at the beginning)
- **Upper bound (ub)** for each node:  
upper bound to the preference of any assignment  
in the subtree rooted at the node
- If **ub** is worst than **lb** → **prune subtree**

(bad, bad) → 0 €	bad → 50 €
(high, med) → 30 €	med → 200 €
(high,high) → 0 €	high → 300 €

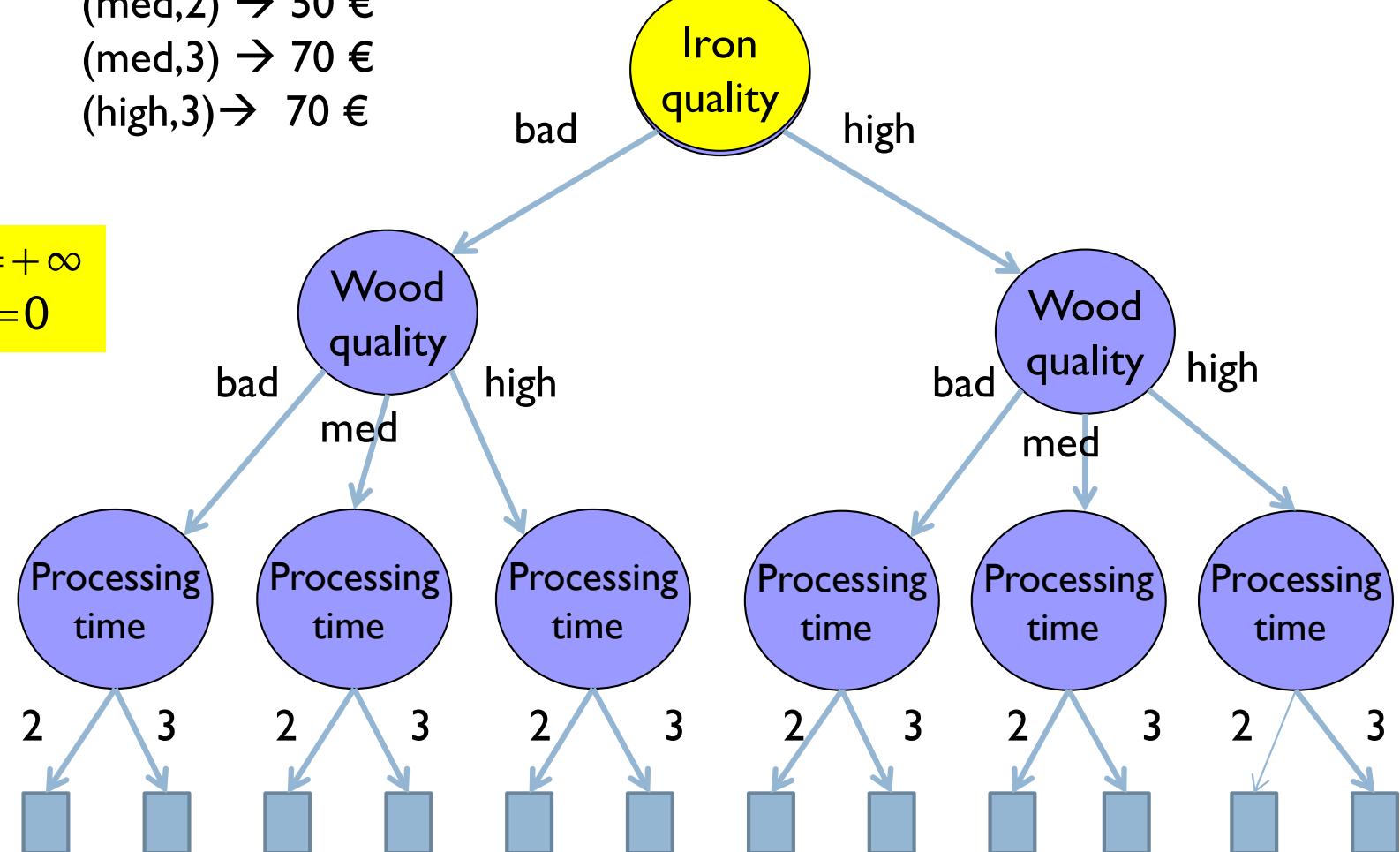
$$S_{WCSP} = <[0, +\infty], \min, +, +\infty, 0>$$

**lb** = preference of **best solution** so far

**ub** = **combination of preferences** from constraints on **assigned variables**



lb =  $+\infty$   
ub = 0

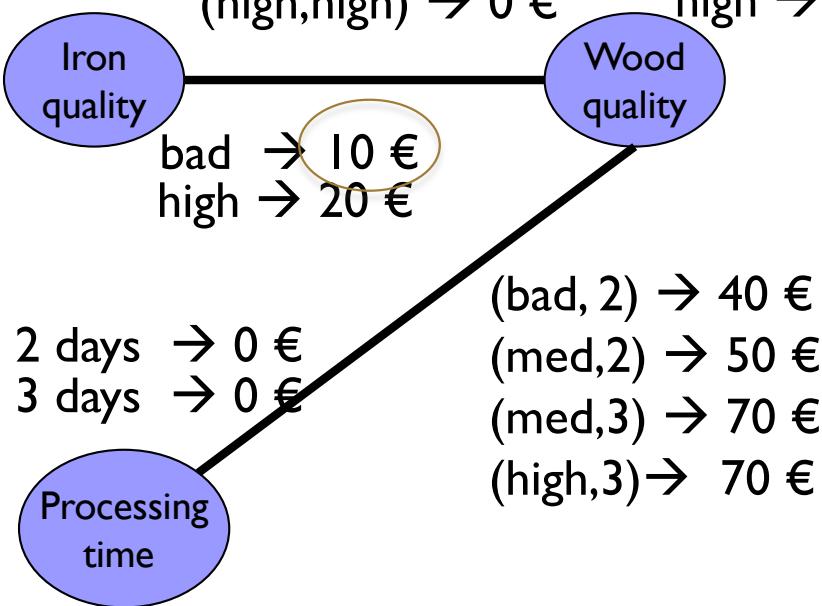


(bad, bad) → 0 €	bad → 50 €
(high, med) → 30 €	med → 200 €
(high,high) → 0 €	high → 300 €

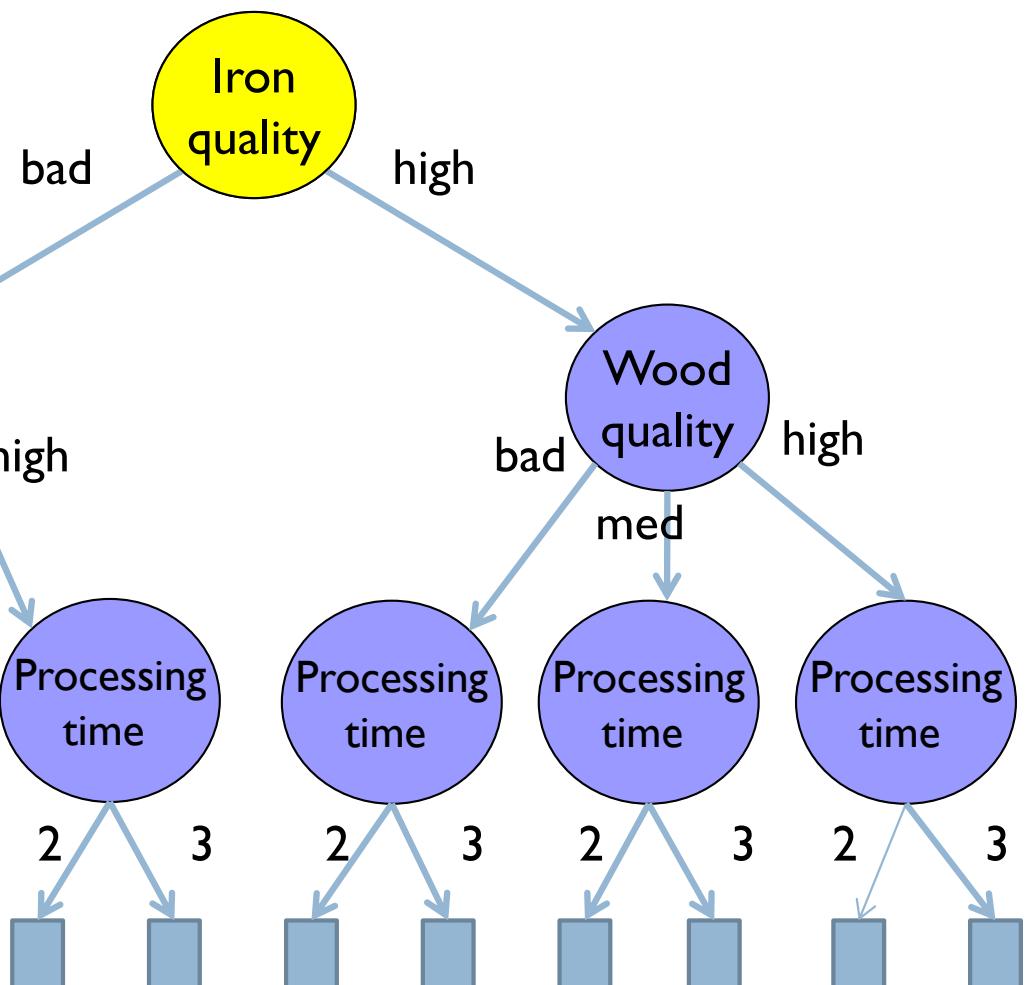
$$S_{WCSP} = <[0, +\infty], \min, +, +\infty, 0>$$

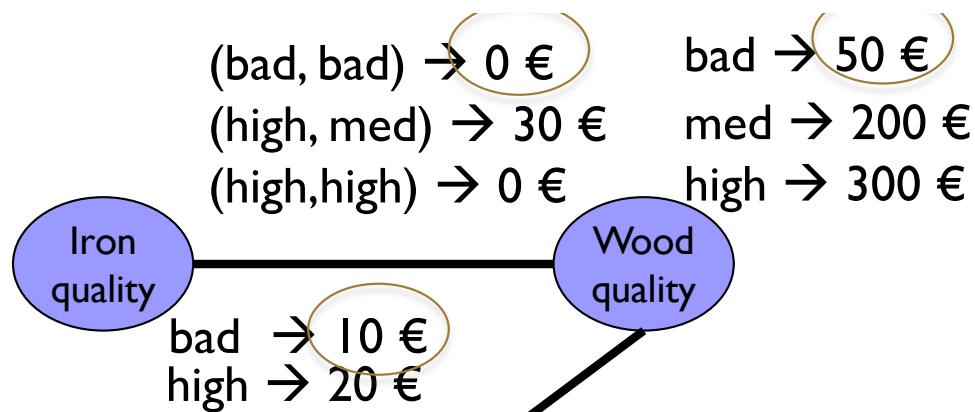
**lb** = preference of **best solution** so far

**ub** = **combination of preferences** from constraints on **assigned variables**



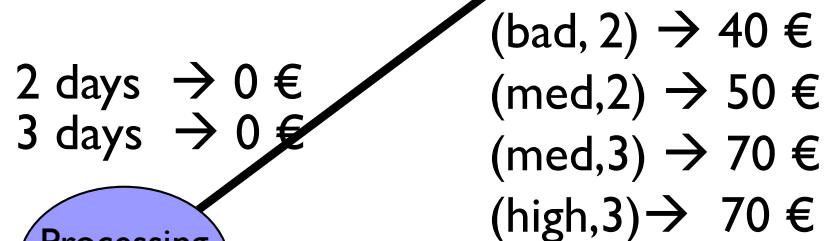
lb =  $+\infty$   
 ub = 10





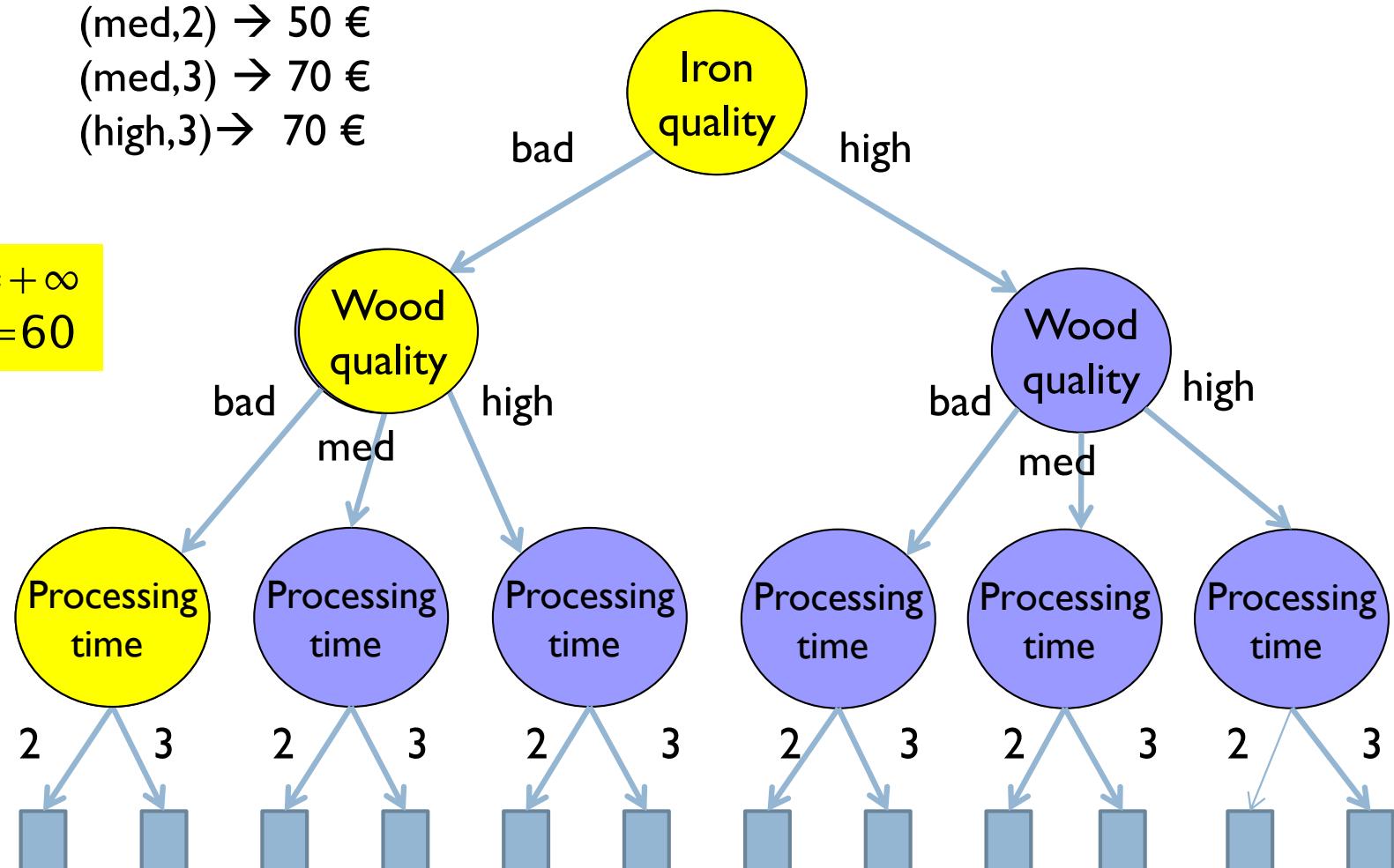
$$S_{WCSP} = <[0, +\infty], \min, +, +\infty, 0>$$

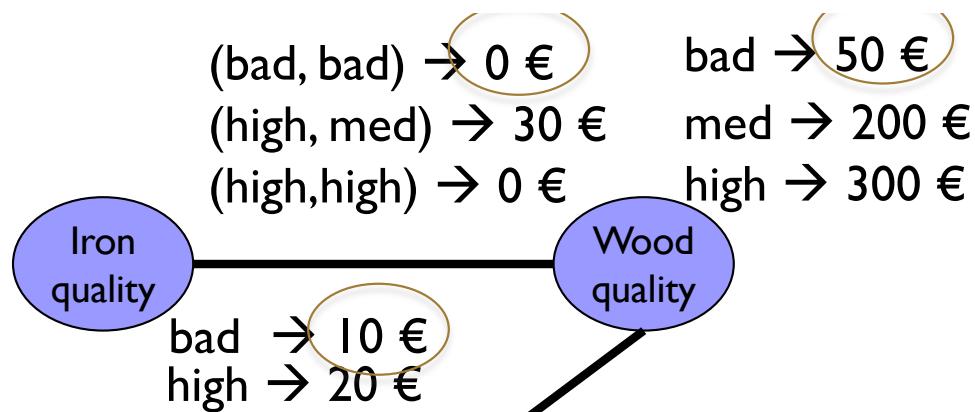
**lb** = preference of **best solution** so far  
**ub** = **combination of preferences** from constraints on **assigned variables**



$$\text{lb} = +\infty$$

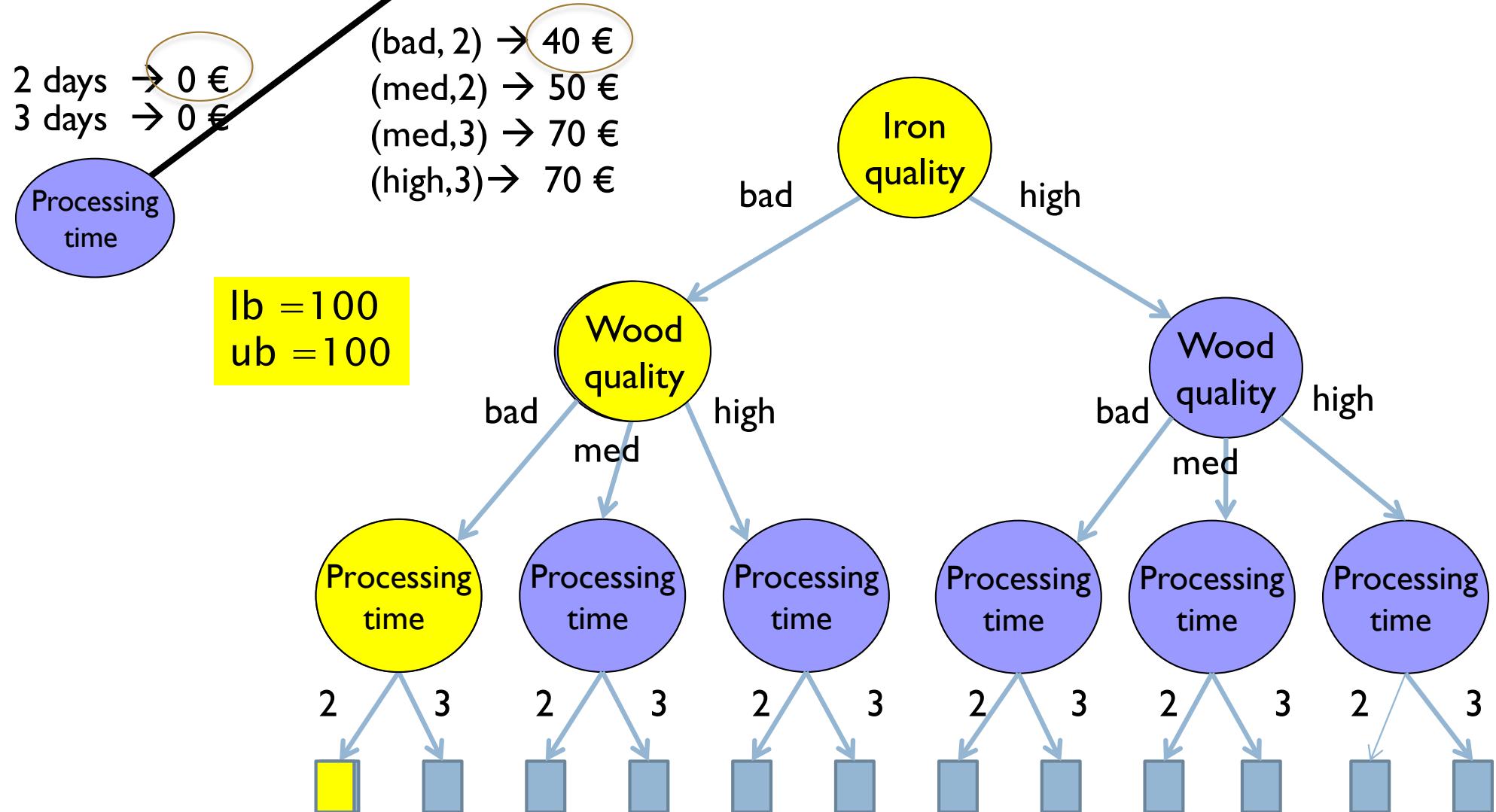
$$\text{ub} = 60$$

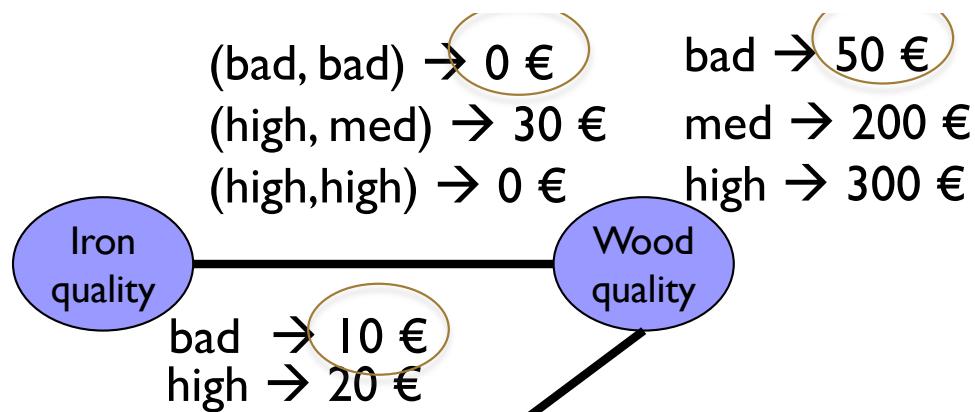




$$S_{WCSP} = <[0, +\infty], \min, +, +\infty, 0>$$

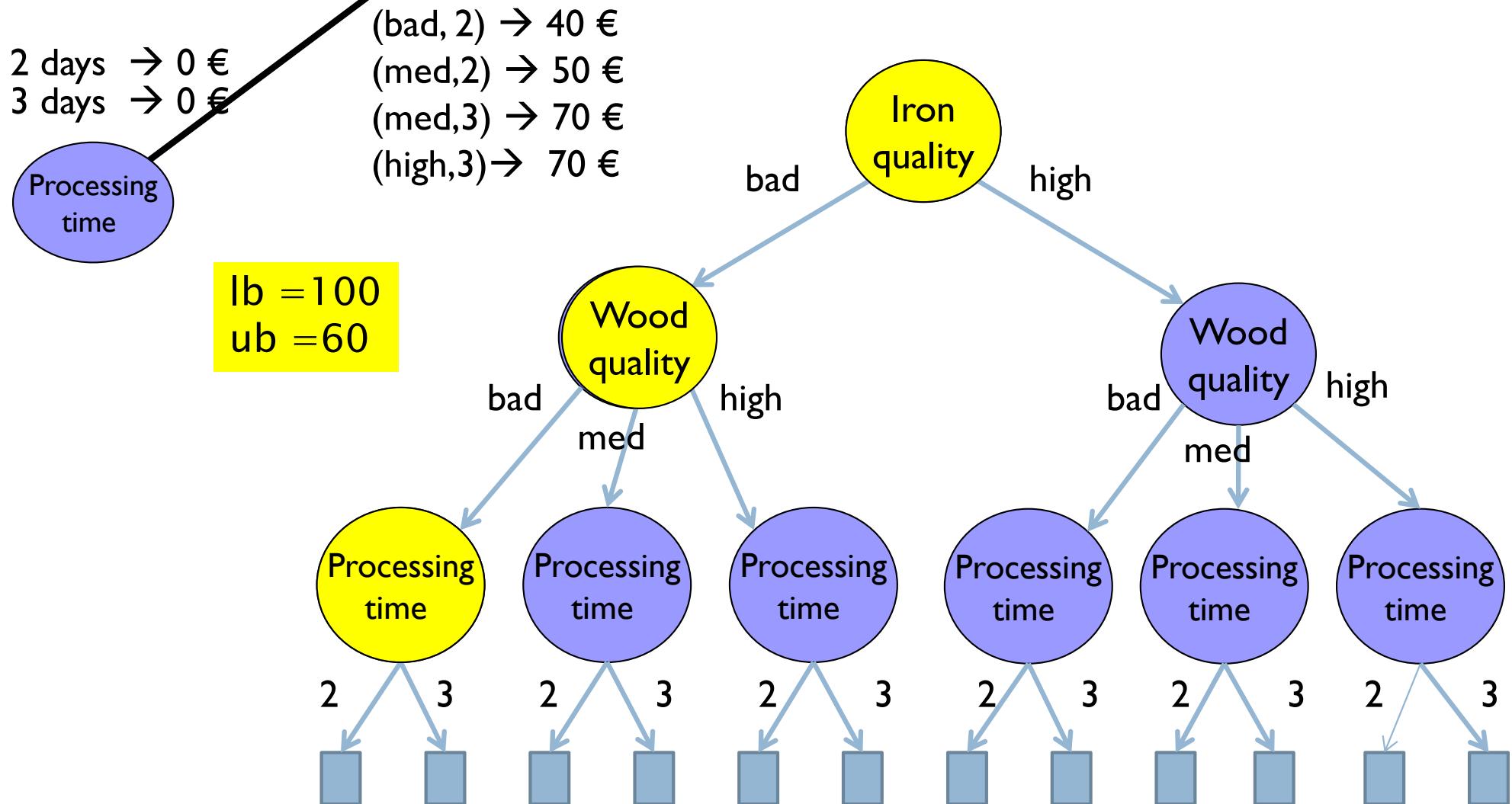
**lb** = preference of **best solution** so far  
**ub** = **combination of preferences** from constraints on **assigned variables**

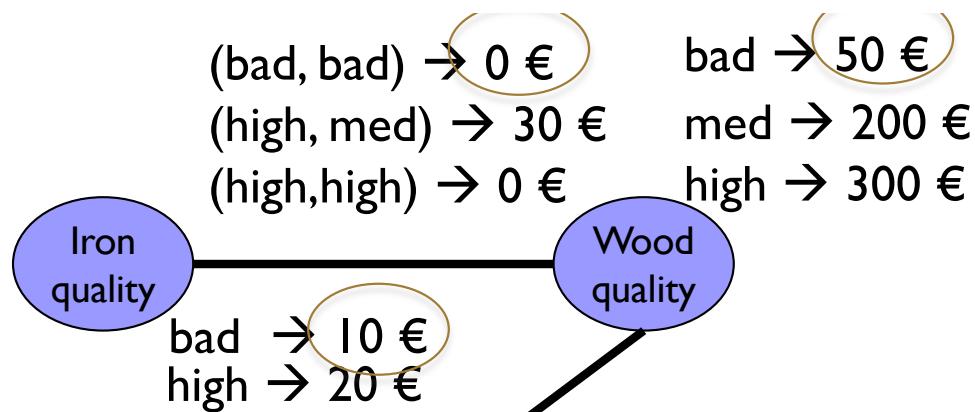




$$S_{WCSP} = <[0, +\infty], \min, +, +\infty, 0>$$

**lb** = preference of **best solution** so far  
**ub** = **combination of preferences** from constraints on **assigned variables**

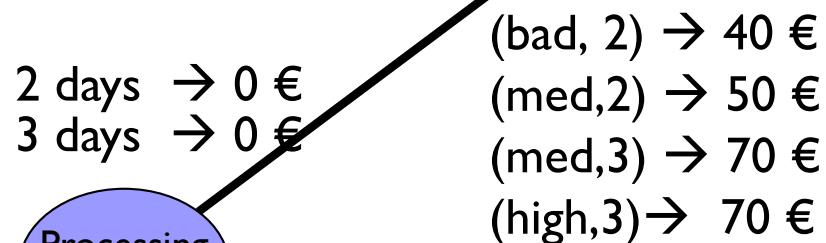




$$S_{WCSP} = <[0, +\infty], \min, +, +\infty, 0>$$

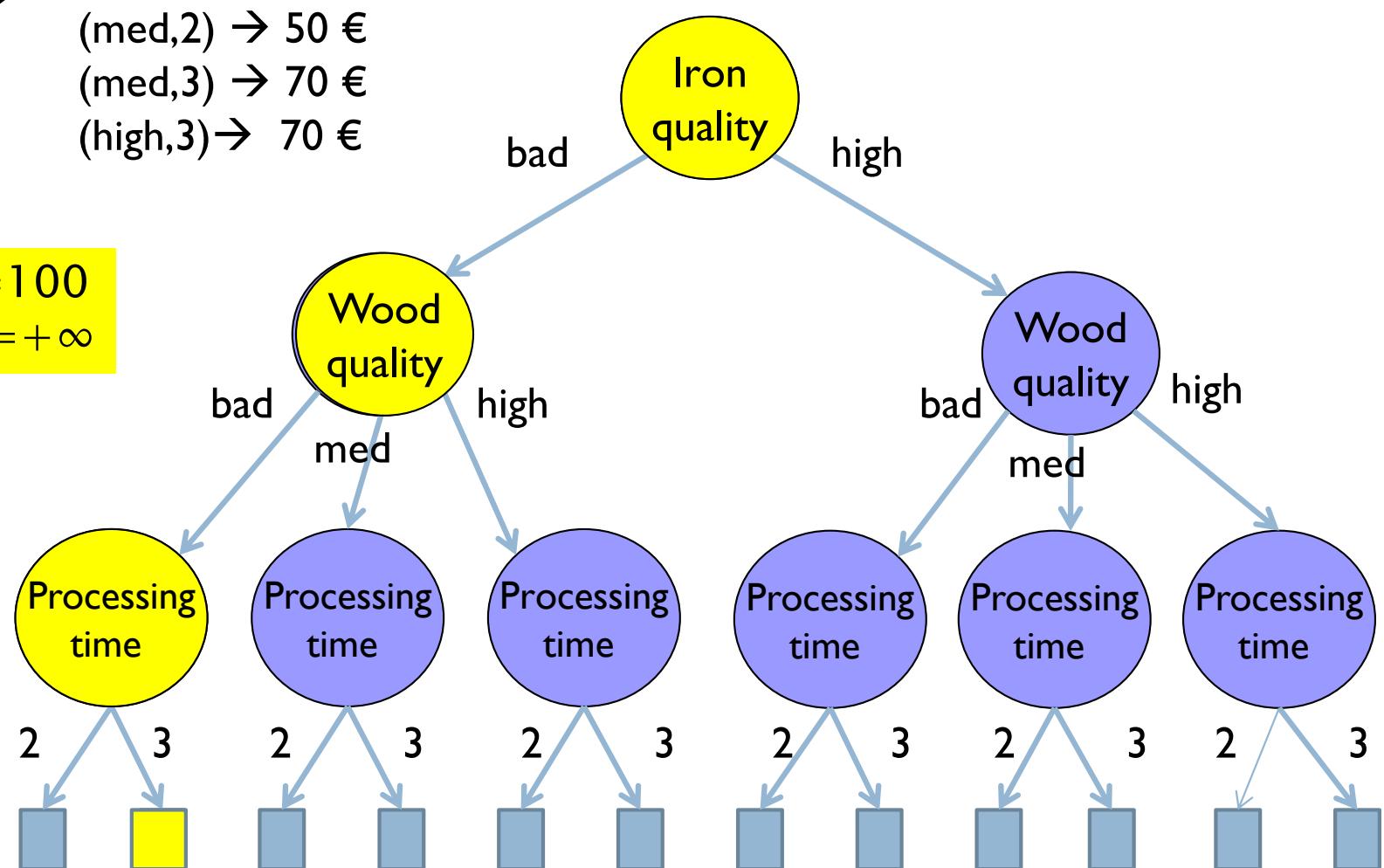
**lb** = preference of **best solution** so far

**ub** = **combination of preferences** from constraints on **assigned variables**



Processing time

lb = 100  
ub =  $+\infty$

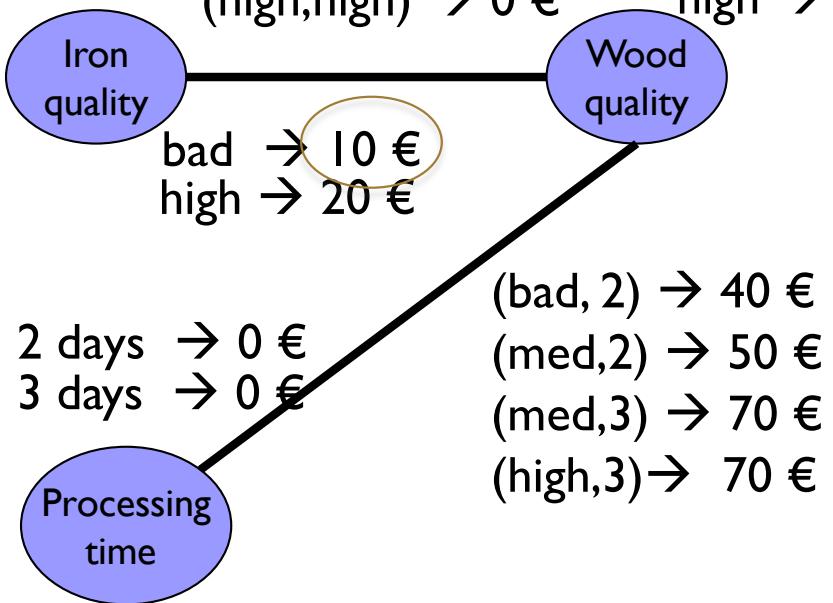


(bad, bad) → 0 €	bad → 50 €
(high, med) → 30 €	med → 200 €
(high,high) → 0 €	high → 300 €

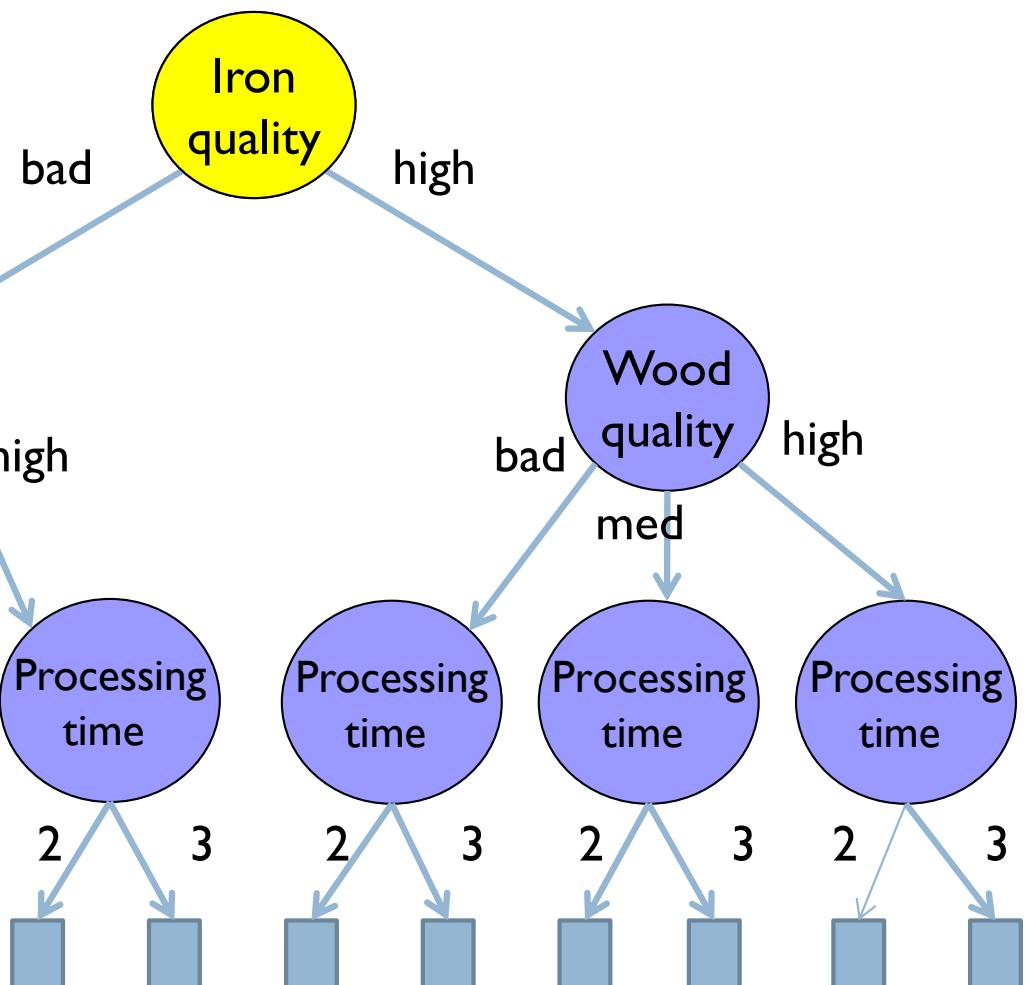
$$S_{WCSP} = <[0, +\infty], \min, +, +\infty, 0>$$

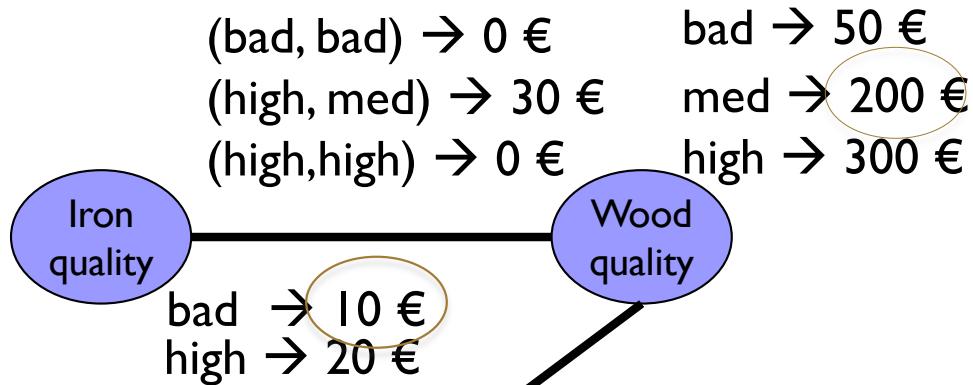
**lb** = preference of **best solution** so far

**ub** = **combination of preferences** from constraints on **assigned variables**



lb = 100  
 ub = 10

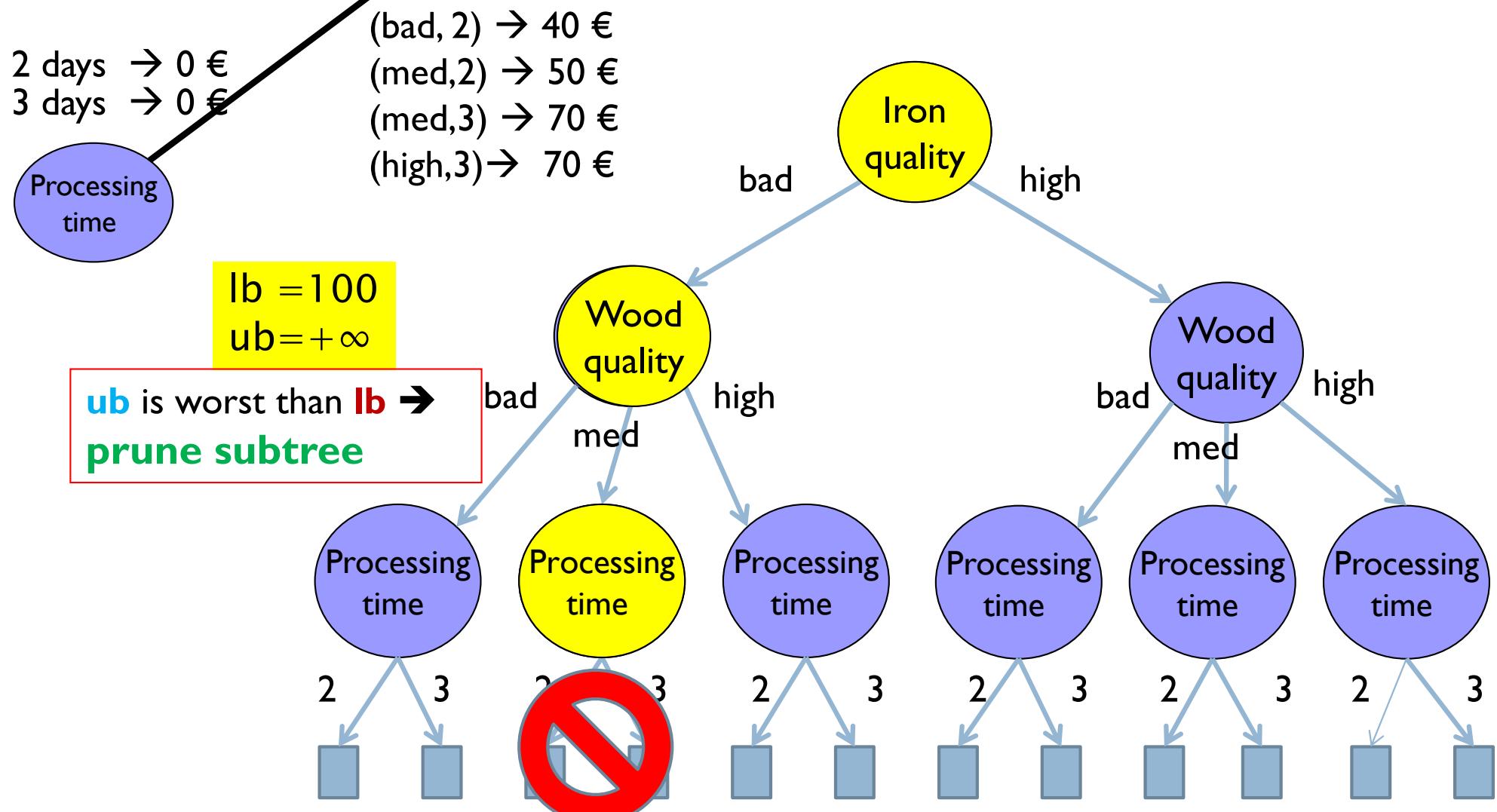




$$S_{WCSP} = \langle [0, +\infty], \min, +, +\infty, 0 \rangle$$

**lb** = preference of **best solution** so far

**ub** = **combination of preferences** from constraints on **assigned variables**



# Incomplete soft constraint problems

- SCSPs with some missing preferences
- New notions of optimal solutions
- Idea: interleaving search and preference elicitation

M. Gelain, M. S. Pini, F. Rossi, K. B. Venable, and T. Walsh. *Elicitation strategies for soft constraint problems with missing preferences: Properties, algorithms and experimental studies.* Artificial Intelligence, 174(3-4):270-294, 2010.