# Fault-tolerant formation control using energy tanks



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### Summary

- Introduction
- Brief overview on the theoretical aspects of formation control
  - Hamiltonian elements used to achieve such control
- Analysis of the passive reconfiguration strategy
  - Energy tanks
  - Split and join events
- Results and comments of our simulations
- Final considerations

### Introduction

- Formation control: tries to achieve a geometrical shape for the network of agents
- Port-Hamiltonian systems theory: energy-based modeling framework
  - Systems as the interconnection of energy storing and energy dissipating components which exchange energy through power-ports
- Main goal of this work: implement a passivity-based reconfiguration strategy in case of faults of one agent
- Passivity will be preserved by exploiting the concept of energy tanks

### Formation control of fully actuated systems

- Aim: achieve a prescribed geometrical shape for a network of agents using only local feedback controllers
- Controllers are all based on assigning virtual couplings between the agents
  - virtual spring + (optional) virtual damper
- Fully actuated agents: agents for which the number of inputs equals the degrees
  of freedom

### The system

- Group of N agents, where each agent is modeled as a single point mass  $m_i$  moving in  $\mathbb{R}^n$
- The position of agents i is denoted by  $q_i \in \mathbb{R}^n$  and the corresponding momentum is defined as  $p_i = m_i \dot{q}_i \in \mathbb{R}^n$
- Each agent has a control port  $(u_i, y_i)$ , and a resistive port  $(u_i^r, y_i^r)$

### System dynamics

• The dynamics for a single agent are given by:

$$\begin{cases}
\begin{pmatrix} \dot{q}_i \\ \dot{p}_i \end{pmatrix} = \begin{pmatrix} 0 & I_n \\ -I_n & -D_i{}^a(q_i, p_i) \end{pmatrix} \begin{pmatrix} \frac{\partial H_i{}^a}{\partial q_i}(q_i, p_i) \\ \frac{\partial H_i{}^a}{\partial p_i}(q_i, p_i) \end{pmatrix} + \begin{pmatrix} 0 \\ I_n \end{pmatrix} u_i + \begin{pmatrix} 0 \\ I_n \end{pmatrix} u_i{}^r \\
y_i = y_i{}^r = \frac{\partial H_i{}^a}{\partial p_i}(p_i)
\end{cases}$$

- $D_i^a(q_i, p_i)$  is the dissipation matrix,  $H_i^a(q_i, p_i)$  is the Hamiltonian
- The Hamiltonian equals the kinetic energy associated to the movement of the mass:  $H_i^a(p_i) = \frac{1}{2}p_i^TM_i^{-1}p_i$

### System dynamics (2)

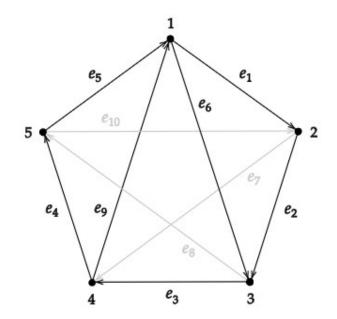
Then the dynamics for N agents are given by:

$$\begin{pmatrix} \dot{q} \\ \dot{p} \end{pmatrix} = \begin{pmatrix} 0 & I_{Nn} \\ -I_{Nn} & -D^a(q,p) \end{pmatrix} \begin{pmatrix} \frac{\partial H^a}{\partial q}(q,p) \\ \frac{\partial H^a}{\partial p}(q,p) \end{pmatrix} + \begin{pmatrix} 0 \\ I_{Nn} \end{pmatrix} u + \begin{pmatrix} 0 \\ I_{Nn} \end{pmatrix} u^r$$
$$y = y^r = \frac{\partial H^a}{\partial p}(p)$$

with Hamiltonian 
$$H^a(p) = \sum_{i=1}^N H_i^a(q_i, p_i) = \frac{1}{2}p^T M^{-1}p$$

### Tree graph

- Consider a network of N agents with the previous form (resistive port omitted for simplicity)
- Formation control is achieved by assigning virtual couplings in between the agents
- The interconnection topology amongst agents via virtual couplings is modeled by a tree graph
  - the N nodes of the graph correspond to the agents,
     while the E edges correspond to the virtual couplings



### Formation control objective

- For each agent:
  - $-q_i \in \mathbb{R}^n$  denotes its position
  - $-p_i \in \mathbb{R}^n$  denotes the corresponding momentum.
  - $-z_j \in \mathbb{R}^n$  denotes the relative displacement for two agents interconnected by virtual coupling j
  - $-z_i^* \in \mathbb{R}^n$  denotes the desired relative displacement
- the formation control objective can be formally stated as

$$\begin{cases} p \to 0 \\ z \to z^* \end{cases} \quad \text{as} \quad t \to \infty$$

### Virtual coupling

- Each virtual coupling consists of a virtual spring and damper in parallel
- The dynamics of such a spring-damper system are given by:

$$\dot{z_j} = v_j$$
  $z_j$  is the spring elongation  $v_j$  is the input velocity  $F_j = rac{\partial H_j{}^c}{\partial z_j} + D_j{}^c v_j$   $F_j$  is the corresponding output force

• For each virtual coupling j, the Hamiltonian  $H_j^c$  equals the potential energy in the spring j

$$H_j^c(z_j) = \frac{1}{2}(z_j - z_j^*)^T K_j^c(z_j - z_j^*)$$

### Virtual coupling (2)

• The dynamics of E virtual couplings are summarized as:

$$\dot{z} = v$$

$$F = \frac{\partial H^c}{\partial z} + D^c v$$

with Hamiltonian  $H^{c}(z) = \sum_{i=1}^{E} H_{j}^{c}(z_{j}) = \frac{1}{2}(z - z^{*})^{T}K^{c}(z - z^{*})$ 

### **Closed-loop dynamics**

 Let B denote the incidence matrix associated to the tree graph, then the coupling of agents on the nodes and virtual couplings at the edges is given by:

$$u = -(B \otimes I_n)F$$

$$v = -(B^T \otimes I_n)y$$

the closed-loop dynamics are given by

$$\begin{pmatrix} \dot{p} \\ \dot{z} \end{pmatrix} = \begin{pmatrix} -(D^a(p) + BD^cB^T) & -B \\ B^T & 0 \end{pmatrix} \begin{pmatrix} \frac{\partial H}{\partial p} \\ \frac{\partial H}{\partial z} \end{pmatrix}$$

with Hamiltonian

$$H(z,p) = \sum_{i=1}^{N} H_i^a(p_i) + \sum_{i=1}^{E} H_j^c(z_i) = \frac{1}{2} p^T M^{-1} p + \frac{1}{2} (z - z^*)^T K^c(z - z^*)$$

### **Control input**

- The solutions of the closed-loop system converge to  $p=0, z=z^{\ast}$ , achieving the formation control objectives
- The control input for the agents is given by:

$$u = -(B \otimes I_n)K^c(z - z^*) - (B \otimes I_n)D^c(B^T \otimes I_n)M^{-1}p$$

- First term: virtual spring force → ensures that the formation control objectives are achieved
- Second term: virtual damping force → can be used to shape the transient response

### Passivity of the system

• It is possible to prove that the system is **passive** with respect to the input/output pair (u, y)

$$\begin{split} \dot{H} &= \left(\frac{\partial H}{\partial p} \frac{\partial H}{\partial z}\right)^T \begin{pmatrix} \dot{p} \\ \dot{z} \end{pmatrix} \\ &= \frac{\partial H^T}{\partial p} \left(-D^a \frac{\partial H}{\partial p} - B \frac{\partial H}{\partial z}\right) + \frac{\partial H^T}{\partial z} B^T \frac{\partial H}{\partial p} \\ &= -\frac{\partial H^T}{\partial p} D^a \frac{\partial H}{\partial p} \le 0 \end{split}$$

### Neighboring agents, split and join

• Let  $d_{ij} = ||z_i - z_j||$ , be the interdistance among two agents, they cannot be neighbors if  $d_{ij} > D$ .

$$\begin{cases} \sigma_{ij} = 0 & if \, d_{ij} > D \\ \sigma_{ij} = 1 & if \, d_{ij} < D \end{cases}$$

- The formation is dynamic  $\rightarrow$  the parameter  $\sigma_{ij}$  is time-varying
- Split event: it could happen that some agents disconnect due to lack of communication or simply because their interdistance grows
- **Join** event: it is possible that some agents get closer and their interdistance reduces below the threshold D, generating a new connection

### **Energy tanks and Energy Transfer control**

• Necessary if 
$$\Delta E = V(z_i - z_j) - V(z_{ij}^s) = V_{join} - V_{split} > 0$$

- **Energy tanks** 
  - Keep track of the energy dissipated by each agent

  - Defined by  $t_i \in \mathbb{R}$  Energy function  $T_i = rac{t_i^2}{2}$
- Store back the energy dissipated  $D_i = p_i^T M_i^{-T} D_i^a M_i^{-1} p_i$

### Augmented dynamics and interagent storing action

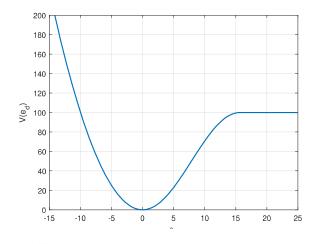
### Agent state

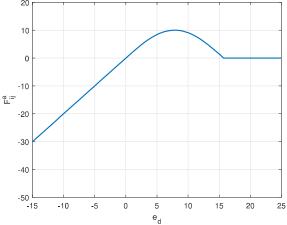
$$egin{cases} \dot{p}_i = F_i^a + F_i^e - D_i^a M^{-1} p_i \ \dot{t}_i = (1-eta_i)igg(lpha_irac{1}{t_i}D_i + \sum_{j=1,j
eq i}^N w_{ij}^T F_{ij}^aigg) + eta_i c_i \ y_i = igg(M_i^{-1} p_i igg) \ t_i \end{pmatrix}$$

#### Elastic element

$$egin{cases} \dot{z}_{ij} = v_{ij} - w_{ij}t_i + w_{ji}t_j \ F_{ij}^a = rac{\partial V(e_{ij})}{\partial e_{ij}} \end{cases}$$

\*always referring to the general i-th agent





### **Energy flow**

- $\dot{T}_i = lpha_i rac{1}{t_i} D_i + \sum_{i=1}^{\mathcal{N}} w_{ij}^T F_{ij}^a$ •  $\alpha_i$  enables/disables the energy storing of  $D_i$  :
  - $lpha_i = egin{cases} 0, & ext{if} \ T_i \geq T_i \ 1, & ext{otherwise} \end{cases}$
- $\beta_i \in \{0,1\}$  (optional) switch from storage mode (0) to consensus mode (1)

- If 
$$eta_i=1$$
, in order to obtain  $\dot{T}_i=-\sum_{j\in\mathcal{N}_i}^{\mathcal{N}}(T_i-T_j)$  :  $c_i=-rac{1}{t_i}\sum_{j\in\mathcal{N}_i}^{\mathcal{N}}(T_i(t_i)-T_j(t_j))$ 

- $w_{ij}$  input to allow for drawing  $\Delta E$  from the tanks of the respective agents  $w_{ij} = \gamma_{ij}(1-eta_i)t_iF^a_{ij}$
- $\gamma_{ij}$  modulates the rate and direction of the energy flow

$$\begin{cases} \gamma_{ij} > 0 & \text{energy is extracted from elastic term and stored in tank} \\ \gamma_{ij} < 0 & \text{energy is extracted from tank and stored in the elastic term} \\ \gamma_{ij} = 0 & \text{agents } i \text{ and } j \text{ are not interconnected} \end{cases}$$

### Passive join procedure

- 1. Agents i and j split  $\rightarrow$  the one with the lower ID stores  $z_{ij}$  in a local variable  $z_{ij}^{S}$  (state of the virtual spring at the split time)
  - If the two agents never split before,  $z_{ij}^s$  is initialized such that

$$V(z_{ij}^s) = \overline{V}(D) = \overline{V}_{ij}(\infty)$$

2. At the join moment, agent i computes the quantity

$$\Delta E = V(z_i - z_j) - V(z_{ij}^s)$$

- if  $\Delta E \leq 0$ , implement the join (and store  $\Delta E$  back into the tanks)
- if  $\Delta E>0$  , extract  $\Delta E$  from the tanks and then implement the join.

If it is not sufficient:

- Avoid join procedure
- Exploit the tanks of the rest of the fleet (if they contain enough energy) → Consensus mode

### Consensus mode

- If the energy stored in the tanks of the two agents is not sufficient:
  - agent i asks the fleet to activate the  $\beta_i$  in order to switch to consensus mode
  - the consensus is run until the redistribution of the energy among the tanks is completed (total tank energy will remain unchanged)
  - After this redistribution, agents i and j check again if there is enough energy in the tanks for joining
- If the energy in the tanks is not yet sufficient:
  - it is necessary to act directly on the robots to refill the tanks, augmenting the damping

#### **Procedure** PassiveJoin

```
Data: x_i, x_j, x_{ij}^s, t_i, t_j

1 Compute \Delta E = V(x_i - x_j) - V(x_{ij}^s);

2 if \Delta E \leq 0 then

3 \subseteq Store\ (-\Delta E)/2 in the tank through input w_{ij};

else

4 if T_i(t_i) + T_j(t_j) < \Delta E + 2\varepsilon then

5 = Run\ a\ consensus\ on\ the\ tank\ variables;

6 if 2T_i(t_i) < \Delta E + 2\varepsilon then

7 = Dampen\ until\ T(t_i) + T(t_j) \geq \Delta E + 2\varepsilon;

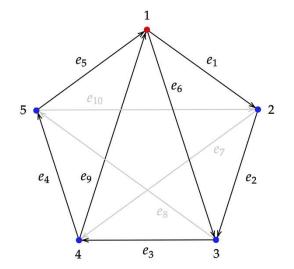
8 = Extract\ \frac{T(t_i)}{T(t_i) + T(t_j)} \Delta E from the tank through input w_{ij};

9 = Join;
```

- Let us consider a 5-robots formation in a leaderfollower configuration
- The complete graph has 10 edges
- Robots are organized in a pentagon formation, with inner-edges  $e_6$  and  $e_9$  connected (in the initial configuration)



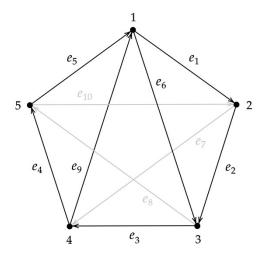




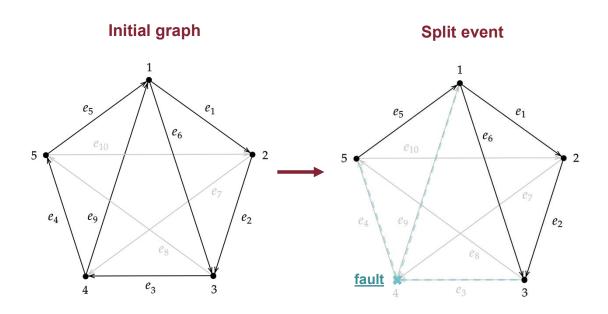
$$B^* = \begin{bmatrix} e_1^* & \dots & e_{10}^* \end{bmatrix} = \begin{bmatrix} -1 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 1 & 0 \\ 1 & -1 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 1 \\ 0 & 1 & -1 & 0 & 0 & 1 & 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & -1 & 0 & 0 & 1 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 & -1 & 0 & 0 & 1 & 0 & -1 \end{bmatrix}$$

**Fault tolerant control strategy** → Reconfiguration in presence of a faulty agent

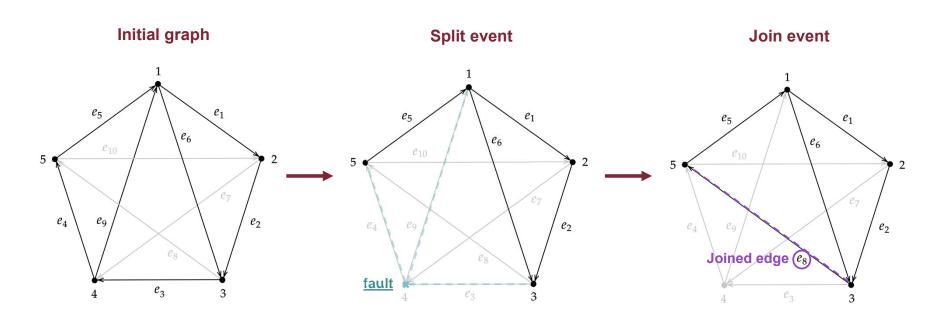
### **Initial graph**



**Fault tolerant control strategy** → Reconfiguration in presence of a faulty agent

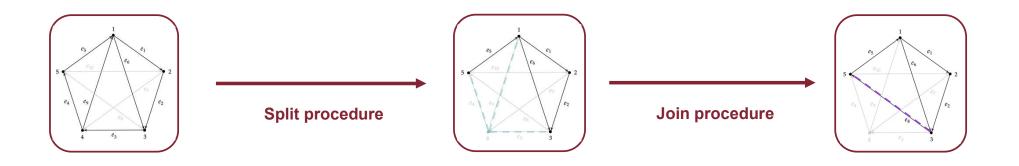


**Fault tolerant control strategy** → Reconfiguration in presence of a faulty agent

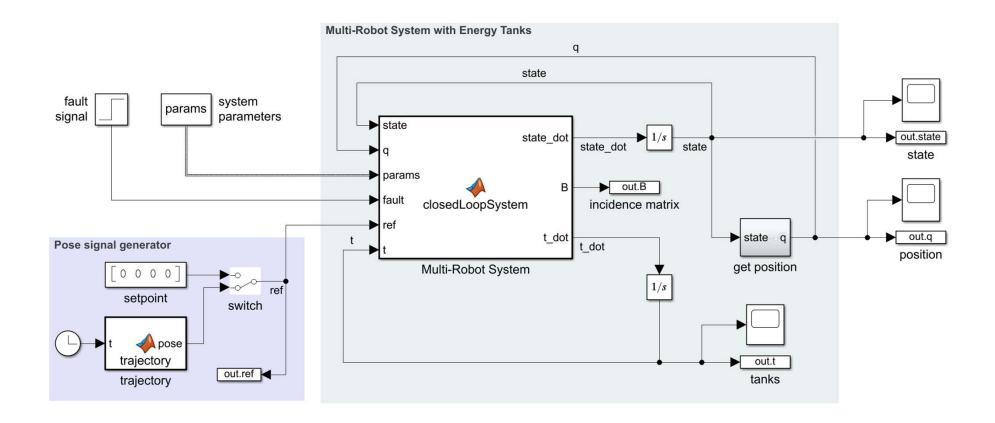




```
SplitProcedure(faulted_robot,B):
  faulted_edges = getEdges(faulted_robot,B);
For edge in faulted_edges:
    B(:,edge) = zeros(N,1);
End
Return B;
```



# **Matlab/Simulink implementation**



### **Simulation settings**

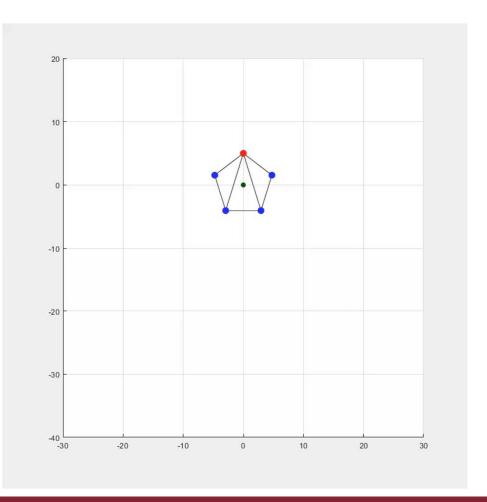
• Robots are assumed to be equal, their dynamic parameters are:

parameter	value	unit
m (robot mass)	1	Kg
$\mu$ (friction coeff.)	1	/
$d_c$ (damping coeff.)	3	/
$k_c$ (elastic coeff.)	5	/

- Initial conditions:
  - p(0) = zeros(15,1)
  - q(0) and z(0) constitute a pentagon inscribed in a circumference of radius r=5
  - t(0) = 5\*ones(5,1)
- Desired edges: |z\_des<sub>i</sub>|=20 for i=1,...,5, |z\_des<sub>i</sub>|=32.36 for i=6,...,10

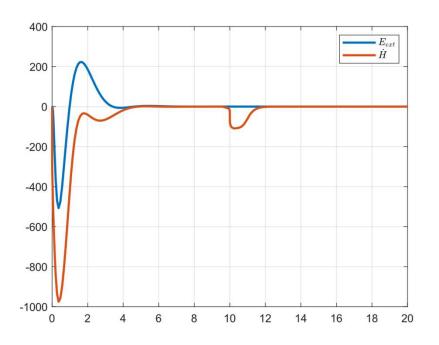
### **Simulation 1: setpoint regulation**

- Setpoint regulation tasks:
  - build desired formation
  - proportional controller (leader)
  - PD controller (ensuring safe land fault agent)
  - setpoint [x y z  $\theta$ ] =  $\left[0\ 0\ 0\ \frac{\pi}{2}\right]$
- Split at time:
  - 15 [sec]
- Settling time
  - 5 [sec]
- Expected results:
  - Energy drain from tanks
  - Passivity

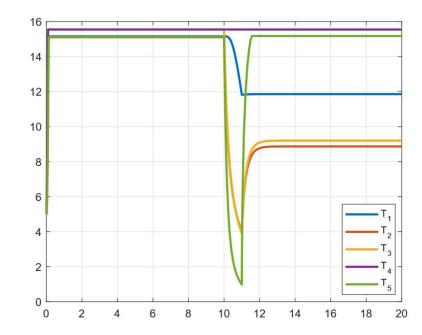


# **Simulation 1: setpoint regulation**

### passivity

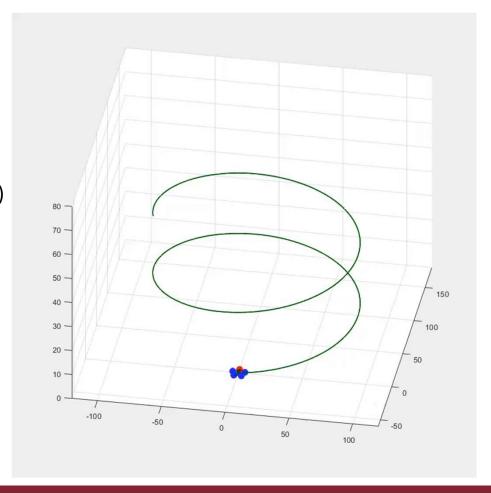


### tanks



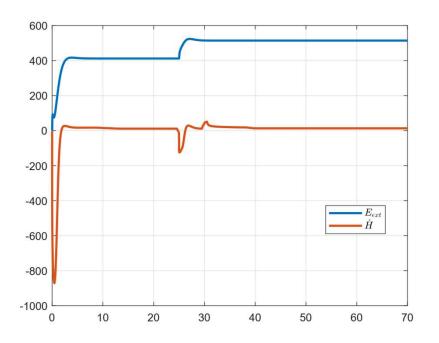
# Simulation 2: trajectory tracking

- Trajectory tracking tasks:
  - helicoidal trajectory (green line)
  - proportional controller (leader)
  - PD controller (ensuring safe land fault agent)
- Split at time:
  - 25 [sec]
- Settling time
  - 5 [sec]
- Expected results:
  - Energy drain from tanks
  - Passivity

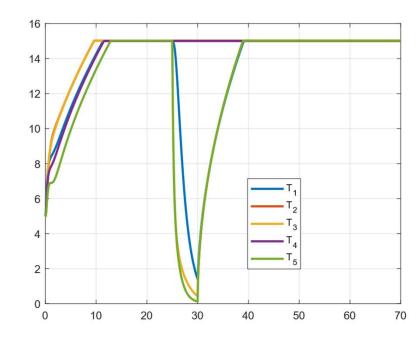


# **Simulation 2: trajectory tracking**

### passivity



### tanks



### Conclusion

The proposed fault tolerant control strategy over the 5-robots system works as expected:

- Reconfiguration through Split and Join procedures guarantee the expected results in the presented case (and also with different formations/faulty agent)
- Leader correctly track the reference signal
- Tanks dynamics behave as expected
- The overall multi-robot system remains passive

Thank you for your attention