

# VARIATIONAL MONTE CARLO METHODS FOR QUANTUM DOTS

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Matteo Seclì

July 2015

UNIVERSITY OF TRENTO – DEPARTMENT OF PHYSICS

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Conclusion

## WHAT ARE QUANTUM DOTS?

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Variational Monte Carlo methods for quantum dots

└ What are quantum dots?

WHAT ARE QUANTUM DOTS?

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Quantum dots can be thought as **artificial atoms**.

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└─What are quantum dots?

└─Quantum dots as artificial atoms

Quantum dots can be thought as **artificial atoms**.

Like natural atoms, they are... While in natural atoms the attractive potential is generated by a charged nucleus, in quantum dots there is no such a thing.

To understand how the confinement is generated in this case, we have to take a look at the internal structure of such devices.

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Similarities with natural atoms:

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- *Shape* of the potential (2D isotropic harmonic potential)

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Building technique: stack up different layers of **semiconductor** materials.

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└─What are quantum dots?

└─Structure

In the etched quantum dots figure, the white bars have a length of  $0.5\ \mu\text{m}$ .

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Variational Monte Carlo methods for quantum dots

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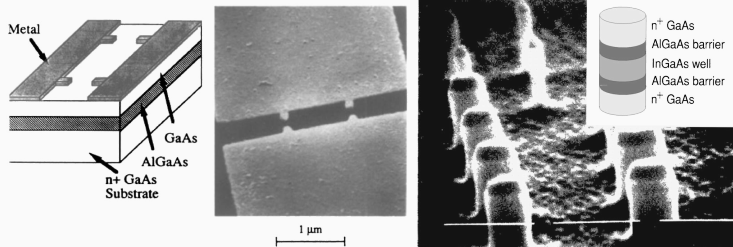
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(a) Lateral quantum dot.

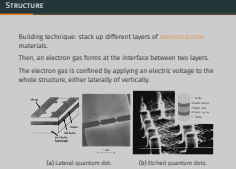
(b) Etched quantum dots.

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Variational Monte Carlo methods for quantum dots

What are quantum dots?

Structure



In the etched quantum dots figure, the white bars have a length of 0.5 μm.

A quantum dot can be schematized as a *single-electron transistor*.

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Variational Monte Carlo methods for quantum dots

└─What are quantum dots?

└─The single-electron transistor

In figure, scheme of a single electron transistor. The island has index 0, the source has index 1, the drain index 2 and the gate index 3. The capacitances are meant to be intrinsic capacitances of the respective electrode (source, drain or gate)



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Its charge is a multiple of the elementary charge and transport proceeds one electron at the time.

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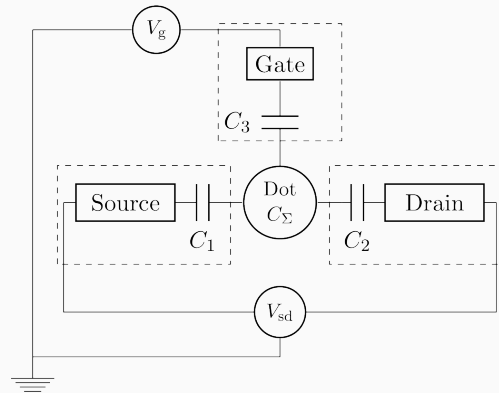
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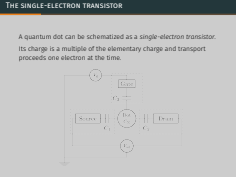


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└─What are quantum dots?

└─The Coulomb blockade effect

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In figure, Coulomb oscillations in a lateral quantum dot.

- The electron island is weakly coupled with source and drain via tunnel barriers.
- When the thermal energy is not enough to make an electron tunnel the barriers, the conduction is blocked.

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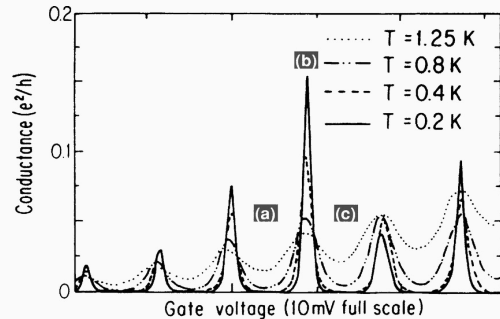
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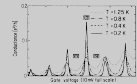
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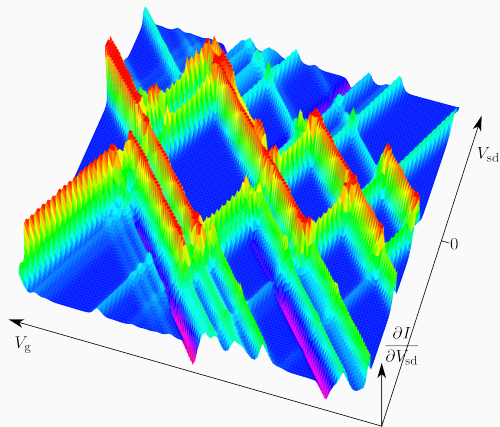


Figure 2: Differential conductance measurements in a nanowire.

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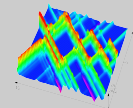
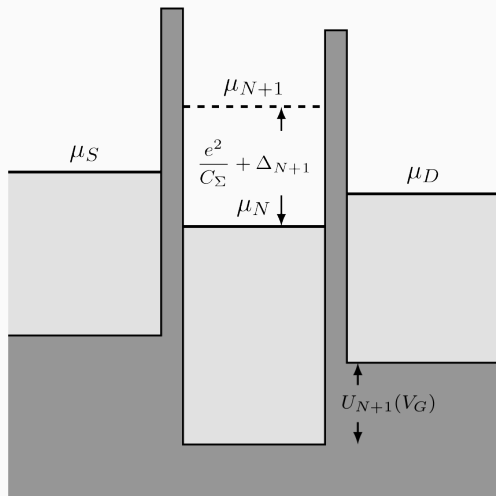


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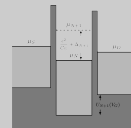
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└ What are quantum dots?

└ Band diagram

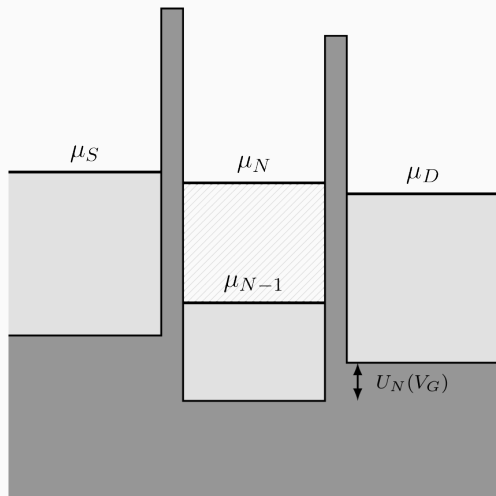
BAND DIAGRAM



Let's see what happens in terms of the electrochemical potential.

$\mu_N < \mu_D$ : the transport is blocked due to the Coulomb blockade. The number of electrons inside the dot is  $N$ .





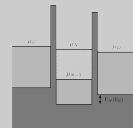
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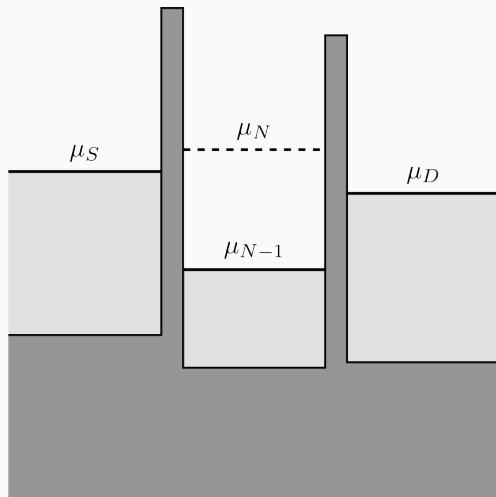
└ What are quantum dots?

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BAND DIAGRAM



$\mu_S \gtrsim \mu_N \gtrsim \mu_D$ : one electron can tunnel the barrier. The number of electrons inside the dot varies from  $N - 1$  to  $N$ . This configuration is obtained by lowering  $V_G$ , in order to increase  $\mu_N$ .



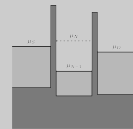
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BAND DIAGRAM



$\mu_N > \mu_S$ : the transport is blocked again due to the Coulomb blockade. The number of electrons inside the dot is  $N - 1$ . This situation is obtained by further lowering  $V_G$ .

## THE ALGORITHM

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Variational Monte Carlo methods for quantum dots

└ The algorithm

THE ALGORITHM

The **variational principle** is a powerful tool that allows, for *any* system, to calculate an *upper bound* estimate for the *ground state* energy.

Being  $E_{\text{gs}}$  the ground-state energy,  $|\psi\rangle$  a state whatsoever and  $\hat{H}$  the Hamiltonian of the system, the principle states that

$$E_{\text{gs}} \leq \frac{\langle \psi | \hat{H} | \psi \rangle}{\langle \psi | \psi \rangle}. \quad (1)$$

We are going to use this principle by calculating the quantity on the right hand side, for a chosen *trial wave-function*  $\psi_T$ . We will come back later on how to guess a realistic  $\psi_T$ .

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└ The variational principle

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In the function-representation of the states, we can recast the above relation as

$$E_{\text{gs}} \leq \int d\vec{\tau} \mathcal{P}(\vec{\tau}) E_L(\vec{\tau}) \simeq \frac{1}{n} \sum_{i=1}^n E_L(\vec{\tau}_i), \quad (2)$$

where

$$\mathcal{P}(\vec{\tau}) = \frac{|\psi_T|^2}{\int d\vec{\tau} |\psi_T|^2} \quad \text{and} \quad E_L(\vec{\tau}) = \frac{1}{\psi_T} \hat{H} \psi_T. \quad (3)$$

The quantity  $E_L$  is called the **local energy**.

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Let's do a brief recap.

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- Our upper bound is given by  $\int d\vec{\tau} \mathcal{P}(\vec{\tau}) E_L(\vec{\tau})$

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- Since the  $E_L$ 's have a certain distribution, we should sample more points where the probability is higher and less points where the probability is lower, in order to be sure to have a consistent set of samples.

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└ The algorithm

└ The Metropolis algorithm

The solution is to use the **Metropolis algorithm**.

Let's suppose that we have just sampled  $E_L$  at a certain point in space, let's call it  $\vec{r}^{\text{old}}$ . Then, we make a **random move** to another point in space,  $\vec{r}^{\text{new}}$ .

To check whether we moved to a higher probability region, we calculate the ratio of the respective probabilities, that is

$$R \doteq \frac{\mathcal{P}(\vec{r}^{\text{new}})}{\mathcal{P}(\vec{r}^{\text{old}})} = \frac{|\psi(\vec{r}^{\text{new}})|^2}{|\psi(\vec{r}^{\text{old}})|^2}. \quad (4)$$

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Then

- If  $R \geq 1$ , we accept this move because we are moving to a higher probability region and we sample  $E_L$  in the new position.

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*Solution:* generate a random number  $r \in (0, 1)$ , and accept the move (i.e., sample  $E_L$ ) if  $r \leq R$ . Otherwise, the sample is rejected.

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Variational Monte Carlo methods for quantum dots

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## THE 2-ELECTRONS SYSTEM

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Variational Monte Carlo methods for quantum dots

└ The 2-electrons system

THE 2-ELECTRONS SYSTEM

Hamiltonian of the system:

We begin with the unperturbed Hamiltonian, without the electron-electron repulsion.

Unlike the one-dimensional case, for two dimensions we need two quantum numbers:  $n_x$  and  $n_y$  for the  $x$  and  $y$  directions, respectively. This causes a degeneracy in the energy levels. The principal quantum number is  $n = n_x + n_y$ .

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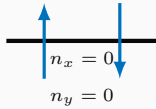
Configuration:

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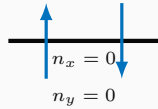
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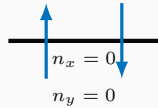


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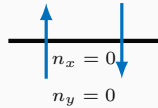
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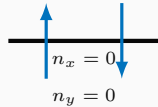
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Variational Monte Carlo methods for quantum dots

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THE UNPERTURBED SYSTEM

Configuration:

Ground-state energy of the system, in natural units:

$$E_{\text{gs}} = 2\omega. \quad (11)$$

Exact wave-function:

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The factor 2 in the ground-state energy stems from the fact that we have two particles in the ground state (spin degeneracy).

$C$  is a normalization constant.

Since we know the exact wave-function, the problem is trivial. We choose our trial wave-function as

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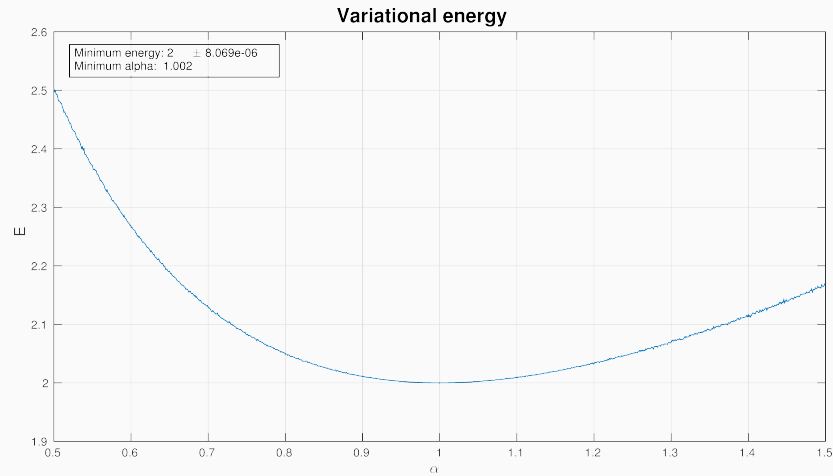
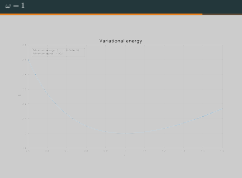
$$\omega = 1$$

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└  $\omega = 1$

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The variational energy versus the variational parameter  $\alpha$ . The settings used are: brute force sampling with step length 2, no Jastrow factor, no parallelization, 1000 variations of  $\alpha$  around 1 with step 0.001,  $1 \times 10^7$  Monte Carlo steps. Acceptance ratio varies from 40 to 60 %.

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THE COMPLETE SYSTEM  
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$$a = \begin{cases} 1 & \text{anti-parallel spin} \\ 1/3 & \text{parallel spin} \end{cases} \quad (16)$$

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## CONCLUSION

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└ Conclusion

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Something to summarize here.

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└ Summary

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QUESTIONS?

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