# 6.047 Computational Biology, Problem Set 1 Writeup: Aligning and Modeling Genomes

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# 1 Evolutionary distances of orthologs and paralogs

### a. Needleman-Wunsch

```
for i in range(1, len(seq1) + 1):
    for j in range(1, len(seq2) + 1):
        b1 = seq1[i - 1]
        b2 = seq2[j - 1]
        # Option 1:
        # i, j align
        opt1 = F[i - 1][j - 1] + \setminus
               subst_matrix[base_idx[b1]][base_idx[b2]]
        # Option 2:
        # i aligns with gap, so we want to align remainder of seq1
        opt2 = F[i - 1][j] - gap_pen
        # Option 3:
        # j aligns with gap, so we want to align remainder of seq2
        opt3 = F[i][j - 1] - gap_pen
        F[i][j], TB[i][j] = max((opt1, PTR_BASE),
                                 (opt2, PTR_GAP2),
                                 (opt3, PTR_GAP1), key=lambda x: x[0])
```

The code above generates the following for CTAAGTACT and CATTA:

Score: -6 CTAAGTACT C--ATTA--

```
F(i, j) with traceback:
  0
                -8
                       -12
                              -16
                                      -20
          3
                -1
                        -5
                                -9
                                      -13
 -8
         -1
                         2
                                -2
                  1
                                       -6
-12
         -5
                                        1
                         0
-16
        -9
                                        3
-20
        -13
                -6
-24
       -17
               -10
                        -3
-28
       -21
               -14
                                -5
                                         2
                                         1
-32
        -25
                                        -2
               -18
                       -11
                                -8
                                         1
-36
        -29
               -22
                       -15
                                -8
                                       -6
```

Using the provided similarity matrix, the alignment score between the human and mouse HoxA13 gene is 2971.

#### b. Distance metric

In order to convert the standard similarity matrix into a matrix that would generate alignment scores that could be used as distances, I had to change two things in the alignment program:

1. First, if two symbols match, then they should have a distance of 0; Furthermore, the more dissimilar two symbols are, the greater (instead of smaller) the value they should have in matrix S. Additionally, since we subtract the gap penalty, the gap penalty must now be negative instead of positive. To achieve all these changes, I changed the values along the main diagonal of S to 0, and flipped the signs of all other values.

2. Second, because we are now dealing with finding the minimum distance instead of the maximum score, I had to change the aggregating function in the dynamic programming loop from max to min.

#### c. Distance between human and mouse HoxA13

Using the modified "similarity" (since now we are in effect measuring differences) matrix S defined in part (b), the distance between the human and mouse HoxA13 gene is 197.

# d. Dating HoxA13 and HoxD13

Again, using the modified "similarity" matrix S defined in part (b), the distance between the human HoxA13 and human HoxD13 gene is 1145, and the distance between the mouse HoxA13 and mouse HoxD13 gene is 1095.

If we assume that the alignment score can be used as a distance metric, that the distance metric is consistent across mutations and species, and that it is linear in that  $c \times \mathrm{dist}(a,b)$  implies that the evolutionary age between a and b is c times older, then we can estimate the date that whole-genome duplication gave rise to HoxA13 and HoxD13. Concretely, since 197 corresponds to a date 70 million years ago, then  $\frac{1145}{197} \times 70 = 290.6$  million years ago for the divergence of human HoxA13 and HoxD13, and  $\frac{1095}{197} \times 70 = 277.9$  million years ago for mouse HoxA13 and HoxD13 divergence.

# 2 Sequence hashing and dotplot visualization

#### a. Exact 30-mers

```
$ python ps1-dotplot.py human-hoxa-region.fa \
> mouse-hoxa-region.fa human-mouse-hoxa-30-mer.png
reading sequences
hashing seq1...
hashing seq2...
62829 hits found
making plot...
24.70197% hits on diagonal
```

#### b. Variations

#### i. Exact 100-mers

```
$ python ps1-dotplot.py human-hoxa-region.fa \
> mouse-hoxa-region.fa human-mouse-hoxa-100-mer.png
reading sequences
hashing seq1...
hashing seq2...
1198 hits found
making plot...
100.00000% hits on diagonal
```

#### ii. 60-mers

```
$ python ps1-dotplot.py human-hoxa-region.fa \
> mouse-hoxa-region.fa human-mouse-hoxa-60-mer.png
reading sequences
hashing seq1...
hashing seq2...
23933 hits found
making plot...
38.74149% hits on diagonal
```

#### iii. 90-mers

```
$ python ps1-dotplot.py human-hoxa-region.fa \
> mouse-hoxa-region.fa human-mouse-hoxa-90-mer.png
reading sequences
hashing seq1...
hashing seq2...
8887 hits found
making plot...
93.85619% hits on diagonal
```

#### iv. 120-mers

```
$ python ps1-dotplot.py human-hoxa-region.fa \
> mouse-hoxa-region.fa human-mouse-hoxa-120-mer.png
reading sequences
hashing seq1...
hashing seq2...
6044 hits found
making plot...
82.13104% hits on diagonal
```

#### v. 100-mers with mismatches

```
$ python ps1-dotplot.py human-hoxa-region.fa \
> mouse-hoxa-region.fa human-mouse-hoxa-100-mer-mismatch.png
```

- c. Specificity to the diagonal
- d. Sensitivity vs. Specificity
- e. Inversions

# 3 HMMs for GC-rich regions: State durations and limitations

#### a. State durations

Let  $D_k$  represent the duration of staying in state k. Then the distribution of state durations  $D_k$  is a random variable that follows a **geometric distribution**,

defined as the number of failures before the first success, if we consider a success as transitioning out of state k.

More concretely, the probability distribution function of  $D_k$  is

$$p_{D_k}(d) = \mathbb{P}(D_k = d) = (1 - p)^{d-1}p$$

where p is the probability of transitioning out of state k (i.e. from state k to another state  $k' \neq k$ ). The expected value of state duration  $D_k$  is

$$\mathbb{E}[D_k] = \frac{1}{p} - 1$$

where again, p is the probability of transitioning out of state k.

# b. Viterbi algorithm

Based on the transition probabilities hardcoded into the program, the expected duration for both high and low GC regions should be 99 (since we don't count the transitioning out state).

## c. Mystery sequences

### Mystery 1

Authoritative annotation statistics

\_\_\_\_\_

High-GC mean region length: 100

High-GC base composition: A=19.94% G=29.87% C=30.20% T=20.00%

Low-GC mean region length: 101

Low-GC base composition: A=29.87% G=20.27% C=19.73% T=30.13%

Viterbi annotation statistics

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High-GC mean region length: 234

High-GC base composition: A=20.51% G=29.18% C=29.40% T=20.91%

Low-GC mean region length: 220

Low-GC base composition: A=29.62% G=20.66% C=20.20% T=29.52%

Accuracy: 71.96%

In mystery 1, the distribution of authoritative state durations was more or less uniform from lengths of 40 to 140 for both high and low GC content. However, the Viterbi decoding found regions with duration ranging from 50 to 900, with a mode around 100 and a long right tail.

#### Mystery 2

Authoritative annotation statistics

\_\_\_\_\_

High-GC mean region length: 100
High-GC base composition: A=19.85% G=29.78% C=30.07% T=20.30%

Low-GC mean region length: 99

Low-GC base composition: A=29.84% G=19.86% C=19.99% T=30.31%

#### Viterbi annotation statistics

\_\_\_\_\_

High-GC mean region length: 214

High-GC base composition: A=20.56% G=29.15% C=29.46% T=20.83%

Low-GC mean region length: 212

Low-GC base composition: A=29.16% G=20.45% C=20.56% T=29.83%

Accuracy: 68.80%

In mystery 2, the distribution of authoritative state durations for high GC content was normally distributed with mean of 100 and standard deviation of 9.75; for low GC content, the distribution was normal with mean 99 and standard deviation of 10.7. Again, however, the Viterbi decoding found regions with lengths ranging from 50 to 1000, with a mode around 100 but a very long right tail. In other words, the Viterbi decoding found a geometric-like distribution for the state durations, rather than the true, normal distribution.

#### Mystery 3

#### Authoritative annotation statistics

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High-GC mean region length: 100

High-GC base composition: A=19.81% G=29.71% C=30.56% T=19.91%

Low-GC mean region length: 100

Low-GC base composition: A=29.56% G=20.09% C=20.11% T=30.24%

#### Viterbi annotation statistics

\_\_\_\_\_

High-GC mean region length: 221

High-GC base composition: A=20.56% G=29.05% C=29.84% T=20.55%

Low-GC mean region length: 207

Low-GC base composition: A=29.10% G=20.46% C=20.53% T=29.91%

Accuracy: 67.72%

In mystery 3, the distribution of authoritative state durations was a constant length of 100 for both high and low GC content. However, the Viterbi decoding, using the provided parameters, still found region durations in the bimodally around durations of 80 and 230 with a long right tail, causing the mean to be skewed to 221 and 207 for high and low GC regions, respectively. Because the state durations were not accurately modeled by the topology and parameters of the HMM, the HMM only achieved an accuracy of 67.72%.

In all three of the mystery sequences, the authoritative state durations for both high and low GC content never exceeded 200; however, the Viterbi decoding consistently determined sequences with durations greater than 200.

- d. Retraining the HMM parameters
- e. GENSCAN
- 4 Final project preparation
- a. Skillset
- b. Research experience
- c. Interests
- d. Project types