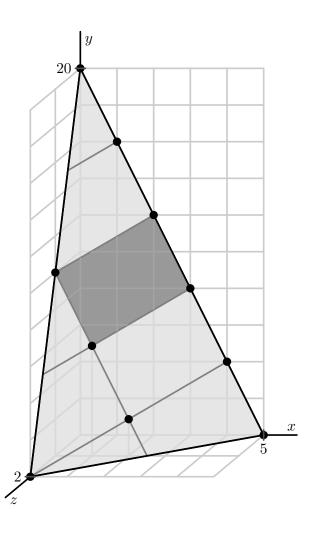
Day I: Appetizers

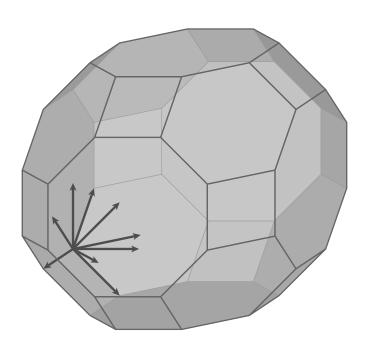


Matthias Beck San Francisco State University https://matthbeck.github.io/

VIII Encuentro Colombiano De Combinatoria

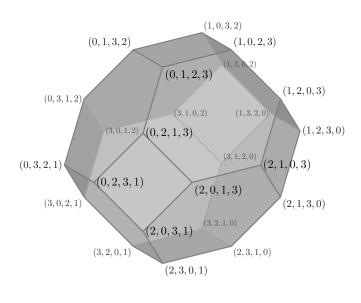
"Science is what we understand well enough to explain to a computer, art is all the rest."

Donald Knuth



Ehrhart Polynomials () Matthias Beck

Themes



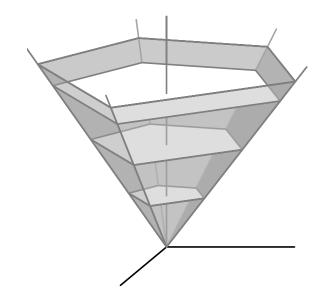
Discrete-geometric polynomials

Computation (complexity)

Generating functions

Combinatorial structures

Polyhedra



A Sample Problem: Birkhoff-von Neumann Polytope

This site is supported by donations to The OEIS Foundation.

THE ON-LINE ENCYCLOPEDIA OF INTEGER SEQUENCES®

founded in 1964 by N. J. A. Sloane

Hints (Greetings from The On-Line Encyclopedia of Integer Sequences!)

Normalized volume of Birkhoff polytope of n X n doubly-stochastic square matrices. If the volume ² A037302 is v(n), then $a(n) = ((n-1)^2)! * v(n) / n^{(n-1)}$.

1, 1, 3, 352, 4718075, 14666561365176, 17832560768358341943028, 12816077964079346687829905128694016, 7658969897501574748537755050756794492337074203099, 5091038988117504946842559205930853037841762820367901333706255223000 (list; graph; refs; listen; history;

text; internal format) OFFSET

COMMENTS The Birkhoff polytope is an (n-1)^2-dimensional polytope in n^2-dimensional space; its vertices are the n! permutation matrices.

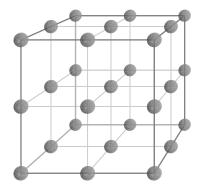
Is a(n) divisible by n^2 for all n>=4? - Dean Hickerson, Nov 27 2002

$$B_n = \left\{ \left(\begin{array}{ccc} x_{11} & \cdots & x_{1n} \\ \vdots & & \vdots \\ x_{n1} & \cdots & x_{nn} \end{array} \right) \in \mathbb{R}^{n^2}_{\geq 0} : \quad \sum_{k} x_{jk} = 1 \text{ for all } 1 \leq k \leq n \\ \sum_{k} x_{jk} = 1 \text{ for all } 1 \leq j \leq n \end{array} \right\}$$

Discrete Volumes

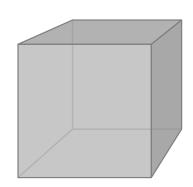
Rational polyhedron $\mathcal{P} \subset \mathbb{R}^d$ – solution set of a system of linear equalities & inequalities with integer coefficients

Goal: understand $\mathcal{P} \cap \mathbb{Z}^d$. . .



$$lacksquare$$
 (count) $\left|\mathcal{P}\cap\mathbb{Z}^d\right|$

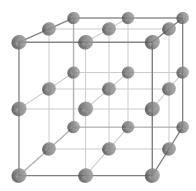
$$ightharpoonup$$
 (volume) $\operatorname{vol}(\mathcal{P}) = \lim_{t \to \infty} \frac{1}{t^d} \left| \mathcal{P} \cap \frac{1}{t} \mathbb{Z}^d \right|$



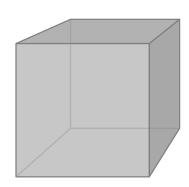
Discrete Volumes

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Ehrhart function
$$L_{\mathcal{P}}(t) := \left| \mathcal{P} \cap \frac{1}{t} \mathbb{Z}^d \right| = \left| t \mathcal{P} \cap \mathbb{Z}^d \right| \text{ for } t \in \mathbb{Z}_{>0}$$

▶ Linear systems are everywhere, and so polyhedra are everywhere.

Ehrhart Polynomials

Matthias Beck

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Ehrhart Polynomials

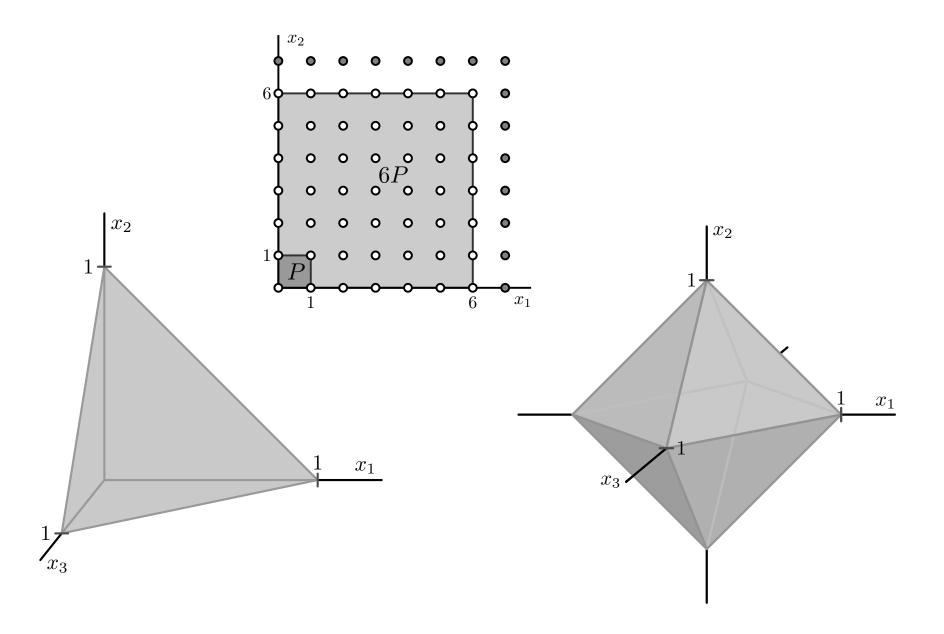
Matthias Beck

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- In applications, the volume of the polytope represented by a linear system measures some fundamental data of this system ("average").
- Many discrete problems in various areas are linear problems, thus they ask for the discrete volume of a polytope in disguise.
- Much discrete geometry can be modeled using polynomials and, conversely, many combinatorial polynomials can be modeled geometrically.
- ► Polytopes are basic geometric objects, yet even for these basic objects volume computation is hard and there remain many open problems.
- Also, polytopes are cool.

Today's Menu: Get Our Hands Dirty



The Unit Cube

Lattice polytope $\mathcal{P} \subset \mathbb{R}^d$ – convex hull of finitely points in \mathbb{Z}^d

For
$$t \in \mathbb{Z}_{>0}$$
 let $L_{\mathcal{P}}(t) := \# \left(t \mathcal{P} \cap \mathbb{Z}^d \right)$

The unit cube in \mathbb{R}^d is $\mathcal{P} = [0,1]^d = \{ \boldsymbol{x} \in \mathbb{R}^d : 0 \le x_j \le 1 \}$

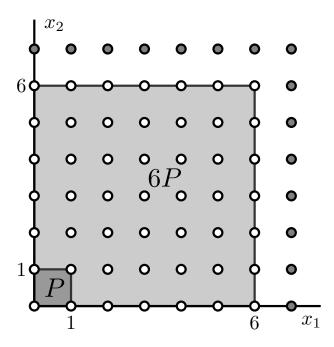
$$\longrightarrow L_{\mathcal{P}}(t) = (t+1)^d$$

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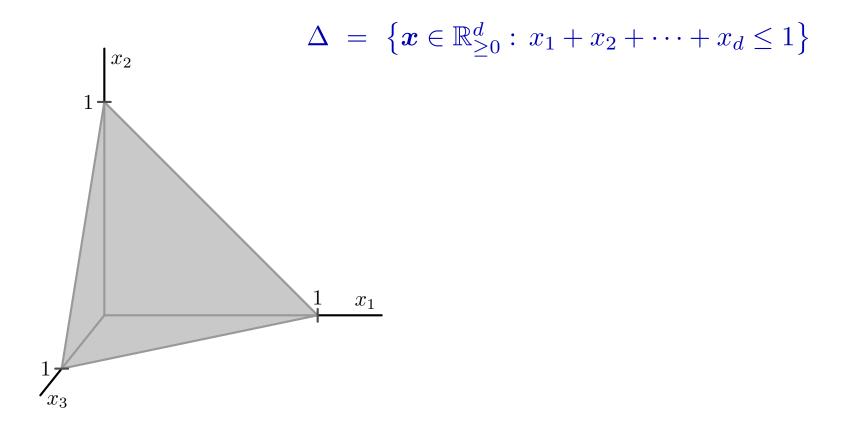


$$\longrightarrow L_{\mathcal{P}}(t) = (t+1)^d$$

$$L_{\mathcal{P}^{\circ}}(t) = (t-1)^d$$

The Standard Simplex

The standard simplex $\Delta \in \mathbb{R}^d$ is the convex hull of the unit vectors and the origin; alternatively,



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$$\Delta = \{ \boldsymbol{x} \in \mathbb{R}^d_{\geq 0} : x_1 + x_2 + \dots + x_d \leq 1 \}$$

$$L_{\Delta}(t) = \# \{ (x_1, x_2, \dots, x_d) \in \mathbb{Z}_{\geq 0}^d : x_1 + x_2 + \dots + x_d \leq t \}$$

$$= \# \{ (x_1, x_2, \dots, x_d, x_{d+1}) \in \mathbb{Z}_{\geq 0}^{d+1} : x_1 + x_2 + \dots + x_{d+1} = t \}$$

$$= \binom{d+t}{d}$$

The Standard Simplex

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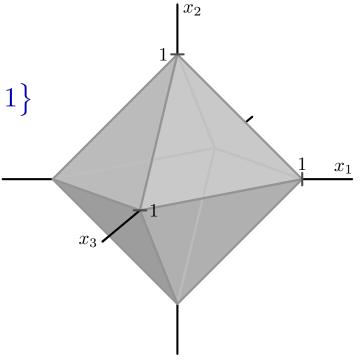
$$= \# \{ (x_1, x_2, \dots, x_d, x_{d+1}) \in \mathbb{Z}_{\geq 0}^{d+1} : x_1 + x_2 + \dots + x_{d+1} = t \}$$

$$= \begin{pmatrix} d+t \\ d \end{pmatrix}$$

$$L_{\Delta^{\circ}}(t) = \begin{pmatrix} t - 1 \\ d \end{pmatrix}$$

The cross-polytope $\diamondsuit \in \mathbb{R}^d$ is

$$\Diamond = \{ \boldsymbol{x} \in \mathbb{R}^d : |x_1| + |x_2| + \dots + |x_d| \le 1 \}$$

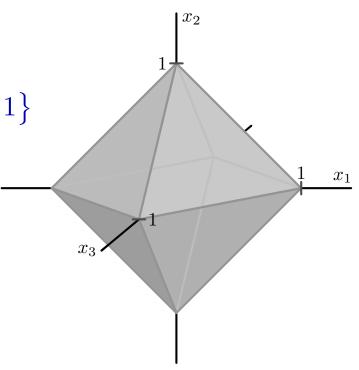


Ehrhart Polynomials 💮 Matthias Beck 10

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Let's compute $L_{\diamondsuit}(t)$ for d=3 . . .

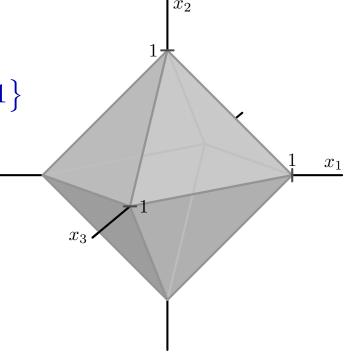


- Triangulation
- Disjoint triangulation
- Interpolation
- Generating function

The cross-polytope $\diamondsuit \in \mathbb{R}^d$ is

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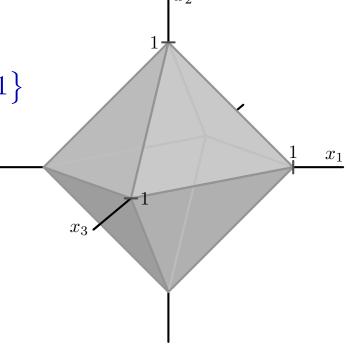
Triangulation

Dissect \diamondsuit into 8 (standard) tetrahedra and use inclusion—exclusion to compute $L_\diamondsuit(t)$

The cross-polytope $\diamondsuit \in \mathbb{R}^d$ is

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Let's compute $L_{\diamondsuit}(t)$ for d=3 . . .



Disjoint triangulation

Dissect ♦ into 8 half-open tetrahedra

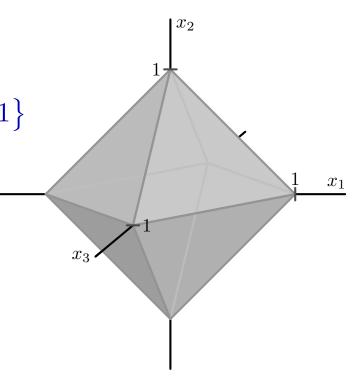
The cross-polytope $\diamondsuit \in \mathbb{R}^d$ is

$$\Diamond = \{ \boldsymbol{x} \in \mathbb{R}^d : |x_1| + |x_2| + \dots + |x_d| \le 1 \}$$

Let's compute $L_{\diamondsuit}(t)$ for d=3 . . .

Interpolation

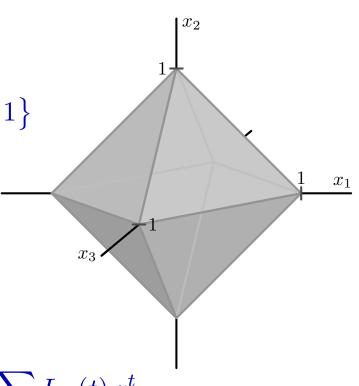
```
sage: L(1)
7
sage: L(2)
25
sage: L(3)
63
sage: L(4)
129
```



The cross-polytope $\diamondsuit \in \mathbb{R}^d$ is

$$\Leftrightarrow = \{ \boldsymbol{x} \in \mathbb{R}^d : |x_1| + |x_2| + \dots + |x_d| \le 1 \}$$

Let's compute $L_{\diamondsuit}(t)$ for d=3 . . .



Generating function

$$\operatorname{Ehr}_{\mathcal{P}}(z) := 1 + \sum_{t \ge 1} L_{\mathcal{P}}(t) z^{t}$$

Exercise:
$$\operatorname{Ehr}_{\operatorname{BiPyr}(\mathcal{P})}(z) = \frac{1+z}{1-z} \operatorname{Ehr}_{\mathcal{P}}(z)$$

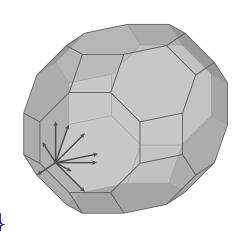
 \ldots for unit cubes \longrightarrow Eulerian polynomials

Ehrhart Polynomials

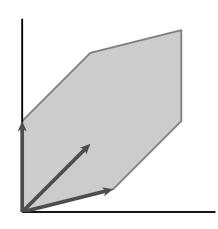
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Zonotopes

Line segment $[\boldsymbol{a}, \boldsymbol{b}] := \{(1 - \lambda) \, \boldsymbol{a} + \lambda \, \boldsymbol{b} : 0 \le \lambda \le 1\}$



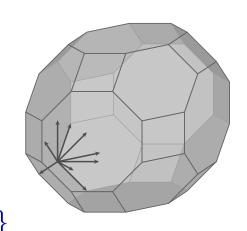
Minkowski sum $\mathcal{K}_1 + \mathcal{K}_2 := \{ \boldsymbol{p} + \boldsymbol{q} : \boldsymbol{p} \in \mathcal{K}_1, \ \boldsymbol{q} \in \mathcal{K}_2 \}$



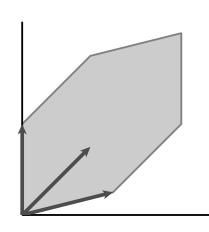
Zonotope $\mathcal{Z} := [\boldsymbol{a}_1, \boldsymbol{b}_1] + [\boldsymbol{a}_2, \boldsymbol{b}_2] + \cdots + [\boldsymbol{a}_m, \boldsymbol{b}_m]$

Zonotopes

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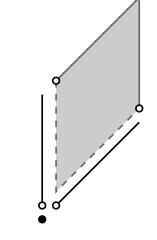


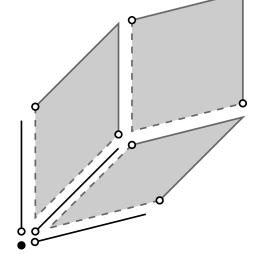
Zonotope
$$\mathcal{Z}:=[oldsymbol{a}_1,oldsymbol{b}_1]+[oldsymbol{a}_2,oldsymbol{b}_2]+\cdots+[oldsymbol{a}_m,oldsymbol{b}_m]$$

Every zonotope admits a tiling into parallelepipeds

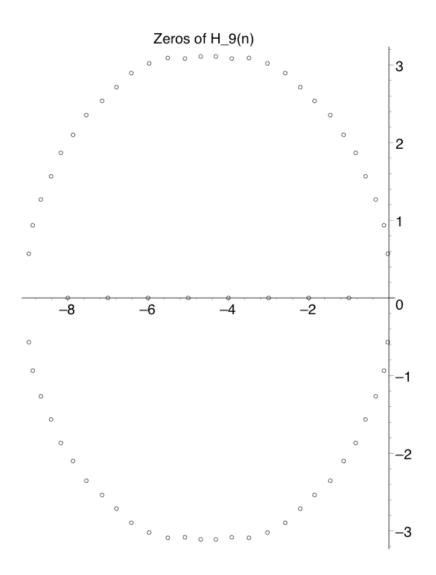
 \mathcal{P} — half-open d-parallelepiped

$$\longrightarrow L_{\mathcal{P}}(t) = t^d$$





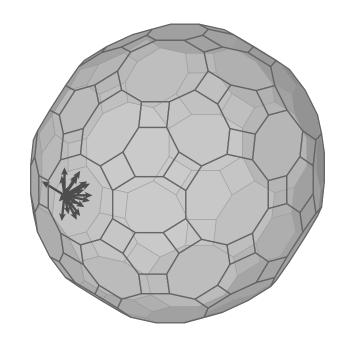
Birkhoff-von Neumann Revisited



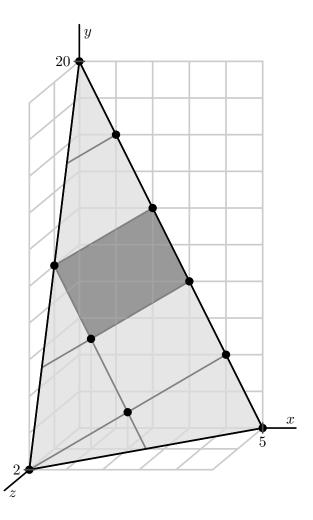
For more about roots of (Ehrhart) polynomials, see Braun (2008) and Pfeifle (2010).

Recap Day I

- Volume computations \longrightarrow don't agonize, discretize
- Integer-point counting in dilated polytopes \longrightarrow polynomials
- Interpolation
- Generating functions
- Dissections: triangulations, tilings
- Tomorrow: enough practice, how does this work in theory?



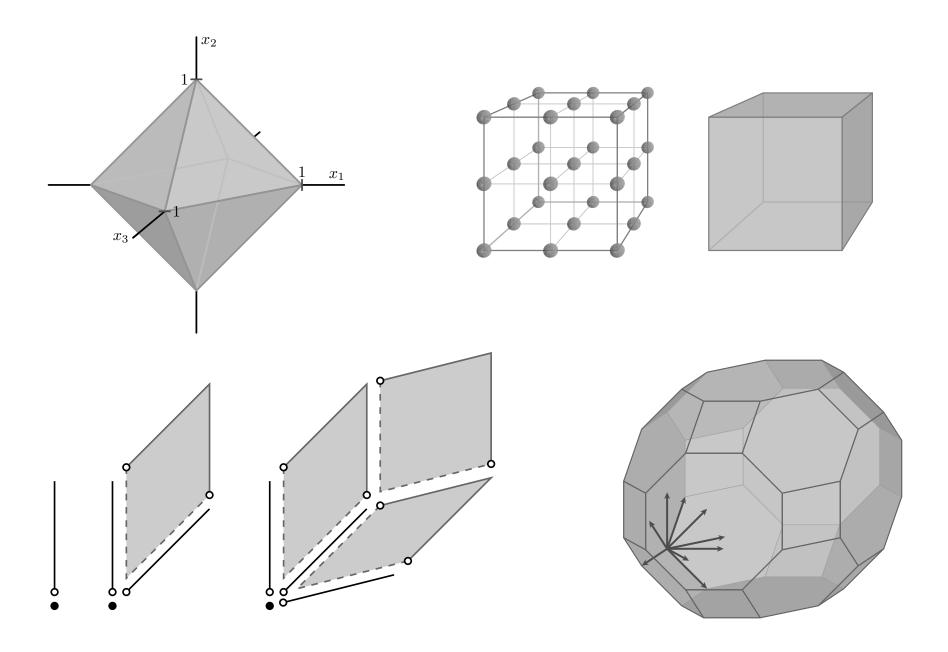
Day II: Generating Functions & Complexity



Matthias Beck San Francisco State University https://matthbeck.github.io/

VIII Encuentro Colombiano De Combinatoria

Any questions about yesterday?



Warm-Up: Partition Generating Functions

A partition $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n)$ of an integer $k \geq 0$ satisfies

$$k = \lambda_1 + \lambda_2 + \dots + \lambda_n$$
 and $0 \le \lambda_1 \le \lambda_2 \le \dots \le \lambda_n$

Goal Compute $\sum_{n} q^{\lambda_1 + \dots + \lambda_n}$ over your favorite partition family

Example P_3 — family of partitions into at most 3 parts

$$\sum_{\lambda \in P_3} q^{\lambda_1 + \lambda_2 + \lambda_3} = \frac{1}{(1 - q)(1 - q^2)(1 - q^3)}$$

Idea
$$P_3 = \{\lambda \in \mathbb{Z}^3 : 0 \le \lambda_1 \le \lambda_2 \le \lambda_3\} = \mathcal{K}_3 \cap \mathbb{Z}^3$$

$$\mathcal{K}_3 = \left\{ \boldsymbol{x} \in \mathbb{R}^3 : 0 \le x_1 \le x_2 \le x_3 \right\} \longleftarrow \text{ polyhedral cone } \emptyset$$



Theorem (Ehrhart 1962) For any lattice polytope \mathcal{P} , $L_{\mathcal{P}}(t)$ is a polynomial in t of degree $\dim \mathcal{P}$ with leading coefficient $\operatorname{vol} \mathcal{P}$ and constant term 1.

Equivalently, $\operatorname{Ehr}_{\mathcal{P}}(z) := 1 + \sum_{t \geq 1} L_{\mathcal{P}}(t) \, z^t$ is rational:

$$\operatorname{Ehr}_{\mathcal{P}}(z) = \frac{h_{\mathcal{P}}^{*}(z)}{(1-z)^{\dim \mathcal{P}+1}}$$

where the h^* -polynomial $h_{\mathcal{P}}^*(z)$ satisfies $h_{\mathcal{P}}^*(0) = 1$ and $h_{\mathcal{P}}^*(1) = (\dim \mathcal{P})! \operatorname{vol}(\mathcal{P}).$



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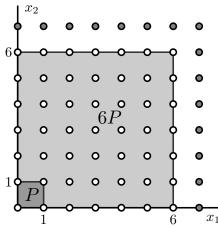
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We saw instances yesterday: $\mathcal{P} = [0, 1]^d$

$$\longrightarrow L_{\mathcal{P}}(t) = (t+1)^d$$

 $h_{\mathcal{D}}^*(z)$ — Eulerian polynomial





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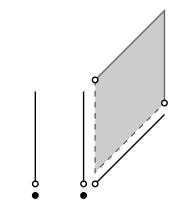
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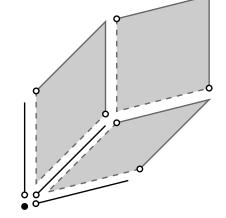
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 \mathcal{P} — half-open d-parallelepiped

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Seeming dichotomy: $\operatorname{vol}(\mathcal{P}) = \lim_{t \to \infty} \frac{1}{t^{\dim \mathcal{P}}} L_{\mathcal{P}}(t)$ can be computed discretely via a finite amount of data.



Theorem (Ehrhart 1962) For any lattice polytope \mathcal{P} , $L_{\mathcal{P}}(t)$ is a polynomial in t of degree $d:=\dim \mathcal{P}$ with leading coefficient $\operatorname{vol} \mathcal{P}$ and constant term 1.

$$\operatorname{Ehr}_{\mathcal{P}}(z) := 1 + \sum_{t \ge 1} L_{\mathcal{P}}(t) z^t = \frac{h_{\mathcal{P}}^*(z)}{(1-z)^{d+1}}$$

Equivalent descriptions of an Ehrhart polynomial:

$$L_{\mathcal{P}}(t) = c_d t^d + c_{d-1} t^{d-1} + \dots + c_0$$

 \blacktriangleright via roots of $L_{\mathcal{P}}(t)$



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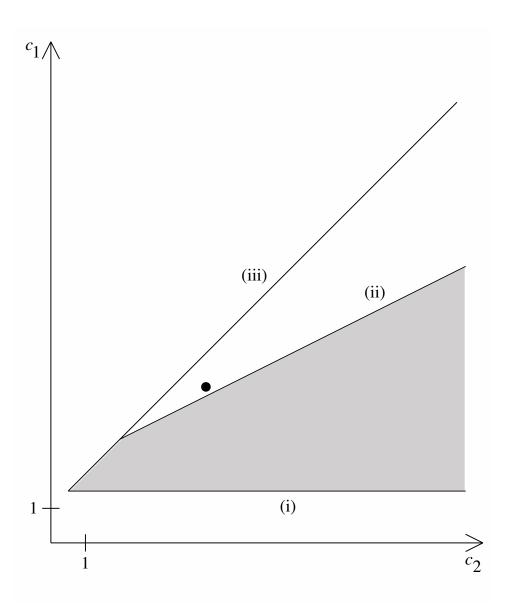
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Open Problem Classify Ehrhart polynomials.

Ehrhart Polynomials in Dimension 2



P — lattice polygon

$$\longrightarrow L_{\mathcal{P}}(t) = c_2 t^2 + c_1 t + 1$$



Theorem (Ehrhart 1962) For any lattice polytope \mathcal{P} , $L_{\mathcal{P}}(t)$ is a polynomial in t of degree $d:=\dim \mathcal{P}$ with leading coefficient $\operatorname{vol} \mathcal{P}$ and constant term 1.

$$\operatorname{Ehr}_{\mathcal{P}}(z) := 1 + \sum_{t \ge 1} L_{\mathcal{P}}(t) z^t = \frac{h(z)}{(1-z)^{d+1}}$$

$$\longrightarrow L_{\mathcal{P}}(t) = h_0^* {t+d \choose d} + h_1^* {t+d-1 \choose d} + \dots + h_d^* {t \choose d}$$

Theorem (Macdonald 1971) $(-1)^d L_{\mathcal{P}}(-t)$ enumerates the interior lattice points in $t\mathcal{P}$. Equivalently,

$$L_{\mathcal{P}^{\circ}}(t) = h_d^*\binom{t+d-1}{d} + h_{d-1}^*\binom{t+d-2}{d} + \dots + h_0^*\binom{t-1}{d}$$



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Corollary If $h_{d+1-k}^* > 0$ then $k\mathcal{P}^{\circ}$ contains an integer point.

Positivity Among Ehrhart Polynomials



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where $a(z) = z^d a(\frac{1}{z})$ and $b(z) = z^{d-1} b(\frac{1}{z})$ with nonnegative coefficients.

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Open Problem Try to prove the analogous theorem for your favorite combinatorial polynomial with nonnegative coefficients.

Computational Complexity of Integer-Point Transforms

Rational polyhedron $\mathcal{P} \subset \mathbb{R}^d$ – solution set of a system of linear equalities & inequalities with integer coefficients

$$\longrightarrow \sigma_{\mathcal{P}}(\mathbf{z}) := \sum_{\mathbf{m} \in \mathcal{P} \cap \mathbb{Z}^d} z_1^{m_1} z_2^{m_2} \cdots z_d^{m_d}$$
 is a rational function in z_1, z_2, \dots, z_d

Lenstra (1983) polynomial-time algorithm to decide whether $\sigma_{\mathcal{P}}(\mathbf{z}) = 0$

Barvinok (1994) polynomial-time algorithm to compute $\sigma_{\mathcal{P}}(\mathbf{z})$

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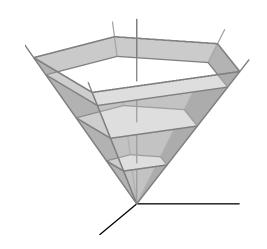
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Given a polytope \mathcal{P} we can compute

$$Ehr_{\mathcal{P}}(z) = \sigma_{cone(\mathcal{P})}(1, 1, \dots, 1, z)$$

where
$$cone(\mathcal{P}) := \mathbb{R}_{>0} (\mathcal{P} \times \{1\})$$



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Implementations:

De Loera, Köppe et al www.math.ucdavis.edu/~latte

Verdoolaege freshmeat.net/projects/barvinok

Ehrhart Quasipolynomials

Rational polytope $\mathcal{P} \subset \mathbb{R}^d$ – convex hull of finitely points in \mathbb{Q}^d

Theorem (Ehrhart 1962) $L_{\mathcal{P}}(t)$ is a quasipolynomial in t:

$$L_{\mathcal{P}}(t) = c_d(t) t^d + c_{d-1}(t) t^{d-1} + \dots + c_0(t)$$

where $c_0(t), \ldots, c_d(t)$ are periodic functions.

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$$\operatorname{Ehr}_{\mathcal{P}}(z) := 1 + \sum_{t \ge 1} L_{\mathcal{P}}(t) z^{t} = \frac{h(z)}{(1 - z^{p})^{\dim \mathcal{P} + 1}}$$

for some (minimal) $p \in \mathbb{Z}_{>0}$ (the period of $L_{\mathcal{P}}(t)$).

Open Problem Study periods of Ehrhart quasipolynomials.