

# The linear Diophantine problem of Frobenius

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“If you think it’s simple, then you have misunderstood the problem”

Bjarne Stroustrup  
(lecture at Temple University, 11/25/97)

**Frobenius problem:** Given relatively prime positive integers  $a_1, \dots, a_d$ , we call an integer  $n$  **representable** if there exist nonnegative integers  $m_1, \dots, m_d$  such that

$$n = m_1 a_1 + \dots + m_d a_d .$$

Find the largest integer (the **Frobenius number**  $g(a_1, \dots, a_d)$ ) which is not representable.

We study the (restricted) partition function

$$p_{\{a_1, \dots, a_d\}}(n) = \# \left\{ (m_1, \dots, m_d) \in \mathbb{Z}_{\geq 0}^d : m_1 a_1 + \dots + m_d a_d = n \right\}$$

Frobenius problem: find the largest value for  $n$  such that  $p_{\{a_1, \dots, a_d\}}(n) = 0$ .

- (Sylvester, 1884)

$$g(a_1, a_2) = a_1 a_2 - a_1 - a_2$$

- (Pólya–Szegő, 1925, ...)

$$p_{\{a_1, \dots, a_d\}}(n) = \frac{n^{d-1}}{a_1 \cdots a_d (d-1)!} + O\left(n^{d-2}\right)$$

- (Erdős–Graham, 1972)

$$g(a_1, \dots, a_n) \leq 2a_n \left\lfloor \frac{a_1}{n} \right\rfloor - a_1$$

- (Vitek, 1975)

$$g(a_1, \dots, a_n) \leq \left\lfloor \frac{1}{2}(a_2 - 1)(a_n - 2) \right\rfloor - 1$$

- (Selmer, 1977)

$$g(a_1, \dots, a_n) \leq 2a_{n-1} \left\lfloor \frac{a_n}{n} \right\rfloor - a_n$$

- (Kannan, 1992)  $g(a_1, \dots, a_n)$  is polynomial-time computable in the input length of  $a_1, \dots, a_n$ .

**Theorem** (Sertöz, Tripathi, Robins–B)

If  $a_1$  and  $a_2$  are relatively prime then

$$p_{\{a_1, a_2\}}(n) = \frac{n}{a_1 a_2} - \left\{ \frac{a_2^{-1} n}{a_1} \right\} - \left\{ \frac{a_1^{-1} n}{a_2} \right\} + 1 .$$

Here  $\{x\} = x - \lfloor x \rfloor$  denotes the fractional part of  $x$ ,

$$a_1^{-1} a_1 \equiv 1 \pmod{a_2} ,$$

and

$$a_2^{-1} a_2 \equiv 1 \pmod{a_1} .$$

**Corollary** (Sylvester?)

$$g(a_1, a_2) = a_1 a_2 - a_1 - a_2$$

**Corollary** (Sylvester)

Exactly half of the integers between 1 and  $(a_1 - 1)(a_2 - 1)$  are representable.

Extension:  $n$  is called  $k$ -representable if

$$p_{\{a_1, \dots, a_d\}}(n) = k ,$$

that is,  $n$  can be represented in exactly  $k$  ways. Define  $g_k(a_1, \dots, a_d)$  to be the largest  $k$ -representable integer.

Theorem (Robins–B)

$$g_k(a_1, a_2) = (k + 1)a_1a_2 - a_1 - a_2$$

This follows directly from

$$p_{\{a_1, a_2\}}(n + a_1a_2) = p_{\{a_1, a_2\}}(n) + 1 .$$

Dilate the rational polytope  $\mathcal{P} \subset \mathbb{R}^d$  by a positive integer  $n$ :

$$n\mathcal{P} = \{nx : x \in \mathcal{P}\}$$

and count the number of integer points (“lattice points”) in  $n\mathcal{P}$  :

$$L_{\mathcal{P}}(n) = \# \left( n\mathcal{P} \cap \mathbb{Z}^d \right) .$$

A **quasipolynomial** is an expression

$$c_m(n) n^m + \cdots + c_1(n) n + c_0(n) ,$$

where  $c_0, \dots, c_m$  are periodic functions in  $n$

**Theorem** (Ehrhart, 1960’s)

$L_{\mathcal{P}}(n)$  is a quasipolynomial in  $n$  whose degree is the dimension of  $\mathcal{P}$ . The period of this quasipolynomial divides any common multiple of the denominators of the vertices of  $\mathcal{P}$ .



$$L_{\mathcal{P}}^{\star}(n) = \# \left( n\mathcal{P}^{\text{int}} \cap \mathbb{Z}^d \right)$$

**Theorem** (Ehrhart, Macdonald, ~1970)

If  $\mathcal{P}$  is homeomorphic to a  $d$ -sphere then

$$L_{\mathcal{P}}(-n) = (-1)^d L_{\mathcal{P}}^{\star}(n) .$$

Let  $A = \{a_1, \dots, a_d\}$ , then  $p_A(n) = L_{\mathcal{P}}(n)$  where

$$\mathcal{P} = \left\{ (x_1, \dots, x_d) \in \mathbb{R}^d : \begin{array}{l} x_j \geq 0, \\ x_1 a_1 + \dots + x_d a_d = 1 \end{array} \right\} .$$

Hence  $p_A(n)$  is a quasipolynomial in  $n$  of degree  $n - 1$  and period  $\text{lcm}(a_1, \dots, a_d)$ .

$$\mathcal{P}^{\text{int}} = \left\{ (x_1, \dots, x_d) \in \mathbb{R}^d : \begin{array}{l} x_j > 0, \\ x_1 a_1 + \dots + x_d a_d = 1 \end{array} \right\} .$$

Note that

$$L_{\mathcal{P}}^{\star}(n) = 0$$

for  $n = 1, \dots, a_1 + \dots + a_d - 1$  and

$$L_{\mathcal{P}}^{\star}(n) = L_{\mathcal{P}}(n - (a_1 + \dots + a_d)) .$$

**Corollary**

$$p_A(n) = 0$$

for  $n = -1, \dots, -(a_1 + \dots + a_d) + 1$ .

$$p_A(-n) = (-1)^{d-1} p_A(n - (a_1 + \dots + a_d))$$

$p_A(n)$  is the coefficient of  $z^n$  in

$$\frac{1}{(1 - z^{a_1})(1 - z^{a_2}) \cdots (1 - z^{a_n})} ,$$

equivalently,

$$p_A(n) = \text{Res}_{z=0} \left( \frac{z^{-n-1}}{(1-z^{a_1})(1-z^{a_2}) \cdots (1-z^{a_n})} \right) .$$

Write  $p_A(n) = P_A(n) + Q_A(n)$ , where  $P_A(n)$  is a polynomial in  $n$ .

Define the Bernoulli numbers  $B_j$  by

$$\frac{z}{e^z - 1} = \sum_{j \geq 0} B_j \frac{z^j}{j!}$$

(so  $B_0 = 1$ ,  $B_1 = -\frac{1}{2}$ ,  $B_2 = \frac{1}{6}$ ,  $B_4 = -\frac{1}{30}$ , and  $B_j = 0$  if  $j$  is odd and greater than 1.)

Let  $s_j = a_1^j + \cdots + a_d^j$ .

Theorem (Gessel–Komatsu–B)

$$\begin{aligned}
P_A(n) &= \text{const} \left( -\frac{ze^{-nz}}{(1 - e^{a_1z}) \cdots (1 - e^{a_dz})} \right) \\
&= \frac{1}{a_1 \cdots a_d} \sum_{m=0}^{d-1} \frac{(-1)^m}{(d-1-m)!} \\
&\quad \times \sum_{k_1+\cdots+k_d=m} a_1^{k_1} \cdots a_d^{k_d} \frac{B_{k_1} \cdots B_{k_d}}{k_1! \cdots k_d!} n^{d-1-m}
\end{aligned}$$

For  $c_1, \dots, c_d \in \mathbb{Z}$  relatively prime to  $c \in \mathbb{Z}$  and  $n \in \mathbb{Z}$ , define the Fourier-Dedekind sum

$$\sigma_n(c_1, \dots, c_d; c) = \frac{1}{c} \sum_{\lambda^c=1 \neq \lambda} \frac{\lambda^n}{(1-\lambda^{c_1}) \cdots (1-\lambda^{c_d})} .$$

**Theorem** (Diaz–Robins–B) If  $a_1, \dots, a_d$  are pairwise relatively prime then

$$p_A(n) = P_A(n) + \sum_{j=1}^d \sigma_{-n}(a_1, \dots, \hat{a}_j, \dots, a_d; a_j) .$$

Examples:

$$\sigma_n(1; c) = \left( \left( \frac{-n}{c} \right) \right) + \frac{1}{2c} ,$$

where  $((x)) = x - \lfloor x \rfloor - 1/2$ .

$$\sigma_n(a, b; c) = \sum_{m=0}^{c-1} \left( \left( \frac{-a^{-1}(bm+n)}{c} \right) \right) \left( \left( \frac{m}{c} \right) \right) - \frac{1}{4c} ,$$

a special case of the Dedekind-Rademacher sum

### Corollary

For pairwise relatively prime integers  $a_1, \dots, a_d$

$$\sum_{j=1}^d \sigma_0(a_1, \dots, \hat{a}_j, \dots, a_d; a_j) = 1 - P_A(0)$$

This statement is equivalent to Zagier's reciprocity law for his higher dimensional Dedekind sums

### Corollary

Let  $a_1, \dots, a_n$  be pairwise relatively prime integers and  $0 < n \leq a_1 + \dots + a_n$ . Then

$$\sum_{j=1}^d \sigma_n(a_1, \dots, \hat{a}_j, \dots, a_d; a_j) = -P_A(n) .$$

### Corollary

For pairwise relatively prime integers  $a_1, \dots, a_d$   $g(a_1, \dots, a_d)$  is bounded from above by

$$\frac{1}{2} \left( \sqrt{a_1 a_2 a_3 (a_1 + a_2 + a_3)} - a_1 - a_2 - a_3 \right) .$$

An **admissible triple**  $(a, b, c)$  is a triple of pairwise relatively prime integers for which none of  $a, b, c$  can be represented by the other two, and which do not form an almost arithmetic sequences.

### **Conjectures** (Zachs–B)

There exists an upper bound for  $g(a, b, c)$  proportional to  $\sqrt{abc}^p$  where  $p < \frac{4}{3}$ , valid for all admissible triplets  $(a, b, c)$ .

For all admissible triplets  $(a, b, c)$ ,

$$g(a, b, c) \leq \sqrt{abc}^{5/4} - a - b - c .$$

## Open problems

- Find a formula (?) for  $g(a, b, c)$
- Find a polynomial-time algorithm for  $g(a_1, \dots, a_d)$
- Find formulas for  $g_k(a_1, \dots, a_d)$  for special cases of  $a_1, \dots, a_d$
- Find a formula (?) for  $g_k(a, b, c)$