An extension of the Frobenius coin-exchange problem ¹

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Dedicated to the memory of Robert F. Riley

1 Introduction

Given a set of positive integers $A = \{a_1, \ldots, a_d\}$ with $gcd(a_1, \ldots, a_d) = 1$, we call an integer n representable if there exist nonnegative integers m_1, \ldots, m_d such that

$$n = m_1 a_1 + \cdots + m_d a_d .$$

The linear diophantine problem of Frobenius asks for the largest integer which is not representable. We call this largest integer the Frobenius number $g(a_1, \ldots, a_d)$.

One fact which makes this problem attractive is that it can be easily described, for example, in terms of coins of denominations a_1, \ldots, a_d ; the Frobenius number is the largest amount of money which cannot be formed using these coins.

The following "folklore" theorem has long been known (probably at least since Sylvester [9]).

Theorem 1. g(a, b) = ab - a - b.

For $d \ge 3$, the quest for general formulas has so far been unsuccessful. For the case d = 2, Sylvester [9] proved the following result.

Theorem 2 (Sylvester). For $A = \{a, b\}$, exactly half of the integers between 1 and (a-1)(b-1) are representable.

Here we introduce and study a more general problem, a natural extension of the Frobenius problem.

Definition 1. We say that n is k-representable if n can be represented in the form

$$n = m_1 a_1 + \cdots + m_d a_d$$

(where m_1, \ldots, m_d are again nonnegative integers) in exactly k ways.

In terms of coins, we can exchange the n pennies in exactly k different ways in terms of the given coin denominations. It is not hard to convince ourselves that—because the numbers in A are relatively prime—eventually every integer can be represented in more than k ways, for any k. Our extension of the Frobenius number is captured by the following definition:

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Definition 2. $g_k(a_1, \ldots, a_d)$ is the smallest integer beyond which every integer is represented more than k times.

This is a natural generalization of the concept of the Frobenius number, as

$$g(a_1,\ldots,a_d) = g_0(a_1,\ldots,a_d)$$
.

As to be expected, the study of g_k is extremely complicated for $d \geq 3$, due to a certain non-linearity of a function $p_A(n)$ that is defined below. In this paper we completely analyze the case d = 2, that is, $A = \{a, b\}$, and present the following results.

Theorem 3. $g_k(a, b) = (k+1)ab - a - b$.

Theorem 4. Given $k \geq 2$, the smallest k-representable integer is ab(k-1).

Theorem 5. There are exactly ab-1 integers which are uniquely representable. Given $k \geq 2$, there are exactly ab k-representable integers.

Theorem 3 is a direct generalization of Theorem 1. (Theorem 4 is meaningless for k = 0 and trivial for k = 1: the smallest representable integer is $\min(a, b)$). Theorem 5 extends Theorem 2 for all k > 0.

Quite recently, Jeff Shallit and Ming-Wei Wang found this notion of k-representability useful as a device for studying the complexity of finite automata [8]. In another interesting application, Basil Gordon [4] has recently used this notion in his theory of 'piecewise linear recurrences'.

2 Proofs

One approach to the Frobenius problem and its generalizations is through the study of the restricted partition function

$$p_A(n) = \# \{ (m_1, \dots, m_d) \in \mathbb{Z}^d : \text{ all } m_j \ge 0, \ m_1 a_1 + \dots + m_d a_d = n \}$$

the number of partitions of n using only the elements of A as parts. In view of this function, $g_k(a_1, \ldots, a_d)$ is the smallest integer such that for every $n > g_k(a_1, \ldots, a_d)$ we have $p_A(n) > k$.

The basic idea behind our proofs is to view p_A as

$$p_A(n) = \# \left\{ (m_1, \dots, m_d) \in \mathbb{Z}^d : \text{ all } m_j \ge 0, \ m_1 a_1 + \dots + m_{d-1} a_{d-1} = n - m_d a_d \right\} ,$$

from which one obtains the recursion formula

$$p_{\{a_1,\dots,a_d\}}(n) = \sum_{m\geq 0} p_{\{a_1,\dots,a_{d-1}\}}(n-ma_d) . \tag{1}$$

For the following, it will be useful to introduce the function

$$q_A(n) = \# \{ (m_1, \dots, m_d) \in \mathbb{Z}^d : \text{ all } m_j > 0, \ m_1 a_1 + \dots + m_d a_d = n \},$$

which counts those partitions of n which uses only parts from A, where we additionally demand that each part gets used at least once. The functions p_A and q_A are intimitely related through

$$q_A(n) = p_A(n - a_1 - \dots - a_d) . \tag{2}$$

The recursion formula for q_A , corresponding to (1), is

$$q_{\{a_1,\dots,a_d\}}(n) = \sum_{m>0} q_{\{a_1,\dots,a_{d-1}\}}(n-ma_d) .$$
(3)

Proof of Theorem 3. For d = 2, (3) simplifies to

$$q_{\{a,b\}}(n) = \sum_{m>0} q_{\{a\}}(n-mb) . (4)$$

Now

$$q_{\{a\}}(n) = \begin{cases} 1 & \text{if } a|n \text{ and } n > 0 \\ 0 & \text{otherwise.} \end{cases}$$

Since gcd(a, b) = 1, the sum in (4) is larger than k if we have (k + 1)a summands or more. There are $\lfloor \frac{n-1}{b} \rfloor$ summands in (4). Hence for any n > (k+1)ab, $q_{\{a,b\}}(n) > k$. On the other hand, it is easy to see that $q_{\{a,b\}}((k+1)ab) = k$. Via (2), this translates into

$$p_{\{a,b\}}(n) > k$$
 if $n > (k+1)ab - a - b$
 $p_{\{a,b\}}((k+1)ab - a - b) = k$

Proof of Theorem 4. We play a similar game as in the previous proof, now starting with (1), which gives for d=2

$$p_{\{a,b\}}(n) = \sum_{m \ge 0} p_{\{a\}}(n - mb) . \tag{5}$$

Now

$$p_{\{a\}}(n) = \begin{cases} 1 & \text{if } a | n \text{ and } n \ge 0 \\ 0 & \text{otherwise,} \end{cases}$$

and since gcd(a, b) = 1, multiples of ab give 'peaks' for the sum in (5) in the sense that

$$p_{\{a,b\}}(kab) > p_{\{a,b\}}(n)$$
 for all $n < kab$.

The proof is finished by the straightforward observation that

$$p_{\{a,b\}}(kab) = k+1$$
.

Proof of Theorem 5. First, in the interval [1, ab], there are, by Theorems 2 and 4,

$$ab - \frac{(a-1)(b-1)}{2} - 1$$

1-representable integers. Because of the almost periodic behavior of the partition function

$$p_{\{a,b\}}(n+ab) = p_{\{a,b\}}(n) + 1 , (6)$$

which follows directly from (5) and the fact that a and b are relatively prime, we see that there are

$$\frac{(a-1)(b-1)}{2}$$

1-representable integers above ab. For $k \geq 2$, the statement follows by similar reasoning.

3 Final remarks

For two relatively prime positive integers a and b, Popoviciu [6] proved the following formula, which seems to be not widely known:³

$$p_{\{a,b\}}(n) = \frac{n}{ab} - \left\{\frac{b^{-1}n}{a}\right\} - \left\{\frac{a^{-1}n}{b}\right\} + 1.$$

Here $\{x\} = x - \lfloor x \rfloor$ denotes the fractional part of x, $a^{-1}a \equiv 1 \pmod{b}$, and $b^{-1}b \equiv 1 \pmod{a}$. Popoviciu's formula can be used to derive other proofs of Theorems 3, 4, and 5.

We note that for all d > 2, generalized Dedekind sums [3] appear in the formulas for $p_A(n)$, which increases the complexity of the problem. The full details of these connections to Dedekind sums can be found in [2].

We conclude with a few remarks regarding extensions of the above theorems to d > 2. Although no 'nice' formula similar to the one appearing in Theorem 1 is known for d > 2, there has been a huge effort devoted to giving bounds and algorithms for the Frobenius number [1]. Secondly, we remark that Theorem 2 does not extend in general; however, [5] gives necessary and sufficient conditions on the a_j 's under which Theorem 2 does extend. The almost periodic behavior (6) of the partition function extends easily to higher dimensions [2]. We leave the reader with the following "exercise":

Unsolved problems. Extend Theorems 3, 4, and 5 to $d \ge 3$.

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 $^{^3}$ Popoviciu's formula has been resurrected at least twice [7, 10].

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