

A Note on Complex Manifolds

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Contents

Preface	iii
1 Complex Manifolds	1
1.1 Holomorphic maps	1
1.2 Complex Manifolds	5
2 Sheaves and Cohomology	9
2.1 Algebra Preliminaries	9
2.2 Sheaves	12
2.3 Cohomology Groups	15
2.4 Vector Bundles	19
2.5 Dolbeault's Lemma and Fine Resolution of Structure Sheaf	25

Preface

This is a lecture note of a seminar on complex manifolds, by OM Society of School of Mathematical Sciences, Beijing Normal University. We mainly follow KODAIRA and MORROW's classic [MK06] and KODAIRA's later work [Kod05]. We shall cover the part of complex manifolds, sheaf cohomology and geometry of complex manifolds. Deformation theory will be skipped. Numbering of sections will not follow the textbook, but for some important theorems we shall give the name or original numbering on the textbook.

This note is unfinished and will update continuously, it will be post on GitHub. The repository name is [matthewzenm/complex-manifolds-seminar](https://github.com/matthewzenm/complex-manifolds-seminar). Many typos and grammar mistakes will occur in this note, since I'm writing on my local machine and without spell checker. If you find some typos or grammar mistakes, please contact me so that I can fix them.

I would like to thank Prof. Wang Zhiwei, for his guidance and leading me into the realm of complex geometry. Also I would like to give special thanks to Jin Yifan (Lalice), for his patient answers to my questions appeared in my studying of complex geometry, without whom the note cannot be born. Finally I would like to thank every participant of my seminar, for their attendance is my motivation to write this note.

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List of Propositions Without Proof

To proceed my reading, I shall skip proofs of some propositions to avoid being stucked by some tricky problems. Here is the list.

Lemma 2.3.7. Lemma 2.3.8.

Chapter 1

Complex Manifolds

In this chapter we introduce the elements of several complex variables and the notion of complex manifolds. We also provide some examples of complex manifolds.

1.1 Holomorphic maps

Definition 1.1.1. A complex valued function $f(z)$ on a connected open subset $W \subset \mathbb{C}^n$ is called *holomorphic*, if for each $a = (a_1, \dots, a_n) \in W$, $f(z)$ can be expanded as a convergent power series

$$f(z) = \sum_{k_1 \geq 0, \dots, k_n \geq 0} c_{k_1 \dots k_n} (z_1 - a_1)^{k_1} \dots (z_n - a_n)^{k_n}$$

in some neighborhood of a .

From now on we shall use *domain* to denote a connected open set.

Proposition 1.1.2. If $p(z) = \sum c_{k_1 \dots k_n} (z_1 - a_1)^{k_1} \dots (z_n - a_n)^{k_n}$ converges at $z = w$, then $p(z)$ converges for every z with $|z_k - a_k| < |w_k - a_k|$, $k = 1, \dots, n$.

Proof. Left to reader. □

Definition 1.1.3. The neighborhood above is called a *polydisc* or *polycylinder*, and denoted by

$$P(a, r) = \{z \in \mathbb{C}^n : |z_k - a_k| < r_k, k = 1, 2, \dots, n\}$$

A complex valued function of n complex variables can be seen as a function of $2n$ real variables, thus we have the following definition.

Definition 1.1.4. A complex valued function of n complex variables is *continuous* or *differentiable*, if it is continuous or differentiable as a function of $2n$ real variables.

We have

Theorem 1.1.5 (Osgood). Let $f(z_1, \dots, z_n)$ be a continuous function on the domain $W \subset \mathbb{C}^n$, if f is holomorphic with respect to each z_k and other z_i 's fixed, then f is holomorphic on W .

Proof. Let $a \in W$ lie in the polydisc $\overline{P(a, r)} \subset W$. For $z \in P(a, r)$, we use Cauchy's integral formula iteratively:

$$f(z_1, z_2, \dots, z_n) = \frac{1}{2\pi\sqrt{-1}} \int_{|w_1 - a_1| = r_1} \frac{f(w_1, z_2, \dots, z_n)}{w_1 - z_1} dw_1$$

$$f(w_1, z_2, \dots, z_n) = \frac{1}{2\pi\sqrt{-1}} \int_{|w_2 - a_2| = r_2} \frac{f(w_1, w_2, \dots, z_n)}{w_2 - z_2} dw_2$$

...

Substituting, we have

$$\left(\frac{1}{2\pi\sqrt{-1}}\right)^n \int \dots \int_{\partial P(a, r)} \frac{f(w_1, \dots, w_n)}{(w_1 - z_1) \dots (w_n - z_n)} dw_1 \dots dw_n$$

Since

$$\left| \frac{z_k - a_k}{w_k - a_k} \right| < 1$$

The series

$$\begin{aligned} \frac{1}{w_k - z_k} &= \frac{1}{(w_k - a_k) - (z_k - a_k)} = \frac{1}{w_k - a_k} \cdot \frac{1}{1 - (z_k - a_k)/(w_k - a_k)} \\ &= \frac{1}{w_k - a_k} \sum_{i=0}^{\infty} \left(\frac{z_k - a_k}{w_k - a_k} \right)^i \end{aligned}$$

converges absolutely in $P(a, r)$, hence integrate term by term we have

$$f(z_1, \dots, z_n) = \sum_{k_0 \geq 0, \dots, k_n \geq 0} c_{k_1 \dots k_n} (z_1 - a_1)^{k_1} \dots (z_n - a_n)^{k_n}$$

where

$$c_{k_1 \dots k_n} = \left(\frac{1}{2\pi\sqrt{-1}} \right)^{k_1 + \dots + k_n} \int \dots \int_{\partial P(a, r)} \frac{f(w_1, \dots, w_n)}{(w_1 - a_1)^{k_1+1} \dots (w_n - a_n)^{k_n+1}} dw_1 \dots dw_n$$

Let $|f| \leq M$ on $\overline{P(a, r)}$, then we have

$$|c_{k_0 \dots k_n}| \leq \frac{M}{r_1^{k_1} \dots r_n^{k_n}}$$

and for $z \in P(a, r)$, we have $|(z_k - a_k)/r_k| < 1$, then

$$\begin{aligned} \left| \sum c_{k_1 \dots k_n} (z_1 - a_1)^{k_1} \dots (z_n - a_n)^{k_n} \right| &\leq M \left| \sum \left(\frac{z_1 - a_1}{r_1} \right)^{k_1} \dots \left(\frac{z_n - a_n}{r_n} \right)^{k_n} \right| \\ &= M \prod_{k=1}^n \left| \frac{1}{1 - (z_k - a_k)/r_k} \right| \end{aligned}$$

This shows the expansion is convergent for $z \in P(a, r)$. Since a is arbitrary, f is holomorphic. \square

We now introduce the Cauchy–Riemann equations.

Notation 1.1.6. Let f be a differentiable function on a domain $W \subset \mathbb{C}^n$, denote

$$\frac{\partial}{\partial z_k} = \frac{1}{2} \left(\frac{\partial}{\partial x_k} - \sqrt{-1} \frac{\partial}{\partial y_k} \right) \quad (1.1.1)$$

$$\frac{\partial}{\partial \bar{z}_k} = \frac{1}{2} \left(\frac{\partial}{\partial x_k} + \sqrt{-1} \frac{\partial}{\partial y_k} \right) \quad (1.1.2)$$

for $z_k = x_k + \sqrt{-1}y_k$ and $1 \leq k \leq n$.

Theorem 1.1.7. Let f be a (continuously) differentiable function on the domain $W \subset \mathbb{C}^n$, then f is holomorphic on W if and only if $\partial f / \partial \bar{z}_k = 0$ for $k = 1, \dots, n$.

Proof. This is a corollary of Theorem 1.1.5 and classical results in complex analysis in one variable. \square

Proposition 1.1.8 (Chain rule). *Suppose $f(w_1, \dots, w_m)$ and $g_k(z)$, $k = 1, \dots, m$ are differentiable, and the domain of f contains the range of $g = (g_1, \dots, g_m)$, then $f \circ g$ is differentiable, and if $w_i = g_i(z)$ for $i = 1, \dots, m$, then*

$$\begin{aligned}\frac{\partial f(g(z))}{\partial z_k} &= \sum_{i=1}^m \left(\frac{\partial f(w)}{\partial w_i} \cdot \frac{\partial w_i}{\partial z_k} + \frac{\partial f(w)}{\partial \bar{w}_i} \cdot \frac{\partial \bar{w}_i}{\partial z_k} \right) \\ \frac{\partial f(g(z))}{\partial \bar{z}_k} &= \sum_{i=1}^m \left(\frac{\partial f(w)}{\partial w_i} \cdot \frac{\partial w_i}{\partial \bar{z}_k} + \frac{\partial f(w)}{\partial \bar{w}_i} \cdot \frac{\partial \bar{w}_i}{\partial \bar{z}_k} \right)\end{aligned}$$

Proof. Direct calculation verifies the proposition. \square

Corollary 1.1.9. *If $f(w)$ is holomorphic in $w = (w_1, \dots, w_m)$ and $g_k(z)$, $k = 1, \dots, m$ are holomorphic in z , then $f \circ g$ is holomorphic in z .*

Corollary 1.1.10. *The set $\mathcal{O}_{\mathbb{C}^n}(\Omega)$ of holomorphic functions on open set Ω forms a ring. (We use sheaf notation before we introduce what is a sheaf.)*

Definition 1.1.11. A map $f(z) = (f_1(z), \dots, f_m(z))$ from \mathbb{C}^n to \mathbb{C}^m is a *holomorphic map* if each $f_k(z)$ is holomorphic, $k = 1, \dots, m$. The matrix

$$\begin{bmatrix} \partial f_1 / \partial z_1 & \cdots & \partial f_m / \partial z_1 \\ \vdots & \ddots & \vdots \\ \partial f_1 / \partial z_n & \cdots & \partial f_m / \partial z_n \end{bmatrix} =: \left[\frac{\partial f_i}{\partial z_j} \right]_{1 \leq i \leq m, 1 \leq j \leq n}$$

is called *Jacobian matrix*, and if $m = n$, the determinant $\det[\partial f_i / \partial z_j]$ is called the *Jacobian*.

Writing out $f_i = u_i + \sqrt{-1}v_i$ and $z_j = x_j + \sqrt{-1}y_j$, we denote briefly

$$\frac{\partial(u, v)}{\partial(x, y)} := \det \left(\frac{\partial(u_1, v_1, \dots, u_n, v_n)}{\partial(x_1, y_1, \dots, x_n, y_n)} \right)$$

And we have

Lemma 1.1.12. *If f is holomorphic, then $\partial(u, v) / \partial(x, y) = |\det[\partial f_i / \partial z_j]|^2 \geq 0$.*

Proof. Let

$$\begin{aligned}[\partial / \partial z_1 \quad \partial / \partial \bar{z}_1 \quad \cdots \quad \partial / \partial z_n \quad \partial / \partial \bar{z}_n] &= A \\ [\partial / \partial x_1 \quad \partial / \partial y_1 \quad \cdots \quad \partial / \partial x_n \quad \partial / \partial y_n] &= B\end{aligned}$$

Then

$$A = B \begin{bmatrix} 1/2 & 1/2 & & & \\ -\sqrt{-1}/2 & \sqrt{-1}/2 & & & \\ & & 1/2 & 1/2 & \\ & & -\sqrt{-1}/2 & \sqrt{-1}/2 & \\ & & & & \ddots \end{bmatrix}$$

Hence

$$\frac{\partial(u, v)}{\partial(x, y)} = \det \begin{bmatrix} u_1 \\ v_1 \\ \vdots \\ u_n \\ v_n \end{bmatrix} [\partial / \partial x_1 \quad \partial / \partial y_1 \quad \cdots \quad \partial / \partial x_n \quad \partial / \partial y_n]$$

$$\begin{aligned}
&= \left(\frac{\sqrt{-1}}{2} \right)^n \det \begin{bmatrix} u_1 \\ v_1 \\ \vdots \\ u_n \\ v_n \end{bmatrix} \begin{bmatrix} \partial/\partial z_1 & \partial/\partial \bar{z}_1 & \cdots & \partial/\partial z_n & \partial/\partial \bar{z}_n \end{bmatrix} \\
&= \left(\frac{\sqrt{-1}}{2} \right)^n \det \begin{bmatrix} \partial u_1/\partial z_1 & \partial u_1/\partial \bar{z}_1 & \cdots & \partial u_1/\partial z_n & \partial u_1/\partial \bar{z}_n \\ \partial v_1/\partial z_1 & \partial v_1/\partial \bar{z}_1 & \cdots & \partial v_1/\partial z_n & \partial v_1/\partial \bar{z}_n \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \partial u_n/\partial z_1 & \partial u_n/\partial \bar{z}_1 & \cdots & \partial u_n/\partial z_n & \partial u_n/\partial \bar{z}_n \\ \partial v_n/\partial z_1 & \partial v_n/\partial \bar{z}_1 & \cdots & \partial v_n/\partial z_n & \partial v_n/\partial \bar{z}_n \end{bmatrix}
\end{aligned}$$

Multiply $\sqrt{-1}$ on even rows, $1/2$ on odd rows, and add $2k$ th row to $(2k-1)$ st row, subtract $(2k-1)$ st row to $2k$ th row, we obtain

$$\frac{\partial(u, v)}{\partial(x, y)} = \det \begin{bmatrix} \partial f_1/\partial z_1 & \overline{\partial f_1/\partial z_1} & \cdots & \partial f_1/\partial z_n & \overline{\partial f_1/\partial z_n} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \partial f_n/\partial z_1 & \overline{\partial f_n/\partial z_1} & \cdots & \partial f_n/\partial z_n & \overline{\partial f_n/\partial z_n} \end{bmatrix}$$

We expand the determinant, extract odd rows and even rows respectively, and we get

$$\begin{aligned}
\frac{\partial(u, v)}{\partial(x, y)} &= \sum_{\sigma, \tau \in S_n} \text{sgn } \sigma \text{sgn } \tau \left(\frac{\partial f_1}{\partial z_{\sigma(1)}} \cdots \frac{\partial f_n}{\partial z_{\sigma(n)}} \right) \left(\overline{\frac{\partial f_1}{\partial z_{\tau(1)}}} \cdots \overline{\frac{\partial f_n}{\partial z_{\tau(n)}}} \right) \\
&= \det[\partial f_i/\partial z_j] \det[\overline{\partial f_i/\partial z_j}] \\
&= |\det[\partial f_i/\partial z_j]|^2
\end{aligned}$$

□

Theorem 1.1.13 (Inverse function theorem). *Let $U \subset \mathbb{C}^n$ open, $f : U \rightarrow \mathbb{C}^n$ be a holomorphic map, $a \in U$. If $\det[\partial f_i/\partial z_j]_{z=a} \neq 0$, then for a sufficiently small neighborhood N of a , f is bijective on N , $f(N)$ is open and $f^{-1}|_{f(N)}$ is holomorphic on $f(N)$.*

Proof. By Lemma 1.1.12, $\partial(u, v)/\partial(x, y) \neq 0$ at a , then by the inverse function theorem of real functions, f is bijective and differentiable, and $f(N)$ is open. We check that $f^{-1}|_{f(N)}$ is holomorphic. Set $\varphi(w) = f^{-1}(w)$, then $z_i = \varphi_i(f(z))$. Differentiate the equality gives

$$\begin{aligned}
0 &= \frac{\partial z_i}{\partial \bar{z}_k} = \sum_{j=1}^n \left(\frac{\partial \varphi_i(w)}{\partial w_j} \cdot \frac{\partial f_j(z)}{\partial \bar{z}_k} + \frac{\partial \varphi_i(w)}{\partial \bar{w}_j} \cdot \frac{\partial \overline{f_j(z)}}{\partial \bar{z}_k} \right) \\
&= \sum_{j=1}^n \frac{\partial \varphi_i(w)}{\partial \bar{w}_j} \cdot \frac{\partial \overline{f_j(z)}}{\partial \bar{z}_k}
\end{aligned}$$

Since $\det[\partial \overline{f_j(z)}/\partial \bar{z}_k] = \overline{\det[\partial f_j(z)/\partial z_k]} \neq 0$, by linear algebra we have $\partial \varphi_i(w)/\partial \bar{w}_j = 0$ for each i, j , that is, φ is holomorphic. □

Corollary 1.1.14 (Implicit function theorem). *Let f_i , $i = 1, 2, \dots, m$ be holomorphic on $U \subset \mathbb{C}^n$. Let rank of $[\partial f_i/\partial z_j] = r$ at each point $z \in U$ and suppose $\det[\partial f_i/\partial z_j]_{1 \leq i, j \leq r} \neq 0$. If $f_i(a) = 0$ for $i \leq m$ for some $a \in U$, then in a small neighborhood of a , the equations*

$$f_i(z_1, \dots, z_r, z_{r+1}, \dots, z_n) = 0, \quad 1 \leq i \leq r$$

have unique holomorphic solutions

$$z_i = \varphi_i(z_{r+1}, \dots, z_n), \quad 1 \leq i \leq r$$

1.2 Complex Manifolds

Definition 1.2.1. Let M be a topological manifold. A *coordinate chart* is an open set $U \subset M$ and a continuous map $\varphi : U \rightarrow \mathbb{C}^n$ that maps U homeomorphically onto an open set of \mathbb{C}^n . An *atlas* is a collection $\{(U_i, \varphi_i)\}_{i \in I}$ of coordinate charts that $M = \bigcup_{i \in I} U_i$ and for any $U_i \cap U_j \neq \emptyset$, $\varphi_i \circ \varphi_j^{-1}$ and $\varphi_j \circ \varphi_i^{-1}$ are both holomorphic. A *complex structure* is a maximal atlas.

A *complex manifold* is a topological manifold endowed with a complex structure.

Lemma 1.2.2. Every complex manifold is paracompact, i.e. every open cover has a locally finite open refinement.

Proof. [Lee11, Theorem 4.77]. □

Definition 1.2.3. Let M be a complex manifold. A subset $S \subset M$ is a (complex) *analytic subvariety*, if for each $s \in S$, there is a neighborhood U of s such that $S \cap U = \{p : f_i(p) = 0, i = 1, \dots, m\}$ for holomorphic functions $f_i, i = 1, \dots, m$. The analytic subvariety is a *submanifold* if the rank of $[\partial f_i / \partial z_j]$ is independent of s .

Definition 1.2.4. A *meromorphic function* f on M is a complex-valued function defined outside of some proper subvariety S of M , and such that given $q \in M$, there is a neighborhood U of q and g, h holomorphic on U satisfying $f(p) = g(p)/h(p)$ on $U \setminus S$.

Example 1.2.5. 1. Any holomorphic map $f : M \rightarrow \mathbb{C} \cup \{\infty\}$ is meromorphic.

2. In \mathbb{C}^2 , we have rational functions $f(z_1, z_2) = P(z_1, z_2)/Q(z_1, z_2)$ for P, Q polynomials are meromorphic.

In the rest of the section, we provide some examples and constructions of complex manifolds.

Construction 1.2.6. The *complex projective space* \mathbb{P}^n is defined as the set of all 1-dimensional subspaces of \mathbb{C}^{n+1} . It can be realized as the sphere $\{z \in \mathbb{C}^{n+1} : |z| = 1\} = \mathbb{S}^{2n+1}$ quotient out \mathbb{S}^1 , so it is a compact topological space. We denote the elements of \mathbb{P}^n by *homogeneous coordinate* (p_0, p_1, \dots, p_n) . The complex projective space is a complex manifold in the following manner: We define $U_j = \{p \in \mathbb{P}^n : p_j \neq 0\}$, $j = 0, 1, \dots, n$, then $\{U_j\}$ is an open cover of \mathbb{P}^n . Define $z_j(p) = (z_j^0, \dots, z_j^{j-1}, z_j^{j+1}, \dots, z_j^n)$, where $z_j^i = p_i/p_j$, then z_j maps each U_j homeomorphically onto \mathbb{C}^n . Moreover, we have $f_{jk} = z_j \circ z_k^{-1}$ given by

$$(x_1, \dots, x_k, \dots, x_n) \mapsto \left(\frac{x_1}{x_j}, \dots, \frac{1}{x_j}, \dots, \frac{x_n}{x_j} \right)$$

is holomorphic, and so is its inverse. Therefore (U_j, z_j) gives an atlas of \mathbb{P}^n .

In projective space, we have the notion of algebraic objects.

Definition 1.2.7. Let \mathbb{P}^n has homogeneous coordinate $\zeta = (\zeta_0, \dots, \zeta_n)$. A *projective algebraic variety* M is the common zero locus of a family of homogeneous polynomials, i.e. for some homogeneous polynomials f_1, \dots, f_m ,

$$M := \{\zeta \in \mathbb{P}^n : f_i(\zeta) = 0, i = 1, \dots, m\}$$

If the rank of $[\partial f_i / \partial \zeta_j]$ is independent from ζ , then M becomes a manifold, called *algebraic manifold*. Alternatively, we can define algebraic manifolds as submanifolds of projective spaces, by CHOW's theorem (cf. [Gri74, Section 3.3]), these two definitions of algebraic manifolds are equivalent. If f_d is a homogeneous polynomial of degree d , then its zero locus M_d is called a *hypersurface* in \mathbb{P}^n of order d . If for each $\zeta \in M_d$, at least one of $\partial f_d / \partial \zeta_i \neq 0$, then M_d is nonsingular.

Example 1.2.8. We provide some examples.

1. $M_d \subset \mathbb{P}^2$ a nonsingular plane curve of order d is a Riemann surface of genus $g = \frac{1}{2}(d-1)(d-2)$. (cf. [GH94, pp. 219–221])
2. A nonsingular $M_d \subset \mathbb{P}^3$. M_d is simply connected and has Euler number $\chi(M_d) = d(d^2 - 4d + 6)$. (For Euler number, cf. [Hir95, Section 10.2, Equation (5)], and for simply connectivity, cf. [Mil63, Theorem 7.4])
3. Let $M \subset \mathbb{P}^3$ be defined by

$$M = \{\zeta \in \mathbb{P}^3 : \zeta_1\zeta_2 - \zeta_0\zeta_3 = 0, \zeta_0\zeta_2 - \zeta_1^2 = 0, \zeta_2^2 - \zeta_1\zeta_3 = 0\}$$

We claim that M is complex analytically homeomorphic to \mathbb{P}^1 . One can easily check the map

$$\mu : \mathbb{P}^1 \rightarrow \mathbb{P}^3, t \mapsto (t_0^3, t_0^2 t_1, t_0 t_1^2, t_1^3)$$

is biholomorphic. This is the simplest case of *Veronese embedding*.

Next we consider *quotient spaces*.

Definition 1.2.9. An *analytic automorphism* of M is a biholomorphic map of M onto M . The set of all analytic automorphisms of M forms a group under composition.

Let G be a subgroup of analytic automorphisms. G is called a *properly discontinuous group* of analytic automorphisms of M , if for any pair of compact subsets K_1, K_2 , the set $\{g \in G : gK_1 \cap K_2 \neq \emptyset\}$ is finite.

G has no fixed points if for all $g \in G, g \neq 1$, g has no fixed points.

Theorem 1.2.10. If M is a connected complex manifold, G is properly discontinuous and has no fixed point, then the quotient space M/G is a complex manifold.

Proof. Denote $M/G = M^*$, and the orbit of $p \in M$ by p^* . We shall show that for all $q \in M$, we can choose a neighborhood $U \ni q$ such that for all $p_1 \neq p_2 \in U$ we have $p_1^* \neq p_2^*$. In fact, we can choose U such that $gU \cap U = \emptyset$ for $g \in G, g \neq 1$. M is locally compact, so let $U_1 \supset U_2 \supset \dots$ be a base of relatively compact neighborhoods at q . Then $F_m = \{g \in G : gU_m \cap U_m \neq \emptyset\}$ is finite, and $F_1 \supset F_2 \supset \dots$. If there is a $g \neq 1$ such that $g \in F_m$ for all $m \geq 1$, then $U_m \rightarrow \{q\}$ gives $g(q) = q$, contradicting the nonexistence of fixed points. Hence the required U exists. We cover M by such U 's, and $U \rightarrow U^*$ is one-to-one. We give U^* the complex structure that U has, then this gives a complex structure on M^* . \square

Example 1.2.11 (Complex tori). Let $M = \mathbb{C}^n$, $\omega_1, \dots, \omega_{2n}$ be $2n$ \mathbb{R} -linear independent vector, $\omega_k = (\omega_{k1}, \dots, \omega_{kn}) \in \mathbb{C}^n$. Let $G = \mathbb{Z}\omega_1 + \dots + \mathbb{Z}\omega_{2n}$ act on M naturally, then G is properly discontinuous and has no fixed points. The quotient space is called *complex torus* of dimension n , denoted by \mathbb{T}^n .

Let $n = 1$, we have the exponential map $\exp 2\pi\sqrt{-1} : \mathbb{C} \rightarrow \mathbb{C}^*$. Consider $G = \mathbb{Z} + \mathbb{Z}\omega$, then $\mathbb{T} = \mathbb{C}/G$. But let $\alpha = e^{2\pi\sqrt{-1}\omega}$, for $g = m_1 + m_2\omega$, we have the following commutative diagram

$$\begin{array}{ccc} \mathbb{C} & \xrightarrow{\exp 2\pi\sqrt{-1}} & \mathbb{C}^* \\ \downarrow g & & \downarrow \alpha^{m_2} \\ \mathbb{C} & \xrightarrow{\exp 2\pi\sqrt{-1}} & \mathbb{C}^* \end{array}$$

Hence if we let $G^* = \mathbb{Z}\omega$ act on \mathbb{C}^* by multiplication, then we have $\mathbb{T} = \mathbb{C}/G = \mathbb{C}^*/G^*$.

Example 1.2.12 (Hopf manifolds). Let $W = \mathbb{C}^n \setminus \{0\}$ and

$$G = \{g^m : m \in \mathbb{Z}, g(w) = \lambda^m w, 0 < |\lambda| < 1\}$$

It is easy to see G is properly discontinuous and has no fixed points on W , so W/G is a complex manifold. Moreover, one can show that W/G is diffeomorphic to $\mathbb{S}^1 \times \mathbb{S}^{2n-1}$. In fact, using polar coordinate we have $W \cong \mathbb{R}_{>0} \times \mathbb{S}^{2n-1}$, scalar multiplication quotients $\mathbb{R}_{>0}$ gets \mathbb{S}^1 and preserves \mathbb{S}^{2n-1} , hence $W/G \cong \mathbb{S}^1 \times \mathbb{S}^{2n-1}$ as differentiable manifolds.

Example 1.2.13. Let M be an algebraic surface defined by $M = \{\zeta \in \mathbb{P}^3 : \zeta_0^5 + \zeta_1^5 + \zeta_2^5 + \zeta_3^5 = 0\}$. Let

$$G = \{g^m : g(\zeta_0, \dots, \zeta_3) = (\rho\zeta_0, \dots, \rho^4\zeta_3), \rho = e^{2\pi\sqrt{-1}/5}\}$$

where $m = 0, 1, 2, 3, 4$. Then g is a biholomorphic map $\mathbb{P}^3 \rightarrow \mathbb{P}^3$ and the restriction of g^i on M is an analytic automorphism. Consider the fixed points of g^m on \mathbb{P}^3 , they satisfy $(\rho^{m(i+1)} - 1)\zeta_i = 0$, $i = 0, 1, 2, 3$. So we have the fixed points of G are $(1, 0, 0, 0), (0, 1, 0, 0), (0, 0, 1, 0), (0, 0, 0, 1)$. None of the fixed points lies on M , so G is properly discontinuous and has no fixed points on M , then M/G is a complex manifold.

Finally we discuss *surgeries*. Given a complex manifold M and a compact submanifold or subvariety $S \subset M$. Suppose we have neighborhood W of S and manifolds $S^* \subset W^*$, and we also have a biholomorphic map $f : W^* \setminus S^* \rightarrow W \setminus S$. Then we can replace W by W^* and get a new manifold $M^* = (M \setminus W) \cup W^*$. More precisely, $M^* = (M \setminus S) \cup W^*$, where each point $z^* \in W^* \setminus S^*$ is identified with $z = f(z^*)$.

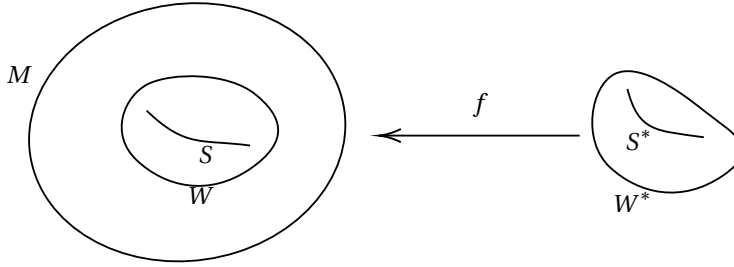


Figure 1.1: Surgery

Example 1.2.14 (Hirzebruch). Let $M = \mathbb{P}^1 \times \mathbb{P}^1$. Since $\mathbb{P}^1 = \mathbb{C} \cup \{\infty\}$, we can set $S = \{0\} \times \mathbb{P}^1$, $W = \{(z, \zeta) \in \mathbb{C} \times \mathbb{P}^1 : |z| < \varepsilon\}$ be a neighborhood of S in M . Let $W^* = \{(z, \zeta) \in \mathbb{C} \times (\mathbb{P}^1)^* : |z| < \varepsilon\}$ and $S^* = \{0\} \times (\mathbb{P}^1)^*$. Fix an integer $m > 0$ and define $f : W^* \setminus S^* \rightarrow W \setminus S$ by

$$f(z, \zeta^*) = (z, \zeta^* / z^m)$$

Then f is biholomorphic, let $M_m^* = (M \setminus S) \cup W^*$. Hirzebruch proved the following properties in [Hir51]:

1. M and M_m^* are topologically different if m is odd.
2. $M_m^* \not\cong M_n^*$ as complex manifolds when $m \neq n$.
3. $M_{2m}^* = M$ topologically.
4. $M_{2m+1}^* = M_1^*$ topologically.

Example 1.2.15 (Blowing up). First we discuss the case where M has complex dimension 2. Let p be any point on M , $S = \{p\}$ and $S^* = \mathbb{P}^1$. We define $M^* = (M \setminus S) \cup \mathbb{P}^1$ as follows: Choose a coordinate chart (W, z) such that $z(p) = 0$, $|z_1| < \varepsilon$, $|z_2| < \varepsilon$. We define a subvariety W^* of $W \times \mathbb{P}^1$ by

$$W^* := \{(z_1, z_2, (\zeta_1, \zeta_2)) \in W \times \mathbb{P}^1 : z_1\zeta_2 - z_2\zeta_1 = 0\}$$

Since $\partial f / \partial z_1 = \zeta_2$, $\partial f / \partial z_2 = -\zeta_1$ if $f = z_1\zeta_2 - z_2\zeta_1$, $(\partial f / \partial z_1, \partial f / \partial z_2) \neq 0$, hence W^* is a submanifold. Let $f^* : W^* \rightarrow W$ be the restriction of projection map $W \times \mathbb{P}^1 \rightarrow W$, then $W^* \supset 0 \times \mathbb{P}^1$, $f^* : S^* \rightarrow \{p\}$, and $f^* : W^* \setminus S^* \rightarrow W \setminus S$ is biholomorphic. That is because f^* has inverse $(z_1, z_2) \rightarrow (z_1, z_2, (z_1, z_2))$. By surgery we obtain $M^* = (M \setminus \{p\}) \cup \mathbb{P}^1$. We call M^* the *blowing up* of M at p , and denote $M^* = \text{Bl}_p(M)$.

Blowing up can be complicated, a well-known fact in algebraic geometry is for six points P_1, \dots, P_6 in “general position” (specified, no three points are colinear and no six points are on a conic), we have

$$\text{Bl}_{P_6} \cdots \text{Bl}_{P_1}(\mathbb{P}^2) \cong \{\zeta \in \mathbb{P}^3 : \zeta_0^3 + \zeta_1^3 + \zeta_2^3 + \zeta_3^3 = 0\} \subset \mathbb{P}^3$$

General case is similar, if $\dim_{\mathbb{C}} M = n$, let $p \in M$ and (W, z) be a coordinate chart as above. Define the submanifold $W^* := \{(z, \zeta) : z_i\zeta_j - z_j\zeta_i = 0, 1 \leq i < j \leq n\}$, and f^* be the restriction of projection map $W \times \mathbb{P}^1 \rightarrow W$. $f^* : (W^* \setminus \mathbb{P}^1) \rightarrow (W \setminus \{p\})$ is biholomorphic, so by surgery, we get $\text{Bl}_p(M) = (M \setminus \{p\}) \cup \mathbb{P}^1$.

Chapter 2

Sheaves and Cohomology

2.1 Algebra Preliminaries

We first provide some necessary background material on algebra. All rings in this chapter will be assumed to be commutative and with unit.

Cochain Complex and Cohomology

Definition 2.1.1. A *cochain complex* C is a graded R -module $C = \bigoplus_{n \in \mathbb{N}} C^n$ with homomorphisms

$$0 \rightarrow C^0 \xrightarrow{\delta^0} C^1 \xrightarrow{\delta^1} \dots \xrightarrow{\delta^{n-1}} C^n \xrightarrow{\delta^n} \dots$$

which satisfy $\delta^{n+1} \circ \delta^n = 0$ for $n \geq 0$. A cochain map between chain complex is a graded homomorphism $f : C \rightarrow D$ of degree 0, making the following diagram commute:

$$\begin{array}{ccccccc} 0 & \longrightarrow & C^0 & \xrightarrow{\delta^0} & C^1 & \xrightarrow{\delta^1} & \dots \xrightarrow{\delta^{n-1}} C^n \xrightarrow{\delta^n} \dots \\ & & \downarrow f_0 & & \downarrow f_1 & & \downarrow f_n \\ 0 & \longrightarrow & D^0 & \xrightarrow{d^0} & D^1 & \xrightarrow{d^1} & \dots \xrightarrow{d^{n-1}} D^n \xrightarrow{d^n} \dots \end{array}$$

Definition 2.1.2. The i th *cohomology module* is defined by

$$H^i(C) = \frac{\ker(\delta^i)}{\text{im}(\delta^{i-1})}$$

and the cohomology module is defined by the graded module $H^\bullet(C) = \bigoplus_{n \in \mathbb{N}} H^n(C)$. An element in $\ker(\delta^i)$ is called a *cocycle*, and an element in $\text{im}(\delta^{i-1})$ is called a *coboundary*. A cochain map $f : C \rightarrow D$ induces a graded homomorphism $f^* : H^\bullet(C) \rightarrow H^\bullet(D)$ of degree 0, i.e. for each $f_i : C^i \rightarrow D^i$, f_i induces a homomorphism $f_i^* : H^i(C) \rightarrow H^i(D)$.

We provide a lemma on homomorphism of cohomology module induced by cochain map.

Lemma 2.1.3. Let C, D be cochain complex, $f, g : C \rightarrow D$ be cochain maps. Let $h : C \rightarrow D$ be a graded homomorphism of degree -1 , then $\delta \circ h + h \circ \delta$ is a cochain map, and if $f - g = \delta \circ h + h \circ \delta$, then $f^* = g^*$.

In such situation, f and g are called *chain homotopic*.

Proof. For the first claim, we can check

$$\begin{aligned}\delta \circ (\delta \circ h + h \circ \delta) &= \delta \circ h \circ \delta \\ &= \delta \circ h \circ \delta + h \circ \delta \circ \delta \\ &= (\delta \circ h + h \circ \delta) \circ \delta\end{aligned}$$

The second claim holds trivially. \square

We are now going to prove the following theorem:

Theorem 2.1.4 (Zig-zag lemma). *If the sequence of cochain complex*

$$0 \rightarrow C \xrightarrow{f} D \xrightarrow{g} E \rightarrow 0$$

is exact, then the long sequence of cohomology modules

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^0(C) & \xrightarrow{f_0^*} & H^0(D) & \xrightarrow{g_0^*} & H^0(E) \\ & & & & \searrow \delta^* & & \\ & & H^1(C) & \xrightarrow{f_1^*} & H^1(D) & \xrightarrow{g_1^*} & H^1(E) \\ & & & & \searrow \delta^* & & \\ & & \dots & & & & \end{array}$$

is exact.

For this, we need the following lemmas.

Lemma 2.1.5. *Let C be a cochain complex, the following sequence is exact for $n \geq 0$*

$$0 \rightarrow H^n(C) \rightarrow \text{coker}(\delta^{n-1}) \xrightarrow{\delta^n} \ker(\delta^{n+1}) \rightarrow H^{n+1}(C) \rightarrow 0$$

Proof. Clearly $\varphi^n := \delta^n|_{\text{coker}(\delta^{n-1})}$ is well-defined and $\ker(\varphi^n) = H^n(C)$, $\text{coker}(\varphi^n) = H^{n+1}(C)$. \square

Lemma 2.1.6 (Snake lemma). *Consider the following commutative diagram with exact rows:*

$$\begin{array}{ccccccc} X & \xrightarrow{f} & Y & \xrightarrow{g} & Z & \longrightarrow & 0 \\ \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma & & \\ 0 & \longrightarrow & U & \xrightarrow{f'} & V & \xrightarrow{g'} & W \end{array}$$

Then there exists a homomorphism $\delta : \ker(\gamma) \rightarrow \text{coker}(\alpha)$ giving rise the following exact sequence

$$\ker(\alpha) \rightarrow \ker(\beta) \rightarrow \ker(\gamma) \xrightarrow{\delta} \text{coker}(\alpha) \rightarrow \text{coker}(\beta) \rightarrow \text{coker}(\gamma)$$

Proof. $\ker(\alpha) \rightarrow \ker(\beta) \rightarrow \ker(\gamma)$ is exact. Let $y \in \ker(g|_{\ker(\beta)})$, then $g(y) = 0$, there exists an $x \in X$ such that $f(x) = y$. We must show $x \in \ker(\alpha)$. We have $0 = \beta(y) = \beta(f(x)) = f'(\alpha(x))$, and since f' is injective, $\alpha(x) = 0$, i.e. $x \in \ker(\alpha)$. Similarly $\text{coker}(\alpha) \rightarrow \text{coker}(\beta) \rightarrow \text{coker}(\gamma)$ is exact.

We now construct a δ making the sequence exact. Take $z \in \ker(\gamma)$, choose y such that $g(y) = z$, then $g'(g(y)) = \gamma(g(y)) = \gamma(z) = 0$, hence there exists a unique $u \in U$ such that $f'(u) = \beta(y)$. Set $\delta(z) =$

$u + \text{im}(\alpha)$. We show δ is well-defined. If $g(y') = z$, let $f'(u') = \beta(y')$, then $g(y - y') = 0$, there exists an $x \in X$ such that $f(x) = y' - y$. Therefore

$$f(u' - u) = \beta(y' - y) = \beta(f(x)) = f'(\alpha(x))$$

Since f' is injective, we have $u' - u = \alpha(x) \in \text{im}(\alpha)$, hence δ is well-defined. Consider $\ker(\beta) \xrightarrow{\delta} \text{coker}(\alpha)$, let $z \in \ker(\gamma)$ and $\delta(z) = 0$. Then let $g(y) = z$, $f'(u) = \beta(y)$, we have $u \in \text{im}(\alpha)$. Let $\alpha(x) = u$, then $\beta(y) = f'(\alpha(x)) = \beta(f(x))$, we have $y - f(x) \in \ker(\beta)$. Moreover, $g(y - f(x)) = z$, we have $\ker(\delta) \subset \text{im}(g|_{\ker \beta})$, the sequence is exact. Similarly $\ker(\gamma) \xrightarrow{\delta} \text{coker}(\alpha) \rightarrow \text{coker}(\beta)$ is exact. \square

Proof of Zig-zag lemma. Consider the following commutative diagram

$$\begin{array}{ccccccc}
 & 0 & & 0 & & 0 & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & H^n(C) & & H^n(D) & & H^n(E) & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & \text{coker}(\delta_C^{n-1}) & \xrightarrow{f} & \text{coker}(\delta_D^{n-1}) & \xrightarrow{g} & \text{coker}(\delta_E^{n-1}) & \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 & \longrightarrow & \ker(\delta_C^n) & \xrightarrow{f} & \ker(\delta_D^n) & \xrightarrow{g} & \ker(\delta_E^n) \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & H^{n+1}(C) & & H^{n+1}(D) & & H^{n+1}(E) \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 0 & & 0 & & 0
 \end{array}$$

Diagram chasing shows the rows are exact, and by Lemma 2.1.5, the columns are exact. Hence the result follows from Snake lemma. \square

Our next result on cohomology is that cohomology is natural.

Theorem 2.1.7 (Naturality of cohomology). *Let*

$$\begin{array}{ccccccc}
 0 & \longrightarrow & C & \longrightarrow & D & \longrightarrow & E \longrightarrow 0 \\
 & & \downarrow f & & \downarrow g & & \downarrow h \\
 0 & \longrightarrow & C' & \longrightarrow & D' & \longrightarrow & E' \longrightarrow 0
 \end{array}$$

be commutative diagram of cochain complexes with exact rows, then the long exact sequence of cohomology modules

$$\begin{array}{ccccccc}
 0 & \longrightarrow & H^0(C) & \longrightarrow & H^0(D) & \longrightarrow & H^0(E) \xrightarrow{\delta^*} H^1(C) \longrightarrow \dots \\
 & & \downarrow f^0 & & \downarrow g^0 & & \downarrow h^0 & & \downarrow f^1 \\
 0 & \longrightarrow & H^0(C') & \longrightarrow & H^0(D') & \longrightarrow & H^0(E') \xrightarrow{(\delta')^*} H^1(C') \longrightarrow \dots
 \end{array}$$

is commute.

Proof. Diagram chasing. \square

Direct Limit

Definition 2.1.8. A *direct set* is a preordered set $(I, <)$ such that for $i, j \in I$, there is a $k \in I$ satisfying $i < k, j < k$. A *direct system* is a set of rings or R -modules indexed by a direct set, with homomorphisms $\varphi_{ij} : M_i \rightarrow M_j$ whenever $i < j$ and $\varphi_{jk} \circ \varphi_{ij} = \varphi_{ik}$ whenever $i < j < k$.

If $\{M_i\}_{i \in I}$ is a direct system, then the *direct limit* of the direct system is a ring or R -module $\varinjlim_{i \in I} M_i$ with homomorphisms $\varphi_i : M_i \rightarrow \varinjlim_{i \in I} M_i$, satisfying for every N with homomorphisms $\psi_i : M_i \rightarrow N$ that compatible with φ_{ij} 's, then there exists a unique homomorphism $\psi_N : \varinjlim_{i \in I} M_i \rightarrow N$ compatible with all homomorphisms.

We just outline the construction of direct limits. If $\{M_i\}_{i \in I}$ is a direct system, then $\varinjlim_{i \in I} M_i$ is a quotient of $\coprod_{i \in I} M_i$. For rings, the coproduct notation stands for disjoint union, and quotient out the following equivalent relation: For $m_i \in M_i, m_j \in M_j$, $m_i \sim m_j$ if there exists $k \in I$ such that $i < k, j < k$ and $\varphi_{ik}(m_i) = \varphi_{jk}(m_j)$; For R -modules, the coproduct notation stands for direct sum, and quotient out the submodule Q generated by elements with form $\iota_i(m_i) - \iota_j(\varphi_{ij}(m_i))$, where ι_i is the natural embedding.

Proposition 2.1.9. If $(I, <)$ is a direct set, $\{M'_i\}_{i \in I}, \{M_i\}_{i \in I}, \{M''_i\}_{i \in I}$ are direct systems, and for all $i \in I$ the sequence $M'_i \xrightarrow{f_i} M_i \xrightarrow{g_i} M''_i$ is exact, then the sequence

$$\varinjlim_{i \in I} M'_i \xrightarrow{f} \varinjlim_{i \in I} M_i \xrightarrow{g} \varinjlim_{i \in I} M''_i$$

is exact.

Proof. We prove for modules. Denote $H_i = \ker(g_i)/\text{im}(f_i)$, $H = \ker(g)/\text{im}(f)$. Then for each I , there is a canonical homomorphism $H_i \rightarrow H$. This gives rise a homomorphism $\varinjlim_{i \in I} H_i \rightarrow H$, we need to prove this homomorphism is surjective. Let $h \in H$, $h = m + \text{im}(f)$, and let $m = \varphi_i(m_i)$ for some $m_i \in M_i$. Then there exists $j \geq i$ such that $\varphi''_{ij}(g_i(m_i)) = 0$, set $m_j = \varphi_{ij}(m_i)$, then $\varphi_j(m_j) = m$ and $m_j \in \ker(g_j)$. Hence the map is surjective. But $\varinjlim_{i \in I} H_i = 0$, we obtain $H = 0$. \square

Remark 2.1.10. In fact, further argument shows direct limit preserves homology. We refer to [Sta23, Lemma 10.8.8].

2.2 Sheaves

Instead of using *espace étale* as Morrow and Kodaira do, we shall adopt the standard definition of a sheaf.

Definition 2.2.1. A *presheaf* \mathcal{F} on a topological space X associates every open set to a group $\mathcal{F}(U)$, called the sections of \mathcal{F} on U , and for each two open sets $U \subset V$ there is a *restriction map* $\text{res}_U^V : \mathcal{F}(V) \rightarrow \mathcal{F}(U)$ such that:

- (1) For open sets $U \subset V \subset W$, we have $\text{res}_U^V \circ \text{res}_V^W = \text{res}_U^W$;
- (2) For open set U , we have $\text{res}_U^U = \text{id}_U$.

A *sheaf* \mathcal{F} on X is a presheaf satisfying the following two sheaf axioms:

- (1) If for open set U with open cover $U = \bigcup_{i \in I} U_i$ and $f \in \mathcal{F}(U)$, $\text{res}_{U_i}^U f = 0$, $\forall i \in I$, then $f = 0$;
- (2) If for open set U with open cover $U = \bigcup_{i \in I} U_i$, and $f_i \in \mathcal{F}(U_i)$ with $\text{res}_{U_i \cap U_j}^{U_i} f_i = \text{res}_{U_i \cap U_j}^{U_j} f_j$, then there exists a unique $f \in \mathcal{F}(U)$ such that $\text{res}_{U_i}^U f = f_i$.

If the sections are rings, then the sheaf is called a *sheaf of rings*, for R -modules *mutatis mutandis*.

Example 2.2.2. We give some examples of sheaves.

1. Let M be a complex manifold. A *holomorphic function* on M is a complex valued function f such that for every atlas (U, φ) the function $f \circ \varphi^{-1}$ is holomorphic. Define \mathcal{O} as for open set U on M , $\mathcal{O}(U)$ is the \mathbb{C} -algebra of all holomorphic functions defined on U . (Notice that any open set on a complex manifold is a complex manifold.)
2. Let M be a differentiable manifold. Define \mathcal{O}^* satisfy whose sections are nonzero holomorphic functions.
3. Let M be a complex manifold. Define \mathcal{D} satisfy whose sections are differentiable functions.
4. Let M be a complex manifold. Define $\mathbb{Z}, \mathbb{R}, \mathbb{C}$ to be the sheaf with sections are locally constant \mathbb{Z} -, \mathbb{R} -, \mathbb{C} -valued functions.

Definition 2.2.3. Let \mathcal{F} be a (pre)sheaf on X , $x \in X$. On the set of neighborhoods of x , we give a pre-order as follows: $U < V$ if $V \subset U$, clearly this preorder makes the neighborhoods into a direct system. The direct limit $\varinjlim_{x \in U} \mathcal{F}_U$ is called the *stalk* of \mathcal{F} at x , denoted by \mathcal{F}_x . The elements in \mathcal{F}_x are called *germs*.

Proposition 2.2.4. Let \mathcal{F} be a sheaf on X , then for any $U \subset X$ open, the natural map

$$\mathcal{F}(U) \rightarrow \prod_{x \in U} \mathcal{F}_x$$

is injective.

Proof. We prove for sheaf of rings. Let $s \in \mathcal{F}(U)$ be in the kernel of the map, i.e. $(s_x)_{x \in U} = 0$. Then by the construction of direct limit, for every $x \in U$, there is a neighborhood U_x of x such that $\text{res}_{U_x}^U(s) = 0$. But $\bigcup_{x \in U} U_x$ is an open cover of U , by sheaf axiom, we must have $s = 0$. This proves the injectivity. \square

Definition 2.2.5. A *morphism* $f : \mathcal{F} \rightarrow \mathcal{G}$ between (pre)sheaves on X is a collection of homomorphisms $f(U)$ for open sets U of X , satisfying for any $U \subset V$ the following diagram commutes

$$\begin{array}{ccc} \mathcal{F}(V) & \xrightarrow{f(V)} & \mathcal{G}(V) \\ \downarrow \text{res}_U^V & & \downarrow \text{res}_U^V \\ \mathcal{F}(U) & \xrightarrow{f(U)} & \mathcal{G}(U) \end{array}$$

Definition 2.2.6. Let $f : \mathcal{F} \rightarrow \mathcal{G}$ be a morphism of presheaves, then f is injective if $f(U)$ is injective for each open set U .

Remark 2.2.7. As we shall see later, this is different from the injectivity of sheaf morphisms.

Definition 2.2.8. The *kernel* of a sheaf morphism $f : \mathcal{F} \rightarrow \mathcal{G}$ is defined as $\ker(f)(U) = \ker(f(U))$. To avoid confusion, this means the module (or ring) of sections of kernel sheaf on U is the kernel of $f(U)$. It's easy to verify this is a sheaf.

Example 2.2.9. We cannot define the image of a morphism in the same way. For example, let's take the morphism

$$\exp : \mathcal{O} \rightarrow \mathcal{O}^*$$

Denote $\text{preim}(\exp)(U) = \text{im}(\exp(U))$, then for every simply connected open set $W \subset \mathbb{C} \setminus \{0\}$, $\text{id}_W \in \text{preim}(\exp)(U)$, but $\text{id}_{\mathbb{C} \setminus \{0\}} \notin \text{preim}(\exp)(\mathbb{C} \setminus \{0\})$, this shows $\text{preim}(\exp)$ does not satisfy the sheaf axiom. To fix this, we need the following construction.

Definition 2.2.10. Let \mathcal{F} be a presheaf, then there exists a sheaf \mathcal{F}^+ with presheaf morphism $\varphi : \mathcal{F} \rightarrow \mathcal{F}^+$, satisfying for any sheaf \mathcal{G} and morphism $f : \mathcal{F} \rightarrow \mathcal{G}$, f factors through φ , i.e. there exists a unique $f^+ : \mathcal{F}^+ \rightarrow \mathcal{G}$ making the following diagram commute

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{f} & \mathcal{G} \\ & \searrow \varphi \quad \nearrow f^+ & \\ & \mathcal{F}^+ & \end{array}$$

The sheaf \mathcal{F}^+ is called the *sheafification* of \mathcal{F} .

By the definition, sheafification is unique up to an isomorphism (i.e. an invertible morphism).

Construction 2.2.11. We now construct sheafification. Let \mathcal{F} be a presheaf on X . Define a presheaf \mathcal{F}^+ as follows: For open set $U \subset X$, $\mathcal{F}^+(U)$ consists of maps $s : U \rightarrow \coprod_{x \in U} \mathcal{F}_x$, satisfying

- (1) $s(x) \in \mathcal{F}_x$;
- (2) For any $x \in U$, there exists a neighborhood V of x and $t \in \mathcal{F}(V)$, such that $s(y) = t_y$ for any $y \in V$, where t_y is the image of natural map $\mathcal{F}(U) \rightarrow \mathcal{F}_y$.

Define

$$\begin{aligned} \varphi(U) : \mathcal{F}(U) &\rightarrow \mathcal{F}^+(U) \\ s &\mapsto (s_x)_{x \in U} \end{aligned}$$

Then it is easy to verify \mathcal{F}^+ is a sheaf, and \mathcal{F}^+ satisfy the universal property.

For detailed construction and proof, we refer to [Sta23, Section 6.17].

Lemma 2.2.12. The presheaf morphism $\varphi : \mathcal{F} \rightarrow \mathcal{F}^+$ is injective.

Proof. This follows from Proposition 2.2.4. □

Definition 2.2.13. Let $f : \mathcal{F} \rightarrow \mathcal{G}$ be a sheaf morphism, and the sheaf $\text{preim}(f)$ is defined as

$$\text{preim}(f)(U) = \text{im}(f(U))$$

Then the *image* of f is defined as the sheafification of $\text{preim}(f)$, denoted by $\text{im}(f)$.

Definition 2.2.14. Let $\mathcal{E} \xrightarrow{f} \mathcal{F} \xrightarrow{g} \mathcal{G}$ be sequence of sheaves and morphisms. The sequence is called *exact*, if $\text{im}(f) = \ker(g)$.

A sheaf morphism $f : \mathcal{F} \rightarrow \mathcal{G}$ is called injective (resp. surjective) if the sequence $0 \rightarrow \mathcal{F} \xrightarrow{f} \mathcal{G}$ (resp. $\mathcal{F} \xrightarrow{f} \mathcal{G} \rightarrow 0$) is exact.

Example 2.2.15. The most fundamental exact sequence of sheaves is *exponential sheaf sequence*:

$$0 \rightarrow \mathbb{Z} \rightarrow \mathcal{O} \xrightarrow{\exp} \mathcal{O}^* \rightarrow 0$$

Where the first morphism is the obvious inclusion, and $\exp(f) = e^{2\pi\sqrt{-1}f}$. We check the surjectivity of last morphism. Let $f \in \mathcal{O}^*(U)$, then U can be covered by simply connected open sets $\{U_i\}_{i \in I}$ (for instance, open disks). On U_i we have $\mathcal{O}^*(U_i) = \text{im}(\exp)(U_i)$, then there is $g_i \in \text{im}(\exp)(U_i)$ such that $g_i = \text{res}_{U_i}^U(f)$. By sheaf axiom, there is a unique $g \in \text{im}(\exp)(U)$ such that $\text{res}_{U_i}^U(g) = g_i$ for $i \in I$. Mapping $f \mapsto g$, we get a sheaf morphism $\mathcal{O}^* \rightarrow \text{im}(\exp)$, then by the uniqueness of sheafification, $\mathcal{O}^* = \text{im}(\exp)$.

We have a simpler criterion for exactness.

Proposition 2.2.16. *The sequence $\mathcal{E} \xrightarrow{f} \mathcal{F} \xrightarrow{g} \mathcal{G}$ of sheaves on X is exact if and only if $\mathcal{E}_x \xrightarrow{f_x} \mathcal{F}_x \xrightarrow{g_x} \mathcal{G}_x$ is exact for each $x \in X$.*

Proof. One side is easy. We suppose $\mathcal{E}_x \xrightarrow{f_x} \mathcal{F}_x \xrightarrow{g_x} \mathcal{G}_x$ is exact for each $x \in X$, and prove $\mathcal{E} \xrightarrow{f} \mathcal{F} \xrightarrow{g} \mathcal{G}$ is exact. Let $U \subset X$ be open. Assume $s \in \text{im}(f)(U)$, substitute \mathcal{G} by its sheafification, we have

$$g(s) = (g_x(s_x))_{x \in U}$$

But $s_x \in \text{im}(f)_x = \ker(g)_x$, we have $g(s) = 0$, i.e. $\text{im}(f)(U) \subset \ker(g)(U)$. Conversely, if $g(s) = 0$, for each $x \in U$ we have $g_x(s_x) = 0$. Then $s_x \in \text{im}(f)_x = \text{preim}(f)_x$, we show that $s \in \text{im}(f)(U)$. For each $x \in U$, there is a neighborhood U_x of x such that there exists a $t \in \mathcal{F}(U_x)$ satisfying $s_y = t_y$ for every $y \in U_x$. We must show that $t \in \text{preim}(f)(U_x)$. Since $s_x \in \text{preim}(f)_x$, there is a neighborhood V of x such that there exists an $r \in \text{im}(f)(V)$ satisfying $r_x = s_x$. Then $r_x = t_x$, by shrinking U_x we can assume r and t coincide on U_x . Hence $t \in \text{preim}(f)(U_x)$, this shows $s \in \text{im}(f)(U)$. \square

2.3 Cohomology Groups

In this section we define the Čech cohomology groups of a paracompact Hausdorff space. We shall write $f|_U$ instead of $\text{res}_U^V(f)$ for short.

Fix a sheaf \mathcal{F} of R -modules. Let $\underline{U} = \{U_i : i \in I\}$ be a locally finite open cover, define

$$C^q(\underline{U}, \mathcal{F}) = \prod_{(i_0, \dots, i_q) \in I^{q+1}} \mathcal{F}(U_{i_0 \dots i_q})$$

Where $U_{i_0 \dots i_q} = U_{i_0} \cap U_{i_1} \cap \dots \cap U_{i_q}$, and $c \in C^q$ is denoted by $c = (c_{(i_0, \dots, i_q)})$. The set $C^q(\underline{U}, \mathcal{F})$ has a natural R -module structure inherited from the sections of sheaf \mathcal{F} .

Moreover, we define a coboundary operator

$$(\delta^q(c))_{(i_0, \dots, i_{q+1})} = \sum_{j=0}^{q+1} (-1)^j c_{(i_0, \dots, \hat{i}_j, \dots, i_{q+1})} \Big|_{U_{i_0 \dots i_{q+1}}}$$

It's clear that $\delta^{q+1} \circ \delta^q = 0$, this makes $C(\underline{U}, \mathcal{F}) := \bigoplus_{q \geq 0} C^q(\underline{U}, \mathcal{F})$ into a cochain complex, called Čech complex. Thus we define

Definition 2.3.1. The Čech cohomology group related to \underline{U} is the cohomology R -module of Čech complex $C(\underline{U}, \mathcal{F})$, denoted by $H^\bullet(\underline{U}, \mathcal{F})$.

The cohomology group is an R -module, but we still use the traditional name group.

We now construct the Čech cohomology group that does not depend on open cover. We define a preorder on the collection of all locally finite open cover as follows: Let $\underline{U} = \{U_i\}_{i \in I}$, $\underline{V} = \{V_j\}_{j \in J}$ be two locally finite open cover, define $\underline{U} < \underline{V}$ if there exists a map $\rho : J \rightarrow I$ such that $V_j \subset U_{\rho(j)}$ for each $j \in J$. We call ρ a refinement map of \underline{U} . Then we can define a cochain map (with abuse of notation) $\rho : C(\underline{U}, \mathcal{F}) \rightarrow C(\underline{V}, \mathcal{F})$ by

$$(\rho(c))_{(i_0, \dots, i_q)} = c_{(\rho(i_0), \dots, \rho(i_q))} \Big|_{V_{i_0 \dots i_q}}$$

The commutative property $\rho \circ \delta = \delta \circ \rho$ is immediate. Then a refinement map induces a homomorphism between Čech cohomology groups $H^\bullet(\underline{U}, \mathcal{F}) \rightarrow H^\bullet(\underline{V}, \mathcal{F})$. If $\rho' : J \rightarrow I$ is another refinement map, then ρ, ρ' are chain homotopic: To see this, we define a map $h^q : C^q(\underline{U}, \mathcal{F}) \rightarrow C^{q-1}(\underline{V}, \mathcal{F})$ as

$$h^q(c)_{(i_0, \dots, i_q)} = \sum_{j=0}^{q-1} (-1)^j c_{(\rho(i_0), \dots, \rho(j), \rho'(j+1), \dots, \rho'(q-1))} \Big|_{V_{i_0 \dots i_{q-1}}}$$

It's straightforward to check $\delta^{q-1} \circ h^q + h^{q+1} \circ \delta^q = (\rho')^q - \rho^q$, then the homomorphism $\rho : H^\bullet(\underline{U}, \mathcal{F}) \rightarrow H^\bullet(\underline{V}, \mathcal{F})$ only depends on the open cover.

On a paracompact Hausdorff space, two locally finite open cover has a common refinement. This makes $H^\bullet(\underline{U}, \mathcal{F})$ into a direct set. Thus we define

Definition 2.3.2. The Čech cohomology group of a paracompact Hausdorff space X is

$$H^\bullet(X, \mathcal{F}) := \varinjlim_{\underline{U}} H^\bullet(\underline{U}, \mathcal{F})$$

We also denote Čech cohomology group by $\check{H}^\bullet(X, \mathcal{F})$ if there is any confusion.

We describe some low order cohomology groups.

Proposition 2.3.3. $H^0(X, \mathcal{F}) \cong \mathcal{F}(X)$.

Proof. Let \underline{U} be a locally finite open cover. Then $H^0(\underline{U}, \mathcal{F}) = \ker(\delta^0)$. Let $(\sigma_i) \in \ker(\delta^0)$, then $\sigma_i|_{U_{ij}} - \sigma_j|_{U_{ij}} = 0$. By sheaf axiom, there exists a unique $\sigma \in \mathcal{F}(X)$ such that $\sigma|_{U_i} = \sigma_i$. If given a $\tau \in \mathcal{F}(X)$, then $(\tau|_{U_i}) \in \ker(\delta^0)$. Hence $H^0(\underline{U}, \mathcal{F}) \cong \mathcal{F}(X)$, and therefore $H^0(X, \mathcal{F}) \cong \mathcal{F}(X)$. \square

Proposition 2.3.4. The natural map $H^1(\underline{U}, \mathcal{F}) \rightarrow H^1(X, \mathcal{F})$ is injective.

Proof. Suppose $\sigma \in H^1(\underline{U}, \mathcal{F})$. Then $\sigma = (\sigma_{(i_0, i_1)}) + \text{im}(\delta^0)$, $\sigma_{(i_0, i_1)} \in \mathcal{F}(U_{i_0 i_1})$ and $\delta(\sigma) = (\sigma_{(i_1, i_2)} - \sigma_{(i_0, i_2)} + \sigma_{(i_0, i_1)}) = 0$. By taking $i_0 = i_1 = i$, we obtain $\sigma_{(i, i)} = 0$. Assume σ is in the kernel of natural map, then $\rho(\sigma) \in \text{im}(\delta^0)$ for some open cover \underline{V} such that $\underline{U} < \underline{V}$ with $\rho : J \rightarrow I$. We can assume that $U_i = \bigcup_{\rho(j)=i} V_j$. For if not, let $\underline{W} := \{W_{ij} = U_i \cap V_j\}$. Then \underline{W} is a refinement of \underline{V} with refinement map $\omega : I \times J \rightarrow J$ and $\omega \circ \rho(\sigma) \in \text{im}(\delta^0)$. Since ω between cohomology groups is independent of the choice of refinement map, we may choose $\rho : I \times J \rightarrow I$ be the projection map and replace \underline{V} with \underline{W} . Now denote $\rho(\sigma) = \{\tau_{(j_0, j_1)}\}$ where $\tau_{(j_0, j_1)} = \sigma_{(\rho(j_0), \rho(j_1))}|_{V_{j_0 j_1}}$. Then there exists $(\tau_j) \in C^0(\underline{V}, \mathcal{F})$ satisfying $(\tau_{(j_0, j_1)}) = \delta(\tau_j) = (\tau_{j_1} - \tau_{j_0})$. If $\rho(j_0) = \rho(j_1)$, then $(\tau_{j_1} - \tau_{j_0})|_{V_{j_0 j_1}} = \tau_{(j_0, j_1)} = \sigma_{(\rho(j_0), \rho(j_1))} = 0$. So we can glue $\{\tau_j\}_{\rho(j)=i}$ to get a section $\sigma_i \in \mathcal{F}(U_i)$ since $U_i = \bigcup_{\rho(j)=i} V_j$. Since $U_{i_0 i_1} = \bigcup_{\rho(j_0)=i_0, \rho(j_1)=i_1} V_{j_0 j_1}$ and

$$(\sigma_{\rho(j_1)} - \sigma_{\rho(j_0)})|_{V_{j_0 j_1}} = (\tau_{j_1} - \tau_{j_0})|_{V_{j_0 j_1}} = \tau_{(j_0, j_1)} = \sigma_{(\rho(j_0), \rho(j_1))}|_{V_{j_0 j_1}}$$

we obtain $\delta(\sigma_i) = ((\sigma_{i_1} - \sigma_{i_0})|_{U_{i_0 i_1}}) = (\sigma_{(i_0, i_1)})$, that is, $\sigma + \text{im}(\delta^0) = 0$. Hence the kernel is zero, the natural map is injective. \square

Next we discuss the exact sequence of cohomology groups.

Theorem 2.3.5. Assume the sequence of sheaves on paracompact Hausdorff space X

$$0 \rightarrow \mathcal{E} \xrightarrow{f} \mathcal{F} \xrightarrow{g} \mathcal{G} \rightarrow 0$$

is exact, then the sequence of cohomology groups

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^0(X, \mathcal{E}) & \xrightarrow{f_0^*} & H^0(X, \mathcal{F}) & \xrightarrow{g_0^*} & H^0(X, \mathcal{G}) \\ & & & & \searrow \delta^* & & \\ & & H^1(X, \mathcal{E}) & \xrightarrow{f_1^*} & H^1(X, \mathcal{F}) & \xrightarrow{g_1^*} & H^1(X, \mathcal{G}) \\ & & & & \searrow \delta^* & & \\ & & \dots & \longleftarrow & & & \end{array}$$

is exact.

We decompose the proof into several lemmas.

Lemma 2.3.6. *Let \underline{U} be a locally finite open cover, there is a well-defined left exact functor from sheaves on X to Čech complexes $\mathcal{F} \mapsto C(\underline{U}, \mathcal{F})$.*

Proof. Only need to define the map between sets of morphisms. Let $f : \mathcal{E} \rightarrow \mathcal{F}$ be a sheaf morphism, define $f^\sharp : C(\underline{U}, \mathcal{E}) \rightarrow C(\underline{U}, \mathcal{F})$ by sending $\sigma_{(i_0, \dots, i_n)}$ to $f(\sigma_{(i_0, \dots, i_n)})$. Clearly this commutes with coboundary operator, and it is easy to see the correspondence is functorial and left-exact. \square

Let the image of g^\sharp be $C_0(\underline{U}, \mathcal{G})$, and the cohomology groups of $C_0(\underline{U}, \mathcal{G})$ be $H_0^\bullet(\underline{U}, \mathcal{G})$. Denote

$$H_0^q(X, \mathcal{G}) := \varinjlim_{\underline{U}} H_0^q(\underline{U}, \mathcal{G})$$

for $q \in \mathbb{N}$.

Lemma 2.3.7. *Let $\sigma \in C^q(\underline{U}, \mathcal{G})$, then there exists a locally finite refinement \underline{W} of \underline{U} with refinement map ρ , such that $\rho(\sigma) \in C_0^q(\underline{W}, \mathcal{G})$.*

Lemma 2.3.8. *We have $H^q(X, \mathcal{G}) \cong H_0^q(X, \mathcal{G})$ for $q \in \mathbb{N}$.*

Proof of Theorem 2.3.5. By Zig-zag Lemma (Theorem 2.1.4), we have a long exact sequence of

$$\cdots \rightarrow H^n(\underline{U}, \mathcal{E}) \rightarrow H^n(\underline{U}, \mathcal{F}) \rightarrow H_0^n(\underline{U}, \mathcal{G}) \rightarrow \cdots$$

By Proposition 2.1.9, direct limit preserves exactness, so taking direct limit, we obtain a long exact sequence

$$\cdots \rightarrow H^n(X, \mathcal{E}) \rightarrow H^n(X, \mathcal{F}) \rightarrow H_0^n(X, \mathcal{G}) \rightarrow \cdots$$

By 2.3.8, $H_0^n(X, \mathcal{G}) \cong H^n(X, \mathcal{G})$, hence we obtain the required long exact sequence. \square

This proof is due to [Ser55].

Theorem 2.3.9. *Let*

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{E} & \longrightarrow & \mathcal{F} & \longrightarrow & \mathcal{G} \longrightarrow 0 \\ & & \downarrow f & & \downarrow g & & \downarrow h \\ 0 & \longrightarrow & \mathcal{E}' & \longrightarrow & \mathcal{F}' & \longrightarrow & \mathcal{G}' \longrightarrow 0 \end{array}$$

be commutative diagram of sheaves with exact rows, then the long exact sequence of cohomology groups

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^0(X, \mathcal{E}) & \longrightarrow & H^0(X, \mathcal{F}) & \longrightarrow & H^0(X, \mathcal{G}) \xrightarrow{\delta^*} \cdots \\ & & \downarrow f^0 & & \downarrow g^0 & & \downarrow h^0 \\ 0 & \longrightarrow & H^0(X, \mathcal{E}') & \longrightarrow & H^0(X, \mathcal{F}') & \longrightarrow & H^0(X, \mathcal{G}') \xrightarrow{(\delta')^*} \cdots \end{array}$$

is commute.

Proof. Use Theorem 2.1.7. \square

Finally we give a brief discussion on resolutions.

Definition 2.3.10. By a *resolution* of sheaf \mathcal{F} we mean an exact sequence of sheaves

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{F}^0 \rightarrow \mathcal{F}^1 \rightarrow \cdots$$

If each \mathcal{F}^i has property P , then we call the resolution a P resolution.

Definition 2.3.11. A sheaf \mathcal{F} is called *acyclic* if $H^q(\mathcal{F}) = 0$ for $q > 0$.

Theorem 2.3.12. Let

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{A}^0 \xrightarrow{h} \mathcal{A}^1 \xrightarrow{h} \mathcal{A}^2 \xrightarrow{h} \dots$$

be an acyclic resolution of sheaf \mathcal{F} , then we have $hH^0(X, \mathcal{A}^{q-1}) \subset H^0(X, h\mathcal{A}^{q-1})$, and

$$H^q(X, \mathcal{F}) \cong \frac{H^0(X, h\mathcal{A}^{q-1})}{hH^0(X, \mathcal{A}^{q-1})}$$

for $q \geq 1$.

Proof. Since $h^{q-1}H^0(X, \mathcal{A}^{q-1}) = \text{preim}(h^{q-1})(X)$, which is naturally embedded into $\text{im}(h^{q-1})(X) = H^0(X, h^{q-1}\mathcal{A}^{q-1})$ by Lemma 2.2.12, the inclusion holds. For the rest of the theorem, we use Theorem 2.3.5 repeatedly. Decompose the resolution into short exact sequence, we have for $p > 0$

$$0 \rightarrow h\mathcal{A}^{p-1} \rightarrow \mathcal{A}^p \rightarrow h\mathcal{A}^p \rightarrow 0$$

is exact. Then we have the long exact sequence as in Theorem 2.1.5. Since \mathcal{A}^p is acyclic, $H^q(\mathcal{A}^p) = 0$ for any $q > 0$, we have

$$H^q(X, h\mathcal{A}^p) \cong H^{q+1}(X, h\mathcal{A}^{p-1}) \quad \forall p, q > 0 \quad (2.3.1)$$

For $q = 0$, we have exact sequence

$$0 \rightarrow H^0(X, h\mathcal{A}^{p-1}) \rightarrow H^0(X, \mathcal{A}^p) \rightarrow H^0(X, h\mathcal{A}^p) \rightarrow H^1(X, h\mathcal{A}^{p-1}) \rightarrow 0$$

Hence we have

$$H^1(X, h\mathcal{A}^{p-1}) \cong \frac{H^0(X, h\mathcal{A}^p)}{hH^0(X, \mathcal{A}^p)} \quad (2.3.2)$$

For $p = 0$, we have the short exact sequence

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{A}^0 \rightarrow h\mathcal{A}^0 \rightarrow 0$$

Then we have the long exact sequence as in Theorem 2.1.5. Since \mathcal{A}^0 is acyclic, $H^q(\mathcal{A}^0) = 0$ for any $q > 0$, then we have an exact sequence

$$0 \rightarrow H^0(X, \mathcal{F}) \rightarrow H^0(X, \mathcal{A}^0) \rightarrow H^0(X, h\mathcal{A}^0) \rightarrow H^1(X, \mathcal{F}) \rightarrow 0$$

This implies

$$H^1(X, \mathcal{F}) \cong \frac{H^0(X, h\mathcal{A}^0)}{hH^0(X, \mathcal{A}^0)} \quad (2.3.3)$$

And for $q > 0$ we have

$$0 \rightarrow H^q(X, h\mathcal{A}^0) \rightarrow H^{q+1}(X, \mathcal{F}) \rightarrow 0$$

That is, $H^{q+1}(X, \mathcal{F}) \cong H^q(X, h\mathcal{A}^0)$. Using (2.3.1) and (2.3.2), we have

$$\begin{aligned} H^q(X, \mathcal{F}) &\cong H^{q-1}(X, h\mathcal{A}^0) \\ &\cong \dots \\ &\cong H^1(X, h\mathcal{A}^{q-2}) \\ &\cong \frac{H^0(X, h\mathcal{A}^{q-1})}{hH^0(X, \mathcal{A}^{q-1})} \end{aligned} \quad (2.3.4)$$

Combining (2.3.3) and (2.3.4) we reach the conclusion. \square

Remark 2.3.13. Theorem 2.3.12 gives us a hint that we can define sheaf cohomology by resolutions. In fact, this is the sheaf cohomology theory via *derived functors*, which is introduced by GROTHENDIECK.

We define a commonly used acyclic sheaf.

Definition 2.3.14. \mathcal{F} is a *fine sheaf*, if for any locally finite open cover $\{U_i\}_{i \in I}$ of X , there exists a set $\{h_i\}_{i \in I}$ of morphisms $h_i : \mathcal{F} \rightarrow \mathcal{F}$ such that

- (1) $h_i(\mathcal{F}_x) = 0$ for $x \notin \overline{W}_i$, where $\overline{W}_i \subset U_i$ is a closed sub set of U_i ;
- (2) $\sum_i h_i = \text{id}$.

Example 2.3.15. Let \mathcal{D} be the sheaf of differentiable functions on a differentiable manifold M . Given a locally finite open cover $\{U_i\}$, we have a *partition of unity* subordinate to $\{U_i\}$, that is, a set $\{\rho_i\}$ of differentiable functions on M such that

- (1) $\rho_i(x) = 0$ for $x \notin \overline{W}_i$;
- (2) $\sum \rho_i = 1$.

Then for any differentiable function f on M , define $h_i(f) = \rho_i f$, then h_i induces a morphism $\mathcal{D} \rightarrow \mathcal{D}$. These h_i 's show that \mathcal{D} is fine.

Theorem 2.3.16. If \mathcal{F} is fine, then \mathcal{F} is acyclic, i.e. $H^n(X, \mathcal{F}) = 0$ for $n > 0$.

Proof. Given a locally finite open cover $\{U_\beta\}$, we show that $H^n(\{U_\beta\}, \mathcal{F}) = 0$ for $n > 0$. Let $(\sigma_{(i_0, \dots, i_n)}) \in \ker \delta^n$, we need to show that $(\sigma_{(i_0, \dots, i_n)}) \in \text{im } \delta^{n-1}$. Let

$$\tau_{(i_0, \dots, i_{n-1})} = \sum_{\beta} h_{\beta} \sigma_{(\beta, i_0, \dots, i_{n-1})}$$

Where $h_{\beta} \sigma_{(\beta, i_0, \dots, i_{n-1})}$ can be extended to $U_{i_0 \dots i_{n-1}}$ since h_{β} is supported on U_{β} . We compute (omit restriction symbol)

$$\begin{aligned} (\delta(\tau))_{(i_0, \dots, i_n)} &= \sum_{j=0}^n (-1)^j \tau_{(i_0, \dots, \widehat{i}_j, \dots, i_n)} \\ &= \sum_{j=0}^n (-1)^j \sum_{\beta} h_{\beta} \sigma_{(\beta, i_0, \dots, \widehat{i}_j, \dots, i_n)} \\ &= \sum_{\beta} h_{\beta} \sum_{j=0}^n (-1)^j \sigma_{(\beta, i_0, \dots, \widehat{i}_j, \dots, i_n)} \\ &= \sum_{\beta} h_{\beta} (\sigma_{(i_0, \dots, i_n)} - (\delta(\sigma))_{(\beta, i_0, \dots, i_n)}) \\ &= \sigma_{(i_0, \dots, i_n)} \end{aligned}$$

Hence $(\sigma_{(i_0, \dots, i_n)}) \in \text{im } \delta^{n-1}$, and therefore $H^n(\{U_{\beta}\}, \mathcal{F}) = 0$. Thus $H^n(X, \mathcal{F}) = 0$ for $n > 0$. \square

In next several sections, we shall give a fine resolution of \mathbb{C} and \mathcal{O} respectively.

2.4 Vector Bundles

We define complex analytic and differentiable vector bundles. Let M be a complex or differentiable manifold.

Definition 2.4.1. A *complex analytic* (resp. *differentiable*) *vector bundle* over M is a complex (resp. differentiable) manifold F together with a holomorphic (resp. differentiable) map $\pi : F \rightarrow M$ onto M such that, for a sufficiently fine locally finite open cover $\underline{U} = \{U_j\}$ of M :

- (1) There is a complex analytic (resp. differentiable) equivalence f_j from $\pi^{-1}(U_j)$ to $U_j \times \mathbb{C}^n$ (resp. $U_j \times \mathbb{R}^n$) making the following diagram commute

$$\begin{array}{ccc} \pi^{-1}(U_j) & \xrightarrow{f_j} & U_j \times \mathbb{C}^n \\ \downarrow \pi & & \downarrow \pi_j \\ U_j & \xrightarrow{\text{id}} & U_j \end{array}$$

where $\pi_j(z_j, \zeta) = z_j$. For differentiable vector bundle we have similar diagram.

- (2) Each transition function $f_{jk} = f_j \circ f_k^{-1}$ is holomorphic (resp. differentiable), and its restriction on each $\pi^{-1}(z)$ for $z \in U_j \cap U_k$ is a linear transformation, that is, a holomorphic matrix-valued function.

We call each $\pi^{-1}(z)$ a *fiber*, and the number n the *rank* of the bundle. The cover \underline{U} is called a *trivializing cover*.

Remark 2.4.2. A vector bundle is completely determined by the transition functions. That is, given a locally finite cover $\{U_j\}$ of M and maps $f_{ij} : U_i \cap U_j \rightarrow \text{GL}_n(\mathbb{C})$ (resp. $f_{ij} : U_i \cap U_j \rightarrow \text{GL}_n(\mathbb{R})$) satisfying the following equalities

$$\begin{aligned} f_{ij} \cdot f_{ji} &= I \\ f_{ij} \cdot f_{jk} \cdot f_{ki} &= I \end{aligned} \tag{2.4.1}$$

for indices i, j, k , then there exists a unique complex analytic (resp. differentiable) vector bundle on M . (cf. [GH94, p. 66])

Definition 2.4.3. We say that F and F' are holomorphically (resp. differentiably) equivalent if there is a biholomorphic (resp. bidifferentiable) map $\varphi : F' \rightarrow F$ such that

- (1) The diagram

$$\begin{array}{ccc} F & \xrightarrow{\varphi} & F' \\ \pi \searrow & & \swarrow \pi' \\ & M & \end{array}$$

is commute.

- (2) On each fiber φ is a linear transformation, that is, if $\{U_j\}$ is a trivializing cover, then there is a holomorphic (resp. differentiable) matrix-valued function h_j on each U_j such that $f'_j \circ \varphi \circ f_j^{-1} = h_j$.

We list here some methods to construct new bundles from old bundles. Let F, G be complex analytic or differentiable vector bundles of rank n, m respectively, and $\{U_j\}$ a trivializing cover with $F = \{f_{ij}\}, G = \{g_{ij}\}$. We define the following new objects:

- (1) *Whitney Sum* $F \oplus G$: This is a bundle of rank $n + m$ defined by transition functions

$$h_{ij} = \begin{bmatrix} f_{ij} & 0 \\ 0 & g_{ij} \end{bmatrix}$$

- (2) *Tensor Product* $F \otimes G$: This is a bundle of rank nm defined by transition functions $\{f_{ij} \otimes g_{ij}\}$. Recall the *Kronecker product* of two matrices $A = [a_{ij}]_{1 \leq i, j \leq n}$ and B is a block matrix

$$A \otimes B = \begin{bmatrix} a_{11}B & a_{12}B & \cdots & a_{1n}B \\ a_{21}B & a_{22}B & \cdots & a_{2n}B \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1}B & a_{n2}B & \cdots & a_{nn}B \end{bmatrix}$$

- (3) *Dual bundle* F^* of F : This is a bundle of rank n defined by transition functions $f_{ij}^* = (f_{ij}^{-1})^\top = f_{ji}^\top$, the transpose inverse of f_{ij} .

- (4) *Complex Conjugate* \bar{F} of F : This is a bundle of rank n defined by transition functions $\overline{f_{ij}}$.

We now define subbundles and quotient bundles. Suppose by a suitable choice of trivializing cover and coordinates, the transition functions $\{f_{ij}\}$ can be written as follows:

$$f_{ij} = \begin{bmatrix} A_{ij} & B_{ij} \\ 0 & C_{ij} \end{bmatrix}$$

where A_{ij} are $m \times m$ ($m < n$) matrices. Then by the multiplication of block matrices, $\{A_{ij}\}$ satisfy the identity (2.4.1), hence it determines a bundle F' . We call F' a *subbundle* of F . Similarly $\{C_{ij}\}$ satisfy the identity (2.4.1), we call it determines the *quotient bundle* $F'' = F/F'$.

Next we discuss line bundles and Chern class.

Definition 2.4.4. A *line bundle* on complex manifold M is a complex analytic bundle L of rank 1.

Proposition 2.4.5. The tensor product is well-defined on the equivalent classes of line bundles on M , and makes it into a group.

Proof. Notice that dual gives the inverse of (the equivalent class of) a bundle, and everything is clear. \square

Definition 2.4.6. The group in Proposition 2.4.5 is called the *Picard group* of M , denoted by $\text{Pic}(M)$.

Proposition 2.4.7. On a complex manifold M we have $\text{Pic}(M) \cong H^1(M, \mathcal{O}^*)$.

Proof. Let $\{l_{ij}\}_{\underline{U}}$ be a line bundle. Consider l_{ij} as an element of $\mathcal{O}^*(U_i \cap U_j)$. On $U_i \cap U_j \cap U_k$, by (2.4.1) we have

$$\begin{aligned} l_{ij} \cdot l_{jk} \cdot l_{ki} &= 1 \\ \iff l_{jk} \cdot l_{ik}^{-1} \cdot l_{ij} &= 1 \end{aligned}$$

Hence $(l_{ij}) \in \ker \delta^1$. If two line bundles (l_{ij}) and (l'_{ij}) are equivalent, there are nonvanishing functions h_j on U_j such that

$$(l'_j)^{-1} \cdot h_j \cdot l_j = (l'_k)^{-1} \cdot h_k \cdot l_k$$

That is $l_{jk} = l'_{jk} \cdot h_k \cdot h_j^{-1}$, then (l_{jk}) and (l'_{jk}) are cohomologous. Hence an equivalent class defines an element in $H^1(\underline{U}, \mathcal{O}^*)$, and by Proposition 2.3.4, it actually defines an element in $H^1(M, \mathcal{O}^*)$. It is clear that this correspondence is a homomorphism. Conversely, it is easy to construct a line bundle from an element of $H^1(M, \mathcal{O}^*)$. This proves our proposition. \square

Remark 2.4.8. Same argument shows the group of differentiable equivalent classes of differentiable line bundles is isomorphic to $H^1(M, \mathcal{D}^*)$.

We now construct Chern class, an important invariant of line bundles. We have the exponential sequence for \mathcal{O} and \mathcal{D} :

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{Z} & \longrightarrow & \mathcal{O} & \xrightarrow{\exp} & \mathcal{O}^* \longrightarrow 0 \\ & & \downarrow \text{id} & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathbb{Z} & \longrightarrow & \mathcal{D} & \xrightarrow{\exp} & \mathcal{D}^* \longrightarrow 0 \end{array}$$

with exact rows. This yields a commutative diagram of cohomology groups with exact rows

$$\begin{array}{ccccccc} H^1(M, \mathcal{O}) & \longrightarrow & H^1(M, \mathcal{O}^*) & \xrightarrow{\delta^*} & H^2(M, \mathbb{Z}) & \longrightarrow & H^2(M, \mathcal{O}) \\ \downarrow & & \downarrow i & & \downarrow & & \downarrow \\ H^1(M, \mathcal{D}) & \longrightarrow & H^1(M, \mathcal{D}^*) & \xrightarrow{\delta^*} & H^2(M, \mathbb{Z}) & \longrightarrow & H^2(M, \mathcal{D}) \end{array}$$

Definition 2.4.9. The (first) *Chern class* c is defined as $\delta^* : \text{Pic}(M) \rightarrow H^2(M, \mathbb{Z})$.

Proposition 2.4.10. The Chern class $c(L)$ of a complex analytic line bundle L represents the differentiable equivalence class of L .

Proof. Since \mathcal{D} is a fine sheaf, \mathcal{D} is acyclic. Hence we have $0 \rightarrow H^1(M, \mathcal{D}^*) \xrightarrow{\delta^*} H^2(M, \mathbb{Z}) \rightarrow 0$, that is, $H^1(M, \mathcal{D}^*) \cong H^2(M, \mathbb{Z})$, and the previous one is the group of differentiable equivalent classes of differentiable line bundles on M . \square

Let us give an explicit description of $c(L)$. If $L = \{l_{ij}\}$, $l_{ij} \cdot l_{jk} \cdot l_{ki} = 1$, and

$$\log l_{ij} + \log l_{jk} + \log l_{ki} = 2\pi\sqrt{-1}c_{ijk}$$

Then $c(L) = (c_{ijk}) \in H^2(M, \mathbb{Z})$. It is easy to see different analytic branches gives cohomologous (c_{ijk}) .

We now discuss sections of vector bundles.

Definition 2.4.11. A holomorphic (resp. differentiable) *section* of F over $U \subset M$ is a holomorphic (resp. differentiable) map $\varphi : U \rightarrow F$ such that $\pi \circ \varphi = \text{id}_U$. Denote the \mathbb{C} - (resp. \mathbb{R} -) vector space of sections by $\Gamma(U, F)$.

We define a presheaf $\mathcal{O}(F)$ by setting $\mathcal{O}(F)(U) = \Gamma(U, F)$, and it is easy to check this is a sheaf, called the *sheaf of holomorphic sections of F* . Similarly we have a *sheaf of differentiable sections of F* denoted by $\mathcal{D}(F)$.

Locally $\mathcal{O}(F)|_U = \mathcal{O}|_U \oplus \cdots \oplus \mathcal{O}|_U$ (n times) on a trivializing open set U .

We give the definition of various tangent bundles and vector fields. ¹

Definition 2.4.12. Let $(U_\alpha, z_\alpha)_{\alpha \in A}$ be a locally finite atlas of M , the *holomorphic tangent bundle* $T(M)$ is defined by Jacobi matrices of $z_\alpha \circ (z_\beta)^{-1}$. The conjugate $\overline{T(M)}$ of $T(M)$ is called *conjugate tangent bundle* of M , and the sum $\mathcal{T}(M) := T(M) \oplus \overline{T(M)}$ is called *complexified tangent bundle* of M .

We note that on a chart (U, ζ) , the fiber on a point z is isomorphic to $\langle \partial/\partial\zeta^1, \dots, \partial/\partial\zeta^n \rangle_{\mathbb{C}}$. If (V, ξ) is another chart, chain rule shows the transition function is exactly the Jacobi matrix of $\xi \circ \zeta^{-1}$. Hence we have a well-defined tangent space.

Definition 2.4.13. The *tangent space* at point z is given by $\langle \partial/\partial\zeta_1, \dots, \partial/\partial\zeta_n \rangle_{\mathbb{C}}$ for a chart (U, ζ) , denoted by $T_z(M)$.

¹The reader can notice that Morrow and Kodaira use subscript to denote the indices of components in Chapter 1. However, from now on they use superscript to denote the indices of components, we shall keep the same notation with the book.

Remark 2.4.14. If

$$\mathcal{T}_{\mathbb{R}}(M) := \coprod_{z \in M} \mathcal{T}_{\mathbb{R},x}(M)$$

is the real tangent bundle of M as a differentiable manifold, then $\mathcal{T}(M) = \mathcal{T}_{\mathbb{R}}(M) \otimes \mathbb{C}$, where \mathbb{C} is the trivial complex analytic line bundle on M considered as a differentiable bundle.

Definition 2.4.15. A *holomorphic vector field* is a holomorphic section of $T(M)$. The sheaf of holomorphic vector fields is denoted by Θ .

By linear algebra, if a matrix A corresponds to a linear map $\sigma : V \rightarrow W$ on suitable basis, then the inverse transpose A^* of A corresponds to the linear map $(\sigma^\vee)^{-1} : V^\vee \rightarrow W^\vee$. Hence the dual bundle's fiber is dual to the original bundle.

Notation 2.4.16. We use dz_α^i ($i = 1, \dots, n$) to denote the dual basis of $\partial/\partial z_\alpha^i$

We now give a brief treatment of differential forms.

Definition 2.4.17. A *differential form* of type (p, q) (or (p, q) -form) on an open set W is a differentiable section of $(\oplus_{i=1}^p T^*(M)) \oplus (\oplus_{j=1}^q \overline{T^*(M)})$ over W such that the fiber coordinate is skew-symmetric respect to indices. In general, we denote a (p, q) -form as

$$\varphi(z) = \frac{1}{p!q!} \sum_{\substack{i_1, \dots, i_p \\ j_1, \dots, j_q}} \varphi_{i_1 \dots i_p j_1 \dots j_q}(z) dz^{i_1} \wedge \dots \wedge dz^{i_p} \wedge d\bar{z}^{j_1} \wedge \dots \wedge d\bar{z}^{j_q}$$

on a trivializing open set U , where $dz^{\bar{j}} = \overline{dz^j}$, and \wedge is the wedge product.

Similarly, we can define differential form on real differentiable manifolds.

Definition 2.4.18. A *differential form* of degree p is a differentiable section of $\oplus_{i=1}^p \mathcal{T}(M)$ whose fiber coordinate is skew-symmetric. In general, a differential form of degree p has form

$$\varphi(x) = \sum \varphi_{i_1 \dots i_p} dx^{i_1} \wedge \dots \wedge dx^{i_p}$$

on a trivializing open set U .

We define wedge product and exterior differential of differential forms.

Definition 2.4.19. If

$$\begin{aligned} \varphi &= \frac{1}{p!} \sum \varphi_{i_1 \dots i_p} dx^{i_1} \wedge \dots \wedge dx^{i_p} \\ \psi &= \frac{1}{q!} \sum \psi_{j_1 \dots j_q} dx^{j_1} \wedge \dots \wedge dx^{j_q} \end{aligned}$$

Then the *wedge product* of φ and ψ is defined by

$$\varphi \wedge \psi := \frac{1}{p!q!} \sum \varphi_{i_1 \dots i_p} \psi_{j_1 \dots j_q} dx^{i_1} \wedge \dots \wedge dx^{i_p} \wedge dx^{j_1} \wedge \dots \wedge dx^{j_q}$$

and the *exterior differential* of φ is defined by

$$d\varphi := \frac{1}{p!} \sum \frac{\partial \varphi_{i_1 \dots i_p}}{\partial x^i} dx^i \wedge dx^{i_1} \wedge \dots \wedge dx^{i_p}$$

$$= \frac{1}{(p+1)!} \sum \phi_{i_0 i_1 \dots i_p} dx^{i_0} \wedge dx^{i_1} \wedge \dots \wedge dx^{i_p}$$

where

$$\phi_{i_0 i_1 \dots i_p} = \sum_{j=0}^p (-1)^j \frac{\partial \phi_{i_0 \dots \widehat{i_j} \dots i_p}}{\partial x^j}$$

One can check that wedge product and exterior differential are well-defined, that is, do not depend on the choice of coordinate chart. See [Tu11, Section 9.3].

We list two easy properties of exterior differential here.

Proposition 2.4.20. *If φ is a p -form and ψ is a q -form, then*

- (1) $dd\varphi = 0$;
- (2) $d(\varphi \wedge \psi) = d\varphi \wedge \psi + (-1)^p \varphi \wedge d\psi$.

Finally, we give a fine resolution of \mathbb{C} .

Notation 2.4.21. We use A^p to denote the sheaf of differential p -forms on manifold M .

Theorem 2.4.22 (Poincaré's lemma). *Suppose a p -form φ , $p \geq 1$, satisfies $d\varphi = 0$ on a star-shaped domain W of 0 , then there exists a $(p-1)$ -form ψ on W such that $d\psi = \varphi$.*

Proof. We construct a chain homotopy between id and 0 on A^\bullet of W . Denote $I^p = (i_1, \dots, i_p)$ and $I^{p+1} = (i_0, i_1, \dots, i_p)$ for short. Let

$$\varphi = \frac{1}{p!} \sum_{I^p} \varphi_{I^p} dx^{I^p}$$

Then $h: A^p \rightarrow A^{p-1}$ is defined by

$$h(\varphi)(x) := \frac{1}{p!} \sum_{I^p} \sum_{j=1}^p (-1)^{j-1} \left(\int_0^1 t^{p-1} \varphi_{I^p}(tx) dt \right) x^{i_j} dx^{I^p \setminus (i_j)}$$

Where $I^p \setminus (i_j) = (i_1, \dots, \widehat{i_j}, \dots, i_p)$. Since W is star-shaped, h is well-defined. Straightforward computation shows

$$\begin{aligned} dh(\varphi)(x) &= \frac{1}{p!} \sum_{I^p} \sum_{j=1}^p (-1)^{j-1} \sum_{k=1}^n \frac{\partial}{\partial x^k} \left(\int_0^1 \varphi_{I^p}(tx) t^{p-1} dt \right) x^{i_j} dx^k \wedge dx^{I^p \setminus (i_j)} \\ &= \frac{1}{p!} \sum_{I^p} p \left(\int_0^1 \varphi_{I^p}(tx) t^{p-1} dt \right) dx^{I^p} \\ &\quad + \frac{1}{p!} \sum_{I^p} \sum_{j=1}^p \sum_{k=1}^n (-1)^{j-1} \left(\int_0^1 \frac{\partial \varphi_{I^p}}{\partial x^k}(tx) t^{p-1} dt \right) x^{i_j} dx^k \wedge dx^{I^p \setminus (i_j)} \end{aligned}$$

and

$$\begin{aligned} h(d\varphi)(x) &= h \left(\frac{1}{p!} \sum_{I^p} \sum_{k=1}^n \frac{\partial \varphi_{I^p}}{\partial x^k} dx^k \wedge dx^{I^p} \right) \\ &= \frac{1}{p!} \sum_{I^p} \sum_{k=1}^n \left(\int_0^1 \frac{\partial \varphi_{I^p}}{\partial x^k}(tx) t^p dt \right) x^k dx^{I^p} \\ &\quad + \frac{1}{p!} \sum_{I^p} \sum_{k=1}^n \sum_{j=2}^{p+1} (-1)^{j-1} \left(\int_0^1 \frac{\partial \varphi_{I^p}}{\partial x^k}(tx) t^p dt \right) x^{i_{j-1}} dx^k \wedge dx^{I^p \setminus (i_{j-1})} \end{aligned}$$

$$\begin{aligned}
&= \frac{1}{p!} \sum_{I^p} \sum_{k=1}^n \left(\int_0^1 \frac{\partial \varphi_{I^p}}{\partial x^k}(tx) t^p \right) x^k dx^{I^p} \\
&\quad + \frac{1}{p!} \sum_{I^p} \sum_{k=1}^n \sum_{j=1}^p (-1)^j \left(\int_0^1 \frac{\partial \varphi_{I^p}}{\partial x^k}(tx) t^p dt \right) x^{i_j} dx^k \wedge dx^{I^p \setminus \{i_j\}}
\end{aligned}$$

Adding $dh(\varphi)$ and $h(d\varphi)$, the triple sums cancel, and we obtain

$$\begin{aligned}
dh(\varphi)(x) + h(d\varphi)(x) &= \frac{1}{p!} \sum_{I^p} \left(\int_0^1 \left(p t^{p-1} \varphi_{I^p}(tx) + t^p \sum_{k=1}^n x^k \frac{\partial \varphi_{I^p}}{\partial x^k}(tx) \right) dt \right) dx^{I^p} \\
&= \frac{1}{p!} \left(\sum_{I^p} \int_0^1 \frac{d}{dt} (t^p \varphi_{I^p}(tx)) dt \right) dx^{I^p} \\
&= \frac{1}{p!} \sum_{I^p} \varphi_{I^p}(x) dx^{I^p} \\
&= \varphi(x)
\end{aligned}$$

Hence on W id is chain homotopic to 0, that is, for any $\varphi \in A^p$ with $d\varphi = 0$ there is a $\psi \in A^{p-1}$ such that $d\psi = \varphi$ for $p \geq 1$. \square

Proposition 2.4.23. *The sequence*

$$0 \rightarrow \mathbb{C} \rightarrow A^0 \xrightarrow{d^0} A^1 \xrightarrow{d^1} A^2 \xrightarrow{d^2} \dots$$

gives a fine resolution of \mathbb{C} .

Proof. First we notice given an open cover \underline{U} , each A^p admits a partition of unity subordinate to \underline{U} , hence A^p is fine. Next we notice Poincaré's lemma shows $\text{im } d^{p-1} = \ker d^p$ on each stalk, hence the sequence is exact. \square

As a corollary of Theorem 2.3.12, we obtain the de Rham's theorem.

Theorem 2.4.24 (de Rham's Theorem). *We have the isomorphism*

$$H^q(X, \mathbb{C}) \cong \frac{H^0(X, dA^{q-1})}{dH^0(X, A^{q-1})} \quad \forall q > 0$$

Proof. Combine Theorem 2.3.12 and Proposition 2.4.23. \square

Remark 2.4.25. Generally speaking, we often define de Rham cohomology group of complex coefficients as the cohomology of the cochain complex

$$0 \rightarrow \mathbb{C}(X) \rightarrow A^0(X) \xrightarrow{d} A^1(X) \xrightarrow{d} A^2(X) \xrightarrow{d} \dots$$

in algebraic topology. Denote the q th de Rham cohomology group by $H_{\text{DR}}^q(X, \mathbb{C})$, then traditionally de Rham's theorem reads $\check{H}^q(X, \mathbb{C}) \cong H_{\text{DR}}^q(X, \mathbb{C})$. This is equivalent to the form we state in Theorem 2.4.24.

2.5 Dolbeault's Lemma and Fine Resolution of Structure Sheaf

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